

Title: Noncontextuality: how we should define it, why it is natural, and what to do about its failure

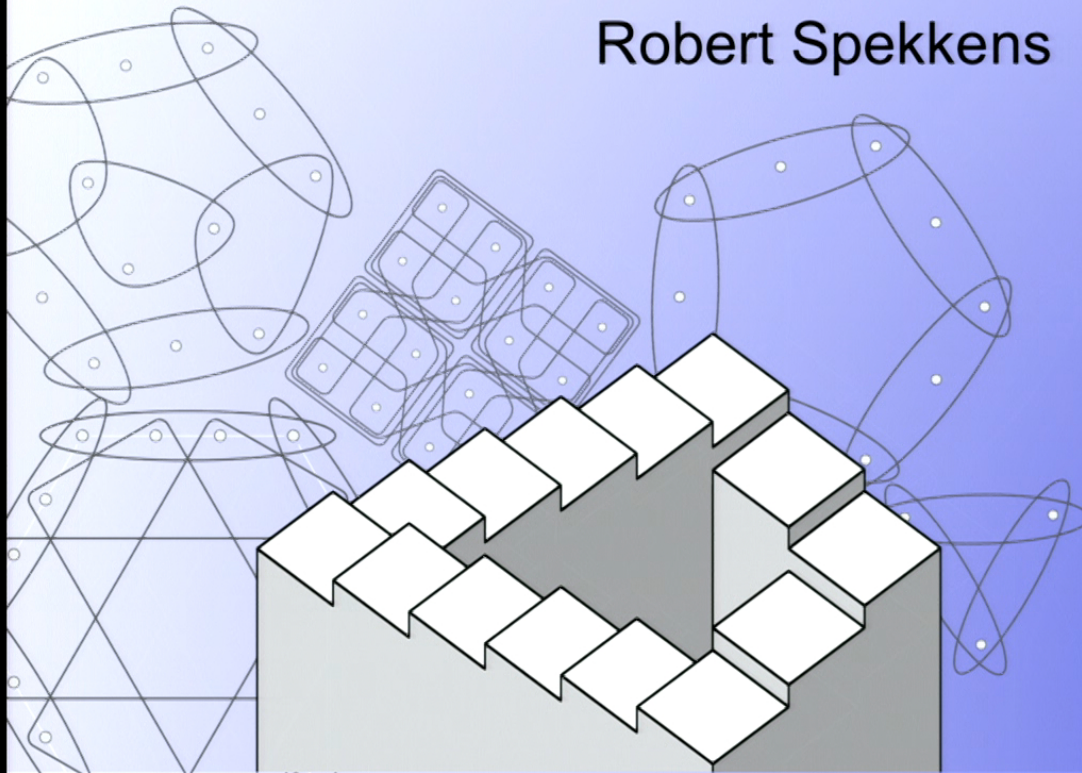
Date: Jul 24, 2017 11:30 AM

URL: <http://pirsa.org/17070035>

Abstract:

Noncontextuality: how we should define it, why it is natural, and what to do about its failure

Robert Spekkens



Contextuality:
Conceptual Issues,
Operational
Signature, and
applications
July 24, 2017

What we want in a notion of nonclassicality

Subject to
direct
experimental
test

Constitutes a
resource

Applicable to a
broad range of
physical
scenarios

What we want in a notion of nonclassicality

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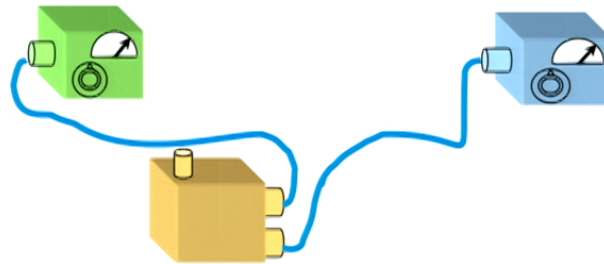
Constitutes a
resource

Applicable to a
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Failure to admit a
locally causal model



What is needed to witness the failure of local causality



What we want in a notion of nonclassicality

Subject to direct experimental test	Constitutes a resource	Applicable to a broad range of physical scenarios
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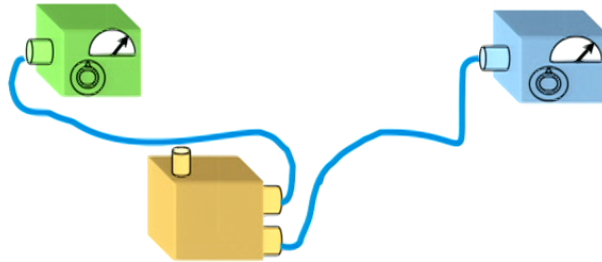
Failure to admit a locally causal model



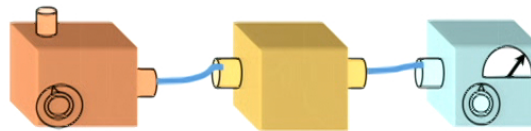
Failure to admit a noncontextual model



What is needed to witness the failure of local causality



What is needed to witness the failure of noncontextuality



What we want in a notion of nonclassicality

Subject to direct experimental test	Constitutes a resource	Applicable to a broad range of physical scenarios
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Failure to admit a noncontextual model



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Failure to admit a noncontextual model



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Failure to admit a locally causal model

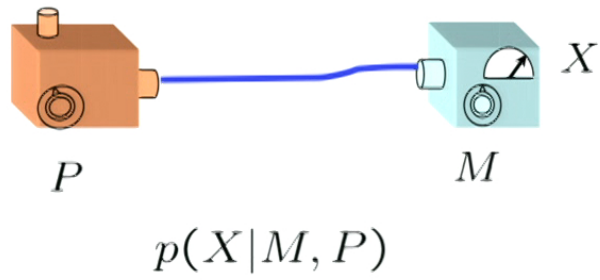


Failure to admit a noncontextual model

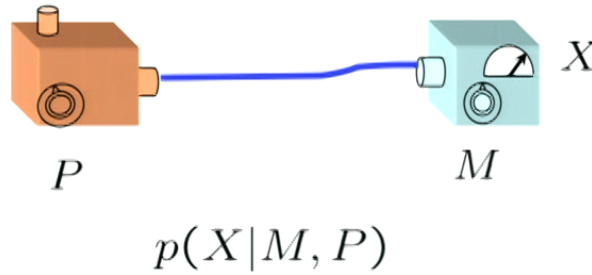


Defining the principle of noncontextuality

Operational theory



Operational theory



Ontological model of an operational theory

$\lambda \in \Lambda$ Ontic state space

causally mediates
between P and M

Operational theory



$$p(X|M, P)$$

Ontological model of an operational theory

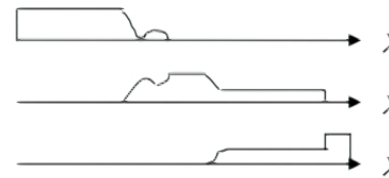
$\lambda \in \Lambda$ Ontic state space

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$$P \leftrightarrow \mu(\lambda|P)$$

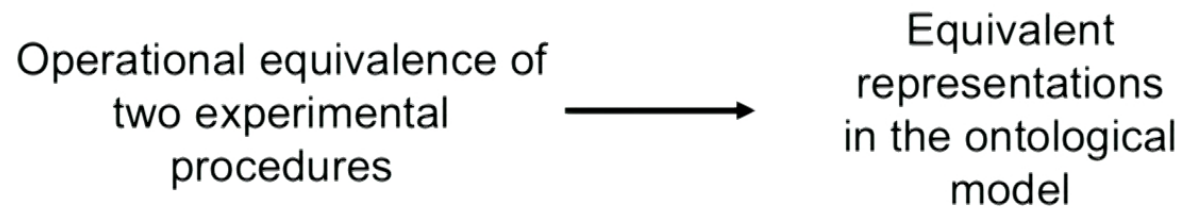


$$M \leftrightarrow \xi(X|M, \lambda)$$



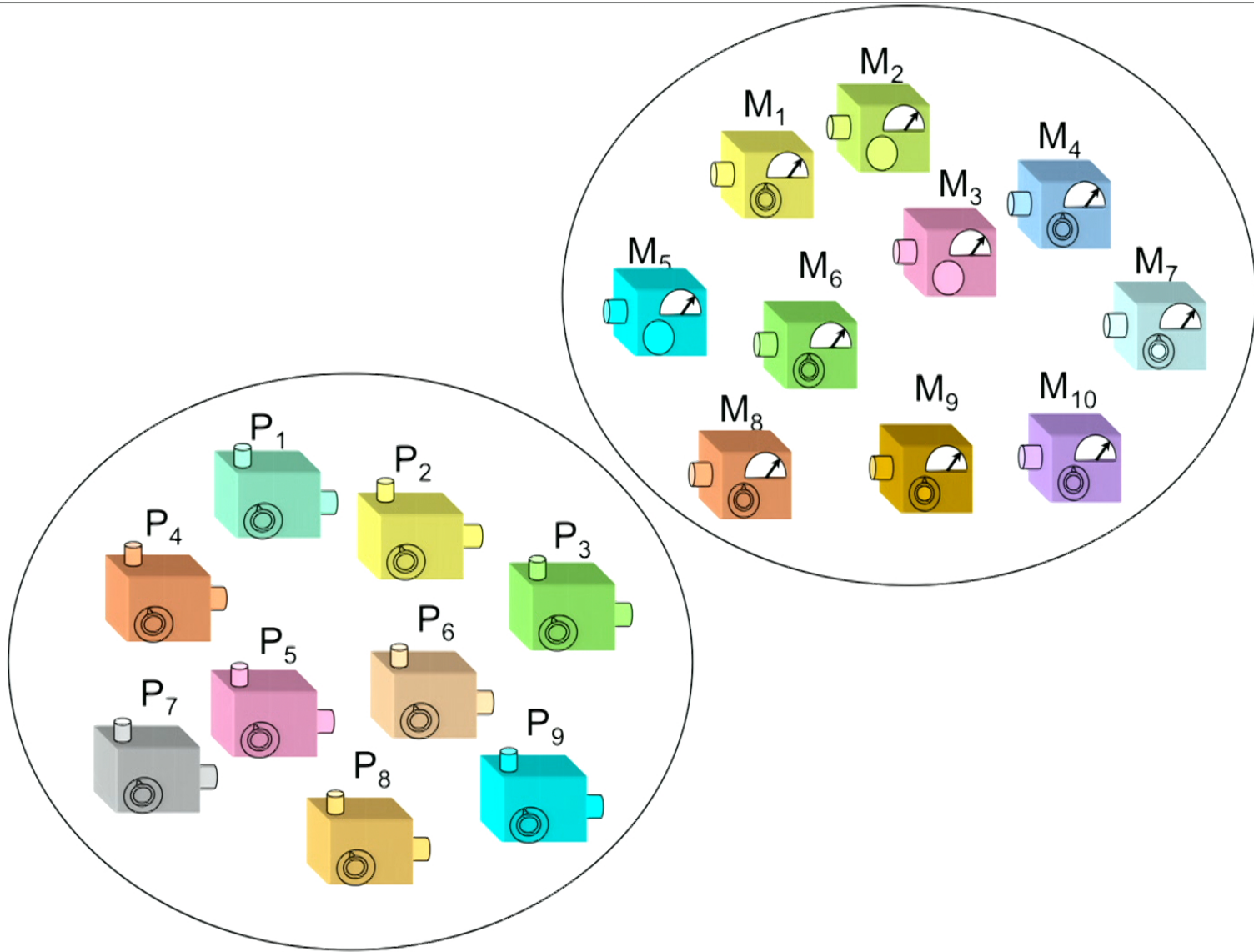
$$p(X|M, P) = \sum_{\lambda} \xi(X|M, \lambda) \mu(\lambda|P)$$

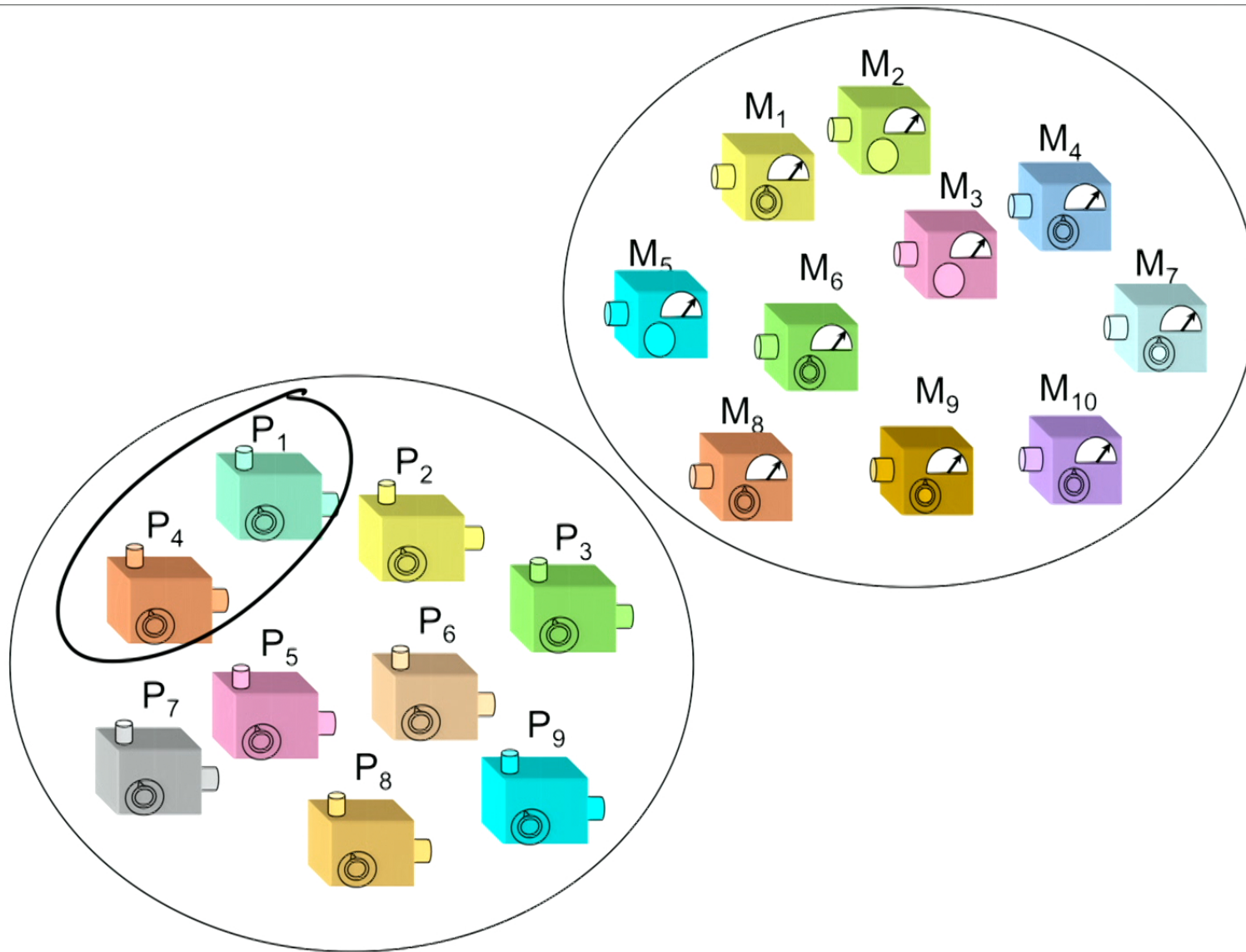
An ontological model of an operational theory is **noncontextual** if



RWS, Phys. Rev. A 71, 052108 (2005)

Preparation Noncontextuality

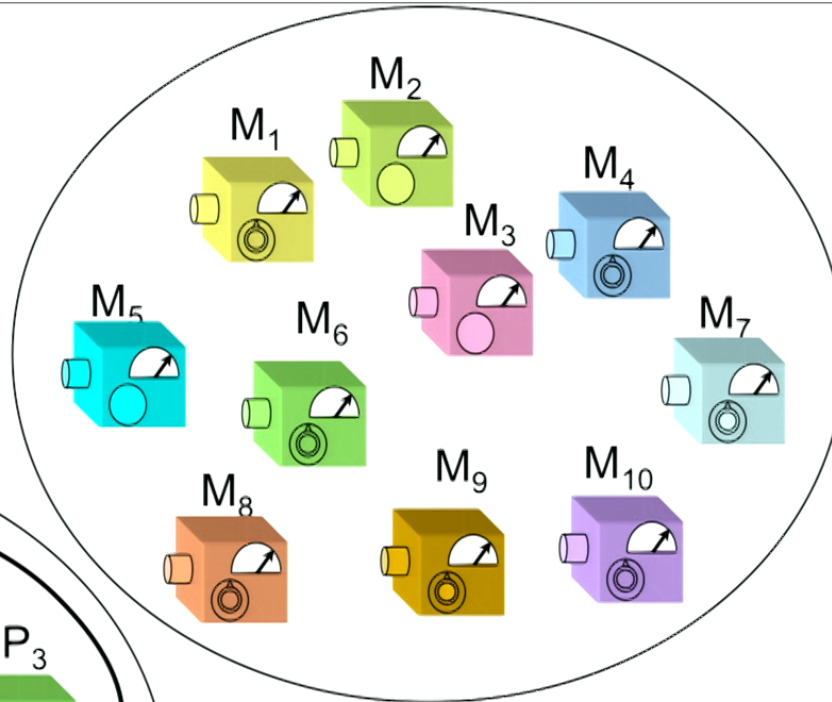
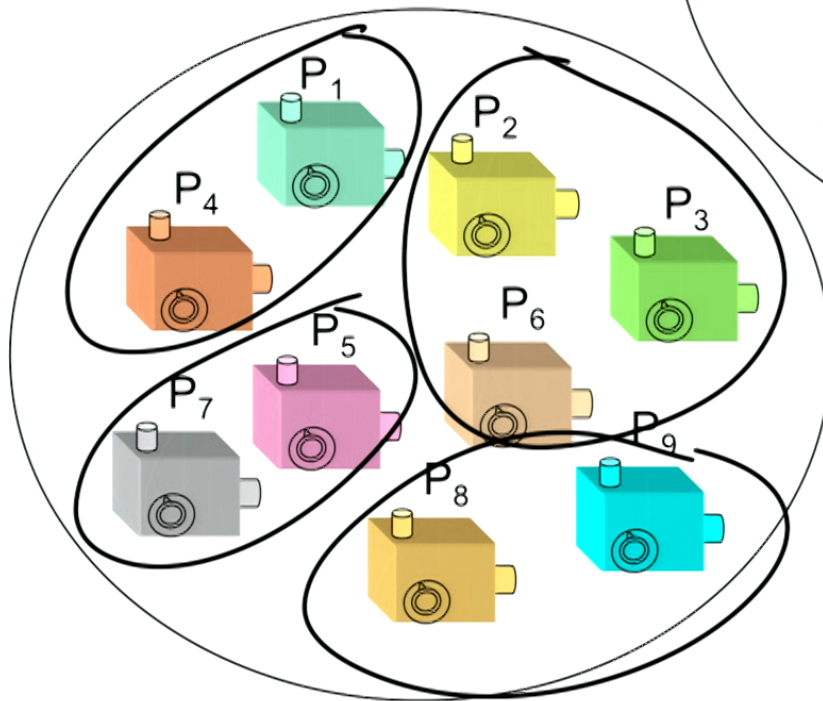


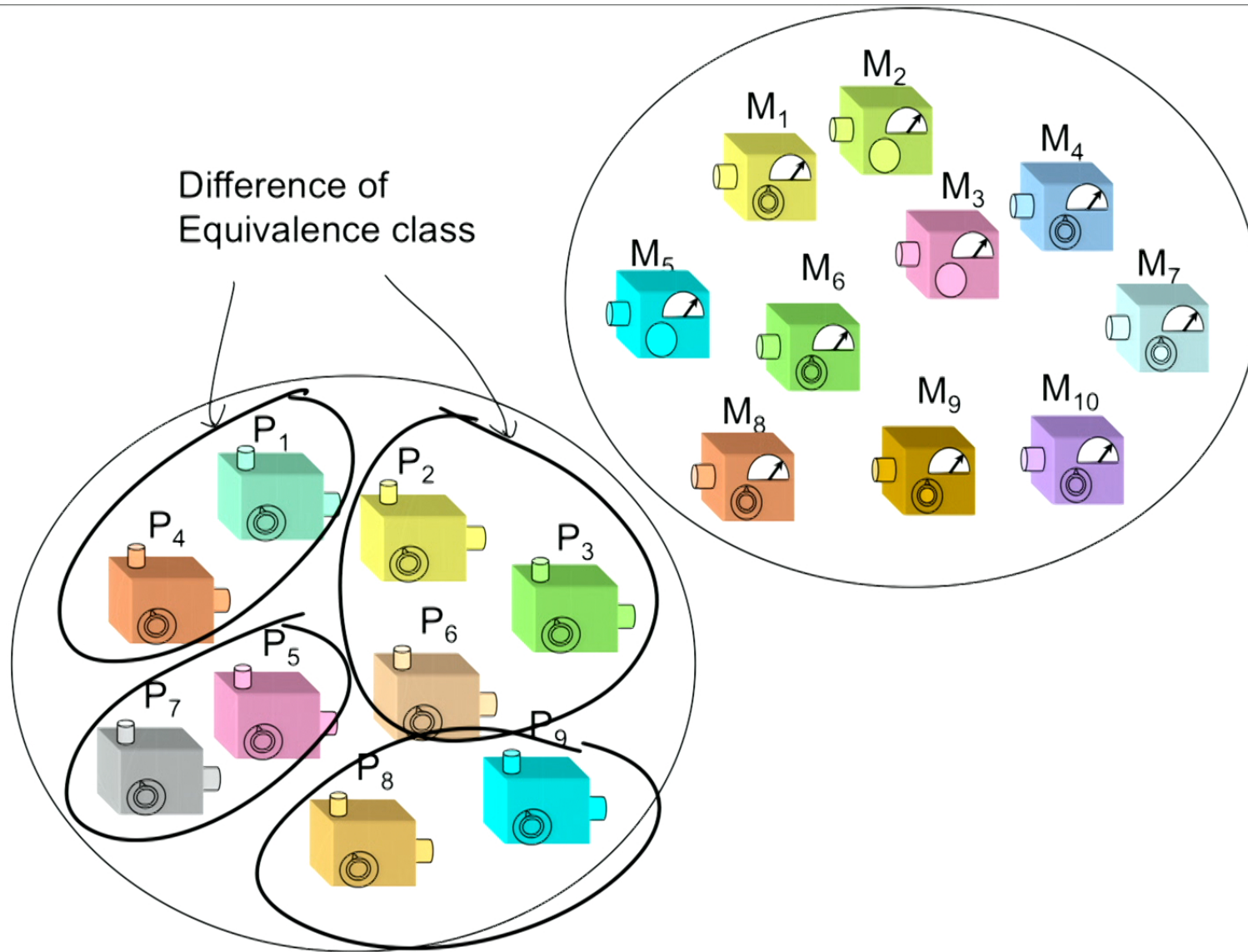


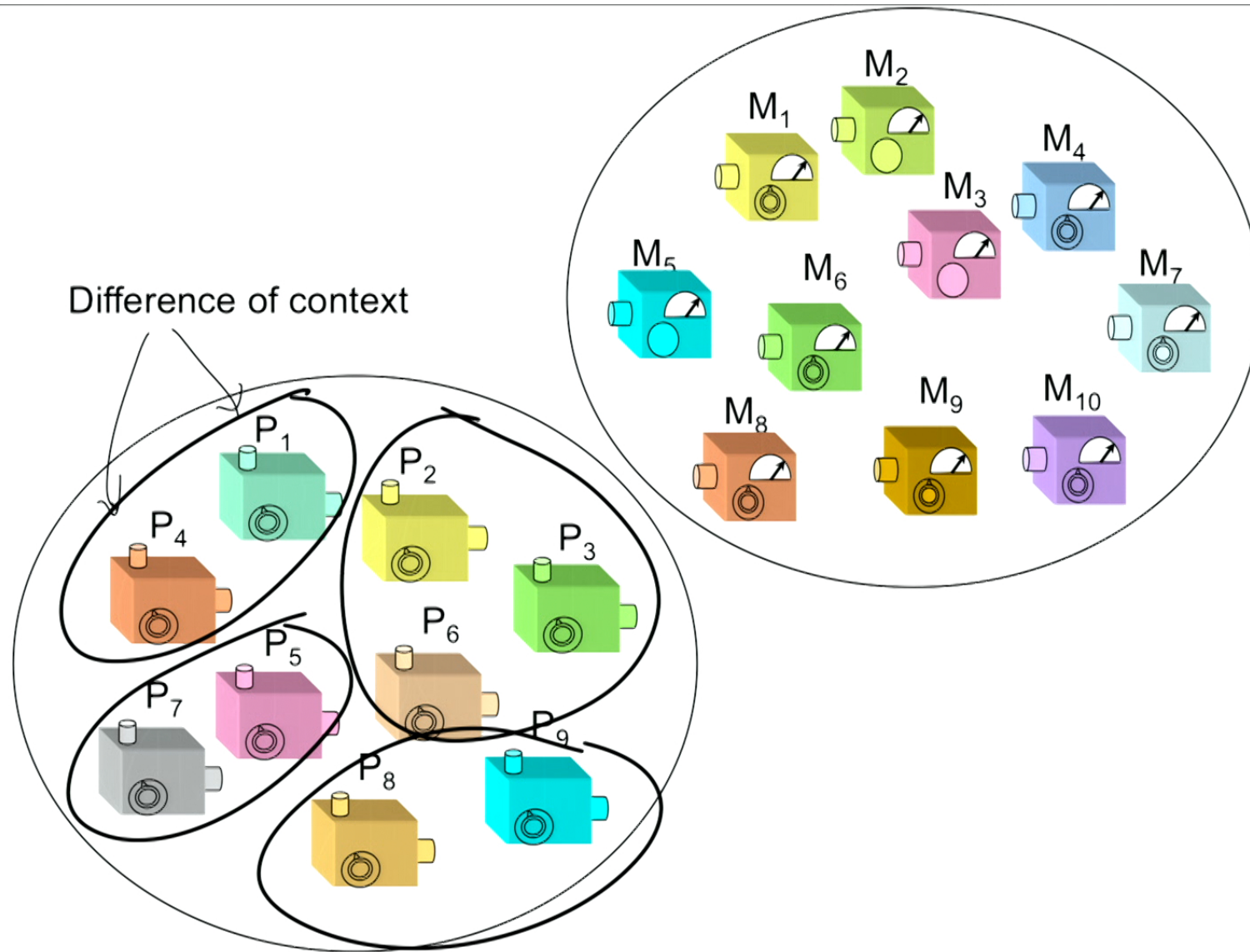
Operational equivalence
classes of preparations

$$P \simeq P'$$

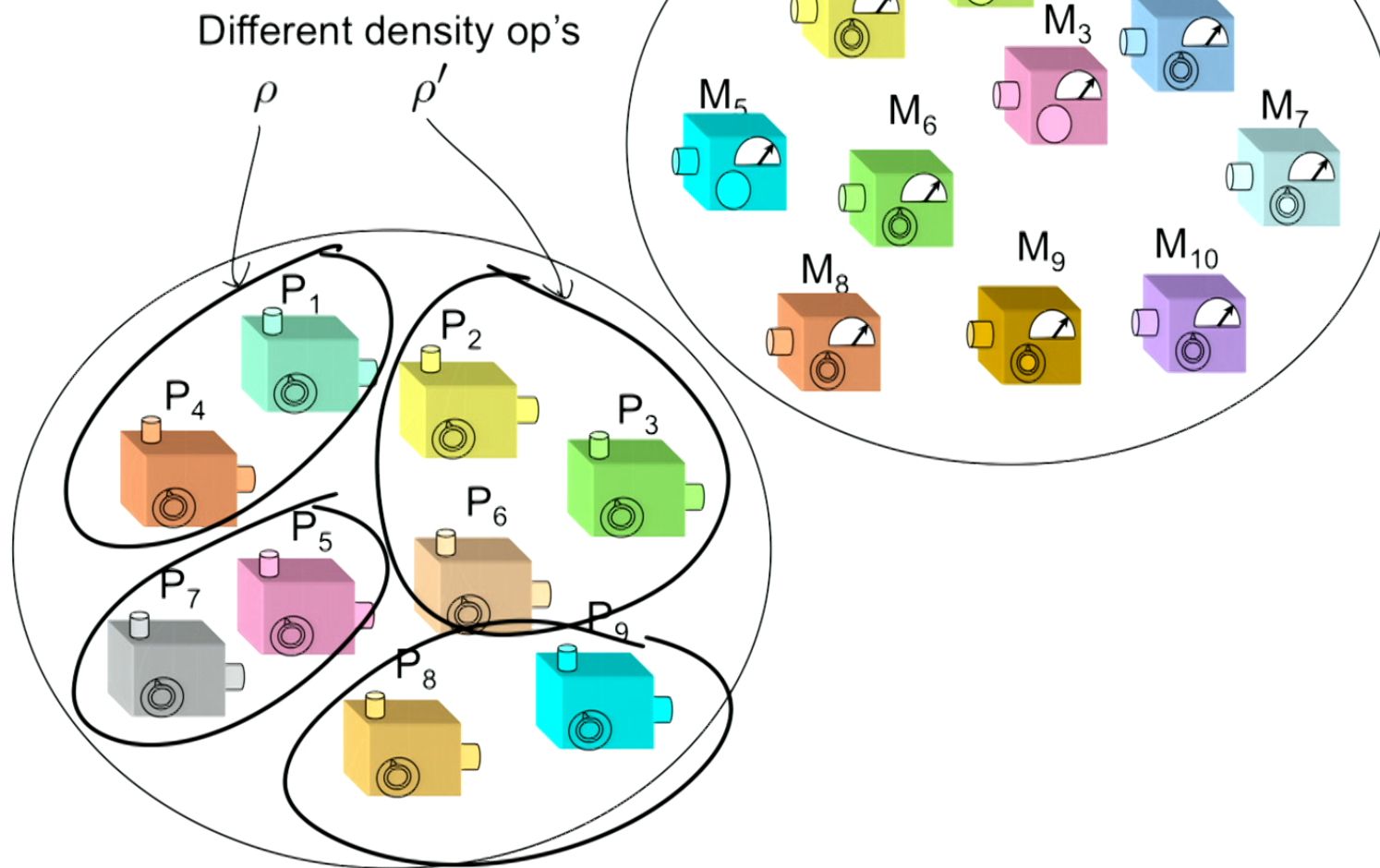
$$\forall M : p(X|P, M) = p(X|P', M)$$







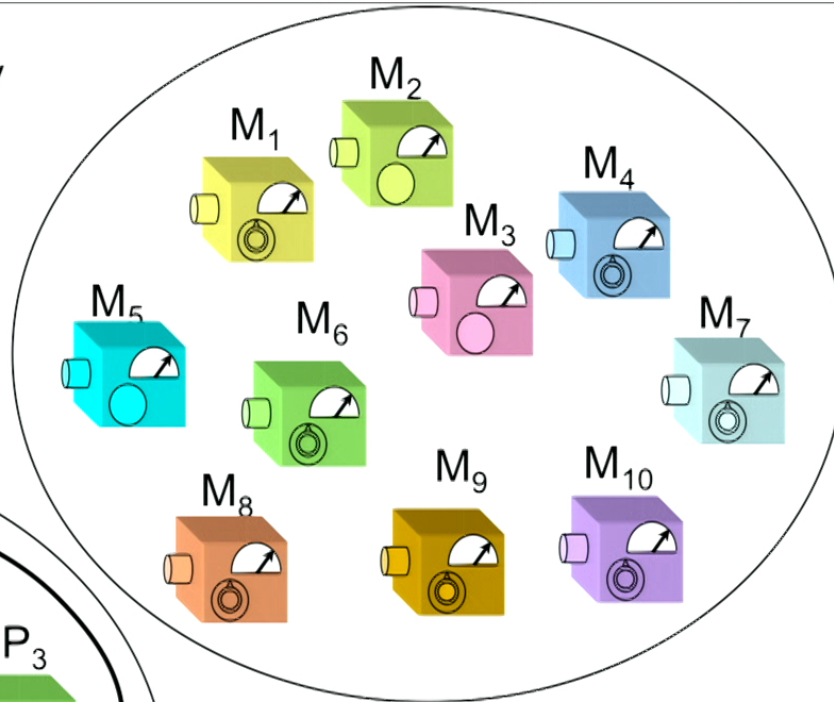
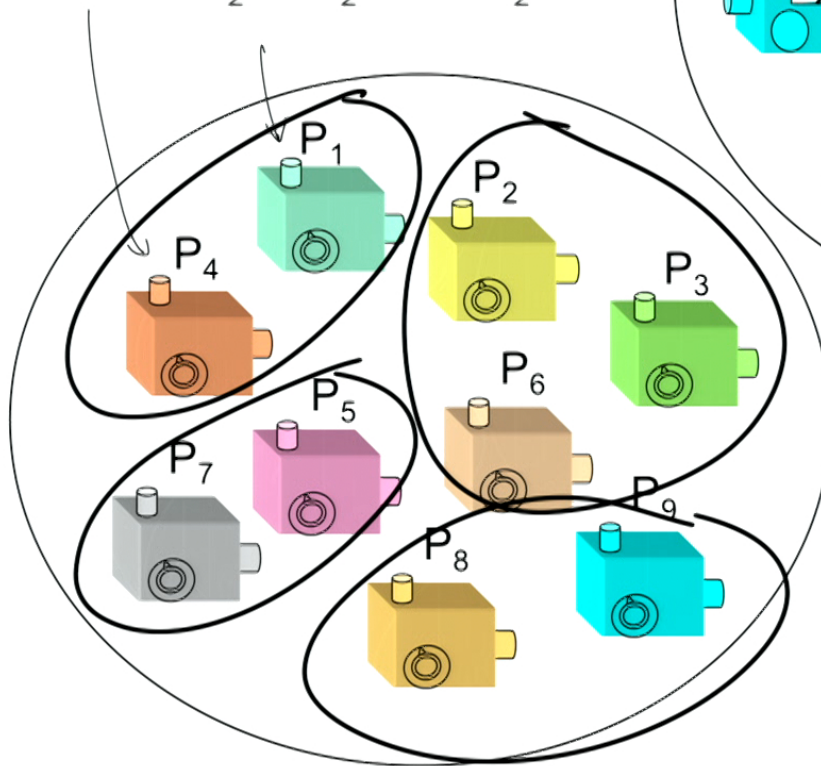
Example from quantum theory



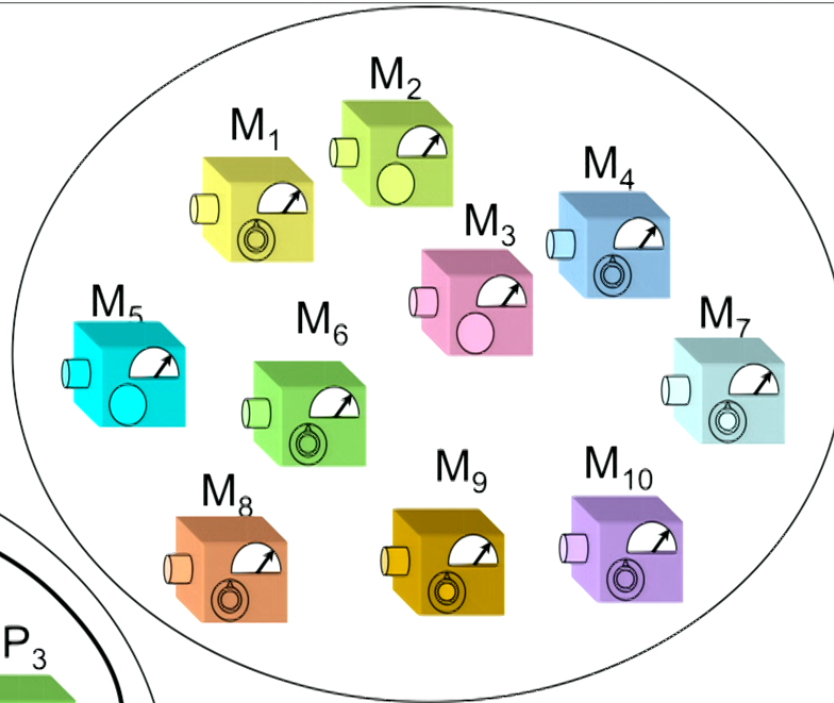
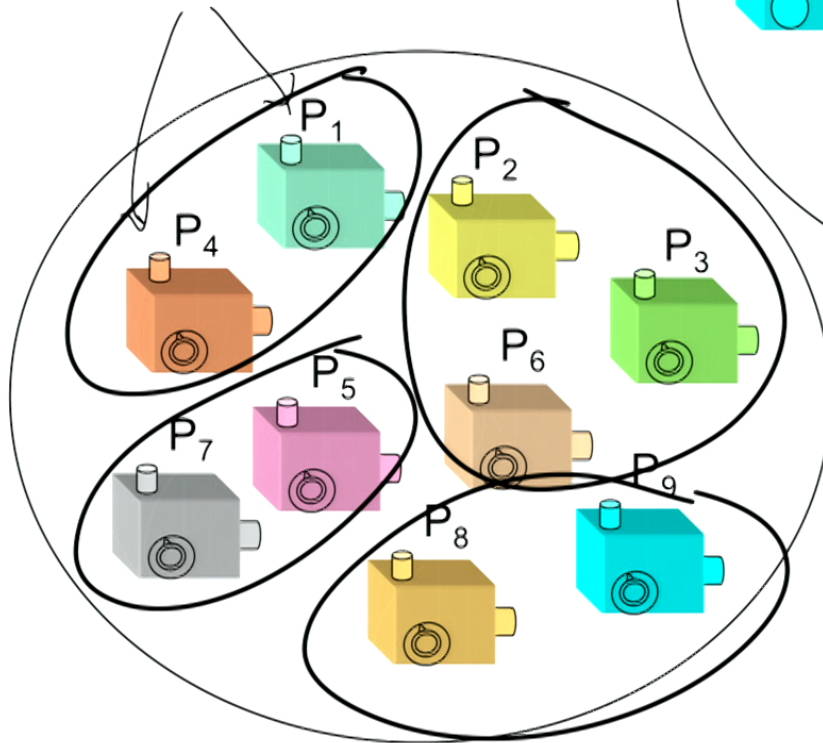
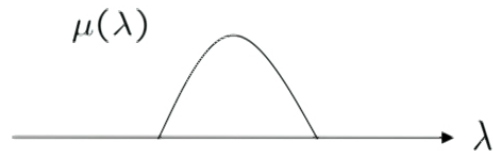
Example from quantum theory

$$\frac{1}{2}I = \frac{1}{2}|0\rangle\langle 0| + \frac{1}{2}|1\rangle\langle 1|$$

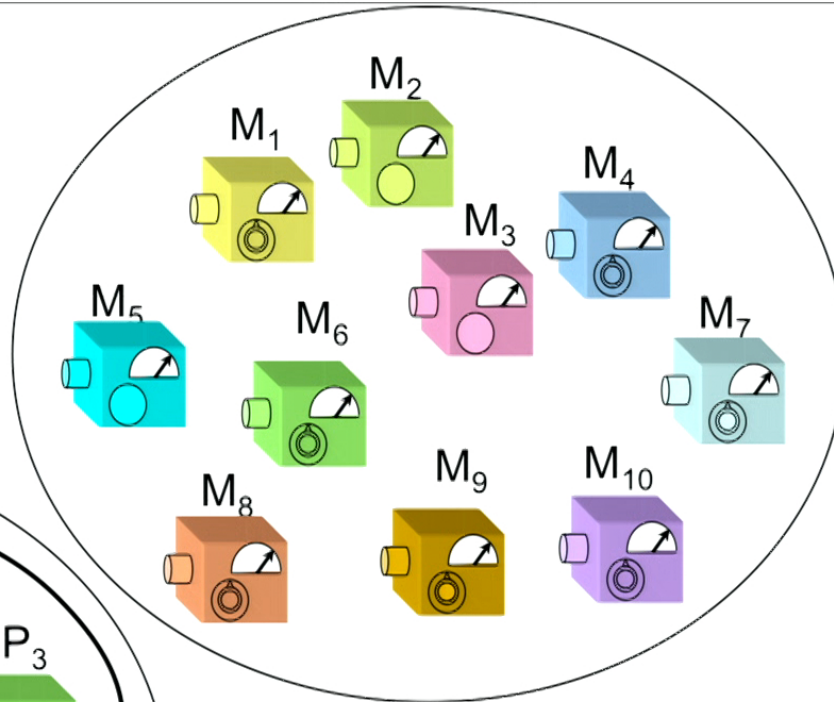
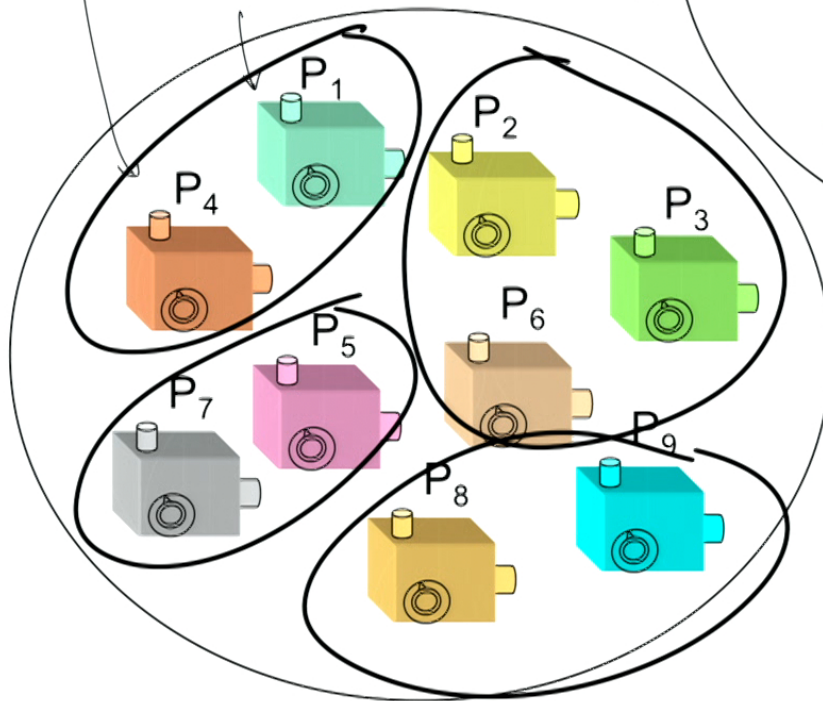
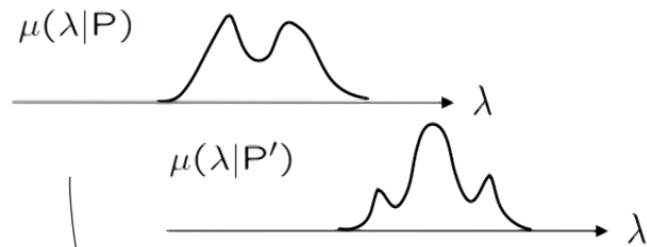
$$\frac{1}{2}I = \frac{1}{2}|+\rangle\langle +| + \frac{1}{2}|-\rangle\langle -|$$



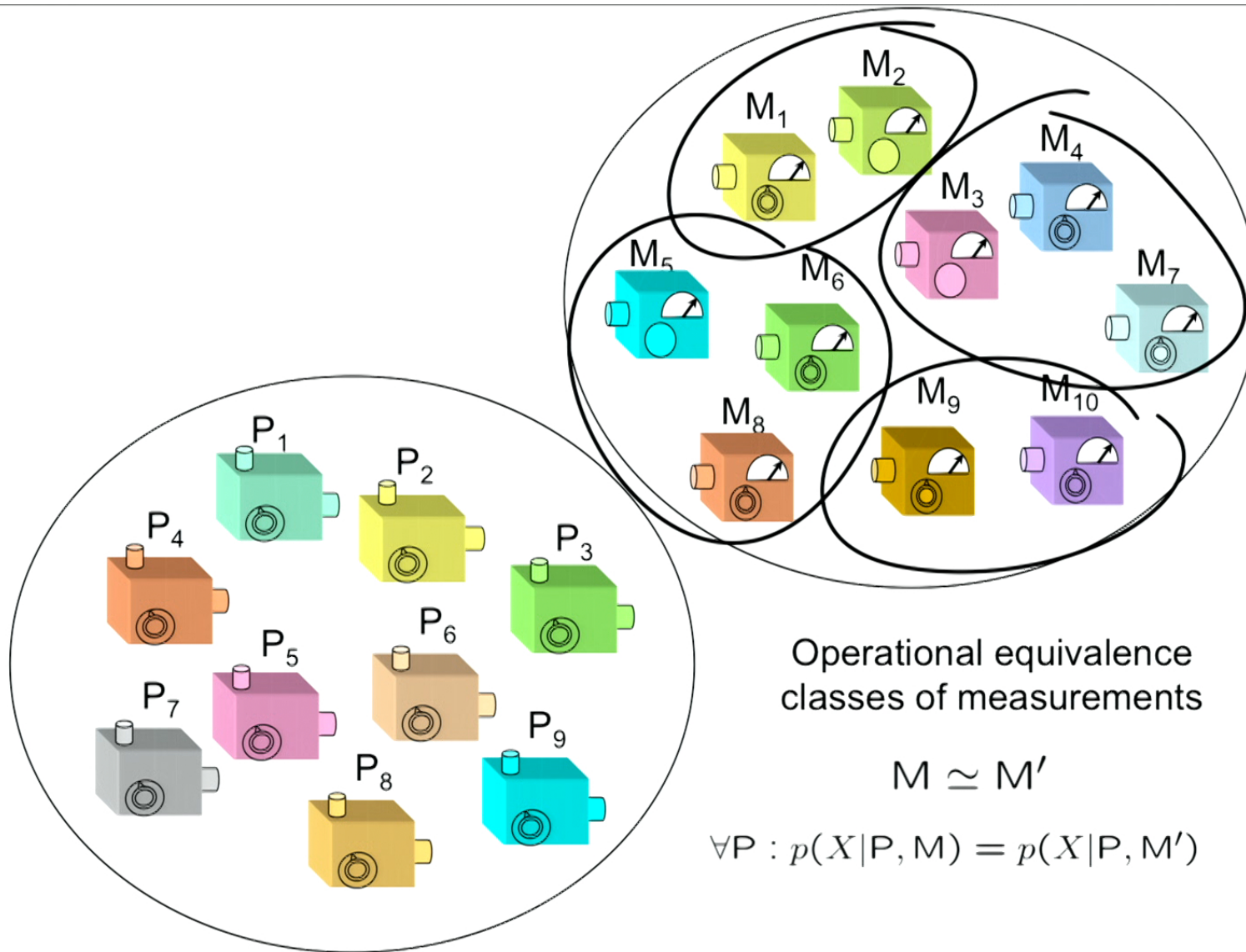
Preparation **noncontextual** model

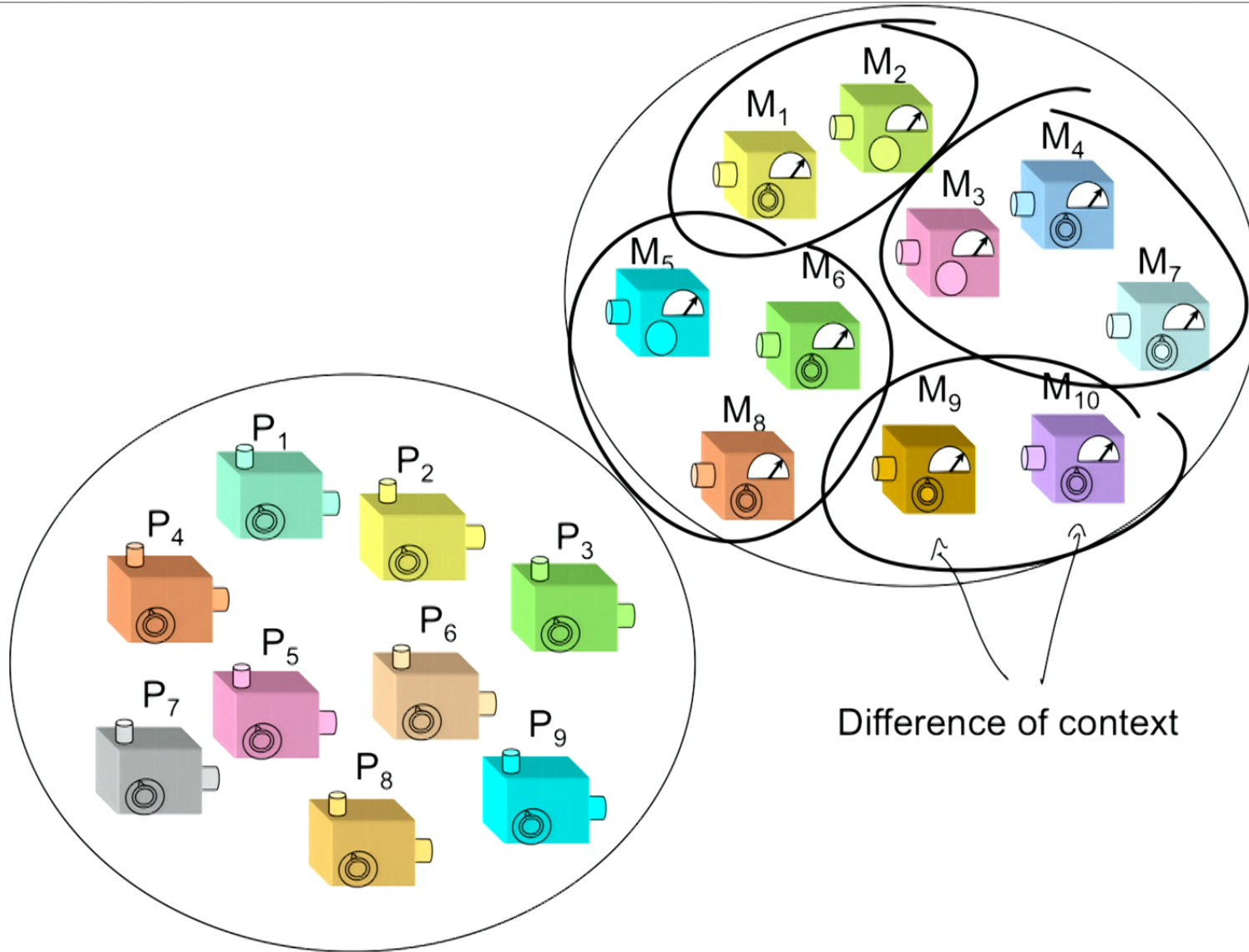


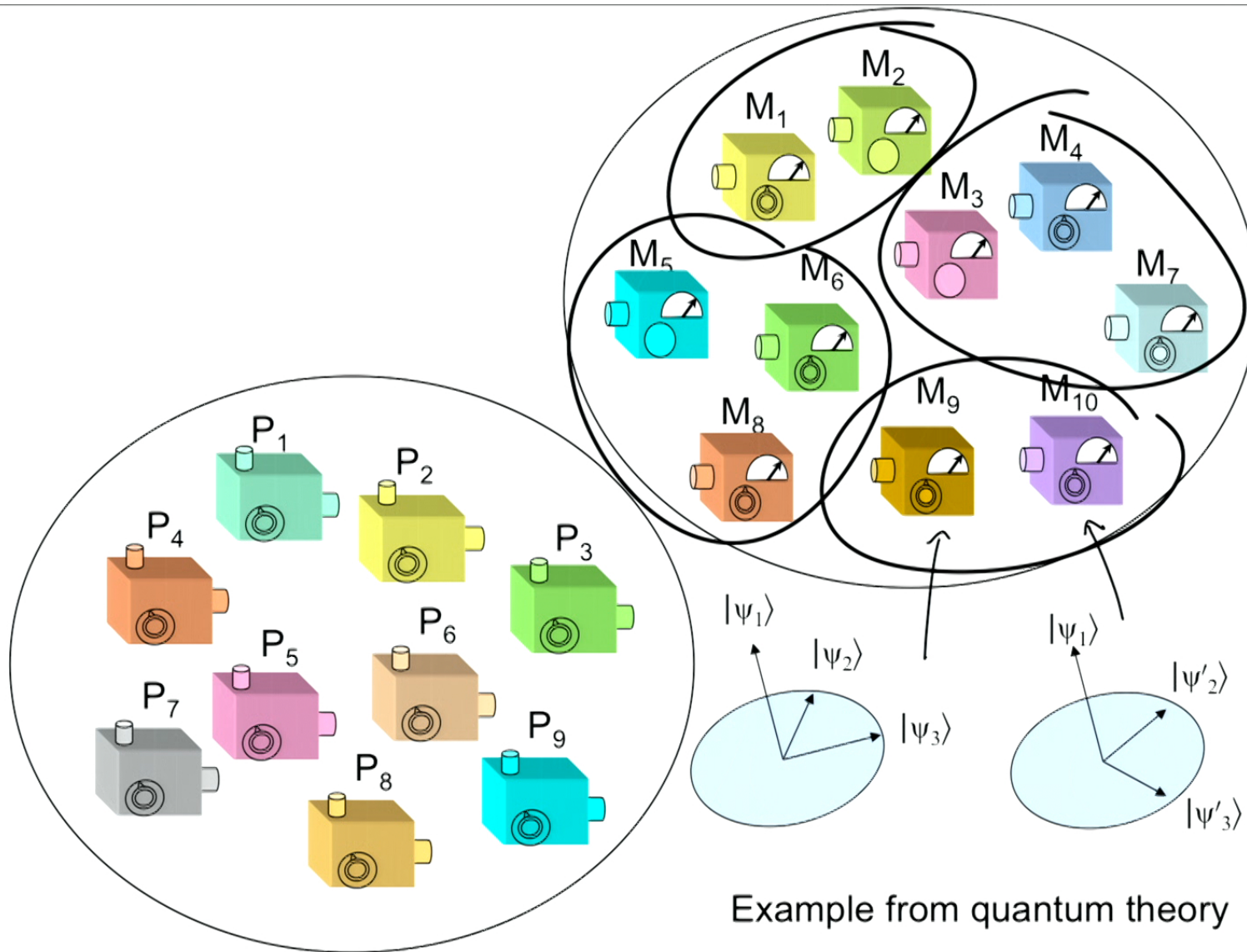
Preparation contextual model



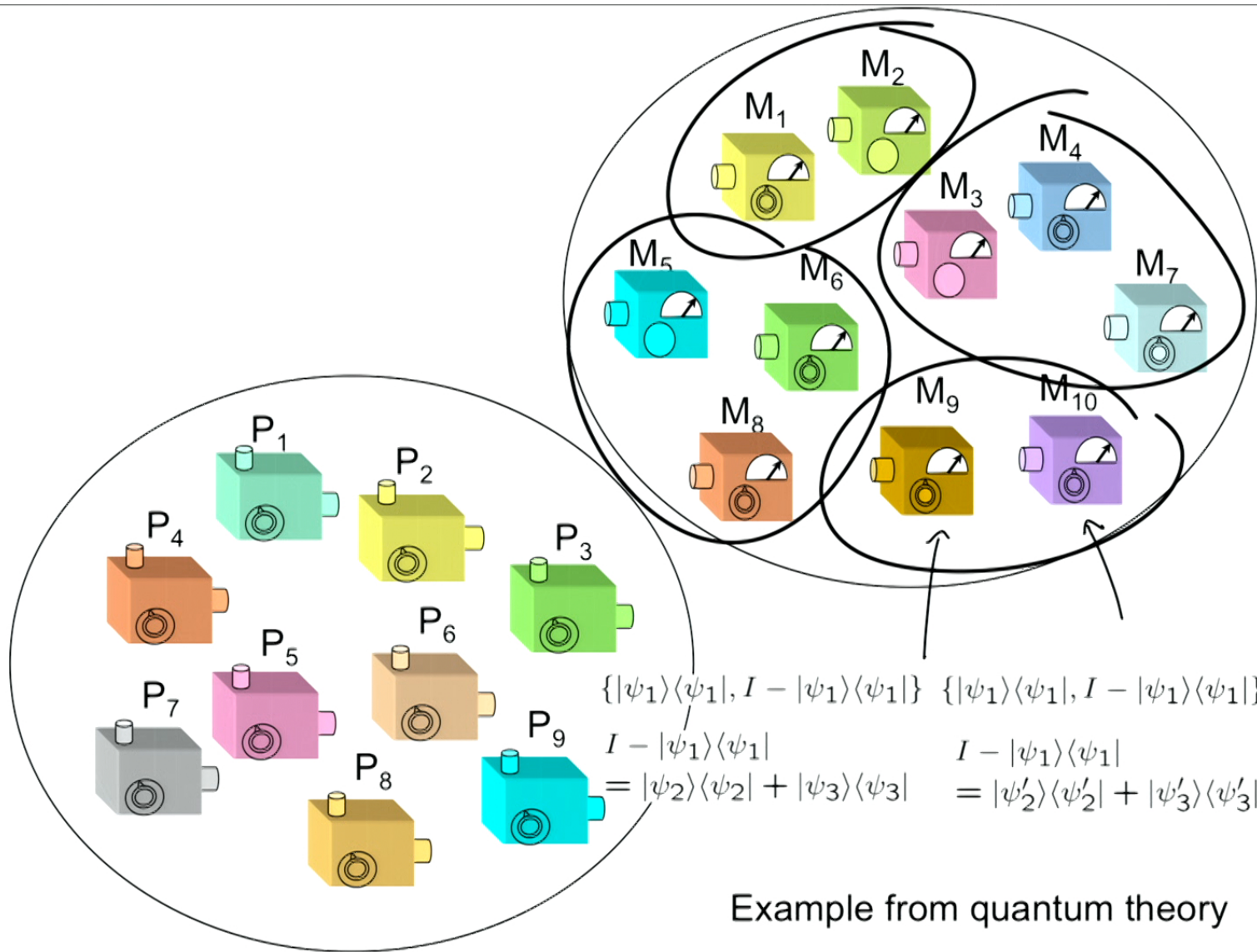
Measurement Noncontextuality



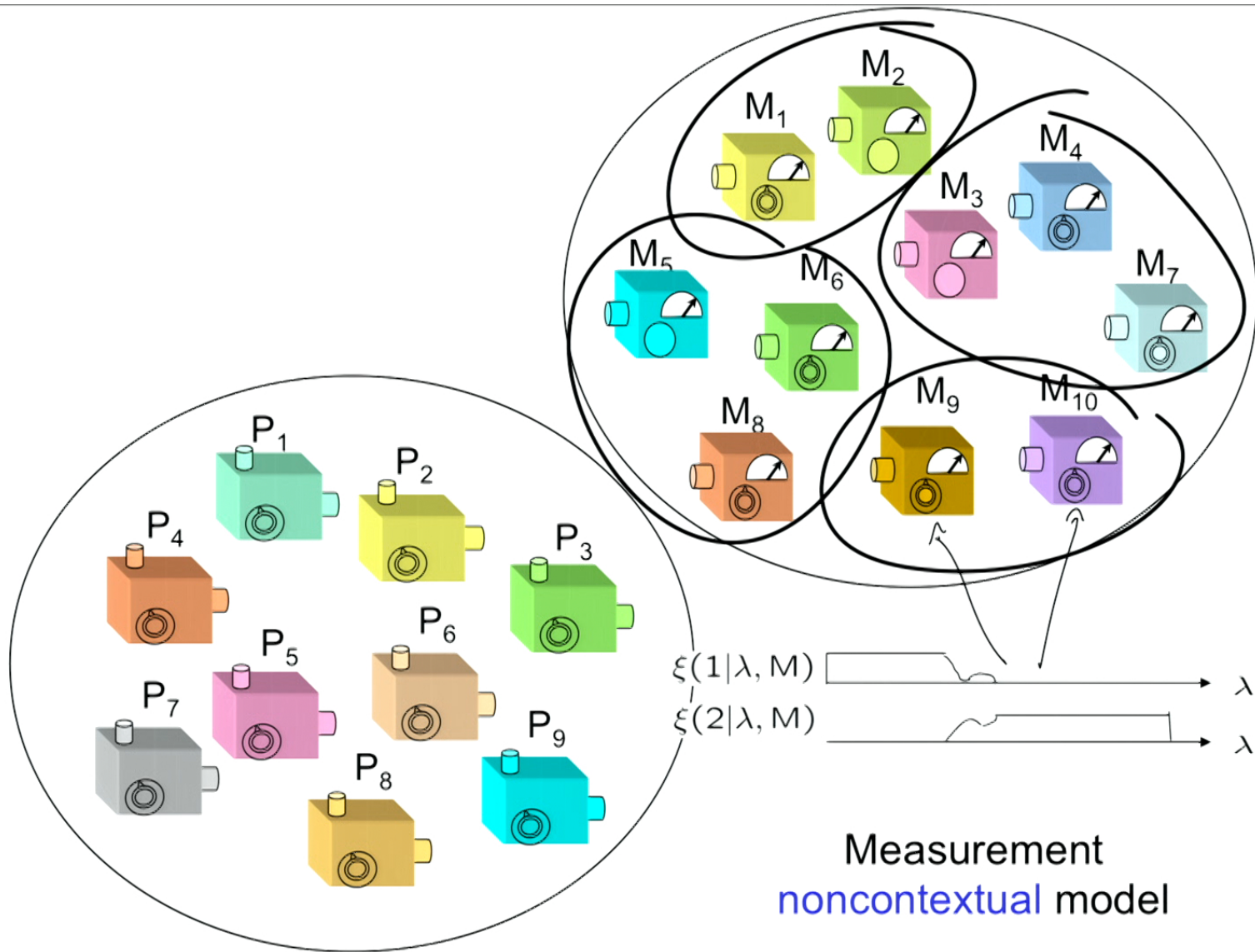


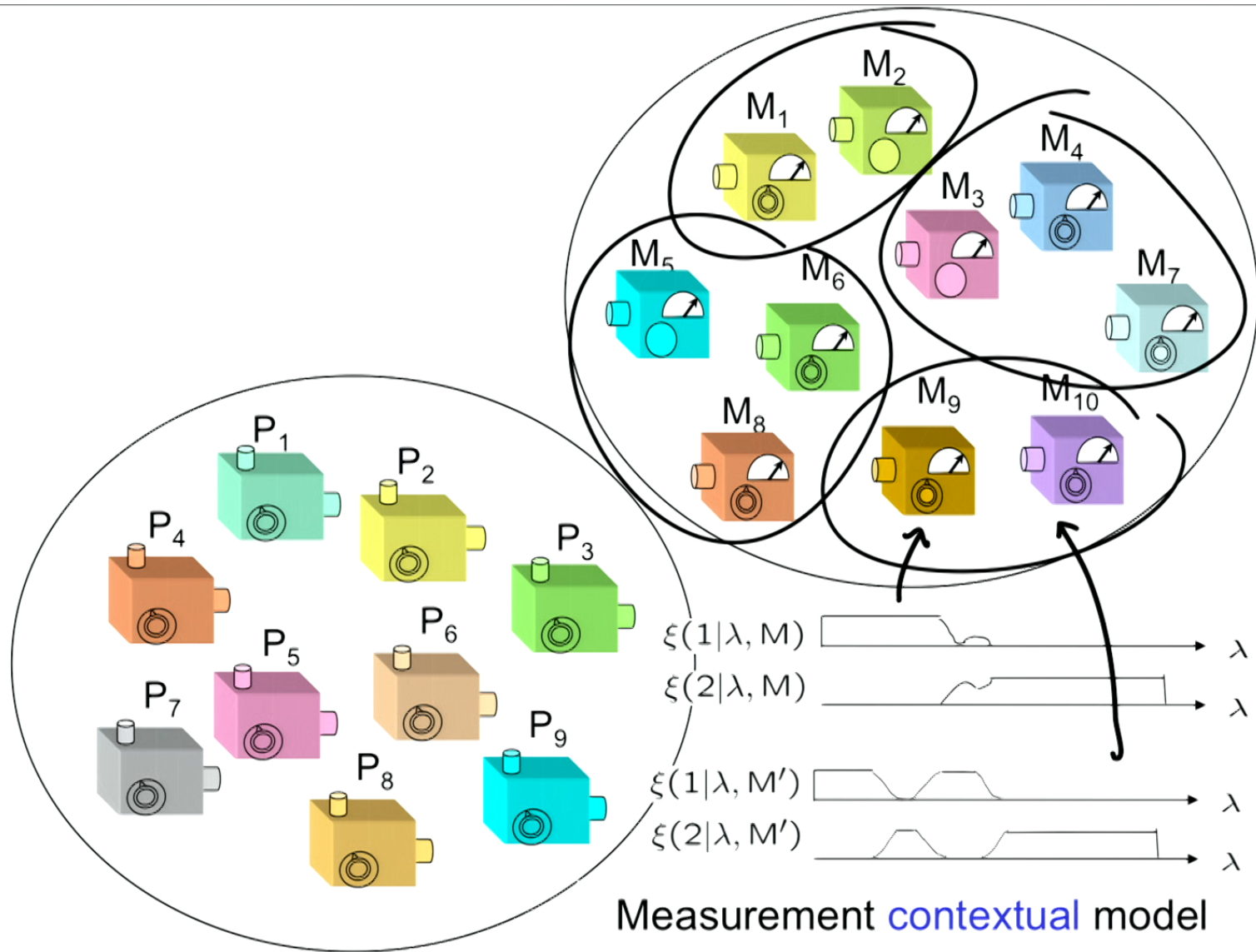


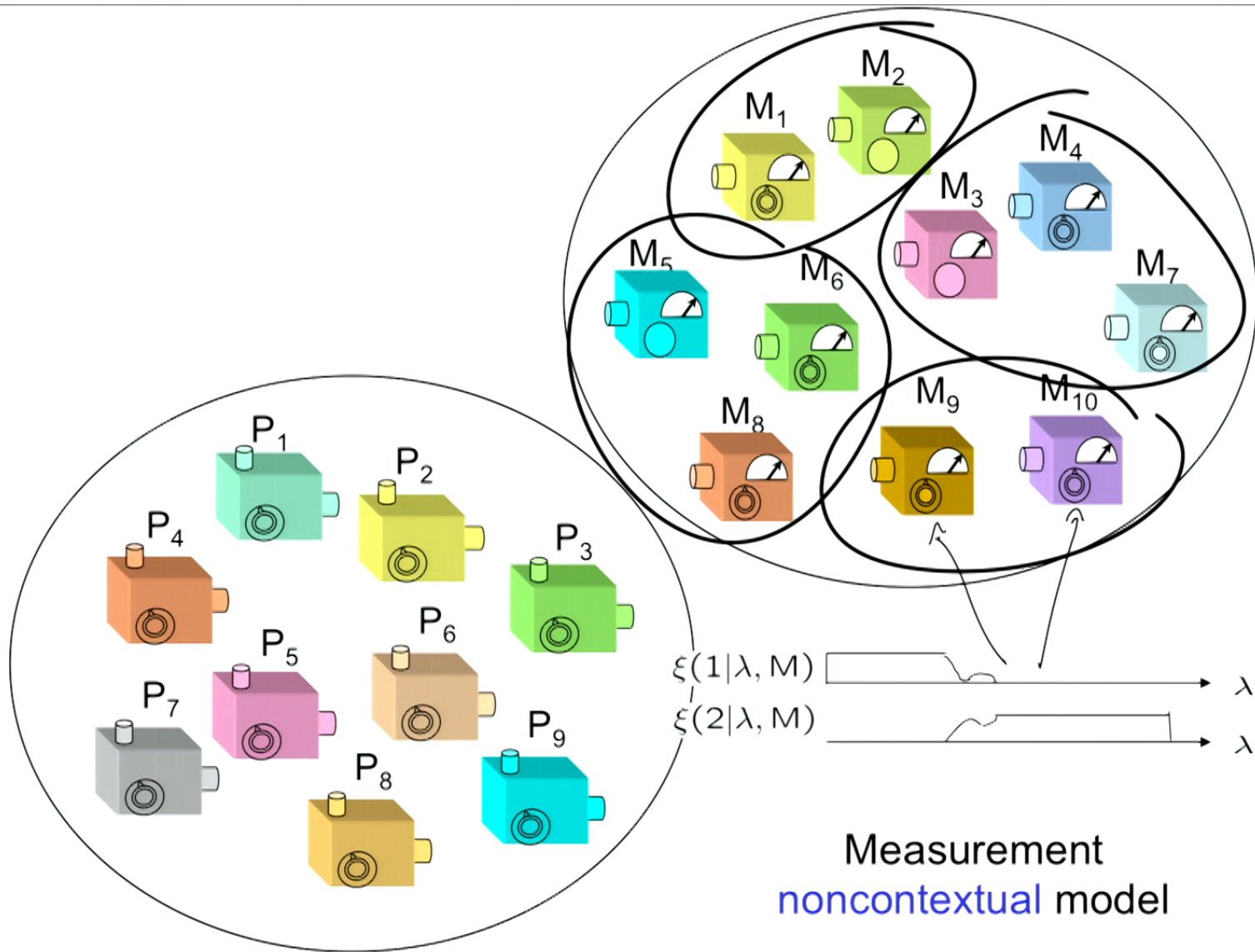
Example from quantum theory



Example from quantum theory







$$\begin{array}{ccc}
 P \simeq P' & \xrightarrow{\text{Preparation noncontextuality}} & \mu(\lambda|P) = \mu(\lambda|P') \\
 \forall M : p(X|P, M) = p(X|P', M) & &
 \end{array}$$

$$\begin{array}{ccc}
 M \simeq M' & \xrightarrow{\text{Measurement noncontextuality}} & \xi(X|\lambda, M) = \xi(X|\lambda, M') \\
 \forall P : p(X|P, M) = p(X|P, M') & &
 \end{array}$$

The best explanation of context-independence at the operational level
is context-independence at the ontological level

Transformation noncontextuality is defined similarly

The only natural assumption is universal noncontextuality

Comparison with other approaches

Other approaches agree that to assume noncontextuality is to assume an inference of the form:

Some equivalence relation
holding between two
experimental procedures $\longrightarrow$ Equivalent
representations
in the ontological model

But

- focus on measurements
- disagree on the nature of the equivalence relation
- disagree on the nature of the ontological representation

Disagreement #1:
The nature of the equivalence
relation between measurement
procedures

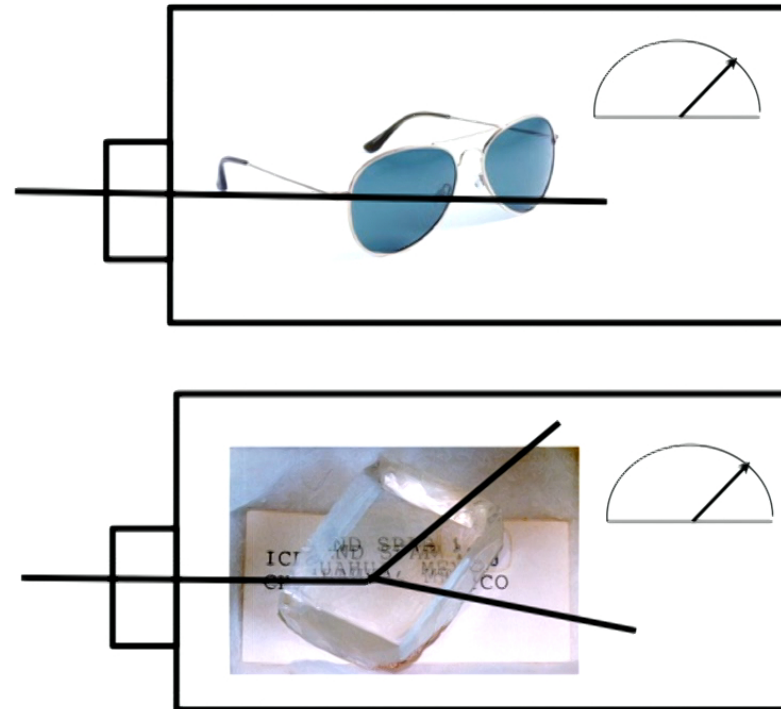
The competing proposal:

Suppose B and C are two incompatible measurements that are each compatible with A

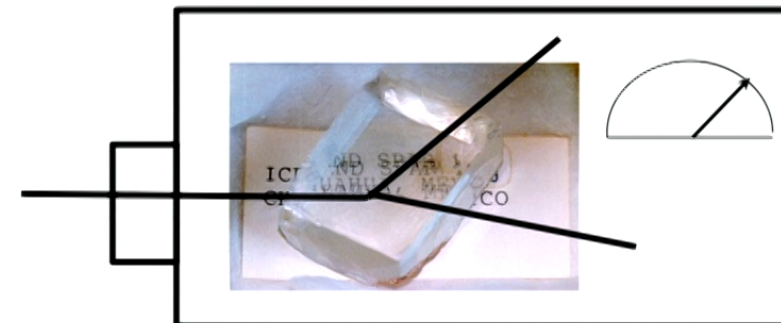
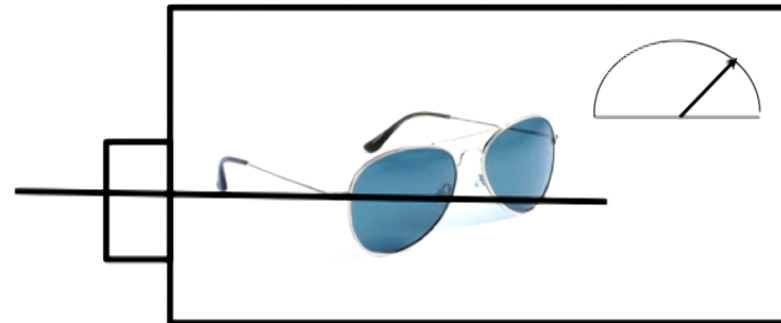
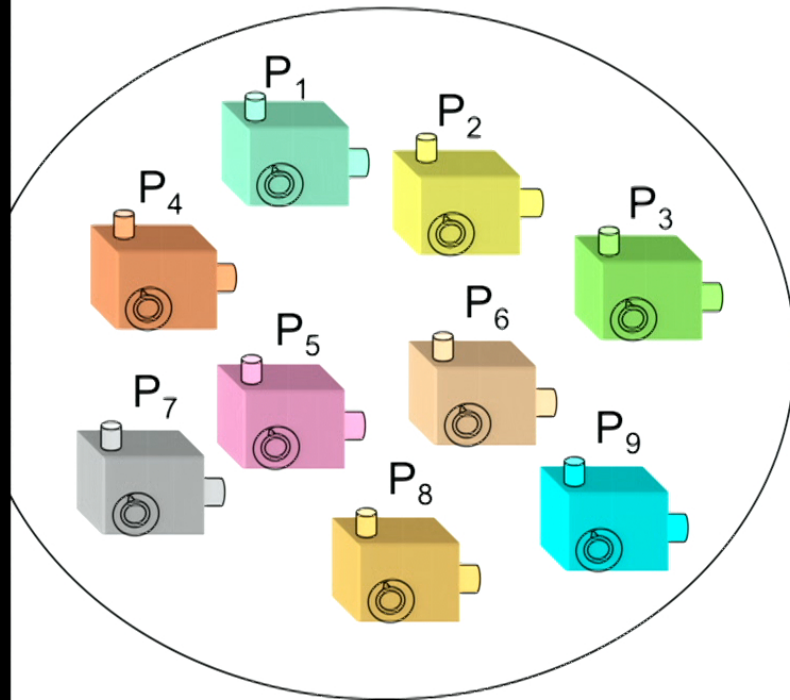
“The experimental apparatus used for measuring, e.g., [A] must be the same when [it] is measured together with [B], and when it is measured together with [C].”

--- A. Cabello, PRL 101, 210401 (2008).

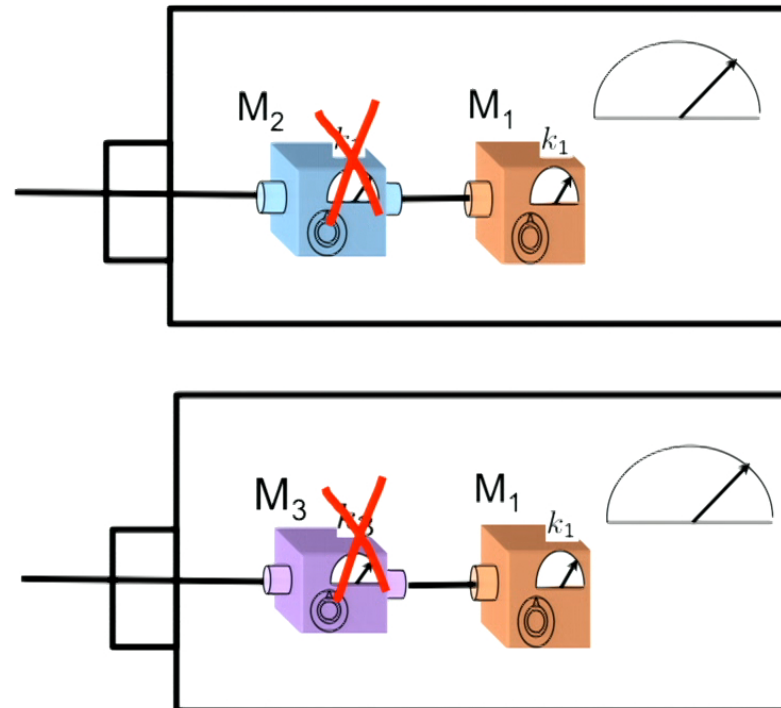
Sameness of apparatus
not a necessary condition:

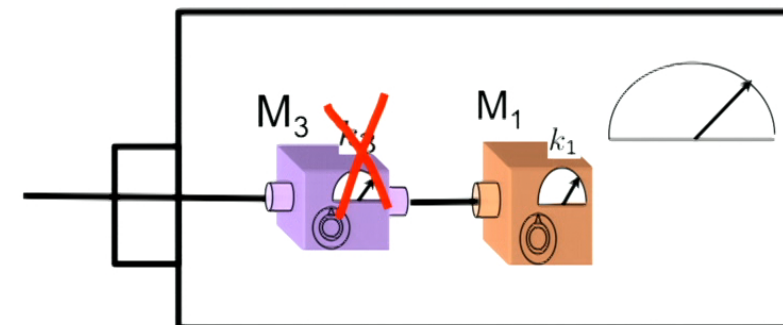
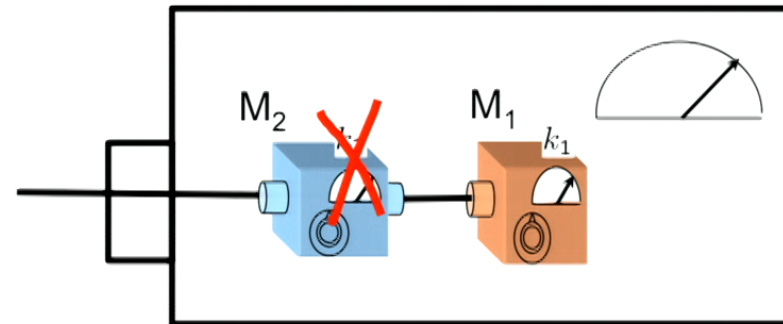
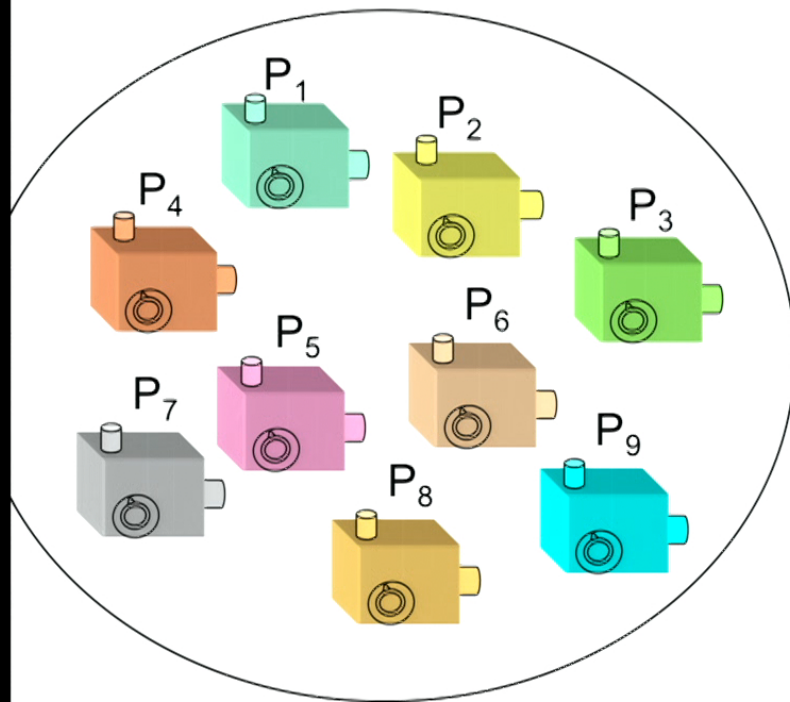


Sameness of apparatus
not a necessary condition:



Sameness of apparatus
not a sufficient condition:



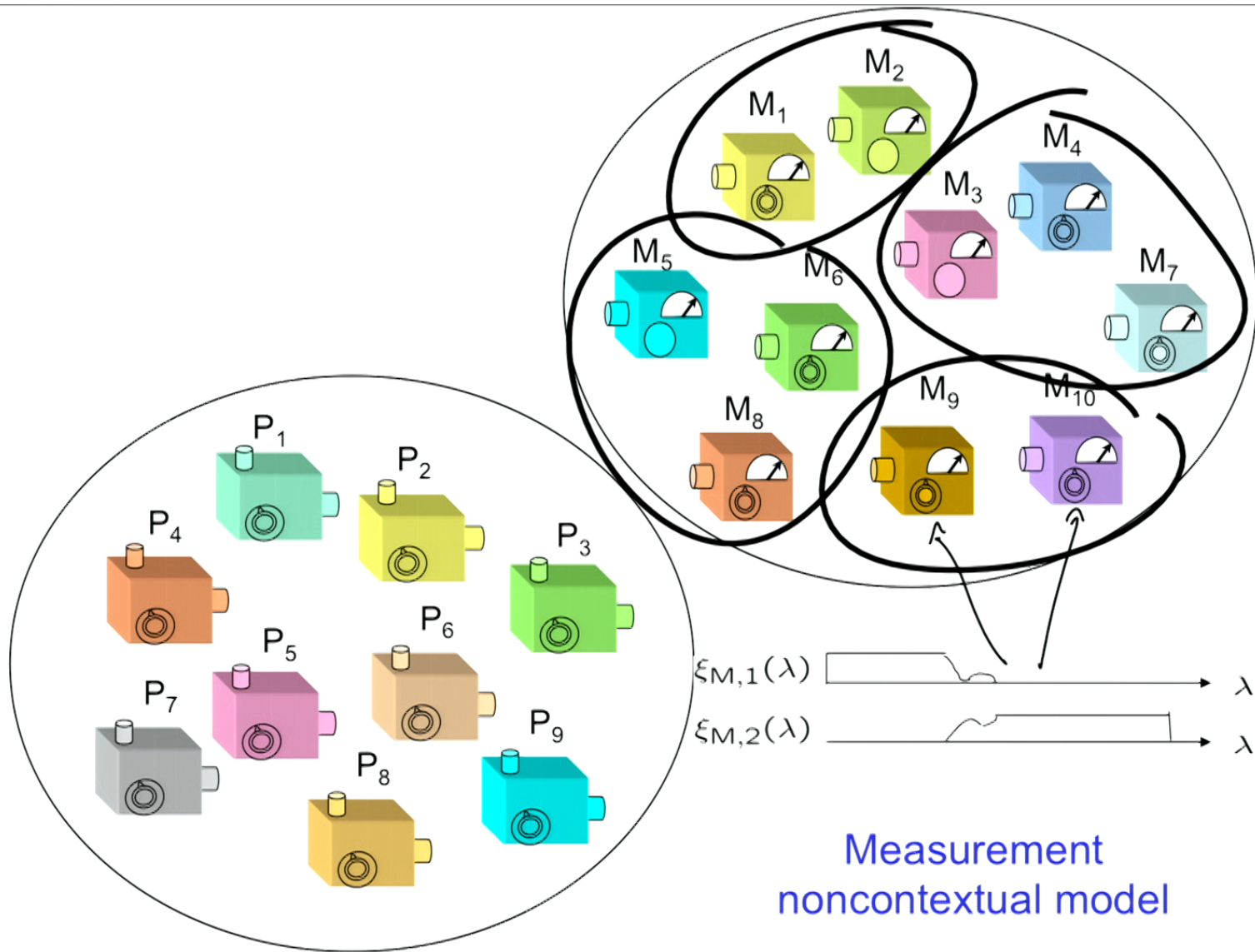


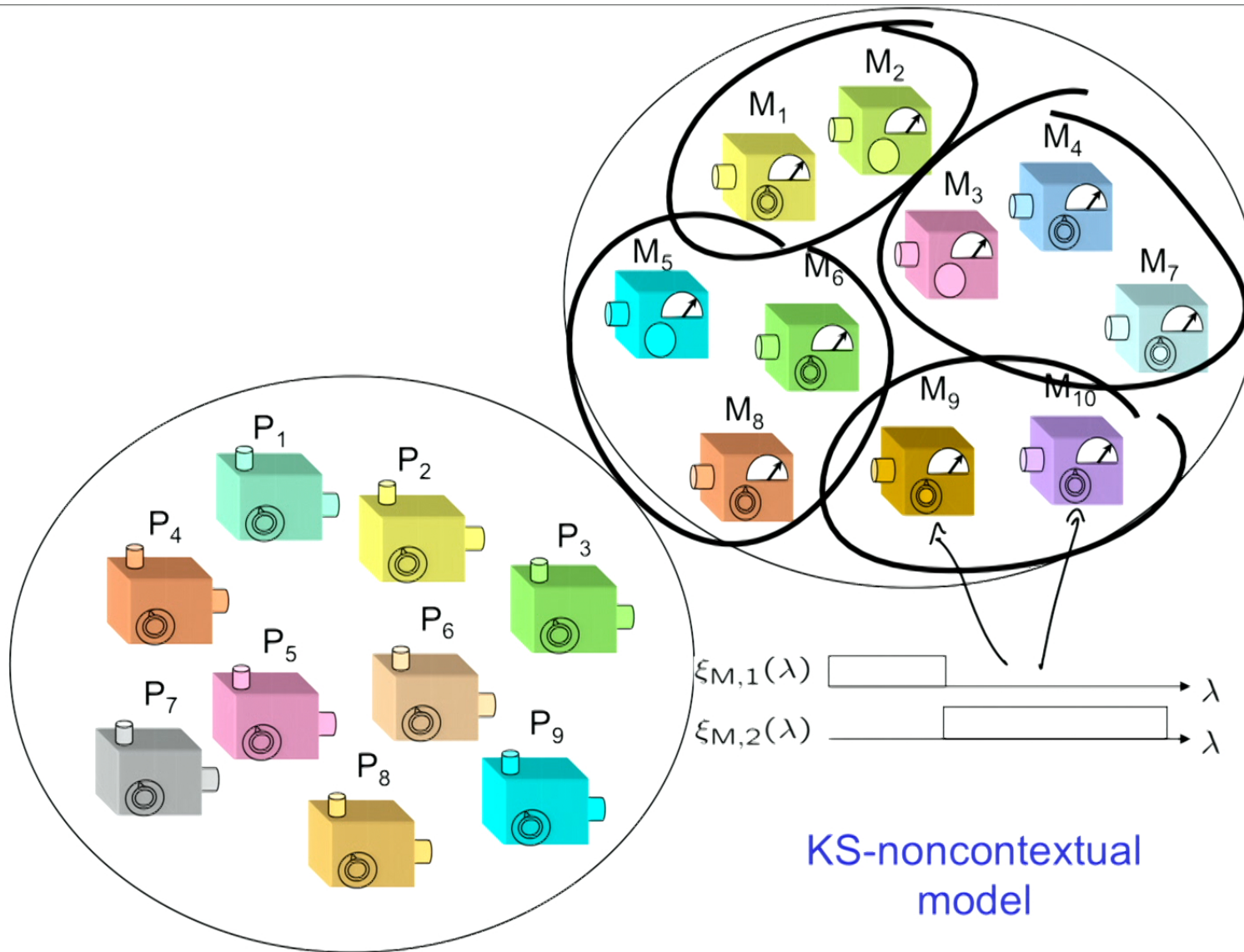
Operational equivalence says: the relevant notion of sameness for two measurement procedures on a system is **sameness of statistics for all preparation procedures on that system.**

Operational equivalence says: the relevant notion of sameness for two measurement procedures on a system is **sameness of statistics for all preparation procedures on that system.**

It is the grounds by which we justify modeling two measurements equivalently in QT

Disagreement #2:
The nature of the ontological
representation of measurements





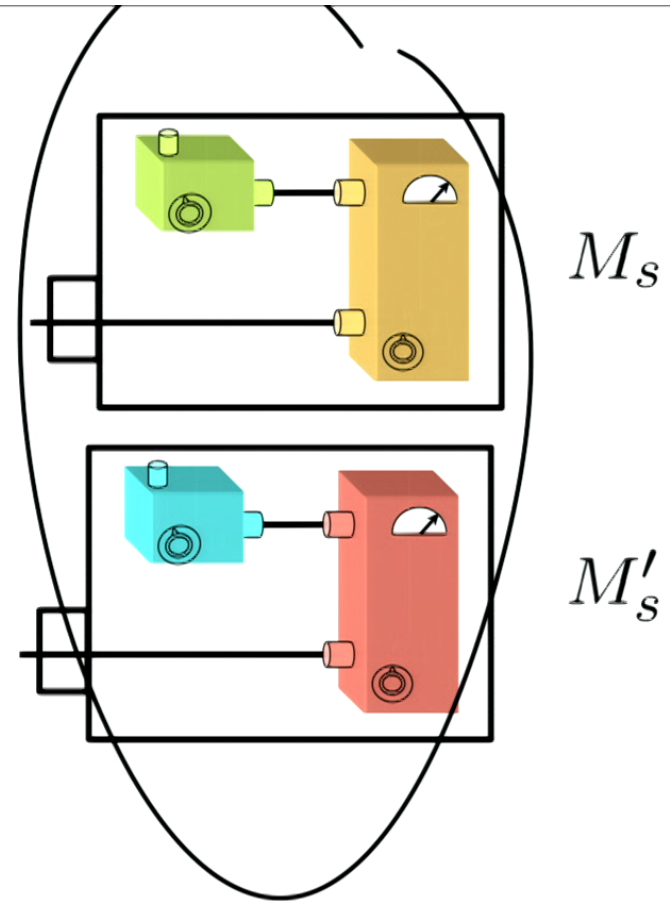
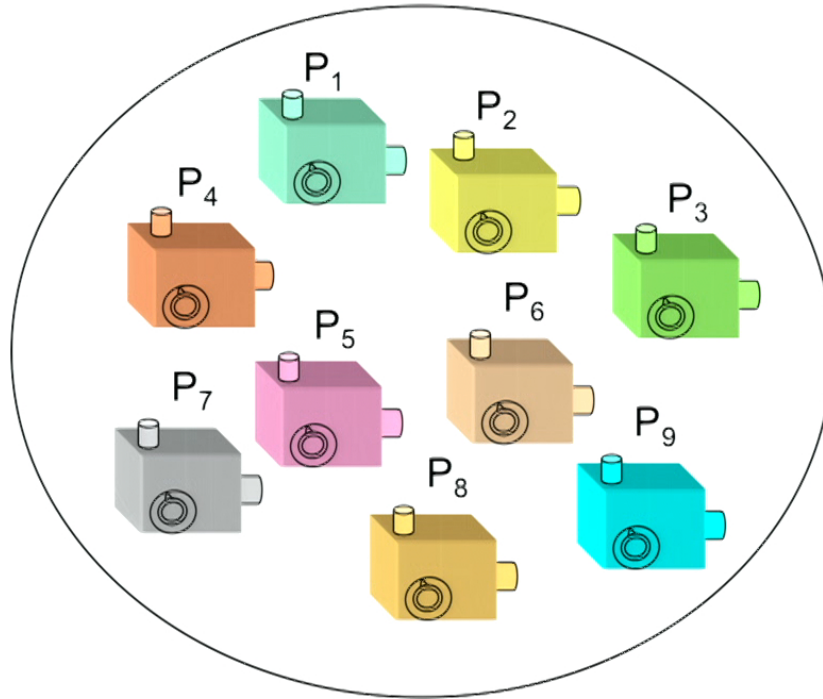
measurement noncontextuality
and
outcome determinism = KS-noncontextuality

But, in face of a violation of KS-noncontextuality,
we could give up outcome determinism

Theorem: A universally noncontextual ontological model assigns outcomes to a quantum measurement **deterministically** if and only if the measurement is **sharp**

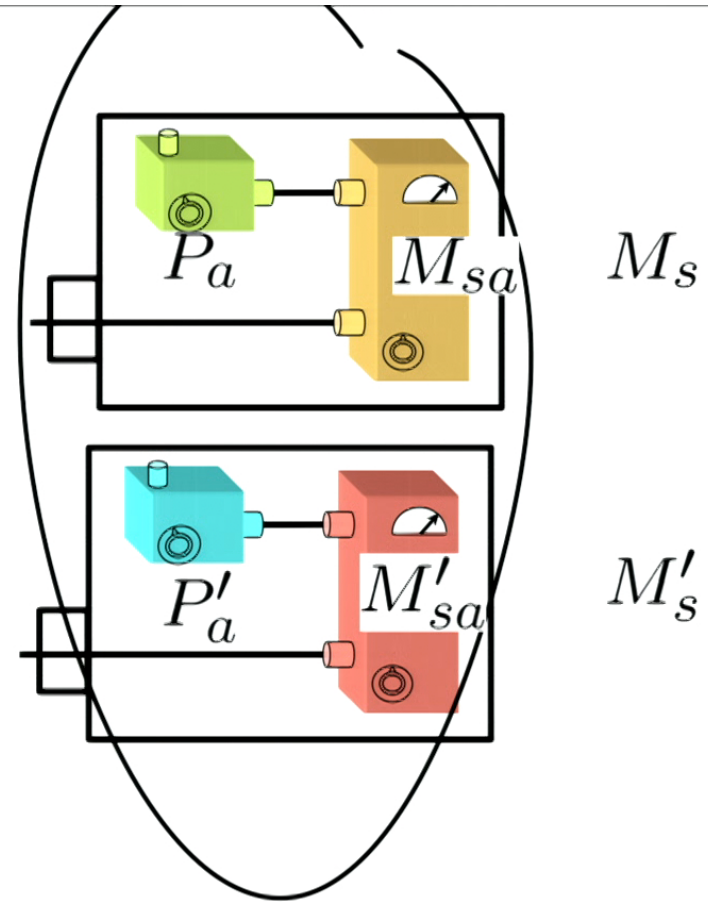
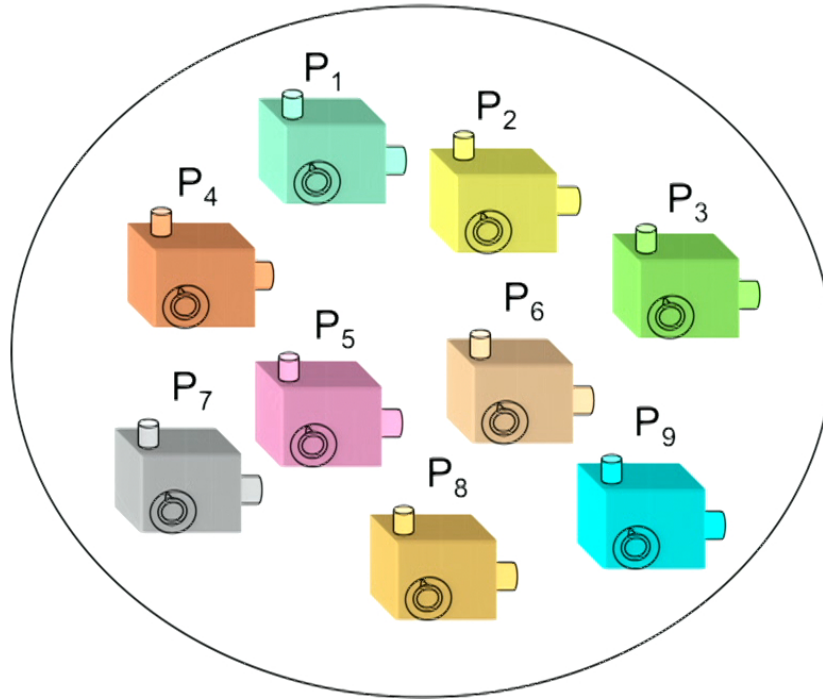
See: RWS, Found. Phys. 44, 1125 (2014)

All real-world measurements have some degree of unsharpness



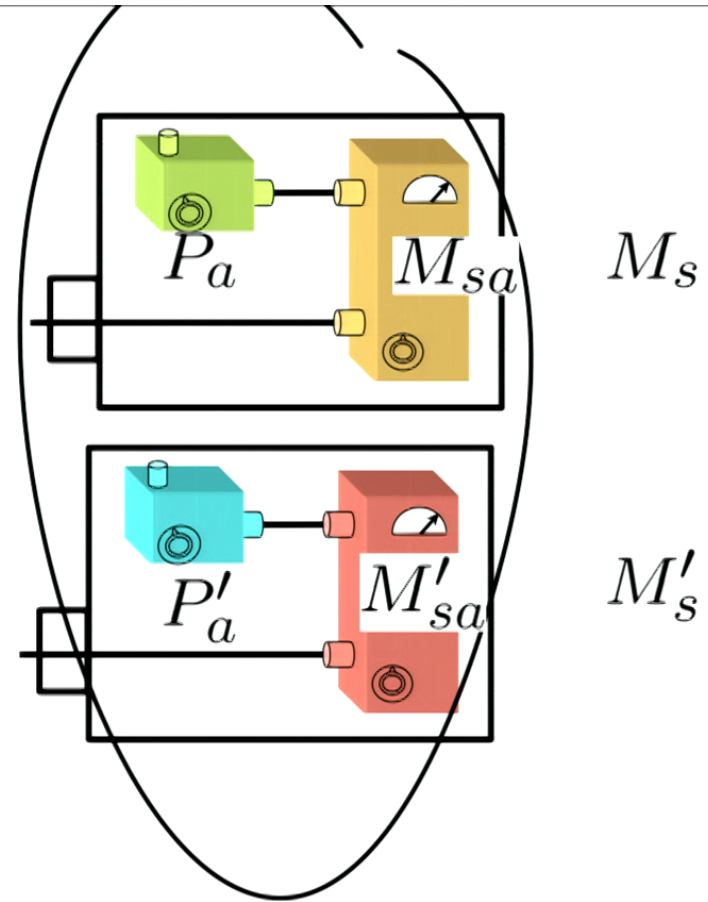
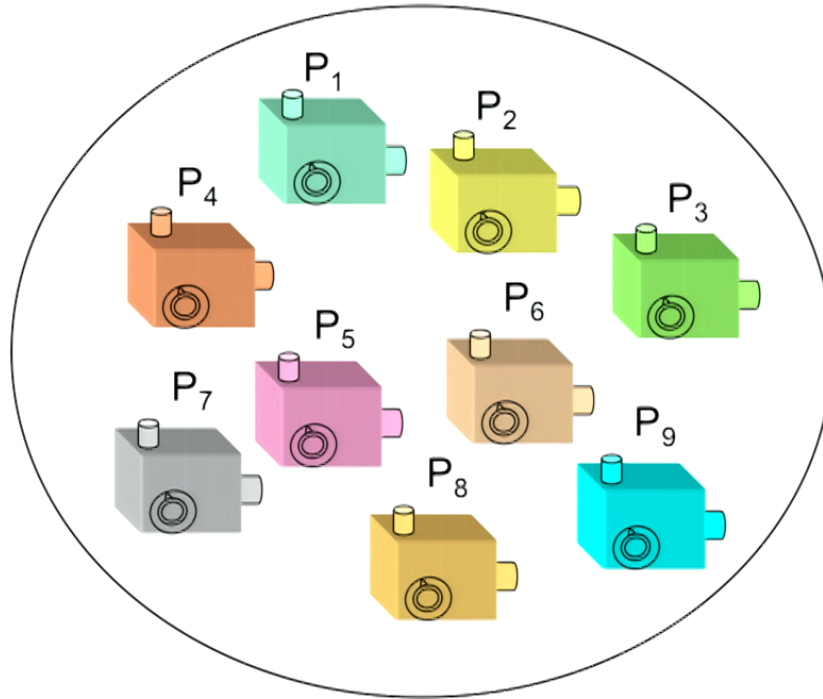
$$M_s \simeq M'_s$$

Thus $\xi(X|\lambda_s, M_s) = \xi(X|\lambda_s, M'_s)$



No evidence for $M_{sa} \simeq M'_{sa}$

No justification for $\xi(X|\lambda_s, \lambda_a, M_{sa}) = \xi(X|\lambda_s, \lambda_a, M'_{sa})$



From $M_s \simeq M'_s$ all we can infer is

$$\int d\lambda_a \xi(X|\lambda_s, \lambda_a, M_{sa}) \mu(\lambda_a|P_a) = \int d\lambda_a \xi(X|\lambda_s, \lambda_a, M'_{sa}) \mu(\lambda_a|P'_a)$$

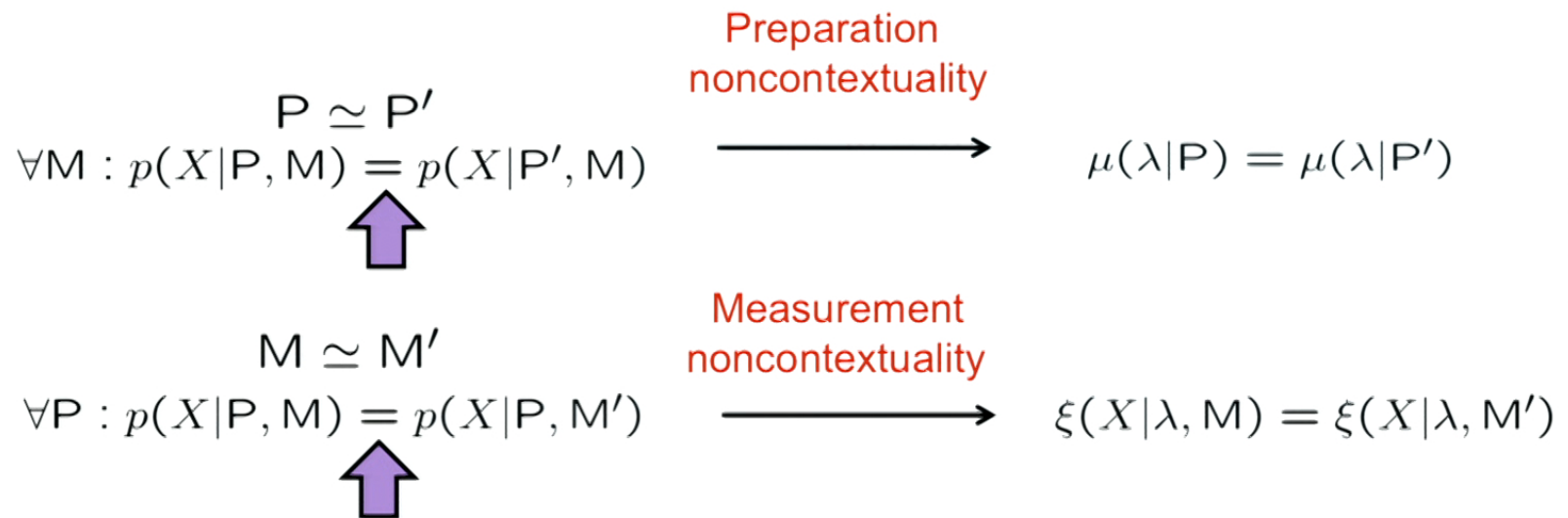
Challenges to direct experimental testability

How do we derive constraints on experimental statistics from noncontextuality when the preparations and measurements are noisy/unsharp?

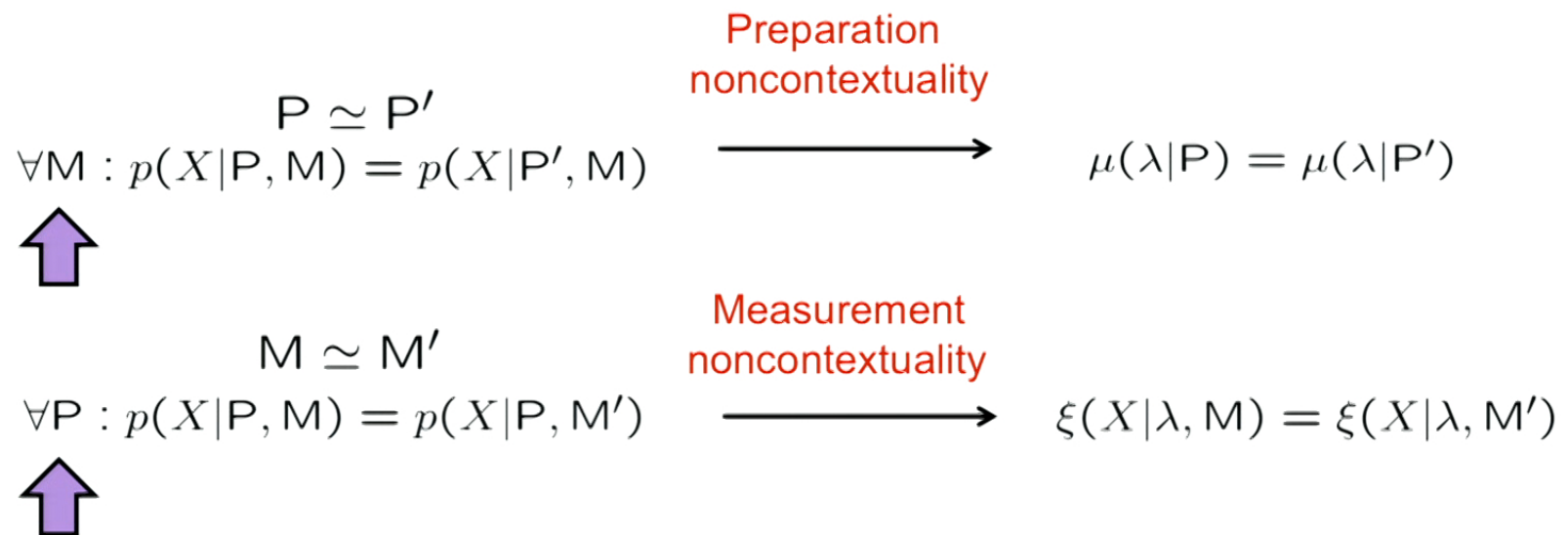
The problem of contending with unsharpness

How do we experimentally establish operational equivalence?

Problem of inexact operational equivalences



How do we experimentally establish operational equivalence? The problem of the universal quantifier



The problem of contending with unsharpness

Kunjwal and RWS, “From the Kochen-Specker theorem to noncontextuality inequalities without assuming determinism”, PRL 115, 110403 (2015)

Mazurek, Pusey, Kunjwal, Resch, and RWS, “An experimental test of noncontextuality without unphysical idealizations”, Nat. Commun. 7, 11780 (2016)

Kunjwal and RWS, “Translating proofs of the Kochen-Specker theorem without KS-uncolourability into noise-robust noncontextuality inequalities”, forthcoming

(See talk on Friday by Ravi Kunjwal)

Krishna, RWS and Wolfe, “Deriving robust noncontextuality inequalities from algebraic proofs of the Kochen-Specker theorem: the Peres-Mermin square”, arXiv:1704.01153

The Peres-Mermin square

$$X \otimes I \quad I \otimes X \quad X \otimes X$$

$$I \otimes Z \quad Z \otimes I \quad Z \otimes Z$$

$$X \otimes Z \quad Z \otimes X \quad Y \otimes Y$$

$$(X \otimes I)(I \otimes X)(X \otimes X) = I \otimes I,$$

$$(I \otimes Z)(Z \otimes I)(Z \otimes Z) = I \otimes I,$$

$$(X \otimes Z)(Z \otimes X)(Y \otimes Y) = I \otimes I,$$

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$$(I \otimes X)(Z \otimes I)(Z \otimes X) = I \otimes I,$$

$$(X \otimes X)(Z \otimes Z)(Y \otimes Y) = -I \otimes I.$$

The Peres-Mermin square

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$$[X \otimes I]_\lambda [I \otimes X]_\lambda [X \otimes X]_\lambda = +1,$$

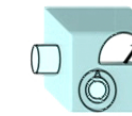
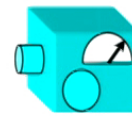
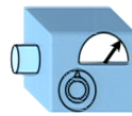
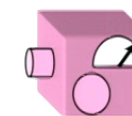
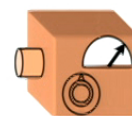
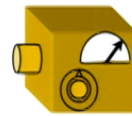
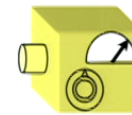
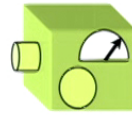
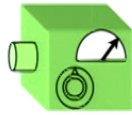
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The Peres-Mermin square

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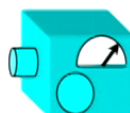
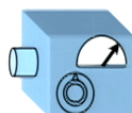
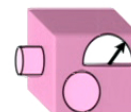
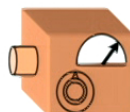
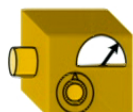
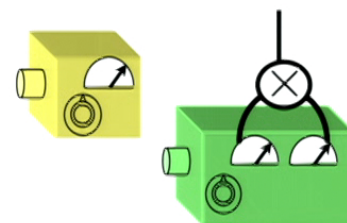
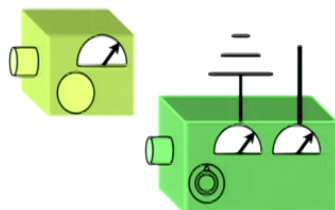
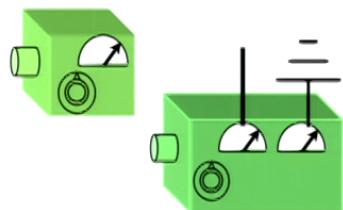
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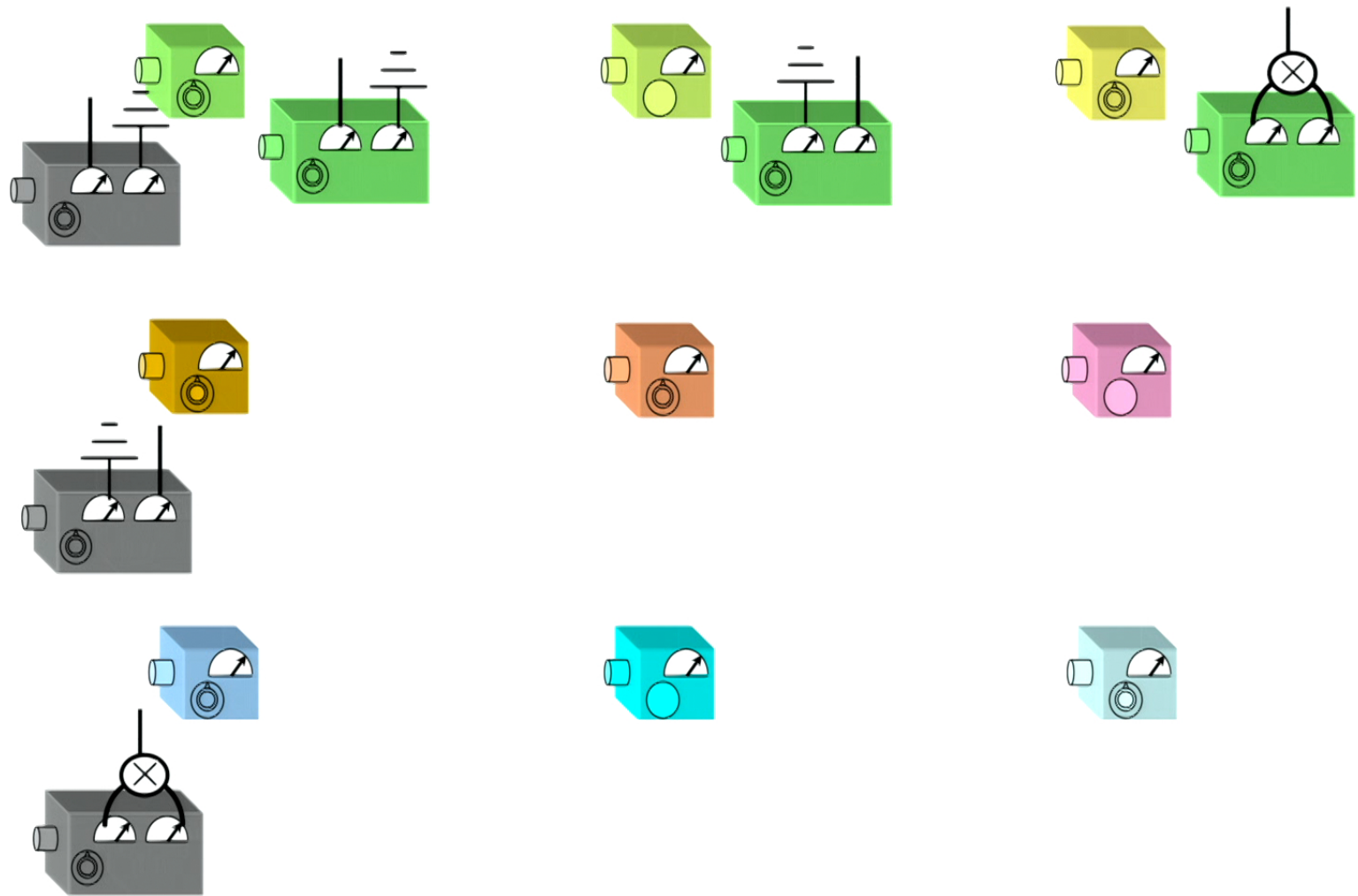
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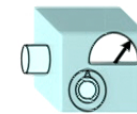
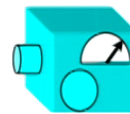
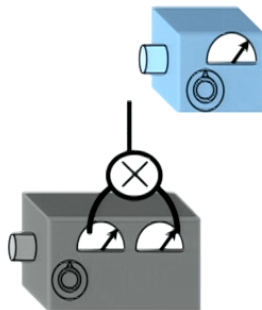
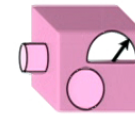
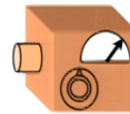
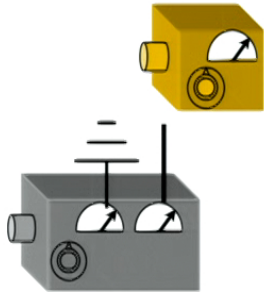
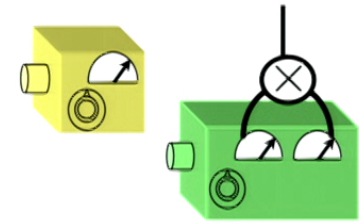
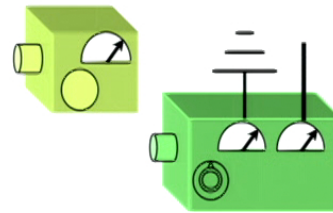
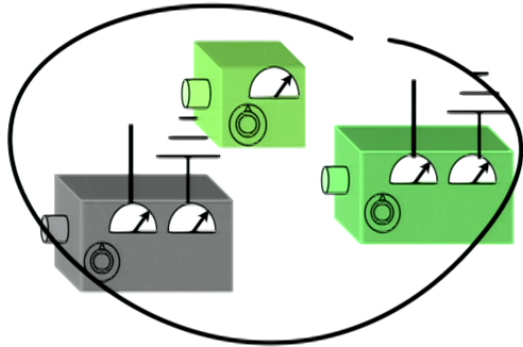
$$[X \otimes I]_\lambda [I \otimes Z]_\lambda [X \otimes Z]_\lambda = +1,$$

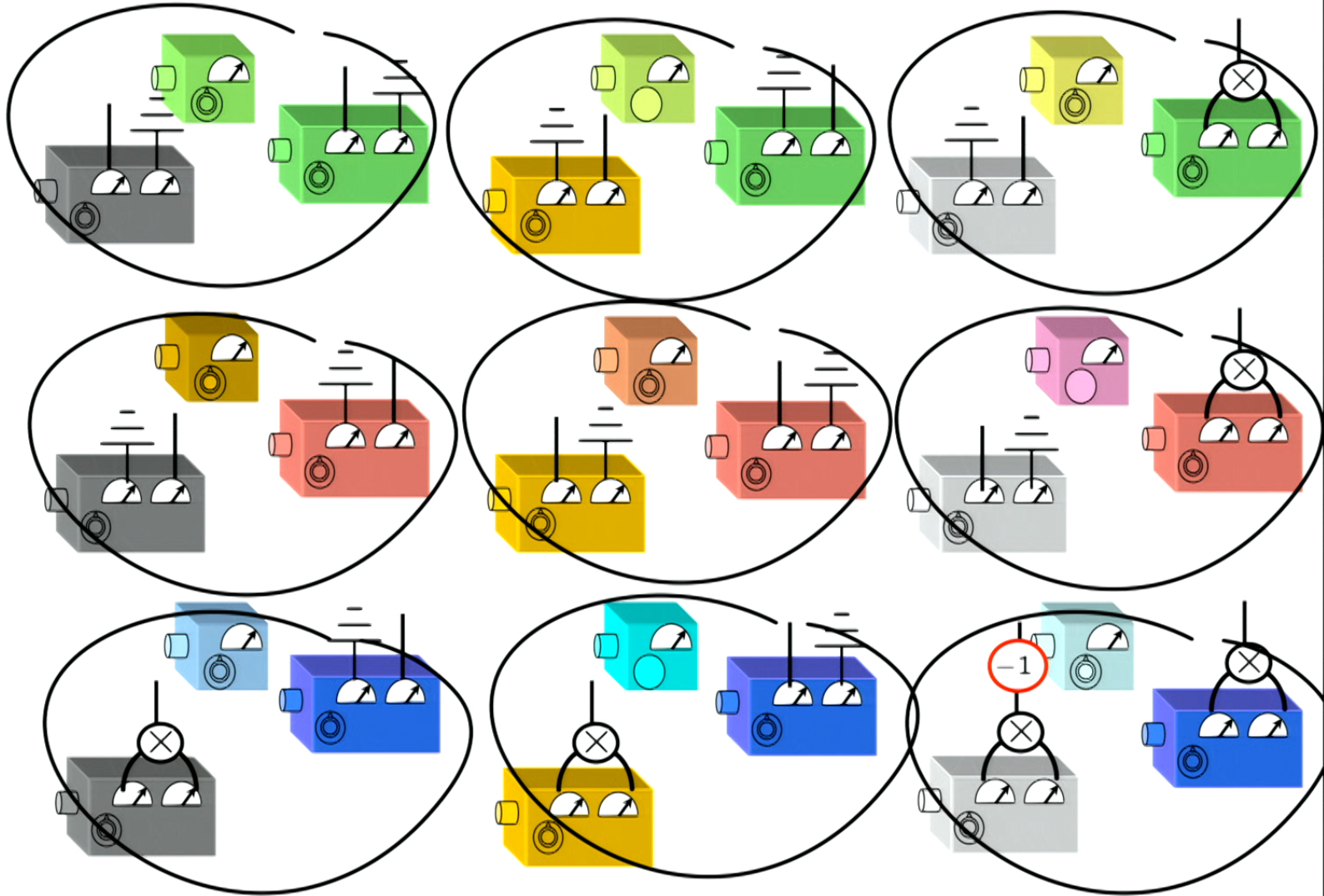
$$[I \otimes X]_\lambda [Z \otimes I]_\lambda [Z \otimes X]_\lambda = +1,$$

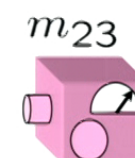
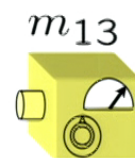
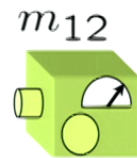
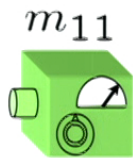
$$[X \otimes X]_\lambda [Z \otimes Z]_\lambda [Y \otimes Y]_\lambda = -1.$$











Distn's have support only on values such that

$$m_{11}m_{12}m_{13} = 1$$

$$m_{21}m_{22}m_{23} = 1$$

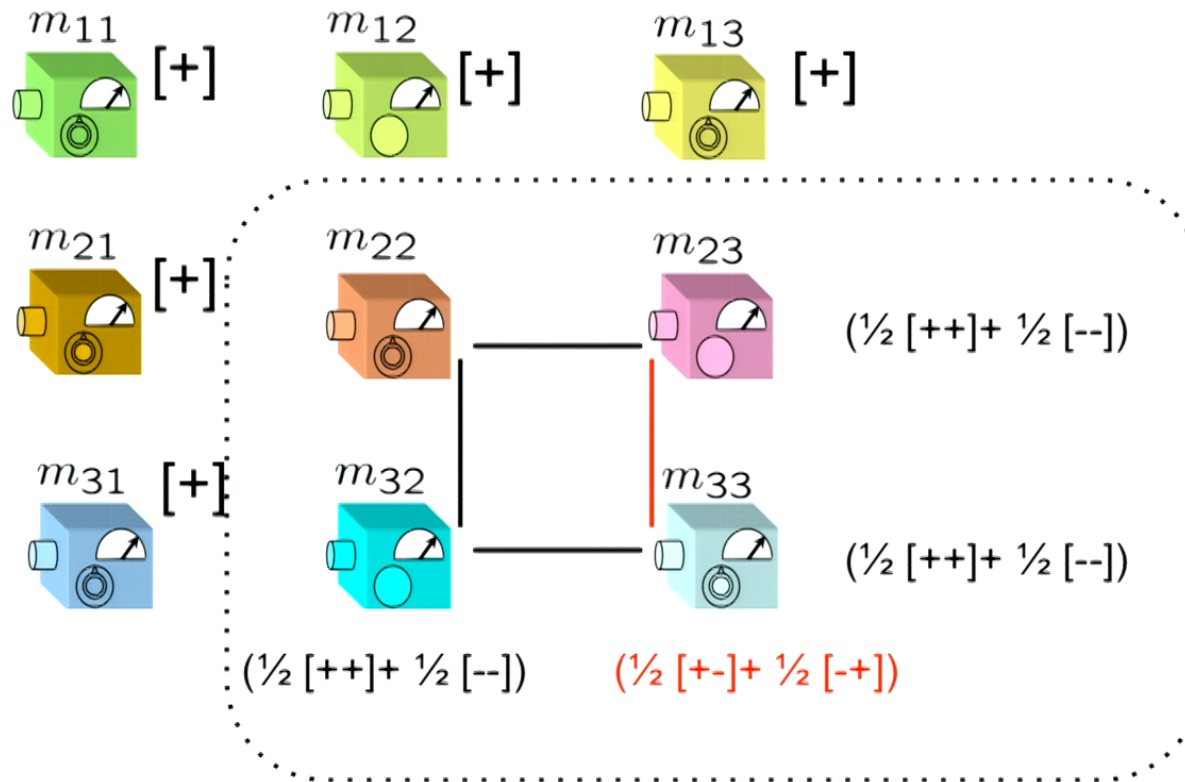
$$m_{31}m_{32}m_{33} = 1$$

$$m_{11}m_{21}m_{31} = 1$$

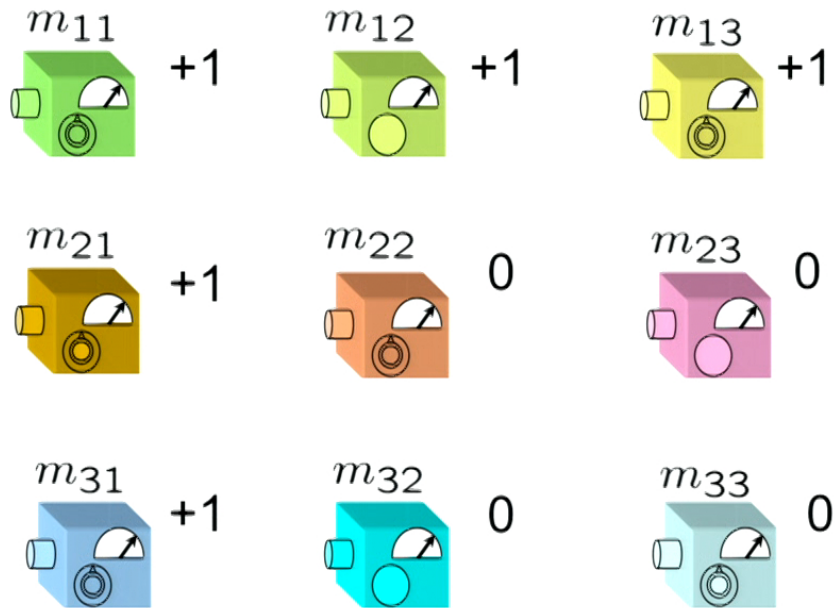
$$m_{12}m_{22}m_{32} = 1$$

$$m_{13}m_{23}m_{33} = -1$$

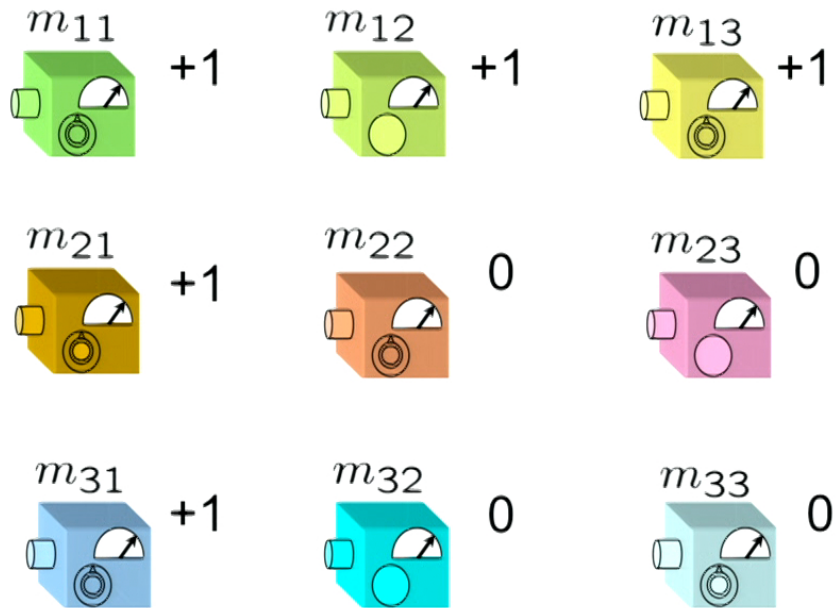
An indeterministic noncontextual assignment



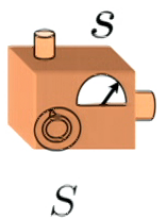
An indeterministic noncontextual assignment



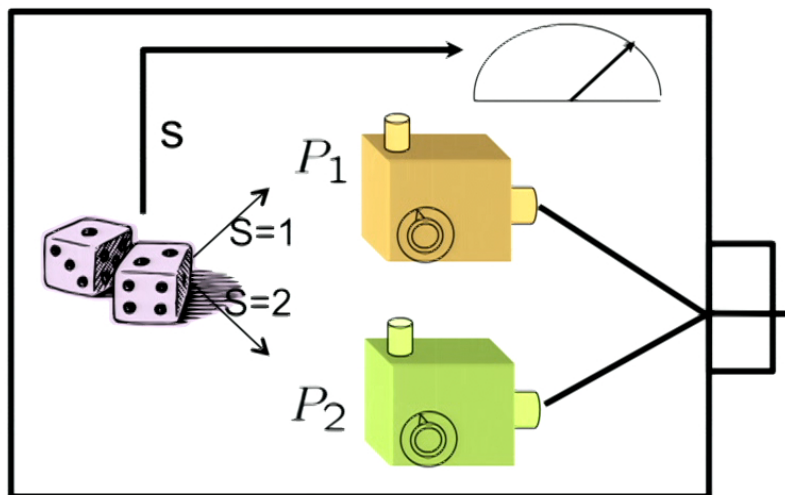
An indeterministic noncontextual assignment



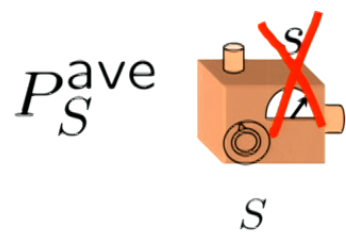
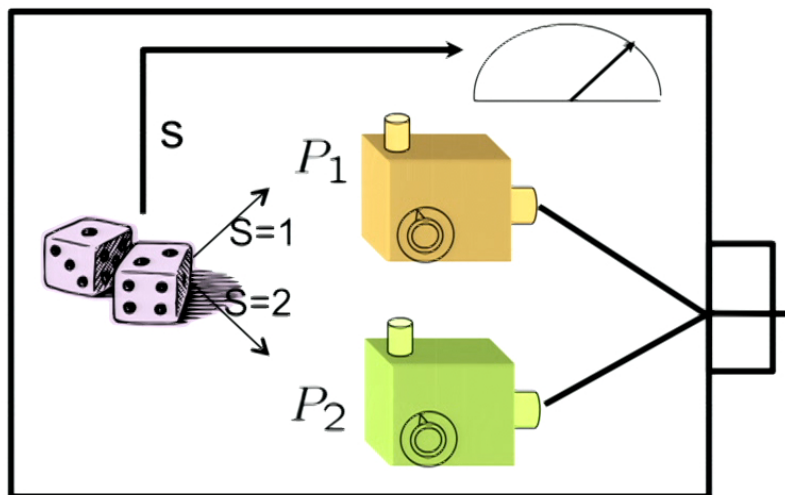
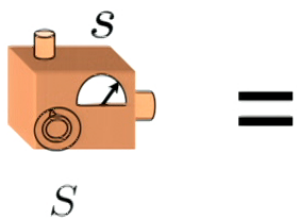
Sources



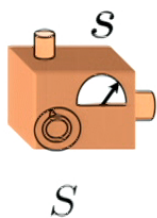
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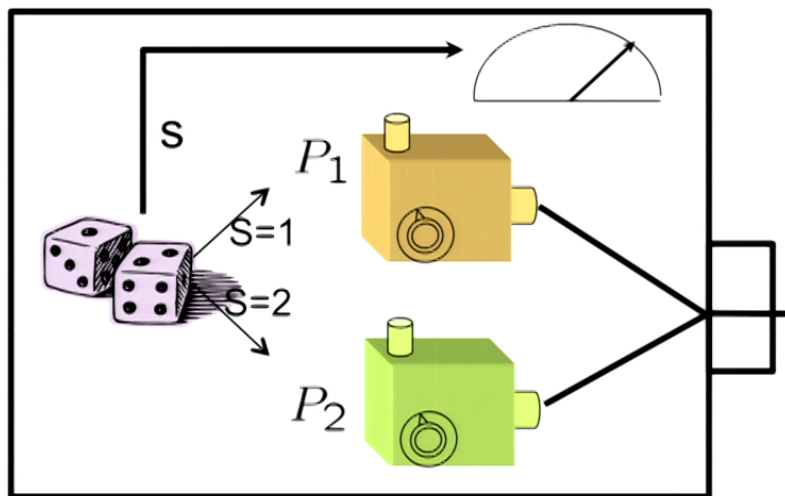
Sources



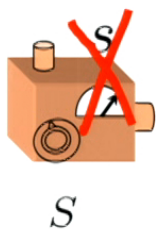
Sources



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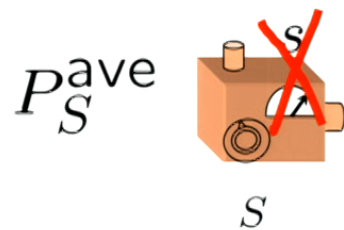
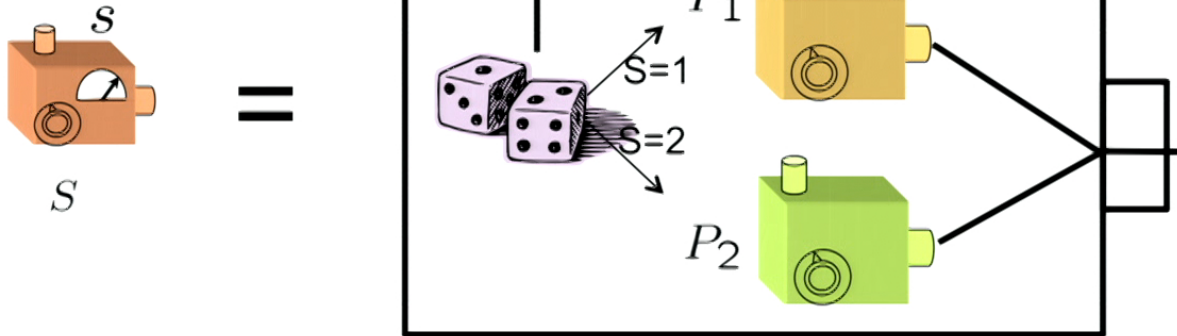


P_S^{ave}



$$\begin{aligned}\mu(\lambda|P_S^{\text{ave}}) &= \sum_s \mu(\lambda, s|S) \\ &= \mu(\lambda|S)\end{aligned}$$

Sources



$$\mu(\lambda|P_S^{\text{ave}}) = \sum_s \mu(\lambda, s|S) = \mu(\lambda|S)$$

$$P_S^{\text{ave}} \simeq P_{S'}^{\text{ave}} \xrightarrow{\text{Preparation noncontextuality}} \mu(\lambda|S) = \mu(\lambda|S')$$

Source version of the Peres-Mermin square

$$X \otimes I \quad I \otimes X \quad X \otimes X$$

$$I \otimes Z \quad Z \otimes I \quad Z \otimes Z$$

$$X \otimes Z \quad Z \otimes X \quad Y \otimes Y$$

9 binary-outcome
sources defining
the same average
preparation

$$(X \otimes I)(I \otimes X)(X \otimes X) = I \otimes I,$$

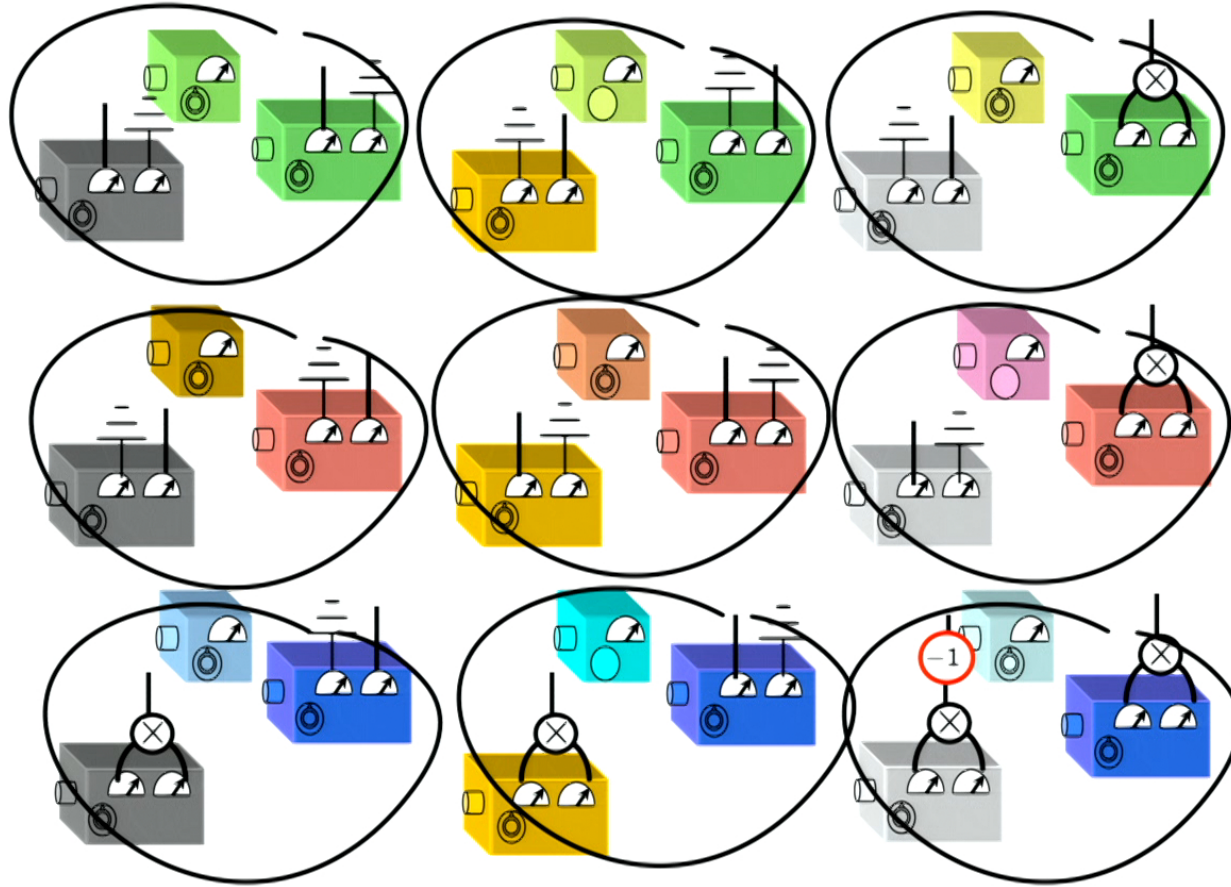
$$(I \otimes Z)(Z \otimes I)(Z \otimes Z) = I \otimes I,$$

$$(X \otimes Z)(Z \otimes X)(Y \otimes Y) = I \otimes I,$$

$$(X \otimes I)(I \otimes Z)(X \otimes Z) = I \otimes I,$$

$$(I \otimes X)(Z \otimes I)(Z \otimes X) = I \otimes I,$$

$$(X \otimes X)(Z \otimes Z)(Y \otimes Y) = -I \otimes I.$$



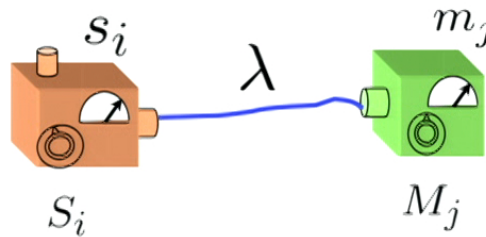
$$\forall i, i' : P_{S_i}^{\text{ave}} \simeq P_{S_{i'}}^{\text{ave}}$$

By preparation noncontextuality

$$\forall i, i' : P_{S_i}^{\text{ave}} \simeq P_{S_{i'}}^{\text{ave}} \implies \forall i, i' : \mu(\lambda|S_i) = \mu(\lambda|S_{i'}) \equiv \nu(\lambda)$$

By preparation noncontextuality

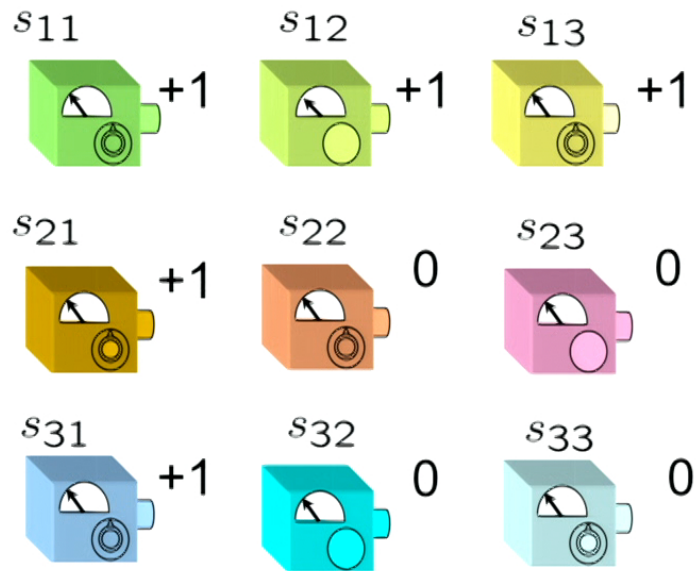
$$\forall i, i' : P_{S_i}^{\text{ave}} \simeq P_{S_{i'}}^{\text{ave}} \implies \forall i, i' : \mu(\lambda|S_i) = \mu(\lambda|S_{i'}) \equiv \nu(\lambda)$$



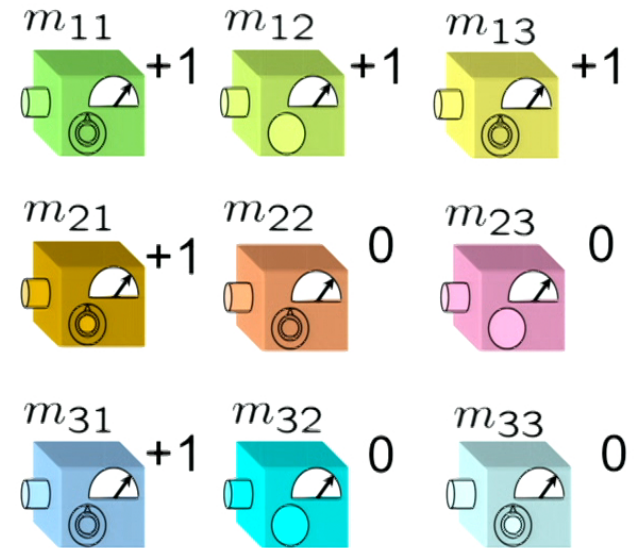
$$\begin{aligned} \text{pr}(m_j, s_i | M_j, S_i) &= \sum_{\lambda \in \Lambda} \xi(m_j | M_j, \lambda) \mu(s_i, \lambda | S_i) \\ &= \sum_{\lambda \in \Lambda} \xi(m_j | M_j, \lambda) \mu(s_i | \lambda, S_i) \mu(\lambda | S_i) \\ &= \sum_{\lambda \in \Lambda} \xi(m_j | M_j, \lambda) \mu(s_i | \lambda, S_i) \nu(\lambda) \end{aligned}$$

$$\text{pr}(m_j, s_i | M_j, S_i)$$

$$= \sum_{\lambda \in \Lambda} \xi(m_j | M_j, \lambda) \mu(s_i | \lambda, S_i) \nu(\lambda)$$

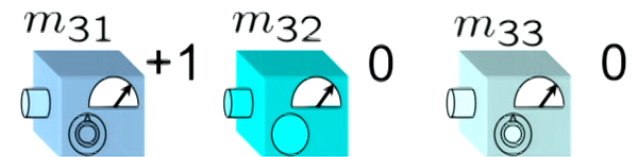
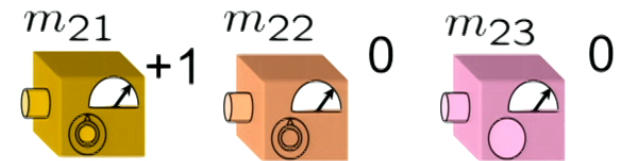
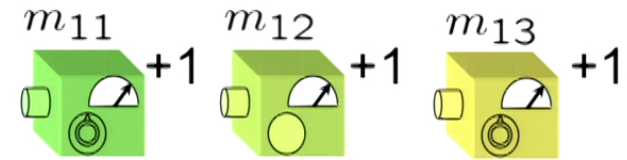
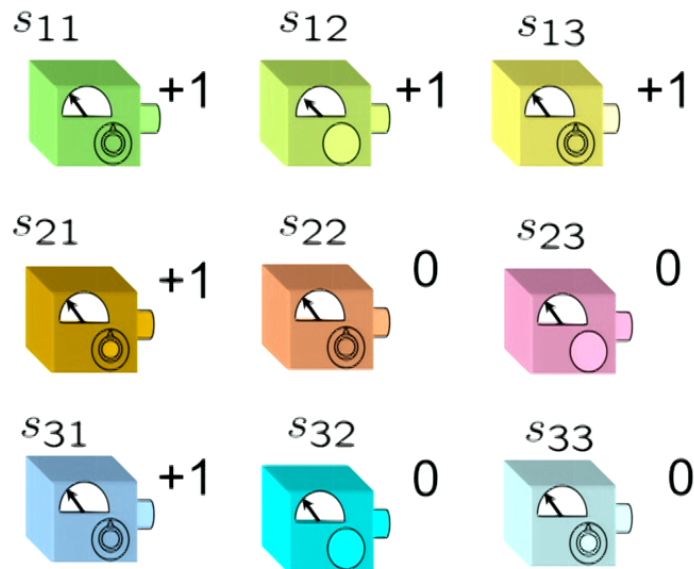


λ



$$\text{pr}(m_j, s_i | M_j, S_i)$$

$$= \sum_{\lambda \in \Lambda} \xi(m_j | M_j, \lambda) \mu(s_i | \lambda, S_i) \nu(\lambda)$$

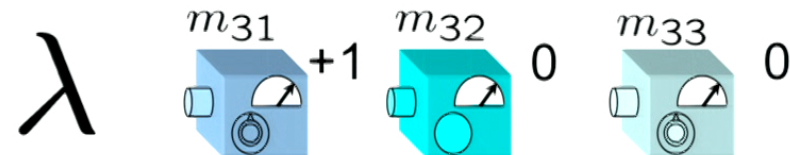
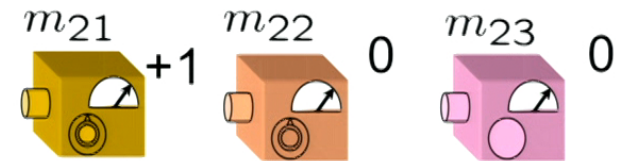
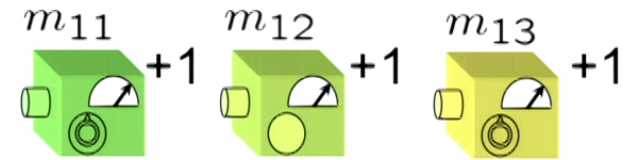
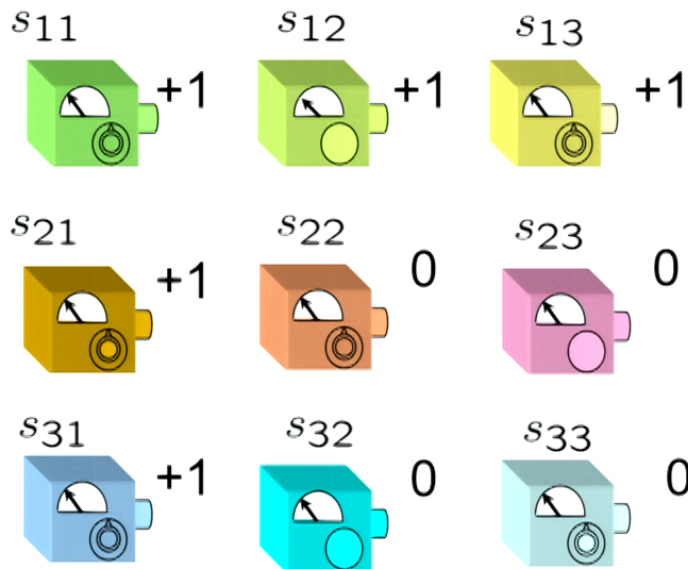


λ

$$\text{Corr} = \frac{1}{9} \sum_{i=1}^9 \langle s_i m_i \rangle_{S_i, M_i}$$

$$\text{pr}(m_j, s_i | M_j, S_i)$$

$$= \sum_{\lambda \in \Lambda} \xi(m_j | M_j, \lambda) \mu(s_i | \lambda, S_i) \nu(\lambda)$$



λ

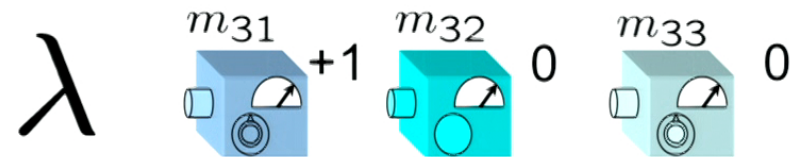
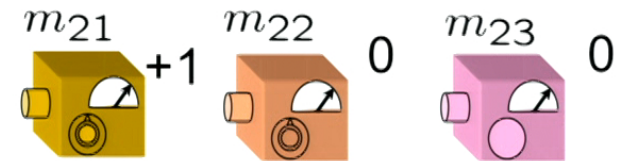
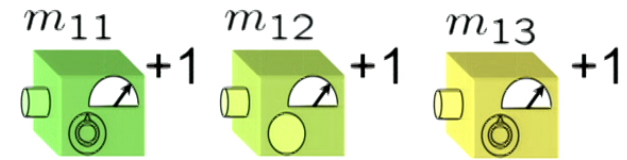
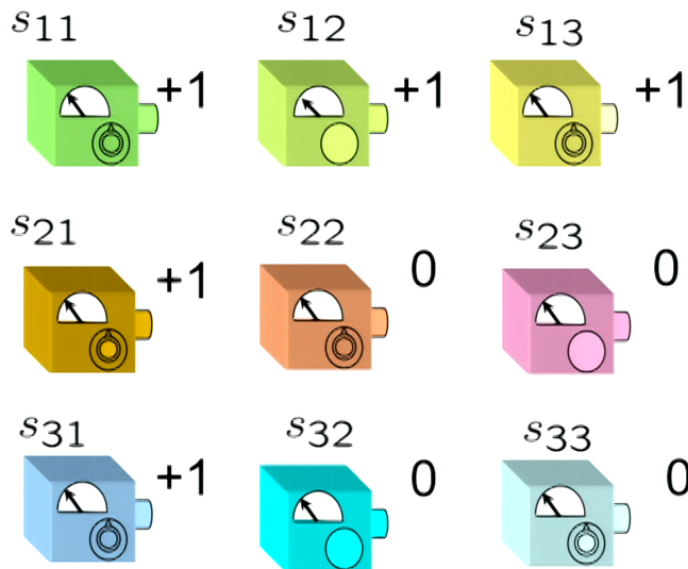
$$\text{Corr} = \frac{1}{9} \sum_{i=1}^9 \langle s_i m_i \rangle_{S_i, M_i}$$

$$= \sum_{\lambda} \text{Corr}(\lambda) \nu(\lambda)$$

$$\text{Corr}(\lambda) = \frac{1}{9} \sum_{i=1}^9 \langle s_i \rangle_{\lambda} \langle m_i \rangle_{\lambda}$$

$$\text{pr}(m_j, s_i | M_j, S_i)$$

$$= \sum_{\lambda \in \Lambda} \xi(m_j | M_j, \lambda) \mu(s_i | \lambda, S_i) \nu(\lambda)$$



$$\text{Corr} = \frac{1}{9} \sum_{i=1}^9 \langle s_i m_i \rangle_{S_i, M_i}$$

$$= \sum_{\lambda} \text{Corr}(\lambda) \nu(\lambda)$$

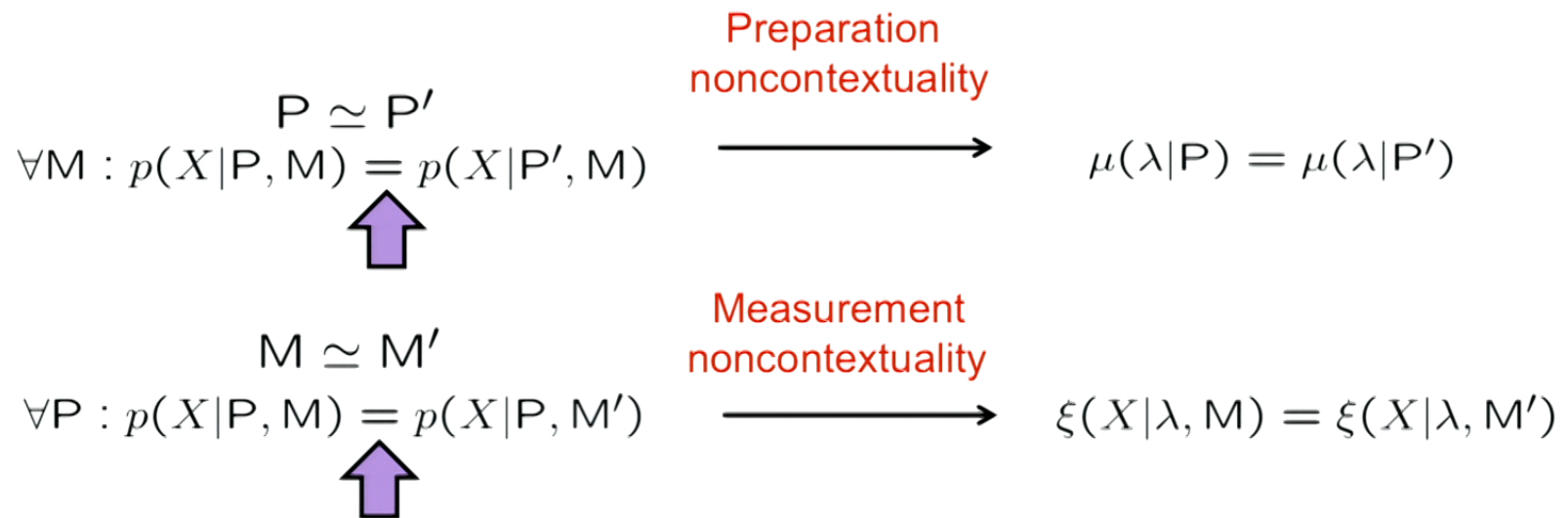
$$\text{Corr}(\lambda) = \frac{1}{9} \sum_{i=1}^9 \langle s_i \rangle_{\lambda} \langle m_i \rangle_{\lambda}$$

$$\text{Corr} \leq \frac{5}{9}$$

Noncontextuality
inequality

The problem of inexact operational equivalences

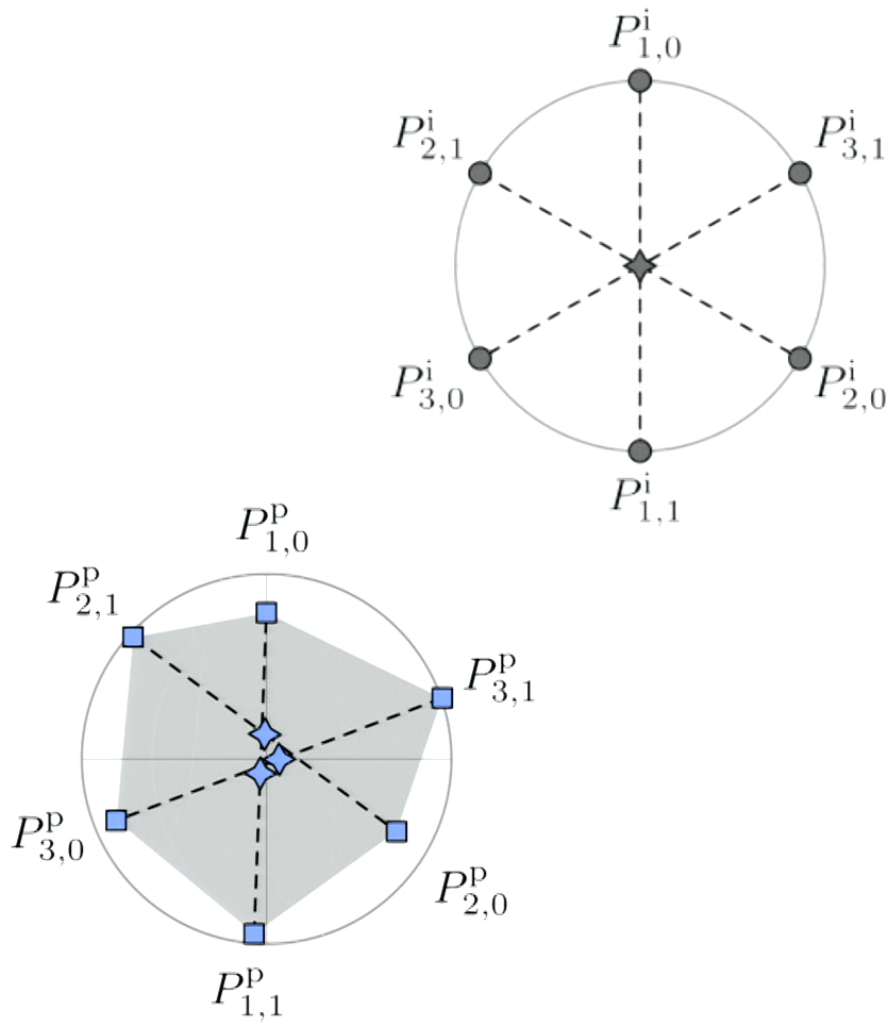
How do we experimentally establish operational equivalence? Problem of inexact operational equivalences

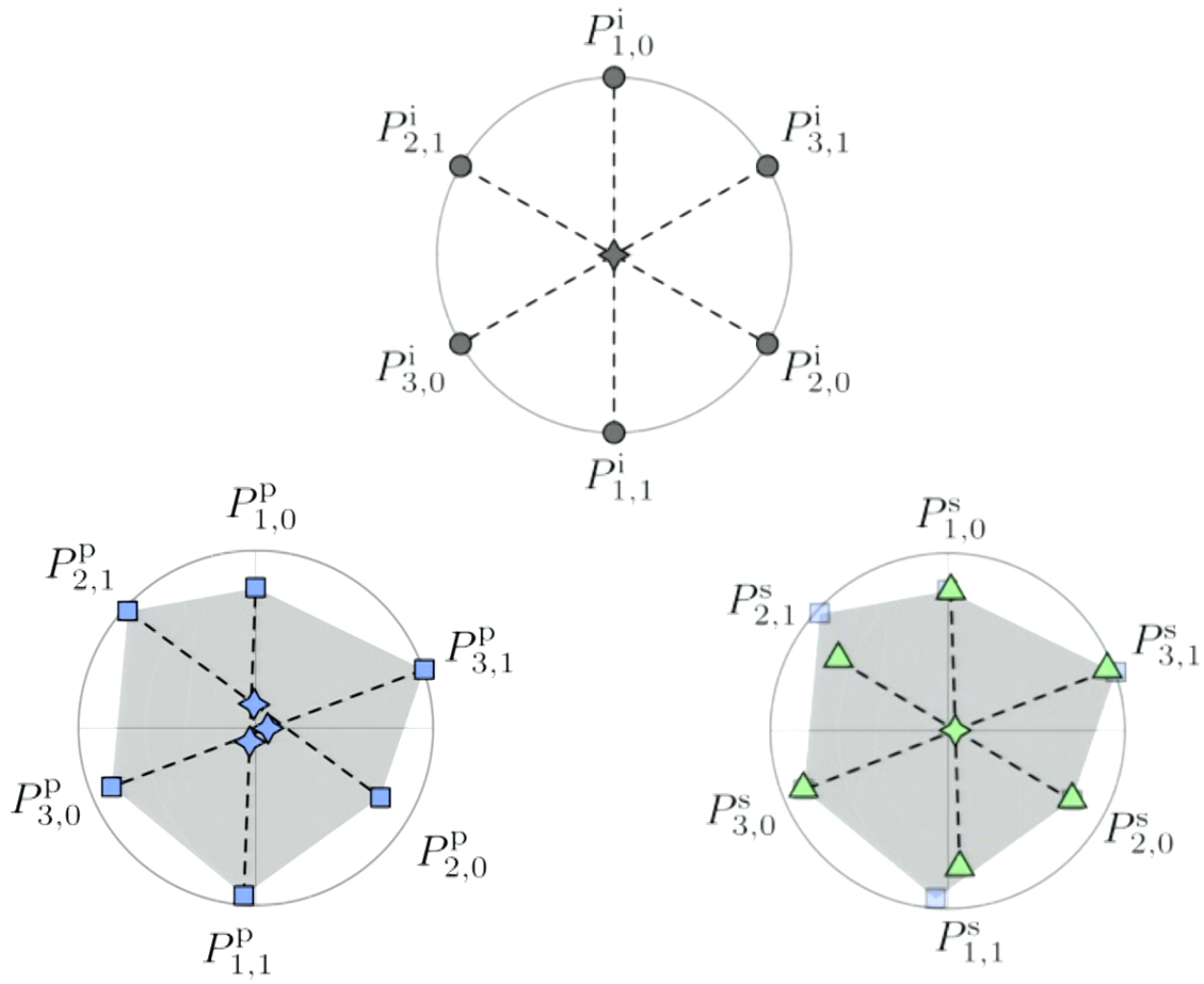


A version of the finite precision loophole

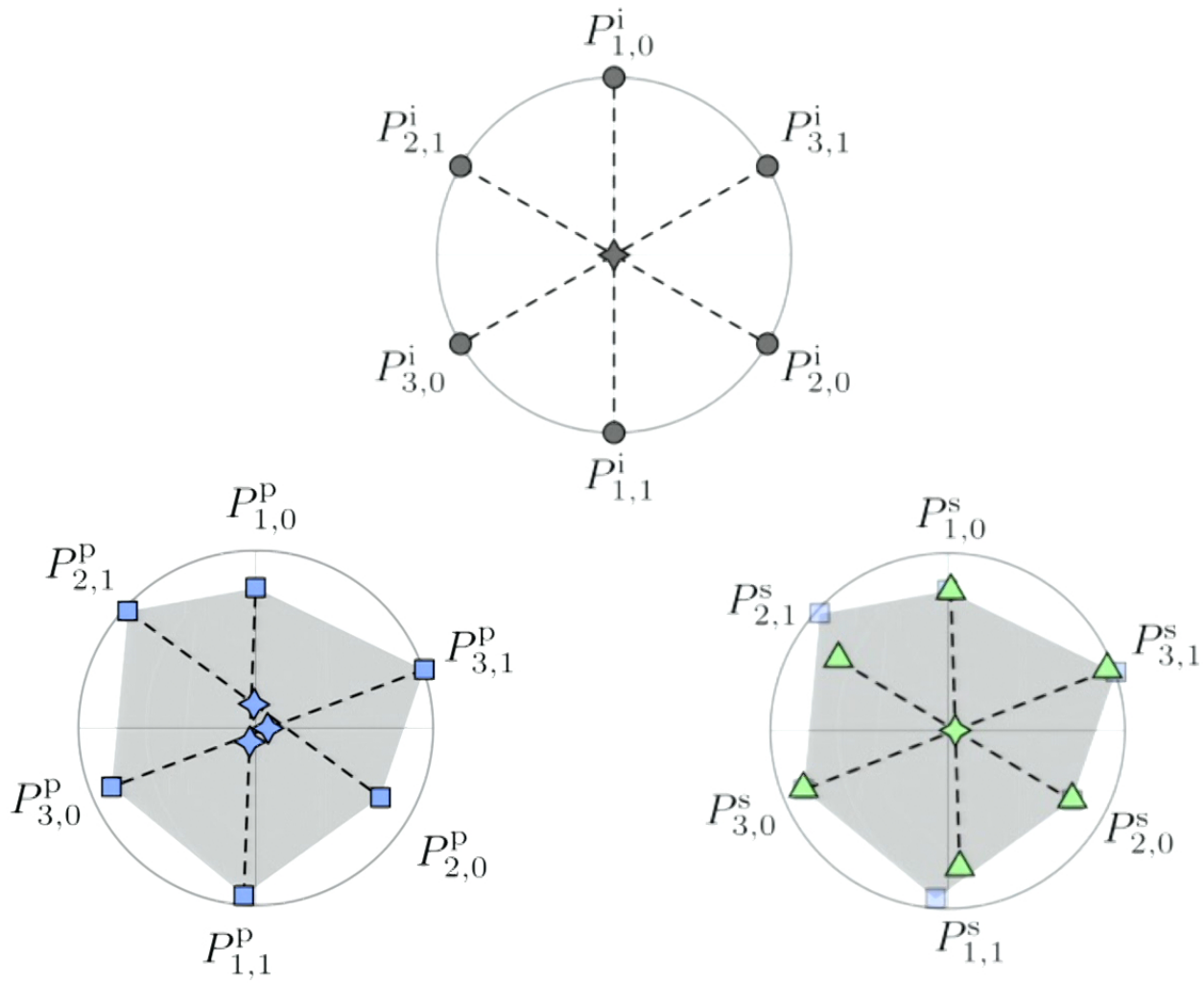
See: Mazurek, Pusey, Kunjwal, Resch, and RWS, “An experimental test of noncontextuality without unphysical idealizations”, Nat. Commun. 7, 11780 (2016)

M. Pusey, “The robust noncontextuality inequalities in the simplest scenario”, arXiv:1506.04178



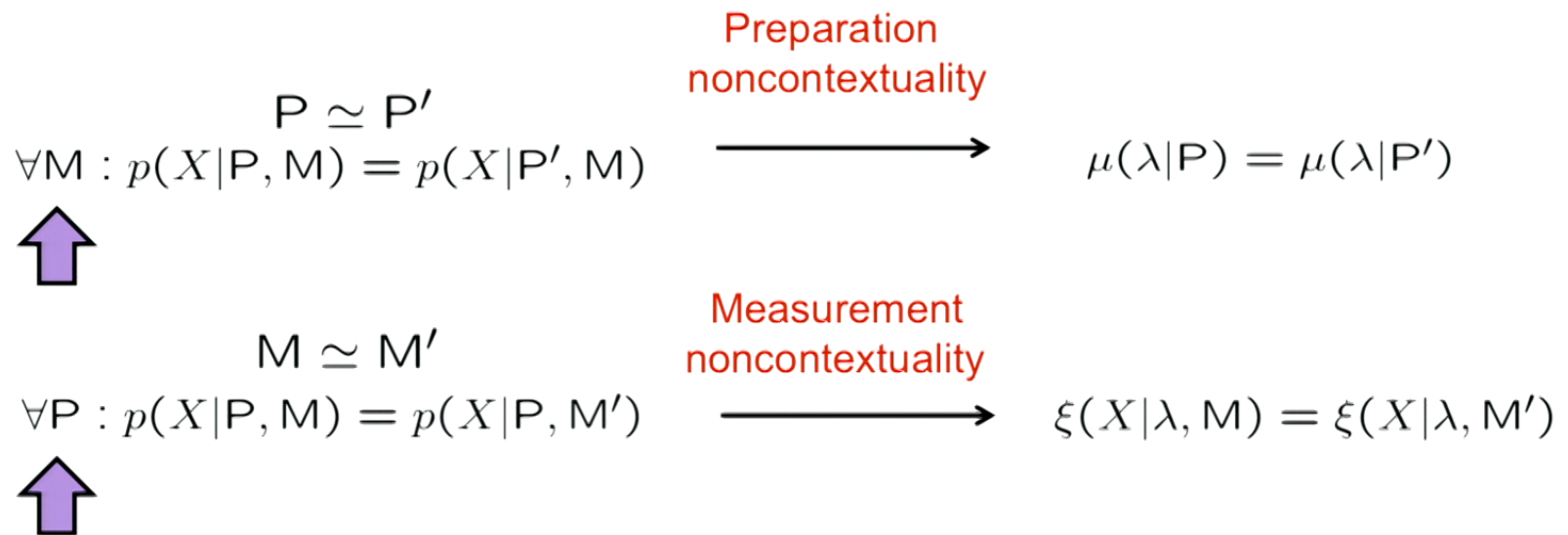


The problem of the universal quantifier

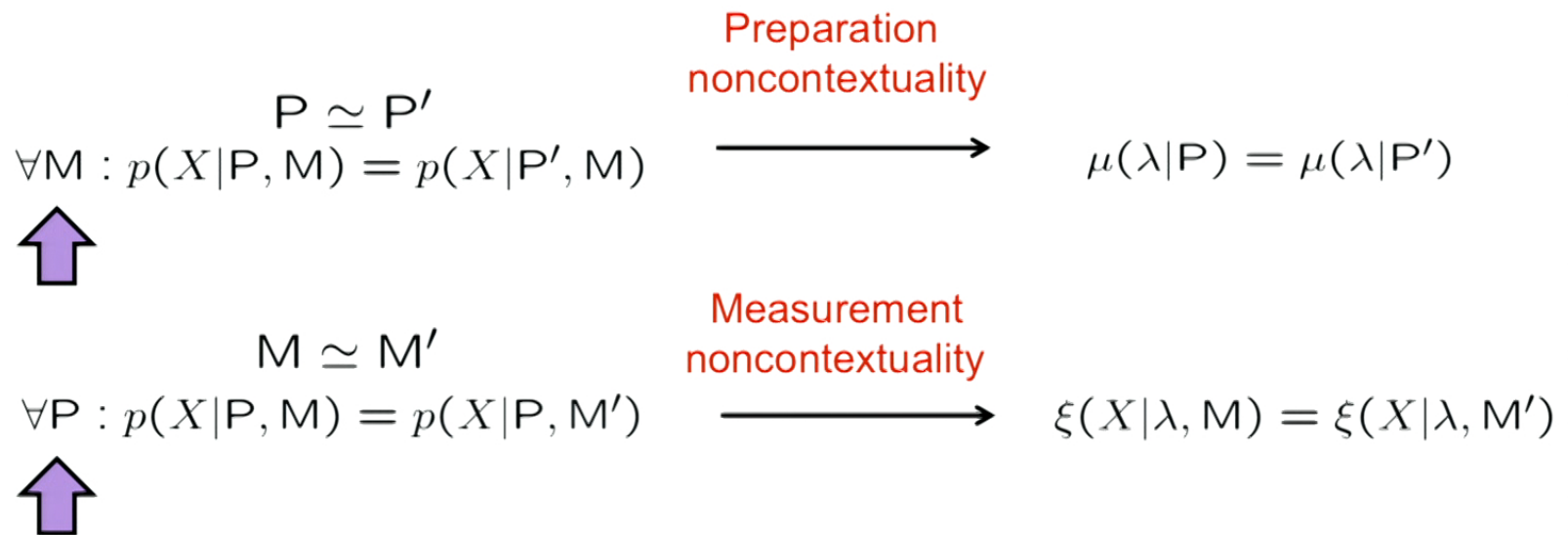


The problem of the universal quantifier

How do we experimentally establish operational equivalence? The problem of the universal quantifier

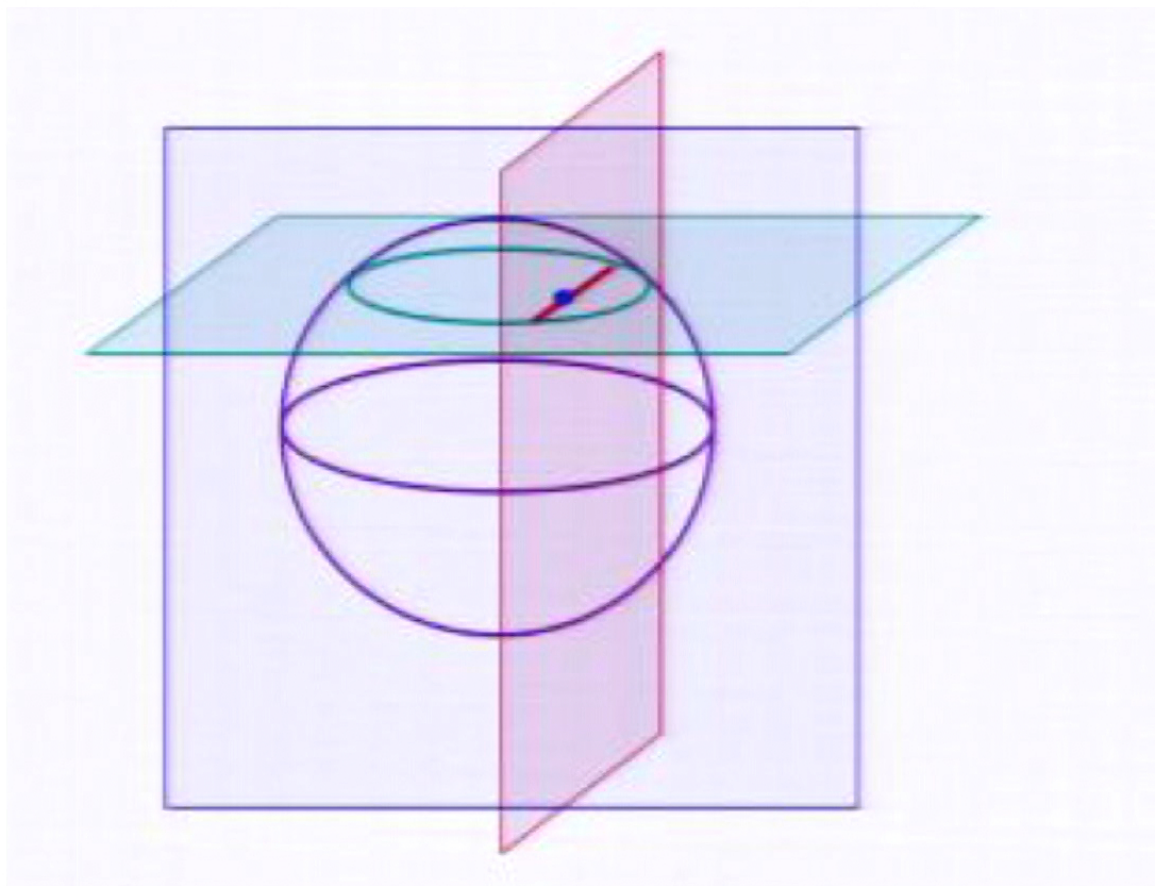


How do we experimentally establish operational equivalence?
The problem of the universal quantifier



Sufficient to consider a **tomographically complete set**

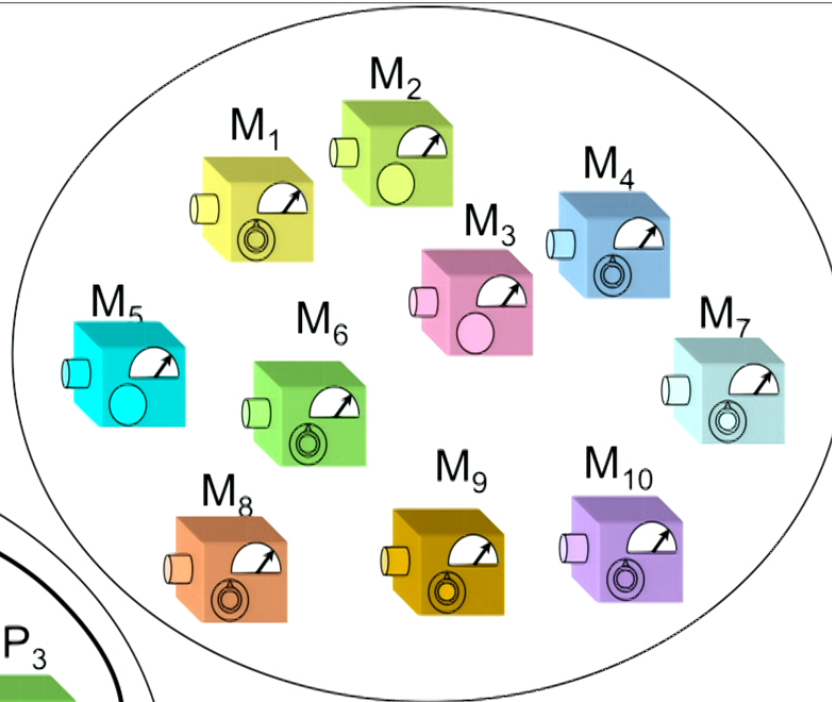
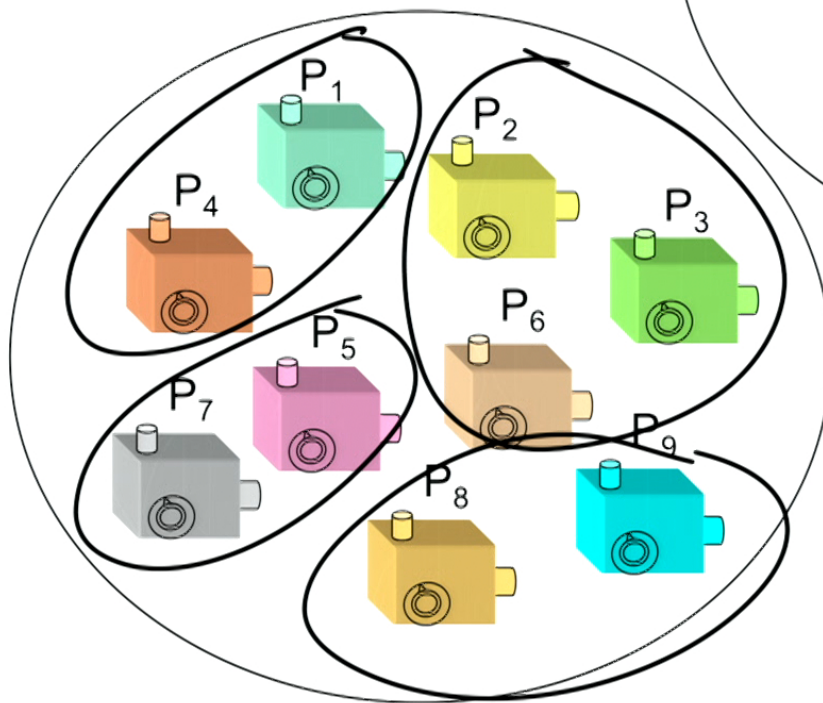
State tomography for a single qubit



Operational equivalence
classes of preparations

$$P \simeq P'$$

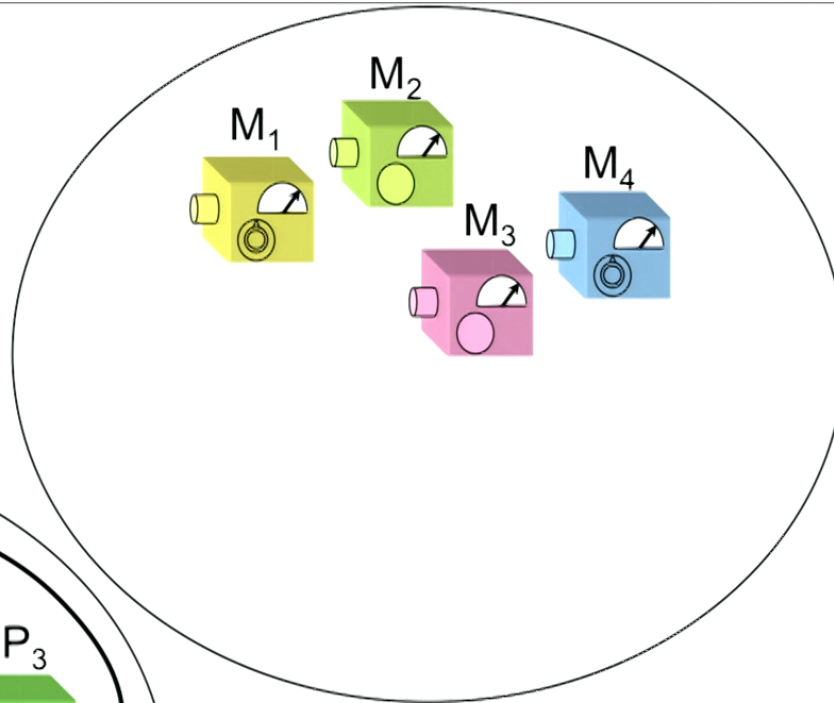
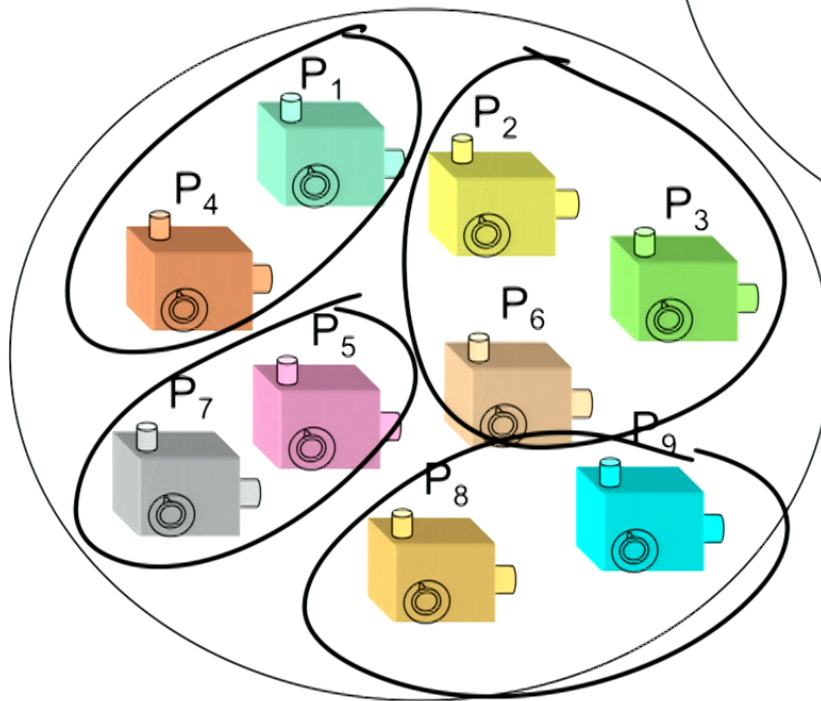
$$\forall M : p(X|P, M) = p(X|P', M)$$



Operational equivalence
classes of preparations

$$P \simeq P'$$

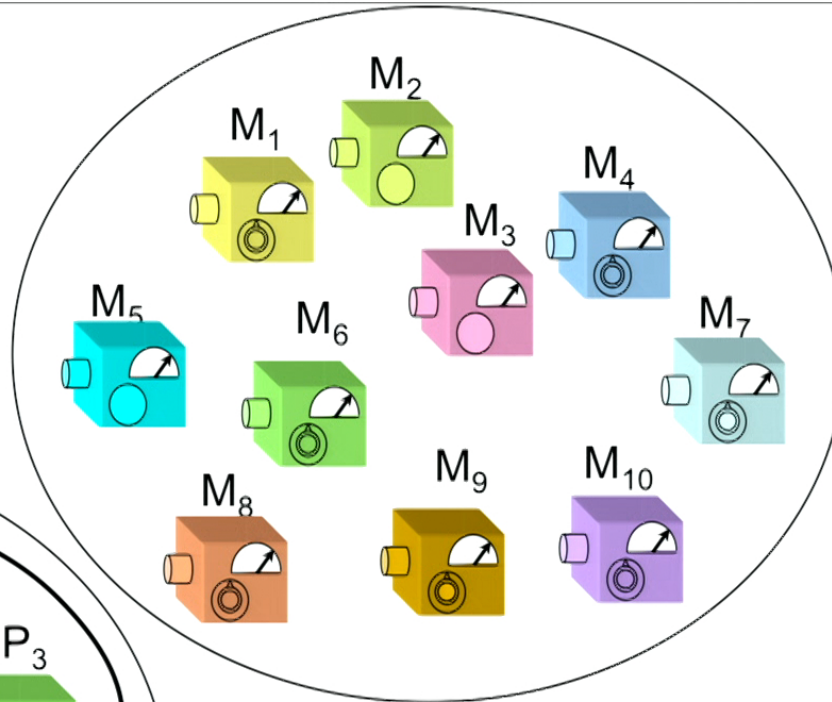
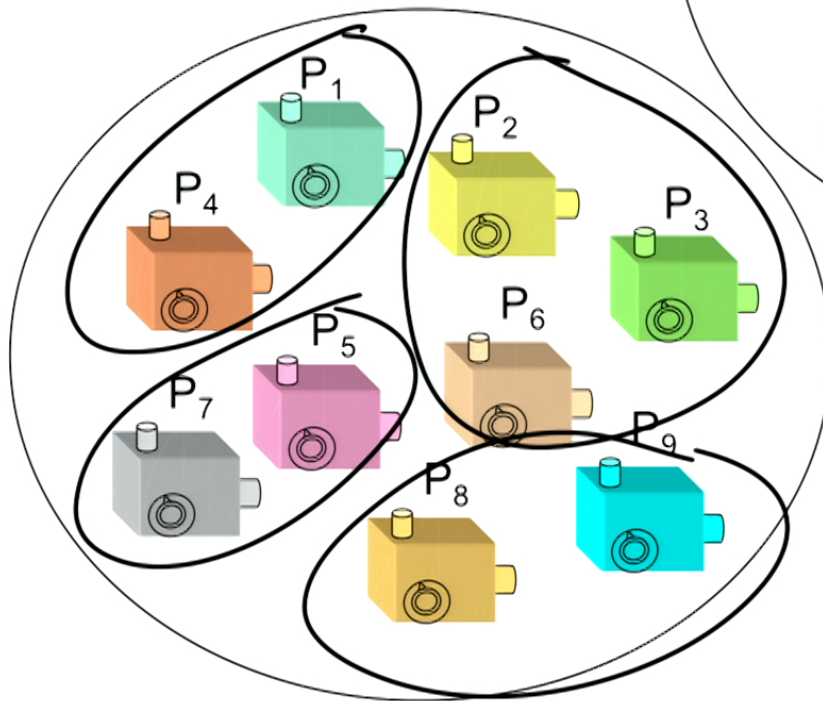
$$\forall M : p(X|P, M) = p(X|P', M)$$

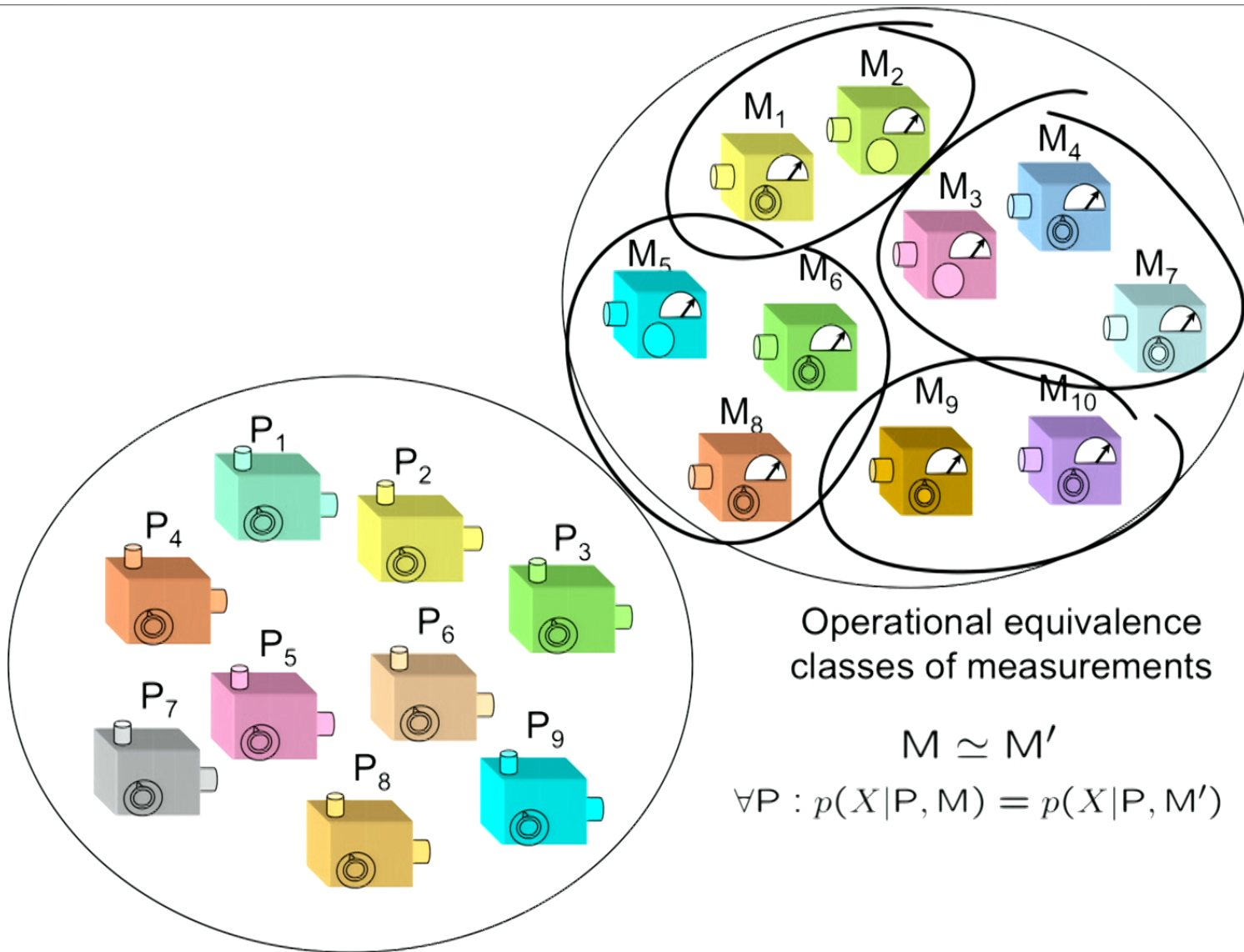


Operational equivalence
classes of preparations

$$P \simeq P'$$

$$\forall M : p(X|P, M) = p(X|P', M)$$





Mazurek, Pusey, Resch and RWS, “Deviations from quantum theory in the landscape of generalized probabilistic theories: direct constraints from experimental data”, forthcoming

See talk on friday by Mike Mazurek

Mazurek, Pusey, Resch and RWS, “Deviations from quantum theory in the landscape of generalized probabilistic theories: direct constraints from experimental data”, forthcoming

See talk on friday by Mike Mazurek

Note: In principle, one can **never verify** the claim that a given set of procedures is tomographically complete, but one can **accumulate more and more evidence for it** by trying one's best to falsify the claim and failing to do so.

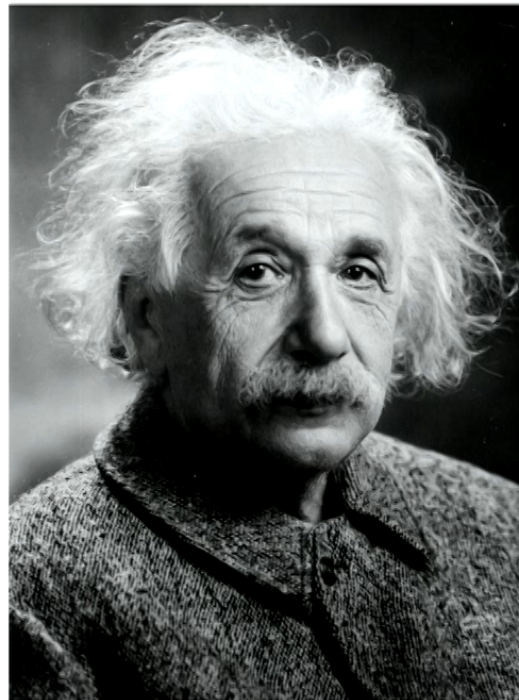
Why this notion of
noncontextuality is
natural

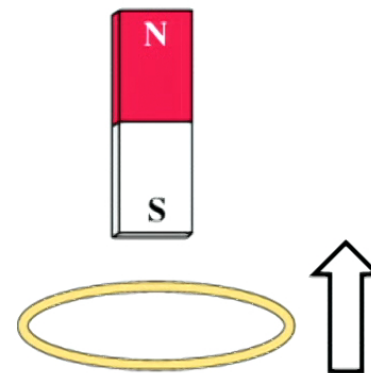
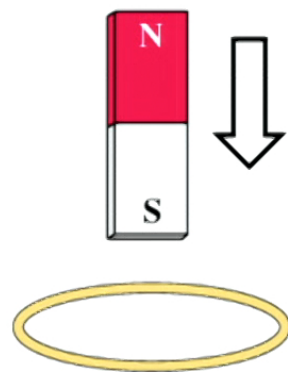
Leibniz's principle of the identity of indiscernibles

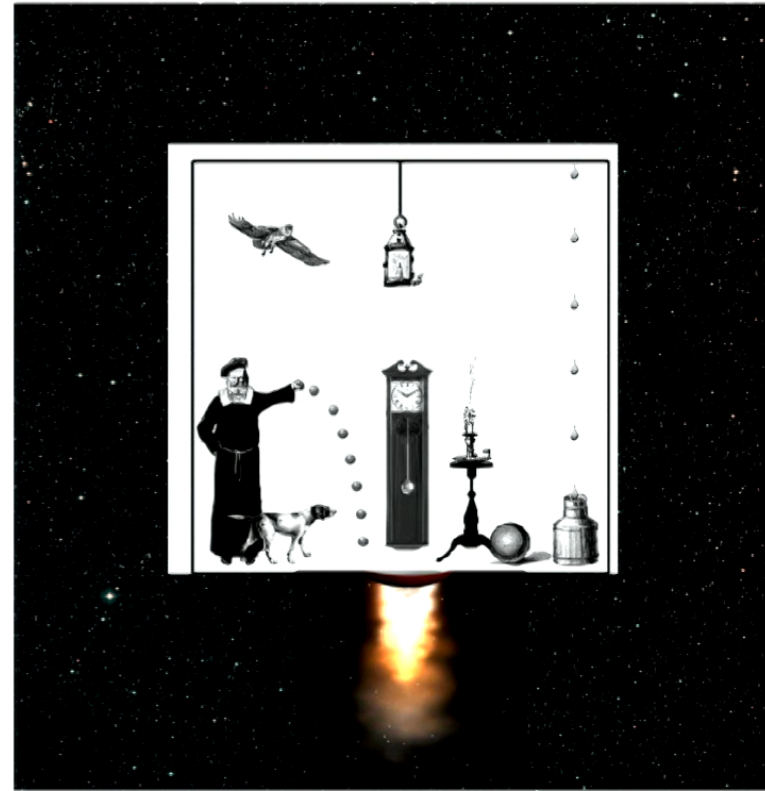


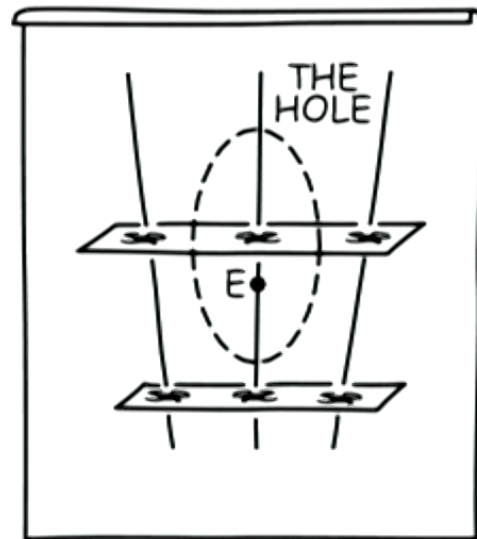
If a physical model posits two scenarios that are empirically indistinguishable *in principle* but nonetheless are represented as ontologically distinct, this model should be rejected and replaced with one that makes these two scenarios ontologically the same.

The credentials of Leibniz's principle

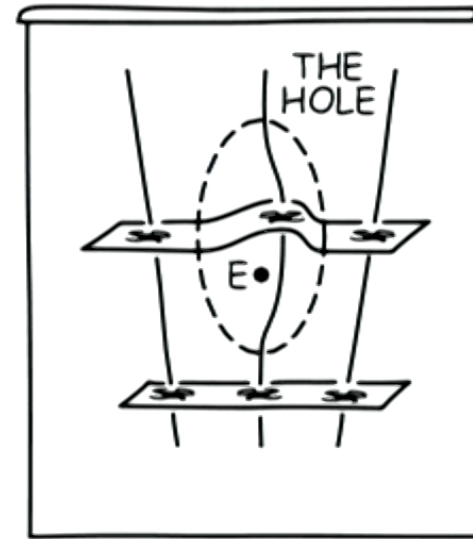






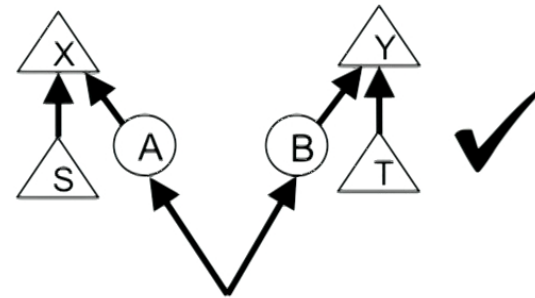
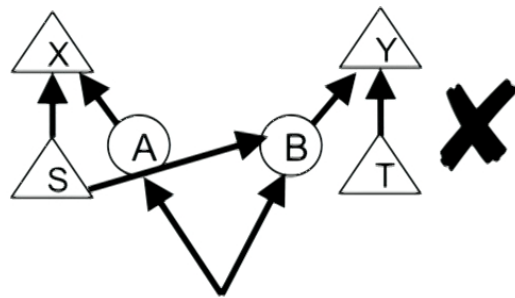
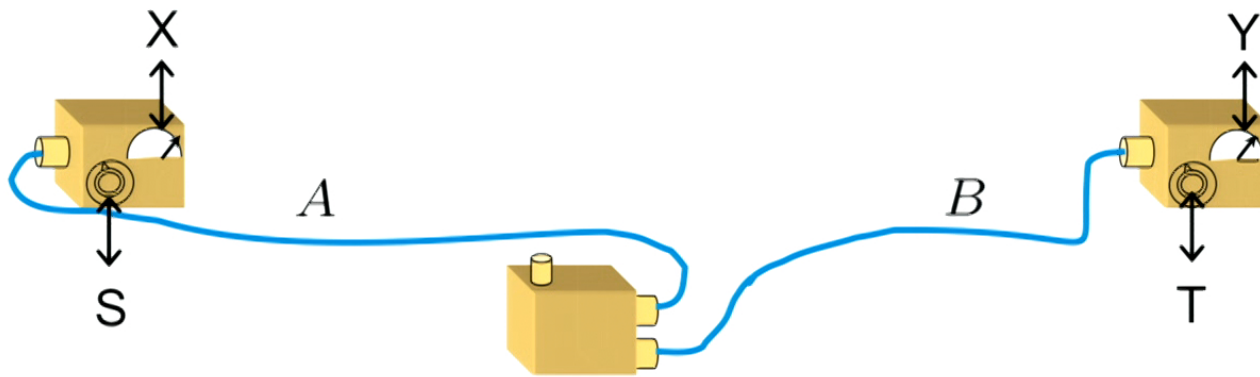


Fields before hole transformation



Fields after hole transformation

John Norton, SEP



The credentials of Leibniz's principle



No fine-tuning
No overfitting

Nonclassicality
of operational
theory = Failure to admit of a
noncontextual ontological
model

Nonclassicality
of operational
theory

=

Failure to admit of a
Leibnizian ontological
model

What to do about
the fact that quantum theory and nature
fail to admit of a
Leibnizian ontological model?

Abandon Leibniz's principle? No.

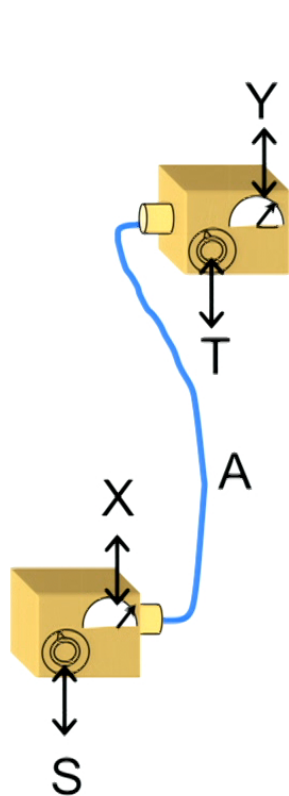
Abandon Leibniz's principle? No.

Give up on realism? No.

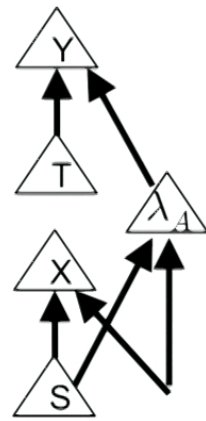
Abandon Leibniz's principle? No.

Give up on realism? No.

Devise a notion of realism that goes beyond the ontological
models framework and salvages Leibniz's principle
(and therefore the spirit of locality and noncontextuality)



No measurement
of A reveals any
info about S



$$P(Y|T\lambda_A)$$

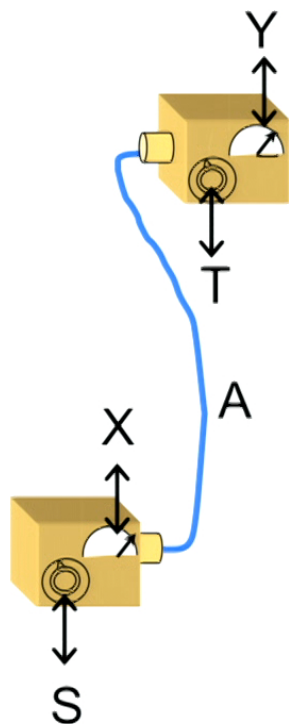
$$P(X\lambda_A|S)$$

where

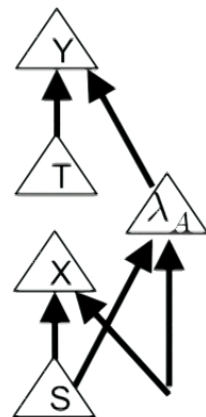
$$P(\lambda_A|S) = P(\lambda_A)$$

$$P(XY|ST) \\ = \sum_{\lambda_A} P(Y|T\lambda_A)P(X\lambda_A|S)$$

Satisfy noncontextuality
inequalities



No measurement
of A reveals any
info about S



$$P(Y|T\lambda_A)$$

$$P(X\lambda_A|S)$$

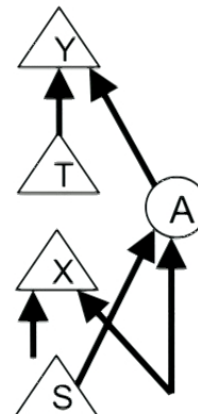
where

$$P(\lambda_A|S) = P(\lambda_A)$$

$$P(XY|ST)$$

$$= \sum_{\lambda_A} P(Y|T\lambda_A)P(X\lambda_A|S)$$

Satisfy noncontextuality
inequalities



$$\rho_{Y|TA}$$

$$\rho_{XA|S}$$

where

$$\rho_{A|S} = \rho_A$$

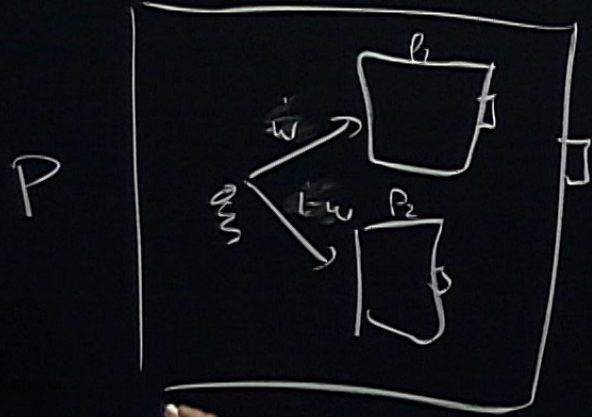
$$P(XY|ST)$$

$$= \text{Tr}_A(\rho_{Y|TA}\rho_{XA|S})$$

Violate noncontextuality
inequalities

See: Leifer and RWS, PRA 88, 052130 (2013)

Lee, Allen, Horsman, Barrett, RWS, arXiv:1609.09487



$$P_{\sigma_t}(x)$$

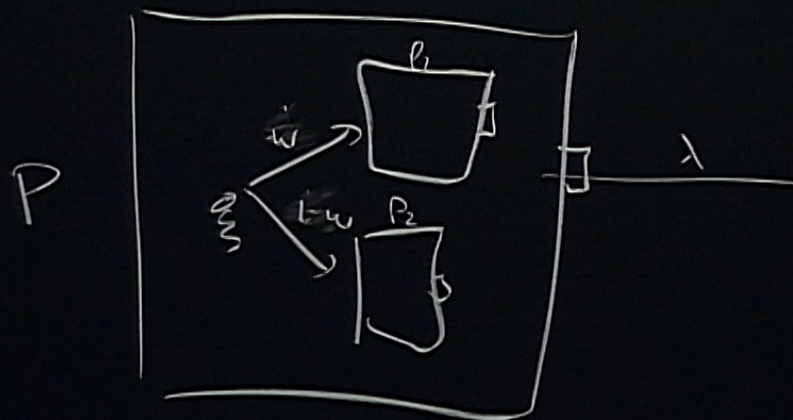
$$\text{Aut}(Q)$$

$$\sigma_{t/2} \quad \sigma_{t/2} = \sigma_t$$

$$\sigma_{t/2} \quad t/2 \quad t$$

$$\sigma_t \quad x \leq y \leq z$$

$$y \leq x$$



$$H(\lambda | P_1)$$

$$H(\lambda | P_2)$$

$$P_{\sigma_t}(x)$$

$$Aut(Q)$$

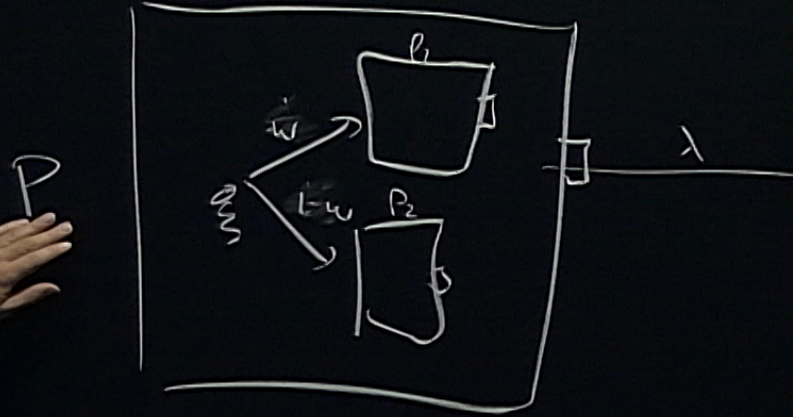
$$\sigma_{t/2} \cdot \sigma_{t/2} = \sigma_t$$

$$0 \quad t/2 \quad t$$

$$\sigma_t \circledast \sigma_t$$

$$x \leq y \leq z$$

$$y \leq x$$



$$H(\lambda | P_1)$$

$$H(\lambda | P_2)$$

$$H(\lambda | P)$$

$$P_{\sigma_t}(x)$$

$$Aut(Q)$$

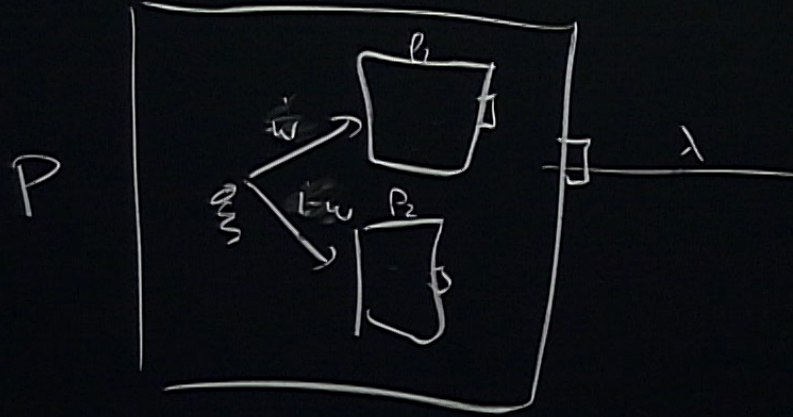
$$\sigma_{t/2} \sigma_{t/2} = \sigma_t$$

$$0 \quad t/2 \quad t$$

$$\sigma_t \circledast \sigma_t$$

$$x \leq y \leq z$$

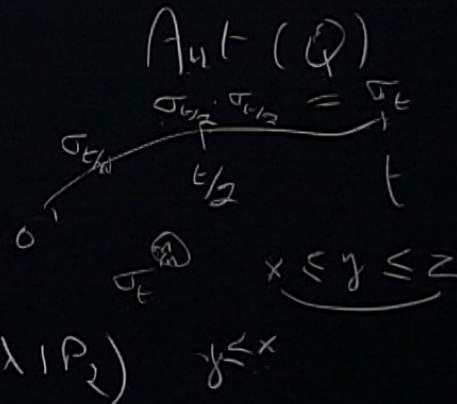
$$y \leq x$$



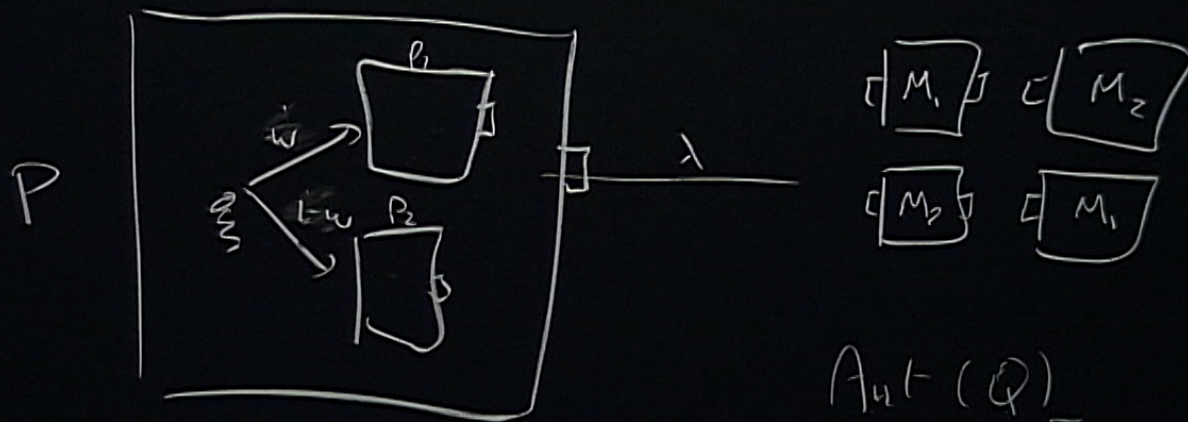
$$H(\lambda | P_1)$$

$$H(\lambda | P_2)$$

$$P_{\sigma_t}(x)$$



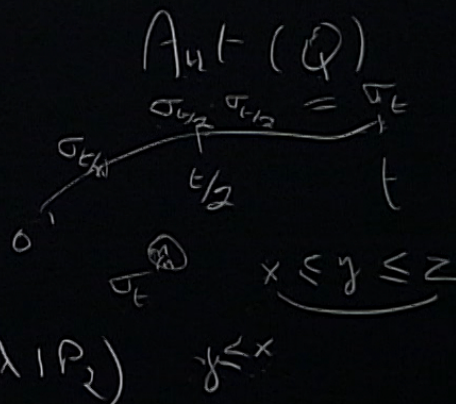
$$H(1|P) = w H(\lambda | P_1) + (1-w) H(\lambda | P_2)$$



$$H(\lambda | P_1)$$

$$H(\lambda | P_2)$$

$$P_{\sigma_t}(x)$$



$$H(\lambda | P) = w H(\lambda | P_1) + (1-w) H(\lambda | P_2)$$