

Title: Traces of intertwiners for quantum affine  $sl_2$ , affine Macdonald conjectures, and Felder-Varchenko functions

Date: Apr 24, 2017 02:00 PM

URL: <http://pirsa.org/17040051>

Abstract: <p>This talk concerns a family of special functions common to the study of quantum conformal blocks and hypergeometric solutions to q-KZB type equations.&nbsp; In the first half, I will explain two methods for their construction -- as&nbsp;traces of intertwining operators between representations of quantum affine algebras and as certain theta hypergeometric integrals we term&nbsp;Felder-Varchenko functions.&nbsp; I will then explain our proof by bosonization the first case of Etingof-Varchenko's conjecture that these constructions are related by a simple renormalization.</p>

<p>&nbsp;</p>

<p>The second half of the talk will concern applications to affine Macdonald theory.&nbsp; I will present refinements of the denominator and evaluation conjectures for affine Macdonald polynomials proposed by Etingof-Kirillov Jr.&nbsp; I will then explain how to&nbsp;prove the first non-trivial cases of these conjectures by combining&nbsp;the methods of the first half and well-chosen applications of the elliptic beta integral.&nbsp; The second half of&nbsp;this talk is joint work with E. Rains and A.&nbsp;Varchenko.</p>

Affine Macdonald conjectures  
and special values of

Felder-Varchenko functions

(lit. w/ E. Kazas, A. Varchenko)

Affine Macdonald conjectures  
and special values of  
Felder-Varchenko functions  
(Lit. v. E. Frenkel, A. Varchenko)

Affine Macdonald polys

Ordinary Macdonald polys

$$P_\lambda(x; q, t)$$

st. leading term  $x^\lambda$

diagonalize  $D'_n = \sum_{I \in \mathcal{I}} \prod_{\substack{i \in I \\ j \notin I}} \frac{t x_i - x_j}{x_i - x_j} T_{I, x}$

Consider  $(\mathfrak{g}/\mathfrak{g}_h)$

For integral dominant  $\lambda$ , there exists

$$\bar{D}_\lambda = L^{(m-1)\rho} \rightarrow L^{\lambda + (m-1)\rho} \oplus \dots$$

$$\oplus V_{HW} \oplus W_\lambda + (L \otimes L)$$

$$\underbrace{\text{Sym}^{(m-1)n} [n \text{ of } \det]^{-n(m-1)}}_{W_{m-1}}$$

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Consider  $V_q(\mathfrak{g}/\mathfrak{h})$

For integral dominant  $\lambda$ , there exists

$$\begin{aligned} \bar{\Phi}_\lambda &= L_{\lambda + (m-1)\rho} \rightarrow L_{\lambda + (m-1)\rho}^{\otimes m} \\ \leftarrow \bar{\Phi}_\lambda V_{HW} &\rightarrow V_{HW} \otimes W_0 + (\text{rest}) \end{aligned}$$

$$\underbrace{\text{Sym}^{(m-1)n} (\mathfrak{a}(\det)^{-n(m-1)})}_{W_{m-1}}$$

for  $W_0 \subseteq W_{m-1}[0]$ .

Thm (Etinger-Kimblow, J. 1994)

$$P_\lambda(x, q^2, q^{2m}) = \frac{\text{Tr}(\bar{\Phi}_\lambda x^h)}{\text{Tr}(\bar{\Phi}_0 x^h)}$$

$$= \sum_{|I|=r} \prod_{\substack{i \in I \\ j \notin I}} \frac{x_i - x_j}{x_i - x_j} \prod_{i \in I} x_i$$

$$\underbrace{\text{Sym} \left( \mathbb{C}^{\alpha(\det)} \right)}_{W_{m-1}}$$

Affine setting

$$U_q(\mathfrak{gl}_n) \simeq U_q(\widehat{\mathfrak{sl}}_2)$$

$$\text{Cartan: } \tilde{h} = h \in \mathbb{C}c \oplus \mathbb{C}d$$

$$\tilde{h}^x = h^x \in \mathbb{C}\Lambda_0 \oplus \mathbb{C}s$$

Verma module:  $M_{\mu+k\Lambda_0}$

Irreducible integrable:  $L_{\mu+k\Lambda_0}$

Evaluation:  $V(z)$  via  $U_q(\widehat{\mathfrak{sl}}_2) \xrightarrow{\text{ev}_z} U_q(\mathfrak{sl}_2)$

Prop: For  $w_0 \in \text{Sym}^{(m-1)\mu, n} \left( \mathbb{C}^{\alpha(\det)} \right)^{-(m-1)\rho}$

there is a unique

$$T_{\mu, k, m}(z) \in L_{\mu+k\Lambda_0 + (m-1)\tilde{\rho}}$$

$$\rightarrow L_{\mu+k\Lambda_0 + (m-1)\tilde{\rho}} \otimes W_{m-1}(z)$$

$$s.t. \quad v_{HW} \mapsto v_{HW} \otimes w_0 + (1 \otimes v)$$

Def The trace fn is:

$$\chi_{\mu, k, m}(q, \lambda, w) = \text{Tr} \left( T_{\mu, k, m}(z) q^{2\lambda + 2w\rho} \right)$$

$$\binom{n+1}{0} q^{-\binom{n-1}{0}}$$

Def (EK 95)

The affine Macdonald poly is

$$J_{\mu, k, m}(q, \lambda, w) = \frac{\chi_{\mu, k, m}(q, \lambda, w)}{\chi_{0, 0, m}(q, \lambda, w)}$$

$$n + (m-1)\tilde{p}$$

$$\tilde{p} \otimes W_{m-1}(z)$$

(1.0.1)

- indep of  $z$
- scalar valued
- formal series in  $q^{-2w}$

$$= \text{Tr} \left( \sum_{\mu, k, m} \tilde{J}_{\mu, k, m}(z) q^{2\lambda + 2w\mu} \right)$$



## 2. Affine Mac conj

### Trig conjectures

Denom

$$\text{Tr}(\Phi_{\alpha} q^{2\lambda})$$

$$= q^{2(m-1)(p,\lambda)} \prod_{i=1}^{m-1} \prod_{\alpha > 0} \pi$$

$$(1 - q^{-2(\alpha, \lambda) + 2i})^{\text{mult}(\alpha)}$$

Evaluation

$$P_{\mu}(q^{2mp}; q^2, q^{2m})$$

$$= q^{2m(\mu, \rho)} \prod_{i=0}^{m-1} \prod_{\alpha > 0} \pi \frac{(1 - q^{-2(\alpha, \mu + m\rho) - 2i})^{\text{mult}(\alpha)}}{(1 - q^{-2(\alpha, \mu\rho) - 2i})^{\text{mult}(\alpha)}}$$

$$(a; q) = \prod_{n \geq 0} (1 - q^{a+n})$$

(orig) (Rains-S. - Virchouk)

$$\chi_{0,0,m}(q, \lambda, \omega)$$

$$= q^{2(m-1)(\lambda, \lambda)} \prod_{i=1}^{m-1} \prod_{\alpha \geq 0} (1 - q^{-2(\lambda, \lambda + \omega) + 2\alpha})^{\text{mult}(\alpha)}$$

$$\cdot \Delta_m(q, q^{-2\omega})$$

with

$$\Delta_m(q, q^{-2\omega}) = \prod_{i=1}^{m-1} \frac{(q^{-2\omega+2i}, q^{-2\omega})}{(q^{-2\omega+2ni}, q^{-2\omega})}$$

•  $n=2, m=?$ , can prove

•  $n=2, 3 \leq m \leq 17$ , check on comp to first order

$n=3, 2 \leq m \leq 3$



Conj (Riemann-Siegel)  $\zeta(s)$

$\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$

For  $|q| > 1$ , we have:

$$J_{k,m}(q, mp, mn) = q^{z(n,mp)} \prod_{i=0}^{m-1} \prod_{\alpha=0}^{m-1} \left( \frac{1 - q^{-z(n,mp) + k\alpha + m\beta}}{1 - q^{-z(n,mp) - 2i}} \right)^{m+k}$$

$$\prod_{i=1}^{m-1} \frac{(q^{-2i}, q^{-2i(k+m)})}{(q^{-2mi}, q^{-2(k+m)})} \prod_{i=1}^{m-1} \frac{(q^{-2ni}, q^{-2mn})}{(q^{-2i}, q^{-2mn})}$$

$$\left( \frac{1 - q^{-z(n,mp) + k\alpha + m\beta}}{1 - q^{-z(n,mp) - 2i}} \right)^{m+k}$$

$\frac{q^{-2i}}{1 - q^{-2i}}$   
 $\frac{q^{-2ni}}{1 - q^{-2ni}}$

Can prove for  $n=2, m=2$

exp to first order  
 $\ln q^{-2i}$



# Conj (Rama-S-Varchenko)

mult(2) For  $|q| > 1$ , we have

$$J_{n,k,m}(q, m\vec{p}, mn) = q^{z(\mu, m\vec{p})} \prod_{i=0}^{m-1} \prod_{\alpha \in \Delta} \left( \frac{1 - q^{-z(\alpha, \mu + k\Lambda_0 + m\vec{p}) - 2i}}{1 - q^{-z(\alpha, m\vec{p}) - 2i}} \right)^{m + H(\alpha)}$$

$$\prod_{i=1}^{m-1} \frac{(q^{-2i}, q^{-2(k+mn)})}{(q^{-2ni}, q^{-2(k+mn)})} \prod_{i=1}^{m-1} \frac{(q^{-2ni}, q^{-2mn})}{(q^{-2i}, q^{-2mn})}$$

Can prove for  $n=2, m=2$ .

## Limits of the conj

Trig.  $q^{-2w} \rightarrow 0 \Rightarrow$  indep of  $t$   
get trig Mac conj.

Classical.  $q = e^\varepsilon, \lambda = \varepsilon^{-1}\Lambda, w = \varepsilon^{-1}\Omega, \varepsilon \rightarrow 0$ .

• aff Mac poly  $\rightarrow$  aff Jack poly.  
limit of deusn holds

$$(a; q) = \prod_{n \geq 0} (1 - aq^n)$$

$$(a; q, r) = \prod_{n, m \geq 0} (1 - aq^n r^m)$$

$$\chi_{0,0,m}(q, r, \infty)$$

$$= q^{2(m-1)} (q, r)$$

$$\Delta_m(q, r)$$

with

$$\Delta_m(q, r, \infty)$$

Affine Hall limit:  $q \rightarrow 0$ ,  $q^m, q^k, q^w$  constant

$$\Delta_m(q, q^{-2w}) = \Delta(q, q^m, q^{-w})$$

$$\text{where } \Delta(q, t, p) = \frac{(p^2 q^2; p^2, q^2)(p^2 t^{2n}; p^2, q^2)}{(p^2 t^2; p^2, q^2)(p^2 q^{2n}; p^2, q^2)}$$

$$\Rightarrow \lim_{q \rightarrow 0} \Delta(q, t, p) = \frac{(p^2 t^{2n}; p^2)}{(p^2 t^2; p^2)} =: \Delta^{\text{Mac}}(t, p)$$

Prop. Let  $W_{\text{aff}}$  be affine Weyl grp of  $\widehat{\mathfrak{sl}}_n$

$$\text{Then } \Delta^{\text{Mac}}(t, p) = \frac{1}{W_{\text{aff}}(t^2)} \sum_{w \in W_{\text{aff}}} w \left( \frac{\prod_{\lambda \in \Phi^+} (1 - t^{2(\lambda, \lambda) + 2} p^{2(\lambda, \lambda)})}{\prod_{\lambda \in \Phi^+} (1 - t^{2(\lambda, \lambda)} p^{2(\lambda, \lambda)})} \right)^{\text{mult}(\lambda)}$$

$$\text{and } W_{\text{aff}}(t^2) = \sum_{w \in W_{\text{aff}}} t^{2l(w)}$$

Def (EK 95)

The affine Macdonald

$$J_{\mu, k, m}(q, \lambda, u) = \dots$$

- indep of  $\varepsilon$
- scalar valued

formal series in

$q^k, q^\omega$  constant.

$$\frac{(p^z, q^z)(p^{2z}, q^{2z})}{(p^z, q^z)(p^{2z}, q^{2z})}$$

Muc  $\Delta$

$$\frac{z(d, \lambda)^{m+H(d)}}{p}$$

$$\frac{z(d, \lambda)^{m+H(d)}}{p}$$

### 3. Felder-Varchenko fus

Def. The FV fn for  $\hat{sl}_2$  and 3-dim rep is

$$u(\lambda, \mu, \tau, \sigma, \eta) = e^{-\frac{\pi i \eta}{2\pi}} \int_{\gamma} \Omega_{2\eta}(t; \tau, \sigma) \frac{\theta(t+\lambda, \tau)}{\theta(t-2\eta, \tau)} \frac{\theta(t+\mu, \sigma)}{\theta(t-2\eta, \sigma)} dt$$

$$u | \Omega_{2\eta}(t; \tau, \sigma) = \frac{\Gamma(t+2\eta, \tau, \sigma)}{\Gamma(t-2\eta, \tau, \sigma)}$$

$$u | \Gamma(z; \tau, \sigma) = \frac{\Gamma(\tau+\sigma-z, \tau, \sigma)}{\Gamma(z; \tau, \sigma)}$$

$$(1 - q^{-2(d, \lambda) + 2z})^{mult}$$

$$= q^{2m(\mu, \rho)} \prod_{i=0}^{m-1} \prod_{q > 0}$$

$$\hat{\Delta}_{\mu, k}(\lambda, \tau, \eta)$$

$$= \binom{\cdot}{\cdot} \int_{\gamma}$$

$$\Omega_{2\eta} \left( \begin{matrix} t: \tau, -2\eta k \\ \theta(t+\lambda, \tau) \theta(t+2\eta\mu; -2\eta k) \\ \theta(t-2\eta, \tau) \theta(t-2\eta, -2\eta k) \end{matrix} \right)$$

$$\theta_0 \left( \frac{1}{2} + \mu\tau + k\tau - k\lambda + 2t + 2k\tau \right) dt$$

Def (EV '04)

Elliptic Mac poly  
at  $t=q^2$

$$\Delta_{\mu, k}(\lambda, \tau, \eta) = \hat{\Delta}_{\mu, k}(\lambda, \tau, \eta) - \hat{\Delta}_{\mu, k}(-\lambda, \tau, \eta)$$

$$P_{\mu, k}(\lambda, \tau, \eta) = \left( \frac{\Delta_{\mu+2, k}(\lambda, \tau, \eta)}{\theta(\lambda-2\eta, \tau) \theta(\lambda, \tau) \theta(\lambda+2\eta, \tau)} \right)$$

Thm (S. '16)

In certain numerical range:

$$J_{\mu, k, z}(q, \lambda, \eta)$$

$$= \binom{\cdot}{\cdot} P_{\mu, k}(2\eta\lambda, -2\eta\eta, \eta)$$

1. Reduce to trace over Verma

$$q^{z(\mu, mp)} \prod_{i=0}^{m-1} \prod_{\alpha \in \Lambda} \left( \frac{1 - q^{-z(\mu, \alpha + m\vec{\rho}) - 2i}}{1 - q^{-z(\mu, \vec{\rho}) - 2i}} \right)^{\text{mult}(\alpha)}$$

$$\prod_{i=1}^{m-1} \frac{(q^{-2ni}, q^{-2mn})}{(q^{-2i}, q^{-2mn})}$$

Step 1:  $\Psi_{\mu, k}(z) \cdot M_{\mu+k\Lambda_0} \rightarrow M_{\mu+k\Lambda_0} \otimes W_{m-1}(z)$

Def.  $T(q, \lambda, \mu, k) = \text{Tr}(\Psi_{\mu, k}(z) q^{z\lambda + iad})$

Thm'  $T$  is a renormalization of  $\chi$ .

Thm (Matsuo '94)

$$M_{2\mu+k\Lambda_0} =$$

$$F_{\mu, s, s} = F_{\mu, \beta} \otimes F_{s, \alpha} \otimes F_{s, I}$$

$$\prod_{s=0}^{\infty} \left( \frac{1 - q^{-2(\lambda_{\mu+k\Lambda_0 + m\vec{\beta}}) - 2i} \text{mult}(L)}{1 - q^{-2(\lambda_{\mu\vec{\beta}}) - 2i}} \right)$$

Step 1:  $\Psi_{\mu, k}(\tilde{z}) \cdot M_{\mu+k\Lambda_0} \rightarrow M_{\mu+k\Lambda_0} \otimes W_{m-1}(\tilde{z})$

Def:  $T(q, \lambda, \omega, \mu, k) = \text{Tr} \left( \Psi_{\mu, k}(\tilde{z}) q^{2\lambda + 2\omega d} \right)$

Thm:  $T$  is a renormalization of  $\chi$ .

Thm (Matsuo '94)

$$M_{2\mu+k\Lambda_0} = \ker \left( \bigoplus_{s \in \text{supp } \vec{\beta}} F_{\mu, s, s} \right)$$

$$F_{\mu, s, s} = F_{\mu, \beta} \otimes F_{s, \alpha} \otimes F_{s, \mathbb{I}}$$

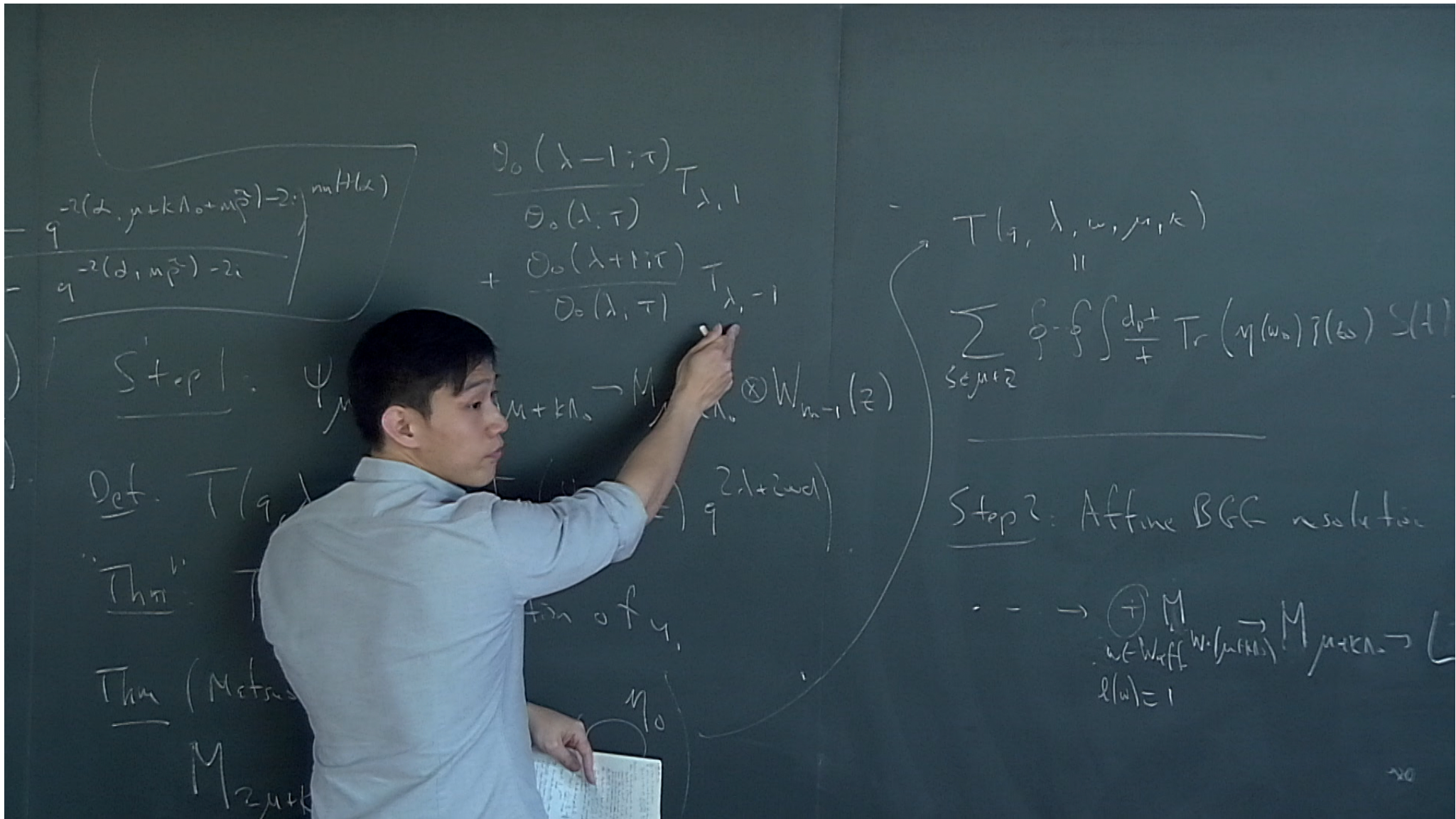
$$T(q, \lambda, \omega, \mu, k)$$

$$\sum_{s \in \text{supp } \vec{\beta}} \oint \frac{d\omega}{\omega} \text{Tr} \left( \eta(\omega) \tilde{T}(\omega) \zeta(\tilde{z}) [\mathcal{A}(\tilde{z}), X^{\tilde{z}}] \right)$$

Step 2: Affine BGG resolution

$$\dots \rightarrow \bigoplus_{\substack{w \in W_{\text{aff}} \\ \ell(w)=1}} M_{\mu+k\Lambda_0} \rightarrow L_{\mu+k\Lambda_0}$$





$$\frac{\theta_0(\lambda - i\tau)}{\theta_0(\lambda, \tau)} T_{\lambda, 1}$$

$$+ \frac{\theta_0(\lambda + i\tau)}{\theta_0(\lambda, \tau)} T_{\lambda, -1}$$

$$T(q, \lambda, \omega, \mu, \kappa)$$

$$\sum_{S \in \mu + \tau} \oint \frac{d\omega}{\omega} T_r(\eta(\omega_0)) \zeta(\omega) S(\tau)$$

Step 1:  $\Psi_{M, \mu + \kappa \tau} \rightarrow M_{\mu + \kappa \tau} \otimes W_{m-1}(z)$

Def.  $T(q, \lambda, \omega, \mu, \kappa) = \dots$

Thm.  $\dots$

Thm (Matsuo)  $\dots$

Step 2: Affine BGC resolution

$$\dots \rightarrow \bigoplus_{\omega \in W_{\text{aff}}} M_{\mu + \kappa \tau} \rightarrow L$$

$\ell(\omega) = 1$

$$q^{-2(\lambda, \mu + k\Lambda_0 + m\vec{\beta}) - 2} \rightarrow mH(\lambda)$$

$$q^{-2(d, m\vec{\beta}) - 2}$$

q-Lamé

$$\frac{\theta_0(\lambda - 1; \tau)}{\theta_0(\lambda; \tau)} T_{\lambda, 1}$$

$$+ \frac{\theta_0(\lambda + 1; \tau)}{\theta_0(\lambda; \tau)} T_{\lambda, -1}$$

$$T(q, \lambda, \omega, \mu, k)$$

$$\sum_{S \in \mu + \tau} \oint \frac{d\omega}{z} \text{Tr}(\eta(\omega_0) \gamma(\omega) S(z))$$

Step 1:  $\Psi_{\mu, k}(z) = M_{\mu+k\Lambda_0} \rightarrow M_{\mu+k\Lambda_0} \otimes W_{m-1}(z)$

Def:  $T(q, \lambda, \omega, \mu, k) = \text{Tr}(\Psi_{\mu, k}(z) q^{2\lambda + 2\omega d})$

Thm:  $T$  is a renormalization of  $\eta$ .

Thm (Matsuo '94)

$$M_{2\mu+k\Lambda_0} = \ker \left( \bigoplus_{S \in \mu + \tau} T_{\mu, S, S} \right) \eta_0$$

Step 2: Affine BGC resolution

$$\rightarrow \bigoplus_{\substack{\omega \in W_{\text{aff}} \\ \ell(\omega) = 1}} M_{\mu+k\Lambda_0} \rightarrow L$$