

Title: Frobenius algebras, Hopf algebras and 3-categories

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Abstract: It is well known that commutative Frobenius algebras can be represented as topological surfaces, using the graphical calculus of dualizable objects in monoidal 2-categories. We build on related ideas to show that the interacting Frobenius algebras of Duncan and Dunne, which have a Hopf algebra structure, arise naturally in a similar way, by requiring a single 3-morphism in a 3-category to be invertible. We show that this gives a purely geometrical proof of Mueger's version of Tannakian reconstruction of Hopf algebras from fusion categories equipped with a fibre functor. We also relate our results to the theory of lattice code surgery.



# Frobenius algebras, Hopf algebras and 3-categories

David Reutter

University of Oxford

Hopf algebras in Kitaev's quantum double models  
Perimeter Institute, Canada

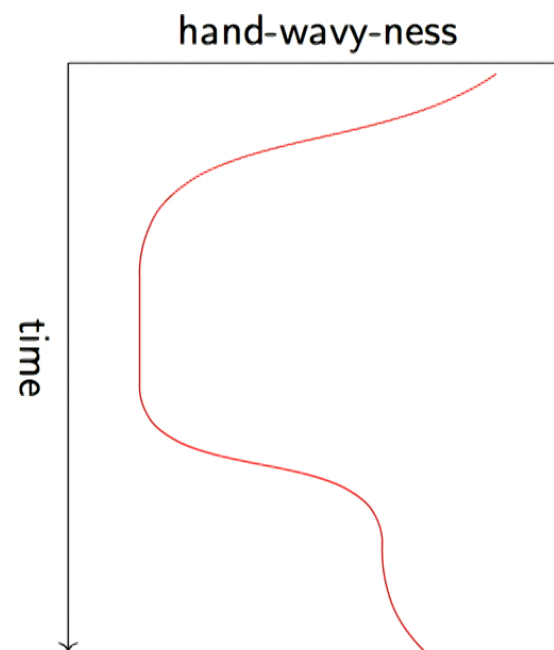
August 3, 2017

## The plan

- **Part 1.** Motivation
- **Part 2.** 2-categories
- **Part 3.** 3-categories
- **Part 4.** Hopf algebras
- **Part 5.** Higher linear algebra
- **Part 6.** Lattice models

## The plan

- **Part 1.** Motivation
- **Part 2.** 2-categories
- **Part 3.** 3-categories
- **Part 4.** Hopf algebras
- **Part 5.** Higher linear algebra
- **Part 6.** Lattice models



# Part 1

## Motivation

David Reutter

Hopf algebras and 3-categories

August 3, 2017

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## What is higher algebra?

- Ordinary algebra lets us compose along a line:

$$xy^2zyx^3$$

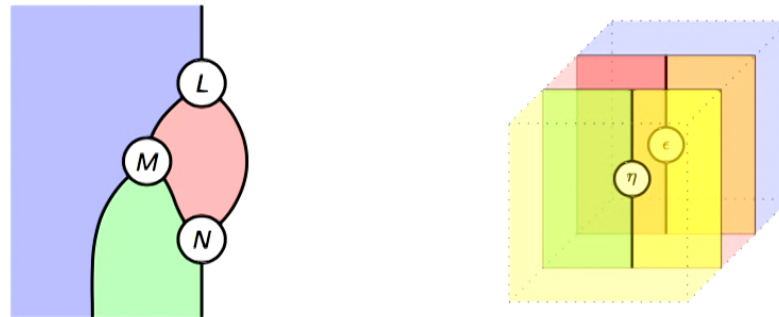


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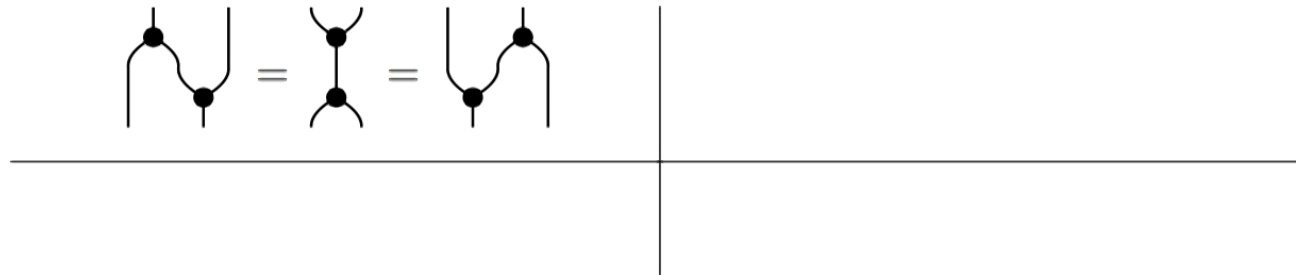
$$xy^2zyx^3$$

- *Higher algebra* lets us compose in higher dimensions:



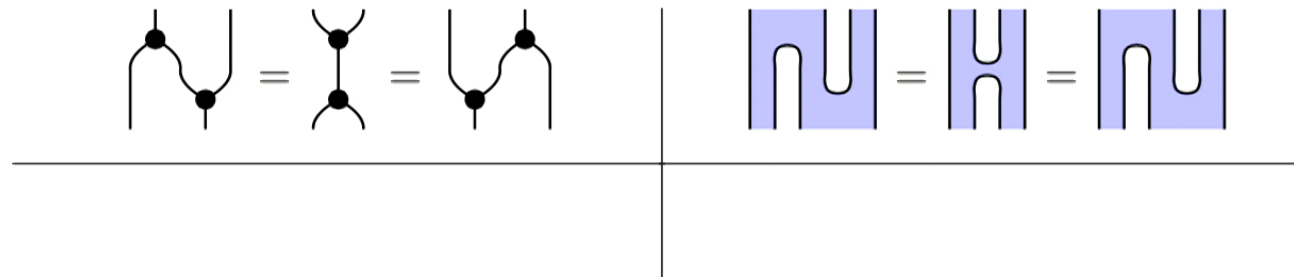
## A tradeoff between algebra and topology

Frobenius algebras



## A tradeoff between algebra and topology

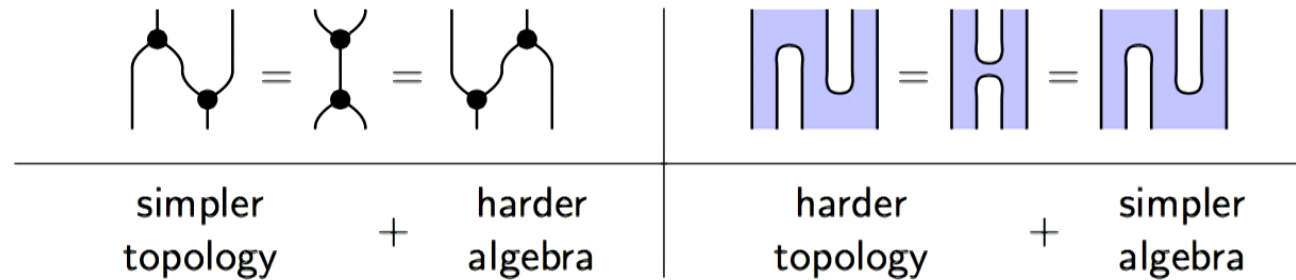
Frobenius algebras as a 'shadow' of a two dimensional theory.





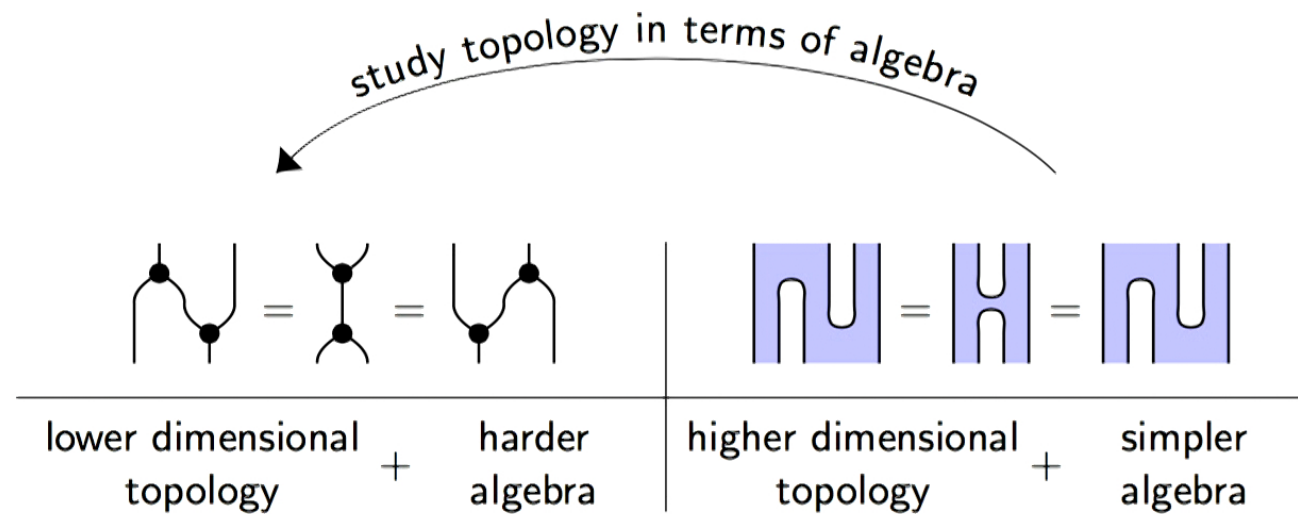
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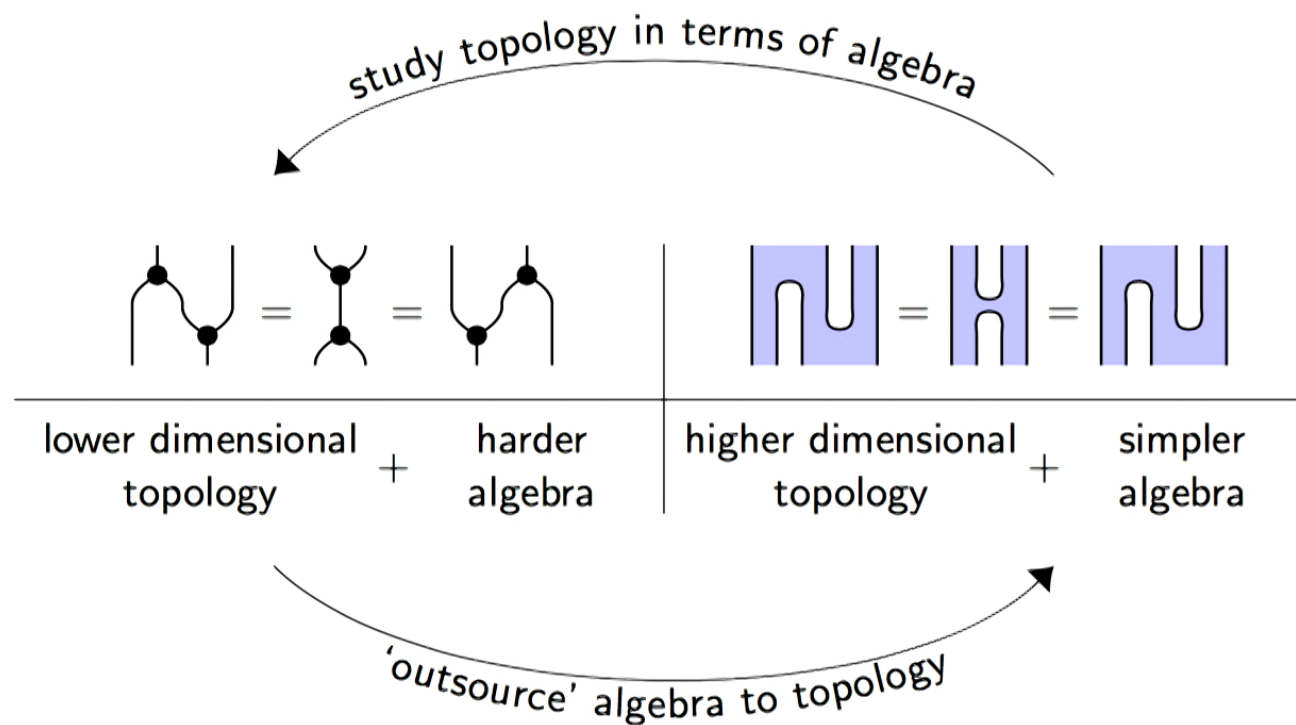
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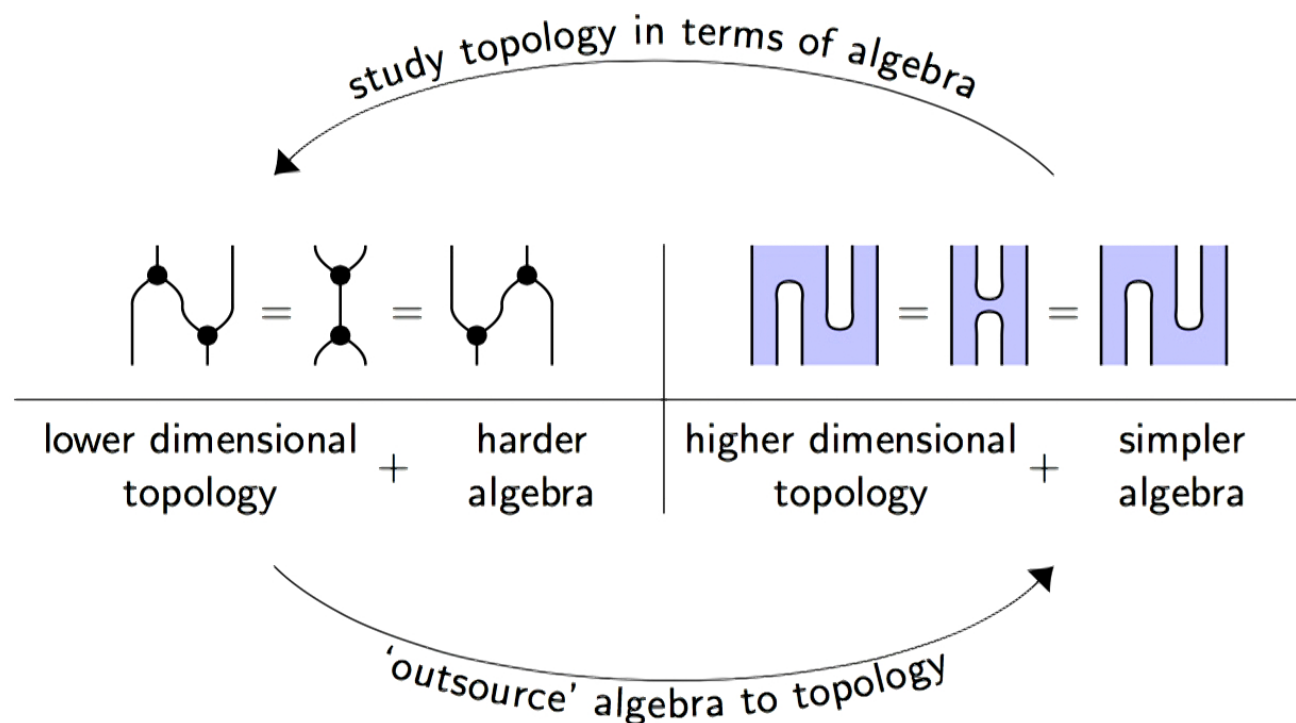
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Next hour: Hopf algebras as a 'shadow' of a three dimensional theory.

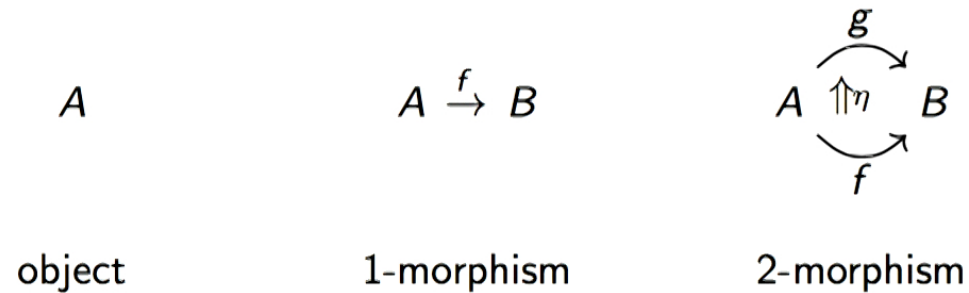


# Part 2

## 2-categories

## Algebra in the plane = 2-category theory

The language describing algebra in the plane is *2-category theory*:



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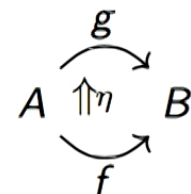
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$A$

object

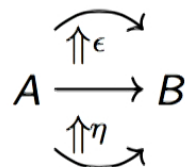
$A \xrightarrow{f} B$

1-morphism

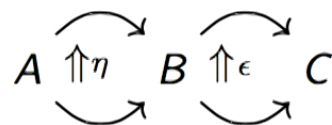


2-morphism

We can compose 2-morphisms like this:



vertical composition

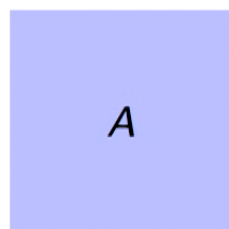


horizontal composition

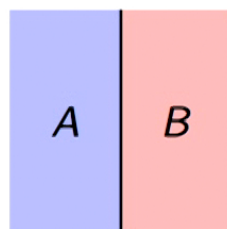
These are *pasting diagrams*.

## Algebra in the plane = 2-category theory

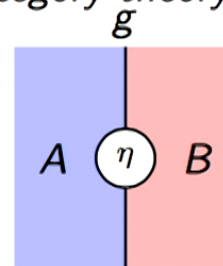
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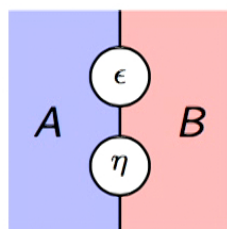


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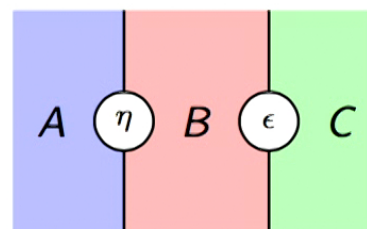


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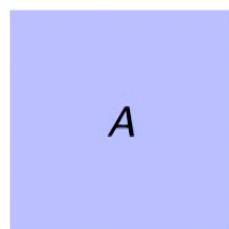
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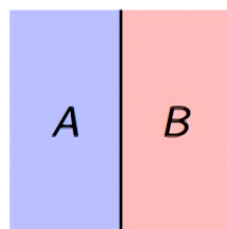


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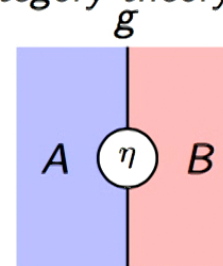
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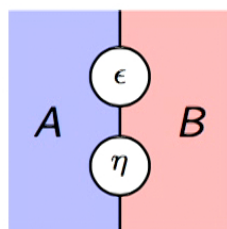


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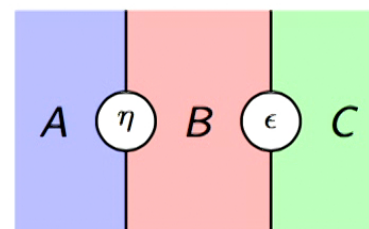


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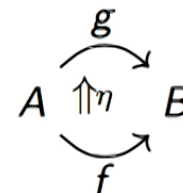
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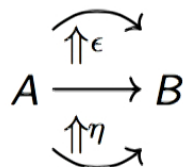
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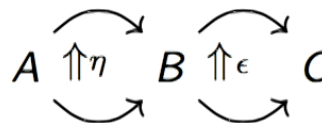


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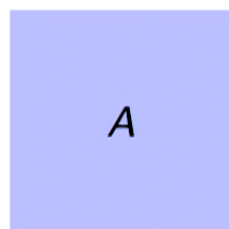
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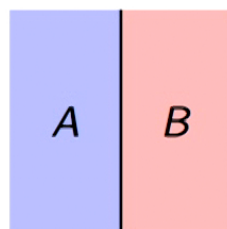
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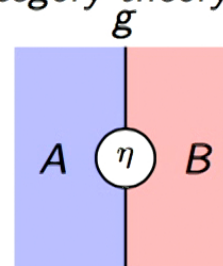
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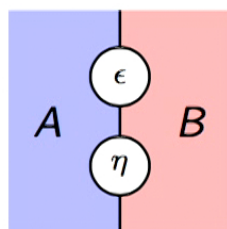


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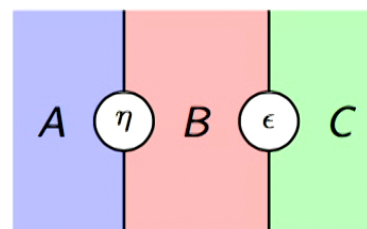


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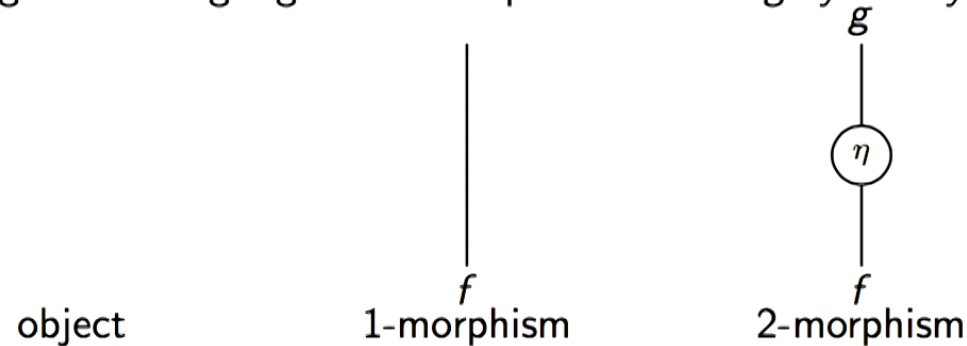
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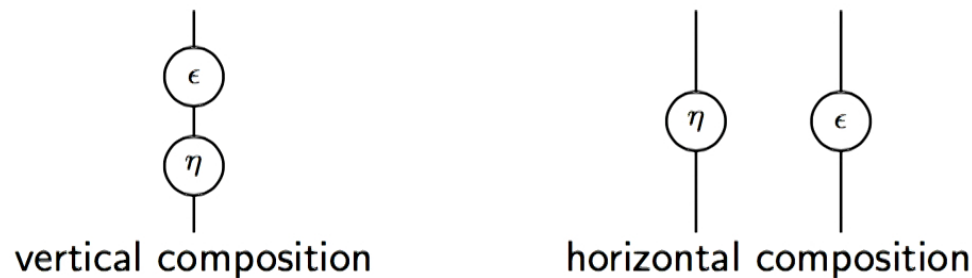


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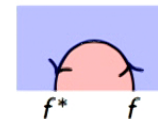
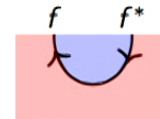
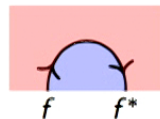
We can compose 2-morphisms like this:



These are *pasting diagrams*. The *dual diagrams* are the graphical calculus. A 2-category with one object (the 'empty region') is a *monoidal category*.

## Dualizable 1-morphisms

A 1-morphism  $A \xrightarrow{f} B$  has a *dual*  $B \xrightarrow{f^*} A$  if there are 2-morphisms:



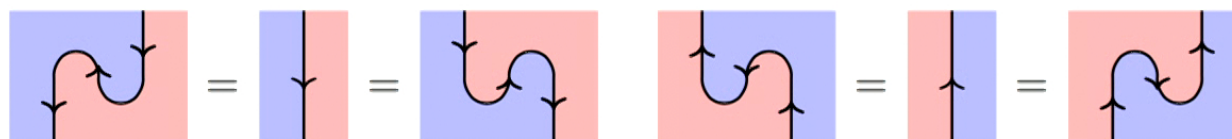
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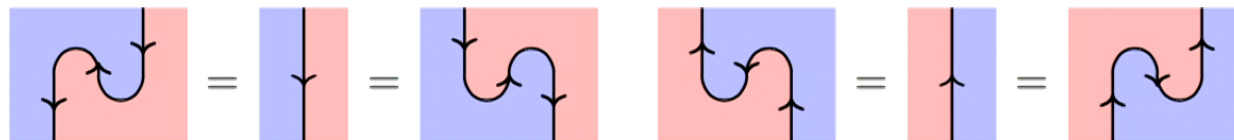


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**Theorem.** Graphical calculus for duals  $\leftrightarrow$  oriented wires in the plane

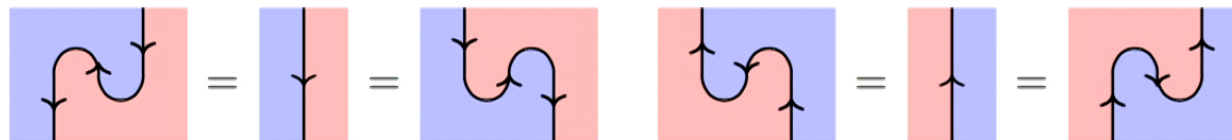


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**Tangle hypothesis.**

$\mathbf{Bord}_{1,0}^{2D} \cong$  free monoidal category on a dualizable object

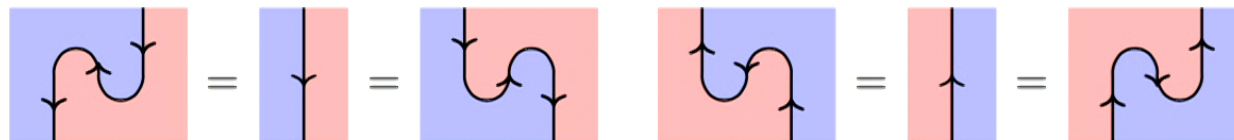


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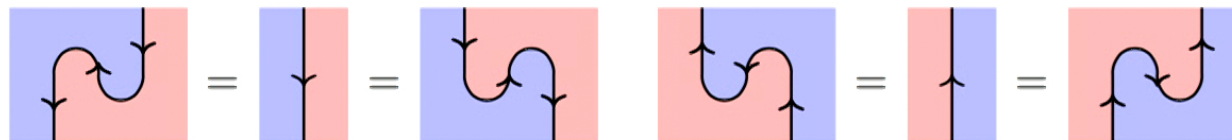
**Definition.**  $G$  directed graph  $\Rightarrow \mathcal{F}_2(G) :=$  free 2-category with duals on  $G$ .

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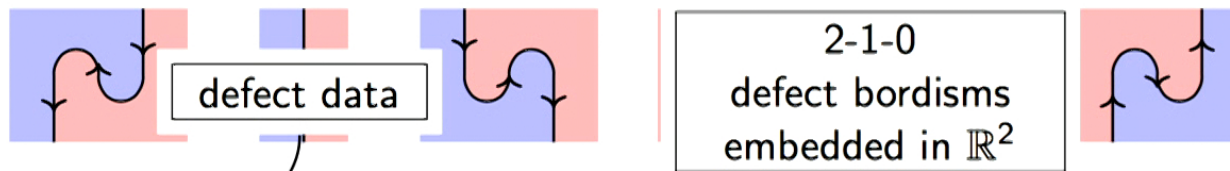
*Example.*  $\mathcal{F}_2 \left( \begin{array}{c} \text{blue box} \\ \text{red box} \end{array} \xrightarrow{\text{blue box}} \text{blue box} \right) : \text{free 2-category on dualizable 1-morphism } \begin{array}{c} \text{blue box} \\ \text{red box} \end{array}$

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## Frobenius algebras and dualizable 1-morphisms

A *Frobenius algebra* in a monoidal category is an object with morphisms:



such that:



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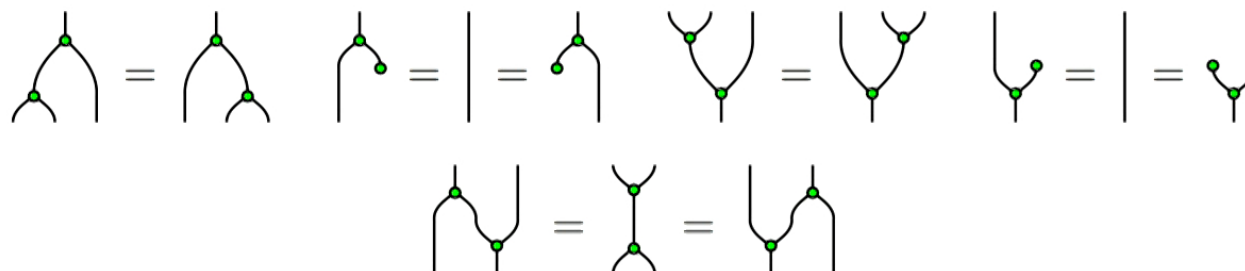


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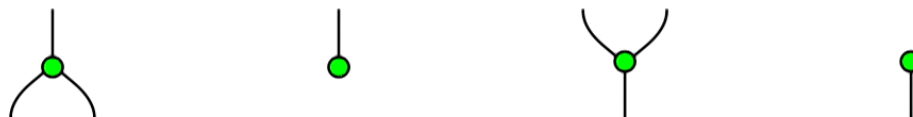
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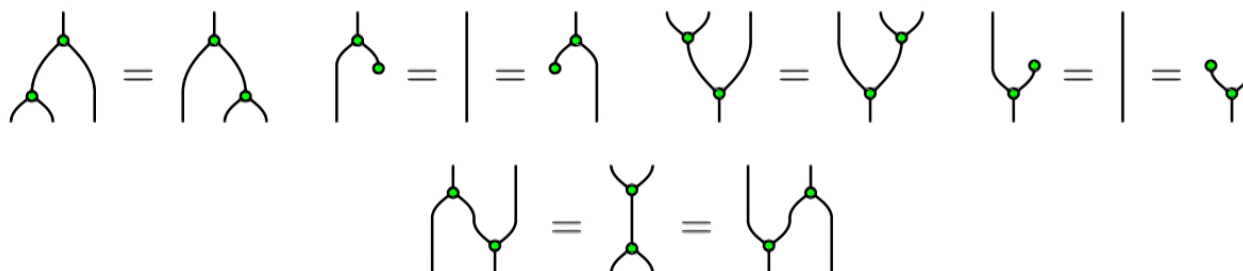
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**Frob**: free monoidal category on a Frobenius algebra

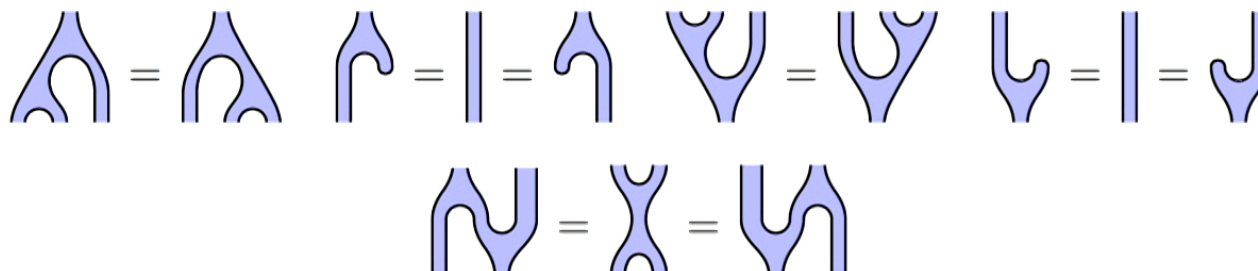
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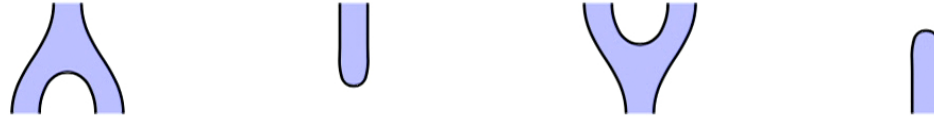
**Frob**: free monoidal category on a Frobenius algebra

There is a 2-functor **Frob**  $\xrightarrow{\text{'thickening'}}$   $\mathcal{F}_2 := \mathcal{F}_2 \left( \begin{array}{c} \text{cup} \\ \text{cap} \end{array} \rightarrow \begin{array}{c} \text{thickened cup} \\ \text{thickened cap} \end{array} \right).$

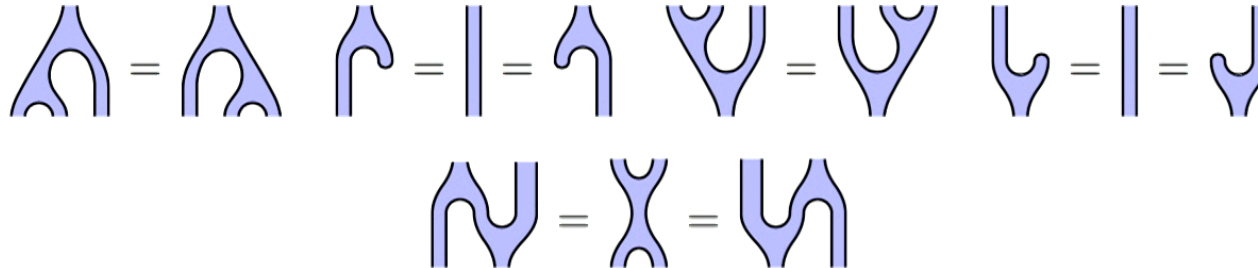
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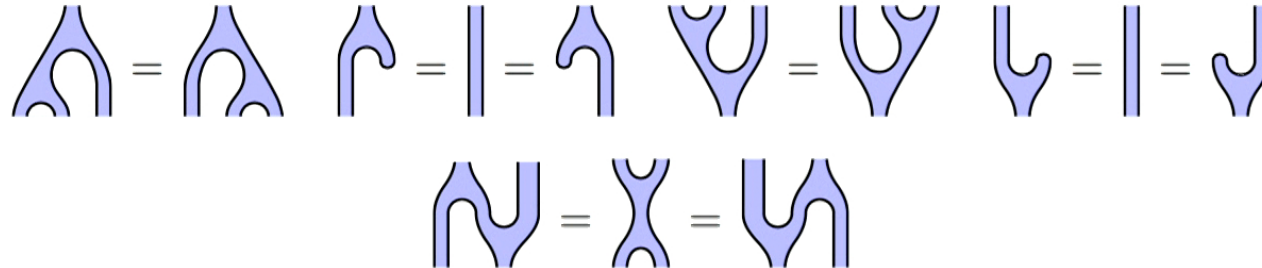


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**Theorem.** This induces a monoidal equivalence **Frob**  $\cong F_2(\square, \square).$

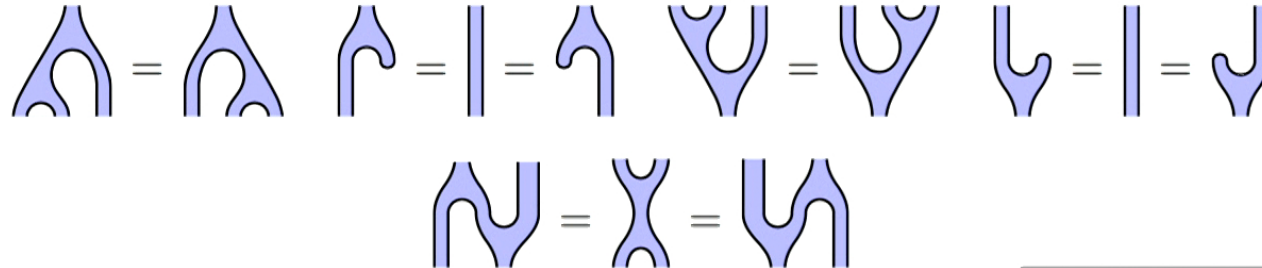
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open strings  
in the plane

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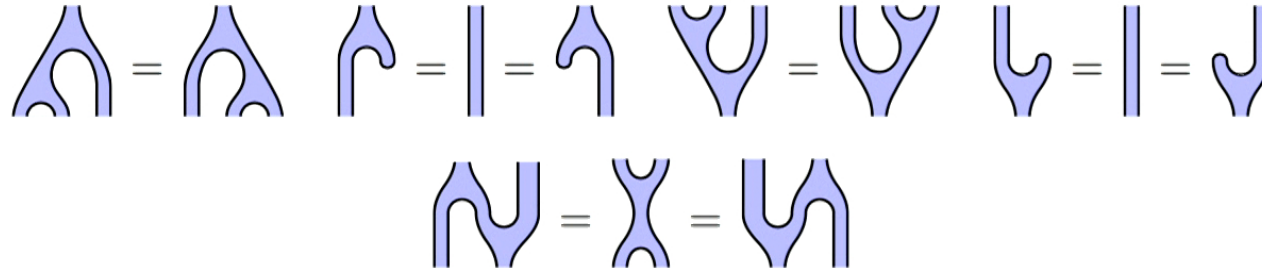
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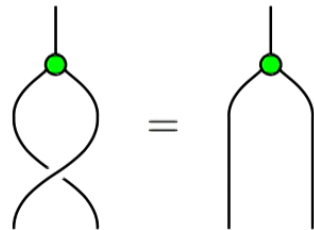
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**Frob** as a 'shadow' of the theory of dualizable 1-morphisms in 2-categories.

## Other algebraic theories?

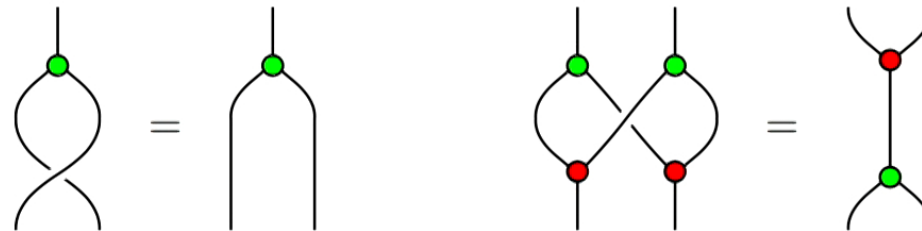
What about *commutative* Frobenius algebras





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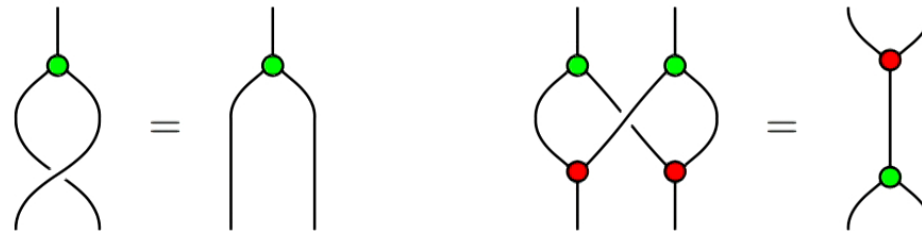
What about *commutative* Frobenius algebras or *bialgebras*?



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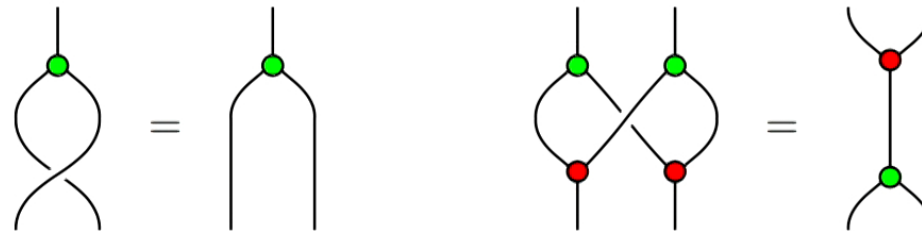


Only make sense in (at least) three dimensional space.

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Only make sense in (at least) three dimensional space.



Shadows of 3D structures?

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# Part 3

## 3-categories

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David Reutter

Hopf algebras and 3-categories

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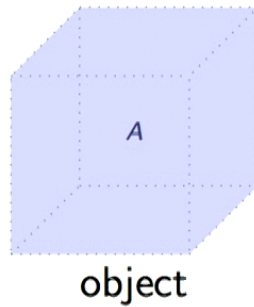
## Algebra in three dimensions = 3-category theory

The language describing algebra in three dimensions is *3-category theory*:

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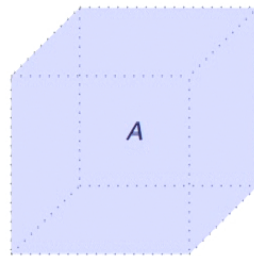
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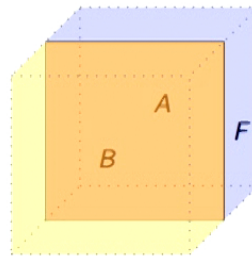


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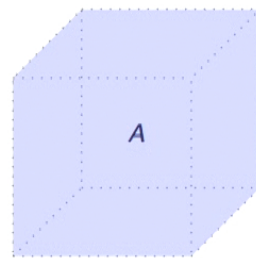
object



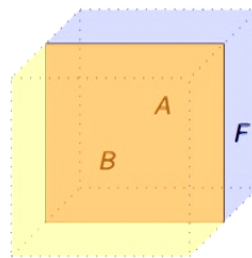
1-morphism

## Algebra in three dimensions = 3-category theory

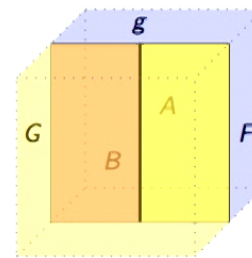
The language describing algebra in three dimensions is *3-category theory*:



object



1-morphism



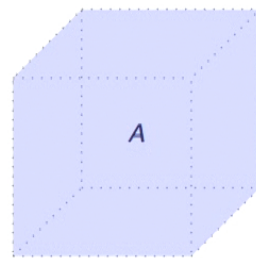
2-morphism

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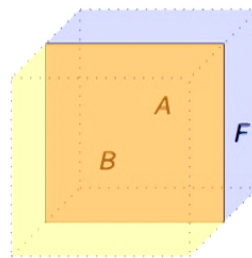


## Algebra in three dimensions = 3-category theory

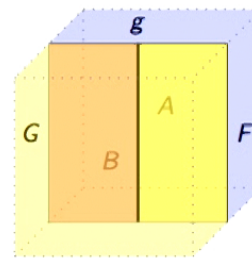
The language describing algebra in three dimensions is *3-category theory*:



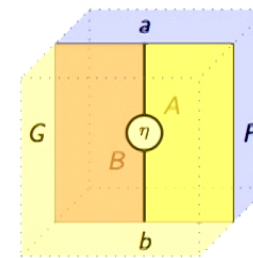
object



1-morphism



2-morphism

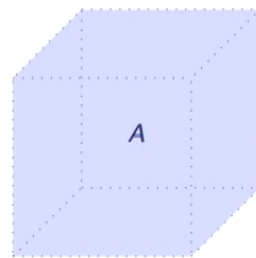


3-morphism

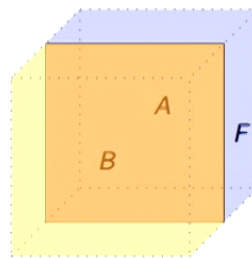
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## Algebra in three dimensions = 3-category theory

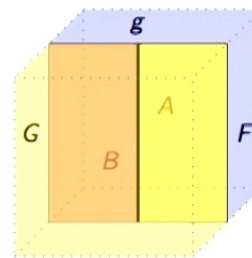
The language describing algebra in three dimensions is *3-category theory*:



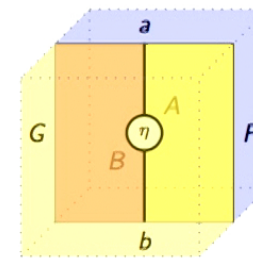
object



1-morphism



2-morphism



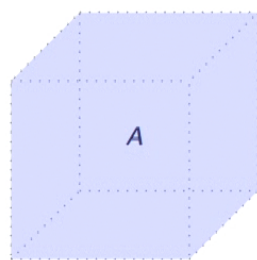
3-morphism

We can compose 3-morphisms like this:

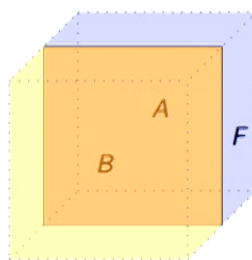
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## Algebra in three dimensions = 3-category theory

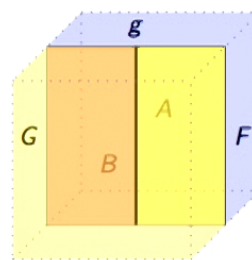
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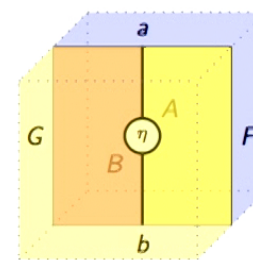
object



1-morphism

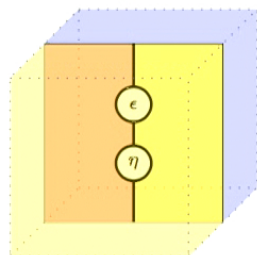


2-morphism



3-morphism

We can compose 3-morphisms like this:



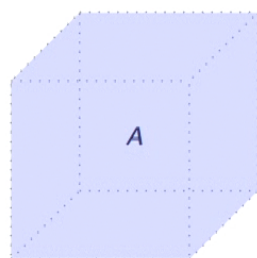
vertical composition

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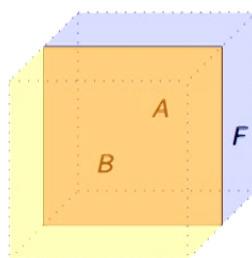


## Algebra in three dimensions = 3-category theory

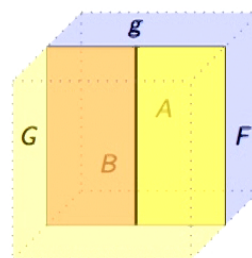
The language describing algebra in three dimensions is *3-category theory*:



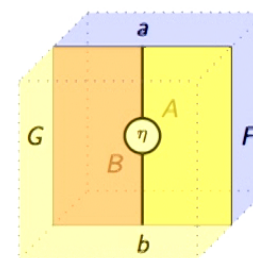
object



1-morphism

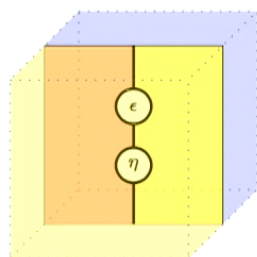


2-morphism

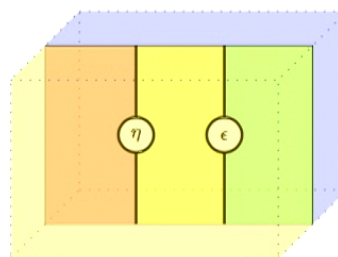


3-morphism

We can compose 3-morphisms like this:



vertical composition



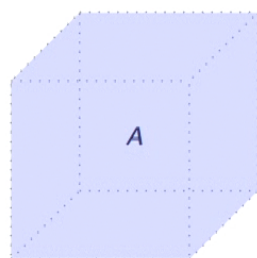
horizontal composition

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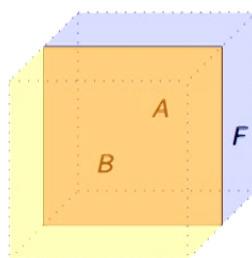


## Algebra in three dimensions = 3-category theory

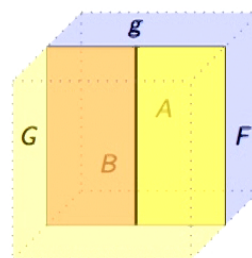
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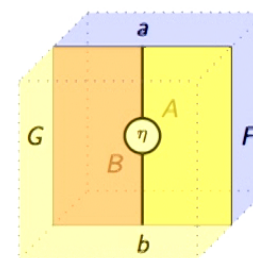
object



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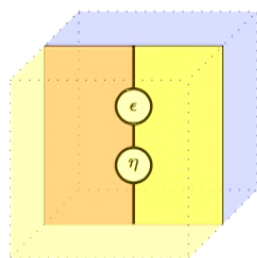


2-morphism

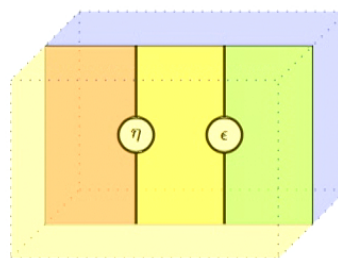


3-morphism

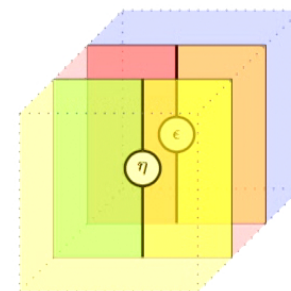
We can compose 3-morphisms like this:



vertical composition



horizontal composition

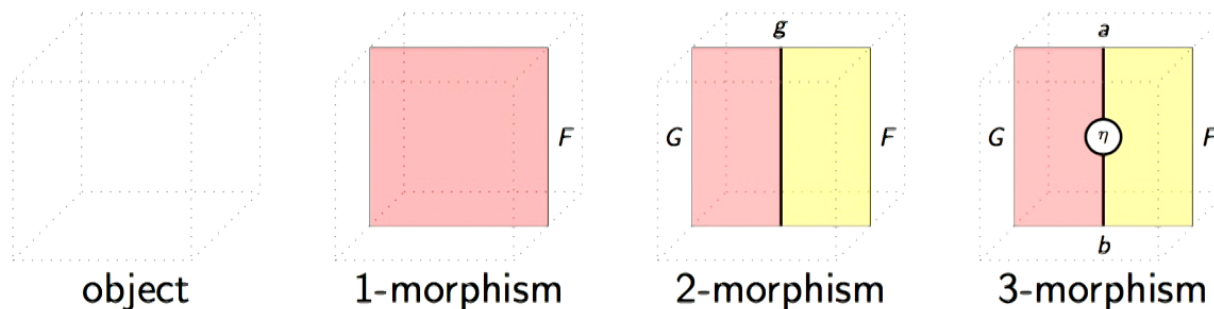


layered composition

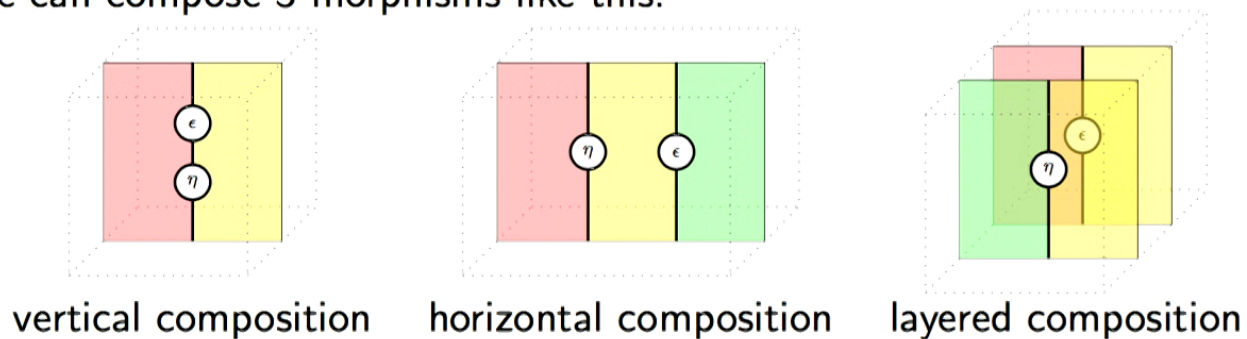
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## Algebra in three dimensions = 3-category theory

The language describing algebra in three dimensions is *3-category theory*:



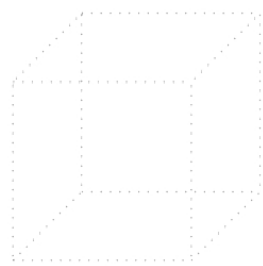
We can compose 3-morphisms like this:



A one object (the 'empty region') 3-category is a *monoidal 2-category*.

## Algebra in three dimensions = 3-category theory

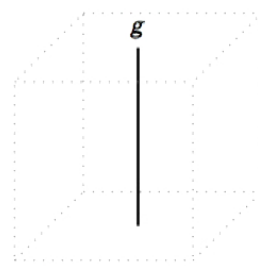
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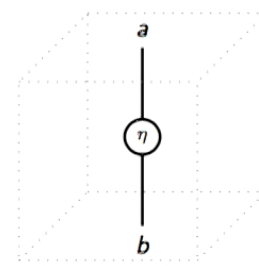
object



1-morphism

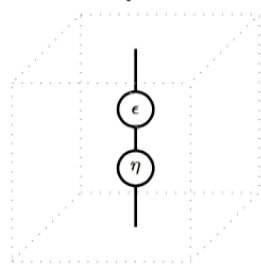


2-morphism

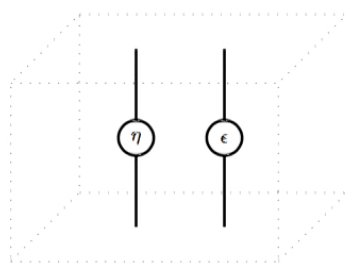


3-morphism

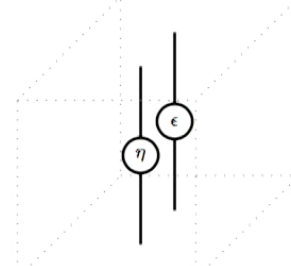
We can compose 3-morphisms like this:



vertical composition



horizontal composition



layered composition

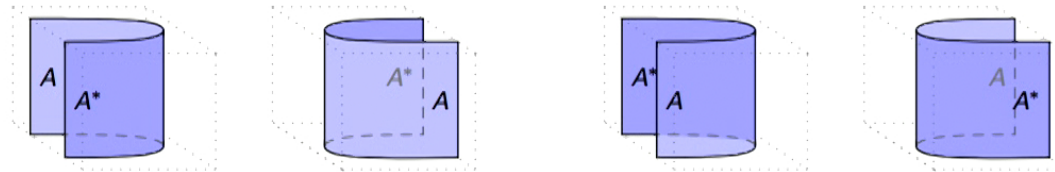
A one object (the 'empty region') 3-category is a *monoidal 2-category*.

A one object and one 1-morphism 3-category is a *braided monoidal category*.



## Duals in 3-categories

A 1-morphism  $A$  has an *oriented dual*  $A^*$  if there are 2-morphisms (*folds*):

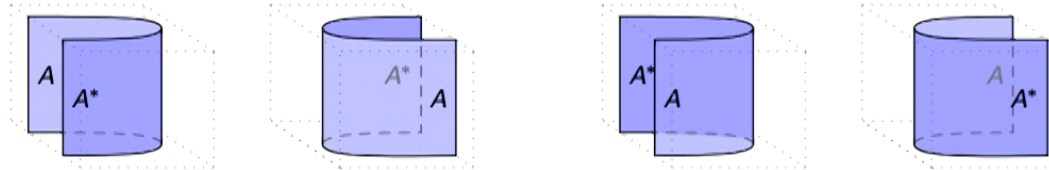


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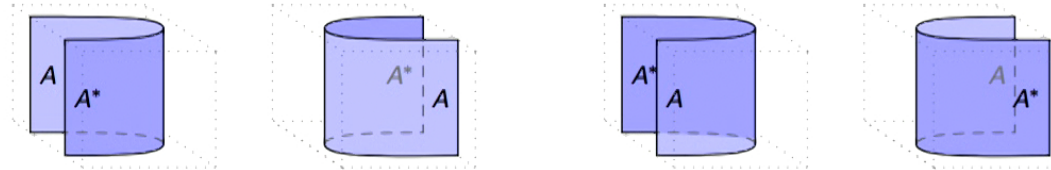


and 3-morphisms (*cusps, saddles and births/deaths of the circle*):



## Duals in 3-categories

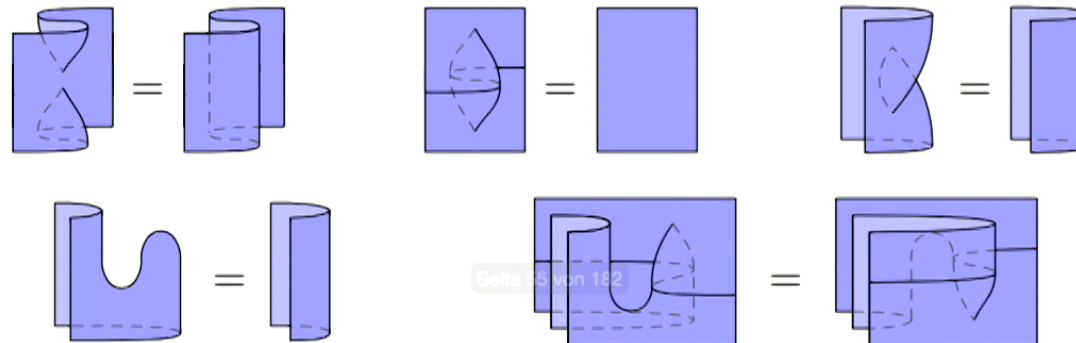
A 1-morphism  $A$  has an *oriented dual*  $A^*$  if there are 2-morphisms (*folds*):



and 3-morphisms (*cusps, saddles and births/deaths of the circle*):



such that the following hold (& reflections and opposite orientation):



## Duals in 3-categories

**Theorem.** graphical calculus for duals  $\leftrightarrow$  oriented surfaces in 3D space

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**Theorem.** graphical calculus for duals  $\leftrightarrow$  oriented surfaces in 3D space

**Tangle hypothesis.**

$\mathbf{Bord}_{2,1,0}^{3D} \cong$  free monoidal 2-category on a dualizable object



## Duals in 3-categories

**Theorem.** graphical calculus for duals  $\leftrightarrow$  oriented surfaces in 3D space

Let  $\mathbb{G}$  be a 2-globular set  $\mathbb{G} = \left( 2\text{-Edges} \rightrightarrows \text{Edges} \rightrightarrows \text{Vertices} \right)$

## Duals in 3-categories

**Theorem.** graphical calculus for duals  $\leftrightarrow$  oriented surfaces in 3D space

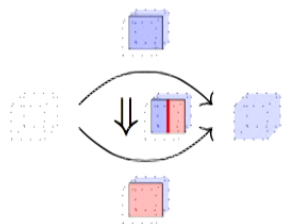
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## Duals in 3-categories

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**Def.**  $\mathcal{F}_3(\mathbb{G})$ : free 3-category with duals for 2- and 1-morphisms given in  $\mathbb{G}$ .

*Examples.*

$\mathcal{F}_3 \left( \begin{array}{c} \text{blue square} \\ \downarrow \\ \text{dotted square} \end{array} \rightarrow \text{blue square} \right)$ : free 3-category on a dualizable 1-morphism

$\mathcal{F}_3 \left( \begin{array}{c} \text{blue square} \\ \downarrow \\ \text{dotted square} \end{array} \begin{array}{c} \text{blue square} \\ \downarrow \\ \text{red square} \end{array} \right)$ : free 3-category on  $\left\{ \begin{array}{l} \text{two dualizable 1-morphisms} \\ \text{one dualizable 2-morphism} \end{array} \right.$

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## Duals in 3-categories

**Theorem.** graphical calculus for duals  $\leftrightarrow$  oriented surfaces in 3D space

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**Summary.** The graphical calculus of  $\mathcal{F}_3(\mathbb{G})$  is given by regions, surfaces and wires in three dimensional space.

## Duals in 3-categories

**Theorem** defect data calculus for duals  $\leftrightarrow$  oriented surfaces in 3D space

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**Def.**  $\mathcal{F}_3(\mathbb{G})$ : free 3-category with duals for 2- and 1-morphisms given in  $\mathbb{G}$ .

*Examples.*

$\mathcal{F}_3 \left( \begin{array}{c} \text{3-2-1-0} \\ \text{defect bordisms} \\ \text{embedded in } \mathbb{R}^3 \end{array} \right)$  : free 3-category on a dualizable 1-morphism

$\mathcal{F}_3 \left( \begin{array}{c} \text{diagram} \end{array} \right)$  : free 3-category on  $\begin{cases} \text{two dualizable 1-morphisms} \\ \text{one dualizable 2-morphism} \end{cases}$

**Summary.** The graphical calculus of  $\mathcal{F}_3(\mathbb{G})$  is given by regions, surfaces and wires in three dimensional space.

## Commutative Frobenius algebras

A *commutative* Frobenius algebra is a Frobenius algebra such that:



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**cFrob**: free *braided* monoidal category on a commutative Frobenius algebra



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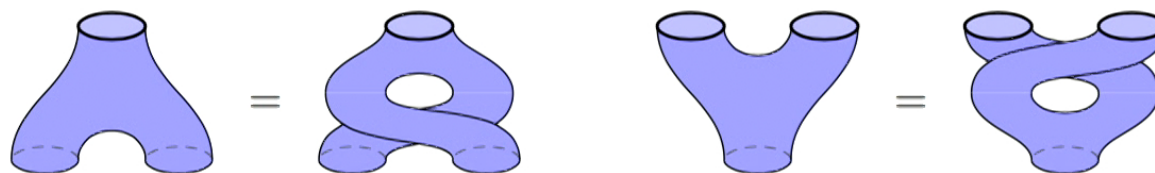


**cFrob**: free *braided* monoidal category on a commutative Frobenius algebra

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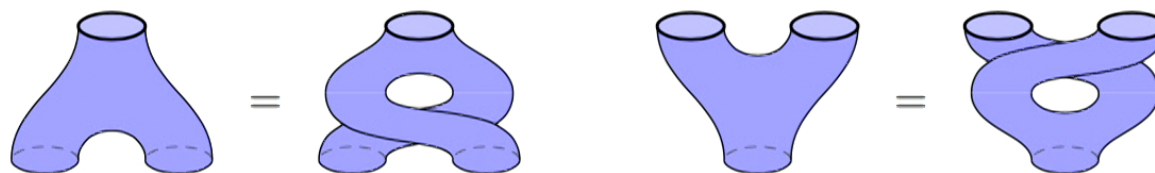
**cFrob**: free *braided* monoidal category on a commutative Frobenius algebra

There is a 3-functor **cFrob**  $\xrightarrow{\text{'thickening'}}$   $F_3 := \mathcal{F}_3 \left( \begin{array}{c} \text{[diagram of a cube with a smaller cube inside]} \\ \text{[diagram of a cube with a smaller cube inside]} \end{array} \right).$

Seite 66 von 182

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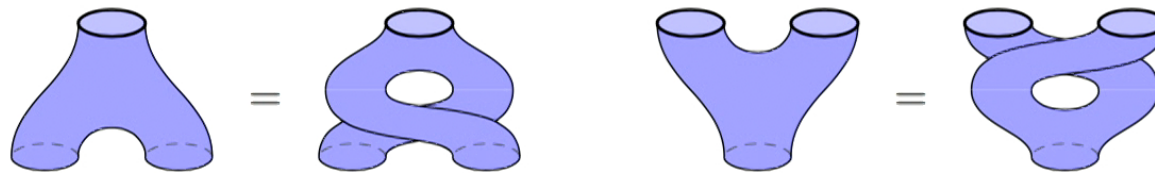
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**Theorem.** This induces a braided monoidal equivalence  $\mathbf{cFrob} \cong F_3 \left( \begin{array}{c} \text{blue square} \\ \text{dotted square} \end{array} \right).$

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**Theorem.** This induces a braided monoidal equivalence  $\mathbf{cFrob} \cong F_3 \left( \begin{array}{c} \text{[diagram of a square with a dot]} \\ \rightarrow \\ \text{[diagram of a square with a dot]} \end{array} \right).$

**cFrob** as a 'shadow' of the theory of dualizable 1-morphisms in 3-categories.

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# Part 4

## Hopf algebras

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David Reutter

Hopf algebras and 3-categories

August 3, 2017

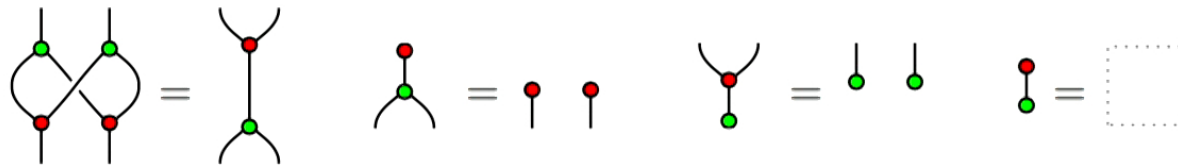
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# Hopf algebras

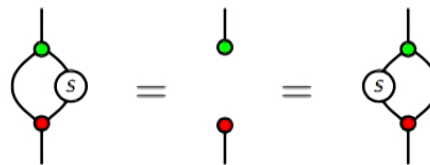
A *Hopf algebra* in a braided monoidal category is a pair of

$$\text{an algebra } \left( \begin{array}{c} \text{multiplication} \\ \text{comultiplication} \end{array} , \begin{array}{c} \text{unit} \\ \text{counit} \end{array} \right) \quad \text{a coalgebra } \left( \begin{array}{c} \text{comultiplication} \\ \text{multiplication} \end{array} , \begin{array}{c} \text{counit} \\ \text{unit} \end{array} \right)$$

that form a *bialgebra*



and have an *antipode*; an endomorphism  $S$  fulfilling



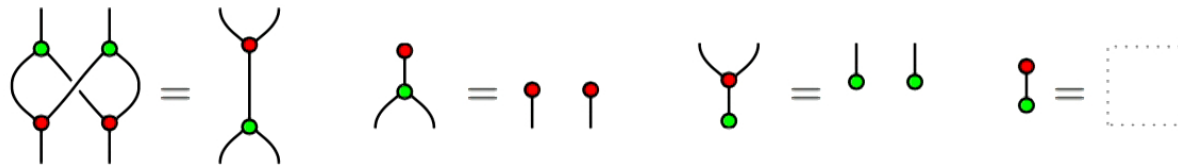
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# Hopf algebras

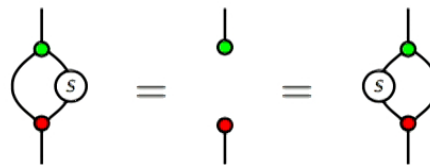
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that form a *bialgebra*



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Here, we consider more restrictive algebras.

## Unimodular Hopf algebras

A *unimodular Hopf algebra* is a pair of Frobenius algebras



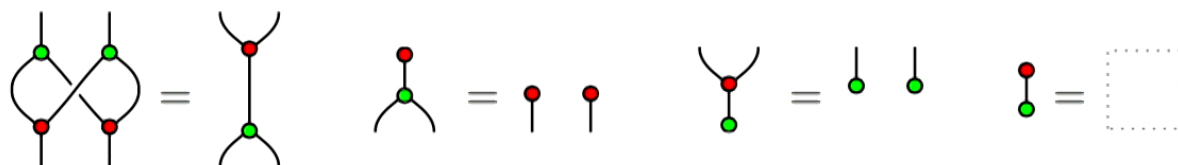


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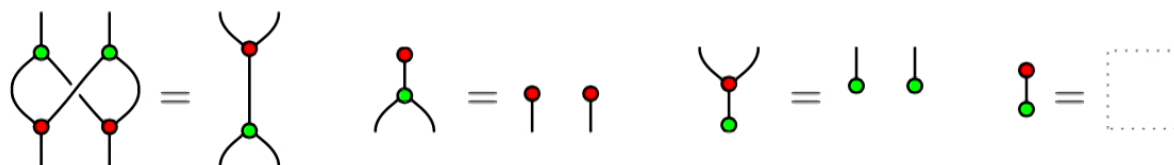
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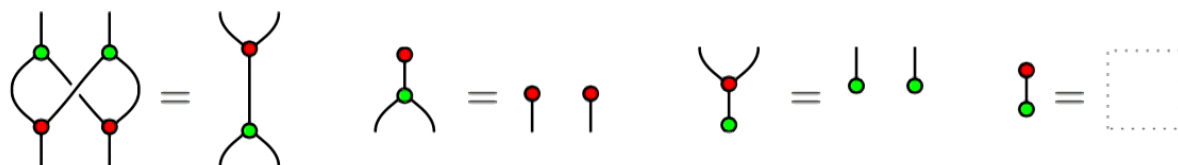
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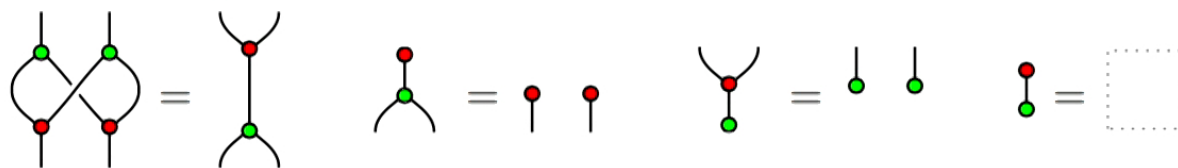
**uHopf**: free *braided* monoidal category on a unimodular Hopf algebra

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**uHopf**: free *braided* monoidal category on a unimodular Hopf algebra

**Theorem.** The antipode of a unimodular Hopf algebra is  $S = \text{cup with green dot and red dot} = \text{cap with green dot and red dot}$ .

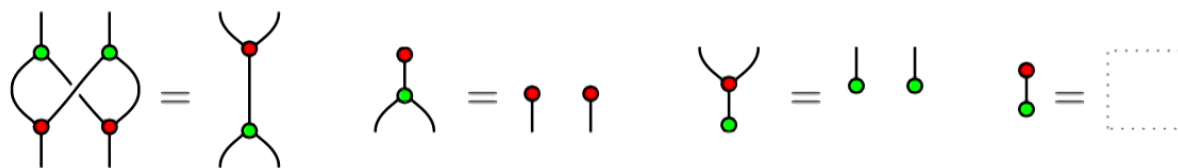


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and such that



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**Theorem.** The antipode of a unimodular Hopf algebra is  $\bar{S} = \text{[diagram: a red dot with a green dot above it, connected by a loop]} = \text{[diagram: a green dot with a red dot below it, connected by a loop]}.$

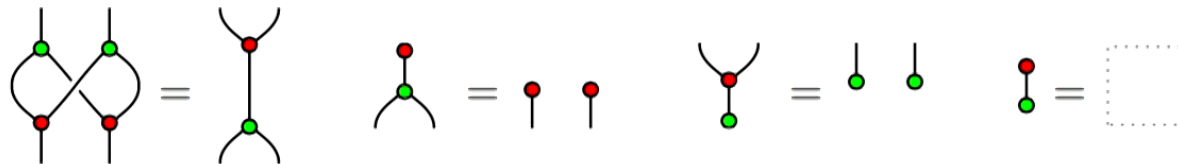
U. Hopf algebras in  $\mathbf{Vect}_k$  are *finite dimensional unimodular Hopf algebras*.

## Unimodular Hopf algebras

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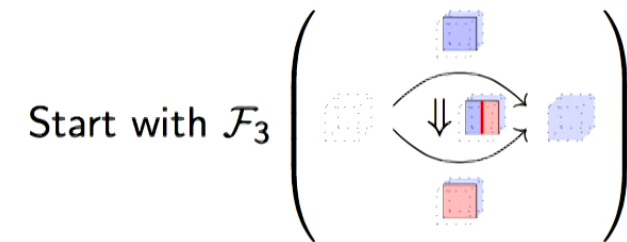
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**Theorem.** The antipode of a unimodular Hopf algebra is  $\bar{S} = \text{diagram} = \text{diagram}$ .

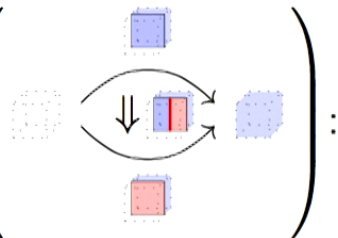
U. Hopf algebras in  $\mathbf{Vect}_k$  are *finite dimensional unimodular Hopf algebras*.

*Example.* Any finite dimensional semisimple and cosemisimple Hopf algebra.

## A topological 3-category



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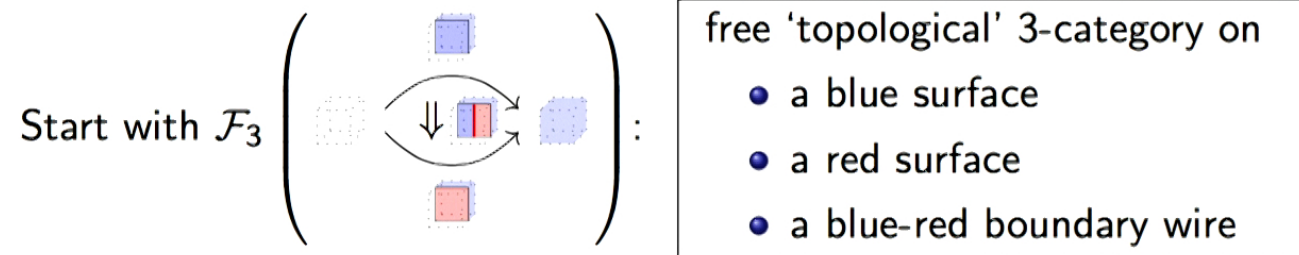
Start with  $\mathcal{F}_3$   :

free 'topological' 3-category on

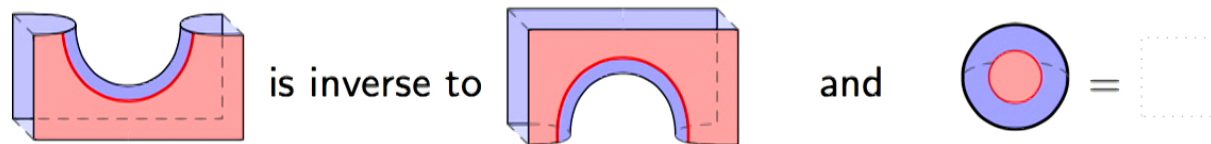
- a blue surface
- a red surface
- a blue-red boundary wire



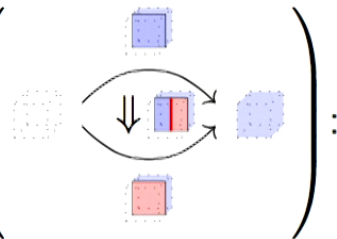
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**Definition.**  $\mathbb{H}$  is the free 3-category with duals on two surfaces and a boundary wire, such that the following hold:



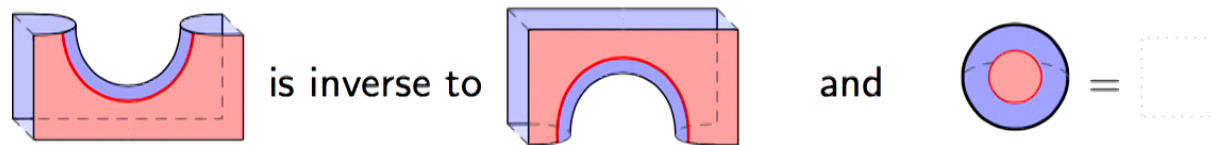
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Explicitly, invertibility of the saddles means:



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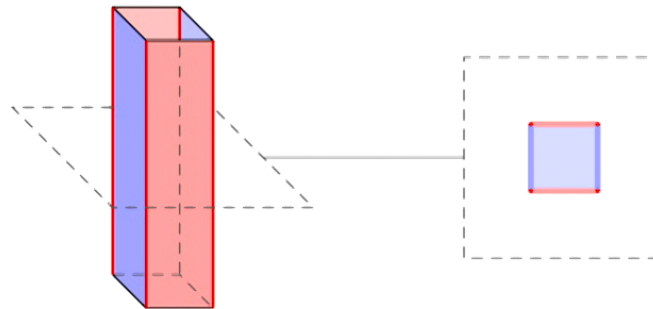




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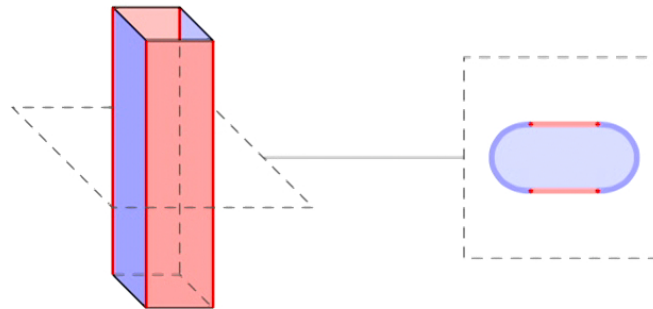
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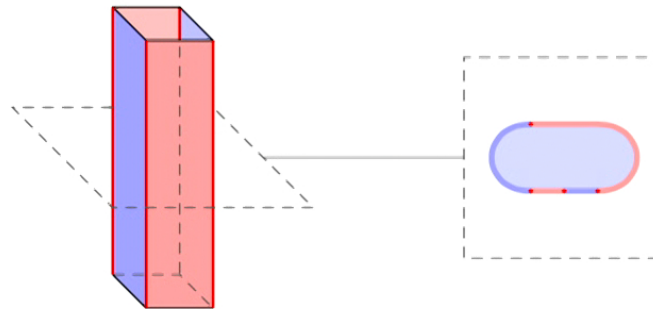
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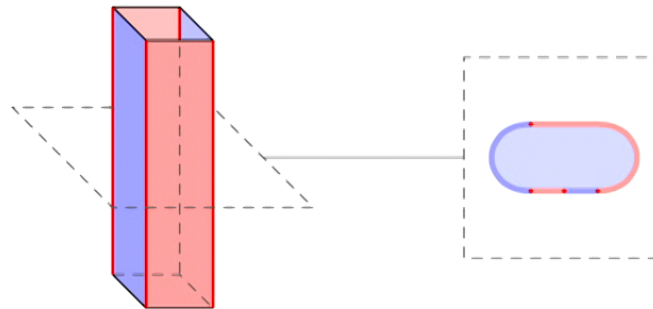


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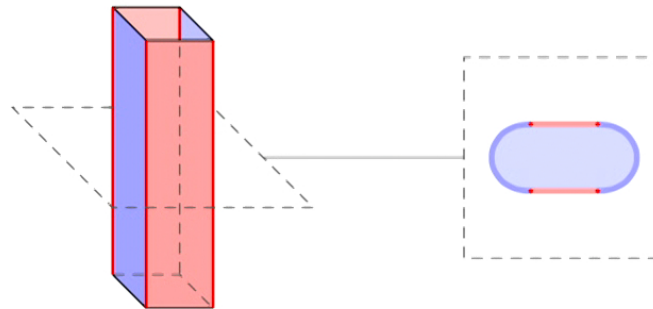
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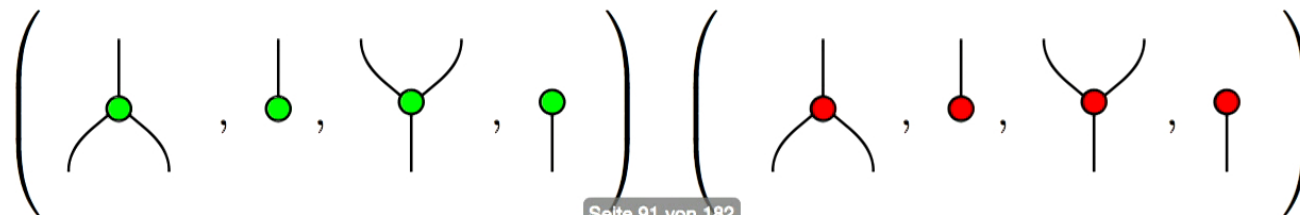
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The two interacting Frobenius structures are:



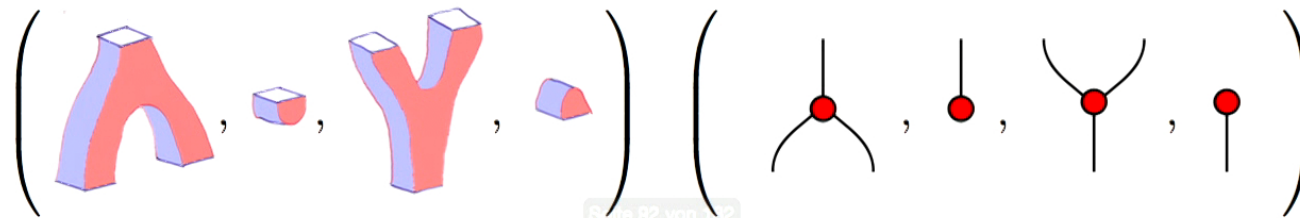
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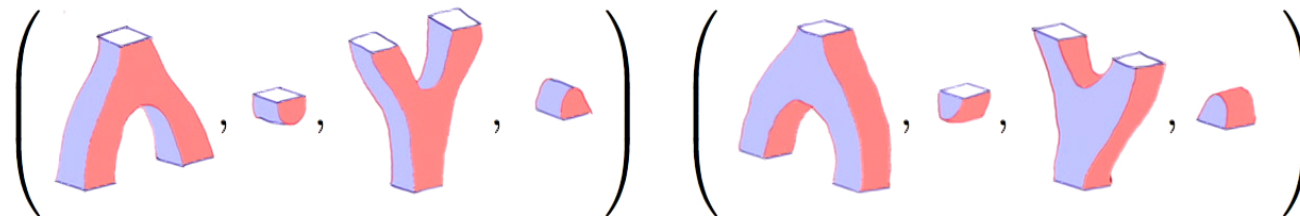
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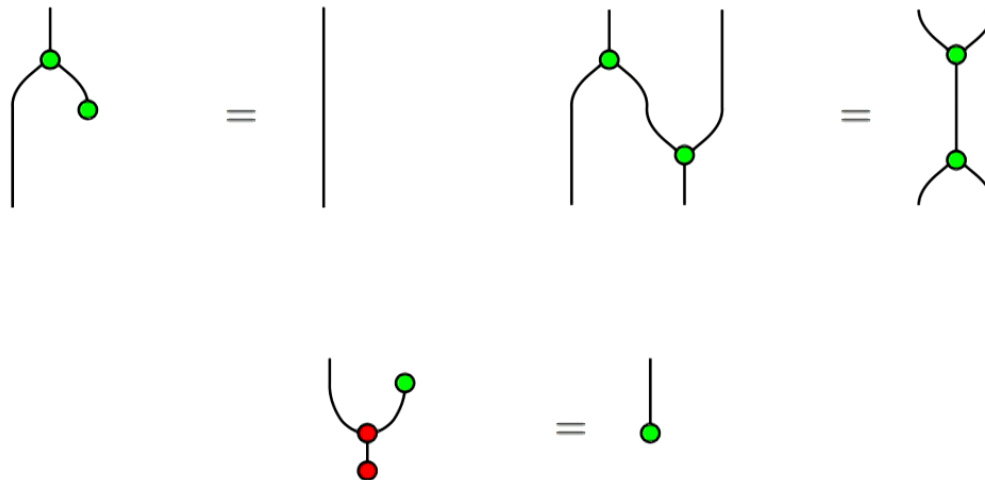
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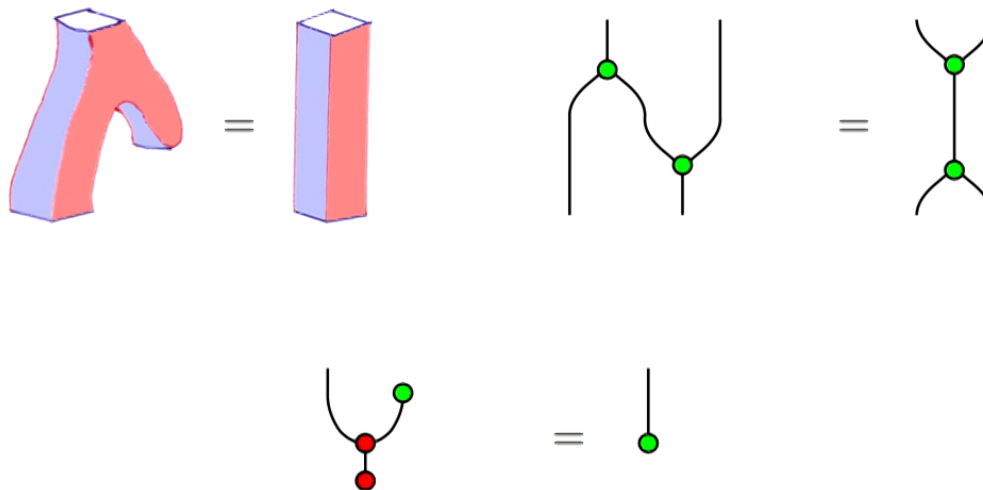
Let's check (some of) the axioms of unimodular Hopf algebras:



Exercise 1

## A Hopf algebra in $\mathbb{H}$

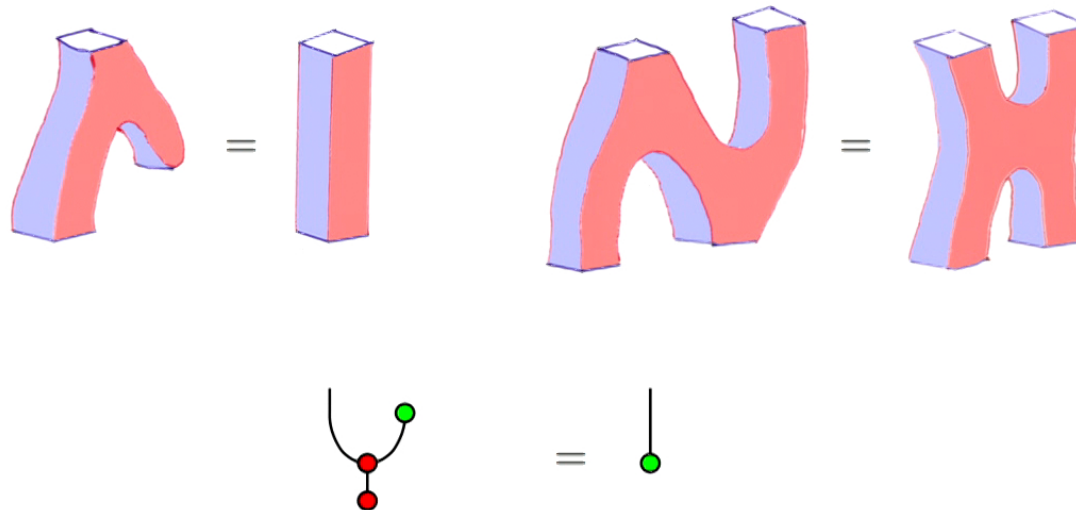
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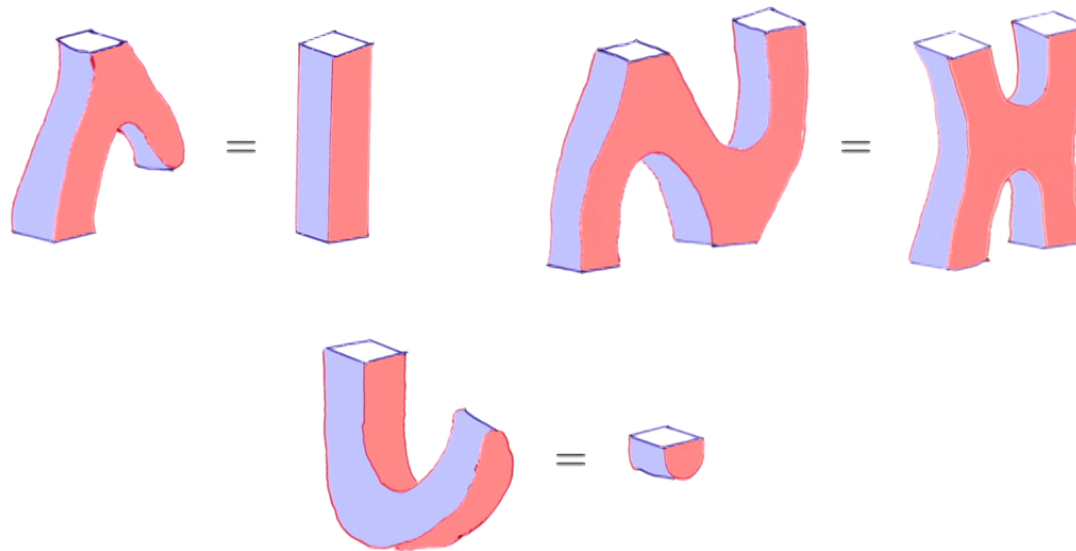
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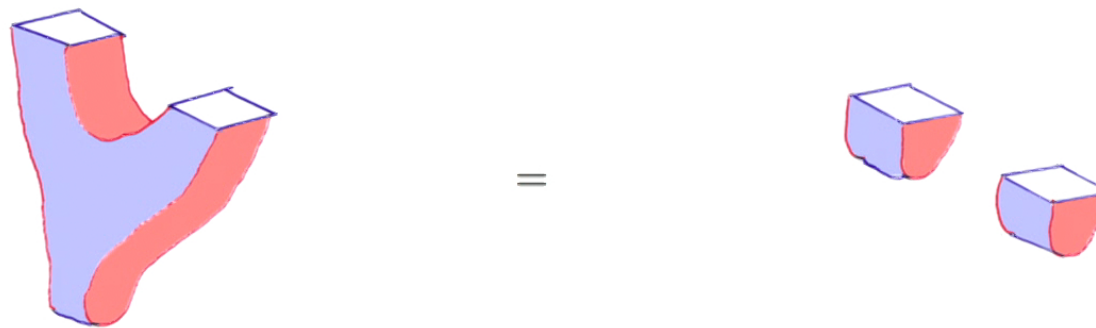
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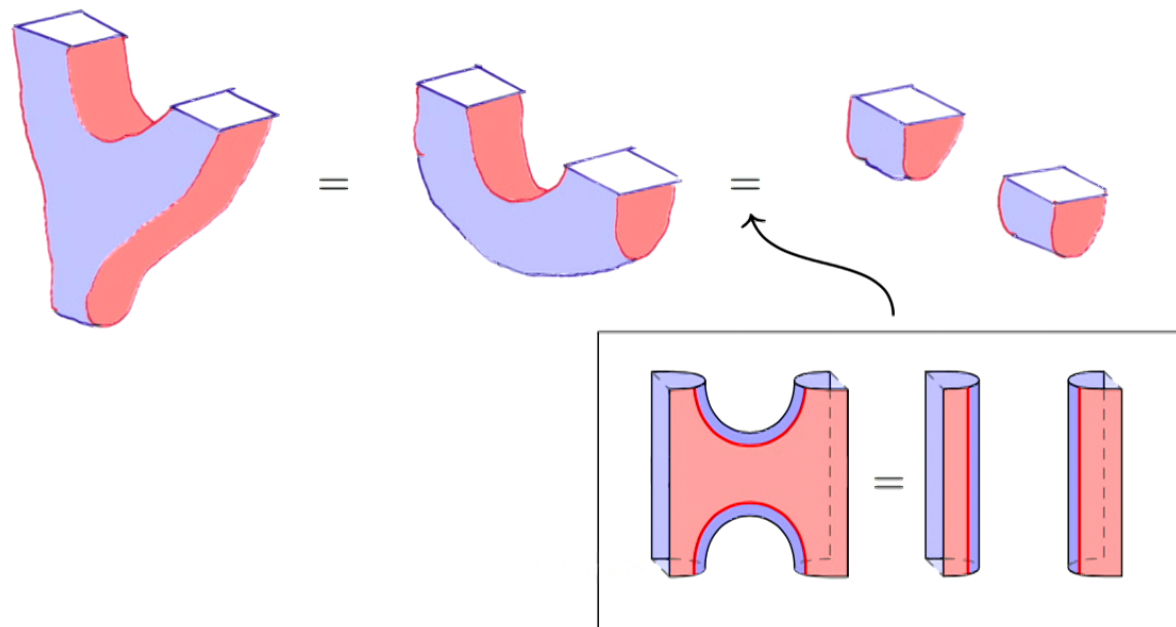
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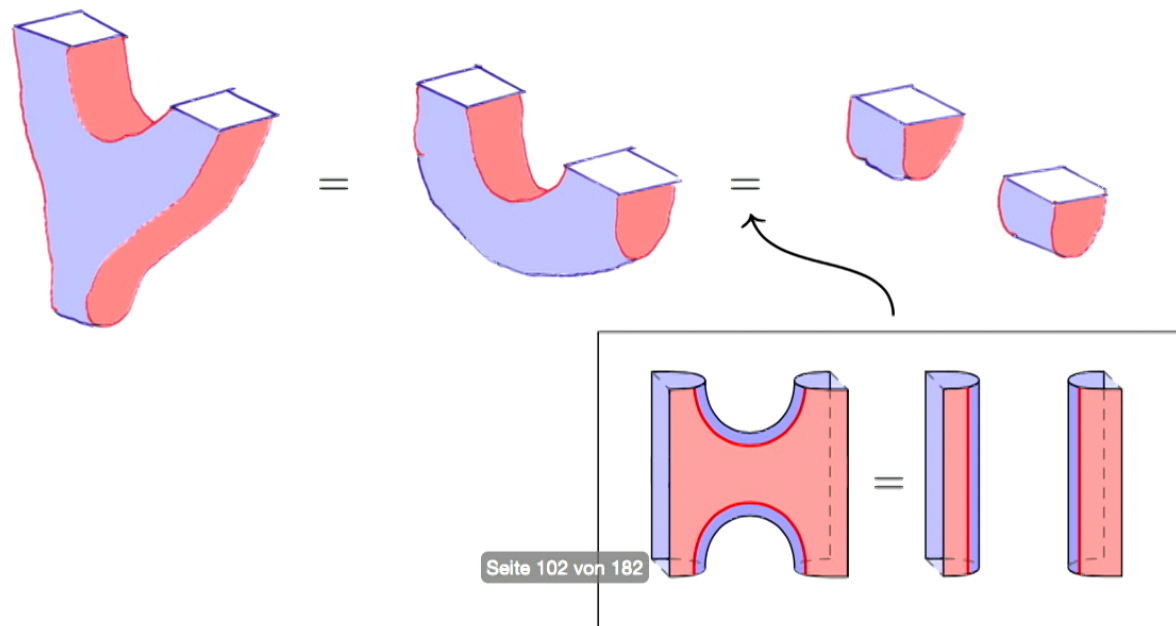
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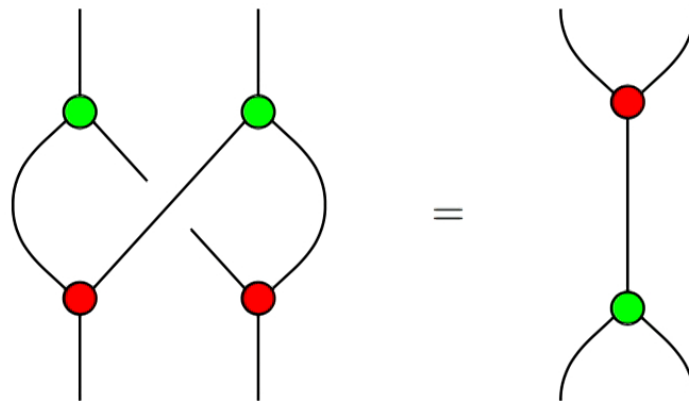


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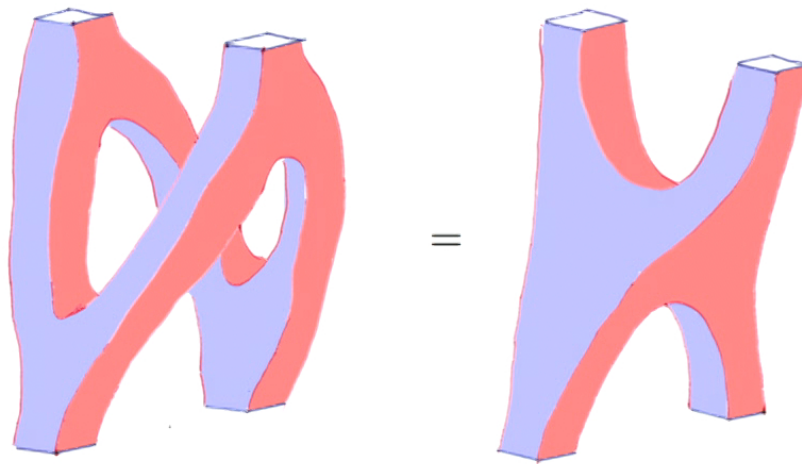
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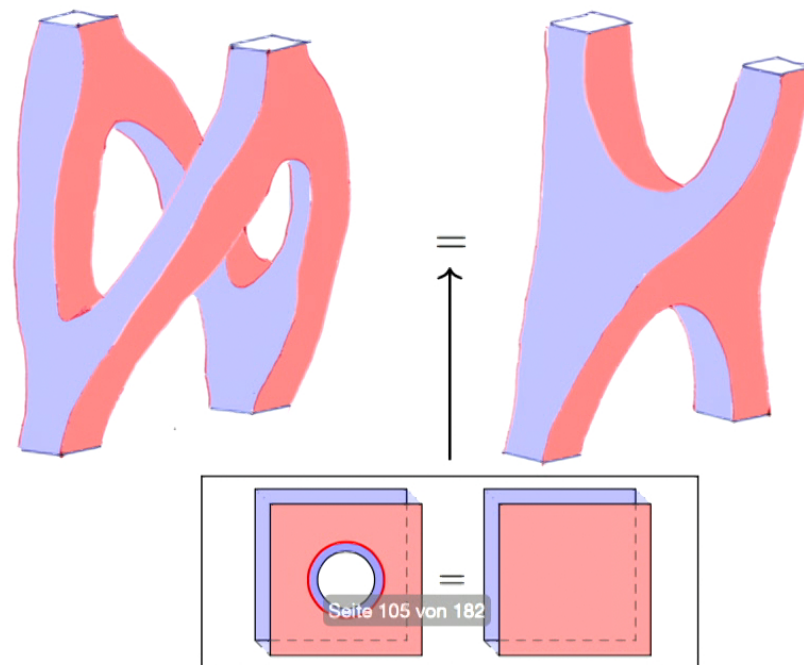
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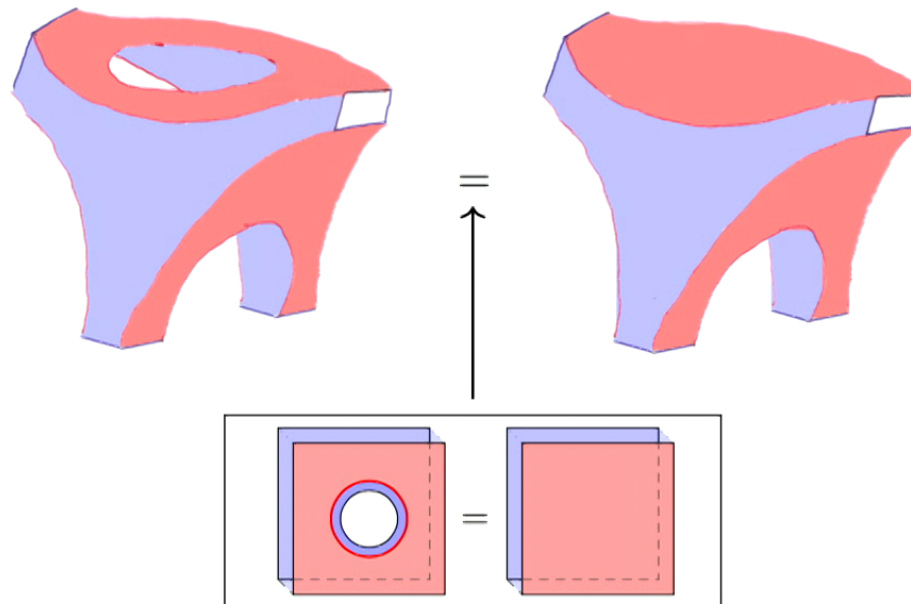
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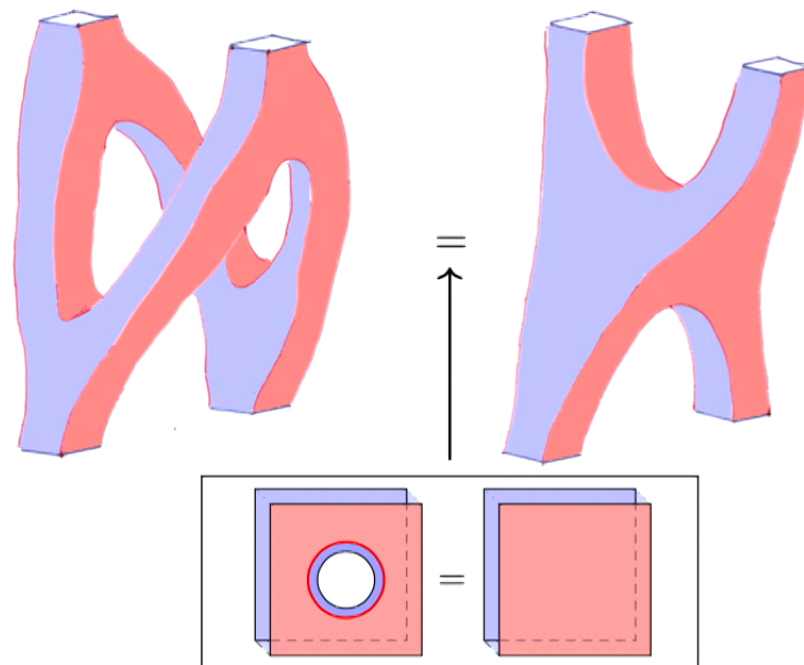
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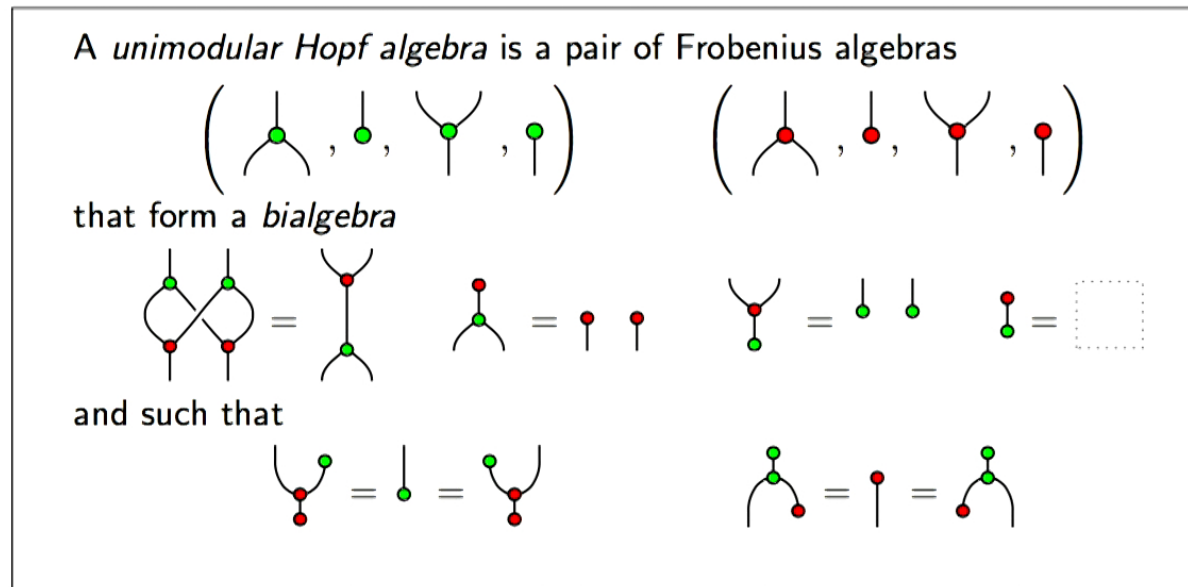


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**Summary.** **uHopf** is a shadow of a simpler 3-category.

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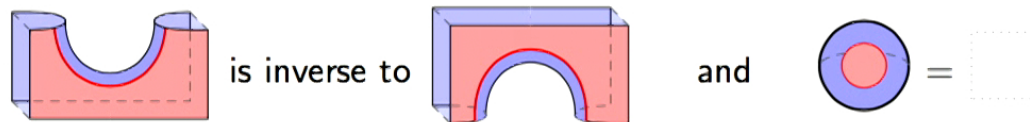
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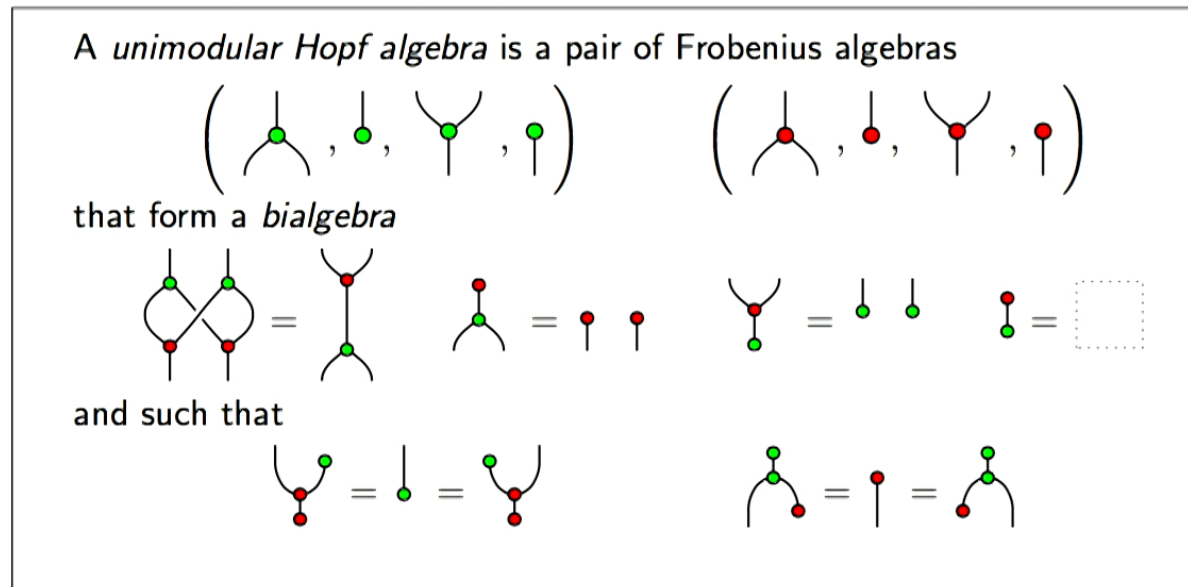
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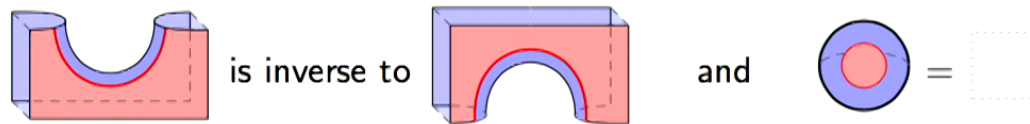


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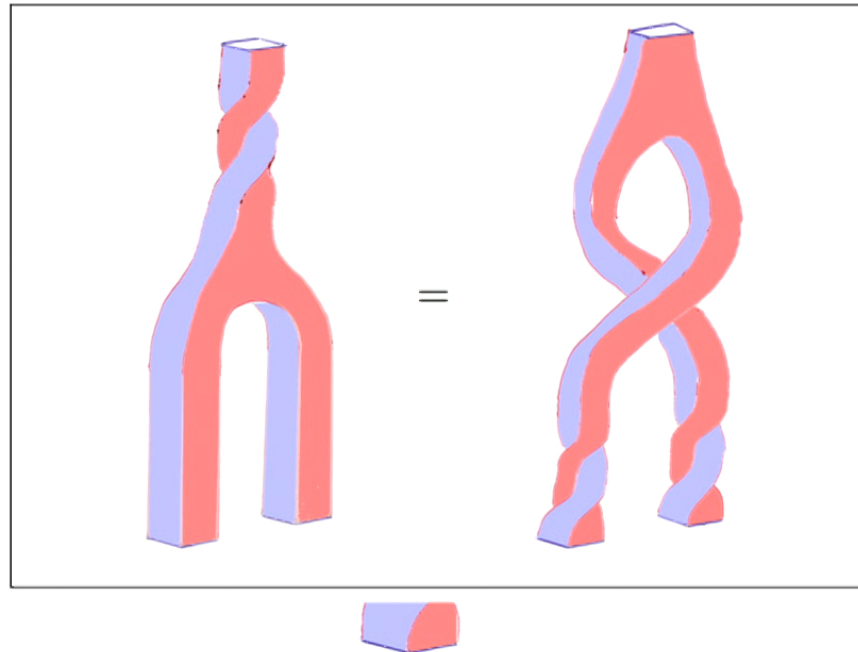
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Several Hopf algebraic calculations simplify in this 3D model.

For example, the antipode is the half twist:



$\Rightarrow$  The antipode is an algebra antihomomorphism.

$\Rightarrow$  In a unimodular Hopf algebra, the antipode squares to the twist.

In particular, in a symmetric monoidal category, its 4th power is trivial.

# Part 5

## Higher linear algebra

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David Reutter

Hopf algebras and 3-categories

August 3, 2017

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# Representations

So far: algebraic structures in terms of generators & relations

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Now: *representations* - instances of these structures in concrete categories



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(continued)

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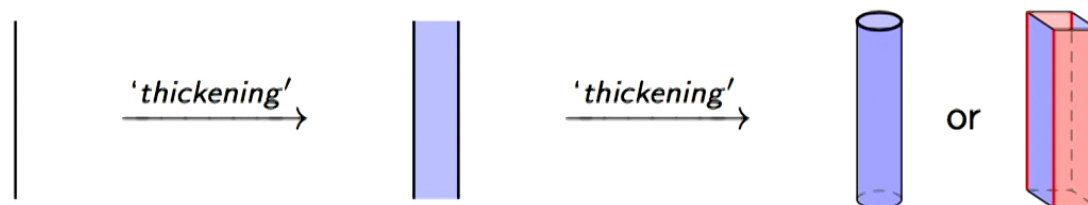
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$\mathbf{nVect}$  a 'shadow' of  $(\mathbf{n} + 1)\mathbf{Vect} \rightsquigarrow (\mathbf{n} + 1)\mathbf{Vect}$  a 'thickening' of  $\mathbf{nVect}$



## Higher linear algebra

				objects	morphisms
<b>Vect</b>				f.d. vector spaces	linear maps

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$$\mathbf{3Vect}(\mathbf{I}, \mathbf{I}) \cong \mathbf{2Vect} \quad \mathbf{2Vect}(\mathbf{I}, \mathbf{I}) \cong \mathbf{Vect} \quad \mathbf{Vect}(\mathbf{I}, \mathbf{I}) = \mathbb{C}$$

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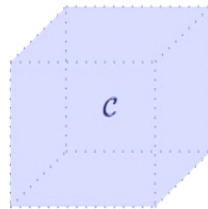
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Various generalizations are possible.

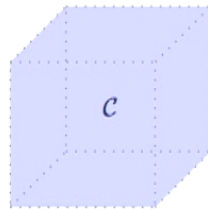


## The 3-category **3Vect**

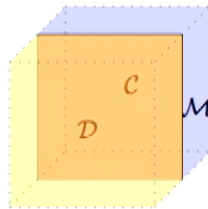


fusion  
category

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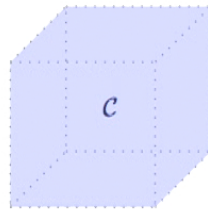


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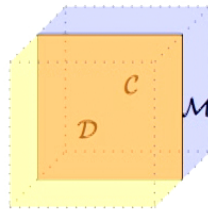


bimodule  
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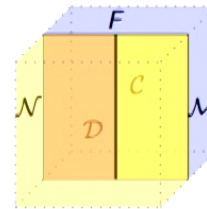
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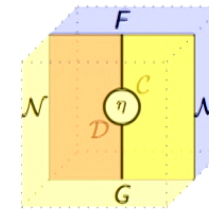
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bimodule  
category



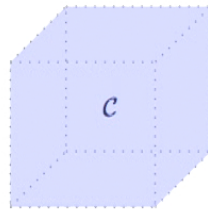
intertwining  
functor



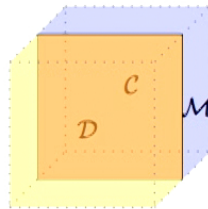
natural  
transformation

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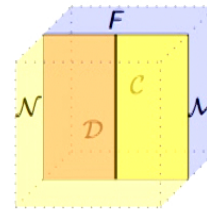
## The 3-category $3\mathbf{Vect}$



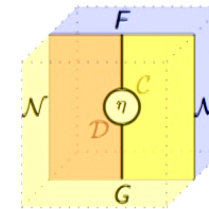
fusion  
category



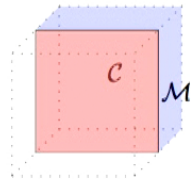
bimodule  
category



intertwining  
functor



natural  
transformation

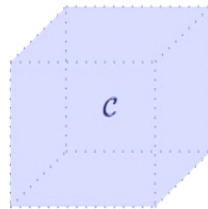


right  $\mathcal{C}$ -module  $\mathcal{M}$

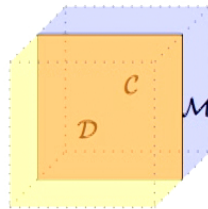
Seite 141 von 182



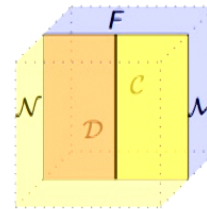
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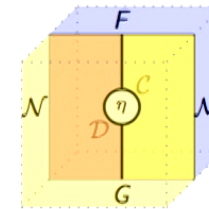
fusion  
category



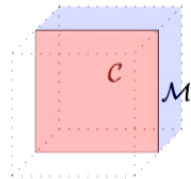
bimodule  
category



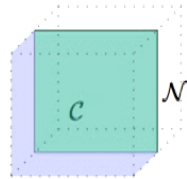
intertwining  
functor



natural  
transformation

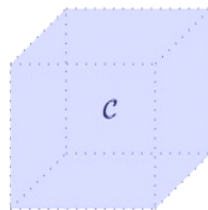


right  $\mathcal{C}$ -module  $\mathcal{M}$

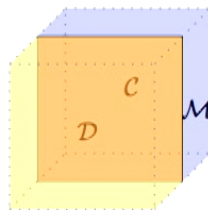


left  $\mathcal{C}$ -module  $\mathcal{N}$

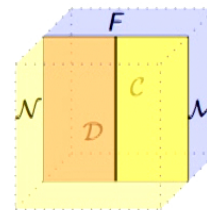
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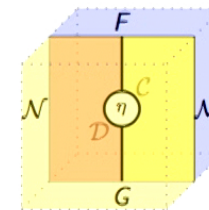
fusion  
category



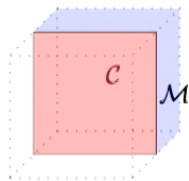
bimodule  
category



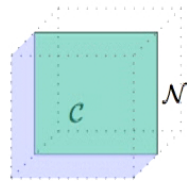
intertwining  
functor



natural  
transformation

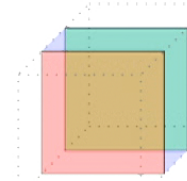


right  $\mathcal{C}$ -module  $\mathcal{M}$



left  $\mathcal{C}$ -module  $\mathcal{N}$

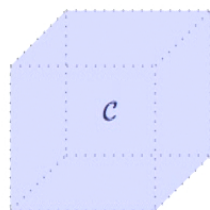
$\Rightarrow$



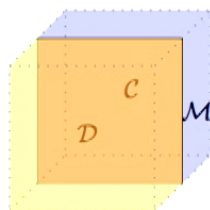
relative Deligne product  $\mathcal{M} \boxtimes_{\mathcal{C}} \mathcal{N}$

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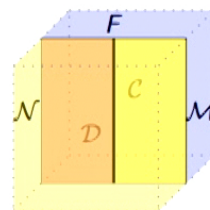
## The 3-category $3\mathbf{Vect}$



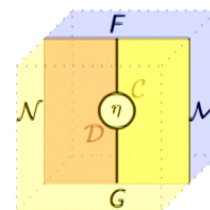
fusion  
category



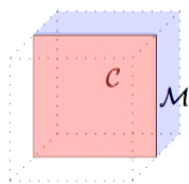
bimodule  
category



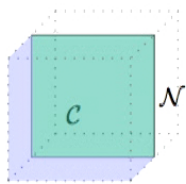
intertwining  
functor



natural  
transformation

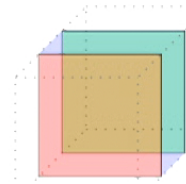


right  $\mathcal{C}$ -module  $\mathcal{M}$



left  $\mathcal{C}$ -module  $\mathcal{N}$

$\Rightarrow$

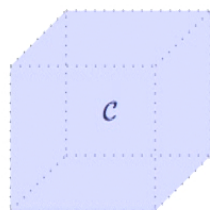


relative Deligne product  $\mathcal{M} \boxtimes_{\mathcal{C}} \mathcal{N}$

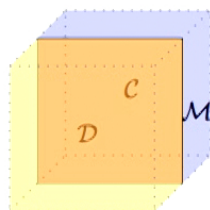
relative Deligne product: universal for  $\mathcal{C}$ -bilinear functors out of  $\mathcal{M} \times \mathcal{N}$



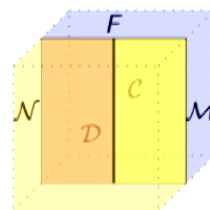
## The 3-category $\mathbf{3Vect}$



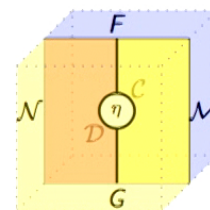
fusion  
category



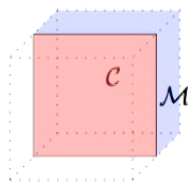
bimodule  
category



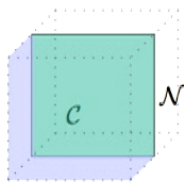
intertwining  
functor



natural  
transformation

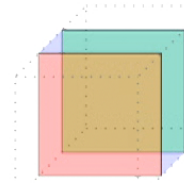


right  $\mathcal{C}$ -module  $\mathcal{M}$



left  $\mathcal{C}$ -module  $\mathcal{N}$

$\Rightarrow$

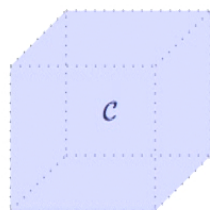


relative Deligne product  $\mathcal{M} \boxtimes_{\mathcal{C}} \mathcal{N}$

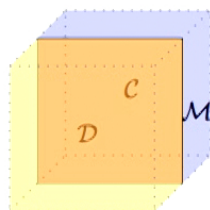
$$\mathcal{F}_3 \left( \begin{array}{c} \text{diagram of 3-morphisms} \end{array} \right) \rightarrow \mathbf{3Vect}$$



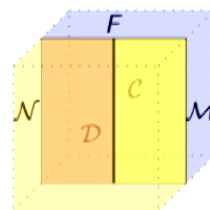
## The 3-category $\mathbf{3Vect}$



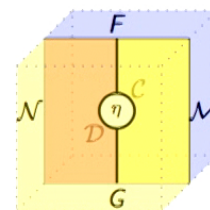
fusion  
category



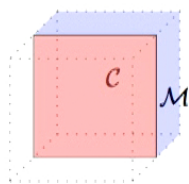
bimodule  
category



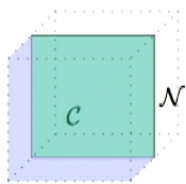
intertwining  
functor



natural  
transformation

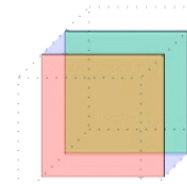


right  $\mathcal{C}$ -module  $\mathcal{M}$



left  $\mathcal{C}$ -module  $\mathcal{N}$

$\Rightarrow$

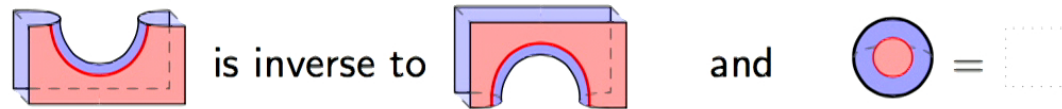


relative Deligne product  $\mathcal{M} \boxtimes_{\mathcal{C}} \mathcal{N}$

$$\mathcal{F}_3 \left( \begin{array}{c} \text{diagram of fusion category } \mathcal{C} \text{ and module categories } \mathcal{M}, \mathcal{N} \end{array} \right) \rightarrow \mathbf{3Vect} : \begin{cases} \text{a fusion category } \mathcal{C} \\ \text{two right } \mathcal{C}\text{-module categories } \mathcal{M}, \mathcal{N} \\ \text{an intertwining functor } \mathcal{M} \rightarrow \mathcal{N} \end{cases}$$

## Hopf algebras and fusion categories - a sketch

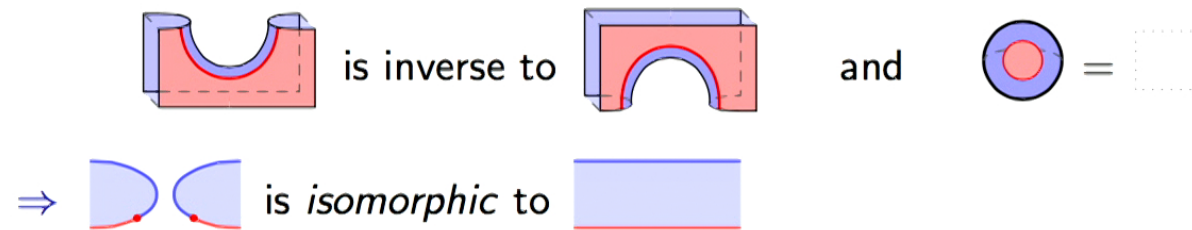
This 3-functor factors through  $\mathbb{H}$  if the following hold:



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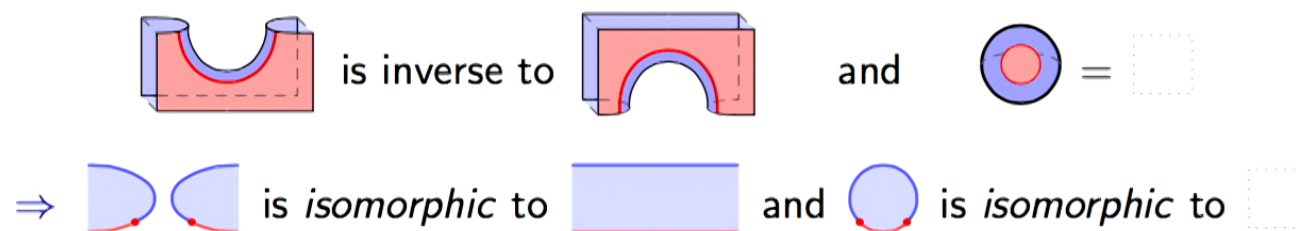
## Hopf algebras and fusion categories - a sketch

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## Hopf algebras and fusion categories - a sketch

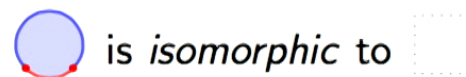
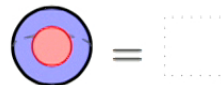
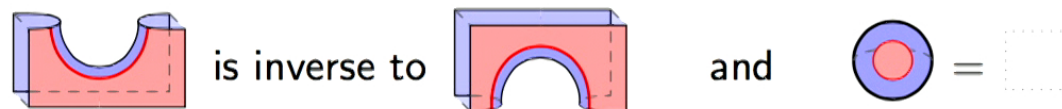
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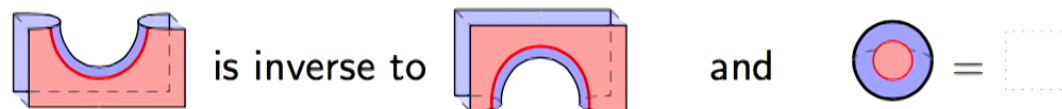
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In other words,  :  $\mathcal{M} \boxtimes_{\mathcal{C}} \mathcal{N} \rightarrow \mathbf{Vect}$  is an *adjoint equivalence*!

## Hopf algebras and fusion categories - a sketch

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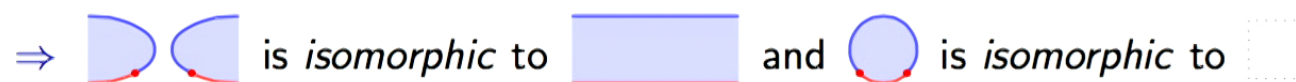
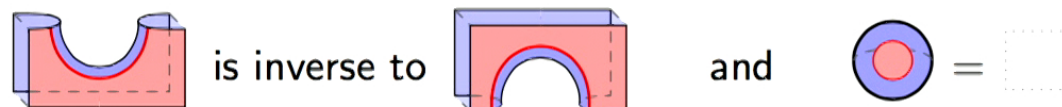
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Data of a 3-functor  $\mathbb{H} \rightarrow \mathbf{3Vect}$  :

- $\left\{ \begin{array}{l} \text{a fusion category } \mathcal{C} \\ \text{a left and a right module category } \mathcal{M}, \mathcal{N} \\ \text{an adjoint equivalence } \mathcal{M} \boxtimes_{\mathcal{C}} \mathcal{N} \rightarrow \mathbf{Vect} \end{array} \right.$

## Hopf algebras and fusion categories - a sketch

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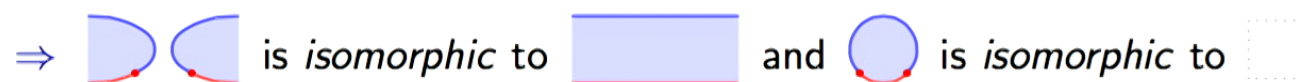
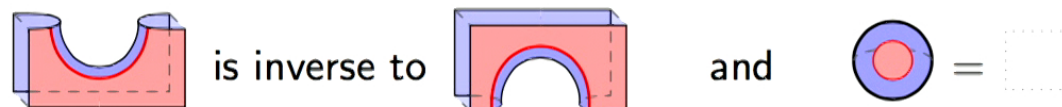
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
If  $\mathcal{M}$  is the *regular* module  $\mathcal{C}$ , then  $\mathcal{C} \boxtimes_{\mathcal{C}} \mathcal{N} \cong \mathcal{N}$



## Hopf algebras and fusion categories - a sketch

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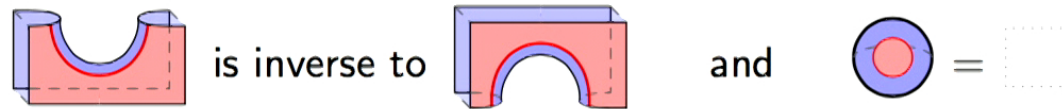
If  $\mathcal{M}$  is the *regular* module  $\mathcal{C}$ , then  $\mathcal{C} \boxtimes_{\mathcal{C}} \mathcal{N} \cong \mathcal{N} \cong \mathbf{Vect}$ .

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## Hopf algebras and fusion categories - a sketch

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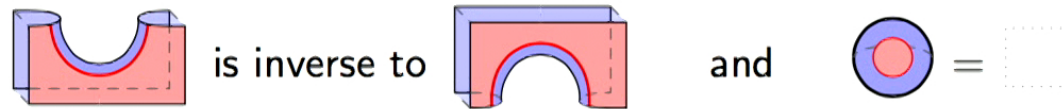
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A  $\mathcal{C}$ -module structure on  $\mathbf{Vect}$  is the same as a monoidal functor  $\mathcal{C} \rightarrow \mathbf{Vect}$ .

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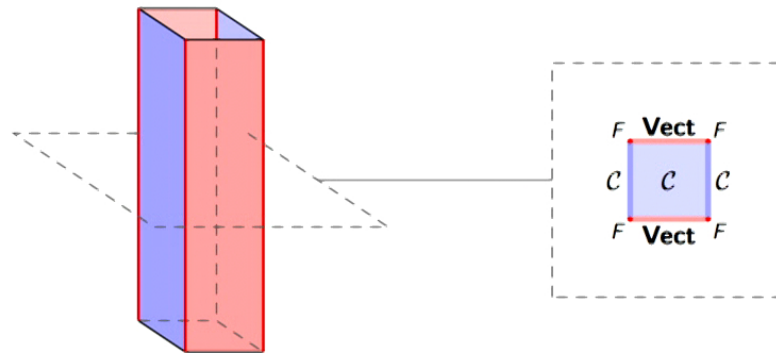
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Data of a 3-functor  $\mathbb{H} \rightarrow \mathbf{3Vect}$  with  $\mathcal{M} = \mathcal{C}$  :  $\begin{cases} \text{a fusion category } \mathcal{C} \\ \text{a monoidal functor } \mathcal{C} \rightarrow \mathbf{Vect} \end{cases}$

## Tannaka reconstruction

Given a fusion category  $\mathcal{C}$  with a monoidal functor  $\mathcal{C} \xrightarrow{F} \mathbf{Vect}$   
 $\Rightarrow$  The following vector space is a unimodular Hopf algebra:

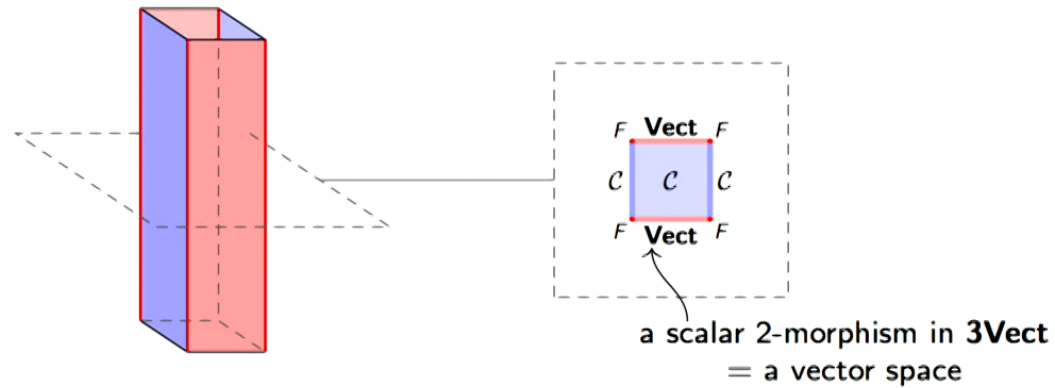


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## Tannaka reconstruction

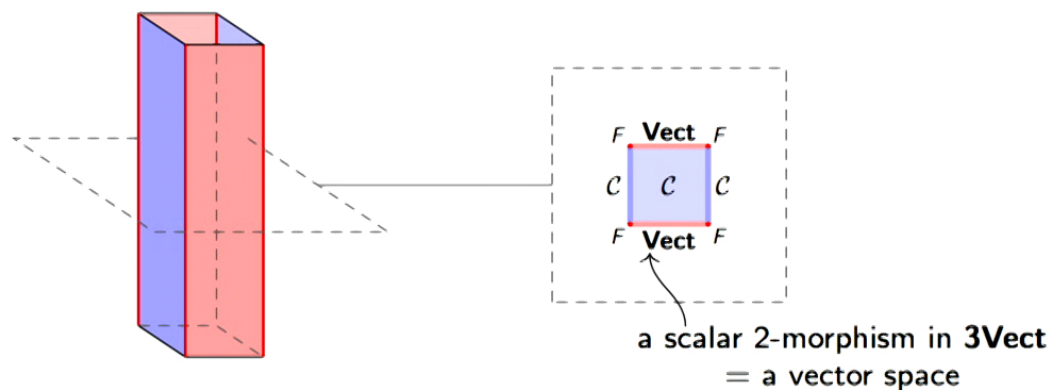
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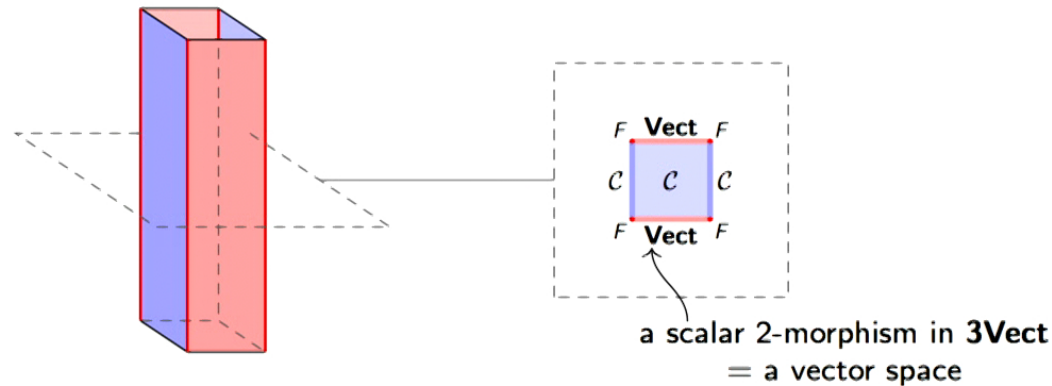


This is a version of Tannaka reconstruction:

If  $\mathcal{C} = \text{Rep}(H) \xrightarrow{\text{forget}} \mathbf{Vect}$ , this recovers the Hopf algebra  $H$ .

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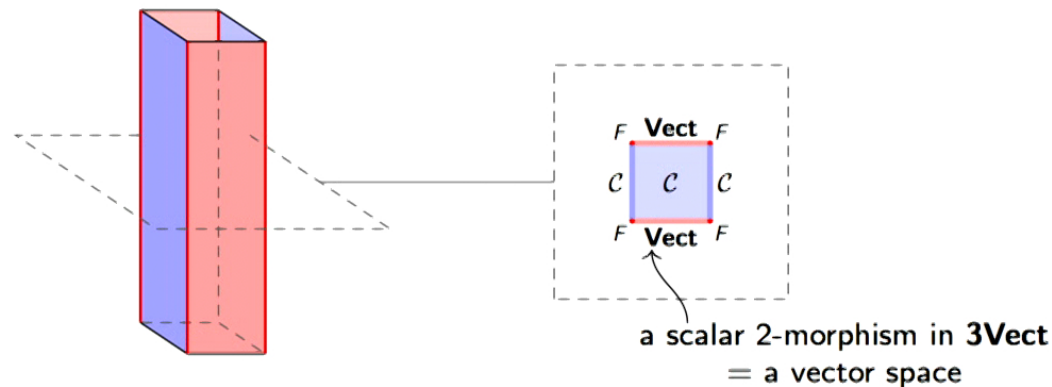
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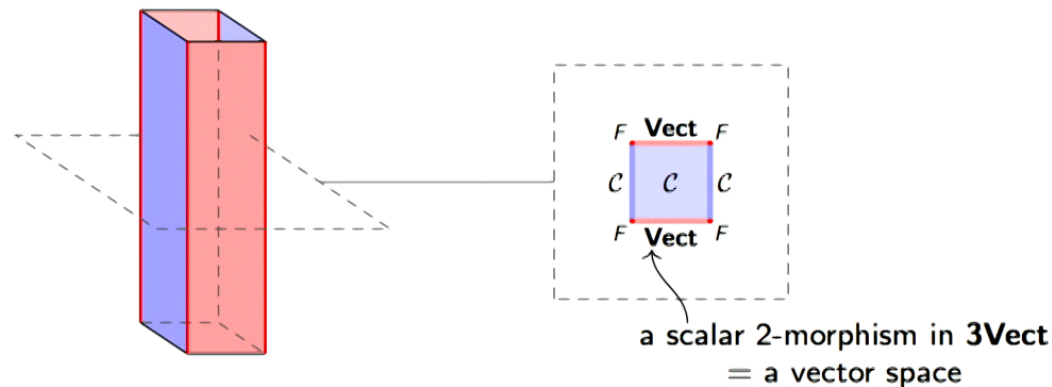
*Proof.* Follows from an old result of M. Müger.<sup>1</sup>

<sup>1</sup>Theorem 6.20 in [Müger, *From subfactors to categories and topology I*, 2003]



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**Question.**

Is there a completely graphical proof,  
 independent of the target  $3\mathbf{Vect}$ ?

$H$ .

it is of the form

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# Part 6

## Lattice models

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David Reutter

Hopf algebras and 3-categories

August 3, 2017

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## Lattice models and **3Vect**

Kitaev or Levin-Wen lattice models with boundaries  $\Rightarrow$  defect TQFTs

## Lattice models and **3Vect**

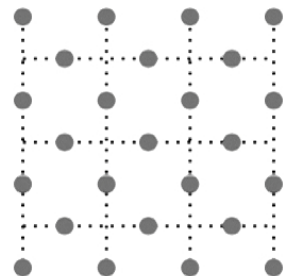
Kitaev or Levin-Wen lattice models with boundaries  $\Rightarrow$  defect TQFTs

bulk of lattice  $\rightsquigarrow$  a fusion category  $\mathcal{C}$   
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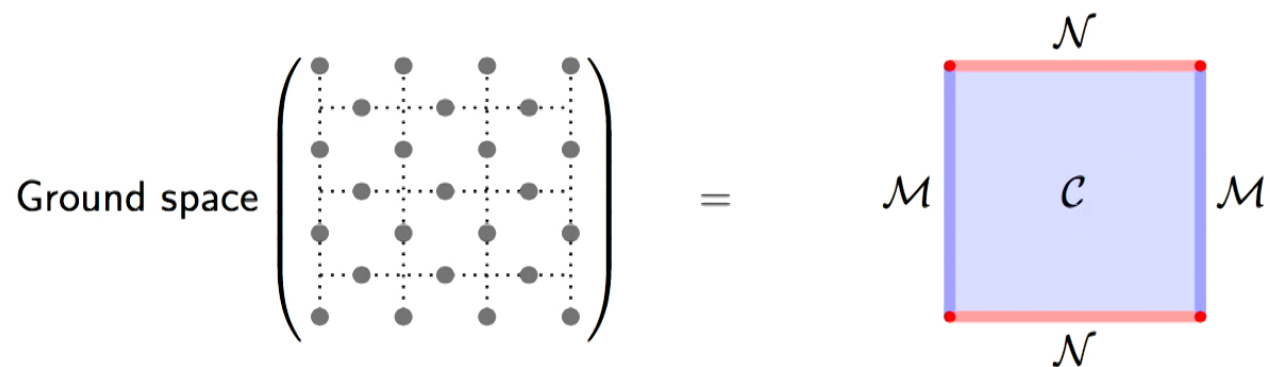




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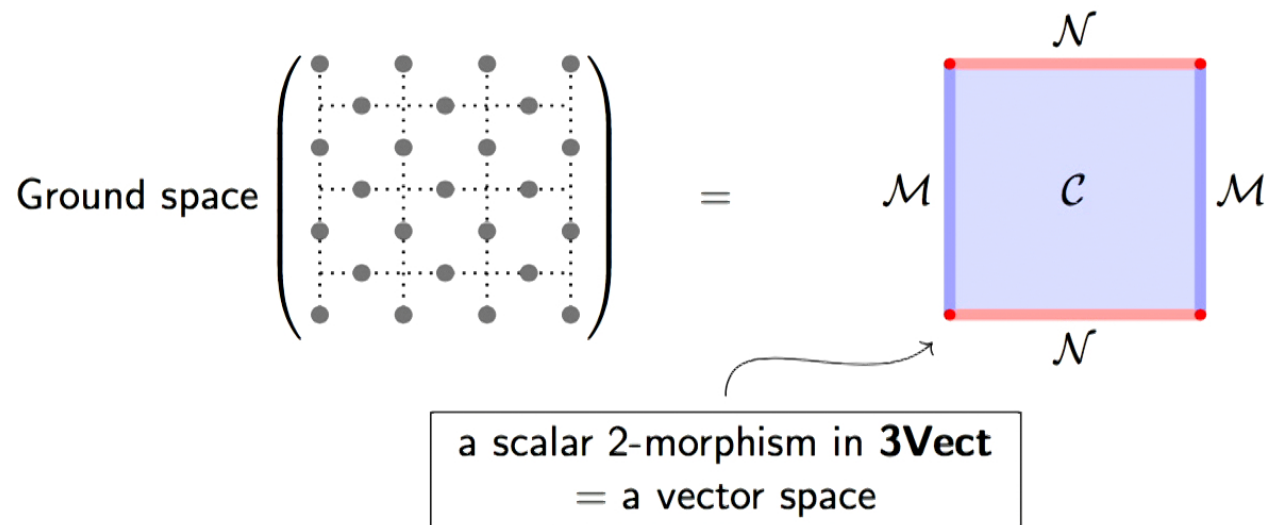
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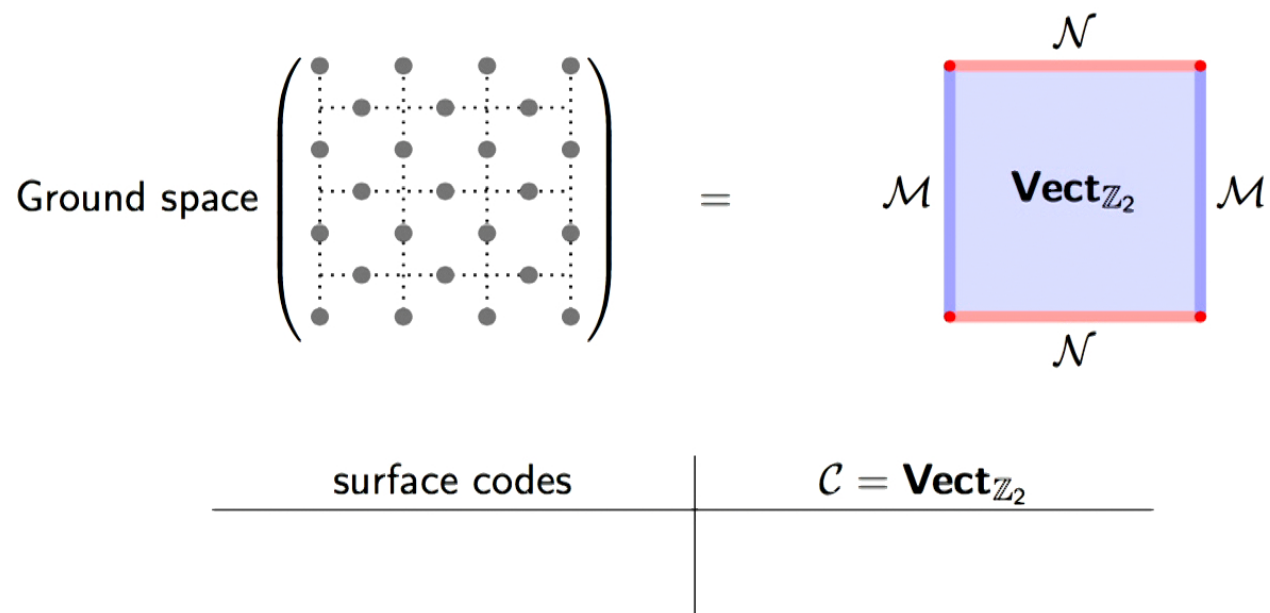
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Ground space  $\left( \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \\ \vdots \quad \vdots \quad \vdots \quad \vdots \\ \bullet \quad \bullet \quad \bullet \quad \bullet \end{array} \right) =$

surface codes	$\mathcal{C} = \mathbf{Vect}_{\mathbb{Z}_2}$
two possible boundaries: <i>smooth</i> and <i>rough</i>	two module categories: $\mathbf{Vect}_{\mathbb{Z}_2}$ and $\mathbf{Vect}$



## Lattice surgery

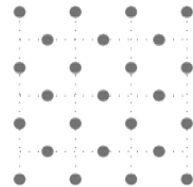
topologically protected operations on surface codes via  
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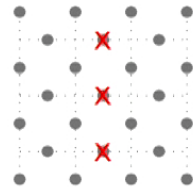


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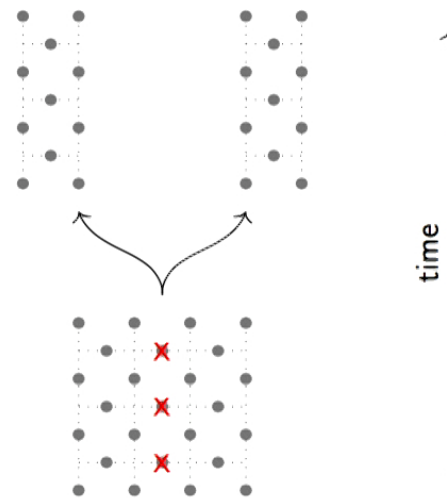


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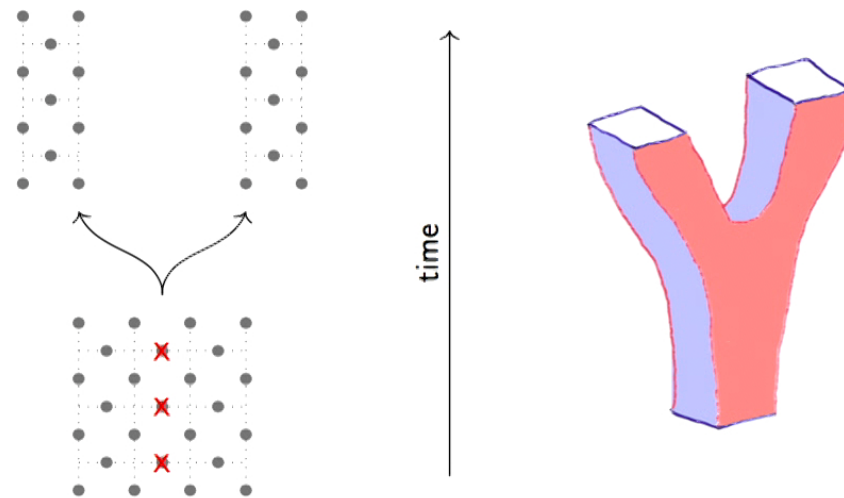


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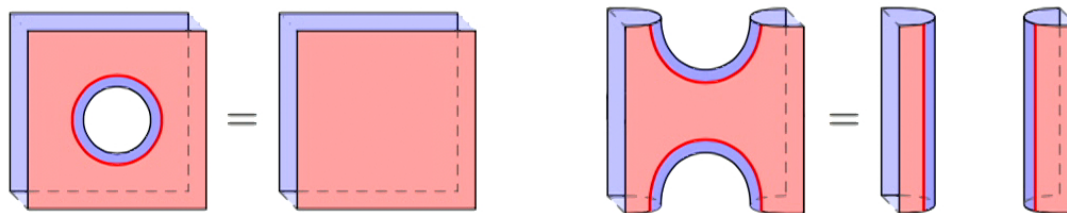
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## The End

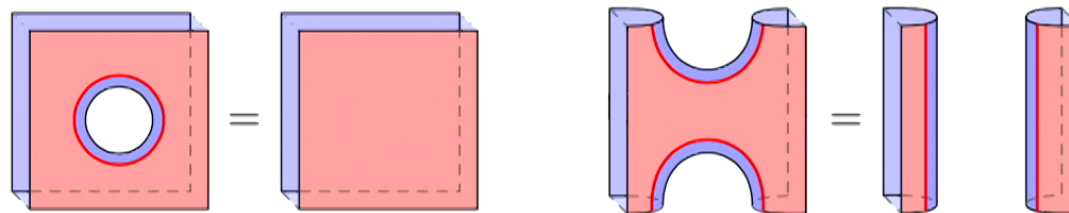
- Many open questions:
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  - ▶ For a Frobenius algebra in a monoidal category  $\mathcal{C}$ , there is a 2-category  $\mathcal{C} \hookrightarrow \hat{\mathcal{C}}$  such that the Frobenius algebra comes from a dualizable 1-morphism in  $\hat{\mathcal{C}}$ . Is something similar true for Hopf algebras?
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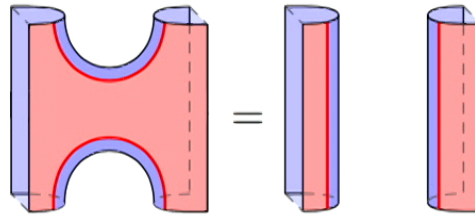
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## Weak Hopf algebras

If we *drop* the second condition

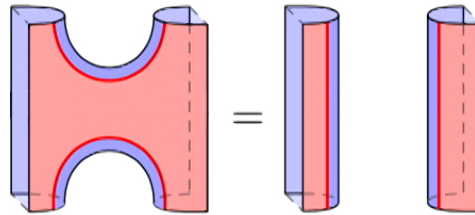


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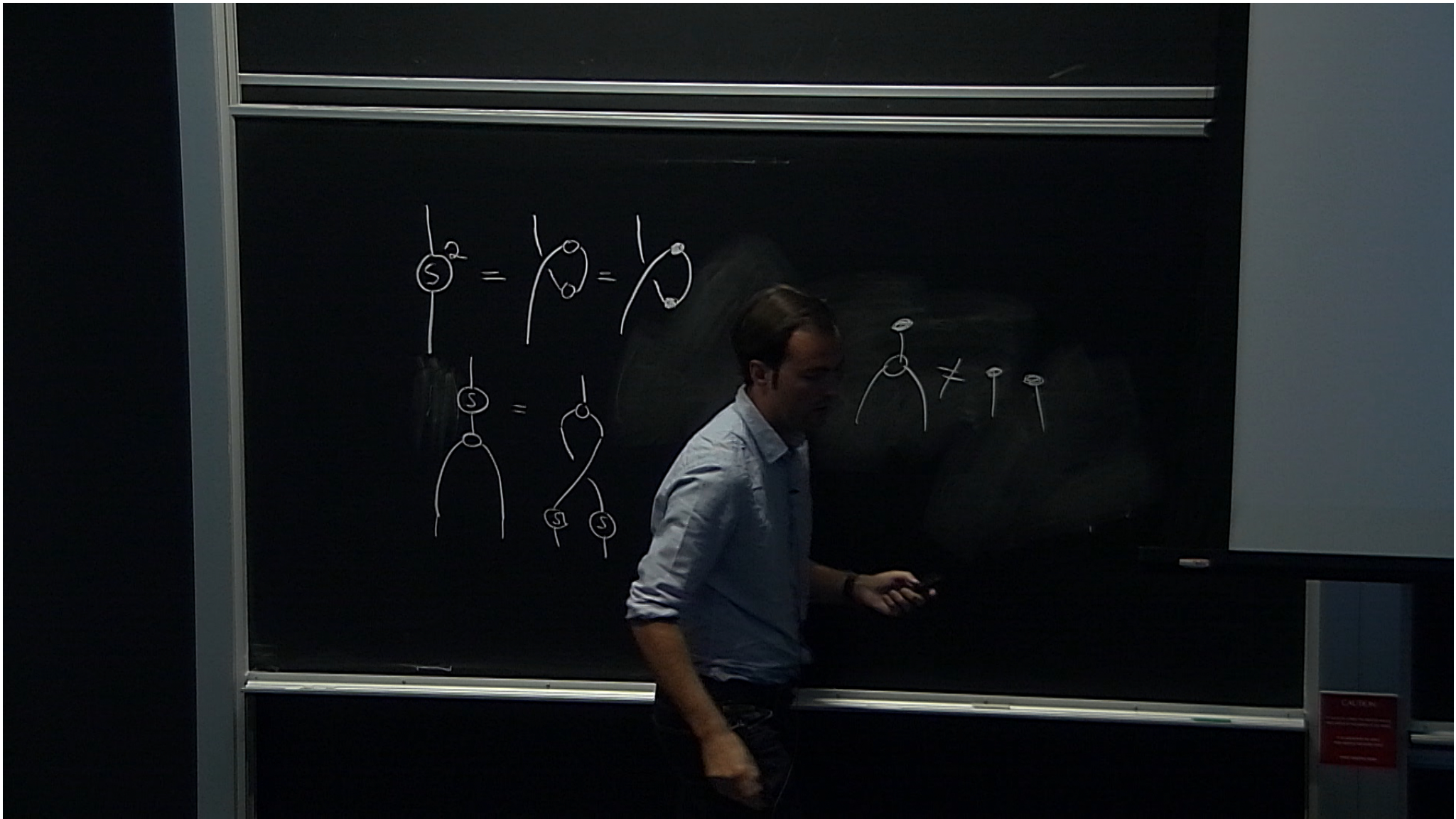
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but have more functors  $\mathbb{H} \rightarrow \mathbf{3Vect}$ .



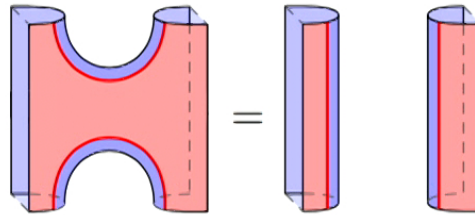




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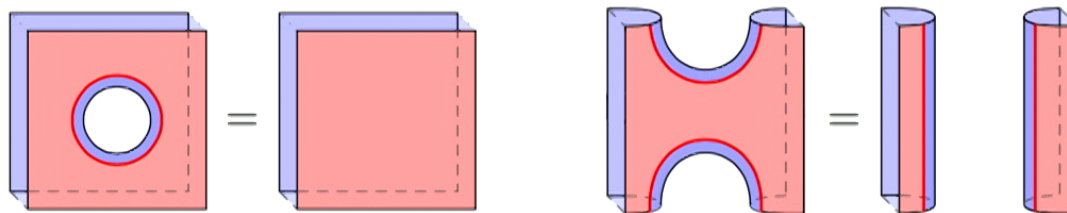
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In fact, every fusion category induces such a functor. The corresponding Hopf algebra coincides with the Kitaev-Kong construction.



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