

Title: Self-dual quantum geometries and four-dimensional TQFTs with defects

Date: Apr 18, 2017 10:00 AM

URL: <http://pirsa.org/17040070>

Abstract: <p>We apply the recently suggested strategy to lift state spaces and operators for (2+1)-dimensional topological quantum field theories to state spaces and operators for a (3+1)-dimensional TQFT with defects. We start from the (2+1)-dimensional Turaev-Viro theory and obtain a state space, consistent with the state space expected from the Crane-Yetter model with line defects. This work has important applications for quantum gravity as well as the theory of topological phases in (3+1) dimensions.</p>

# Part I: Motivation and Main Results

# Recent developments

[BD, Steinhaus 2013: From TQFT to quantum geometry]

[BD, Geiller 2016]

We constructed a  $(2+1)$ D quantum geometry based on Turaev-Viro TQFT:

Vacuum states peaked on homogeneously curved geometries.

Curvature excitations described by defects.

How to generalize this construction to  $(3+1)$  D?

Key problem: braiding relations are central for the  $(2+1)$ D theory.

[Delcamp, BD 2016],

relations to

[Haggard, Han, Kaminski, Riello 14-15], [Baerenz, Barrett 2016]

We developed a strategy:

↑  
canonical quantization

↑  
canonical formulation,  
including defects

Lift  $(2+1)$ D TQFT to  $(3+1)$ D theory with line defects.

[BD arxiv: 1701.02037 [hep-th]]

Applied this strategy to Turaev-Viro TQFT.

# Results

- Rigorous implementation of quantum group structure into (3+1)D LQG.

Strong evidence that this facilitates implementation of positive cosmological constant.

[Smolin, Major, Noui, Perez, Pranzetti, Dupuis, Girelli, Bonzom,

quantum group structure

Livine, Haggard, Han, Kaminski, Riello, Rovelli, Vidotto, ...]

$SU(2)_k$  where  $k = \frac{6\pi}{\ell_p^2 \Lambda}$

[Smolin, Major]

- A new family of (3+1)D quantum geometry realizations  
based on vacuum peaked on homogeneously curved geometry: Crane-Yetter TQFT.

- Finiteness properties:

- Hilbert spaces (associated to fixed triangulations/ graphs) are finite dimensional.
- Important for (numerical) coarse graining efforts.
- All (graph preserving) geometric operators have discrete and bounded spectra.



# Strategy: from (2+1)D TQFT to a (3+1)D theory with line defects

[Delcamp, BD: JMP 2017]

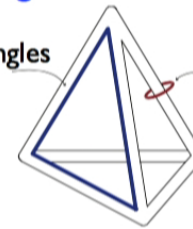
(2+1)D TQFT



assigns degrees of freedom  
to non-contractible curves  
on a surface

(3+1)D TQFT: 3-sphere with  
one-skeleton of (tetrahedral)  
triangulation removed

curves around triangles  
are contractible in  
3-sphere

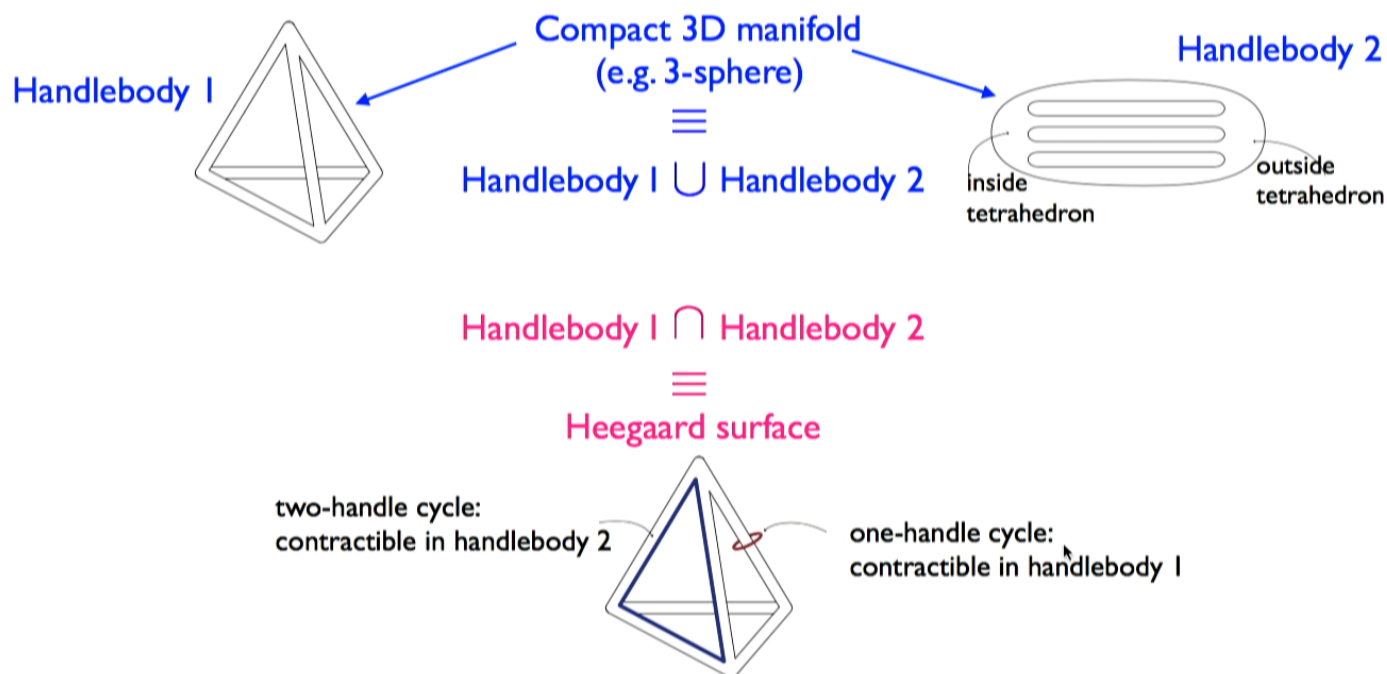


curves around the  
edges of the  
triangulation are  
not contractible

want to assign degrees of  
freedom  
to curves around edges of  
triangulation

Use (2+1) D theory to assign state space to a 3D triangulation.  
But impose (contractibility/ flatness) constraints associated to curves  
around triangles.

# Heegaard splitting and diagrams



A Heegaard diagram is a Heegaard surface decorated with generating basis of one-handle cycles and two-handle cycles.

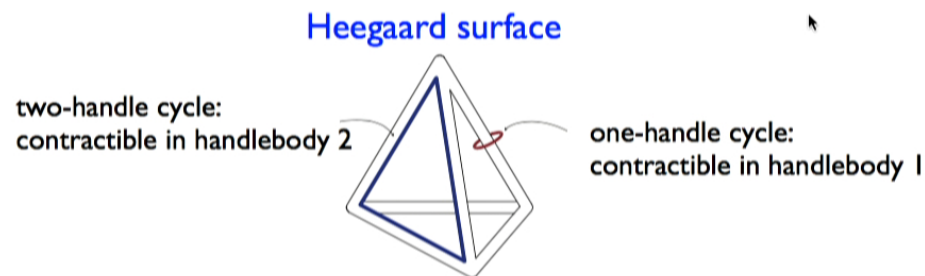
Heegaard diagrams encode uniquely topology of 3D manifold.

# Heegaard diagrams

Heegaard diagrams can be constructed from a triangulation of the 3D manifold.

Set of cycles around triangles generates (over-completely) all curves that are contractible even if we do take out the one-skeleton of the triangulation.

Thus it is sufficient to impose flatness constraints for the cycles around the triangles.



# Strategy

1. Hilbert space, operators and bases for a closed surface.
2. Apply this to a Heegaard surface constructed via a triangulation.
3. Impose constraints for 2-handle cycles and find operators and bases consistent with these constraints.

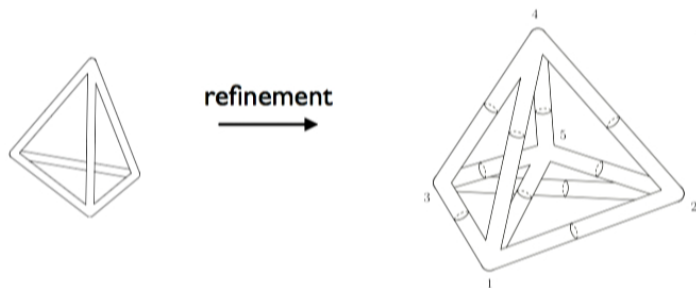
# Remark: fixed triangulation

Remark:

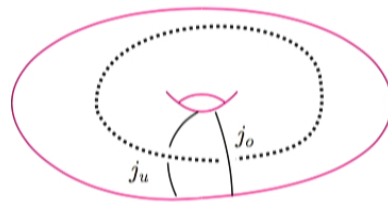
This talk is mostly focussed on describing Hilbert space and operators for a fixed triangulation.

Refinements implementing a vacuum based on the Crane-Yetter TQFT can be defined. The operators that we will discuss here are consistent with respect to these refinements.

Open possibility: refinements implementing an Ashtekar-Lewandowski type vacuum and finding operators consistent with these refinements.



# Hilbert space for (2+1)D Turaev-Viro TQFT



# Hilbert space for (2+1)D Turaev-Viro TQFT

here: for surfaces without punctures

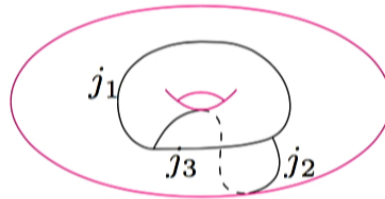
[Levin, Wen; Koenig, Kuperberg, Reichardt; Kirillov; BD, Geiller]

Kinematical (but gauge invariant) Hilbert space:

States based on spin-labelled three-valent graphs with  $SU(2)_k$  coupling rules imposed on the nodes.

Admissible spins:  $j = 0, \frac{1}{2}, 1, \dots, \frac{k}{2}$       labelling undirected edges of the graph.

Coupling rules:  $i \leq j + k, \quad j \leq i + k, \quad k \leq i + j, \quad i + j + k \in \mathbb{N}, \quad i + j + k \leq k.$





# Hilbert space for (2+1)D Turaev-Viro TQFT

Physical Hilbert space - impose 'flatness' constraints:

Flatness constraints are imposed as equivalence relations between graph states:

Strands can be (isotopically) deformed.

$$j \text{ ————— } = j \text{ ~~~~~~ }$$

Strands with trivial spin can be omitted.

$$\begin{array}{c} 0 \\ | \\ j \text{ — } \text{---} \text{---} j \end{array} = j \text{ ————— }$$

2-2 Pachner move. Involving the F-symbol.

$$\begin{array}{c} i \quad l \\ \diagdown \quad \diagup \\ m \\ \diagup \quad \diagdown \\ j \quad k \end{array} = \sum_n F_{kln}^{ijm} \begin{array}{c} i \quad l \\ \diagdown \quad \diagup \\ n \\ \diagup \quad \diagdown \\ j \quad k \end{array}$$

3-1 Pachner move. Involving the F-symbol.

$$\begin{array}{c} i \quad l \quad j \\ \diagdown \quad \diagup \quad \diagdown \\ m \quad n \\ \diagup \quad \diagdown \\ k \end{array} = \frac{v_m v_n}{v_k} F_{nml}^{ijk} \begin{array}{c} i \quad j \\ \diagdown \quad \diagup \\ k \end{array}$$

$$v_j = (-1)^j \sqrt{d_j}$$

Rather involved now:

Finding a basis of independent states and operators consistent with equivalence relations.

We need a) braiding and b) vacuum strands to define these.



## a) Braiding

Strands can cross each other. Such crossings can be resolved using the R-matrix of  $SU(2)_k$ .

$$\begin{array}{c} j \\ | \\ i \text{ --- } | \\ | \\ j \end{array} = \sum_k \frac{v_k}{v_i v_j} R_k^{ij} \begin{array}{c} j \\ | \\ i \text{ --- } | \text{ --- } i \\ | \\ j \end{array} \qquad \begin{array}{c} j \\ | \\ i \text{ --- } | \text{ --- } \\ | \\ j \end{array} = \sum_k \frac{v_k}{v_i v_j} (R_k^{ij})^* \begin{array}{c} j \\ | \\ i \text{ --- } | \text{ --- } i \\ | \\ j \end{array}$$

We can thus define the so-called **s-matrix** as the evaluation of the Hopf link.

(Planar graphs are equivalent to a number times the empty graph. This number is called the evaluation of the planar graph.)

$$s_{ij} := \begin{array}{c} i \quad \bigcirc \quad \bigcirc \quad j \end{array} \quad \text{gives} \quad s_{jk} = (-1)^{2k+2j} \frac{\sin\left(\frac{\pi}{k+2}(2j+1)(2k+1)\right)}{\sin\left(\frac{\pi}{k+2}\right)}$$

**An important identity:**

$$\begin{array}{c} j \\ | \\ i \quad \bigcirc \quad | \\ | \\ j \end{array} = \frac{s_{ij}}{s_{0j}} \begin{array}{c} j \\ | \\ | \\ | \\ j \end{array}$$

## b) Vacuum strands

Vacuum strands are defined as weighted sum over strands labelled by admissible spins:

$$\left| \begin{array}{c} \vdots \\ \vdots \\ \vdots \end{array} \right| := \frac{1}{\mathcal{D}} \sum_k v_k^2 \left| \begin{array}{c} k \\ \vdots \\ \vdots \end{array} \right|$$

$$v_j = (-1)^j \sqrt{d_j}$$

$$\mathcal{D} := \sqrt{\sum_j v_j^4}$$

total quantum  
dimension

A vacuum loop is similar to a  $\delta(g)$  function. Wilson lines (strands) can be deformed across a region enclosed by a vacuum loop.

Sliding property:

$$\left| \begin{array}{c} j \\ \bullet \\ \circ \end{array} \right| = \left| \begin{array}{c} j \\ \circ \\ \bullet \end{array} \right|$$

Vacuum loops encircling a strand force the associated spin label to be trivial.

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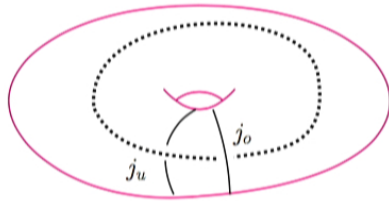
Killing property:

$$\left| \begin{array}{c} j \\ \circ \\ \bullet \end{array} \right| = \mathcal{D} \delta_{j0}$$

# Hilbert space for (2+1)D: Bases

[Kohno 1992; Alagic et al 2010]

For the torus:



Basis states parametrized by two spins  $(j_u, j_o)$  labelling an under- and over-crossing strand.

We will see that this basis diagonalizes over- and under-crossing Wilson loops parallel to the vacuum loop.

S-transformation (generalized Fourier transformation):

$$\begin{aligned}
 & \text{Diagram of a torus with a vacuum loop (dotted line) and a Wilson loop (solid line) crossing it. The Wilson loop is labeled with spins } j_u \text{ and } j_o. \\
 & = \sum_{k_o, k_u} S_{j_o j_u, k_o k_u} \text{Diagram of a torus with a vacuum loop (dotted line) and a Wilson loop (solid line) crossing it. The Wilson loop is labeled with spins } k_o \text{ and } k_u. \\
 & S_{j_o j_u, k_o k_u} = \frac{1}{\mathcal{D}^2} s_{j_o k_o} s_{j_u k_u}
 \end{aligned}$$

# Hilbert space for $(2+1)D$ : Bases

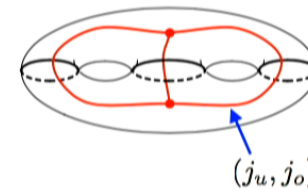
[Kohno 1992; Alagic et al 2010]

For  $g > 1$  surface:

To each pant decomposition of the surface we can associate a basis.

These bases states include a

- set of vacuum loops
- over-crossing graph (dual to vacuum loops)
- under-crossing graph (dual to vacuum loops).



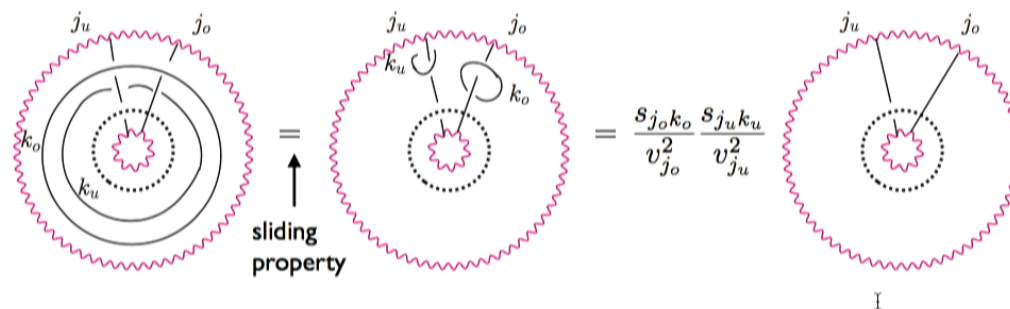
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# Hilbert space for (2+1)D: Operators

Operators consistent with equivalence relation:  
Insertion of under- and over-crossing Wilson loops.

Ribbon operators: parallel under- and over-crossing loop, labelled by  $(j_u, j_o)$ .  
For classical group: ribbon operators combine holonomy and (integrated) flux operators.

Wilson loops parallel to vacuum loops in basis states act diagonally:

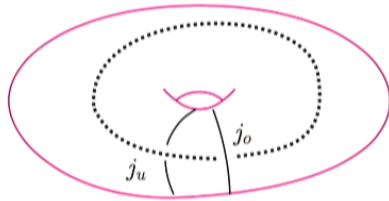


Over- and under-crossing graphs and Wilson loops decouple.  
Eigenvalues of Wilson loops determined by s-matrix.

# Hilbert space for (2+1)D: Bases

[Kohno 1992; Alagic et al 2010]

For the torus:



Basis states parametrized by two spins  $(j_u, j_o)$  labelling an under- and over-crossing strand.

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S-transformation (generalized Fourier transformation):

The equation is represented diagrammatically. On the left is the same torus diagram as above, with strands labeled  $j_u$  and  $j_o$ . This is followed by an equals sign and a summation over  $k_o, k_u$  of the symbol  $S_{j_o j_u, k_o k_u}$ . To the right of the summation is another torus diagram. In this diagram, the strands are labeled  $k_o$  and  $k_u$ . The  $k_o$  strand is solid and loops around the hole, while the  $k_u$  strand is dashed and crosses it. They cross at a point marked with a pink arc. To the right of this diagram is a small vertical bar with a horizontal line through it, resembling an identity symbol  $\mathbb{I}$ .

$$S_{j_o j_u, k_o k_u} = \frac{1}{\mathcal{D}^2} s_{j_o k_o} s_{j_u k_u}$$

## From (2+1)D to (3+1)D

### We discussed:

- choice of basis for (2+1)D Hilbert space
- consistent operators: under- and over-crossing Wilson loops.

For these constructions braiding relations play a very important role.  
Using the encoding of a 3D manifold into a Heegaard surface we can export these braiding relations to the (3+1)D theory.

### To proceed:

- a) Construct bases for Heegaard surface.
- b) Impose constraints.
- c) Find operators preserving constraints.

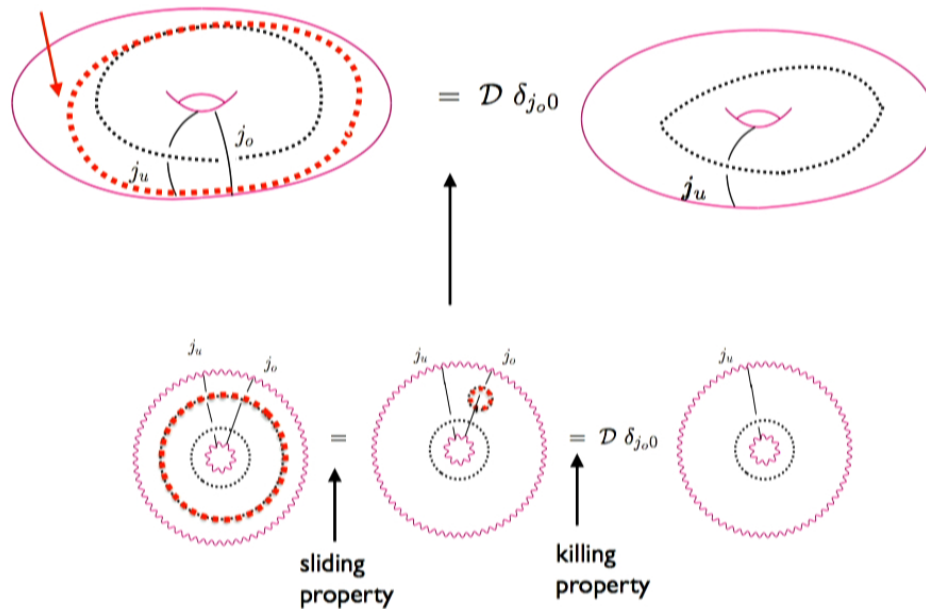
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## Example: defect loop in 3-sphere

The corresponding Heegaard surface: a torus.  
Flatness constraint along equator of this torus.

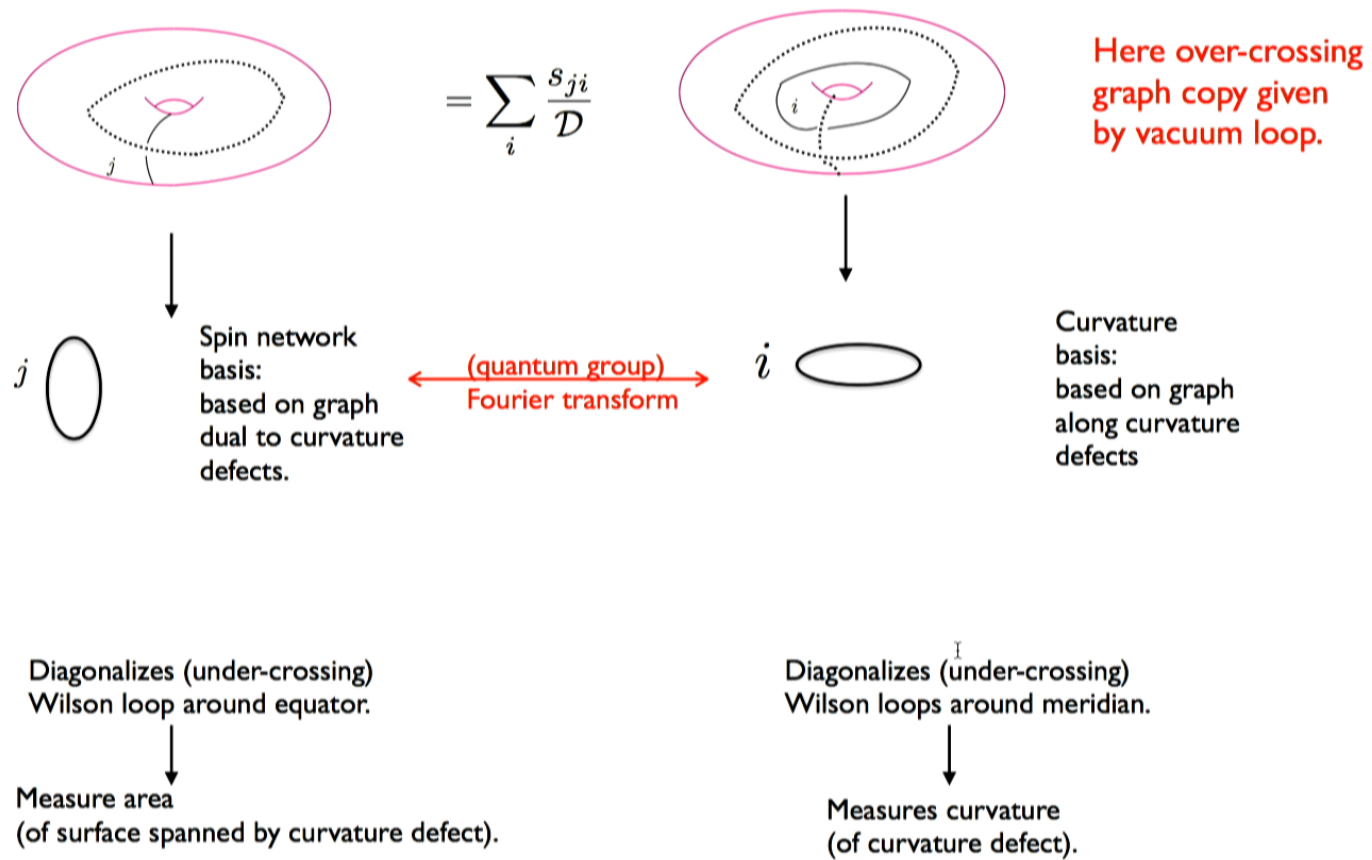
flatness constraint (over-crossing vacuum loop)  
along equator



The flatness constraints suppress the over-crossing graph copy.

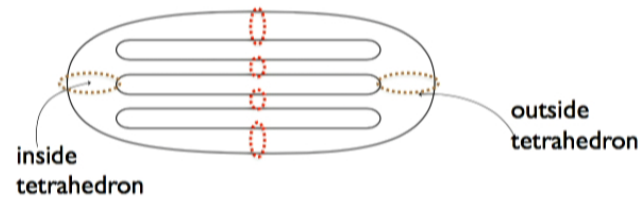


## Example: defect loop in 3-sphere



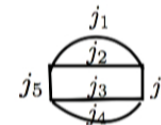
# Spin network basis for general 3D triangulation

- Heegaard surface from thickening of one-skeleton of triangulation.
- Flatness constraints: (over-crossing) vacuum loops along triangle boundaries.



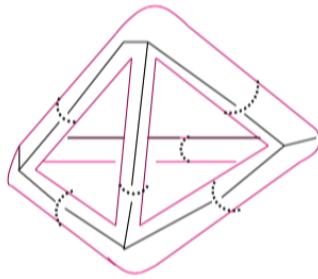
- Basis determined by pant-decomposition. Choose one adjusted to the dual graph.
- Flatness constraints suppress over-crossing graph copy:

Left with under-crossing graph dual to triangulation:  
 (quantum deformed) spin network basis.



# Curvature basis for general 3D triangulation

- Choose pant-decomposition adjusted to the one-skeleton of the triangulation
- After imposing flatness constraints: curvature basis.



Under-crossing graph along one-skeleton of triangulation which can be freely labelled by spins: **labels of the curvature basis**.  
Over-crossing graph given by vacuum loops around triangles.

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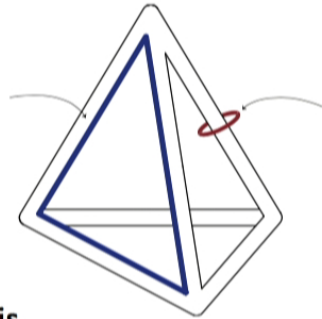
- (Curvature or Crane-Yetter) vacuum state:  
trivial spins associated to all edges of (triangulation) graph.

Non-degenerate vacuum state for **all topologies**.  
Crane-Yetter invariant is 'trivial'.

# Operators for the (3+1)D theory

Under-crossing Wilson loops preserve flatness constraints.

Wilson loops around triangles.



Wilson loops around edges.

- diagonalized by spin network basis
- measure area of triangles:
  1. classical group case:
    - ribbon operators preserving constraints
    - map to integrated flux operators associated to triangles [ Delcamp, BD JMP 2017]
  2. [HHKR]: Wilson loop around triangle
    - measures homogeneous curvature which is proportional to area
  3. spectra match in classical limit

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For normalized  $k$ -Wilson loop:

$$\frac{\sin\left(\frac{\pi}{k+2}(2j+1)(2k+1)\right) \sin\left(\frac{\pi}{k+2}\right)}{\sin\left(\frac{\pi}{k+2}(2k+1)\right) \sin\left(\frac{\pi}{k+2}(2j+1)\right)} \xrightarrow{k \rightarrow \infty} 1 - \frac{8}{3} j(j+1) k(k+1) \left(\frac{\pi}{k+2}\right)^2$$

# Operators for the (3+1)D theory

Under-crossing Wilson loops encode curvature and area operators.

Spectra are discrete and bounded and coincide:

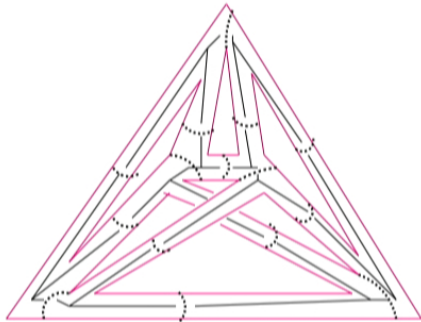
$$\frac{\sin\left(\frac{\pi}{k+2}(2j+1)(2k+1)\right) \sin\left(\frac{\pi}{k+2}\right)}{\sin\left(\frac{\pi}{k+2}(2k+1)\right) \sin\left(\frac{\pi}{k+2}(2j+1)\right)}$$

A self-dual quantum geometry.

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# Examples with even more self-duality

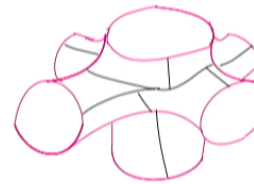
quantum-quantum 4-simplex



Curvature basis for 4-simplex.  
(Over-crossing graph copy, which is  
given by vacuum loops  
around triangles, is suppressed.)

Spin network basis for 4-simplex.

quantum-quantum 3-torus



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Curvature basis for 3 torus  
with cubical lattice.  
(Over-crossing graph copy and  
vacuum loops are suppressed.)

Spin network basis for 3-torus.  
(With Vacuum loops suppressed)



# Conclusion

- enforcing a most important advantage of LQG/spin foams: relation to TQFT [Barrett, Crane, Smolin]
  - could be crucial for continuum limit (do we already have a geometric phase?)
  - exchange of elegant techniques between (now also canonical) quantum gravity and TQFT
- new vacua can serve as starting point of approximation scheme for dynamics [BD 2012-14]  
(Consistent Boundary Framework)
- this quantum geometry realization offers many advantages
  - spectra of intrinsic and extrinsic geometric operators are discrete and bounded
  - self-duality
  - finiteness properties important for (numerical) coarse graining schemes
  - new bases important for coarse graining <sup>I</sup>
- new view on quantum geometries [BD, Steinhaus 2013: From TQFT to quantum geometry]
  - many new directions (next slide)
  - are there other quantum geometries (4D TQFTs) out there?
  - how do predictions depend on choice of representation?

# Outlook

## More quantum geometries:

- systematic way to construct 4D TQFTs with defects: [Delcamp, BD w.i.p.]  
lift other 3D TQFTs or string net models to 4D, e.g. group algebra models
- further generalizations ala [Baerenz, Barrett 2016]
  - weaken flatness constraints for triangles
  - allows for degenerate ground state (non-trivial 4D invariants)
  - introduces torsion degrees?

## Analysis of current model:

- boundaries and torsion
  - compression bodies: Heegaard decomposition with boundary
  - expect surface anyons as excitations confined to boundary [Keyserlingk et al PRB 2013, ...]
  - interpretation for lifted punctures with torsion defects?
- geometric interpretation of states and operators [Charles, Livine; Haggard, Han, Kaminski, Riello]
  - phase space
  - Barbero-Immirzi parameter
- refinements and coarse graining [Delcamp, BD w.i.p.]
  - fusion basis for  $(3+1)D$



## Thank you!

- B. Dittrich,  $(3+1)$ -dimensional topological phases and self-dual quantum geometries encoded on Heegaard surfaces, arXiv: 1701.02037
- C. Delcamp, B. Dittrich, From 3D TQFTs to 4D models with defects, to appear in JMP, arXiv: 1606.02384
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- M. Baerenz, J. Barrett, Dichromatic state sum models for four-manifolds from pivotal functors, arXiv: 1601.03580
- R. Koenig, G. Kuperberg and B.W. Reichardt, Quantum computation with Turaev-Viro codes, Annals of Physics 2010, arXiv: 1002.2816
- G. Alagic, S. P. Jordan, R. Koenig, B.W. Reichardt, Approximating Turaev-Viro 3-manifold invariants is universal for quantum computation, Phys Rev A 2010, arXiv: 1003.0923

## Further applications

