

Title: General Relativity for Cosmology - Lecture 14

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Abstract:

# GR for Cosmology, Achim Kempf, Fall 17, Lecture 15

Note Title

Recall: If we choose the bases  $\{\frac{\partial}{\partial x^\mu}\}$ ,  $\{dx^\mu\}$ , then:

$$\text{Eg. } L_{EM} = -\frac{1}{16\pi} F_{\mu\nu} F^{\mu\nu}$$



$$S'[g_{\mu\nu}, \Psi] = \int \left( \frac{1}{16\pi G} R(g_{\mu\nu}(x)) + L_{\text{matter}}(g_{\mu\nu}(x), \Psi^{(i)}(x), \Psi_{; \mu}^{(i)}(x)) \right) \sqrt{g} d^4x$$

$$\frac{\delta S'}{\delta \Psi^{(i)}} = 0 \quad \Rightarrow \quad \text{Eqs. of motion of matter}$$

(Maxwell, Klein Gordon eqns. etc)

$$\frac{\delta S'}{\delta g_{\mu\nu}} = 0 \quad \Rightarrow \quad \text{Einstein equations:}$$



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$$\frac{\delta S'}{\delta g_{\mu\nu}} = 0 \quad \Rightarrow \quad \text{Einstein equations:}$$

$$R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R = 8\pi G T^{\mu\nu}$$

What is the Einstein equation when using a frame so that

$$g_{\mu\nu}(x) = \eta_{\mu\nu} ?$$

Recall:

□ Frames  $\{\theta^\mu\}, \{e_\mu\}$ :

Often, one uses as the bases of  $T_p(M)$ , and  $T_p(M)$ ' the canonical bases  $\{dx^\mu\}$  and  $\{\frac{\partial}{\partial x^\mu}\}$  respectively, which suggest themselves when one chooses coordinates, say  $(x^0, \dots, x^3)$ .

Thus, when changing coordinate system,  $x \rightarrow \bar{x}$ , one also



$$g_{\mu\nu}(x) = \eta_{\mu\nu} ?$$

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Often, one uses as the bases of  $T_p(\mathcal{M})$ , and  $T_p(\mathcal{M})'$  the canonical bases  $\{dx^\mu\}$  and  $\{\frac{\partial}{\partial x^\mu}\}$  respectively, which suggest themselves when one chooses coordinates, say  $(x^0, \dots, x^3)$ .

Thus, when changing coordinate system,  $x \rightarrow \bar{x}$ , one also usually automatically changes basis in  $T_p(\mathcal{M})$ ,  $T_p(\mathcal{M})'$ .

**Important:** The **only** reason why the components of a tensor can change when we change coordinates is that we can change basis in the (co-) tangent spaces, namely from one canonical basis to another canonical basis, when we change coord. system.

Recall:  
 (a fixed vector has different coefficients in different bases:)

$$\left\{ \xi^\mu \frac{\partial}{\partial x^\mu} = \xi^\mu \frac{\partial \bar{x}^\nu}{\partial x^\mu} \frac{\partial}{\partial \bar{x}^\nu} = \bar{\xi}^\nu \frac{\partial}{\partial \bar{x}^\nu} \Rightarrow \bar{\xi}^\nu = \frac{\partial \bar{x}^\nu}{\partial x^\mu} \xi^\mu \right\}$$

$$\longrightarrow \xi = \xi^\mu \frac{\partial}{\partial x^\mu} = \bar{\xi}^\nu \frac{\partial}{\partial \bar{x}^\nu}$$

**We notice:** If we choose a fixed basis, say  $\{\theta^\mu\}, \{e_\mu\}$  then the coefficients of tensors no longer depend on the choice of coordinates!

← the same numbers in every



We notice: If we choose a fixed basis, say  $\{\theta^r\}, \{e_\mu\}$   
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 depend on the choice of coordinates!

E.g.:  $\xi = \tilde{\xi}^r e_r$  the same numbers in every  
coordinate system.

Conversely: Even staying with one coordinate system, we can freely  
 change our choice of basis in the (co-)tangent spaces:

$$\theta^r = A^r_\nu \theta^\nu$$

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↖ scalar functions.

$$e'_\mu = (A^{-1})^\nu{}_\mu e_\nu$$

So we have e.g.:

$$\xi = \xi^\mu e_\mu = \xi^\mu A^\nu{}_\mu e'_\nu = \xi'^\nu e'_\nu$$

J.e.:

$$\xi'^\nu = A^\nu{}_\mu \xi^\mu$$

Examples:  $\square$  The curvature form:  $\Omega'^\mu{}_\nu = A^\mu{}_\alpha (A^{-1})^\beta{}_\nu \Omega^{\alpha}{}_\beta$

$\square$  But: the connection form  $\omega^\mu{}_\nu(\xi) = \xi^k \Gamma^{\mu}{}_{\kappa\nu}$  obeys:



change our choice of basis in the (co-)tangent spaces:

$$\theta^{\mu'} = A^{\mu'}_{\nu} \theta^{\nu}$$

← scalar functions.

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So we have e.g.:

$$\xi = \xi^{\mu} e_{\mu} = \xi^{\mu} A^{\nu}_{\mu} e'_{\nu} = \xi'^{\nu} e'_{\nu}$$

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Examples:

□ The curvature form:  $\Omega^{\mu'}_{\nu} = A^{\mu'}_{\alpha} (A^{-1})^{\beta}_{\nu} \Omega^{\alpha}_{\beta}$

□ But: the connection form  $\omega^{\mu'}_{\nu}(\xi) = \xi^k \Gamma^{\mu'}_{\kappa\nu}$  obeys:

$$\omega^{\mu'}_{\nu} = A^{\mu'}_{\alpha} \omega^{\alpha}_{\beta} (A^{-1})^{\beta}_{\nu} - (dA)^{\mu'}_{\nu} (A^{-1})^{\nu}_{\beta}$$

## How to specify frames?

In an arbitrary coordinate system, we may specify the bases in terms of the canonical bases:

$$\theta^i(x) = A^i_j(x) dx^j$$

(Another possibility? Take  $n$  scalar functions  $f^{(1)}, \dots, f^{(n)}$  and define  $\theta^i := df^{(i)}$ . For generic functions these  $\{\theta^i\}$  will be linearly independent almost everywhere)

**Note:** the  $A^i_j(x)$  change nontrivially when changing the coordinate system!

Our choice now: orthonormal frames, or "Tetrads":

□ We say that a frame  $\{\theta^\mu\}, \{e_\nu\}$  is orthonormal if in this frame, for all  $\rho \in M$ :



## Our choice now: orthonormal frames, or "Tetrads":

□ We say that a frame  $\{\theta^r\}, \{e_\mu\}$  is orthonormal if in this frame, for all  $p \in M$ :

$$g(e_\mu, e_\nu) = \begin{pmatrix} -1 & & \\ 0 & 1 & \\ & & 1 \end{pmatrix}_{\mu,\nu} = \eta_{\mu\nu} \text{ i.e. if: } g = -\theta^0 \otimes \theta^0 + \sum_{i=1}^3 \theta^i \otimes \theta^i$$

□ Existence? Always: At each  $p \in M$  may choose e.g.  
 $\theta^r = dx^r$  where  $dx^r$  are canonical ON basis at centre of a geodesic cds.

□ Uniqueness?

## □ Uniqueness?

For a given space-time,  $(M, g)$ , any ON frame yields a new ON frame by transforming the bases through

$$\theta'^{\mu}(x) = \Lambda(x)^{\mu}_{\nu} \theta^{\nu}(x),$$

if the linear maps  $\Lambda(x)$  preserve the ortho normality:

$$\eta_{\mu\nu} \theta'^{\mu} \otimes \theta'^{\nu} = \eta_{ab} \theta^a \otimes \theta^b$$

i.e. if:  $\Lambda^{\mu}_a \Lambda^{\nu}_b \eta_{\mu\nu} = \eta_{ab}$

recall: this is the defining equation for Lorentz transformations.

(\*)

$\Rightarrow$  Frames are unique up to local Lorentz transformations.



## Re-express the degrees of freedom:

- We used to specify space-times through these data:  $(\mathcal{M}, g)$
- Now, let us specify space-times, **equivalently**, through data  $(\mathcal{M}, \{\theta^i\})$ :

Namely:

Assume the  $\{\theta^i\}$  are given w. resp. to a basis  $\{dx^\mu\}$ .

through functions  $A^\mu_\nu$ ,

$$\theta^\mu(x) = A^\mu_\nu(x) dx^\nu$$

.. .  $(-1, 0)$  .. .  $(1, 1)$

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Namely:

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$$\theta^i(x) = A^\mu_\nu(x) dx^\nu$$

so that:  $g_{\mu\nu} = \begin{pmatrix} -1 & & 0 \\ & 1 & \\ 0 & & 0 \end{pmatrix} = \eta_{\mu\nu}$  in the basis  $\{\theta^i\}$ !



Notice: knowing the  $A^\mu_\nu(x)$ , we can reconstruct  $g_{\mu\nu}(x)$  in basis  $\{dx^\mu\}$ :

We use that the abstract  $g$  is the same in every basis:

$$g = \underbrace{\eta_{\mu\nu}}_{\text{because it's tetrad}} \theta^\mu \otimes \theta^\nu = \eta_{\mu\nu} \overbrace{A^\mu_a A^\nu_b} = g_{ab}(x) dx^a \otimes dx^b = g_{\mu\nu}(x) dx^\mu \otimes dx^\nu$$

$\Rightarrow$

$$g_{ab}(x) = \eta_{\mu\nu} A^\mu_a(x) A^\nu_b(x)$$

$\Rightarrow$

$\{\theta^i(x)\}$  indeed determines  $g_{\mu\nu}(x)$ :

We use that the abstract  $g$  is the same in every basis:

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$\Rightarrow$

$$g_{ab}(x) = \eta_{\mu\nu} A^\mu_a(x) A^\nu_b(x)$$

$\Rightarrow$

$\{\theta^i(x)\}$  indeed determines  $g_{\mu\nu}(x)$ :

$\Rightarrow$

The  $A^\mu_\nu(x)$  carry all physical (here shape) info!



How then does  $A^i_j(x)$  encode  $C^i_{jk}$ ,  $\omega^i_j$ ,  $\Omega^i_j$ ?

□ Start with orthonormal frame:  $\theta^i(x) = A^i_j(x) dx^j$  (\*)

1.) How do the  $A^i_j(x)$  determine the  $C^i_{jk}(x)$ ?

Recall from lecture 11:

$$d\theta^i(x) = -\frac{1}{2} C^i_{jk}(x) \theta^j(x) \wedge \theta^k(x)$$

$$\begin{aligned} \text{Here: } d\theta^i(x) &= A^i_{j,k}(x) dx^k \wedge dx^j \quad \text{because of (*)} \\ &= -\frac{1}{2} C^i_{ab} \theta^a \wedge \theta^b = -\frac{1}{2} C^i_{ab} A^a_k A^b_j dx^k \wedge dx^j \end{aligned}$$

$$\Rightarrow A^i_{j,k} = -\frac{1}{2} C^i_{ab} A^a_k A^b_j$$

□ Start with orthonormal frame:  $\theta^i(x) = A^i_j(x) dx^j$  (\*)

1.) How do the  $A^i_j(x)$  determine the  $C^i_{jk}(x)$ ?

Recall from lecture 11:

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Here:  $d\theta^i(x) = A^i_{j,k}(x) dx^k \wedge dx^j$  because of (\*)

$$= -\frac{1}{2} C^i_{ab} \theta^a \wedge \theta^b = -\frac{1}{2} C^i_{ab} A^a_k A^b_j dx^k \wedge dx^j$$

$$\Rightarrow A^i_{j,k} = -\frac{1}{2} C^i_{ab} A^a_k A^b_j$$

$$\Rightarrow C^i_{ab}(x) = -2 A^i_{j,k}(x) (A^{-1}(x))^j_k (A^{-1}(x))^k_b$$



$$\Rightarrow C_{ab}^i(x) = -2 A_{j,k}^i(x) (A^{-1}(x))^j_k (A^{-1}(x))^k_b$$

2.) The  $C_{jk}^i(x)$  yield the  $\Gamma_{jk}^i(x)$  through:

$$\Gamma_{ki}^l := \frac{1}{2} \left( C_{ki}^l - g_{is} g^{lj} C_{kj}^s - g_{ks} g^{lj} C_{ij}^s \right) \quad (\text{lecture 11})$$

$$+ \frac{1}{2} g^{lj} \left( \cancel{g_{jik} + g_{jki} - g_{kij}} \right) \quad \leftarrow \text{These all vanish because } g_{\mu\nu} = -g_{\nu\mu}$$

Notice: This simplifies for orthonormal frames with  $g_{\mu\nu}(x) = \eta_{\mu\nu}$ !

3.) The  $\Gamma_{kj}^i(x)$  yield the  $\omega_j^i(x)$ :

$$\omega_j^i(x) := \Gamma_{kj}^i(x) \theta^k(x)$$

$$\Gamma^{\ell}_{ki} := \frac{1}{2} \left( C^{\ell}_{ki} - g^{is} g^{\ell j} C^s_{kj} - g^{ks} g^{\ell j} C^s_{ij} \right) \quad (\text{Lecture 11})$$

$$+ \frac{1}{2} g^{\ell j} (g_{ij,k} + g_{jk,i} - g_{ki,j}) \quad \leftarrow \text{These all vanish because } g_{\mu\nu} = 0 \text{ now}$$

Notice: This simplifies for orthonormal frames with  $g_{\mu\nu}(x) = \eta_{\mu\nu}$ !

3.) The  $\Gamma^i_{kj}(x)$  yield the  $\omega^i_j(x)$ :

$$\omega^i_j(x) := \Gamma^i_{kj}(x) \theta^k(x)$$

4.) Recall the 2<sup>nd</sup> structure equation:

$$\Omega^i_j(x) := d\omega^i_j + \omega^i_k \wedge \omega^k_j$$

$\Rightarrow$  We have:  $A^i_j \rightarrow \theta^i \rightarrow C^i_{jk} \rightarrow \Gamma^i_{jk} \rightarrow \omega^i_j \rightarrow \Omega^i_j$



## Recall important identities: (torsionless case)

□ Structure eqn. I:

$$\Theta^i = D\theta^i = d\theta^i + \omega^i_{j;1} \theta^j = 0$$

□ Structure eqn II:

$$\Omega^i_{j;1} = d\omega^i_{j;1} + \omega^i_{k;1} \wedge \omega^k_{j;1}$$

□ Bianchi identity I:

$$\Omega^i_{j;1} \wedge \theta^j = 0$$

□ Bianchi identity II:

$$D\Omega^i_{j;1} = 0$$

↑ (Ordinarily:  $\theta^i = dx^i \Rightarrow d\theta^i = 0$   
and  $\omega^i_{j;1} \wedge \theta^j = 0$  is  $\Gamma^i_{jk} = \Gamma^i_{kj}$ )

↑ (Recall:  $R^i_{jkl} = \Gamma^i_{jk,l} - \Gamma^i_{jl,k} + \Gamma^m_{jk} \Gamma^i_{ml} - \Gamma^m_{jl} \Gamma^i_{mk}$ )

↘ (From diffeomorphism invariance)

And, in the case of ON frames:

□ Structure eqn. I:

$$\Theta^i = D\theta^i = d\theta^i + \omega^i_j \wedge \theta^j = 0$$

□ Structure eqn II:

$$\Omega^i_j = d\omega^i_j + \omega^i_k \wedge \omega^k_j$$

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and  $\omega^i_j \wedge \theta^j = 0$  is  $\Gamma^i_{jk} = \Gamma^i_{kj}$ )

← (Recall:  $R^i_{...} = \Gamma^i_{...} + \Gamma^i_{...} + \Gamma^i_{...} + \Gamma^i_{...}$ )

↘ (From diffeomorphism invariance)

And, in the case of ON frames:

$$\omega_{\mu\nu} + \omega_{\nu\mu} = 0$$



## Tetrad formulation of GR:

Consider the action, for now, without cosmological constant and without matter:

$$S_{\text{grav}} = \frac{1}{16\pi G} \int_B R \sqrt{g} d^4x$$

0-form

Recall Hodge \*:  $\int \nu = \frac{1}{p!} \nu_{i_1 \dots i_p} \theta^{i_1} \wedge \dots \wedge \theta^{i_p}$

then  $*\nu = \frac{1}{p!} \sqrt{g} \epsilon_{i_1 \dots i_n} \nu^{i_1 \dots i_p} \theta^{i_{p+1}} \wedge \dots \wedge \theta^{i_n}$

= ±1, totally anti-symmetric

i.e.  $*: \Lambda^p \rightarrow \Lambda^{n-p}$

Thus:

$$S' = \frac{1}{16\pi G} \int *R$$

Consider the action, for now, without cosmological constant and without matter:

$$I'_{\text{grav}} = \frac{1}{16\pi G} \int_B R \sqrt{g} d^4x$$

0-form

Recall Hodge \*:  $\int \nu = \frac{1}{p!} \nu_{i_1 \dots i_p} \theta^{i_1} \wedge \dots \wedge \theta^{i_p}$

then  $*\nu = \frac{1}{p!} \sqrt{g} \epsilon_{i_1 \dots i_n} \nu^{i_1 \dots i_p} \theta^{i_{p+1}} \wedge \dots \wedge \theta^{i_n}$

= ±1, totally anti-symmetric

i.e.  $*: \Lambda^p \rightarrow \Lambda^{n-p}$

Thus:

$$I'_{\text{grav}} = \frac{1}{16\pi G} \int_B \underbrace{*R}_{4\text{-form}}$$



Aim now: Re-express  $S'_{\mu\nu}$  in terms of  $\theta^\mu$  and  $\Omega^{\mu\nu}$ .

□ Define:

“capital  $\eta$ ” is a  $(0,2)$  tensor-valued 2-form

$$H_{\mu\nu} := *(\theta^\mu \wedge \theta^\nu) = \frac{1}{2} \overset{1}{V} \overset{1}{g} \epsilon_{\mu\nu\gamma\delta} \theta^\gamma \wedge \theta^\delta$$

$$H_{\mu\nu\gamma} := *(\theta^\mu \wedge \theta^\nu \wedge \theta^\gamma) = \frac{1}{2} \overset{1}{V} \overset{1}{g} \epsilon_{\mu\nu\gamma\delta} \theta^\delta$$

↑ a  $(0,3)$  tensor-valued 1-form.

□ Proposition:

$$*R = H_{\mu\nu} \wedge \Omega^{\mu\nu} \quad \left( \begin{array}{l} \text{it is a } (0,0) \text{ tensor-valued} \\ \text{4-form} \end{array} \right)$$

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$$H_{\mu\nu} := *(\theta^\mu \wedge \theta^\nu) = \frac{1}{2} \sqrt{\frac{1}{g}} \epsilon_{\mu\nu\gamma\delta} \theta^\gamma \wedge \theta^\delta$$

$$H_{\mu\nu\lambda} := *(\theta^\mu \wedge \theta^\nu \wedge \theta^\lambda) = \frac{1}{2} \sqrt{\frac{1}{g}} \epsilon_{\mu\nu\lambda\delta} \theta^\delta$$

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□ Proposition:

$$*R = H_{\mu\nu} \wedge \Omega^{\mu\nu} \quad \left( \begin{array}{l} \text{it is a } (0,0) \text{ tensor-valued} \\ \text{4-form} \end{array} \right)$$

i.e.:

$$\int_{\text{man}} (\theta^r) = \int H_{\mu\nu} \wedge \Omega^{\mu\nu}$$



$$\text{i.e.: } \int_{\text{man}} (\theta^r) = \int H_{\mu\nu} \wedge \Omega^{\mu\nu}$$

□ Proof:

$$\text{Use } \Omega^{\mu\nu} = \frac{1}{2} R^{\mu\nu}{}_{\kappa\lambda} \theta^{\kappa} \wedge \theta^{\lambda} \Rightarrow$$

$$H_{\mu\nu} \wedge \Omega^{\mu\nu} = \frac{1}{2 \cdot 2} \epsilon_{\mu\nu\gamma\delta} R^{\mu\nu}{}_{\kappa\lambda} \underbrace{\theta^{\mu} \wedge \theta^{\nu} \wedge \theta^{\kappa} \wedge \theta^{\lambda}}_{\epsilon_{\gamma\delta\kappa\lambda} \theta^{\mu} \otimes \theta^{\nu} \otimes \theta^{\kappa} \otimes \theta^{\lambda}}$$

$$\text{Use also: } \epsilon_{\mu\nu\gamma\delta} \epsilon_{\gamma\delta\kappa\lambda} = 2(\delta_{\nu\mu} \delta_{\lambda\gamma} - \delta_{\nu\gamma} \delta_{\lambda\mu}) \Rightarrow$$

□ Proof:

$$\text{Use } \Omega^\mu{}_\nu = \frac{1}{2} R^\mu{}_{\nu\kappa\lambda} \theta^\kappa \wedge \theta^\lambda \Rightarrow$$

$$H_{\mu\nu} \wedge \Omega^{\mu\nu} = \frac{1}{2 \cdot 2} \epsilon_{\mu\nu\gamma\delta} R^{\mu\nu}{}_{\kappa\lambda} \underbrace{\theta^\gamma \wedge \theta^\delta \wedge \theta^\kappa \wedge \theta^\lambda}_{\epsilon_{\gamma\delta\kappa\lambda} \theta^\gamma \otimes \theta^\delta \otimes \theta^\kappa \otimes \theta^\lambda}$$

$$\text{Use also: } \epsilon_{\mu\nu\gamma\delta} \epsilon_{\gamma\delta\kappa\lambda} = 2(\delta_{\nu\kappa} \delta_{\lambda\mu} - \delta_{\nu\lambda} \delta_{\kappa\mu}) \Rightarrow$$

$$H_{\mu\nu} \wedge \Omega^{\mu\nu} = \frac{4}{4} R^{\mu\nu}{}_{\mu\nu} \theta^1 \wedge \theta^2 \wedge \theta^3 \wedge \theta^4 = *R \quad \checkmark$$

(need later for derivation of the Einstein equation)



□ Proposition:  $DH_{\mu\nu} = 0$

constant because ON basis

Recall the "first structure equation":  $D\theta^i = 0$





Use  $\Omega^\nu = \frac{1}{2} R^\nu{}_{\kappa\lambda} \theta^\kappa \wedge \theta^\lambda \Rightarrow$

$$H_{\mu\nu} \wedge \Omega^{\mu\nu} = \frac{1}{2 \cdot 2} \epsilon_{\mu\nu\gamma\delta} R^{\mu\nu}{}_{\kappa\lambda} \underbrace{\theta^\kappa \wedge \theta^\lambda \wedge \theta^\gamma \wedge \theta^\delta}_{\epsilon_{\gamma\delta\kappa\lambda} \theta^\kappa \otimes \theta^\delta \otimes \theta^\lambda \otimes \theta^\gamma}$$

Use also:  $\epsilon_{\mu\nu\gamma\delta} \epsilon_{\gamma\delta\kappa\lambda} = 2(\delta_{\kappa\mu} \delta_{\lambda\nu} - \delta_{\kappa\nu} \delta_{\lambda\mu}) \Rightarrow$

$$H_{\mu\nu} \wedge \Omega^{\mu\nu} = \frac{4}{4} R^{\mu\nu}{}_{\mu\nu} \theta^1 \wedge \theta^2 \wedge \theta^3 \wedge \theta^4 = *R \checkmark$$

(need later for derivation of the Einstein equation)

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constant because ON basis

Recall the "first structure equation":  $D\theta^a = 0$

△ Proof:  $DH_{\mu\nu} = D\left(\frac{1}{2} \underbrace{\epsilon_{\mu\nu\sigma\tau}}_1 \theta^\sigma \wedge \theta^\tau\right) = \frac{1}{2} \epsilon_{\mu\nu\sigma\tau} (D\theta^\sigma \wedge \theta^\tau + \theta^\sigma \wedge D\theta^\tau)$

## The main proposition:

variation, not co-derivative



Variation of the action with respect to  $\delta\theta^\mu(x)$  yields:  
i.e., we vary the  $A^\mu_\nu(x)$  by local Lorentz transformations

$$\delta(*R) = (\delta\theta^\mu) \wedge H_{\mu\nu\sigma} \wedge \Omega^{\nu\sigma} + d(\text{something})$$

Stokes:

$$\int_B df = \int_{\partial B} f$$



## It implies:

$$16\pi G \delta S'_{\text{grav}} = \int_B \delta\theta^\mu \wedge H_{\mu\nu\sigma} \wedge \Omega^{\nu\sigma} + \int_{\partial B} (\text{something})$$

↑ require variation to vanish at boundary  $\partial B$ ,  
so: = 0

Definition: The "energy-momentum 1-form"  $T_\nu$  is defined as the solution to:

$$\delta S_{\nu} =: (\delta\theta^\mu \wedge (*T_\nu))$$



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Definition: The "energy-momentum 1-form"  $T_\mu$  is defined as the solution to:

$$\delta S_{\text{matter}} =: \int_B \delta\theta^\mu \wedge (*T_\mu)$$

⇒ The equation of motion, i.e., the Einstein equation,

$$\delta S_{\text{matter}} =: \int_B \delta \theta^\rho{}_\lambda (*T)_\rho{}^\lambda$$

⇒ The equation of motion, i.e., the Einstein equation,

$$\frac{\delta(S_{\text{grav}} + S_{\text{matter}})}{\delta \theta^\rho{}_\lambda} = 0$$

becomes:

$$-\frac{1}{2} H_{\mu\nu\sigma}{}^\lambda \Omega^{\nu\sigma} = 8\pi G *T_\mu{}^\lambda$$



$$16\pi G \delta S'_{\text{grav}} = \int_B \delta\theta^\mu \wedge H_{\mu\nu\sigma} \wedge \Omega^{\nu\sigma} + \int_{\partial B} (\text{something})$$

$\leftarrow$  require variation to vanish at boundary  $\partial B$ ,  
 so:  $= 0$

Definition: The "energy-momentum 1-form"  $T_\mu$  is defined as the solution to:

$$\delta S_{\text{matter}} =: \int_B \delta\theta^\mu \wedge (*T_\mu)$$

$\Rightarrow$  The equation of motion, i.e., the Einstein equation,

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$$\frac{\delta(S_{\text{grav}} + S_{\text{matter}})}{\delta\theta^r} = 0$$

becomes:

$$-\frac{1}{2} H_{\mu\nu} \wedge \Omega^{\nu\rho} = 8\pi G *T_{\mu}$$

Exercise: add the cosmological constant.

Remark: The Einstein form  $G_{\mu} := G_{\mu\nu} \theta^{\nu}$  obeys  
 $*G_{\mu} = -\frac{1}{2} H_{\mu\nu} \wedge \Omega^{\nu\rho}$  (It is a (0,1) tensor-valued 1-form)



$$\frac{\delta(S_{\text{grav}} + S_{\text{matter}})}{\delta\theta^r} = 0$$

becomes:

$$-\frac{1}{2} H_{\mu\nu\sigma} \wedge \Omega^{\nu\sigma} = 8\pi G *T_\mu$$

Exercise: add the cosmological constant.

Remark: The Einstein form  $G_\mu := G_{\mu\nu} \theta^\nu$  obeys

$$*G_\mu = -\frac{1}{2} H_{\mu\nu\sigma} \wedge \Omega^{\nu\sigma}$$

$\Rightarrow$

$$G_\mu = 8\pi G T_\mu$$

(It is a (0,1) tensor-valued 1-form)

Proof of the main proposition:

$$\delta(*R) = (\delta\theta^\mu) \wedge H_{\mu\nu\sigma} \wedge \Omega^{\nu\sigma} + d(\text{something})$$

Indeed:

$$\delta(*R) = (\delta H_{\mu\nu}) \wedge \Omega^{\mu\nu} + H_{\mu\nu} \wedge \delta\Omega^{\mu\nu}$$

Consider the first term:

$$\delta H_{\mu\nu} = \delta \overbrace{\frac{1}{2} \sqrt{g}}^{\text{const.}} \varepsilon_{\mu\nu\sigma\alpha} \theta^\sigma \wedge \theta^\alpha$$

$$= (\delta\theta^\mu) \wedge H_{\mu\nu\sigma}$$

by definition of  $H_{\mu\nu\sigma}$  above:

$$H_{\mu\nu\sigma} := \frac{1}{2} \sqrt{g} \varepsilon_{\mu\nu\sigma\alpha} \theta^\alpha$$

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$$\Rightarrow \delta(*R) = (\delta \theta^\mu) \wedge H_{\mu\nu\sigma} \wedge \Omega^{\nu\sigma} + \underbrace{H_{\mu\nu} \wedge \delta \Omega^{\mu\nu}}_{\text{examine this term:}}$$

2nd structure equation

$$\Rightarrow \delta(*R) = (\delta\theta^\mu) \wedge H_{\mu\nu\rho} \wedge \Omega^{\nu\rho} + \underbrace{H_{\mu\nu} \wedge \delta\Omega^{\mu\nu}}_{\text{examine this term:}}$$

$$\delta\Omega^{\mu\nu} \stackrel{\text{2nd structure equation}}{=} \delta(d\omega^{\mu\nu} + \omega^\mu{}_\rho \wedge \omega^{\rho\nu})$$

$$= d\delta\omega^{\mu\nu} + (\delta\omega^\mu{}_\rho) \wedge \omega^{\rho\nu} + \omega^\mu{}_\rho \wedge \delta\omega^{\rho\nu}$$

$$\Rightarrow H_{\mu\nu} \wedge \delta\Omega^{\mu\nu} = d(H_{\mu\nu} \wedge \delta\omega^{\mu\nu}) - (dH_{\mu\nu}) \wedge \delta\omega^{\mu\nu} + H_{\mu\nu} \wedge \delta\omega^\mu{}_\rho \wedge \omega^{\rho\nu} + H_{\mu\nu} \wedge \omega^\mu{}_\rho \wedge \delta\omega^{\rho\nu}$$

$$\stackrel{\text{by Def. of } D}{=} (\delta\omega^{\mu\nu}) \wedge D H_{\mu\nu} + d(H_{\mu\nu} \wedge \delta\omega^{\mu\nu})$$



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$$\stackrel{\text{by Def. of } D}{=} (\delta\omega^{\mu\nu}) \wedge \underbrace{D H_{\mu\nu}} + d(H_{\mu\nu} \wedge \delta\omega^{\mu\nu})$$

recall:  $= 0$   
by Prop. above.

$\Rightarrow$  Indeed:

$$\delta(*R) = (\delta\theta^{\mu}) \wedge H_{\mu\nu\rho} \wedge \Omega^{\nu\rho} + d(H_{\mu\nu} \wedge \delta\omega^{\mu\nu}) \quad \checkmark$$

## General Relativity as a "gauge theory"

Recall:

$$\int_{\text{grav}} (\theta^r) = \int H_{\mu\nu} \wedge \Omega^{\mu\nu} \quad \text{Einstein action}$$

$$-\frac{1}{2} H_{\mu\nu\sigma} \wedge \Omega^{\nu\sigma} = 8\pi G *T_\mu \quad \text{Einstein equation}$$

are now the same in all coordinate systems.

In addition:

They are the same also with any choice of ON bases in the tangent spaces, i.e., we have a local symmetry under:

$$\theta^r(x) \rightarrow \tilde{\theta}^r(x) = A^r{}_\nu(x) \theta^\nu(x)$$



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$$\theta^\mu(x) \rightarrow \tilde{\theta}^\mu(x) = A^\mu{}_\nu(x) \theta^\nu(x)$$

The  $A^\mu{}_\nu(x)$  are local Lorentz transformations.

Upshot:  $\square$  We can start with any matter theory that is invariant under global Lorentz transformations and, through general relativity, turn it into a theory that is invariant under local Lorentz transformations.

$\square$  Thereby:  
Derivatives become covariant derivatives.  
A new field is introduced: gravity's  $\Gamma$ .

$\rightsquigarrow$  This is analogous to the gauge principle of particle physics:

$\square$  A global symmetry is "gauged" to become local.



## The gauge principle:

Action for a Dirac field (electrons, quarks etc):

$$S'[\psi] = \int \bar{\psi} (i \gamma^\mu \partial_\mu - m) \psi d^4x$$

It has a global symmetry:

$$\psi(x) \rightarrow \tilde{\psi}(x) := e^{i\alpha} \psi(x), \text{ i.e., } \bar{\psi}(x) \rightarrow \bar{\tilde{\psi}}(x) = e^{-i\alpha} \bar{\psi}(x)$$

$$\Rightarrow S'[\psi] \rightarrow S'[\tilde{\psi}] = S'[\psi]$$

However, no local symmetry:

$$\psi(x) \rightarrow \tilde{\psi}(x) := e^{i\alpha(x)} \psi(x) \quad \bar{\psi}(x) \rightarrow \bar{\tilde{\psi}}(x) = e^{-i\alpha(x)} \bar{\psi}(x)$$

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$$S'[\psi] \rightarrow S'[\tilde{\psi}] \neq S'[\psi] !$$



Gauge principle: Introduce a new field  $A_\mu(x)$  that transforms so as to absorb the extra term:

$$S'[\psi, A] := \int \bar{\psi}(x) \underbrace{\left( i\gamma^\mu (\partial_\mu + iA_\mu(x)) - m \right)}_{\text{"covariant derivative"}} \psi(x) d^4x$$

Now under

$$\psi(x) \rightarrow \tilde{\psi}(x) := e^{i\alpha(x)} \psi(x)$$

$$A_\mu(x) \rightarrow \tilde{A}_\mu(x) := A_\mu(x) - i\partial_\mu \alpha(x)$$

the action obeys:

$$S'[\psi, A] \rightarrow S'[\tilde{\psi}, \tilde{A}]$$

$$= \int \bar{\psi}(x) e^{-i\alpha(x)} \left( i\gamma^\mu (\partial_\mu + iA_\mu - i\partial_\mu \alpha) - m \right) e^{i\alpha(x)} \psi(x) d^4x$$

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$$= S'[\psi, A]$$



## Generalization to Yang-Mills theory

Gauging  $\psi(x) \rightarrow e^{i\alpha(x)} \psi(x)$  introduced  $A_\mu(x)$ .

and  $A_\mu(x)$  turns out to exist: The EM 4-potential.

We "derived" the electromagnetic force!

Notice:  $e^{i\alpha(x)} \in U(1)$

$$U(1) = \{ G \in \mathbb{C} \mid G^\dagger = G^{-1} \}$$

Now give the Dirac particles an extra index (isospin bundle)

$$S'[\Psi] = \int \bar{\Psi}_a \left( i \gamma^\mu \delta_{ab} \partial_\mu - m \delta_{ab} \right) \Psi_b d^4x \quad \left( \sum_{a,b} \text{implied} \right)$$

It's invariant under:

$$\Psi_a(x) \rightarrow G_{ab} \Psi_b(x)$$

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$$SU(N) = \left\{ G \in \mathcal{M}_n(\mathbb{C}) \mid G^\dagger = G^{-1}, \det(G) = 1 \right\}$$

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$$S'[\psi] = \int \bar{\psi}_a \left( i \gamma^\mu \underbrace{\left( \delta_{ab} \partial_\mu + i B_\mu(x) T_{ab} \right)}_{\text{"covariant derivative"}} - m \delta_{ab} \right) \psi_b d^4x$$

and  $B_\mu(x)_r \rightarrow \tilde{B}_\mu(x)_r = B_\mu(x)_r + \text{complicated}$

Here:  $T_{ab} \in su(N)$  are a Lie algebra basis, i.e. they are generators of infinitesimal  $SU(N)$  transformations.

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Upshot:  $\square$   $N=2$  Weak force (though mixed with  $N=1 EM$ )  
 $\square$   $N=3$  Strong force QCD.



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The  $A^\mu{}_\nu(x)$  are local Lorentz transformations.

Our covariant derivative:

$$\nabla_{e_\mu} (v^\nu(x) e_\nu) = \left( \frac{\partial}{\partial x^\mu} v^\nu(x) \right) e_\nu + v^\nu(x) \underbrace{\omega^\rho{}_\nu(e_\mu)}_{\omega^\rho{}_\nu(e_\mu)} e_\rho$$

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Do the  $\omega^\sigma{}_\nu$  indeed generate infinitesimal Lorentz transformations?

Plays rôle of  $A_\mu, B_\mu$  but is now gravity!

→ Interpretation of the connection in ON frames:

Q: The connection 1-forms  $\omega^\mu{}_\nu$  are not, we know, tensorial 1-forms. Why do they take their values?



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**A:** The connection 1-forms take values in the set of infinitesimal Lorentz transformations

Intuition?

The connection yields the change under infinitesimal parallel transport - and parallel transport preserves the metric, i.e. it preserves the lengths of vectors, i.e. the change can only be an infinitesimal "rotation", i.e. an infinitesimal Lorentz transformation.



Recall: "Lorentz transformations  $\Lambda_a^\mu$ " are lin. maps obeying:

$$\Lambda_a^\mu \Lambda_b^\nu \eta_{\mu\nu} = \eta_{ab}$$

$\Rightarrow$  Infinitesimal Lorentz transformations

$$\Lambda_a^\mu = \delta_a^\mu + \varepsilon_a^\mu \quad \text{with } (\varepsilon_a^\mu)^2 = 0$$

obey:

$$(\delta_a^\mu + \varepsilon_a^\mu)(\delta_b^\nu + \varepsilon_b^\nu) \eta_{\mu\nu} = \eta_{ab}$$

$$\text{i.e.: } \varepsilon_a^\mu \eta_{\mu b} + \varepsilon_b^\nu \eta_{a\nu} = 0$$

$\Rightarrow$  Infinitesimal Lorentz transformations "JLT" are given by

$$\text{all } \Lambda_a^\mu = \delta_a^\mu + \varepsilon_a^\mu \text{ which obey: } \boxed{\varepsilon_{ba} + \varepsilon_{ab} = 0}$$

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Q: Are connection 1-forms JLT-valued?

Proposition:

In orthonormal frames, the 1-form  $\omega_{\mu\nu}$  obeys

$$\omega_{\mu\nu} + \omega_{\nu\mu} = 0$$

i.e. it takes values that are infinitesimal Lorentz transformations.

Proof:

□ Recall: Absolute exterior derivative: (an anti-derivation)

$$Dt^{a\dots b}_{c\dots d} = dt^{a\dots b}_{c\dots d} + \omega^a_i t^{i\dots b}_{c\dots d} + \dots - \omega^i_c t^{a\dots b}_{i\dots d} - \dots$$



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↑ any tensor-valued differential form.
↑ play the role of the  $\Gamma^a_{bc}$

Thus:

$$0 = \nabla g_{\mu\nu} = Dg_{\mu\nu} = dg_{\mu\nu} - \omega^i_\mu \wedge g_{i\nu} - \omega^i_\nu \wedge g_{\mu i}$$

(0,2) tensor-valued 0-form
= 0 because  $g_{\mu\nu} = \eta_{\mu\nu} = \text{const}$

can drop the  $\wedge$  because  $g$  is a 0-form.

Recall that by using a tetrad, we achieved that  $g_{\mu\nu} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} = \eta_{\mu\nu}$

$$\omega_{\mu\nu} + \omega_{\nu\mu} = 0$$

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i.e.  $0 = \omega_{\nu\mu} + \omega_{\mu\nu} \checkmark$

Recall that by using a tetrad, we achieved that  $g_{\mu\nu} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} = \eta_{\mu\nu}$  everywhere!