

Title: Topological defects and higher-categorical structures

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Abstract: I will discuss some (higher-)categorical structures present in three-dimensional topological field theories that include topological defects of any codimension. The emphasis will be on two topics:

(1) For Reshetikhin-Turaev type theories, regarded as 3-2-1-extended TFTs, I will explain why codimension-1 boundaries and defects form bicategories of module categories over suitable fusion categories.

In the case of defects separating three-dimensional regions supporting the same theory, the relevant fusion category  $\mathcal{A}$  is the modular tensor category underlying that theory, while for defects separating two theories of Turaev-Viro type with underlying fusion categories  $\mathcal{A}_1$  and  $\mathcal{A}_2$ , respectively,  $\mathcal{A}$  is the Deligne product  $\mathcal{A}_1 \boxtimes \mathcal{A}_2^{\text{op}}$ .

(2) I will indicate the building blocks of a generalization of the TV-BW state-sum construction to theories with defects. Making use of ends and coends, various aspects of this construction can be formulated without requiring semisimplicity.

# TOPOLOGICAL DEFECTS AND HIGHER-CATEGORICAL STRUCTURES



PI  
1.8.2017

PI 1.8.17 - p. 1/25



THEME : *3-d TFT with defects of any codimension*

POSSIBLE MOTIVATIONS :

- TFT with substructures / on stratified spaces

- gapped interfaces /

- topological line defects in 2+1-dimensional topological orders

THEME : *3-d TFT with defects of any codimension*

POSSIBLE MOTIVATIONS :

- TFT with substructures / on stratified spaces
- gapped interfaces /  
topological line defects in 2+1-dimensional topological orders
- defects in general quantum field theory
- applications to 2-d conformal field theory






## Warmup: defects in QFT

- 👉 codimension-1 defect  $\text{QFT}_1 \mid \text{QFT}_2$ 
  - = interface separating region supporting  $\text{QFT}_1$  from region supporting  $\text{QFT}_2$
  - ⚡ natural part of the structure of a quantum field theory
  - ⚡ physical boundaries as special case

QFT<sub>1</sub>



- 
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  - ⚡ physical boundaries as special case
- 
*topological defect*: correlators do not change when deforming the defect without crossing other substructures
- 
 natural wish list for topological defects :
  - ⚡ codimension-2 defects  $\text{DEF}_1 \mid \text{DEF}_2$  etc
    - ↪ allows for natural formulation in terms of higher categories
  - ⚡ dual defect via orientation reversal
  - ⚡ fusion product of defects via moving two defects to coincidence
  - ⚡ transparent defect as unit for fusion product of defects between equal phases
    - ↪ categories with monoidal and rigid structures

wish list continued:

subclass: *invertible* topological defects:

$$D \otimes D^\vee \cong \mathbf{1} \cong D^\vee \otimes D$$

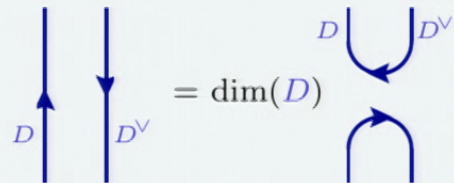


wish list continued :

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$$D \otimes D^\vee \cong \mathbf{1} \cong D^\vee \otimes D$$

⚡ basic property :



drawn for  $d = 2$

$\dim(D) = \pm 1$

~ identity of correlators when applied locally in any configuration of fields & defects

⚡ invertible defects form a group under fusion

⚡ act on all data of the theory as a *symmetry group*

wish list continued :

subclass : *invertible* topological defects :

$$D \otimes D^\vee \cong 1 \cong D^\vee \otimes D$$

basic property :

$$\begin{array}{c} \downarrow D \\ \uparrow D^\vee \end{array} = \dim(D) \begin{array}{c} \downarrow D \\ \uparrow D^\vee \end{array}$$

drawn for  $d = 2$   
 $\dim(D) = \pm 1$

wrapping of a topological defect around a bulk field :

$$\begin{array}{c} \text{loop } D \text{ around } \phi \end{array} = \sum_{\text{intermediate defects } D_i} \begin{array}{c} \text{loop } D \text{ around } \phi \text{ with } D_i \end{array}$$

i.e. bulk field turned into disorder field(s)

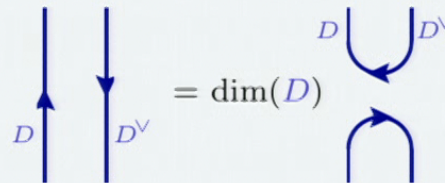


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■ subclass : *duality* defects :

additional wrapping with dual defect turns disorder field back to bulk field

⚡ happens if and only if  $D \otimes D^\vee =$  direct sum of invertible defects

⚡ furnishes *order-disorder duality*

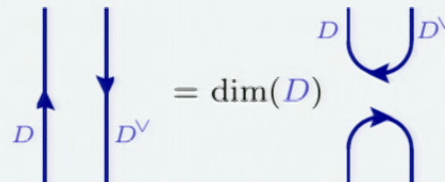
⚡ again action on all field theoretic quantities

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known to be true for 2-d RCFT :

■ defects form a rigid monoidal category

■ symmetries and order-disorder dualities

J-RUNKEL-SCHWEIGERT 2002

J-FJELSTAD-RUNKEL-SCHWEIGERT 2008

J-FRÖHLICH-RUNKEL-SCHWEIGERT 2007



## (I) RT-type TFT with defects

## DEFINITION — Cobordism bicategory

monoidal bicategory  $\text{Cobord}_{3,2,1}$  :

- ⚡ objects = closed oriented 1-manifolds  $S$
- ⚡ 1-morphisms = spans  $S \rightarrow M \leftarrow S'$   
with  $M$  oriented 2-manifold with boundary  $\partial M = -S \sqcup S'$
- ⚡ 2-morphisms = 3-manifolds with corners up to diffeomorphisms
- ⚡ tensor product = disjoint union



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## DEFINITION — 2-vector spaces

- ☞ monoidal bicategory  $2\text{-Vect}$  :
  - ⚡ objects = semisimple finite  $\mathbb{k}$ -linear abelian categories
  - ⚡ 1-morphisms =  $\mathbb{k}$ -linear functors
  - ⚡ 2-morphisms =  $\mathbb{k}$ -linear natural transformations
  - ⚡ tensor product = Deligne product  $\boxtimes$

## DEFINITION — Extended 3-d TFT —

☞ 3-2-1 extended oriented topological field theory

$:=$  symmetric monoidal 2-functor  $\mathbf{tft}_{3,2,1} : \mathbf{Cobord}_{3,2,1} \longrightarrow 2\text{-Vect}$



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## COMMENT —

in more detail :

☞ closed oriented 1-manifold  $S \longmapsto$  linear category  $\mathbf{tft}(S)$

⚡ in particular for the empty 1-manifold :  $\mathbf{tft}(\emptyset) = \mathbf{Vect}$

☞ span  $S \rightarrow M \leftarrow S' \longmapsto$  linear functor  $\mathbf{tft}(S) \xrightarrow{\mathbf{tft}(M)} \mathbf{tft}(S')$

⚡ in particular for closed 2-manifolds  $M$  :

linear functor  $\mathbf{tft}(M) : \mathbf{Vect} \longrightarrow \mathbf{Vect}$  ( thus vector space  $\mathbf{tft}(M)(\mathbb{k})$  )

⚡ in particular for the empty 2-manifold :  $\mathbf{tft}(\emptyset) = \mathbb{k}$

☞ 3-manifold with corners  $\longmapsto$  linear natural transformation

⚡ in particular for closed 3-manifolds : linear map from  $\mathbb{k}$  to  $\mathbb{k}$   
( thus a number / an invariant )

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## COMMENT

category  $\mathbf{tft}(S^1)$  for the circle  $S^1$  is

monoidal

⚡ tensor product  $\otimes$   
furnished by pair-of-pants 1-morphism





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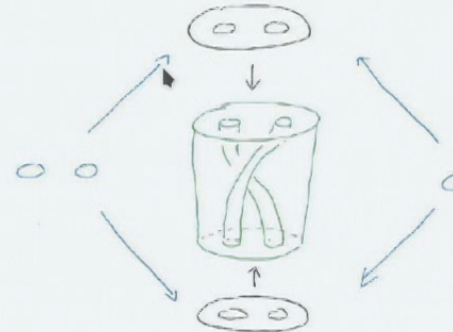
## COMMENT —

☞ category  $\mathbf{tft}(S^1)$  for the circle  $S^1$  is braided monoidal

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⚡ braiding  $\otimes \implies \otimes^{\text{op}}$   
furnished by 2-morphism



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## INFORMAL DEFINITION — Defect-ccobordism bicategory

☞ monoidal bicategory  $\mathbf{Cobord}_{3,2,1}^{\partial}$  :

- ⚡ objects = closed oriented 1-manifolds with marked points
- ⚡ 1-morphisms = spans with embedded marked 1-manifolds
- ⚡ 2-morphisms = 3-manifolds with corners up to diffeomorphisms  
with ...
- ⚡ tensor product = disjoint union



## DEFINITION — Extended 3-d TFT —

3-2-1 extended oriented topological field theory

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## DEFINITION — Extended 3-d TFT with defects —

3-2-1 extended oriented topological field theory with defects

$\coloneqq$  symmetric monoidal 2-functor  $\mathbf{tft}_{3,2,1}^{\partial} : \mathbf{Cobord}_{3,2,1}^{\partial} \longrightarrow 2\text{-Vect}$

👉 Reshetikhin-Turaev - type TFT :

- ⚡ input: a modular tensor category  $\mathcal{C}$



## ☞ Reshetikhin-Turaev - type TFT :

- ⚡ input : a modular tensor category  $\mathcal{C}$
- ⚡ Wilson lines (ribbons) in three-manifolds labeled by objects of  $\mathcal{C}$
- ⚡ insertions on Wilson lines / junctions labeled by morphisms of  $\mathcal{C}$
- ⚡ 2-d cut-and-paste boundaries on which Wilson lines can end
- ⚡ state space for cut-and-paste boundary = morphisms space  $\text{Hom}_{\mathcal{C}}(X, \mathbf{1})$

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RT-type TFT with boundaries and defects : replace  $\text{Cobord}_{3,2,1}$  by  $\text{Cobord}_{3,2,1}^{\partial}$



# RT-type TFT with defects

Topological defects

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## RT-type TFT with boundaries and defects : replace $\text{Cobord}_{3,2,1}$ by $\text{Cobord}_{3,2,1}^{\partial}$

- ⚡ in particular three-manifolds with physical boundary and/or surface defects
- ⚡ 3-d bulk regions labeled by modular tensor categories  $\mathcal{C}_1, \mathcal{C}_2, \dots$   
(bulk Wilson lines in such a region labeled by objects of  $\mathcal{C}_i$ )
- ⚡ boundary Wilson lines and defect Wilson lines
- ⚡ several layers of insertions and of junctions

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## RT-type TFT with boundaries and defects : replace $\text{Cobord}_{3,2,1}$ by $\text{Cobord}_{3,2,1}^{\partial}$

## Final goal : construct symmetric monoidal 2-functor $\text{Cobord}_{3,2,1}^{\partial} \rightarrow 2\text{-Vect}$

in particular :

- ⚡ determine labels for physical boundaries / for surface defects
- ⚡ determine labels for boundary and defect Wilson lines and for insertions

## Conjecture : *these fit together to form bicategories of module categories*

JF-SCHWEIGERT-VALENTINO 2013

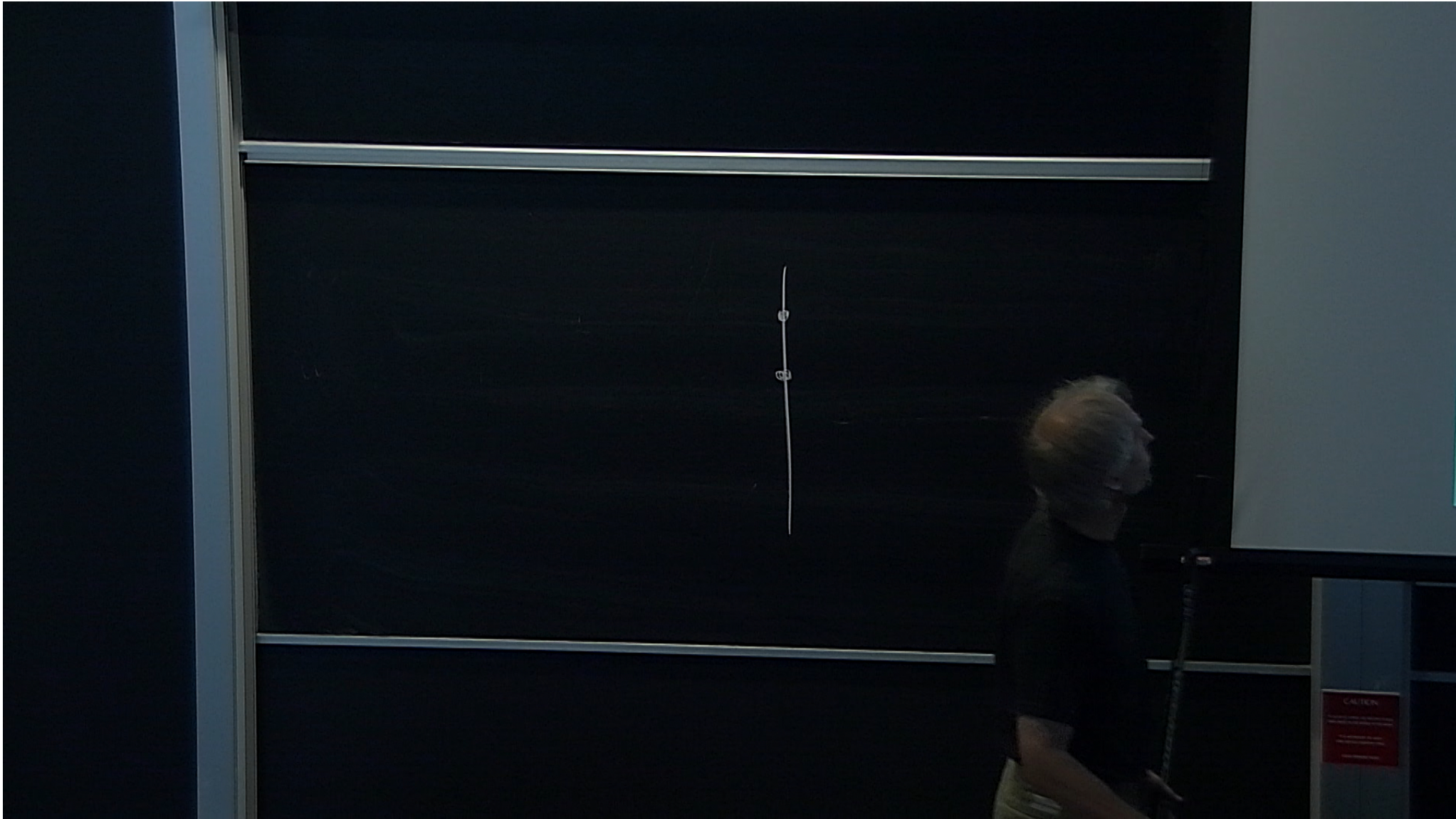


Labels for boundaries Topological defects

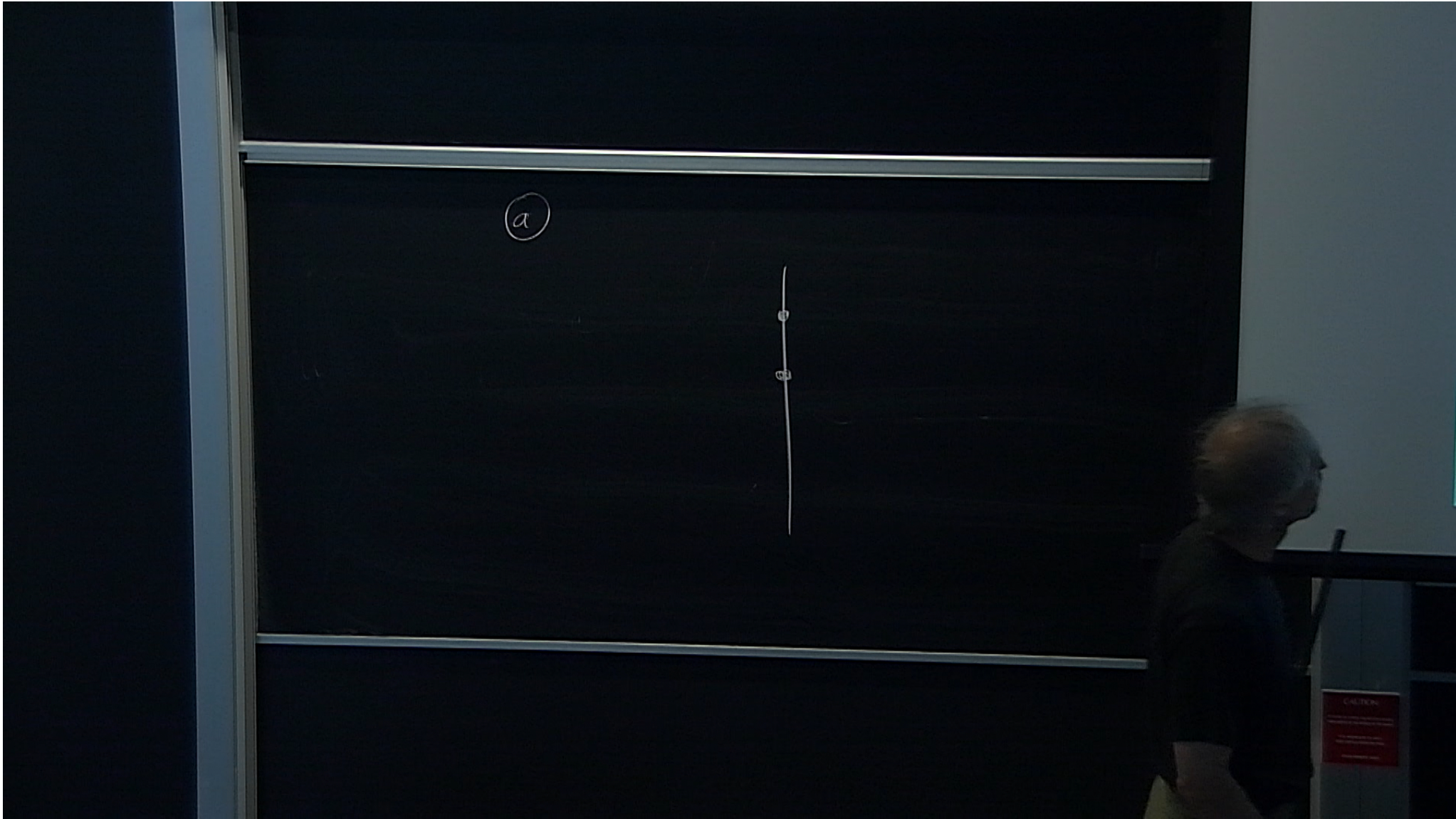
## Topological defects

- assume boundary “ $a$ ” to some bulk region labeled by a modular tensor category  $\mathcal{C}$ 
  - ⚡ can contain boundary Wilson lines
  - ⚡ Wilson line can contain insertions
  - ⚡ such insertions can be composed

category  $\mathcal{W}_a$  of Wilson lines on boundary  $a$





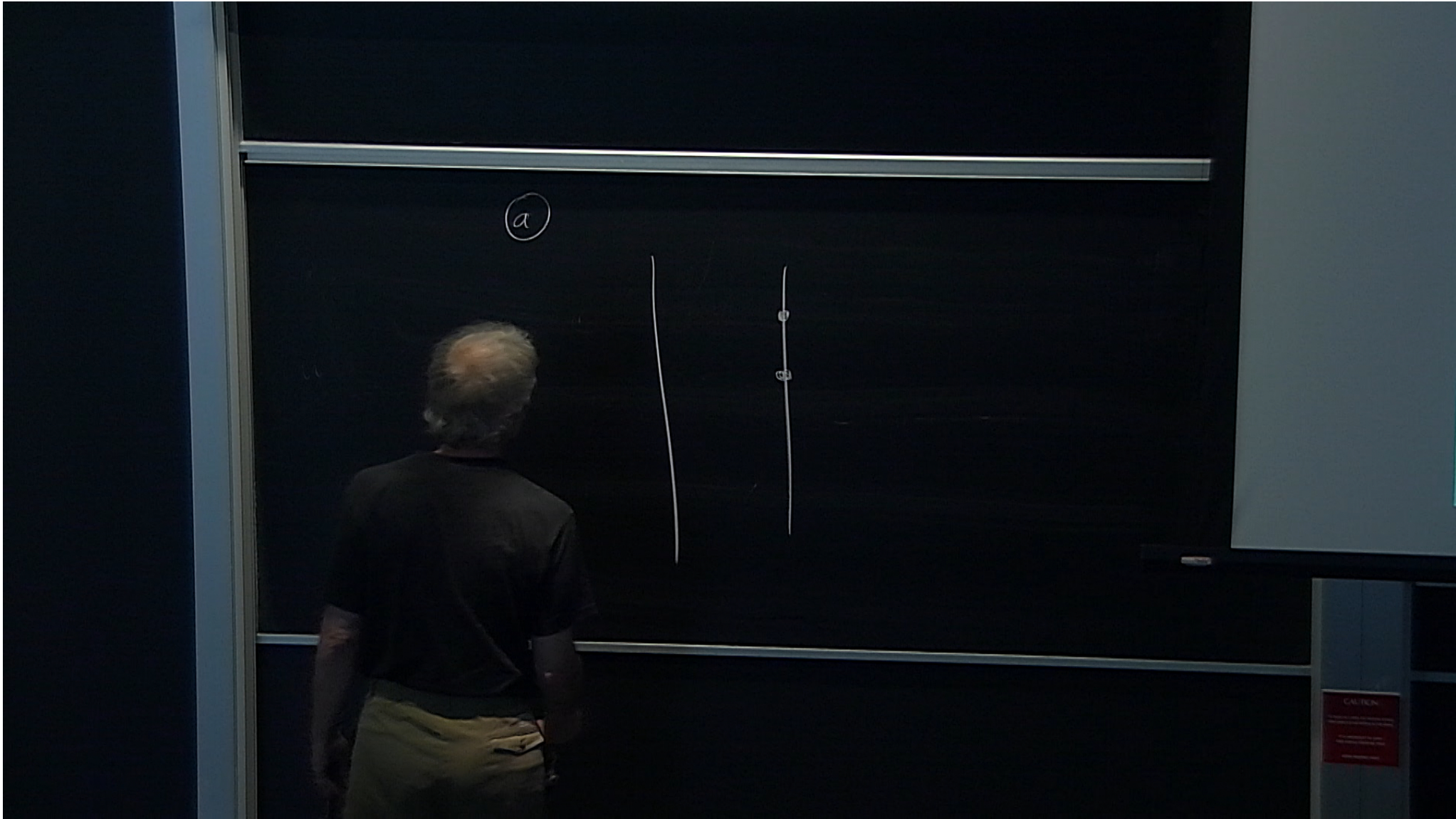


## Labels for boundaries

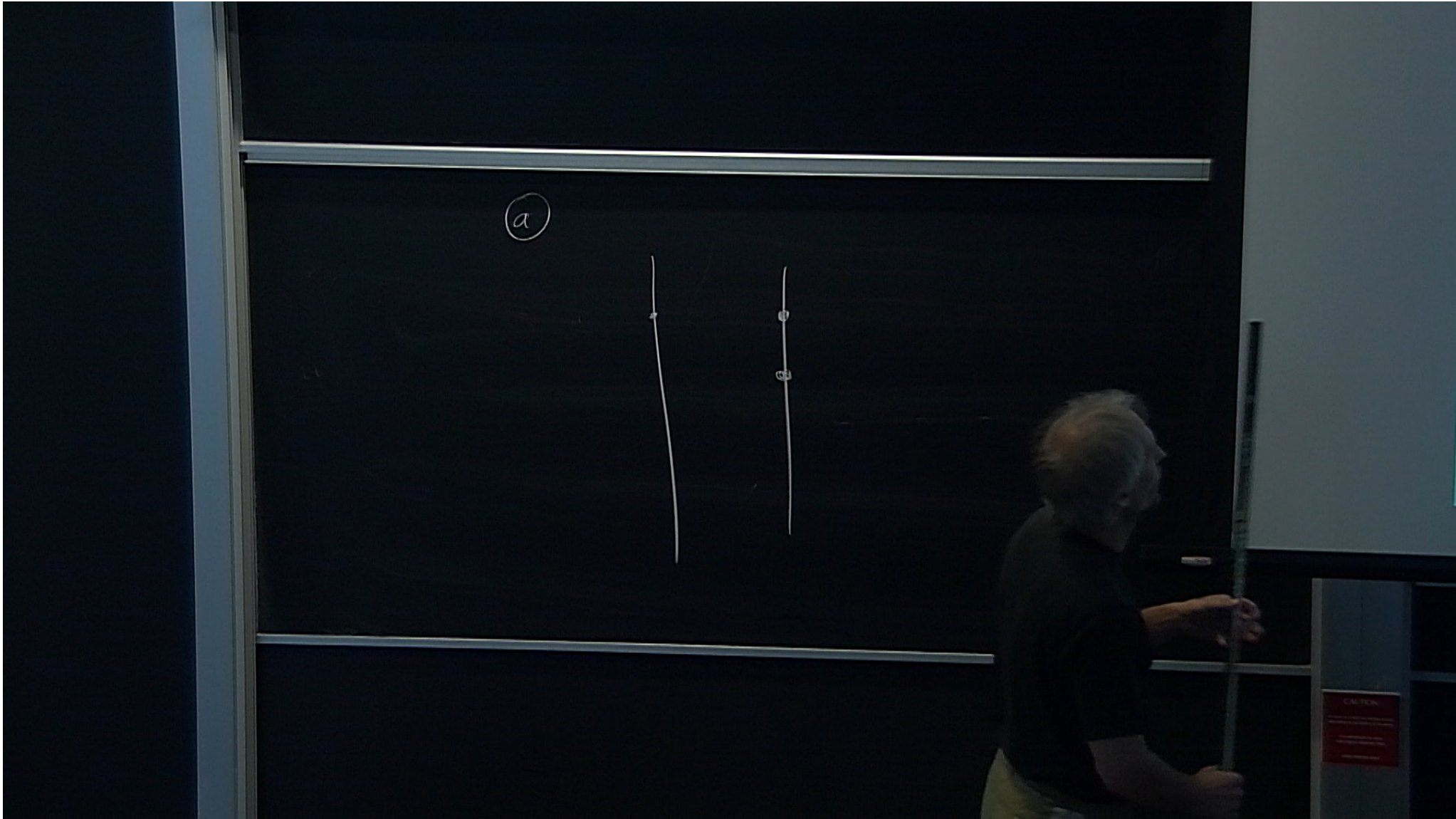
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  - ⚡ such insertions can be composed
  - ⚡ boundary Wilson lines can be fused and can be deformed

→ rigid monoidal category  $\mathcal{W}_a$  of Wilson lines on boundary  $a$

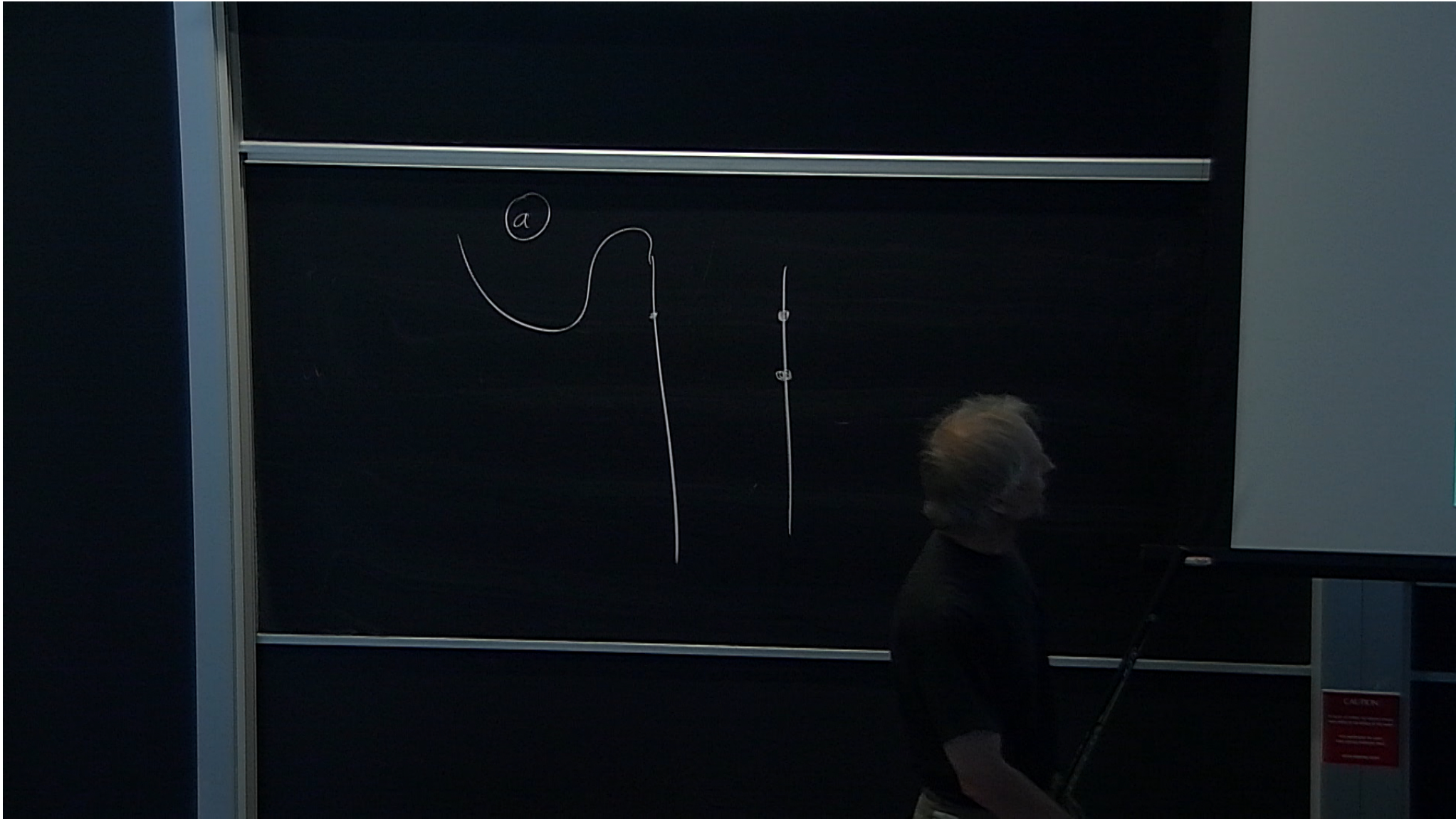












Labels for boundaries Topological defects

- assume boundary “ $a$ ” to some bulk region labeled by a modular tensor category  $\mathcal{C}$ 
  - $\leadsto$  fusion category  $\mathcal{W}_a$  of Wilson lines on boundary  $a$
- impose compatibility with process of moving bulk Wilson lines to boundary
  - $\leadsto$  functor  $F_a: \mathcal{C} \rightarrow \mathcal{W}_a$



# Labels for boundaries

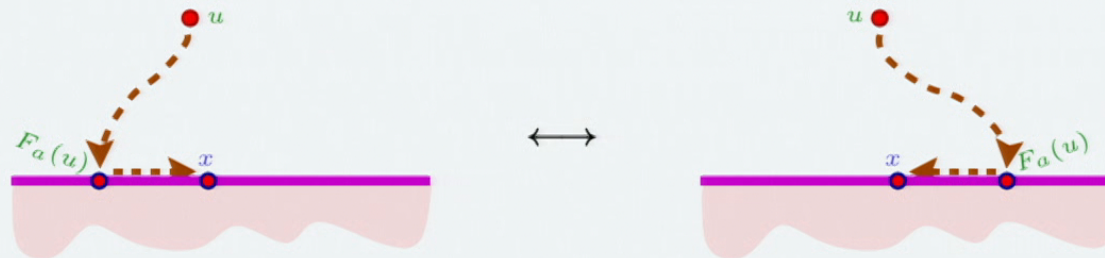
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- impose compatibility of fusion in bulk and in boundary



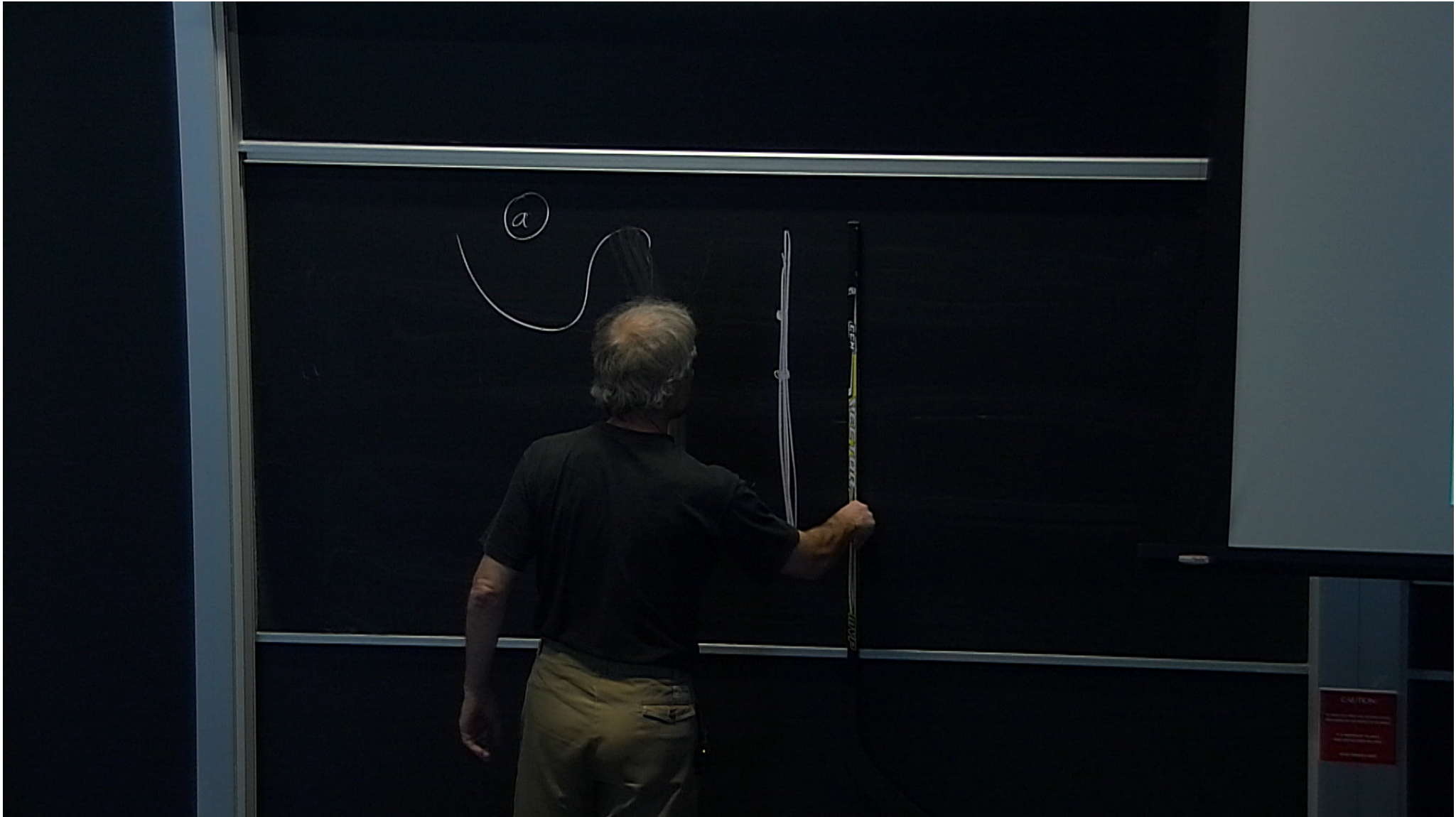
$\leadsto$  monoidal structure  $F_a(u \otimes_{\mathcal{C}} v) \xrightarrow{\cong} F_a(u) \otimes_{\mathcal{W}_a} F_a(v)$  coherently

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  - $\leadsto$  monoidal structure on  $F_a$
- impose independence from details of bulk-to-boundary process

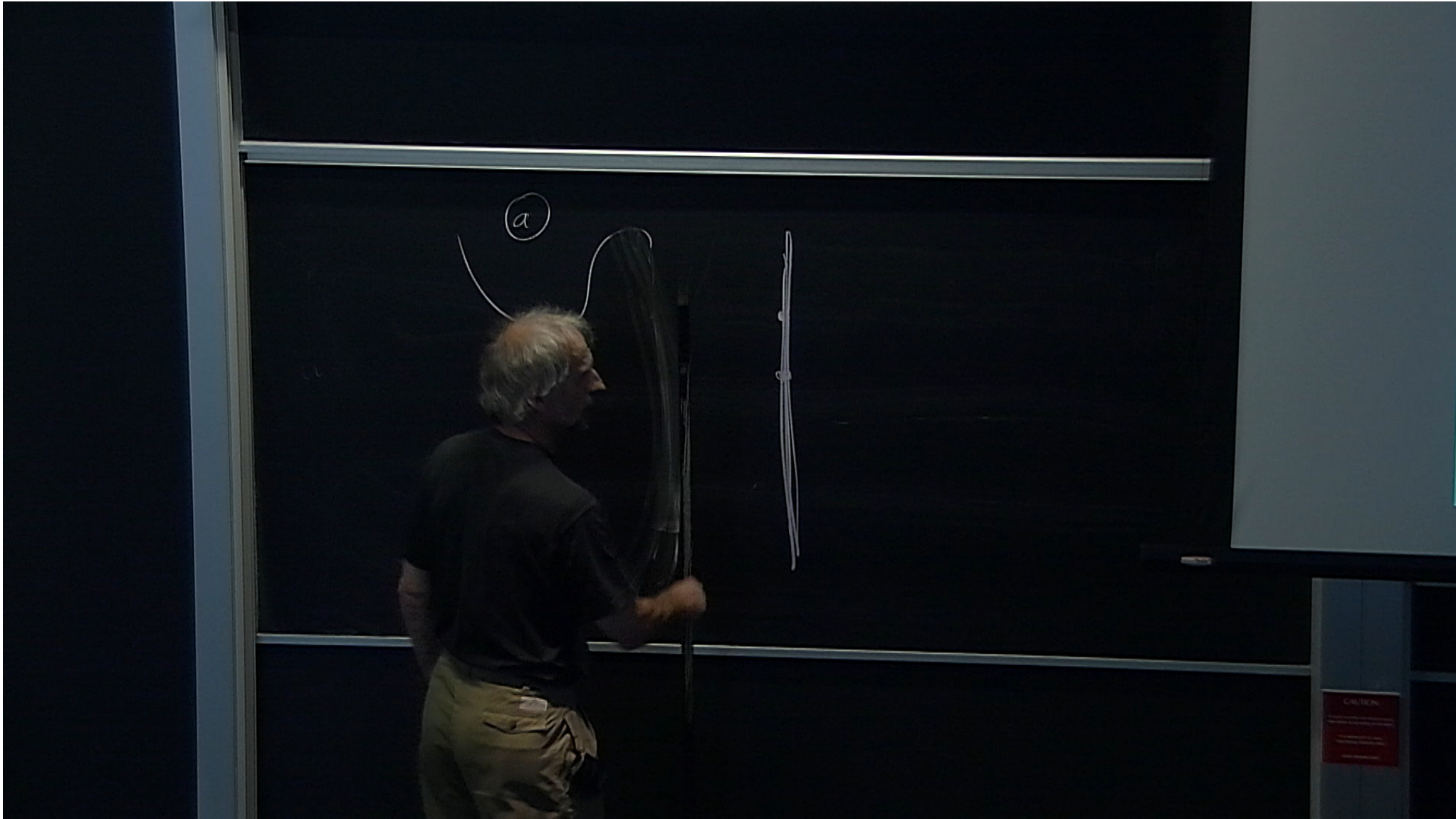


$\leadsto$  central structure  $F_a(u) \otimes_{\mathcal{W}_a} x \xrightarrow{\cong} x \otimes_{\mathcal{W}_a} F_a(u)$  coherently



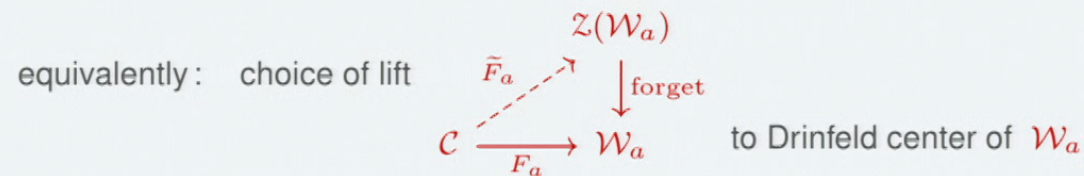








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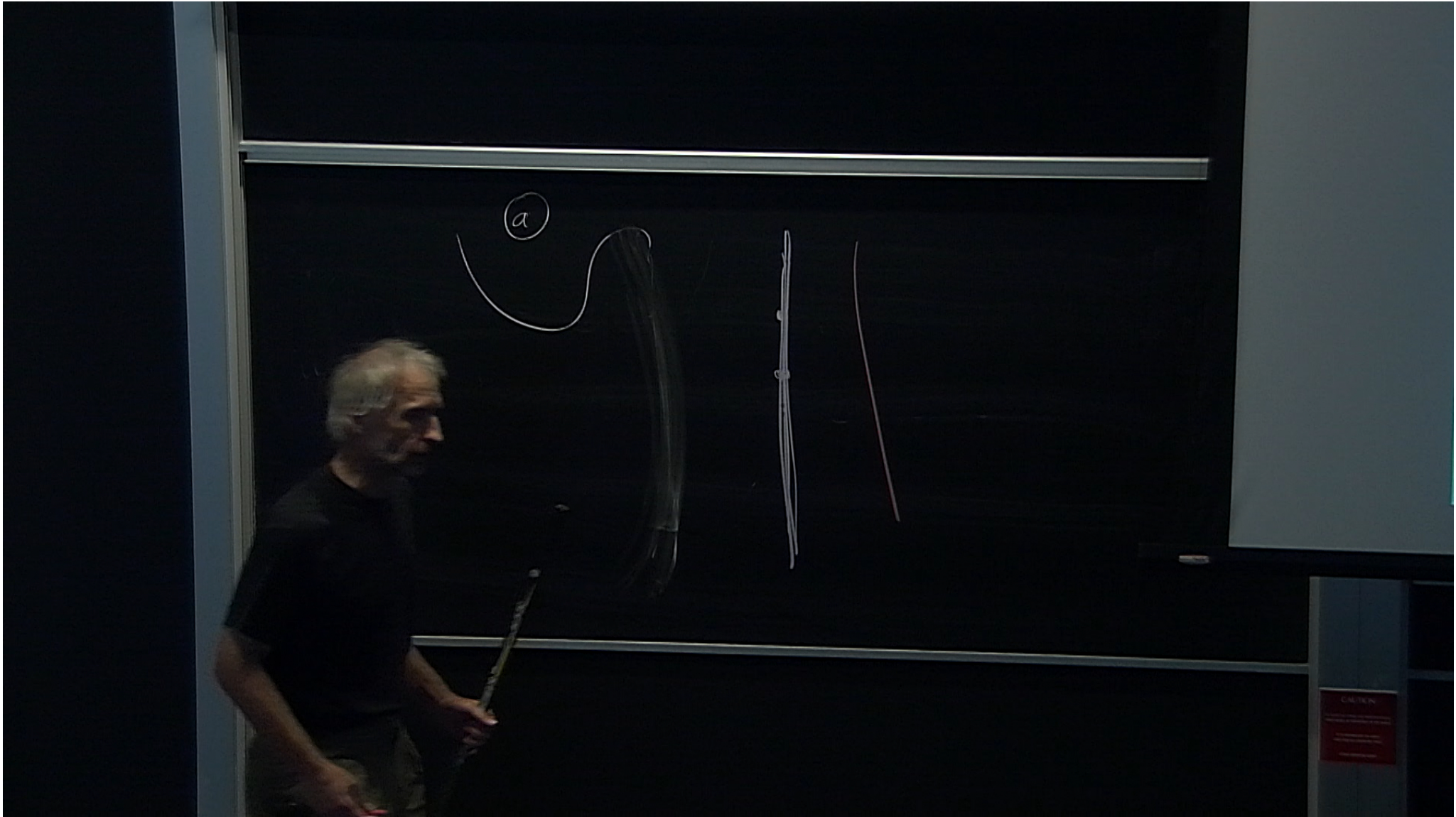
$\tilde{F}_a$  fully faithful

DAVYDOV-MÜGER-NIKSHYCH-OSTRIK 2013

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- postulate naturality :
  - only reason for being able to consistently move boundary Wilson line  $Y \in \mathcal{W}_a$  past any  $X \in \mathcal{W}_a$  should be that  $Y = F_a(u)$  for some  $u \in \mathcal{C}$ 
    - $\leadsto$  essentially surjective  $\leadsto$  braided equivalence  $\mathcal{C} \xrightarrow{\cong} \mathcal{Z}(\mathcal{W}_a)$





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Topological defects

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$$\mathcal{C} \xrightarrow{\cong} \mathcal{Z}(\mathcal{W}_a)$$

In short: compatible boundary condition for bulk region  $\mathcal{C}$

= Witt trivialization  $\tilde{F}_a: \mathcal{C} \xrightarrow{\cong} \mathcal{Z}(\mathcal{W}_a)$  for some fusion category  $\mathcal{W}_a$

## Bicategory of boundary conditions

## Topological defects

thus: for any given boundary condition  $a$ :

$$\mathcal{C} \xrightarrow{\cong} \mathcal{Z}(\mathcal{W}_a)$$

⚡ in particular **obstruction**: no compatible boundary condition unless  $[C] = 0$   
in Witt group of modular tensor categories



# Bicategory of boundary conditions

Topological defects

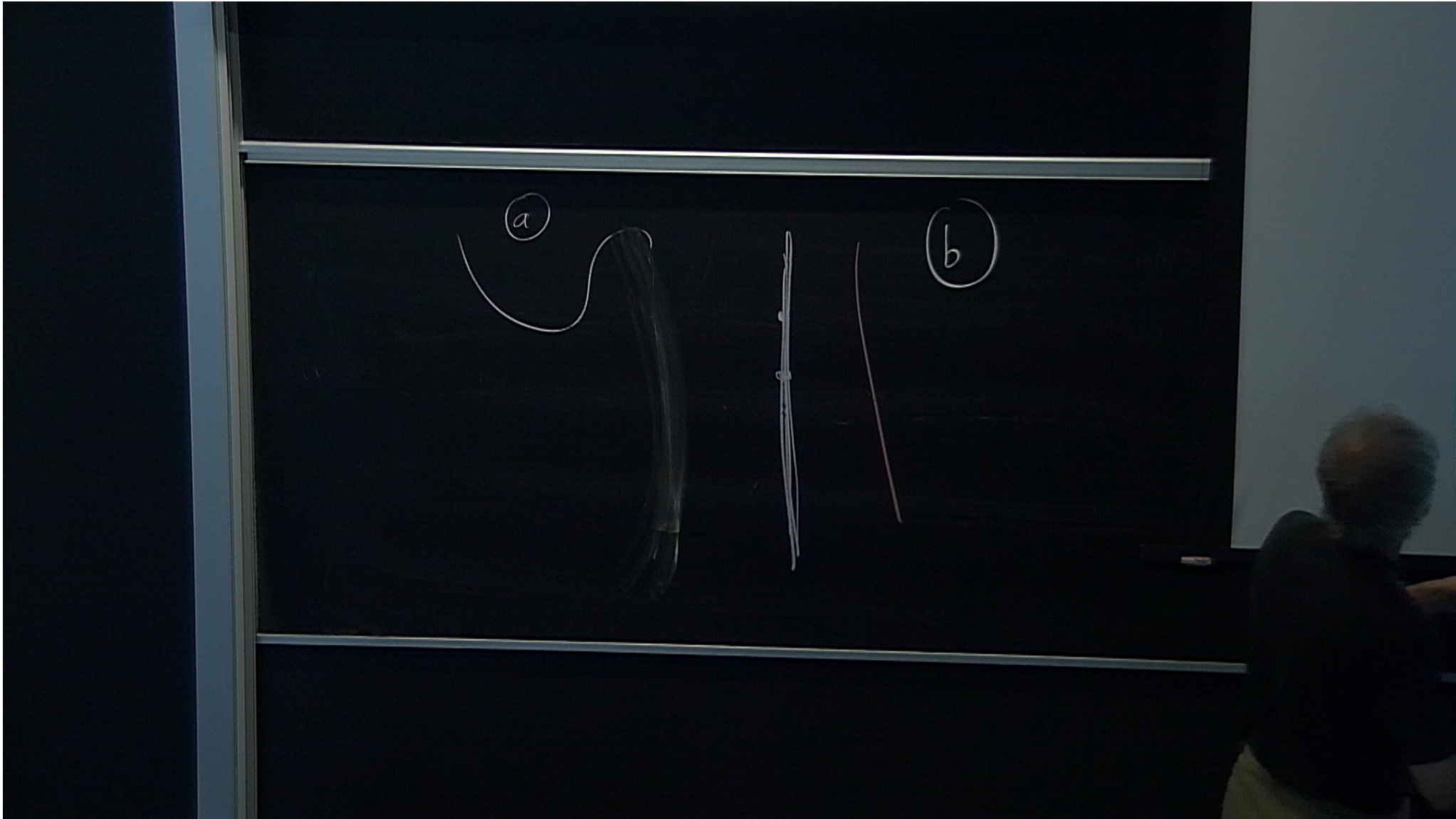
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- for any other boundary condition  $b$ :
  - another fusion category  $\mathcal{W}_b$  of Wilson lines

## Bicategory of boundary conditions

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- for any other boundary condition  $b$ :
  - also category  $\mathcal{W}_{a,b}$  of Wilson lines separating boundary region labeled  $a$  from region labeled  $b$
  - fusion of Wilson lines in region  $a \rightsquigarrow$  functor  $\mathcal{W}_a \times \mathcal{W}_{a,b} \longrightarrow \mathcal{W}_{a,b}$   
 $\rightsquigarrow \mathcal{W}_{a,b}$  left module category over  $\mathcal{W}_a$
  - likewise:  $\mathcal{W}_{a,b}$  right module category over  $\mathcal{W}_b$









## Bicategory of boundary conditions

Topological defects

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of Wilson lines separating boundary region labeled  $a$  from region labeled  $b$

fusion of Wilson lines in region  $a \rightsquigarrow$  functor  $\mathcal{W}_a \times \mathcal{W}_{a,b} \rightarrow \mathcal{W}_{a,b}$

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thus: for any given boundary condition  $a$ :

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⚡ but also:  $\mathcal{W}_{a,b}$  right module category over  $\text{End}_{\mathcal{W}_a}(\mathcal{W}_{a,b})$

module endofunctors

- thus: for any given boundary condition  $a$ :  $\mathcal{C} \xrightarrow{\cong} \mathcal{Z}(\mathcal{W}_a)$ 
  - in particular **obstruction**: no compatible boundary condition unless  $[\mathcal{C}] = 0$  in Witt group of modular tensor categories
- for any other boundary condition  $b$ :
  - also category  $\mathcal{W}_{a,b}$  of Wilson lines separating boundary region labeled  $a$  from region labeled  $b$
  - fusion of Wilson lines in region  $a \rightsquigarrow$  functor  $\mathcal{W}_a \times \mathcal{W}_{a,b} \longrightarrow \mathcal{W}_{a,b}$ 
    - $\rightsquigarrow \mathcal{W}_{a,b}$  left module category over  $\mathcal{W}_a$
  - likewise:  $\mathcal{W}_{a,b}$  right module category over  $\mathcal{W}_b$
  - but also:  $\mathcal{W}_{a,b}$  right module category over  $\text{End}_{\mathcal{W}_a}(\mathcal{W}_{a,b})$
- impose naturality:  $\text{End}_{\mathcal{W}_a}(\mathcal{W}_{a,b}) \simeq \mathcal{W}_b$
- consistency check:  $\mathcal{Z}(\text{End}_{\mathcal{W}_a}(\mathcal{W}_{a,b})) \simeq \mathcal{Z}(\mathcal{W}_a)$  canonically

SCHAUENBURG 2001



- 
- 
- 
- 
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  - $\implies$  can work with a single *reference boundary condition*
- 
- **Conjecture**: *boundary conditions for  $\mathcal{C}$  form the bicategory  $\mathcal{W}_a\text{-Mod}$  of module categories over a fusion category  $\mathcal{W}_a$  satisfying  $\mathcal{Z}(\mathcal{W}_a) \simeq \mathcal{C}$*

COMMENT

boundary conditions given by  $\mathcal{W}_a\text{-Mod}$

$\Rightarrow$

$\mathcal{W}_{b,c} \simeq \text{Fun}_{\mathcal{W}_a}(\mathcal{W}_b, \mathcal{W}_c)$  for any pair  $b, c$  of boundary conditions



## COMMENT

boundary conditions given by  $\mathcal{W}_a\text{-Mod}$

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## COMMENT

via  $\mathcal{C} \xrightarrow{\simeq} \mathcal{Z}(\mathcal{W}_a) \xrightarrow{\text{forget}} \mathcal{W}_a$

any  $\mathcal{W}_a$ -module  $\mathcal{M}$  has natural structure of  $\mathcal{C}$ -module  
but not every  $\mathcal{C}$ -module over a Witt-trivial  $\mathcal{C}$  gives a boundary condition

illustration:  $\mathcal{C} = \mathcal{Z}(\text{Vect}(\mathbb{Z}_2))$  (toric code)

⚡ 6 inequivalent indecomposable  $\mathcal{C}$ -modules

⚡ 2 inequivalent indecomposable  $\text{Vect}(\mathbb{Z}_2)$ -modules

⚡ 2 elementary boundary conditions

BRAVYI-KITAEV 2001

# Bicategory of surface defects

Topological defects

analysis for **surface defects** analogous :

⚡ defect  $d$  separating bulk regions labeled by  $\mathcal{C}_1$  and  $\mathcal{C}_2$

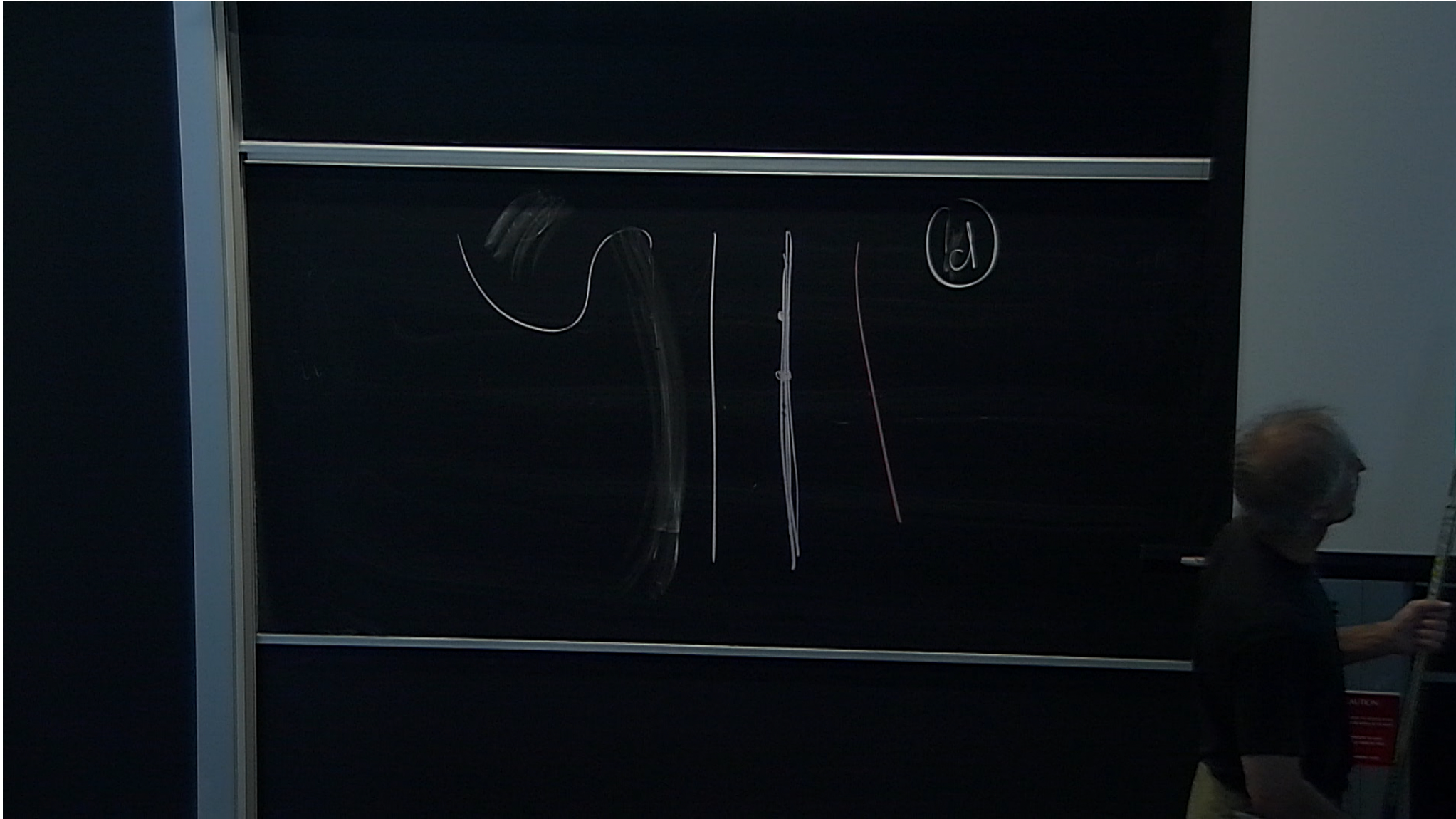
⚡ two monoidal functors  $\mathcal{C}_1 \rightarrow \mathcal{W}_d$  and  $\mathcal{C}_2^{\text{rev}} \rightarrow \mathcal{W}_d$  to fusion category  $\mathcal{W}_d$

⚡ combine to central functor  $\mathcal{C}_1 \boxtimes \mathcal{C}_2^{\text{rev}} \rightarrow \mathcal{W}_d$

inverse braiding

Deligne product





analysis for **surface defects** analogous :

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⚡ naturality  $\leadsto$  braided equivalence  $\mathcal{C}_1 \boxtimes \mathcal{C}_2^{\text{rev}} \xrightarrow{\simeq} \mathcal{Z}(\mathcal{W}_d)$

⚡ obstruction : no defects between  $\mathcal{C}_1$  and  $\mathcal{C}_2$  unless  $[\mathcal{C}_1] = [\mathcal{C}_2]$  in Witt group



analysis for *surface defects* analogous :

⚡ defect  $d$  separating bulk regions labeled by  $\mathcal{C}_1$  and  $\mathcal{C}_2$

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conclude : *defects separating  $\mathcal{C}_1$  from  $\mathcal{C}_2$  form bicategory  $\mathcal{W}_d\text{-Mod}$*

of module categories over a fusion category  $\mathcal{W}_d$  satisfying  $\mathcal{Z}(\mathcal{W}_d) \simeq \mathcal{C}_1 \boxtimes \mathcal{C}_2^{\text{rev}}$

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## EXAMPLE

- canonical Witt trivialization  $\mathcal{C} \boxtimes \mathcal{C}^{\text{rev}} \xrightarrow{\cong} \mathcal{Z}(\mathcal{C})$  ( $\mathcal{C}$  modular)
- defects separating  $\mathcal{C}$  from itself =  $\mathcal{C}$ -modules
- regular  $\mathcal{C}$ -module  $(\mathcal{C}, \otimes) \leadsto$  transparent defect  $\mathcal{T}$
- $\mathcal{T}$  serves as monoidal unit for fusion of surface defects
- Wilson lines separating  $\mathcal{T}$  from itself = ordinary Wilson lines



# Bicategory of surface defects

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## EXAMPLE

- 👉 Turaev-Viro / Barrett-Westbury case :  $\mathcal{C}_1 = \mathcal{Z}(\mathcal{A}_1)$  and  $\mathcal{C}_2 = \mathcal{Z}(\mathcal{A}_2)$
- ⚡  $\mathcal{C}_1 \boxtimes \mathcal{C}_2^{\text{rev}} \simeq \mathcal{Z}(\mathcal{A}_1) \boxtimes \mathcal{Z}(\mathcal{A}_2^{\text{op}}) \simeq \mathcal{Z}(\mathcal{A}_1 \boxtimes \mathcal{A}_2^{\text{op}})$
- ⚡ thus defects separating  $\mathcal{C}_1$  from  $\mathcal{C}_2$   
form bicategory  $\mathcal{A}_1\text{-}\mathcal{A}_2\text{-Bimod}$  cp KITAEV-KONG 2012

## Bicategory of surface defects

Topological defects

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### EXAMPLE

special case of TV-BW : Dijkgraaf-Witten theories

### EXAMPLE

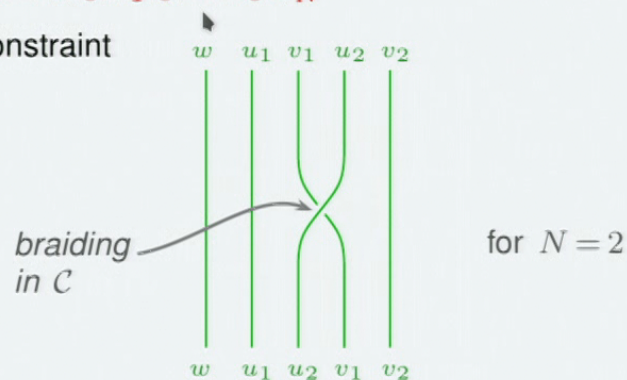
RT TFT's for multi-layer 2+1-dimensional topological orders



# Defects in TFTs for multi-layer systems

Topological defects

- classification of modules over a generic modular tensor category  $\mathcal{D}$  out of reach  
— even finding *any* indecomposable  $\mathcal{D}$ -module besides  $(\mathcal{D}, \otimes)$  can be hard
- TFT for  $N$ -layer system: modular tensor category  $\mathcal{D} = \mathcal{C}^{\boxtimes N}$   
with  $\mathcal{C}$  modular tensor category for each single layer
- generic non-trivial right  $\mathcal{D}$ -module category:  $\mathcal{P} \equiv \mathcal{P}_{\mathcal{D}} := (\mathcal{C}, \triangleleft, \alpha)$   
with  $w \triangleleft (u_1 \boxtimes \cdots \boxtimes u_N) = w \otimes u_1 \otimes \cdots \otimes u_N$   
and mixed associativity constraint



categorification of fact that commutative ring  $R$  is  $R \otimes_{\mathbb{Z}} R$ -module

# Defects in TFTs for multi-layer systems

Topological defects

- $\mathcal{D}$ -module  $\mathcal{P}$  realizable as category  $A_{\mathcal{P}}\text{-mod}$  of left  $A_{\mathcal{P}}$ -modules in  $\mathcal{D}$ 
  - $A_{\mathcal{P}} = \bigoplus_{i \in I_{\mathcal{C}}} S_i^{\vee} \boxtimes S_i$  as object
  - algebra structure directly determined by fusion of simple objects in  $\mathcal{C}$
  - $A_{\mathcal{P}}$  is symmetric special Frobenius and Azumaya
- for  $A$  Azumaya  $\Psi_A := (\alpha_A^+)^{-1} \circ \alpha_A^-$ 
  - describes transmission of bulk Wilson lines through surface defect  $A$ -mod
  - $\alpha_{A_{\mathcal{P}}}^+(u \boxtimes v) \cong \alpha_{A_{\mathcal{P}}}^-(v \boxtimes u)$  by direct calculation
  - $\implies$  transmission of bulk Wilson lines through  $\mathcal{P}$  permutes the layers
- braided induction for tensor products:  $\Psi_{A_1 \otimes A_2} = \Psi_{A_1} \circ \Psi_{A_2}$ 
  - as monoidal functors if  $A_{1,2}$  Azumaya
  - $\implies A_{\mathcal{P}} \otimes A_{\mathcal{P}}$  Morita equivalent to  $1_{\mathcal{D}}$
  - fusion rules:  $\mathcal{T} \boxtimes_{\mathcal{D}} \mathcal{P} \simeq \mathcal{P}$  and  $\mathcal{P} \boxtimes_{\mathcal{D}} \mathcal{P} \simeq \mathcal{T}$
  - categories of Wilson lines:  $\text{Fun}_{\mathcal{D}}(\mathcal{T}, \mathcal{P}) \simeq \mathcal{C} \simeq \text{Fun}_{\mathcal{D}}(\mathcal{P}, \mathcal{T})$   
 $\text{End}_{\mathcal{D}}(\mathcal{T}) \simeq \mathcal{D} \simeq \text{End}_{\mathcal{D}}(\mathcal{P})$



## Defects in Dijkgraaf-Witten theories

## Topological defects

- 👉 Dijkgraaf-Witten theory :

$$\mathcal{C} = D^\omega(G)\text{-mod} \simeq \mathcal{Z}(\text{Vect}(G)^\omega) \quad G \text{ finite group} \quad \omega \in Z^3(G, \mathbb{C}^\times)$$

$\omega$  gives holonomy on closed three-manifolds  $\rightsquigarrow$  topological bulk Lagrangian

- ⚡ two-step gauge-theoretic construction :



$$Cobord_{3,2,1} \xrightarrow{\widetilde{\text{Bun}}} SpanGrpd \quad \text{spans of groupoids}$$

## (II) State sums with defects



Framework Topological defects

## Topological defects

- 
-  Goal: construction of TFT admitting defects as well as boundaries
-  thus obstruction must vanish:  $\mathcal{D} \simeq \mathcal{Z}(\mathcal{A})$
- 
- for some spherical fusion category  $\mathcal{A}$

Goal: construction of TFT admitting defects as well as boundaries

thus obstruction must vanish:  $\mathcal{D} \simeq \mathcal{Z}(\mathcal{A})$

for some finite tensor category  $\mathcal{A}$

**INFORMAL DEFINITION** Finite tensor category

finite tensor category = fusion category minus semisimplicity

i.e.

⚡  $\mathcal{A}$  not necessarily semisimple

⚡ do not require that  $\mathcal{A}$  admits a pivotal structure

⚡ in particular do not fix a pivotal or spherical structure

categorical tools:

⚡ ends and coends

⚡ monads (monad = algebra in  $\text{End}(\mathcal{B})$  for  $\mathcal{B}$  not necessarily monoidal)



- 👉 **Goal**: construction of TFT admitting defects as well as boundaries
- 👉 thus obstruction must vanish:  $\mathcal{D} \simeq \mathcal{Z}(\mathcal{A})$   
for some finite tensor category  $\mathcal{A}$
- 👉 **Thus**: not necessarily semisimple finite  $\mathbb{k}$ -linear abelian categories
  - ⚡ as objects of  $2\text{-Vect}$
  - ⚡ as decoration data for state sum construction generalizing TV-BW
- 👉 **Limitations**:
  - ⚡ requires further structure on manifolds
  - ⚡ 3-d part not yet understood

- Goal: construction of TFT admitting defects as well as boundaries
- thus obstruction must vanish:  $\mathcal{D} \simeq \mathcal{Z}(\mathcal{A})$   
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- Geometric framework:
  - combed oriented manifolds
  - stratifications with CW structure



Goal: construction of TFT admitting defects as well as boundaries

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Geometric framework:

⚡ *combed* oriented manifolds

⚡ stratifications with CW structure

e.g. for surfaces: polygonal complex

## INFORMAL DEFINITION — Polygonal complex

⚡ polygonal complex := CW complex with

⚡ 2-skeleton a collection of polygons

⚡ edges of the polygons identified by homeomorphisms

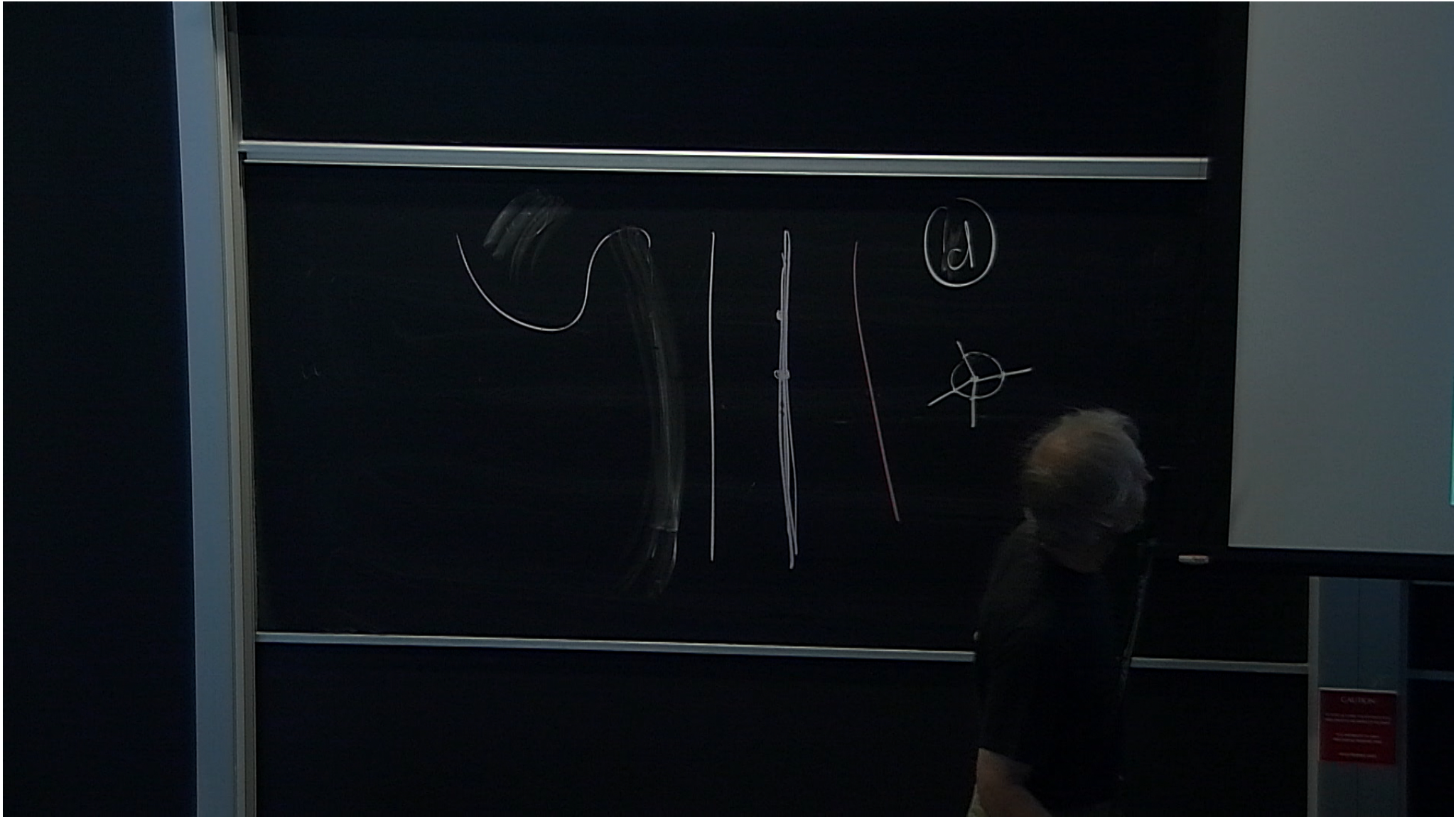
⚡ vertices of the polygons possibly identified by further equivalences

KUPERBERG 1996

some details for surfaces  $\Sigma$ :

- ⚡ polygonal complex
- ⚡ replace each 0-cell  $v$  by small circle  $S(v)$  around  $v$   
intersecting adjacent 1-cells transversally
- ⚡ fix a global orientation of  $\Sigma$
- ⚡ each 0- and 1-cell endowed with their own orientation
- ⚡ orientation of 2-cells determined by orientation of  $\Sigma$
- ⚡ choose auxiliary metric  $g$   
mathematically inessential but simplifies description  
e.g. can represent orientation of 1-cell  $v$  by unit tangent field along  $v$
- ⚡ with help of the normal w.r.t.  $g$  edges and circles  $S(v)$  acquire a 2-orientation
- ⚡ w.l.o.g. assume all transverse intersections orthogonal w.r.t.  $g$





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Algebraic framework : decorations :

to 3-cell (*phase*) assign finite tensor category  $\mathcal{A}$

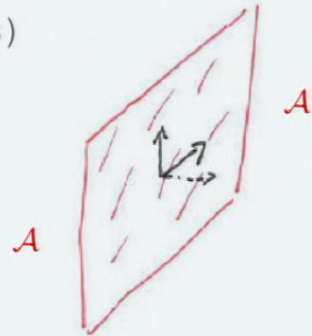
Algebraic framework : decorations :

to 3-cell (phase) assign finite tensor category  $\mathcal{A}$

to 2-cell (surface defect) assign finite bimodule category  $\mathcal{B} \equiv {}_{\mathcal{A}}\mathcal{B}_{\mathcal{A}'}$

up to dualities (via orientations)

and up to twisting



⚡ distinguished case : transparent defect for  $\mathcal{A}' = \mathcal{A}$   
given by regular bimodule  $\mathcal{A} = {}_{\mathcal{A}}\mathcal{A}_{\mathcal{A}}$

⚡ physical boundary :  $\mathcal{A} = \text{Vect}$  or  $\mathcal{A}' = \text{Vect}$



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up to dualities (via orientations)

and up to twisting :

⚡ can twist each of the actions by a power of the left / right double dual functor

↪ module structures labeled by  $\mathbb{Z}$

(all equivalent in presence of pivotal structure)

⚡ can switch between left module structure on  $\mathcal{B}$  and right module structure on  $\mathcal{B}^{\text{op}}$

⚡ these various module structures related by multiple change of edge orientation

⚡ to keep track : intersection of vertex and edge  $\frac{1}{2}\mathbb{Z}$ -valued rather than  $\mathbb{Z}_2$  (orientation)

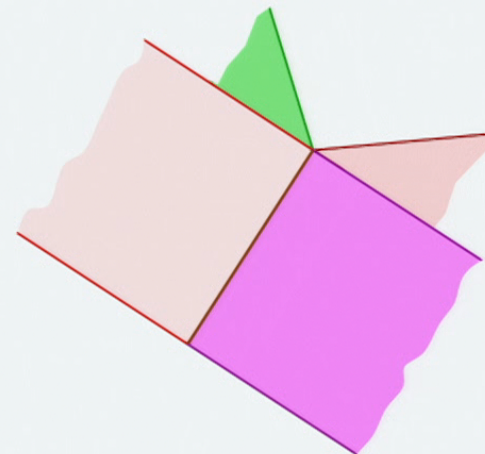
⚡ can be done with the help of a *combing* :

vector field with prescribed isolated singularities

KUPERBERG 1996

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- to 1-cell (generalized Wilson line) assign finite category  $\mathcal{C}$



⚡ in standard TV : Wilson line (or rather : ribbon) labeled by object of  $\mathcal{Z}(\mathcal{A})$

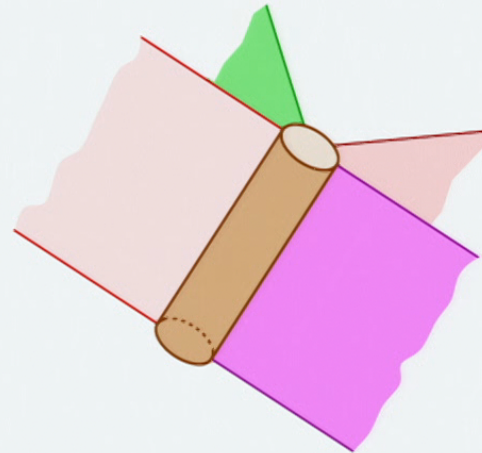


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▪ to determine  $\mathcal{C}$  :

- ⚡ replace 1-cell by small cylinder

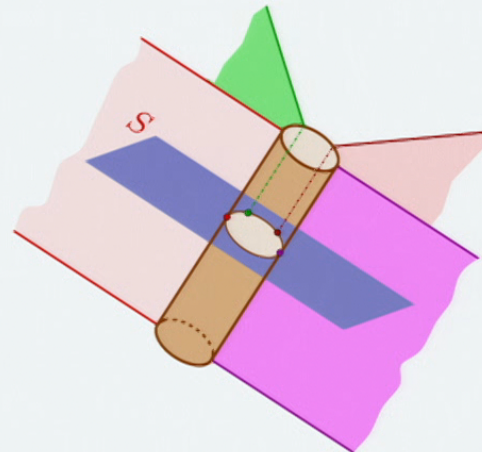


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decorated 1-manifold  $S$



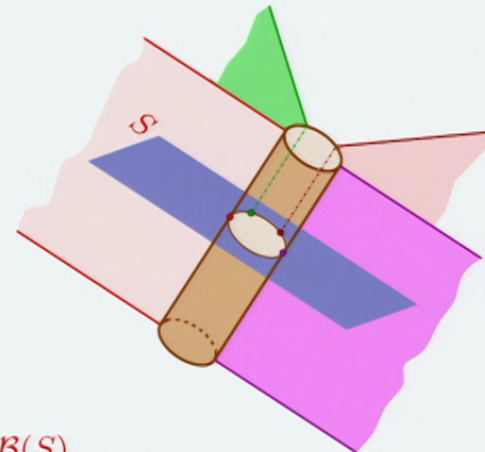


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▪ to determine  $\mathcal{C}$  :

- ⚡ replace 1-cell by small cylinder
- ⚡ consider cross section :  
decorated 1-manifold  $S$
- ⚡ define  $\mathcal{C}(S)$   
as a category of *balancings*  
on a certain bimodule category  $\mathcal{B}(S)$



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## DEFINITION — Balancing

- for  $\mathcal{A}$  monoidal category,  $\mathcal{B}$  an  $\mathcal{A}$ -bimodule and  $b \in \mathcal{B}$ :  
balancing for  $b :=$  natural family  $(\sigma_a : a.b \rightarrow b.a)$  s.t.

$$\begin{array}{ccc}
 (a \otimes a').b & \xrightarrow{\sigma_{a \otimes a'}} & b.(a \otimes a') \\
 \searrow \text{id}_a \otimes \sigma_{a'} & & \swarrow \sigma_a \otimes \text{id}_{a'} \\
 & a.b.a' & 
 \end{array}$$

commutes for all  $a, a'$



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## DEFINITION — Balancing

to  $\mathcal{Z}_{\mathcal{A}}(\mathcal{B})$  := category of objects with a balancing

## COMMENTS

- for  $\mathcal{B} = \mathcal{A}$  get Drinfeld center :  $\mathcal{Z}_{\mathcal{A}}(\mathcal{A}) = \mathcal{Z}(\mathcal{A})$
- for  $\mathcal{A}$  having right duals :
  - $\sigma_a$  isomorphism
  - $\mathcal{Z}_{\mathcal{A}}(\mathcal{B}) \simeq \mathcal{Z}_{\mathcal{A}}\text{-mod}(\mathcal{B})$  with  $\mathcal{Z}_{\mathcal{A}}$  the monad  $\mathcal{Z}_{\mathcal{A}}: \mathcal{B} \rightarrow \mathcal{B}$   
 $b \mapsto \int^{a \in \mathcal{A}} a^{\vee} . b . a$

# Decorations

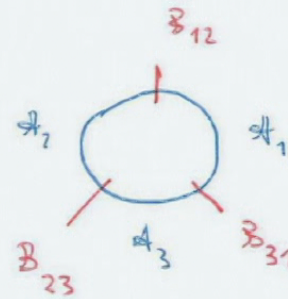
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to determine  $\mathcal{C}$  :

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- $\mathcal{B}(S) := \boxtimes_{v \in S} \mathcal{B}_v$





# Decorations

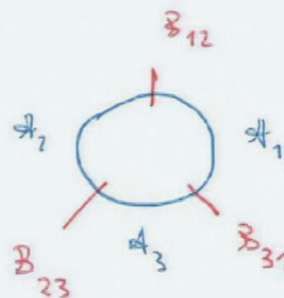
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- to 2-cell (surface defect) assign finite bimodule category  $\mathcal{B} \equiv {}_{\mathcal{A}}\mathcal{B}_{\mathcal{A}'}$
- to 1-cell (generalized Wilson line) assign finite category  $\mathcal{C}$

to determine  $\mathcal{C}$  :

- replace 1-cell by small cylinder
- cross section gives decorated 1-manifold  $S$
- $\mathcal{B}(S) := \boxtimes_{v \in S} \mathcal{B}_v$
- on  $\mathcal{B}(S)$  have commuting monads (distributive laws  $Z_{\mathcal{A}_i} \circ Z_{\mathcal{A}_j} = Z_{\mathcal{A}_j} \circ Z_{\mathcal{A}_i}$ )  
 $\leadsto$  monad  $Z$  on  $\mathcal{B}(S)$
- define  $\mathcal{C}(S) := Z\text{-mod}(\mathcal{B}(S))$



# Decorations

Topological defects

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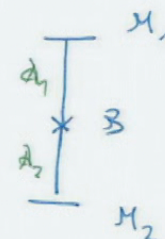
to determine  $\mathcal{C}$  :

## COMMENTS

transparent case  $\mathcal{A}_i = \mathcal{A}$  and  $\mathcal{B}_\ell = {}_{\mathcal{A}}\mathcal{A}_{\mathcal{A}} \implies \mathcal{C}(S) = \mathcal{Z}(\mathcal{A})$

comprises notions of category-valued trace  
and relative Deligne product

e.g.  $\mathcal{C}(I) = \mathcal{M}_1 \boxtimes_{\mathcal{A}_1} \mathcal{B} \boxtimes_{\mathcal{A}_2} \mathcal{M}_2$  for  $I =$





## EXAMPLE

Dijkgraaf-Witten theory for  $(G, \omega)$

= TV-BW theory for fusion category  $(G\text{-Vect})^\omega$

☞ *indecomposable* bimodule categories classified by subgroup  $H \leq G \times G$  and 2-cocycle  $\theta$  satisfying  $d\theta = p_1^*\omega \cdot (p_2^*\omega)^{-1}$

Ostrik 2003

☞ reproduce category for circle  $S^1$  with one vertex labeled by  $(H, \theta)$ :  $G \times G$ -graded vector spaces with twisted  $G \times H$ -action

☞ furnishes realization of category-valued trace of the bimodule category

J-Schaumann-Schweigert 2017

👉 Important task :

construct spaces of conformal blocks / state spaces for any surface  $\Sigma$



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construct spaces of conformal blocks / state spaces for any surface  $\Sigma$
  - 👉 Ingredients :
    - $\Sigma$  endowed with structure of finite polygonal complex, orientations .....
    - a guiding principle : generalizes a theory of flat connections
      - 1-cells (bimodule categories  $\mathcal{B}_e$ ) supply dynamical degrees of freedom
      - each 2-cell supplies a flatness condition
- just like in tensor network models

## Important task :

construct spaces of conformal blocks / state spaces for any surface  $\Sigma$

## Ingredients :

⚡  $\Sigma$  endowed with structure of finite polygonal complex, orientations . . . . .

⚡ replace each vertex  $v \in \Sigma$  by decorated 1-manifold  $S_v$

⚡ conformal blocks given by functor

$$Bl_{\Sigma}: \bigotimes_{v \in \partial \Sigma_{\text{in}}} \mathcal{C}(S_v) \longrightarrow \bigotimes_{v \in \partial \Sigma_{\text{out}}} \mathcal{C}(S_v)$$



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⚡ functor must be left exact for compatibility with  $\sqcup / \boxtimes$

(parallel formulation with right exact functors)

# Conformal blocks

Topological defects

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## Step 1 of construction : pre-blocks

⚡ left exact functor  $pBl: \bigotimes_{v \in \Sigma} \mathcal{B}(S_v) \longrightarrow Vect$  defined as a state sum

⚡ insight:

state sum is a coend:

$$pBl_{\Sigma} = \int^{\bigotimes_{e \in \Sigma} \mathcal{B}_e} \bigotimes_{e \in \Sigma} \mathcal{B}_e^{\#} \text{Hom}(-, b_e^{\#} \boxtimes b_e)$$

$$\bigotimes_{v \in \Sigma} \mathcal{B}(S_v) \simeq \int^{\bigotimes_{e \in \Sigma} \mathcal{B}_e^{\#} \boxtimes \mathcal{B}_e}$$



## EXAMPLE

⚡ disk with one incoming and one outgoing 0-cell :

⚡ 1-cells labeled by bimodule categories  $\mathcal{N}$  and  $\mathcal{M}$

⚡ 0-cells labeled by  $\mathcal{N}^{\text{op}} \boxtimes \mathcal{M}$

⚡ trade bimodules for functor categories (Eilenberg-Watts calculus)

$$\mathcal{R}ex(\mathcal{N}, \mathcal{M}) \xrightarrow{\cong} \mathcal{N}^{\text{op}} \boxtimes \mathcal{M}$$

$$F \mapsto \int_{n \in \mathcal{N}} F(n) \boxtimes m$$

$$\text{Hom}_{\mathcal{N}}(-, \bar{n})^* \otimes m \longleftrightarrow \bar{n} \boxtimes m$$

SHIMIZU 2017

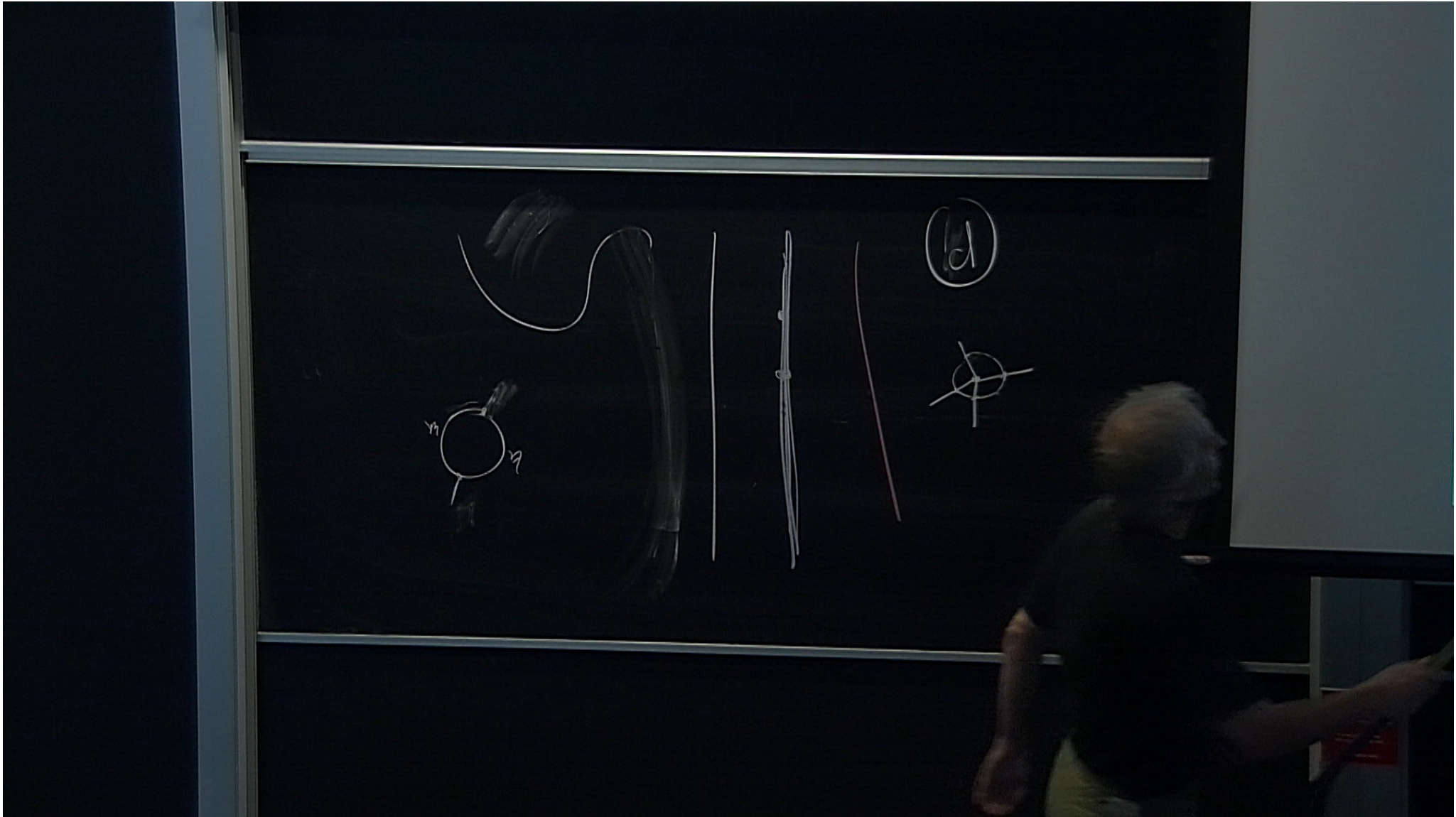
J-SCHAUMANN-SCHWEIGERT 2017

⚡ specify insertions  $\bar{n}_1 \boxtimes m_1, \bar{n}_2 \boxtimes m_2 \in \mathcal{N}^{\text{op}} \boxtimes \mathcal{M}$

$$\begin{aligned} \text{state sum } & \int^{n \in \mathcal{N}} \int^{m \in \mathcal{M}} \text{Hom}(\bar{n}_1 \boxtimes m_1 \boxtimes n_2 \boxtimes \bar{m}_2, \bar{n} \boxtimes m \boxtimes n \boxtimes \bar{m}) \\ & \cong \text{Hom}_{\mathcal{N}}(n_2, n_1) \otimes \text{Hom}_{\mathcal{M}}(m_1, m_2) \\ & \cong \int_{n \in \mathcal{N}} \text{Hom}(F_1(n), F_2(n)) \cong \text{Nat}(F_1, F_2) \end{aligned}$$

$$F_1 = \text{Hom}_{\mathcal{N}}(-, \bar{n}_1)^* \otimes m_1$$

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☞ similarly for more 0-cells

☞ thus : pre-blocks = natural transformations



Step 2 of construction : from pre-blocks to blocks

⚡ functors associated to vertices via E-W are *module functors*

⚡ impose flatness : equalizers  $\rightsquigarrow$  *module natural transformations*

## THEOREM

## Conformal blocks

genus-0 conformal blocks = spaces of module natural transformations

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## Outlook :

invariance under relevant moves

full description of higher-genus conformal blocks

factorization

3-manifolds

applications , e.g. to logarithmic conformal field theory