

Title: The Quantum Null Energy Condition from Causality

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Abstract: <p>IT from Qubit web seminar</p>

qnec-talk (page 1 of 94) — Edited

Search



THE QUANTUM NULL ENERGY CONDITION FROM CAUSALITY

based on arXiv: **1706.09432** with:

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qnec-talk (page 2 of 94) — Edited

Search

OUTLINE

Warnings:
 $2\pi = 1$

- motivational QNEC
- proving the ANEC in two ways I
- introduce $f(s)$ and properties thereof
- whence $f(s)$? causality of entangling surfaces in AdS/CFT
- calculation (modular flow using defect OPE)
- generalizations and conclusions



q nec-talk (page 3 of 94) — Edited

I

THE QNEC



some local negative energy density



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THE QNEC

- Globally, energy in QFT is positive (above ground state), but local energy density can be negative
- Classical field theory, Null Energy Condition (NEC):
$$T_{uu}(y) \sim (\partial_u \phi)^2 \geq 0 \quad u = \text{light-like coordinate}$$
- Quantum mechanics: violated by quantum fluctuations, but:
$$\langle T_{uu}(y) \rangle_\psi \geq D_u^2 S_{EE}(A) \quad y \in \partial A$$

Bousso, Fisher, (Koeller), Leichenauer, Wall '15





THE QNEC

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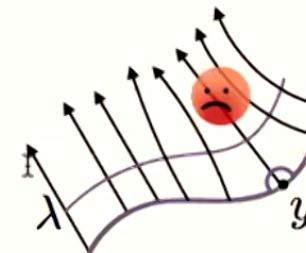
MOTIVATION I - LEARNING ABOUT QFT FROM GENERAL RELATIVITY

- gravity focuses light rays (does not anti-focus)

- Raychaudhuri's equation:

$$\frac{d\theta}{d\lambda} = -\theta^2 - \sigma^2 - G_N T_{uu} \leq 0$$

$$\theta \sim \mathcal{K}_u \sim \frac{\delta \text{Area}}{\delta x^u(y)}$$



- But violated in quantum (semi-classical) gravity?

MOTIVATION I - LEARNING ABOUT QFT FROM GENERAL RELATIVITY

- Area \sim entropy \longrightarrow generalized entropy

$$S_{\text{gen}} = S_{EE}(A) + \frac{\text{Area}(\partial A)}{4G_N}$$

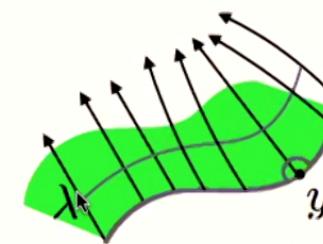
- Leads to quantum focusing conjecture (QFC):

$$\Theta = \frac{\delta}{\delta x^u(y)} (\text{Area} + 4G_N S_{EE})$$

$$\frac{d\Theta(A; y)}{d\lambda} \leq 0$$

- QNEC follows from flat space limit:

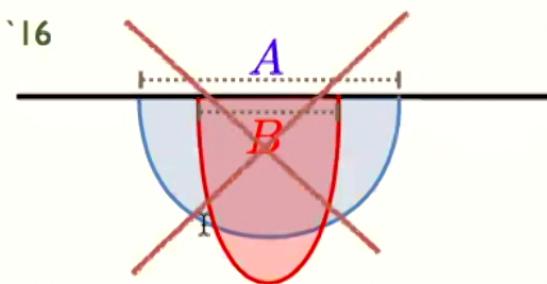
$$\theta = 0 \quad \sigma = 0$$



MOTIVATION II - BULK CAUSALITY AND ADS/CFT

- In AdS/CFT the QNEC follows from causal properties of the HRT surface: Koeller, Leichenauer, '16
- Entanglement Wedge Nesting

$$B \subset A \quad \mathcal{E}_B \subset \mathcal{E}_A$$



- Links many different areas of study:
 - bulk reconstruction
 - modular Hamiltonians
 - chaos bound
 - emergence of bulk locality from QFT??
 - ANEC
 - defect CFTs
 - entanglement
 - micro causality and the bootstrap

REMINDERS

- The QNEC is a conjectured property of QFTs (no gravity)
- We will prove it in Minkowski space, although proof should extend to QFTs in curved space I
- We will work with general QFTs with an interacting UV fixed point and $d>2$
- We start our story with the ANEC ...





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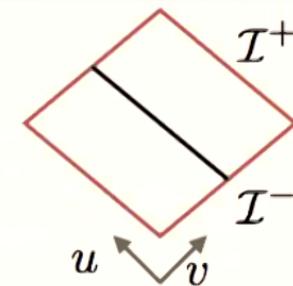
TWO PATHS TO THE ANEC

I

THE ANEC

- The averaged NEC:

$$\mathcal{A}_u = \int_{-\infty}^{\infty} du' \langle T_{uu}(u', v' = 0, y) \rangle_{\psi} \geq 0$$



- Also predicted from GR (e.g. by demanding wormholes not traversable)
- Non trivial in Minkowski space ~ Hofman-Maldacena bounds

In d=4 CFTs: $\frac{31}{18} \geq \frac{a}{c} \geq \frac{1}{3}$

METHOD I: MODULAR HAMILTONIANS

TF, Leigh, Parrikar, Wang, '16

$$\mathcal{H}_{tot} = \mathcal{H}_A \otimes \mathcal{H}_{\bar{A}} \quad \rho_A = \text{Tr}_{\bar{A}} |\psi\rangle\langle\psi|$$

$$H_A = -\ln \rho_A$$

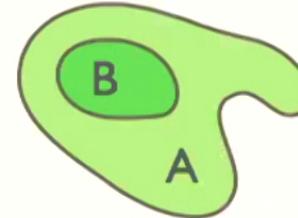
“Full” Modular Hamiltonian - better behaved in QFT:

$$K_A = H_A \otimes 1_{\bar{A}} - 1_A \otimes H_{\bar{A}}$$

Inclusion property:

$$B \subset A$$

$$K_A - K_B \geq 0$$



Proof: relative entropy monotonicity





MODULAR HAMILTONIANS

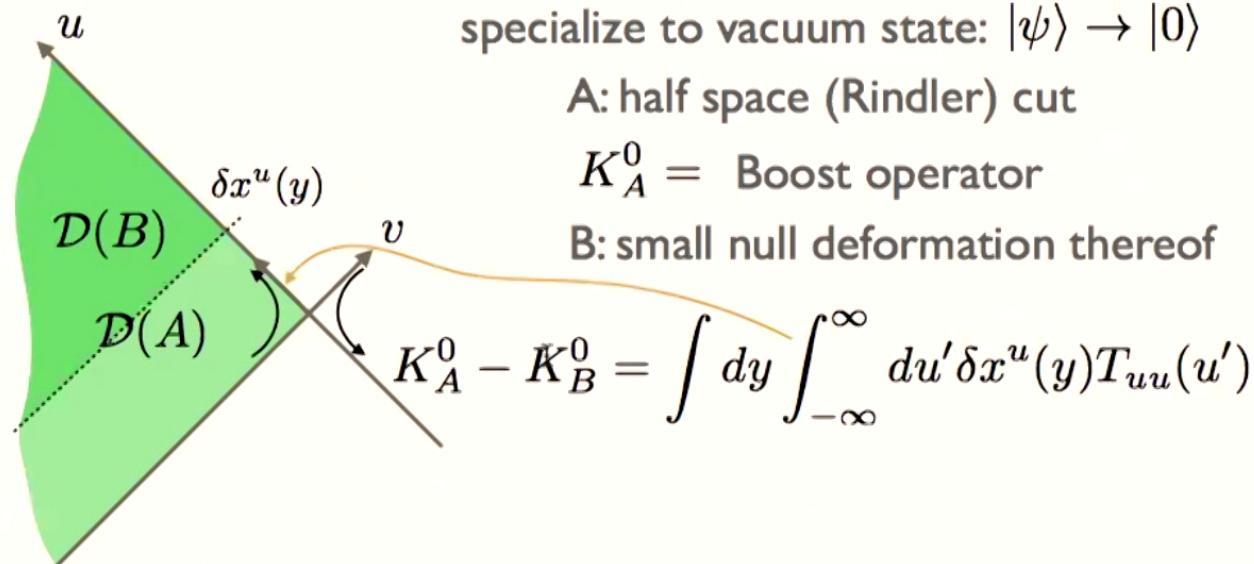
Relativistic QFT - inclusion at level of causal domains

specialize to vacuum state: $|\psi\rangle \rightarrow |0\rangle$

A: half space (Rindler) cut

$K_A^0 =$ Boost operator

B: small null deformation thereof



MODULAR HAMILTONIANS

Our approach was perturbative in $\delta x^u(y)$

Turns out this is an exact result Lashkari '17

Casini, Teste, Torroba '17

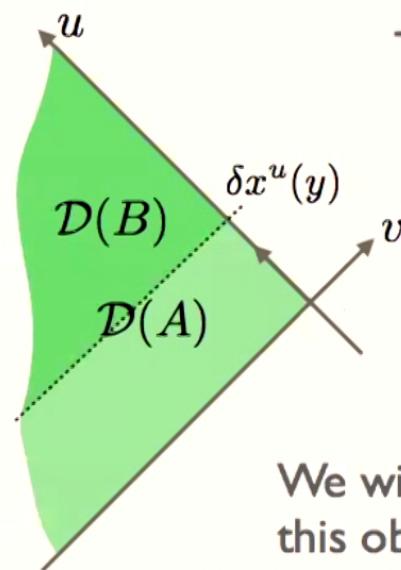
$$\Rightarrow [K_B^0, K_A^0] = i(K_A^0 - K_B^0)$$

Only true for vacuum:
“Half-Sided Modular Inclusions”

$$e^{-iK_B^0 s} e^{iK_A^0 s} = e^{i(1-e^{-s})P_u}$$

gens null
“translations”

$$P_u = K_B^0 - K_A^0$$



We will be studying
this object a lot, but
for non vacuum states!



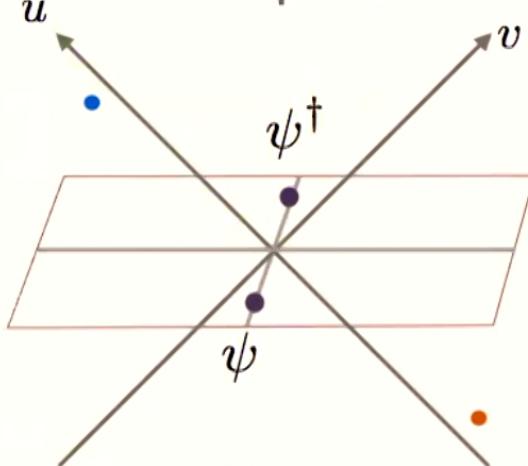


METHOD II: CAUSALITY

Hartman, Kundu, Tajdini '16

$$f(u) \propto \langle \psi | \mathcal{O}(u, v) \mathcal{O}(-u, -v) | \psi \rangle$$

4-point function - conformal bootstrap



$$\langle \psi | [\mathcal{O}, \mathcal{O}] | \psi \rangle = 0$$

Causality: space-like separated

$f(u)$ analytic in u, v

COMPUTABLE: LIGHTCONE OPE

$$f_{\mathbb{L}}(u) \propto \langle \psi | \mathcal{O}(u, v) \mathcal{O}(-u, -v) | \psi \rangle$$

$$\frac{\mathcal{O}(u, v) \mathcal{O}(-u, -v)}{\langle \mathcal{O}(u, v) \mathcal{O}(-u, -v) \rangle} \sim \sum_k u^{(\Delta_k + \ell_k)/2} v^{(\Delta_k - \ell_k)/2} \mathcal{O}_k(0)$$

	KINEMATICS	DOMINANT OPS.	EXAMPLE
Euclidean OPE:	$u \sim v$	lowest dimension Δ	$\mathcal{O}_{\text{primary}}$
Lightcone OPE:	$v \ll u$	lowest twist $\tau = \Delta - \ell$	T_{uu} $\tau = d - 2$ $\ell = 2$

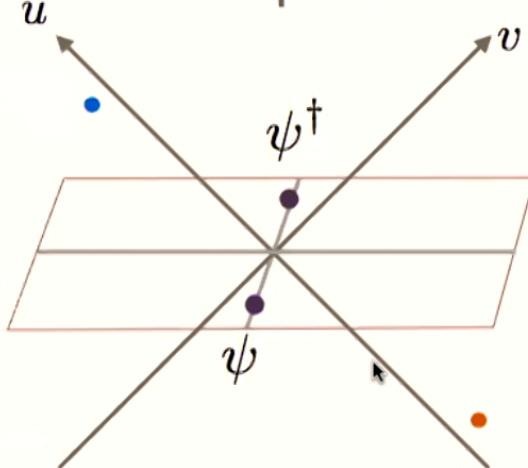


METHOD II: CAUSALITY

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4-point function - conformal bootstrap



$$\langle \psi | [\mathcal{O}, \mathcal{O}] | \psi \rangle = 0$$

Causality: space-like separated

$f(u)$ analytic in u, v

Computability: in certain limits

Positivity: bound $f(u)$ generally



COMPUTABLE: LIGHTCONE OPE

$$f(u) \propto \langle \psi | \mathcal{O}(u, v) \mathcal{O}(-u, -v) | \psi \rangle$$

$$\frac{\mathcal{O}(u, v) \mathcal{O}(-u, -v)}{\langle \mathcal{O}(u, v) \mathcal{O}(-u, -v) \rangle} \sim \sum_k u^{(\Delta_k + \ell_k)/2} v^{(\Delta_k - \ell_k)/2} \mathcal{O}_k(0)$$

	KINEMATICS	DOMINANT OPS.	EXAMPLE
Euclidean OPE:	$u \sim v$	lowest dimension Δ	$\mathcal{O}_{\text{primary}}$
Lightcone OPE:	$v \ll u$	lowest twist $\tau = \Delta - \ell$	T_{uu} $\tau = d - 2$ $\ell = 2$

But also: $\partial_u^{\ell-2} T_{uu}$ $\ell \geq 2$



COMPUTABLE: LIGHTCONE OPE

Computable in the light cone limit: $v \rightarrow 0$ $u =$ fixed and somewhat large

Re-sum $\partial_u^{\ell-2} T_{uu}(0)$ into an integral:

$$f = 1 - C_T^{-1}(-uv)^{\tau/2} u \mathcal{A}_u + \dots$$

$$\mathcal{A}_u = \int_{-\infty}^{\infty} \langle T_{uu}(u', v=0) \rangle_{\psi} du'$$

Analyticity relates \mathcal{A}_u to $f(u)$ in a range where it cannot be computed but it can be bounded (Wedge reflection positivity)

Hartman, Kundu, Tajdini '16 $\mathcal{A}_u \geq 0$

Casini '11



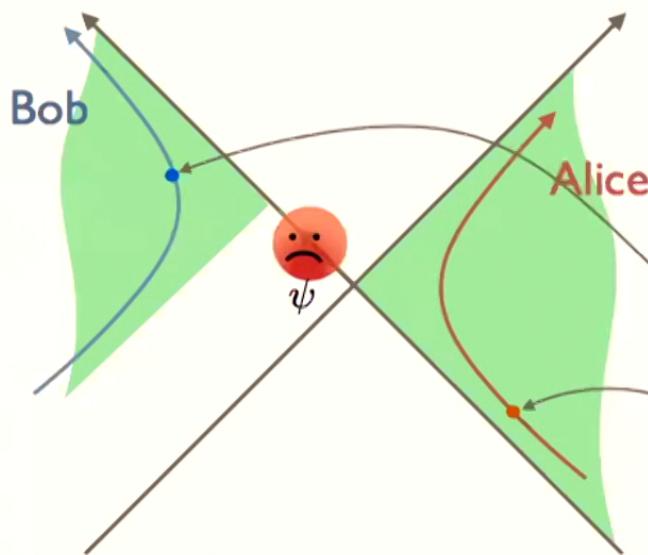
HOW ARE THESE RELATED??

Quick answer: I have no idea

I



COMBINING THEM



$$[M_B, M_A] = 0$$

Now allow for
non-local operators

Interesting ops:

$$M_B = \rho_B^{is} \mathcal{O}_B \rho_B^{-is}$$

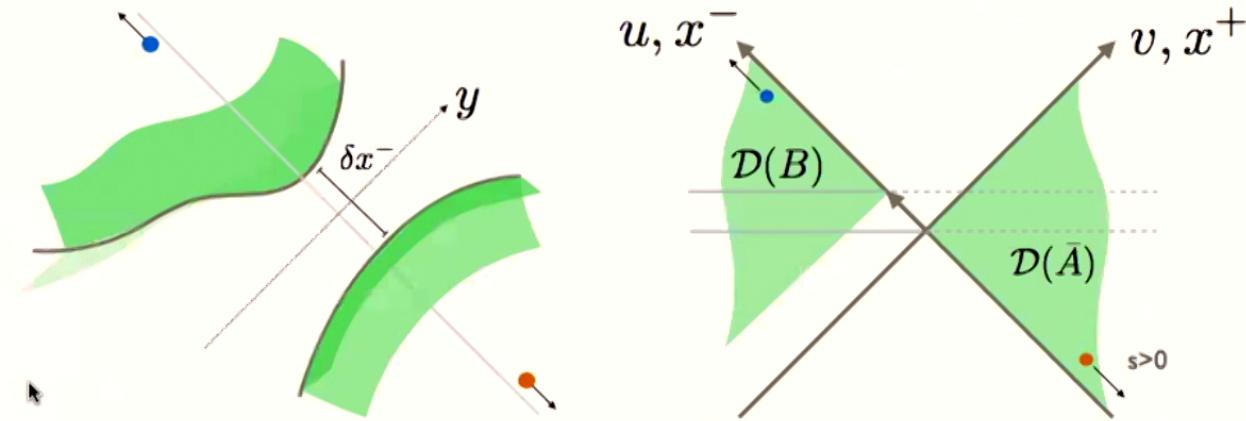
$$M_A = \rho_{\bar{A}}^{is} \mathcal{O}_{\bar{A}} \rho_{\bar{A}}^{-is}$$

Modular flow!

Note ρ_B reduced density
matrix for: $|\psi\rangle\langle\psi|$



SETUP



Main object
of study:

$$f(s) \equiv \frac{\langle \psi | \mathcal{O}_B e^{-iK_B s} e^{iK_A s} \mathcal{O}_{\bar{A}} | \psi \rangle}{\langle \Omega | \mathcal{O}_B e^{-iK_B^0 s} e^{iK_A^0 s} \mathcal{O}_{\bar{A}} | \Omega \rangle}$$



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COMMENTS

$$f(s) \equiv \frac{\langle \psi | \mathcal{O}_B e^{-iK_B s} e^{iK_A s} \mathcal{O}_{\bar{A}} | \psi \rangle}{\langle \Omega | \mathcal{O}_B e^{-iK_B^0 s} e^{iK_A^0 s} \mathcal{O}_{\bar{A}} | \Omega \rangle}$$

- The full modular Hamiltonian annihilates: $K_A |\psi\rangle = 0$
- $e^{iK_A s} \mathcal{O}_{\bar{A}} e^{-iK_A s} |\psi\rangle = \rho_{\bar{A}}^{is} \mathcal{O}_{\bar{A}} \rho_{\bar{A}}^{-is} |\psi\rangle$ (recall $K_A = -\ln \rho_A + \ln \rho_{\bar{A}}$)
- Normalized with respect to vacuum modular flow

$$e^{-iK_B^0 s} e^{iK_A^0 s} = e^{i(1-e^{-s})P_-^0}$$

- We will now study properties of $f(s)$
- Very similar to ANEC $f(u)$ with $u \sim e^s$

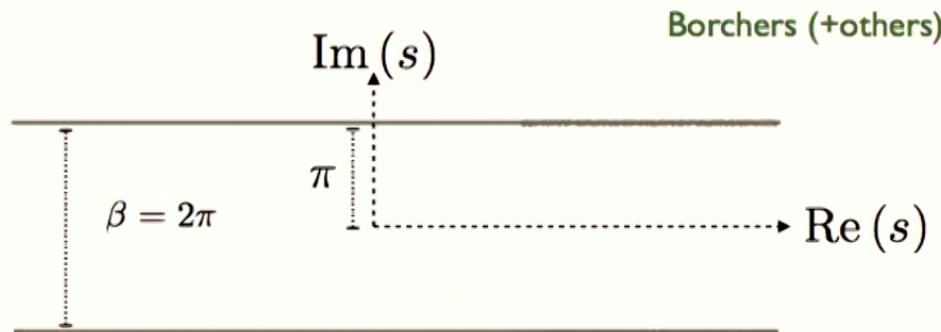
see also: Bound on Chaos

$f(t)$



PROPERTIES: $f(s) \equiv \frac{\langle \psi | \mathcal{O}_B e^{-iK_B s} e^{iK_A s} \mathcal{O}_{\bar{A}} | \psi \rangle}{\langle \Omega | \mathcal{O}_B e^{-iK_B^0 s} e^{iK_A^0 s} \mathcal{O}_{\bar{A}} | \Omega \rangle}$

- **Causality:** modular flow \sim thermal time for non-equilibrium states \sim analog KMS condition \sim analyticity in the thermal strip:



- Requires “nice states” (cyclic and separating) \sim large entanglement \sim all reasonable states in QFT (without firewalls)





PROPERTIES: $f(s) \equiv \frac{\langle \psi | \mathcal{O}_B e^{-iK_B s} e^{iK_A s} \mathcal{O}_{\bar{A}} | \psi \rangle}{\langle \Omega | \mathcal{O}_B e^{-iK_B^0 s} e^{iK_A^0 s} \mathcal{O}_{\bar{A}} | \Omega \rangle}$

- **Computability:** working in same ANEC light-cone limit for the operator insertions: $(\pm u, \pm v)$ $v \rightarrow 0$, $u = \text{fixed}$

$$f(s) = 1 - C_T^{-1} e^s u (-uv)^{\frac{d-2}{2}} Q_u + \dots \quad s \gg 1 \text{ (fixed)}$$

$$Q_u = \int_{\partial A}^{\partial B} du' T_{uu}(u', v' = 0, y) + \left(\frac{\delta S_{EE}(\rho_A)}{\delta X^u(y)} - \frac{\delta S_{EE}(\rho_B)}{\delta X^u(y)} \right)$$

- Computed using a defect OPE (later)
Modular flow \sim vacuum mod flow for ops light like separated from entangling surface

PROPERTIES: $f(s) \equiv \frac{\langle \psi | \mathcal{O}_B e^{-iK_B s} e^{iK_A s} \mathcal{O}_{\bar{A}} | \psi \rangle}{\langle \Omega | \mathcal{O}_B e^{-iK_B^0 s} e^{iK_A^0 s} \mathcal{O}_{\bar{A}} | \Omega \rangle}$

- **Positivity:** use Cauchy-Schwarz to bound

$$|\langle b | a \rangle|^2 \leq \langle a | a \rangle \langle b | b \rangle$$

$$\text{Re } f(s) < 1$$

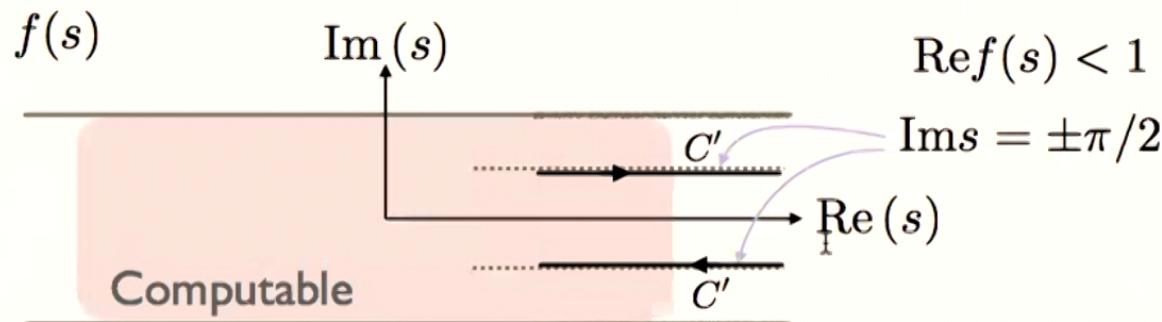
$$\text{Im } s = \pm \pi/2$$



PROPERTIES: $f(s) \equiv \frac{\langle \psi | \mathcal{O}_B e^{-iK_B s} e^{iK_A s} \mathcal{O}_{\bar{A}} | \psi \rangle}{\langle \Omega | \mathcal{O}_B e^{-iK_B^0 s} e^{iK_A^0 s} \mathcal{O}_{\bar{A}} | \Omega \rangle}$

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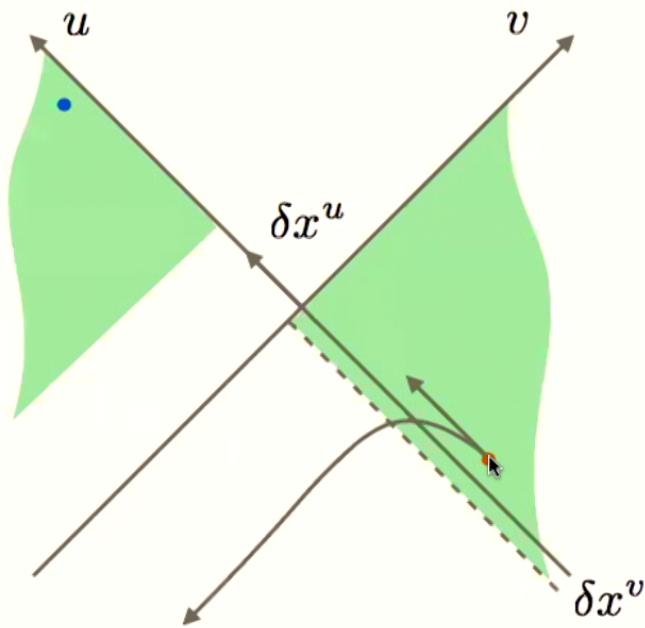
$$|\langle b | a \rangle|^2 \leq \langle a | a \rangle \langle b | b \rangle$$



$$Q_u = \# \text{Re} \left(i \int_{C'} ds e^{-s} (1 - f(s)) \right) \geq 0$$



SOME INTUITION - CAUSALITY



Vacuum flow:

$$\langle \Omega | \mathcal{O}_B e^{-iK_B^0 s} e^{iK_A^0 s} \mathcal{O}_{\bar{A}} | \Omega \rangle$$

null translation on $\mathcal{O}_{\bar{A}}$

Slight error gets amplified
bigly. Correction:

$$\times \left(1 + e^s \frac{\delta x^v}{v} + \dots \right)$$

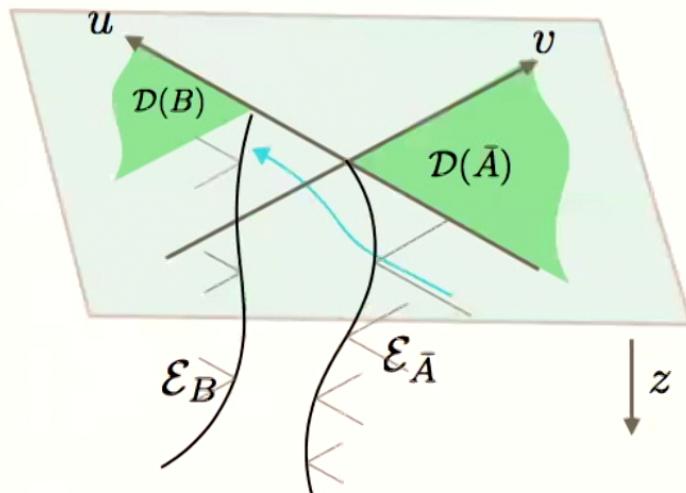
$Q_u \propto$ some delay in v?





MORE INTUITION - ADS/CFT

Koeller, Leichenauer, '16

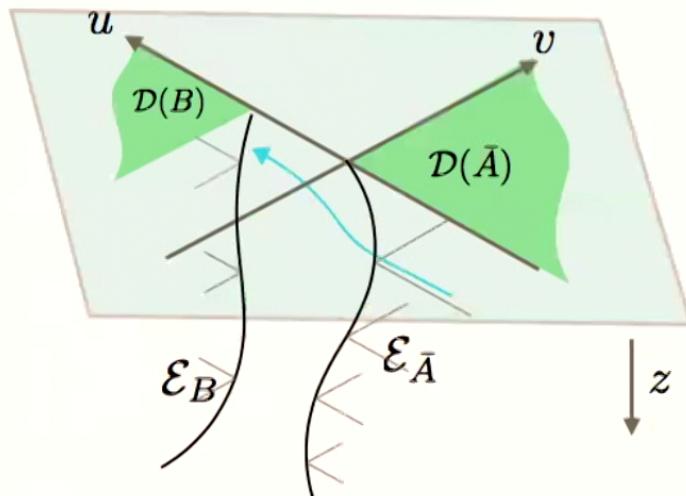


RT/HRT
surfaces

Jafferis et al.
Lewkowycz TF
Cotler et al.

- Entanglement wedge nesting:
 $[\mathcal{D}(B), \mathcal{D}(\bar{A})] = 0$
 $\implies [\mathcal{E}_B, \mathcal{E}_{\bar{A}}] = 0$
- Try to send a null geodesic between them. Figure of merit:
 $\Delta v \propto -Q_{lu}$
- Entanglement wedge reconstruction ~ related to modular flow

MORE INTUITION - ADS/CFT



RT/HRT
surfaces

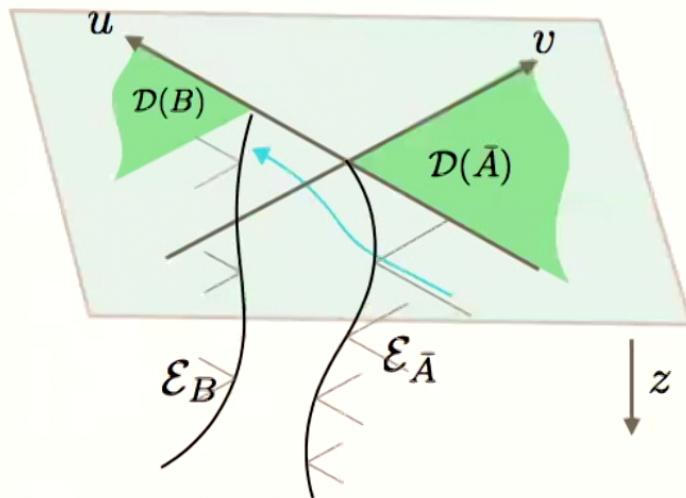
- Delay/advance from two sources:

I





MORE INTUITION - ADS/CFT



RT/HRT
surfaces

- Delay/advance from two sources:

$$\Delta v = z^2 \int_{\partial a}^{\partial b} g_{uu} du'$$

$$\Delta v = X_{A,RT}^v - X_{B,RT}^v$$

- Fefferman-Graham expansions: $z \rightarrow 0$

$$g_{uu} \propto z^{d-2} T_{uu} \quad X_{RT}^v \propto z^d \frac{\delta S_{EE}}{\delta X^u}$$



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THE COMPUTATION

“You can’t compute that ...”

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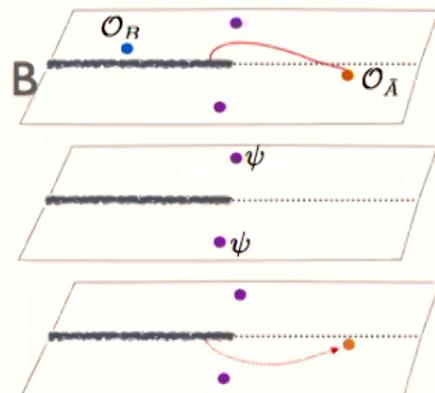
REPLICATORICK

Simpler problem:

$$\langle \psi | \mathcal{O}_B K_B \mathcal{O}_{\bar{A}} | \psi \rangle$$

- Modular Hamiltonian:

$$\lim_{n \rightarrow 1} \partial_n \rho_B^{n-1} = \ln \rho_B$$



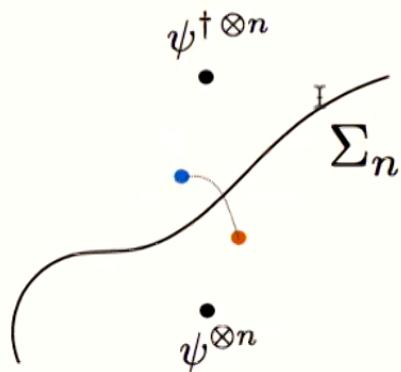
- Path integral on n-replicated space $\mathcal{M}_n \quad n \in \mathbb{Z}$
- n insertions of: ψ, ψ^\dagger
- matrix elements with $\mathcal{O}_B, \mathcal{O}_{\bar{A}}$
- 2n+2 correlation function on \mathcal{M}_n

Compute $\ln \rho_B - \ln \rho_{\bar{B}}$ via simple operator monodromy



ORBIFOLD THEORY

- Alternatively view as orbifold computation: CFT^n/\mathbb{Z}_n



- only one stress tensor
- operators single valued
- but $\mathcal{O}_B, \mathcal{O}_{\bar{A}}$ not orbifold ops.

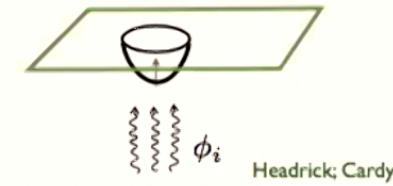
- Twist defect on entangling surface \sim co-dimension 2 operator



OPE METHODS FOR ENTANGLEMENT

$$\Sigma_n \xrightarrow{\sum_i \beta_{\Sigma_n}^i \times} \text{dashed oval with dot}$$

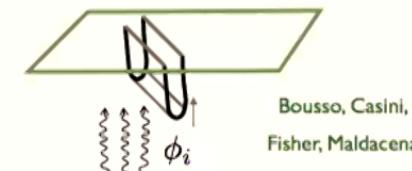
Small 'interval' limit of Mutual Information, excited state EE, etc.



Headrick; Cardy + many more

$$\Sigma_n \xrightarrow{\exp \left(\int dy \sum_i \beta_{\Sigma_n \bar{\Sigma}_n}^i \mathcal{O}_i \right)} \text{dashed parallelogram with wavy arrows}$$

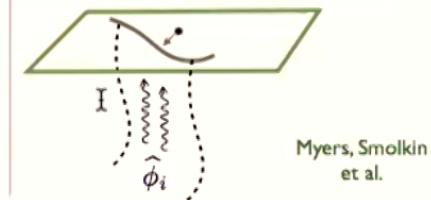
EE on light sheets (Bousso bound), vacuum modular Hamiltonians, etc.



Bousso, Casini, Fisher, Maldacena

$$\text{curved line with dot} \xrightarrow{\sum_i \beta_{\Sigma_n}^i \mathcal{O} \times \hat{\mathcal{O}}_i} \text{curved line with dot}$$

Shape deformations of EE and Renyi entropies. Less utilized ...

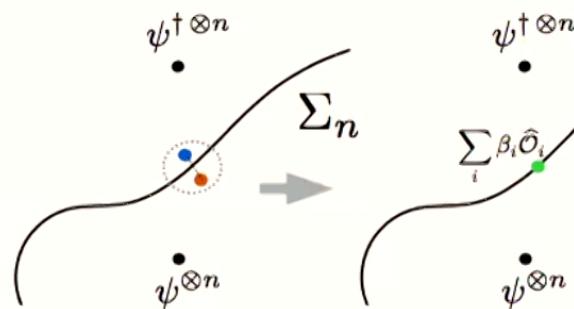


Myers, Smolkin et al.



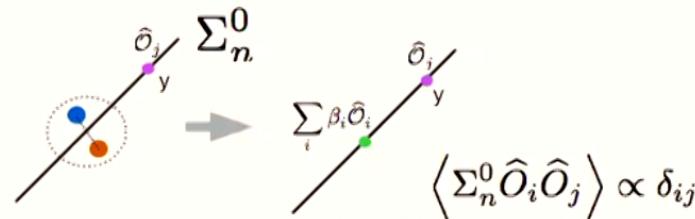


DEFECT OPE



- I. What is the defect spectrum? \hat{O}_i
- II. How to compute β_i ?

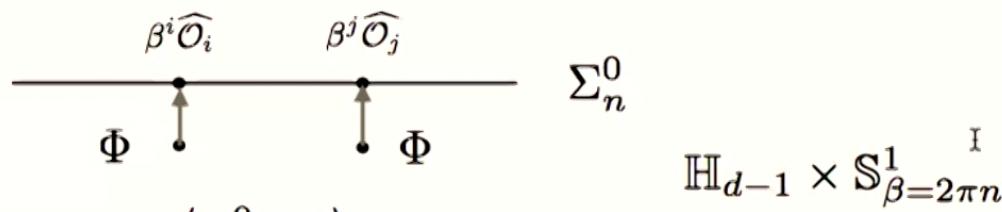
II. Computing the dOPE coefficients:



Same replacement but
in vacuum on flat defect

I. DEFECT OPERATOR SPECTRUM

- Consider a two point function in presence of Σ_n^0

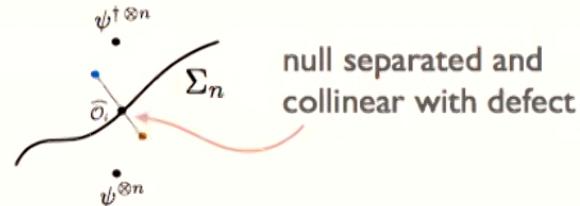


- Analytically continue $\langle \Sigma_n^0 \Phi \Phi \rangle$ in n (thermal correlator) TF '14
- Extract spectrum by taking limit to twist defect $\widehat{\Delta}(n)$
- Two point correlator fixed in $\lim n \rightarrow 1$ by local data of theory

$$n = 1 \quad \langle \Phi \Phi \rangle_{CFT} \quad \mathcal{O}(n-1) \quad \langle \Phi \Phi T_{\mu\nu} \rangle_{CFT}$$



THE SPECTRUM:



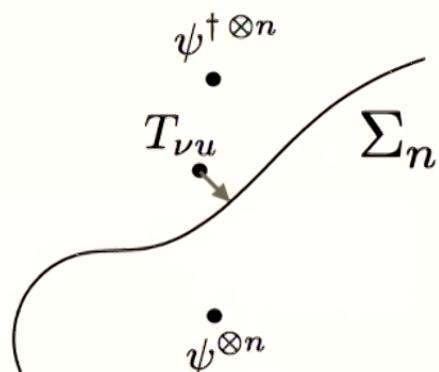
- Mostly interested in: $\Phi = T_{uu}$ (light-cone limit = lowest twist)
- $n=1$ then no defect - same spectrum as before (ANEC)

(decomposed using defect symmetries)

Operator	$n=1$	$O(n-1)$	
$\partial_u^{\ell-2} T_{uu}$	$\hat{\tau} = d - 2$ $\ell \geq 2$	$\delta\hat{\tau} =$ $(n-1)\gamma_\ell$	A new operator emerges with same twist
\mathcal{D}_u	not seen	$\hat{\tau} = d - 2$ $\ell = 1$	displacement op.



THE DISPLACEMENT OPERATOR



$$\nabla^\nu T_{\nu u}(x)\Sigma_n = \delta_{\Sigma_n}(u, v)\mathcal{D}_u(y)\Sigma_n$$

The displacement operator moves around the defect

$$\lim_{n \rightarrow 1} \partial_n \langle \mathcal{D}_u \Sigma_n \rangle = \frac{\delta S_{EE}}{\delta x^-(y)}$$

At this point we have all the ingredients that go into the QNEC. Modular flow is a similar computation (not much more difficult) ...

$$f(s) = 1 - e^s u(-uv)^{\frac{d-2}{2}} \mathcal{Q}_u + \dots$$



THE DISPLACEMENT OPERATOR

- New operators appear away from $n=1$ for spinning operators

$$\ell_\Phi \geq 2 \quad \hat{\ell} = 1, \dots, \ell_\Phi - 1$$

- Subject to a higher spin QNEC
- Correspond to fields living on RT surface?
- One point functions of these ops \sim non-linear in the state
- Not really operators \sim limit $n \xrightarrow{I} 1$ of operators in n-replicated theory





SUMMARY

	ANEC	QNEC
BOUND	$\mathcal{A}_u \geq 0$	$\mathcal{Q}_u \geq 0$
ADS/CFT	Gao-Wald Wall, Kelly '14	Entanglement Wedge Nesting Koeller, Leichenauer, '16
QFT	$\langle \psi \mathcal{O} \mathcal{O} \psi \rangle$ Hartman et al. '16	$\langle \psi \mathcal{O} e^{-isK_B} e^{isK_A} \mathcal{O} \psi \rangle$ Balakrishnan et al. '17
I BULK?	Regge limit ~ high energy scattering in bulk (stringy) ... Camanho et al.	???? $s \gg 1$ $v = \text{fixed, small}$

NO TIME FOR ...

- Local extrinsic curvature corrections
- From CFT to QFT
- Relation to half sided modular inclusions
- Higher spin version of the QNEC

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CONCLUSIONS SLIDE

- Causality leads to the QNEC
- Bulk intuition important. Modular flow \sim entanglement wedge reconstruction
- New defect OPE methods for Entanglement
- Probe of RT/HRT near boundary of AdS
- Modular flow promising approach to explore emergent bulk locality/causality in AdS/CFT

