

Title: Effects of black hole radiation: horizon avoidance

Date: Nov 10, 2017 09:40 AM

URL: <http://pirsa.org/17110094>

Abstract: Event horizons are the defining feature of classical black holes. They are the key ingredient of the information loss paradox which, as paradoxes in quantum foundations, is built on a combination of predictions of quantum theory and counterfactual classical features. Within the semi-classical theory we investigate the possibility that black hole radiation still does not allow for a finite time crossing of the Schwarzschild radius of collapsing matter as seen by distant observers. The exact form of the pre-Hawking radiation is not yet settled, and we make only minimal assumptions about its nature.



**MACQUARIE**  
University  
SYDNEY · AUSTRALIA

# Effects of black hole radiation: horizon avoidance?

Valentina Baccetti

QUANTUM BLACK HOLES IN THE SKY?



8-10 November 2017



# Collaborators

---



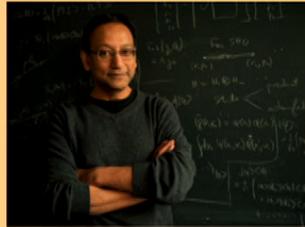
Daniel Terno



Robert Mann



Ian Nagle



Viqar Husain

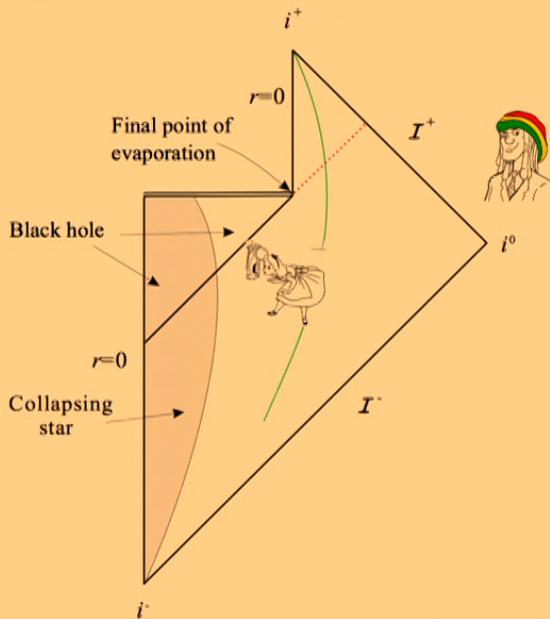
## Unaware contributors:

- Unknown PRL referees
- Bill Unruh
- Pisin Chen
- Et al.

Effects of black hole radiation: horizon avoidance?

2

# Information loss paradox?



## Ingredients

GR: horizon, mass-area relation

QFT: radiation

## Why is it a paradox?

GR: deterministic

[spherically-symmetric

collapse on a asymptotically flat background:

Hamiltonian evolution]

Unruh, Phys. Rev. D **14**, 870 (1976).

VH and DRT, Phys. Rev. D **81**, 044039 (2010)

QM  $\rightarrow$  QFT: unitary

## Additional causality issue:

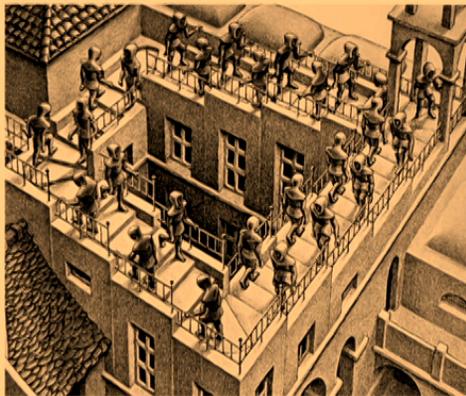
- Collapse (i.e. event horizon crossing) takes an infinite amount of time
- Radiation (that needs a horizon?) evaporates a BH in finite amount of time

Effects of black hole radiation: horizon avoidance?

4

# Foundationally speaking

## Paradoxes of quantum mechanics



### Ingredients:

1. Classical ideas/assumptions/results
2. Quantum features/results
3. Combine and try to obtain probability distributions that satisfy all of (1) & (2)

### Outcome

- Contradiction  
(before all QM results are used)

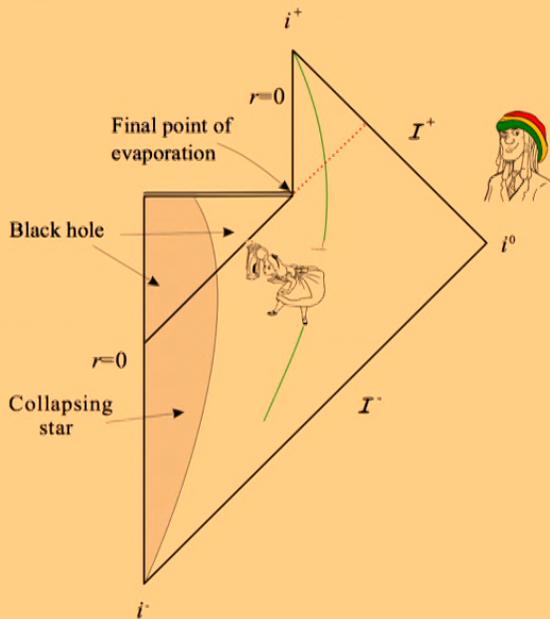
### Examples:

- EPR: Bell-CHSH;
- Kochen-Specker paradox
- Information loss?

Effects of black hole radiation: horizon avoidance?

3

# Information loss paradox?



## Ingredients

GR: horizon, mass-area relation

QFT: radiation

## Why is it a paradox?

GR: deterministic

[spherically-symmetric

collapse on a asymptotically flat background:

Hamiltonian evolution]

Unruh, Phys. Rev. D **14**, 870 (1976).

VH and DRT, Phys. Rev. D **81**, 044039 (2010)

QM  $\rightarrow$  QFT: unitary

## Additional causality issue:

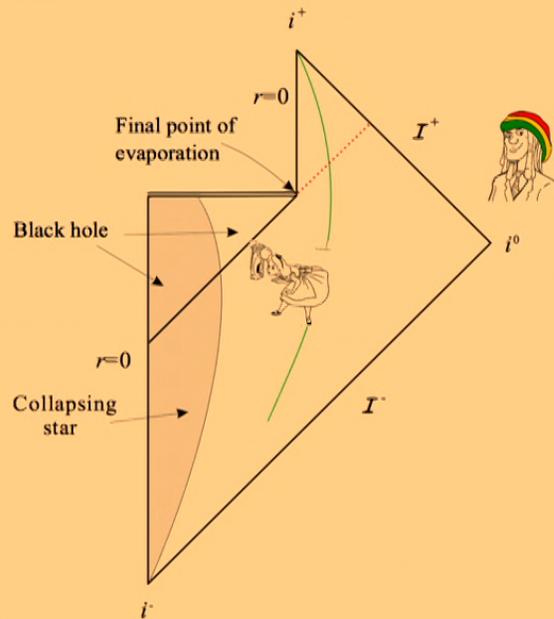
- Collapse (i.e. event horizon crossing) takes an infinite amount of time
- Radiation (that needs a horizon?) evaporates a BH in finite amount of time

Effects of black hole radiation: horizon avoidance?

4



# Information loss paradox?



## Ingredients

GR: horizon, mass-area relation

QFT: radiation

## Why is it a paradox?

GR: deterministic

[spherically-symmetric

collapse on a asymptotically flat background:

Hamiltonian evolution]

Unruh, Phys. Rev. D **14**, 870 (1976).

VH and DRT, Phys. Rev. D **81**, 044039 (2010)

QM  $\rightarrow$  QFT: unitary

## Additional causality issue:

- Collapse (i.e. event horizon crossing) takes an infinite amount of time
- Radiation (that needs a horizon?) evaporates a BH in finite amount of time

Effects of black hole radiation: horizon avoidance?

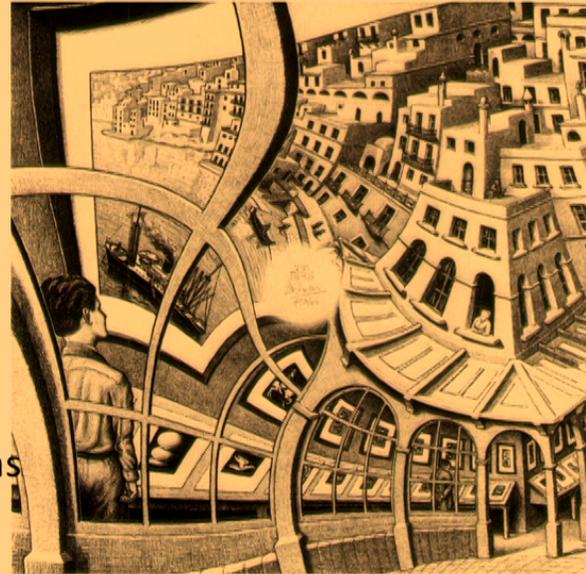
4

# Logic of the Analysis

---

## Hierarchy of models

- Quantum field theory on a curved background
- Semiclassical gravity
- Semiclassical stochastic gravity
- Effective field theories of matter-gravity systems
- Full theory of quantum gravity



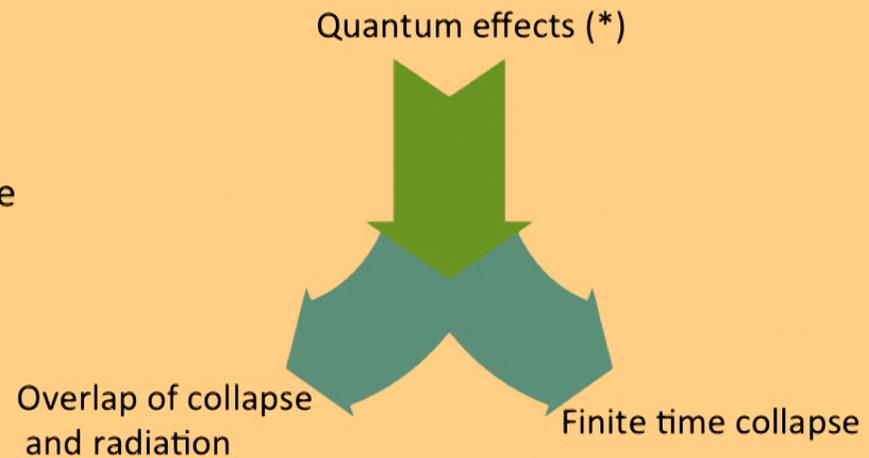
Effects of black hole radiation: horizon avoidance?

5

# Logic of causality

## Causality issues

Collapse takes infinite Bob's time  
Collapse takes finite Alice's time  
Evaporation takes finite Bob's time



(\*) A case for existence of pre-Hawking radiation

- Gerlach, PRD **14**, 1479 (1976).
- Alberghi, Casadio, Vacca, Venturi, PRD **64**, 104012 (2001).
- Barcelo, Liberati, Sonego, Visser, CQG **23**, 5341 (2006)
- Vachaspati, Stojkovic, Kraus, PRD **76**, 024005 (2007).

Effects of black hole radiation: horizon avoidance?

6

# Four assumptions

---

1. The classical spacetime structure is still meaningful and is described by a metric  $g_{\mu\nu}$ .
2. Classical concepts, such as trajectories, event horizon and singularity can be used.
3. The collapse leads to pre-Hawking radiation.
4. The metric is modified by quantum effect. The resulting curvature satisfies the semiclassical equation:

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi\langle\hat{T}_{\mu\nu}\rangle \quad \langle\hat{T}_{\mu\nu}\rangle = \frac{2}{\sqrt{-g}}\frac{\delta W}{\delta g^{\mu\nu}}$$

# Goals and tools

---

Self-consistency of the semiclassical theory

- possibility of the horizon avoidance (no finite proper time crossing)?

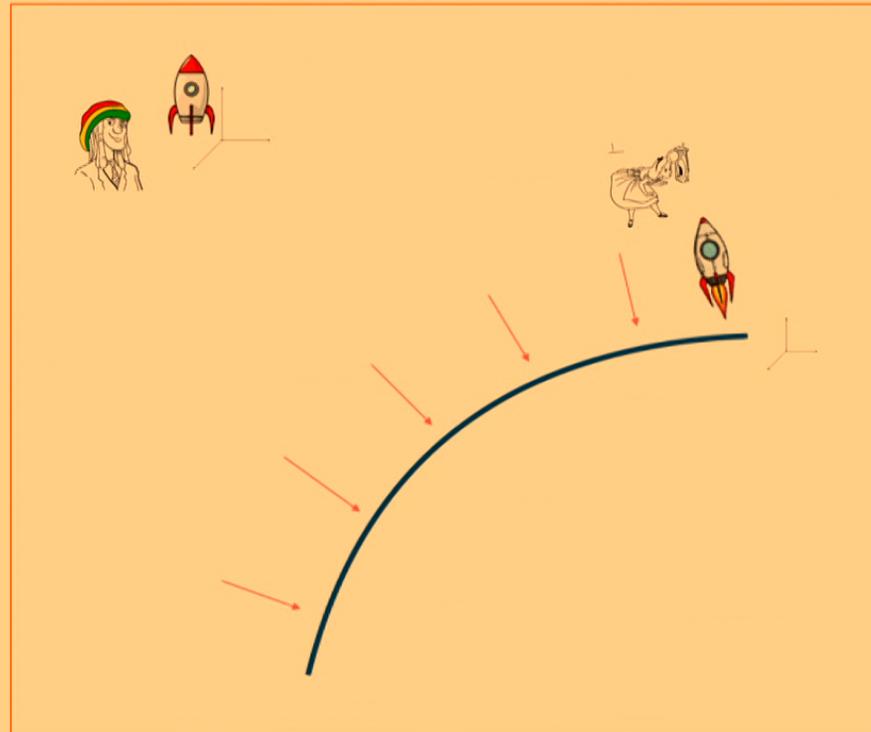
Classical horizon obtains its physical status because of the finite proper time crossing (co-moving/in-falling Alice).

Quantum-affected horizon should be tested in the same way

- massive shells, etc.

# Collapse of a massive thin shell

## The big picture



Effects of black hole radiation: horizon avoidance?

9

# Collapse of a massive thin shell

## Structure

(+) Eddington-Finkelstein/Schwarzschild

$$ds_+^2 = -f(r, u)du^2 - 2dudr + r^2d\Omega^2$$

$$ds_+^2 = -\tilde{f}(r, u)dt^2 + \tilde{f}(t, r)^{-1}dr^2 + r^2d\Omega^2$$

(-) Minkowski

$$ds_-^2 = -dt_-^2 + dr_-^2 + r_-^2d\Omega^2$$

## Junction conditions:

$$(1) \quad g_{\mu\nu}|_{\Sigma^+} \rightarrow h_{ab} \leftarrow g_{\mu\nu}|_{\Sigma^-}$$

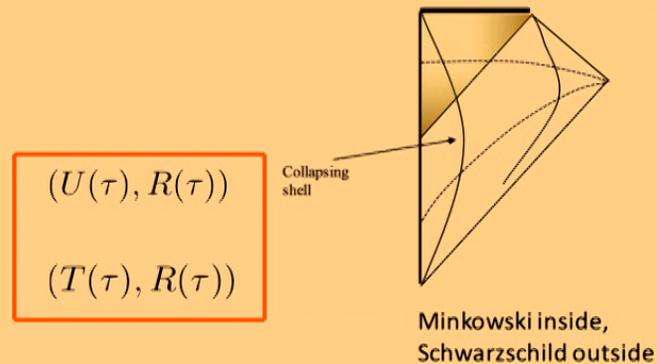
$$r_- = r_+ \equiv r$$

$$(2) \quad S_{ab} = -\frac{1}{8\pi}([K_{ab}] - K[h_{ab}])$$

$$[K_{ab}] = K_{ab}^+ - K_{ab}^-$$

EoM

Effects of black hole radiation: horizon avoidance?



# Thin shell

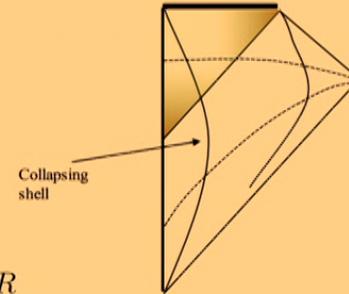
## Vaidya metric

$$(+)\quad ds_+^2 = -f(r, u)du^2 - 2dudr + r^2d\Omega^2$$

$$f(u, r) = 1 - C(u)/r$$

$$\Sigma : F = 1 - C(U)/R$$

$$r_g(u) \equiv C(u, r_g(u))$$



$$\text{EoM} \left\{ \begin{array}{l} \mathcal{D}(R) - F_U \dot{U} \left( \frac{\dot{R}}{2F\sqrt{F + \dot{R}^2}} - \frac{1}{2F} \right) = 0 \\ \dot{U}_+ = \frac{-\dot{R} + \sqrt{F + \dot{R}^2}}{F} \end{array} \right.$$

Effects of black hole radiation: horizon avoidance?

11

# Thin shell

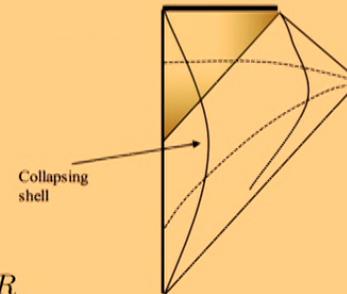
## Vaidya metric

$$(+)\quad ds_+^2 = -f(r, u)du^2 - 2dudr + r^2d\Omega^2$$

$$f(u, r) = 1 - C(u)/r$$

$$\Sigma : F = 1 - C(U)/R$$

$$r_g(u) \equiv C(u, r_g(u))$$



Non evaporating collapse

EoM  $\rightarrow$  
$$\mathcal{D}(R) := \frac{2\ddot{R} + F'}{2\sqrt{F + \dot{R}^2}} - \frac{\ddot{R}}{\sqrt{1 + \dot{R}^2}} + \frac{\sqrt{F + \dot{R}^2} - \sqrt{1 + \dot{R}^2}}{R}$$

# Thin shell

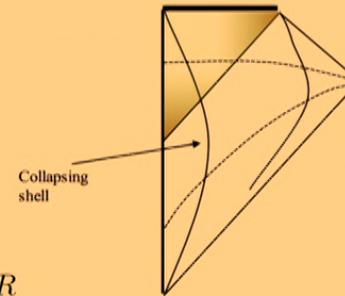
## Vaidya metric

$$(+)\quad ds_+^2 = -f(r, u)du^2 - 2dudr + r^2d\Omega^2$$

$$f(u, r) = 1 - C(u)/r$$

$$\Sigma : F = 1 - C(U)/R$$

$$r_g(u) \equiv C(u, r_g(u))$$



$$\text{EoM} \left\{ \begin{array}{l} \mathcal{D}(R) - F_U \dot{U} \left( \frac{\dot{R}}{2F\sqrt{F + \dot{R}^2}} - \frac{1}{2F} \right) = 0 \\ \dot{U}_+ = \frac{-\dot{R} + \sqrt{F + \dot{R}^2}}{F} \end{array} \right.$$

Effects of black hole radiation: horizon avoidance?

11

# Thin shell

## Vaidya metric

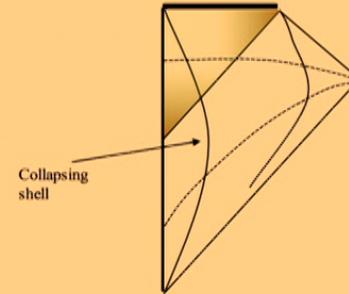
The gap to monitor  $x(\tau) := R(\tau) - r_g(U(\tau))$

$$\text{EoM} \left\{ \begin{array}{l} \dot{U} \approx -2\dot{R}/F \approx -2\dot{R}C/x \\ \ddot{R} \approx 4\dot{R}^4 \frac{C}{x^2} \frac{dC}{dU} \end{array} \right.$$

➤ Shell's rate of approach to  $r_g$

$$\dot{x} = \dot{R} \left( 1 - \frac{2C}{x} \left| \frac{dC}{dU} \right| \right) = |\dot{R}| \left( \frac{\epsilon_*(\tau)}{x} - 1 \right)$$

$$\epsilon_* := 2C \left| \frac{dC}{dU} \right|$$



Collapse accelerates

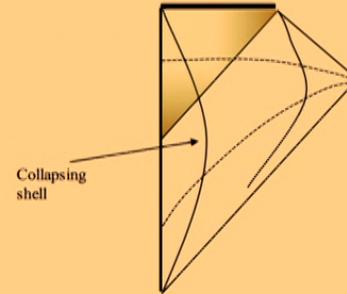


Shell is stuck at  $\epsilon_*$   
from receding  $r_g$

# Thin shell

## Vaidya metric

$$\text{EoM} \quad \left\{ \begin{array}{l} \dot{U} \approx -2\dot{R}/F \approx -2\dot{R}C/x \\ \ddot{R} \approx 4\dot{R}^4 \frac{C}{x^2} \frac{dC}{dU} \end{array} \right. \quad \dot{R}(\tau_0) = -\infty$$



□ Shell becomes (and stays) null in a finite proper time

➤ Shell's rate of approach to  $r_g$ :

$$\lambda = -R \quad x_\lambda = R_\lambda - dr_g/d\lambda = -1 + \frac{2r_g}{x} \left| \frac{dC}{dU} \right|$$

$$x_\lambda = -(1 - \epsilon_*/x(\lambda))$$

$$\epsilon_* := 2C \left| \frac{dC}{dU} \right|$$

**Dilemma:**

- Horizon avoidance?
- Suppression of radiation?

- ❖ H. Kawai, Y. Matsuo, and Y. Yokokura, Int. J. Mod. Phys. A 28, 1350050 (2013)
- ❖ R. B. Mann, I. Nagle, and D. R. Terno, in preparation

Effects of black hole radiation: horizon avoidance?

13

# Thin shell

## Retarded Schwarzschild metric

$$(+)\quad ds_+^2 = -\tilde{f}(r, u)dt^2 + \tilde{f}(t, r)^{-1}dr^2 + r^2d\Omega^2$$

$$\tilde{f}(t, r) = 1 - C(\tilde{t})/r \equiv f(\tilde{t}, r)$$

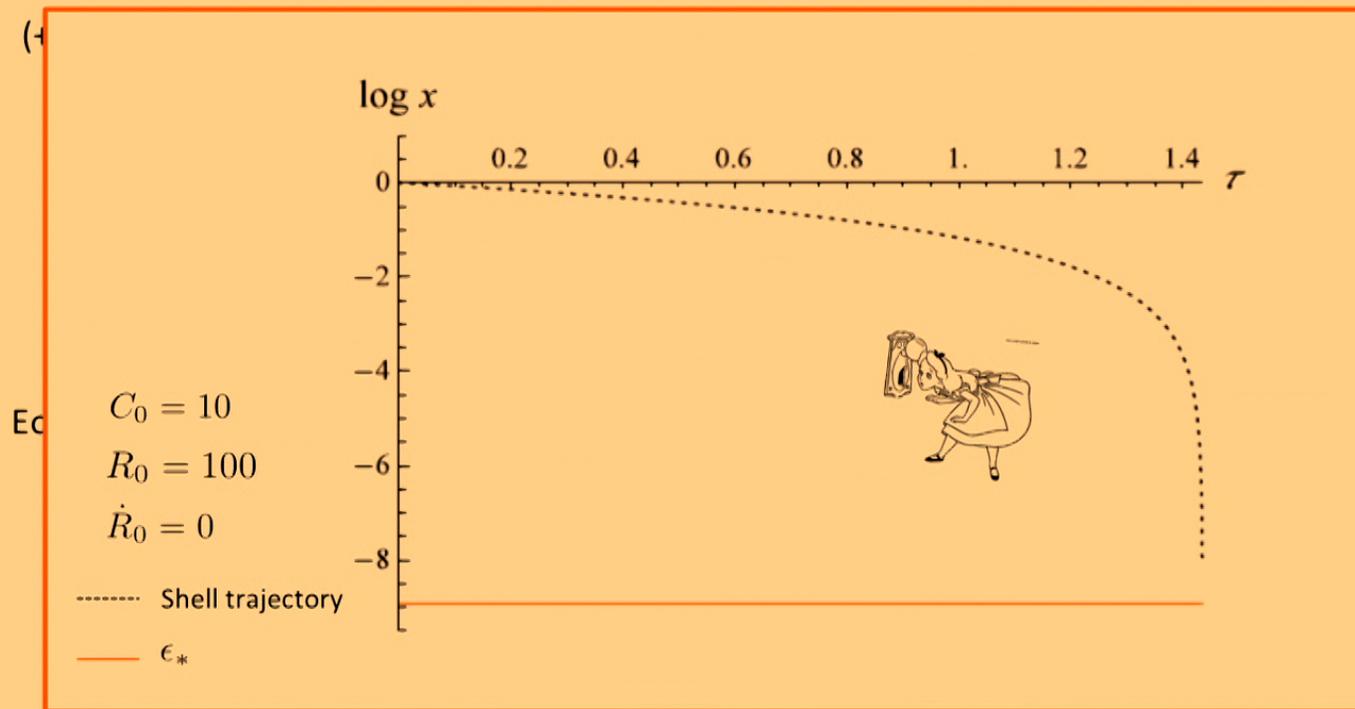
$$\frac{dr}{dt} = 1 - C(\tilde{t}/r)$$

*Similar to  
Lienard - Wiechert potential*

$$\text{EoM: } \left\{ \begin{array}{l} \mathcal{D}(R) - \frac{F_T \dot{R}}{F^2} = 0 \\ \frac{dC}{dt} = \frac{8 \times 8}{15,360\pi} \frac{1}{C^2} \end{array} \right. \longrightarrow \begin{array}{l} \ddot{R} \approx -\frac{\dot{R}^4}{3\kappa C x^2} \\ \dot{C} \approx -\frac{\dot{R}}{3\kappa C x} \end{array}$$

# Thin shell

## Retarded Schwarzschild metric

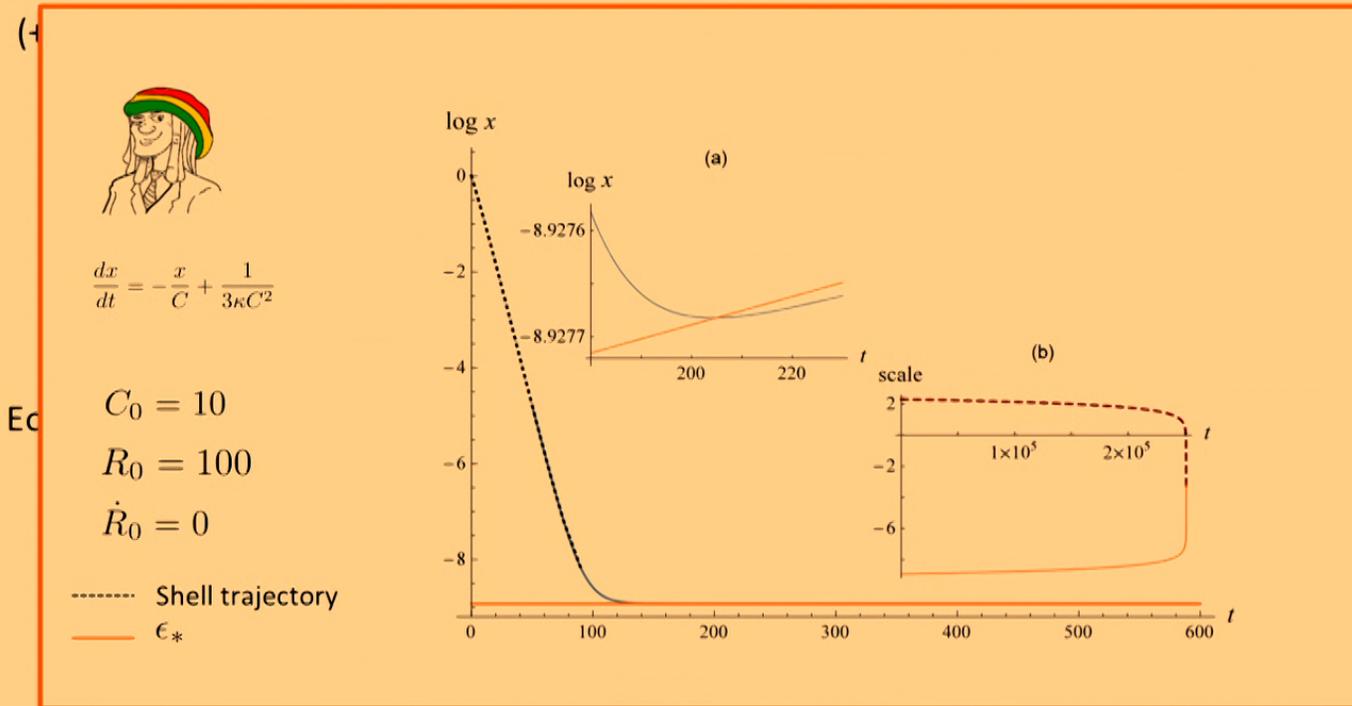


Effects of black hole radiation: horizon avoidance?

14

# Thin shell

## Retarded Schwarzschild metric



Effects of black hole radiation: horizon avoidance?

15

# Summarize: Known and known unknowns

## Known

### Thin massive shell:

- collapse and evaporation without horizon
- collapse and suppression of radiation

Generic spherically-symmetric metric

Arbitrary dimension  $D > 3+1$

Works for shell collapsing on a core

Thin massive rotating dust shell

## Known unknowns

- What happens to Alice?
- Is the horizon avoidance generic?
- Which stress-energy tensor to use?
- Can we have the exceptional metric?
- Trapped surfaces?
- What happens at the next level [semiclassical stochastic gravity]?

Effects of black hole radiation: horizon avoidance?

17

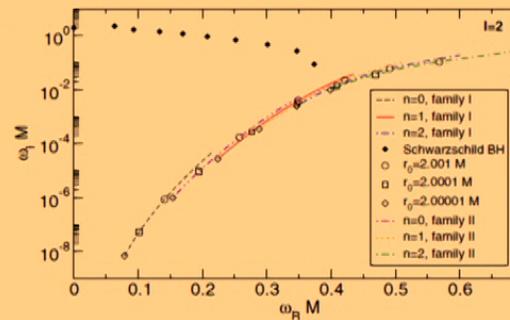
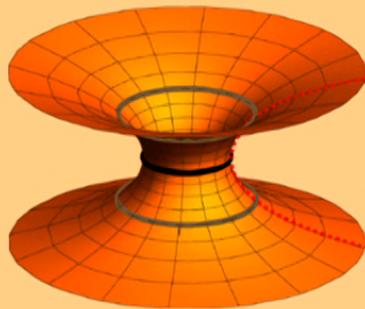
# Summarize: Context

- Absence of the event horizon is consistent with arguments that quantum effects destroy it.

†Brustein, Fortschr. Phys. **62**, 255 (2014)

- No info loss in semiclassical theory: it is consistent. The paradox seems to go the way of the paradoxes of QM.

- Observability? May be...



❖ Cardoso, Franzin, and Pani, Phys. Rev. Let. **116**, 171101 (2016)

Effects of black hole radiation: horizon avoidance?

# Summarize: Unknown unknowns

---

- ❑ How quantum correlations get distributed between the tripartite system of gravity/early modes/late modes.
- ❑ Bekestein-Hawing black hole entropy is  $S_{BH} = A/4$   
If event horizons do not correspond to asymptotically reachable states of collapsing matter, what are the thermodynamics propertiers of the resulting ultra-compact object



**MACQUARIE**  
University  
SYDNEY · AUSTRALIA

**Thank you**

- VB, RBM, DRT, *Do event horizons exist?* Int.J.Mod.Phys D26 (2017) 743008
- VB, RBM, DRT, *Horizon avoidance in spherically-symmetric collapse*, arXiv: 1703.09369 (2017).
- VB, RBM, DRT, *Effects of evaporation on gravitational collapse*, arXiv: 1610.07839 (2016).
- VB, VH, DRT, *The information recovery problem*, Entropy **19**,17 (2017).