

Title: Perturbative BV-BFV theories on manifolds with boundary

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Abstract:

# An Introduction to the BV Formalism

## Perturbative BV-BFV theories on manifolds with boundary

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## Introduction

- Lift Atiyah–Segal’s axioms to the perturbative QFTs  
boundaries  $\rightsquigarrow$  vector spaces  
manifolds (with boundaries)  $\rightsquigarrow$  states/operators
- Do it for general Lagrangian theories (including gauge theories)  
*Lift Atiyah–Segal to the cochain level*

## Quantization of regular Lagrangian field theories

- In a regular Lagrangian field theory on a manifold  $M$  with action  $S_M$ , one may “define” a state by the functional integral

$$\psi_M(\varphi) = \int_{\Phi \in \pi_M^{-1}(p_{\partial M}^{-1}(\varphi))} e^{\frac{i}{\hbar} S_M(\Phi)} [D\Phi], \quad \varphi \in B_{\partial M}$$

- Here we assume we have a projection  $\pi_M: F_M \rightarrow F_{\partial M}^{\partial}$  from the space of bulk fields to the symplectic space of boundary fields.
- For simplicity we assume  $F_{\partial M}^{\partial} = T^*B_{\partial M}$ , with  $p_{\partial M}$  the projection.
- If the Lagrangian is degenerate we have to resort to BRST or BV for gauge fixing.

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- If the Lagrangian is degenerate we have to resort to BRST or BV for gauge fixing.

## The local, finite-dimensional BV formalism

The **Batalin–Vilkovisky (BV)** formalism is used to gauge fix gauge theories and check gauge-fixing independence.

We start with a local, finite-dimensional version.

- Consider super coordinates  $q^i, p_i$  and the symplectic form  $\omega = \sum_i dp_i dq^i$ .
- Functions are ordinary smooth functions of the even coordinates tensor the Grassmann algebra generated by the odd coordinates. Here  $p_i$  has parity opposite to  $q^i$ .
- The **BV Laplacian** is defined as

$$\Delta = \sum_i (-1)^{|q^i|} \frac{\partial^2}{\partial q^i \partial p_i}$$

Equivalently,  $\Delta f = -\frac{1}{2} \operatorname{div} X_f$ .

### Lemma

$$\Delta^2 = 0, \quad \Delta(fg) = \Delta f g \pm f \Delta g \pm (f, g).$$

Here  $(, )$  denotes the **BV bracket** (odd Poisson bracket given by  $\omega$ ).

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Here  $(, )$  denotes the **BV bracket** (odd Poisson bracket given by  $\omega$ ).

Let  $f$  be a function of the  $p, q$ s and  $\psi$  an odd function of the  $q$ s only. One defines the **BV integral**

$$\int_{\mathcal{L}_\psi} f := \int f(q, p_i = \partial_i \psi) dq^1 \dots dq^n$$

to be intended as the integral of  $f$  on the Lagrangian submanifold

$$\mathcal{L}_\psi = \text{graph } d\psi.$$

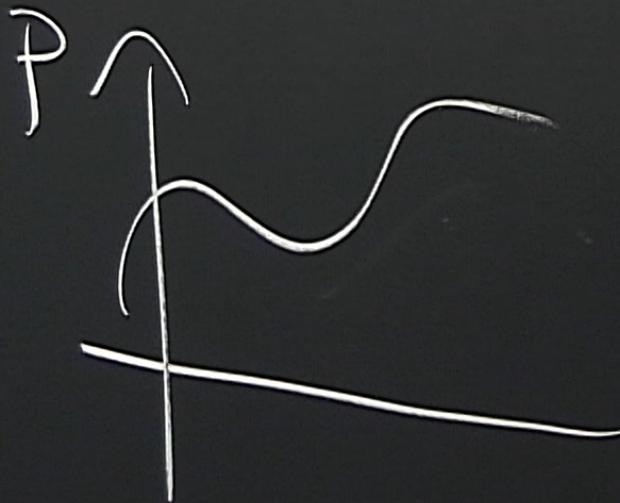
### Remark

$dq^1 \dots dq^n$  denotes integration: In the even coordinates it is the standard Lebesgue integration; in the odd coordinates it is the Berezin integration, i.e., just the selection of the top coefficient in the Grassmann algebra (with a choice of orientation).

### Lemma

*Assume that the integrals converge. Then:*

- *If  $f = \Delta g$ , then  $\int_{\mathcal{L}_\psi} f = 0$ .*
- *If  $\Delta f = 0$ , then  $\int_{\mathcal{L}_\psi} f$  is invariant under deformations of  $\psi$ .*



$$P = \frac{\partial \psi}{\partial q}$$

$q$        $\psi$

Legendrian

## The main application

- Suppose  $\int_{\mathcal{L}_0} f$  is ill defined but  $\Delta f = 0$ . Then we may replace the ill-defined integral by a well-defined one  $\int_{\mathcal{L}_\psi} f$  and the above Lemma says that it does not matter which  $\psi$  we choose (as long as the integral converges). This procedure is called **gauge fixing**.
- In view of applications to path integrals, we write  $f = e^{\frac{i}{\hbar} S}$ . Then  $\Delta f = 0$  corresponds to the **Quantum Master Equation (QME)**

$$\frac{1}{2}(S, S) - i\hbar\Delta S = 0$$

The central idea is to allow  $S$  to depend on the (possibly formal) parameter  $\hbar$  and solve the QME order by order (if possible). The lowest order term is the **Classical Master Equation (CME)**

$$(S, S) = 0$$

- The main point here is that the CME may be defined on infinite dimensional manifolds (needed in field theory). Integration together with the actual definition of  $\Delta$  are deferred to a second step (e.g., perturbative path integral quantization).

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## The BV pushforward

One may generalize the BV integral to a partial integration. Assume a splitting of coordinates  $(p, q) = (p', p'', q', q'')$  with  $\omega = \omega' + \omega''$  and  $\Delta = \Delta' + \Delta''$ . If  $f$  is a function of all coordinates and  $\psi$  an odd function of the  $q''$ 's, one defines the **BV pushforward**

$$\int_{\mathcal{L}_\psi} f := \int f|_{p_i'' = \partial_i \psi} dq''$$

One can then prove that

$$\Delta' \int_{\mathcal{L}_\psi} f = \int_{\mathcal{L}_\psi} \Delta f$$

and that, if  $\Delta f = 0$ ,

$$\frac{d}{dt} \int_{\mathcal{L}_{\psi(t)}} f = \Delta'(\dots)$$

Application in field theory: Integrate out "fast" fields  $\rightarrow$  effective field theory.

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## The global BV formalism

- Let  $\mathcal{F}$  be an odd finite dimensional symplectic manifold. By results of Batalin–Vilkovisky, Manin, Witten, Schwarz, Khudaverdian, Ševera. . . :
  - ① Half densities on  $\mathcal{F}$  naturally define densities on Lagrangian submanifolds of  $\mathcal{F}$ , so they can be integrated.
  - ② There is a canonically defined operator  $\Delta$  on half densities satisfying  $\Delta^2 = 0$ .
  - ③  $\int_{\mathcal{L}} \Delta \rho = 0$ , for  $\mathcal{L}$  Lagrangian,  $\rho$  half density.
  - ④  $\frac{d}{dt} \int_{\mathcal{L}_t} \sigma = 0$  if  $\Delta \sigma = 0$  and  $\mathcal{L}_t$  a family of Lagrangian submanifolds.
- Main example:  $\mathcal{F} = \Pi T^*M$ ,  $M$  manifold.  
Then half densities on  $\mathcal{F}$  = differential forms on  $M$ ,  
 $\int_{\Pi N^*C} \rho = \int_C \rho, \quad \Delta \equiv d.$

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## BV on functions

- Fix a nowhere vanishing half density  $\rho$ . On functions define  $\Delta$  by

$$\Delta f := \frac{\Delta(f\rho)}{\rho}.$$

We still have  $\Delta^2 = 0$ .

- If  $\Delta\rho = 0$  (always possible), then we have, like in the local case,

$$\Delta(fg) = \Delta f g \pm f \Delta g \pm (f, g).$$

- Again,  $\Delta e^{\frac{i}{\hbar}S} = 0$  is equivalent to the **Quantum Master Equation (QME)**

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## Further remarks

- It is **often** convenient to introduce a  $\mathbb{Z}$ -grading (ghost number).
- One assigns degrees so that  $S$  has degree zero and its Hamiltonian vector field  $Q$  (the BRST operator)

$$\iota_Q \omega = \delta S$$

has degree 1. This forces  $\omega$  to have degree  $-1$ .

- The CME for  $S$  is equivalent to

$$[Q, Q] = 0$$

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## Example: gauge theories

- Suppose we have a gauge theory with space of fields  $F_M$ , a local action functional  $S_M^0$  and a gauge group acting on it.
- We denote by  $\phi$  the fields, by  $c$  the ghosts and by  $\delta_{\text{BRST}}$  the BRST operator. We assign degrees by  $|\phi| = 0$  and  $|c| = 1$ .
- We introduce antifields  $\phi^+, c^+$  with opposite nature than the field, opposite parity and degrees given by  $|\phi^+| = -1$  and  $|c^+| = -2$ .
- We denote by  $\mathcal{F}_M$  the space of all the  $(\phi, c, \phi^+, c^+)$ 's and we set

$$S_M = S_M^0(\phi) + \int_M (\phi^+ \delta_{\text{BRST}} \phi + c^+ \delta_{\text{BRST}} c),$$

$$\omega_M = \int_M (\delta \phi^+ \delta \phi + \delta c^+ \delta c)$$

- Then, if  $\partial M = \emptyset$ , the BV action  $S$  satisfies the CME.
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## Example: gauge theories II

Mathematical description:

- Start with a manifold  $F$  (the space of classical fields), a Lie algebra  $\mathfrak{g}$  acting on it (the symmetries) and a  $\mathfrak{g}$ -invariant function  $s$  (the classical action).
- Note that the Chevalley–Eilenberg differential for the  $\mathfrak{g}$ -module  $C^\infty(F)$  may be interpreted as an odd vector field  $\delta$  on  $F_{\mathfrak{g}} := F \times \Pi\mathfrak{g}$  which satisfies  $[\delta, \delta] = 0$ .
- Set  $\mathcal{F} := T^*[1]F_{\mathfrak{g}}$  with its canonical symplectic structure.
- Denote by  $\hat{\delta}$  the fiber linear function on  $\mathcal{F}$  canonically associated to  $\delta$  and set

$$S := p^*s + \hat{\delta},$$

where  $p$  is the composition of the projections  $T^*[1]F_{\mathfrak{g}} \rightarrow F_{\mathfrak{g}}$  and  $F_{\mathfrak{g}} \rightarrow F$ .

- The classical master equation  $(S, S) = 0$  follows.
- The quantum master equation requires choosing an invariant measure on  $F$ .

## The modified classical master equation

Let us go back to the classical master equation on some odd symplectic manifold  $(\mathcal{F}, \omega)$ :

$$(S, S) = 0$$

Its Hamiltonian vector field  $Q := (S, \cdot)$ , the “BRST operator,” squares to zero. We may also write

$$\iota_Q \omega - \delta S, \quad [Q, Q] = 0. \quad (*)$$

These two equations are equivalent to the CME.

We now modify this setting by weakening the first equation allowing  $Q$  not to be Hamiltonian. We also do no longer insist on  $\omega$  being non degenerate (this relaxation is important for finite-dimensional examples). Define

$$\check{\alpha} := \iota_Q \omega - \delta S$$

Note that  $\check{\alpha}$  is an even 1-form of degree 0.

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## Properties

Define

$$\check{\omega} := \delta\check{\alpha}$$

Note that  $\check{\omega}$  is an even, closed 2-form of degree 0.

It turns out that  $Q$  preserves  $\check{\omega}$ . By degree reasons it is actually Hamiltonian.

### Lemma

There is a uniquely defined odd function  $\check{S}$ , of degree 1, such that

$$i_Q\check{\omega} = \delta\check{S}$$

Moreover,

$$\frac{1}{2}(S, S) := \frac{1}{2}i_Q i_Q \omega = \check{S}$$

The last equation is a form of modified classical master equation.

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## Symplectic reduction

The 2-form  $\check{\omega} := \delta\check{\alpha}$  is usually degenerate. Its kernel  $\mathcal{K}$  is an involutive distribution. We make the following

**crucial assumption**  $\mathcal{K}$  has constant rank and  $\mathcal{F}^\partial := \mathcal{F}/\mathcal{K}$  is smooth

**simplifying assumption**  $\check{\alpha}$  is  $\mathcal{K}$ -basic

This means that we have a uniquely defined 1-form  $\alpha^\partial$  on  $\mathcal{F}^\partial$  such that

$$\check{\alpha} = \pi^* \alpha^\partial, \quad \check{\omega} = \pi^* \omega^\partial$$

with  $\omega^\partial = \delta\alpha^\partial$  and  $\pi: \mathcal{F} \rightarrow \mathcal{F}^\partial$  the natural projection.

It also turns out that  $Q$  is  $\mathcal{K}$ -projectable, so we have a uniquely defined cohomological vector field  $Q^\partial$  on  $\mathcal{F}^\partial$  such that

$$Q\pi^*f = \pi^*Q^\partial f, \quad \forall f \in \mathcal{F}^\partial.$$

Finally, there is a uniquely defined odd function  $S^\partial$  such that

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## The classical (exact) BV-BFV formalism

We may summarize as follows:

- 1 We have a quadruple  $(\mathcal{F}^\partial, \omega^\partial, \mathcal{S}^\partial, Q^\partial)$  where  $\omega^\partial = \delta\alpha^\partial$  is an even symplectic form of degree zero such that

$$\iota_{Q^\partial}\omega^\partial = \delta\mathcal{S}^\partial, \quad [Q^\partial, Q^\partial] = 0.$$

- 2 We have a quintuple  $(\mathcal{F}, \omega, \mathcal{S}, Q, \pi)$  where  $\omega$  is an odd “symplectic” form of degree  $-1$ ,  $\pi: \mathcal{F} \rightarrow \mathcal{F}^\partial$  is a surjective submersion such that  $Q$  is  $\pi$ -projectable to  $Q^\partial$  and the mCME holds

$$\iota_Q\omega = \delta\mathcal{S} + \pi^*\alpha^\partial, \quad [Q, Q] = 0.$$

- The structure (1) is the well-known BFV formalism (used to give a cohomological description of the reduction of coisotropic submanifolds)
- The structure (2) is what we call the BV-BFV formalism. The crucial point is the mCME that establishes a relation between the broken bulk BV theory and the boundary BFV theory.

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- 1 We have a quadruple  $(\mathcal{F}^\partial, \omega^\partial, \mathcal{S}^\partial, Q^\partial)$  where  $\omega^\partial = \delta\alpha^\partial$  is an even symplectic form of degree zero such that

$$\iota_{Q^\partial}\omega^\partial = \delta\mathcal{S}^\partial, \quad [Q^\partial, Q^\partial] = 0.$$

- 2 We have a quintuple  $(\mathcal{F}, \omega, \mathcal{S}, Q, \pi)$  where  $\omega$  is an odd “symplectic” form of degree  $-1$ ,  $\pi: \mathcal{F} \rightarrow \mathcal{F}^\partial$  is a surjective submersion such that  $Q$  is  $\pi$ -projectable to  $Q^\partial$  and the mCME holds

$$\iota_Q\omega = \delta\mathcal{S} + \pi^*\alpha^\partial, \quad [Q, Q] = 0.$$

- The structure (1) is the well-known **BFV formalism** (used to give a cohomological description of the **reduction of coisotropic submanifolds**)
- The structure (2) is what we call the **BV-BFV formalism**. The crucial point is the mCME that establishes a relation between the broken bulk BV theory and the boundary BFV theory.

## Gauge transformations

The theory allows for “gauge transformations”. Given  $f \in \mathcal{F}^\partial$ , one has the transformations

$$\alpha^\partial \mapsto \alpha^\partial + \delta f, \quad S \mapsto S - \pi^* f.$$

which leave all relations unchanged. This can be used to choose a “better”  $\alpha^\partial$  (e.g., for quantization). It also shows that the natural framework is in terms of sections of **line bundles and connection 1-forms**.

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## Example: local field theories on manifolds with boundary

- Let  $(\mathcal{F}_M, \omega_M, S_M)$  be the BV data for a **local** field theory (i.e., both  $\omega_M$  and  $S_M$  are local) with  $\partial M = \emptyset$ . Then also  $Q_M := (S_M, )$  is local.
- By locality, we may define  $\mathcal{F}_M, \omega_M, S_M$  and  $Q_M$  also on a manifold with boundary.
- The equation  $\iota_{Q_M} \omega_M = \delta S_M$  is satisfied up to boundary terms. Hence  $\mathcal{F}^\partial$  only depends on boundary data ("boundary fields"): we write  $\mathcal{F}_{\partial M}^\partial$ .
- Notice the **self similarity** (up to degree shift) of the structure. In local field theory, it often happens that  $(\mathcal{F}^\partial, \omega^\partial, S^\partial, Q^\partial)$  still consists of local data. One can thus iterate the construction to higher codimensional boundary strata of manifolds with corners.
- In the definition of  $\mathcal{F}_M$  one may incorporate some boundary conditions. One must choose  $\mathcal{F}_M$  in such a way that **the boundary BFV manifold  $\mathcal{F}_{\partial M}^\partial$  describes the correct reduced phase space**. Very often, the **naïf choice** (i.e. no boundary conditions) is the right one.

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## Example: Electromagnetism

- Maxwell's equations:  $d^*dA = 0$ ,  $A$  connection 1-form.
- First-order formalism:  $S_M^d = \int_M B dA + \frac{1}{2} B * B$   
 $B$  a  $(d-2)$ -form. Then  $EL = \{ *B = dA, dB = 0 \}$ .
- BV:  $S_M = \int_M B dA + \frac{1}{2} B * B + A^t dc$   
 $A^t$ :  $(d-1)$ -form, ghost number  $-1$ ;  $c$ : 0-form, ghost number 1.  
 $\omega_M = \int_M \delta A \delta A^t + \delta B \delta B^t + \delta c \delta c^t$ ,  
 $B^t$  and  $c^t$  do not show up in the action.  
 $QA = dc, QA^t = dB, QB^t = *B + dA, Qc^t = dA^t$ .
- Boundary fields:  $A, B, A^t, c$ ,  
 $S_\Sigma^\partial = \int_\Sigma c dB$ ,  
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 Interpretation:  
 $A$  = vector potential, up to gauge transformations  $A \mapsto A + dc$   
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