

Title: General Relativity for Cosmology - Lecture 22

Date: Nov 24, 2017 04:00 PM

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Abstract:

## More on singularity theorems

- Assume a set of symmetries of matter and spacetime has been chosen.
  - Assume an exact solution or at least its asymptotic properties at early times have been found.
  - Assume, we choose a timelike congruence e.g. of geodesics.
- ⇒ We can now explicitly calculate the **twist**, **shear** and **expansion** along the congruence:



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e.g. of geodesics.

⇒ We can now explicitly calculate the **twist**,  
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□ Now we have different expansions in different directions, nonlinearly influencing another.

□ Recall:

The expansion in one direction can be enhanced by shear, as long as shear shrinks other directions.

□ Definition:

We define a rate of expansion tensor that includes shear:



$$\Theta_{\mu\nu} := \overset{\text{shear}}{\sigma_{\mu\nu}} + \frac{1}{3} \overset{\text{expansion scalar}}{\Theta} \overset{\text{projector } \perp \text{ to the timelike } u\text{-field}}{h_{\mu\nu}}$$

symmetric part of  $B_{\mu\nu}$

$\Theta_{\mu\nu}$  is fully spacelike and symmetric  $\Rightarrow \Theta_{\mu\nu}$  can be diagonalized in suitable ON frame  $\{e_0, e_1, e_2, e_3\}$ :

$$\Theta_{\mu\nu} = \begin{pmatrix} 0 & & & \\ & \theta_1 & & \\ & & \theta_2 & \\ & & & \theta_3 \end{pmatrix}$$

3 spa-like directions.

with the traditional expansion being the trace (because  $\sigma_{\mu\nu}$  is traceless):

$$\Theta = \theta_1 + \theta_2 + \theta_3$$

$\Rightarrow$  is not quite projector  
why  $\frac{1}{3}$ ? Recall that  $\text{Tr}(h_{\mu\nu}) = 3$

Definition:

$$H_i := \frac{1}{3} \theta_i$$

Local Hubble expansion function in direction  $e_i$ .

$$H := \frac{1}{3} \Theta$$

Overall local Hubble expansion function.

symmetric part of  $B_{\mu\nu}$   $\rightarrow$

3  $\leftarrow$  expansion scalar.

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□ Definition:

We use  $H_i, H$  to define local directional and general scale factors  $l_i, l$ :

The  $l_i, l$  are defined as the solutions to:

$$\frac{\dot{l}_i}{l_i} = H_i$$

$$\frac{\dot{l}}{l} = H$$

Here, the time derivative is defined as:

$$\dot{l} = u(l) = u^\nu \frac{\partial}{\partial x^\nu} l$$

recall:  $u$  is timelike.

□ What behavior can occur in the far past?

Full set of cases not yet known.

But:

Explicit examples are known where e.g.:

- All  $l_i \rightarrow 0$  as in FL cosmologies
- $l_1, l_2 \rightarrow 0, l_3 \rightarrow \infty$  "cigar singularity"
- $l_1, l_2 \rightarrow 0, l_3 \rightarrow \text{const}$  "barrel singularity"
- $l_1, l_2 \rightarrow \text{const}, l_3 \rightarrow 0$  "pancake singularity"

□ Note: For homogeneous, isotropic FL models,  $H$  is the regular Hubble parameter and  $l$  is its scale factor.



## Singularity theorems for black holes

In 1915, Schwarzschild found this black hole solution to the Einstein equation:

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = \left(1 - \frac{2M}{r}\right) dt^2 - \left(1 - \frac{2M}{r}\right)^{-1} dr^2 - r^2(d\theta^2 + d\varphi^2 \sin^2\theta)$$

Mass of black hole

Singularity:  $r = 0$

Horizon:  $r = 2M$

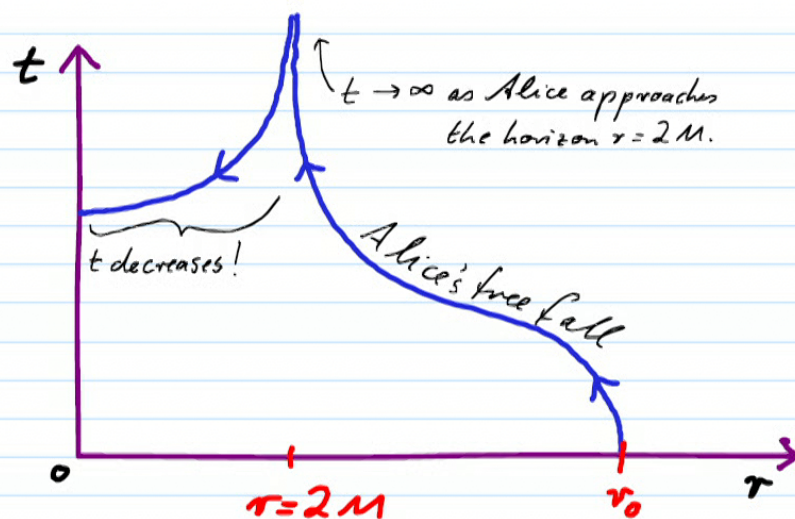
Here,  $x = (t, r, \varphi, \theta)$  are called the Schwarzschild coordinates.

Schwarzschild coordinates long misled intuition:

- The singularity at  $r = 2M$  is not real: it disappears in other coordinate systems. The curvature is smooth across  $r = 2M$ .

□ Due to the sign changes across  $r = 2M$ , for  $r < 2M$   $dt$  is spacelike and  $dr$  is timelike for  $r < 2M$ .

□ Consider, for example, a traveler, Alice, who is freely falling from  $r = r_0$  to  $r = 0$ :



$$r(\alpha) = \frac{r_0}{2} (1 + \cos(\alpha))$$

$$t(\alpha) = \left(\frac{r_0}{2} + 2M\right) w \alpha + \frac{r_0}{2} w \sin(\alpha)$$

$$+ 2M \log \left| \frac{w + \tan(\alpha/2)}{w - \tan(\alpha/2)} \right|$$

$$\tau(\alpha) = \frac{r_0}{2} \left(\frac{r_0}{2M}\right)^{1/2} (\alpha + \sin(\alpha))$$

$$\text{Here: } 0 < \alpha < \pi \text{ and } w = \left(\frac{r_0}{2M} - 1\right)^{1/2}$$

⇒ need better choices of coordinate systems!



## Simplification:

For now, we drop the  $\varphi$  and  $\theta$  coordinates.

## First design of a new cds $(T, R)$ - Alice's choice (for $r_0 = 2M$ ):

- Require  $g_{\mu\nu}(T, R)$  to be regular across  $r = 2M$ .
- Require  $g_{\mu\nu}(0, 0) = \eta_{\mu\nu}$  at  $r = 2M$ . If there's really no singularity at  $r = 2M$  this must be possible.
- Extend this cds so that  $g_{\mu\nu}(T, R) = f(T, R) \eta_{\mu\nu}$

⇒ Alice's choice are the Kruskal-Szekeres coordinates  $(T, R)$ :

$$T(t, r) := 4M \left| \frac{\tau}{2M} - 1 \right|^{1/2} e^{\frac{r-2M}{4M}} \left( \sinh\left(\frac{t}{4M}\right) \theta(r-2M) + \cosh\left(\frac{t}{4M}\right) \theta(2M-r) \right)$$

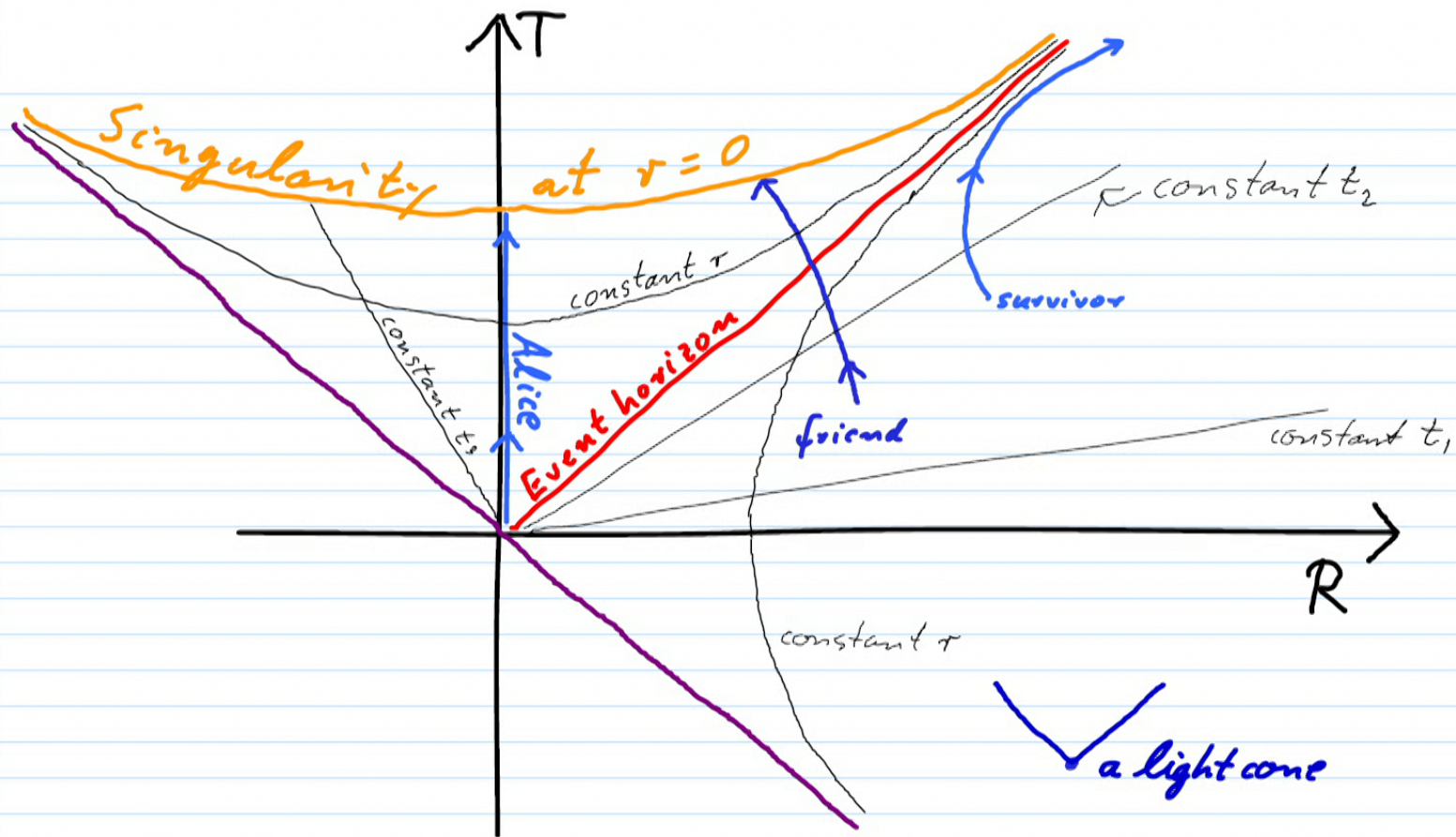
$$R(t, r) := 4M \left| \frac{\tau}{2M} - 1 \right|^{1/2} e^{\frac{r-2M}{4M}} \left( \cosh\left(\frac{t}{4M}\right) \theta(r-2M) + \sinh\left(\frac{t}{4M}\right) \theta(2M-r) \right)$$

This map is, in principle, invertible, to obtain  $t(T, R)$ ,  $r(T, R)$ .

The Schwarzschild metric now takes this form:

$$ds^2 = \underbrace{\frac{2M}{r(T, R)} e^{1 - \frac{r(T, R)}{2M}}}_{\text{Conformal prefactor} = 1 \text{ as } r = 2M} \underbrace{(dT^2 - dR^2)}_{\eta_{\mu\nu}} \quad \text{Obeys all conditions!}$$





- ▣ Alice was at rest at the event horizon.
- ▣ The singularity is at  $T(R) = \left(R^2 + \frac{16M^2}{e}\right)^{1/2}$  and is spacelike.

## Alice's light cone coordinates:

$$u := T - R, \quad v := T + R$$

$$\text{Metric: } ds^2 = \underbrace{\frac{2M}{r(u,v)} e^{1 - \frac{r(u,v)}{2M}}}_{\text{conformal factor (which is 1 at horizon)}} \underbrace{du dv}_{\text{light cone Minkowski}}$$

⇒ The action  $S[\phi] = \frac{1}{2} \int g^{\alpha\beta} \phi_{,\alpha} \phi_{,\beta} \sqrt{g} d^2x$  becomes:

$$= \frac{1}{2} \int_{T > -R} (\partial_T \phi(T, R))^2 - (\partial_R \phi(T, R))^2 dT dR$$

$$= 2 \int_{-\infty}^{\infty} \int_0^{\infty} (\partial_u \phi(u, v)) (\partial_v \phi(u, v)) dv du$$

← b/c region  $T > -R$  means  $T + R > 0$ , i.e.  $v > 0$ .

⇒ Eqn of motion:  $\partial_u \partial_v \phi(u, v) = 0$



## Bob's choice of coordinate system

Bob is far from the black hole.

He wants a cds in which:

$$\square g_{\mu\nu}(x) \rightarrow \eta_{\mu\nu} \text{ as } r \rightarrow \infty.$$

$$\square g_{\mu\nu}(x) = f(x) \eta_{\mu\nu} \text{ everywhere.}$$

This is so that in his cds too

$\square$  photons travel at  $45^\circ$

$\square$  equations of motion of matter fields will be simple (useful in QFT!)

$\Rightarrow$  Bob's choice is the Tortoise coordinate system.

## Tortoise cds ( $t^*$ , $r^*$ ):

□ In terms of the Schwarzschild cds:

$$t^* := t$$

$$r^* := r - 2M + 2M \log\left(\frac{r}{2M} - 1\right)$$

must require  $r > 2M$ !

⇒ Important: This is in principle invertible, to obtain

$$r = r(r^*)$$

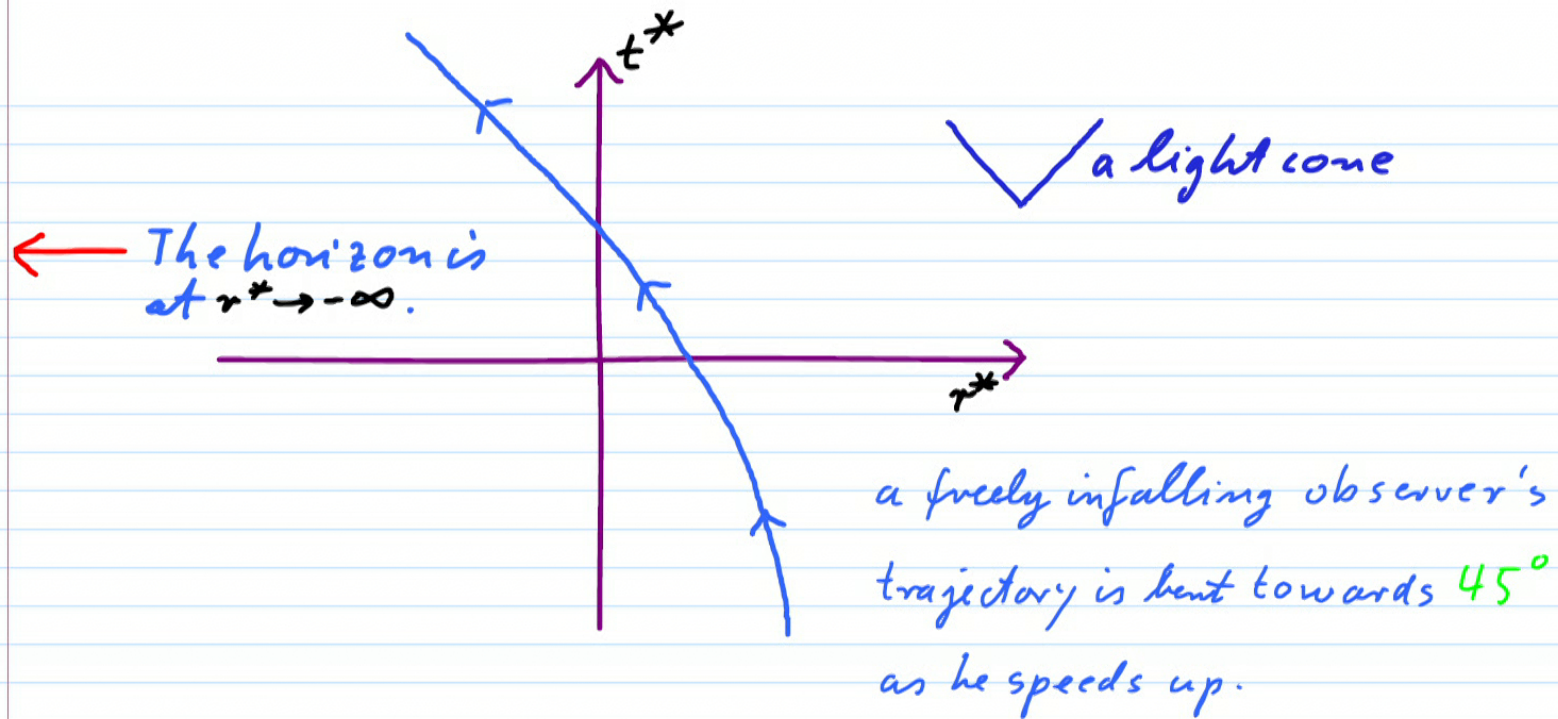
but only for  $r > 2M$ !

⇒ The tortoise cds only cover the BH's outside!

Metric:  $ds^2 = \underbrace{\left(1 - \frac{2M}{r(r^*)}\right)}_{\text{conformal factor}} (dt^{*2} - dr^{*2})$

conformal factor  $\rightarrow 1$  as  $r \rightarrow \infty$ , as planned but  $\rightarrow 0$  at horizon.





Bob's light cone coordinates:  $\bar{u} := t^* - r^*$ ,  $\bar{v} := t^* + r^*$

The metric is then:  $ds^2 = \left(1 - \frac{2M}{r(\bar{u}, \bar{v})}\right) d\bar{u} d\bar{v}$

$\rightarrow 1$  as  $r \rightarrow \infty$  and  $\rightarrow 0$  as  $r \rightarrow 2M$

⇒ The action:

$$\begin{aligned} S[\phi] &= \frac{1}{2} \int g^{\alpha\beta} \phi_{,\alpha} \phi_{,\beta} \sqrt{g} d^2x \quad \text{becomes:} \\ &= \frac{1}{2} \int_{\mathbb{R}^2} (\partial_{t^*} \phi(t^*, r^*))^2 - (\partial_{r^*} \phi(t^*, r^*))^2 dt^* dr^* \\ &= 2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} (\partial_{\bar{u}} \phi(\bar{u}, \bar{v})) (\partial_{\bar{v}} \phi(\bar{u}, \bar{v})) d\bar{v} d\bar{u} \end{aligned}$$

⇒ Eqm of motion:  $\partial_{\bar{u}} \partial_{\bar{v}} \phi(\bar{u}, \bar{v}) = 0$



# Do real black holes possess a singularity?

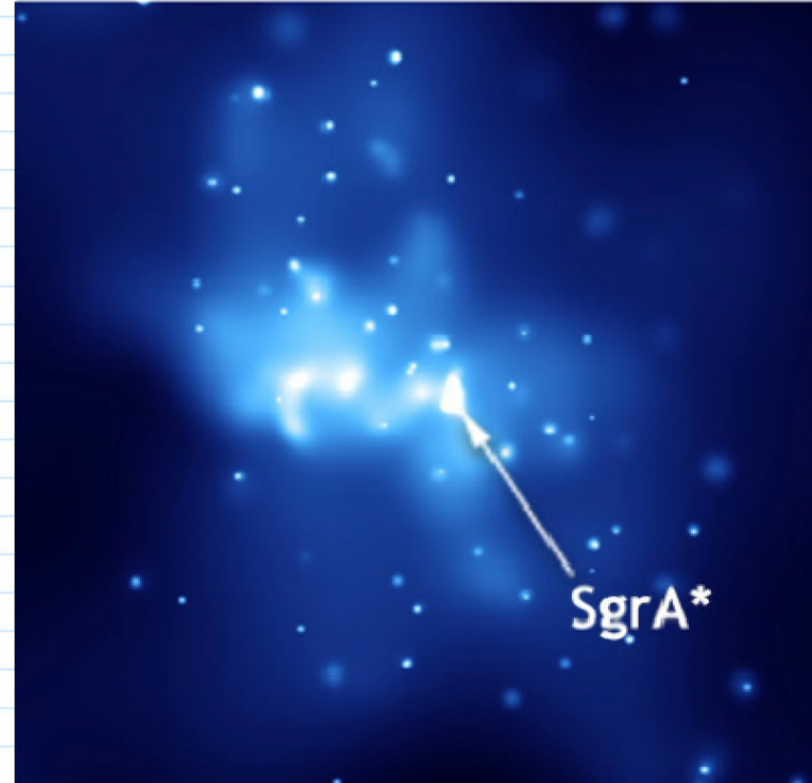
## Sagittarius A\*

□ 4 Mio stellar masses

□ Diameter 44 Mio km

□ 26000 light years away  
at centre of Milky Way.

→ Observations coming up 2018 by  
**Event Horizon Telescope**  
(in near band) with hopefully enough  
resolution to see the event horizon.



## How to model properties of real black holes roughly?

Singularity theorems suitable for black holes involve the concept and assumption of a **trapped surface**:

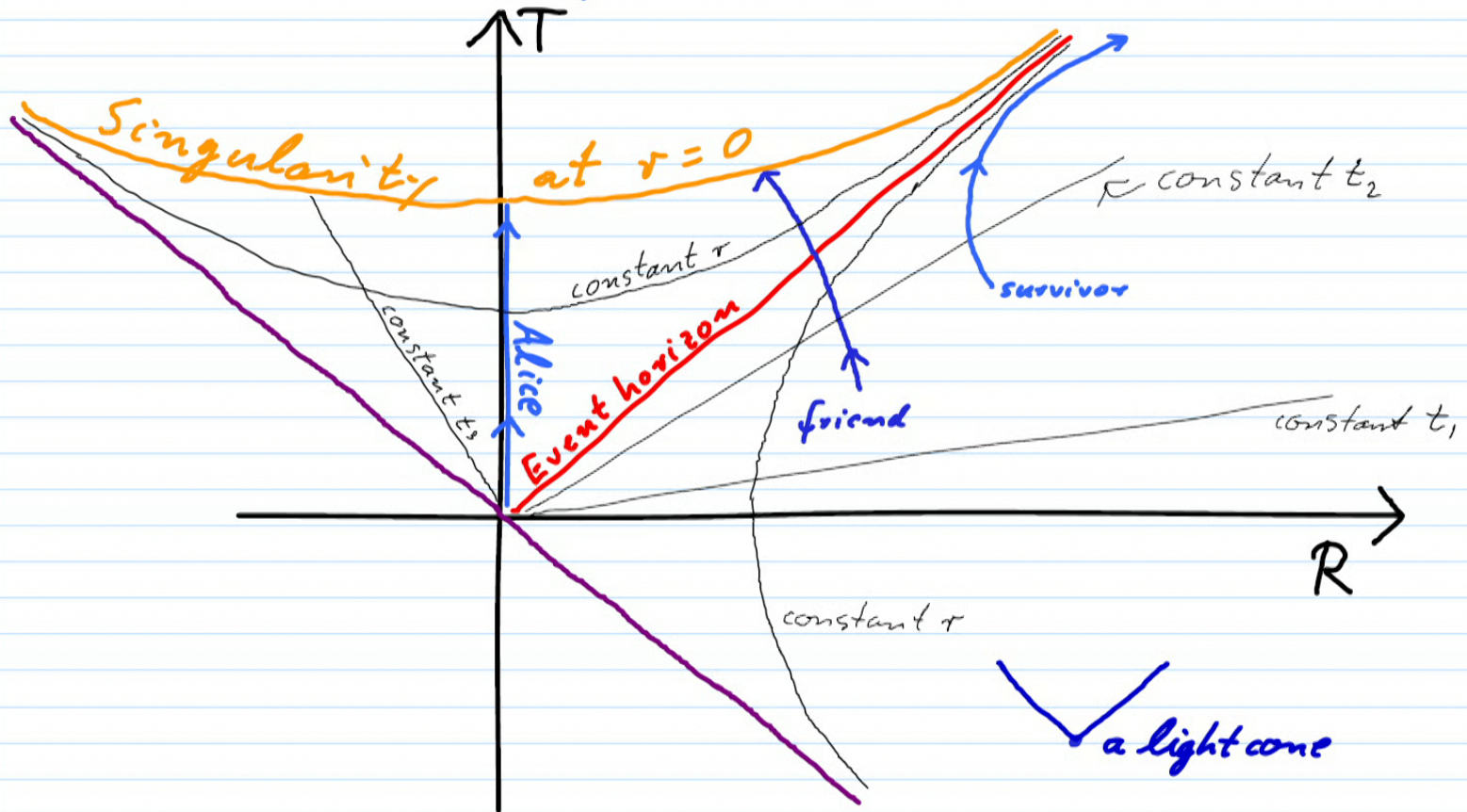
Def:

- Let  $\Sigma$  be a spacelike hypersurface. (Note:  $\Sigma$  is 3-dimensional)
- Let  $T \subset \Sigma$  be a compact, 2-dimensional smooth spacelike submanifold of  $\Sigma$ . Consider the ingoing and the outgoing future-directed null geodesics that are orthogonal to  $T$ .
- If all these geodesics possess **negative expansion**,  $\theta < 0$ , then  $T$  is called a **trapped surface**.



Examples of trapped surfaces:

All spheres  $r = \text{const.}$  inside a Schwarzschild black hole.

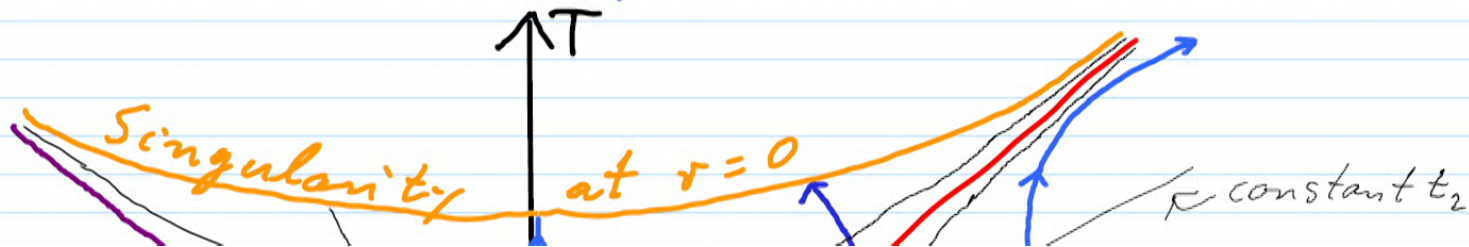


Let  $T \subset \Sigma$  be a compact,  $d$ -dimensional smooth spacelike submanifold of  $\Sigma$ . Consider the ingoing and the outgoing future-directed null geodesics that are orthogonal to  $T$ .

If all these geodesics possess negative expansion,  $\theta < 0$ , then  $T$  is called a trapped surface.

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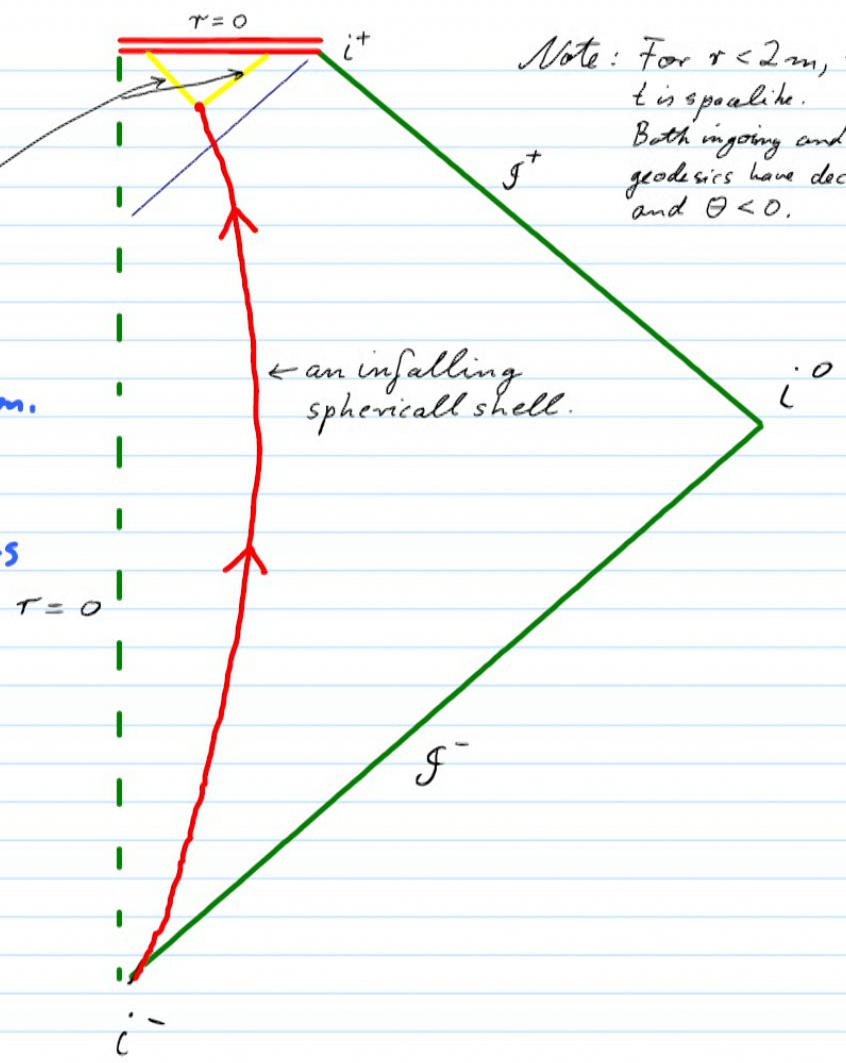
All spheres  $r = \text{const.}$  inside a Schwarzschild black hole.





Generally:

The in- and outgoing null geodesics both have negative expansion. Can't see it here b/c the neighboring geodesics are neighbors in the suppressed angular directions.



Note: For  $r < 2m$ ,  $r$  is timelike and  $t$  is spacelike. Both ingoing and outgoing null geodesics have decreasing  $r$ , and  $\Theta < 0$ .

Def: Let  $\Sigma$  be a spacelike hypersurface.

Then, the (3-dim. spacelike) union,  $\mathcal{T}$ , of all trapped surfaces  $T \subset \Sigma$  is called the **trapped region** of  $\Sigma$ .

Def: The boundary  $\partial\mathcal{T} \subset \Sigma$  is called the **apparent horizon** of the spacelike hypersurface  $\Sigma$ .

Note:  $\partial\mathcal{T}$  is 2-dimensional and spacelike.

Def: If we foliate spacetime into spacelike hypersurfaces

$$\Sigma_d, d \in I \subset \mathbb{R}$$

each with its apparent horizon,  $\mathcal{T}_d$ , then their union

$$\mathcal{A} := \bigcup_d \mathcal{T}_d$$

is called the **Trapping horizon** of the spacetime.



## Remarks:

- To check for the existence of an event horizon  $j^-$  (worldline to  $i^+$ ) in principle requires knowledge of the full future.
- But one can check for the existence of an apparent horizon in any spacelike hypersurface by calculating the expansion: only at that time!
- The notion of apparent horizons is dependent on the choice of foliation of spacetime into spacelike hypersurfaces.

□ For static Schwarzschild black holes the event and apparent horizons coincide.

□ Singularity theorems for black holes make assumptions that apparent horizons

Comment:

Hawking radiation is usually thought to emanate from the apparent horizon.