Title: Quantum Computation and the Foundations of Computational Complexity Theory

Date: Mar 28, 2017 03:30 PM

URL: http://pirsa.org/17030046

Abstract: Computational complexity theory is a branch of computer science dedicated to classifying computational problems in terms of their difficulty. While computability theory tells us what we can compute in principle, complexity theory informs us regarding what is feasible. In this chapter I argue that the science of quantum computing illuminates the foundations of complexity theory by emphasising that its fundamental concepts are not model-independent. However this does not, as some have suggested, force us to radically revise the foundations of the theory. For model-independence never has been essential to those foundations. The fundamental aim of complexity theory is to describe what is achievable in practice under various models of computation for our various practical purposes. Reflecting on quantum computing illuminates complexity theory by reminding us of this, too often under-emphasised, fact.

Pirsa: 17030046 Page 1/66

Quantum Computation and the Foundations of Computational Complexity Theory

Michael Cuffaro, mcuffar@uwo.ca Rotman Institute of Philosophy

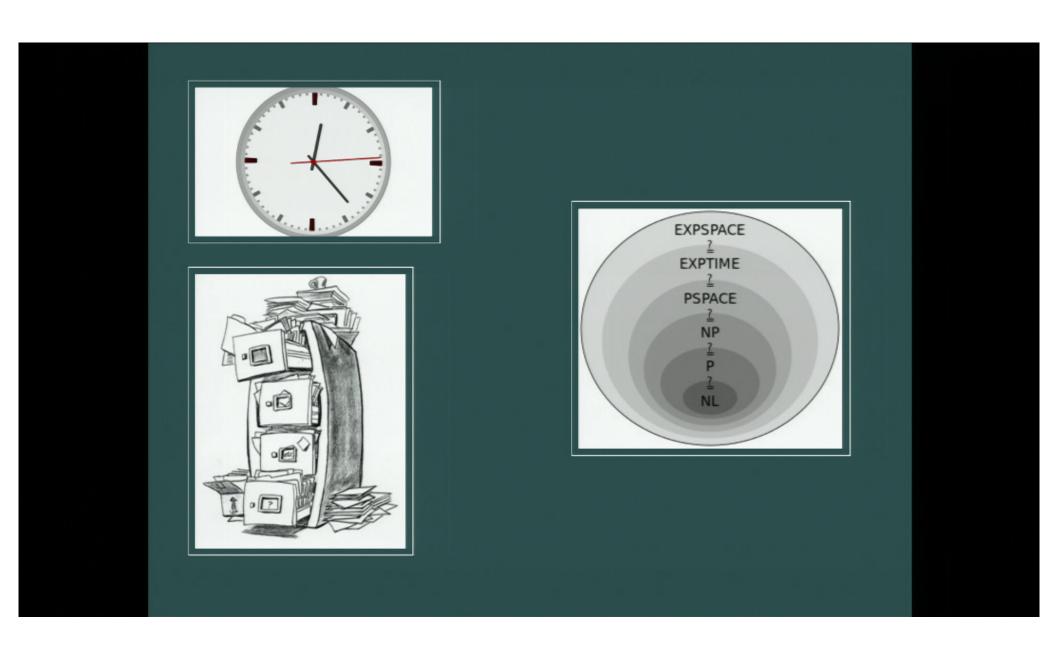
Preprint available: http://philsci-archive.pitt.edu/12818/

Pirsa: 17030046 Page 2/66

## Computability vs. Computational Complexity



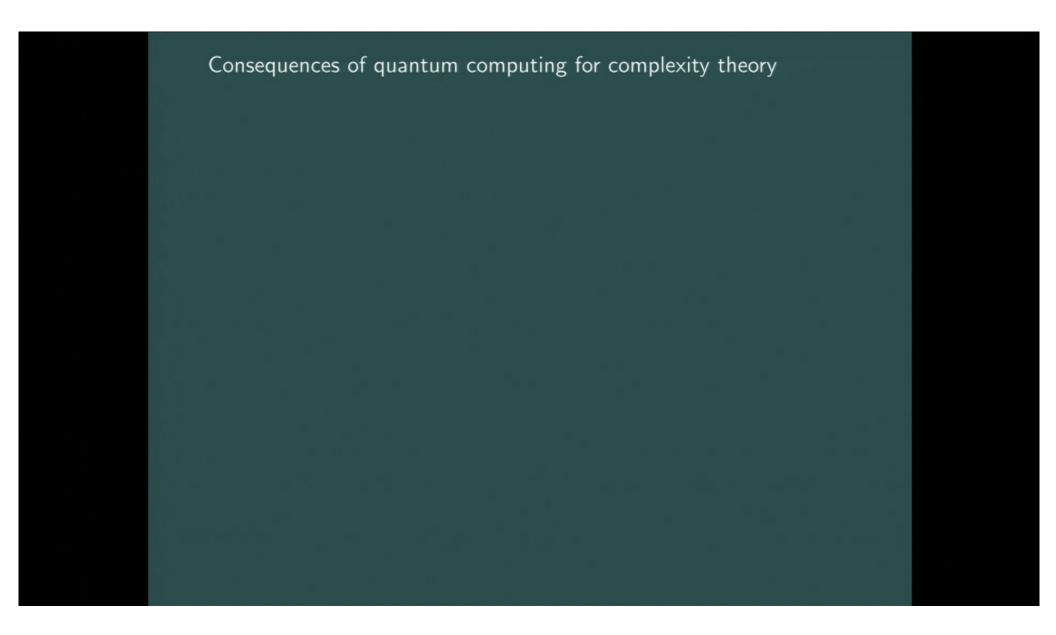
Pirsa: 17030046 Page 3/66



Pirsa: 17030046 Page 4/66



Pirsa: 17030046 Page 5/66



Pirsa: 17030046 Page 6/66

Consequences of quantum computing for complexity theory

- "we must re-examine the foundations of computational complexity theory" (Bernstein and Vazirani 1997, p. 1412).
- "To my mind, the strongest implication is on the autonomous character of some of the theoretical entities used in computer science" (Hagar 2007, 244)

QC does not overturn the foundations of CCT.

CCT is a practical science.

- Model-independence is not a foundation for CCT.
- QC reminds us of this.

Pirsa: 17030046 Page 7/66

Consequences of quantum computing for complexity theory

- "we must re-examine the foundations of computational complexity theory" (Bernstein and Vazirani 1997, p. 1412).
- "To my mind, the strongest implication is on the autonomous character of some of the theoretical entities used in computer science" (Hagar 2007, 244)

QC does not overturn the foundations of CCT.

CCT is a practical science.

- Model-independence is not a foundation for CCT.
- QC reminds us of this.

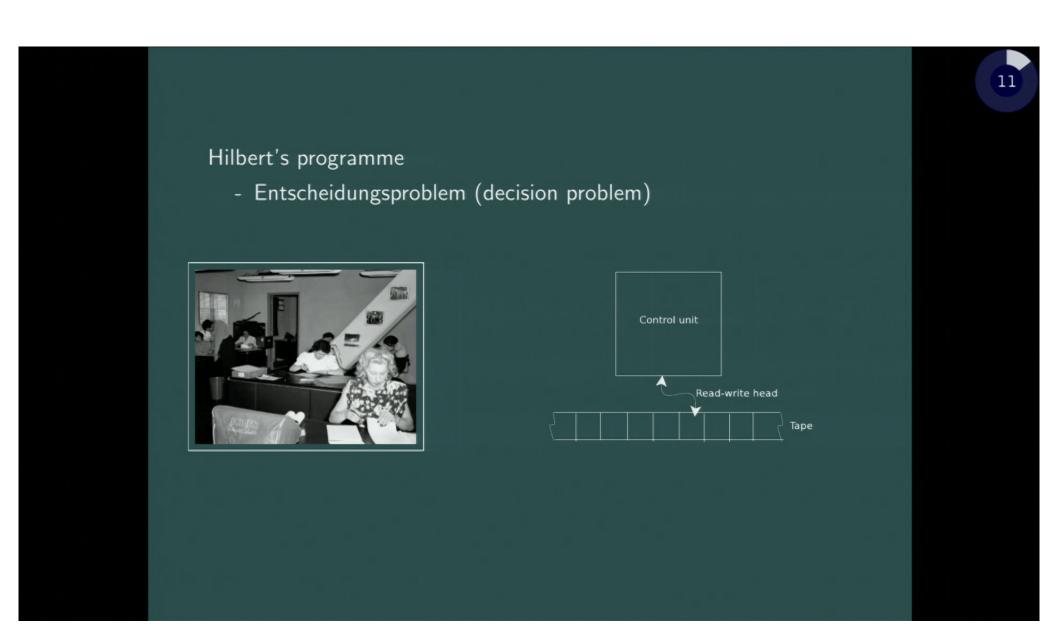
Science does not (always) progress through the absolute identification of "fundamental entities."

- Often built upon pragmatically justified foundations and conceptual structures.

Pirsa: 17030046 Page 8/66

# Hilbert's programme - Entscheidungsproblem (decision problem)

Pirsa: 17030046 Page 9/66



Pirsa: 17030046 Page 10/66

# Entscheidungsproblem: • Find an effective method ...

Pirsa: 17030046 Page 11/66

## Entscheidungsproblem:

• Find an effective method ...

Gödel 1956: "Dear Mr. von Neumann ..."

•  $\phi(n)$  : steps needed (worst case) to decide if  $\phi$  has a proof of length n.

Pirsa: 17030046 Page 12/66

### Entscheidungsproblem:

• Find an effective method ...

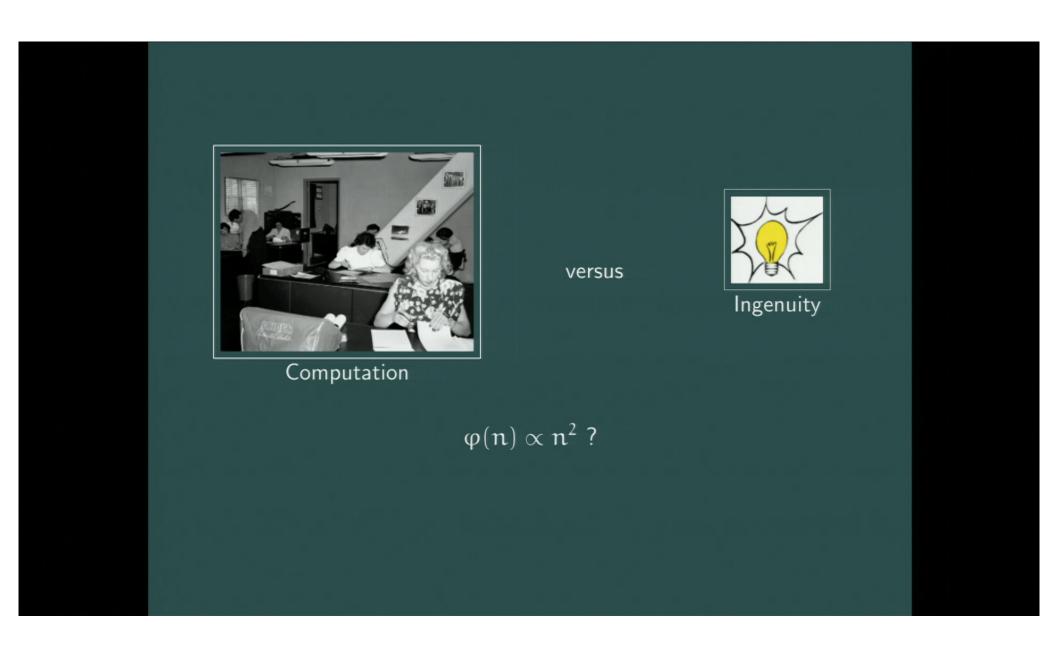
Gödel 1956: "Dear Mr. von Neumann ..."

•  $\phi(n)$  : steps needed (worst case) to decide if  $\phi$  has a proof of length n.

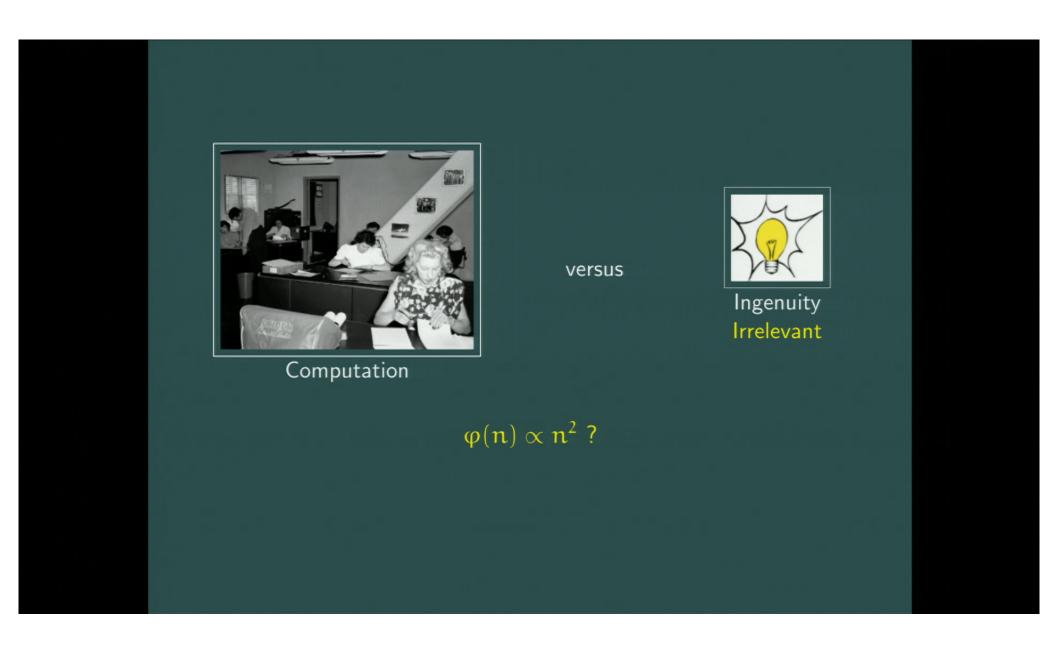
$$\varphi(n) \propto n^2$$
 ?

"... it would clearly indicate that, despite the unsolvability of the Entscheidungsproblem, the mental effort of the mathematician in the case of yes-or-no questions could be completely replaced by machines."

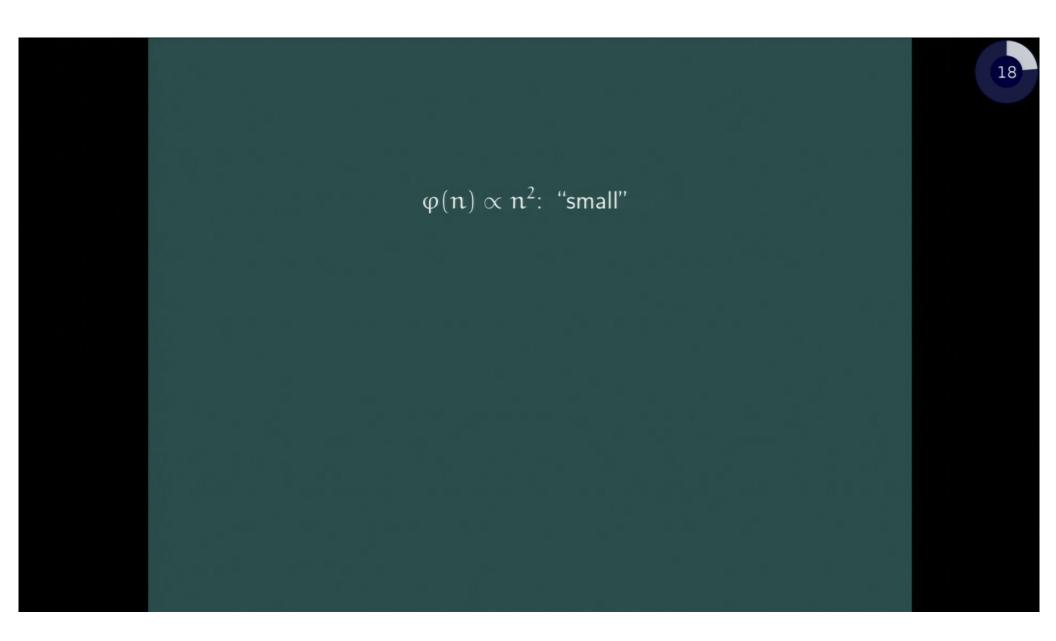
Pirsa: 17030046 Page 13/66



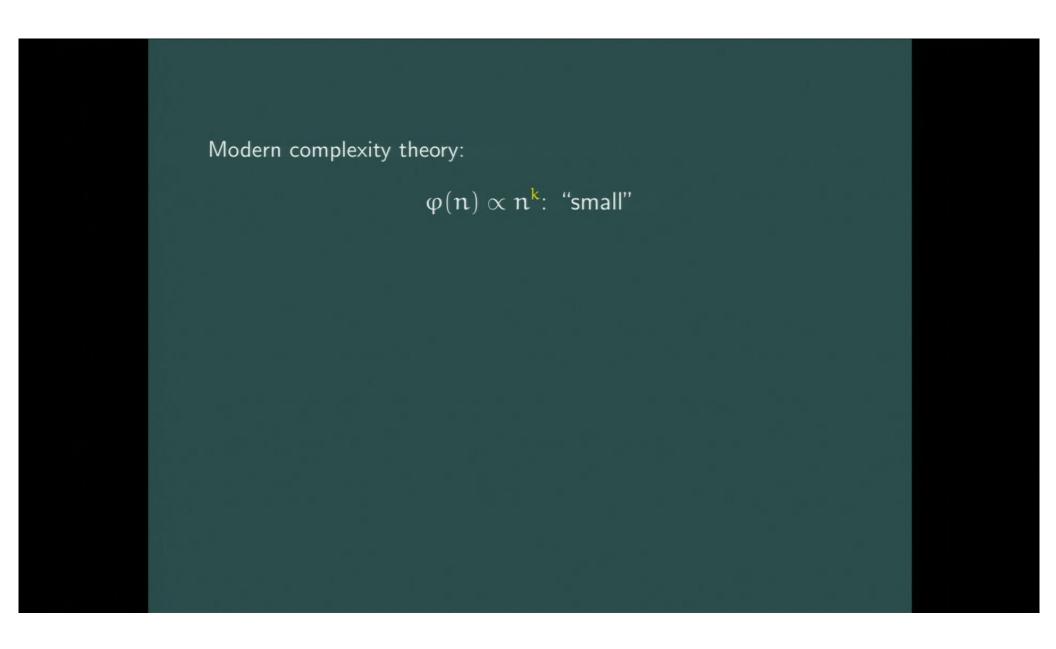
Pirsa: 17030046 Page 14/66



Pirsa: 17030046 Page 15/66



Pirsa: 17030046 Page 16/66



Pirsa: 17030046 Page 17/66

### Modern complexity theory:

 $\phi(n) \propto n^k$ : "small"

Solvable in a "small" (polynomial) number of steps:

- "efficiently solvable"
- "tractable"
- "feasible"
- "easy"
- etc.

Pirsa: 17030046 Page 18/66

Motivation:  $n^2$  steps are "small".

```
mySubProcedure1(fanny) {
    // an efficient subroutine (requires a ''small'' number of steps)
}

mySubProcedure2(mae) {
    // an efficient subroutine (requires a ''small'' number of steps)
}

myProgram(fanny, mae) {
    mySubProcedure1(fanny);
    mySubProcedure2(mae);
}
```

Programmer's intuition: myProgram is also an efficient subroutine.

Pirsa: 17030046 Page 19/66

Motivation:  $n^2$  steps are "small".

```
mySubProcedure1(fanny) {
    // an efficient subroutine (requires a ''small'' number of steps)
}

mySubProcedure2(mae) {
    // an efficient subroutine (requires a ''small'' number of steps)
}

myProgram(fanny, mae) {
    mySubProcedure1(fanny);
    mySubProcedure2(mae);
}
```

Programmer's intuition: myProgram is also an efficient subroutine.

Formally: closure under composition.

mySubProcedure1 solvable in poly(n) steps.

mySubProcedure2 solvable in poly(n) steps.

 $\Rightarrow$  myProgram solvable in poly(n) steps.

Pirsa: 17030046 Page 20/66



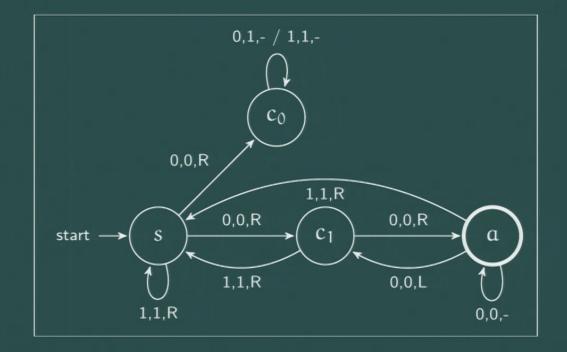
Pirsa: 17030046 Page 21/66

**P**:  $O(n^c)$  steps to compute with certainty · Efficiently computable (deterministic TM) **NP**:  $O(n^c)$  steps to verify with certainty · Efficiently verifiable (deterministic TM)

Pirsa: 17030046 Page 22/66

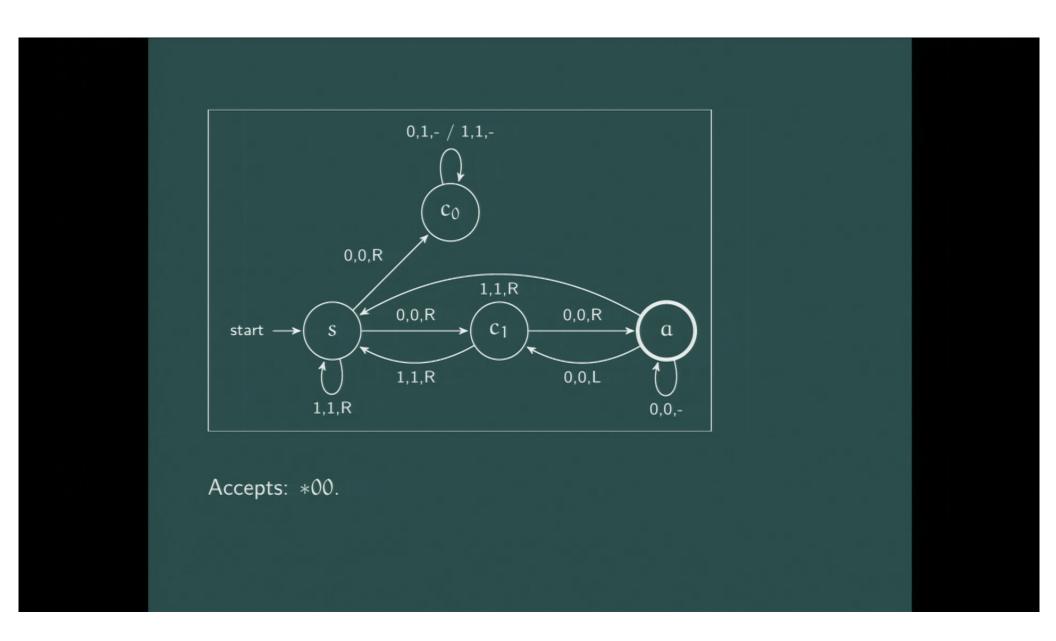
**P**:  $O(n^c)$  steps to compute with certainty · Efficiently computable (deterministic TM) **NP**:  $O(n^c)$  steps to verify with certainty · Efficiently verifiable (deterministic TM) · Efficiently computable (nondeterministic TM)

Pirsa: 17030046 Page 23/66



Accepts: \*00.

Pirsa: 17030046 Page 24/66



Pirsa: 17030046 Page 25/66

**P**:  $O(n^c)$  steps to compute with certainty

Efficiently computable (deterministic TM)

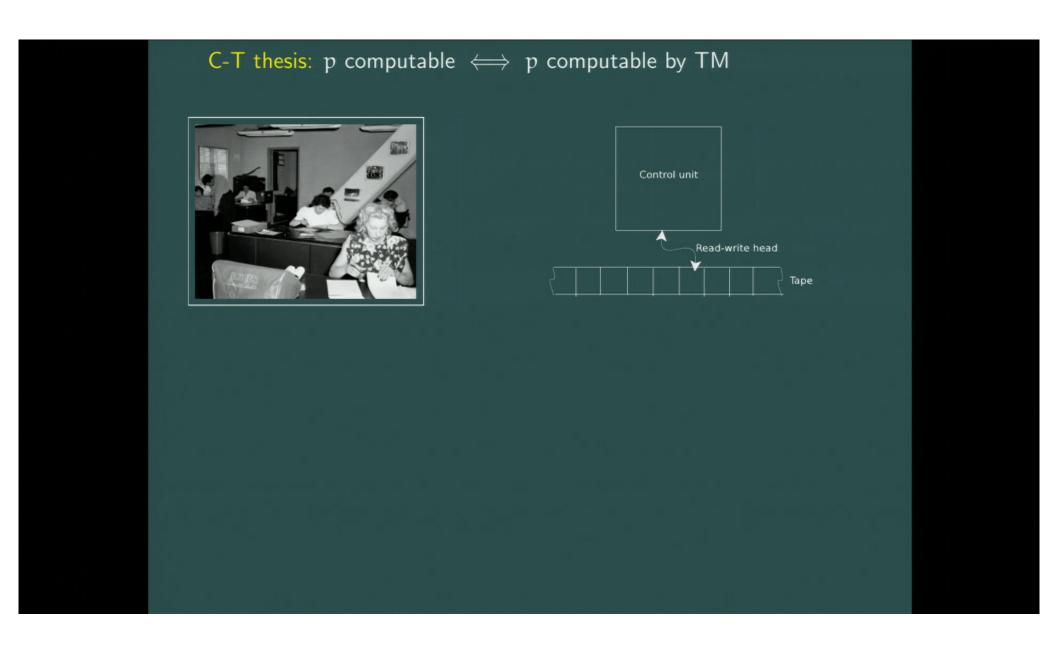
**NP**:  $O(n^c)$  steps to verify with certainty

- · Efficiently verifiable (deterministic TM)
- · Efficiently computable (nondeterministic TM)

**BPP**:  $O(n^c)$  steps to compute, with  $Pr_{correct} > 2/3$ .

· Efficiently computable (probabilistic TM)

Pirsa: 17030046 Page 26/66



Pirsa: 17030046 Page 27/66

C-T thesis: p computable  $\iff p$  computable by TM "Strong C-T thesis": p efficiently computable  $\iff p \subseteq \mathbf{BPP}$ 

Pirsa: 17030046 Page 28/66

C-T thesis: p computable  $\iff$  p computable by TM Universality thesis: p efficiently computable  $\iff p \subseteq \mathbf{BPP}$  $\bigcup \mathsf{Poly}_{\mathfrak{M}} = \mathsf{BPP}.$ 

Pirsa: 17030046 Page 29/66

C-T thesis: p computable  $\iff$  p computable by TM

Universality thesis: p efficiently computable  $\iff p \subseteq \mathbf{BPP}$ 

$$\bigcup \mathsf{Poly}_{\mathfrak{M}} = \mathsf{BPP}.$$

Invariance thesis:  $\forall_{i,j} M_i \stackrel{poly}{\sim} M_j$ 

Pirsa: 17030046 Page 30/66

C-T thesis: p computable  $\iff$  p computable by TM

Universality thesis: p efficiently computable  $\iff p \subseteq \mathbf{BPP}$ 

$$\bigcup \mathsf{Poly}_\mathfrak{M} = \mathsf{BPP}.$$

Invariance thesis:  $\forall_{i,j} M_i \stackrel{poly}{\sim} M_j$ 

**BQP**:  $O(n^c)$  steps to compute with a <u>quantum</u> computer, with  $Pr_{correct} > 2/3$ .

Pirsa: 17030046 Page 31/66

C-T thesis: p computable  $\iff$  p computable by TM

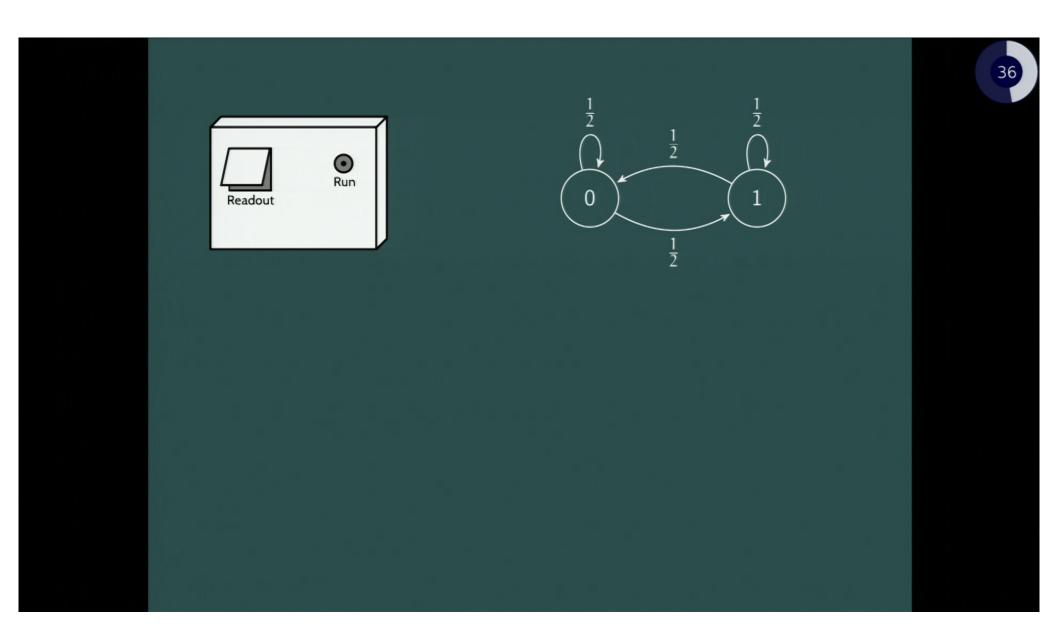
Universality thesis: p efficiently computable  $\iff p \subseteq \mathbf{BPP}$ 

$$\bigcup \mathsf{Poly}_\mathfrak{M} = \mathsf{BPP}.$$

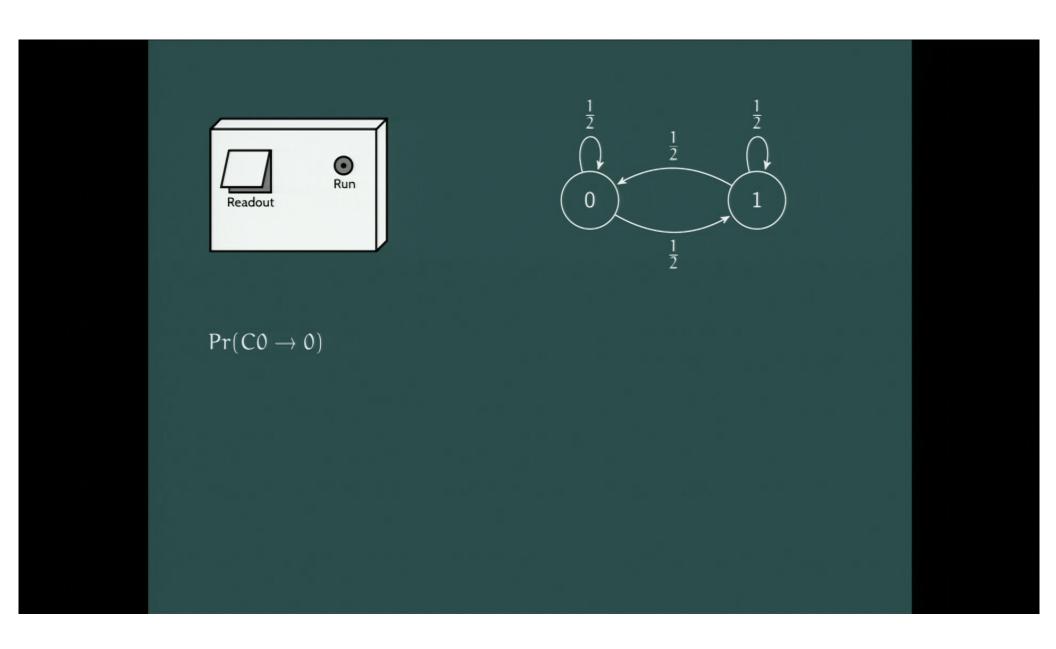
Invariance thesis:  $\forall_{i,j} M_i \stackrel{poly}{\sim} M_j$ 

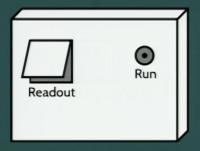
**BQP**:  $O(n^c)$  steps to compute with a <u>quantum</u> computer, with  $Pr_{correct} > 2/3$ .

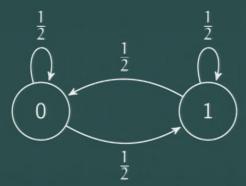
- $\cdot \ \mathbf{BPP} \subseteq \mathbf{BQP} \quad \checkmark$
- $+ BPP \subseteq BQP$ ?



Pirsa: 17030046 Page 33/66



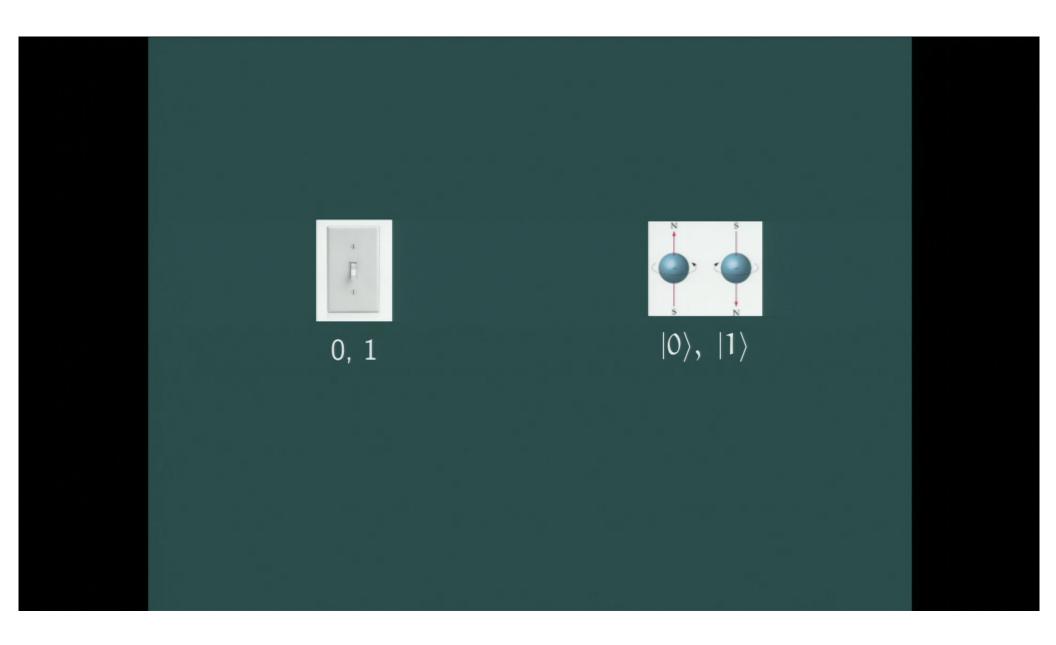




$$Pr(C0 \rightarrow 0) = Pr(C0 \rightarrow 1) = Pr(C1 \rightarrow 0) = Pr(C1 \rightarrow 1) = \frac{1}{2}$$

$$\begin{array}{rcl} Pr(C^20 \rightarrow 0) &=& Pr(C0 \rightarrow 0) \times Pr(C0 \rightarrow 0) \\ && + Pr(C0 \rightarrow 1) \times Pr(C1 \rightarrow 0) = \frac{1}{2} \end{array}$$

Pirsa: 17030046 Page 35/66



Pirsa: 17030046 Page 36/66

Pirsa: 17030046 Page 37/66





$$|\psi\rangle=\alpha|0\rangle+\beta|1\rangle$$

$$|\varphi\rangle=\delta|0\rangle+\gamma|1\rangle$$

$$|0\rangle \xrightarrow{\frac{Q}{\sqrt{2}}} \underbrace{\frac{i}{\sqrt{2}}|0\rangle + \frac{1}{\sqrt{2}}|1\rangle}_{|\chi\rangle}$$

$$|1\rangle \xrightarrow{\frac{Q}{\sqrt{2}}} \underbrace{\frac{1}{\sqrt{2}}|0\rangle + \frac{i}{\sqrt{2}}|1\rangle}_{|\xi\rangle}$$

Pirsa: 17030046

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle \qquad |\phi\rangle = \delta|0\rangle + \gamma|1\rangle$$

$$|0\rangle \xrightarrow{\frac{i}{\sqrt{2}}} |0\rangle + \frac{1}{\sqrt{2}}|1\rangle$$

$$|1\rangle \xrightarrow{\frac{Q}{\sqrt{2}}} \frac{1}{\sqrt{2}}|0\rangle + \frac{i}{\sqrt{2}}|1\rangle$$

$$|1\rangle \xrightarrow{\frac{meas.}{\sqrt{2}}} 0) = |1|^2 = 1$$

$$|1\rangle = 1$$

Pirsa: 17030046



$$|\psi\rangle=\alpha|0\rangle+\beta|1\rangle$$

$$|\varphi\rangle=\delta|0\rangle+\gamma|1\rangle$$

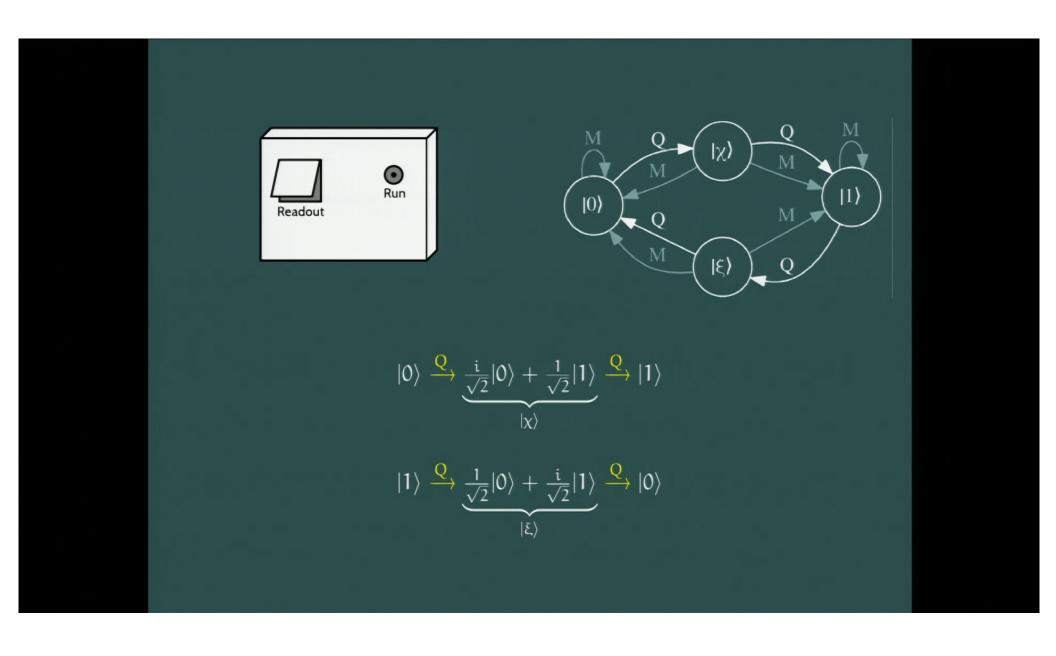
$$|0\rangle \xrightarrow{\frac{Q}{\sqrt{2}}} \underbrace{\frac{i}{\sqrt{2}}|0\rangle + \frac{1}{\sqrt{2}}|1\rangle}_{|\chi\rangle} \xrightarrow{Q} |1\rangle$$

$$|1\rangle \xrightarrow{\frac{Q}{\sqrt{2}}} \underbrace{\frac{1}{\sqrt{2}}|0\rangle + \frac{i}{\sqrt{2}}|1\rangle}_{|\xi\rangle} \xrightarrow{Q} |0\rangle$$

$$Pr(|0\rangle \xrightarrow{meas.} 0) = |1|^2 = 1$$

$$\Pr(|\chi\rangle \xrightarrow{\text{meas.}} 0) = |\frac{i}{\sqrt{2}}|^2 = \frac{1}{2}$$

Pirsa: 17030046 Page 40/66



Pirsa: 17030046 Page 41/66

$$\Pr(\mathsf{CO} \to \mathsf{O}) = \tfrac{1}{2}$$

$$\Pr(C0 \to 1) = \frac{1}{2}$$

$$\Pr(C1 \to 0) = \frac{1}{2}$$

$$Pr(C1 \to 1) = \frac{1}{2}$$

$$Pr(Q|0\rangle \xrightarrow{meas} 0) = \frac{1}{2}$$

$$Pr(Q|0\rangle \xrightarrow{meas} 1) = \frac{1}{2}$$

$$Pr(Q|1\rangle \xrightarrow{meas} 0) = \frac{1}{2}$$

$$Pr(Q|1\rangle \xrightarrow{meas} 1) = \frac{1}{2}$$

Pirsa: 17030046 Page 42/66

Page 43/66

$$\Pr(\mathsf{C0} \to \mathsf{0}) = \tfrac{1}{2}$$

$$\Pr(C0 \to 1) = \frac{1}{2}$$

$$\Pr(C1 \to 0) = \frac{1}{2}$$

$$\Pr(C1 \to 1) = \frac{1}{2}$$

$$\Pr(C^20 \to 0) = \frac{1}{2}$$

$$\Pr(C^20 \to 1) = \frac{1}{2}$$

$$\Pr(C^2 1 \to 0) = \frac{1}{2}$$

$$\Pr(C^2 1 \to 1) = \frac{1}{2}$$

$$Pr(Q|0\rangle \xrightarrow{meas} 0) = \frac{1}{2}$$

$$Pr(Q|0\rangle \xrightarrow{meas} 1) = \frac{1}{2}$$

$$Pr(Q|1\rangle \xrightarrow{meas} 0) = \frac{1}{2}$$

$$Pr(Q|1\rangle \xrightarrow{meas} 1) = \frac{1}{2}$$

$$\Pr(Q^2|0\rangle \xrightarrow{\text{meas}} 0) = 0$$

$$Pr(Q^2|0\rangle \xrightarrow{meas} 1) = 1$$

$$Pr(Q^2|1) \xrightarrow{meas} 0) = 1$$

$$\Pr(Q^2|1\rangle \xrightarrow{\text{meas}} 1) = 0$$

Pirsa: 17030046



Pirsa: 17030046 Page 44/66

Gödel 1956: "Dear Mr. von Neumann ..."

 $\phi(n)$  : steps needed (worst case) to decide if  $\phi$  has a proof of length n.

"... it would clearly indicate that, despite the unsolvability of the Entscheidungsproblem, the mental effort of the mathematician in the case of yes-or-no questions could be completely replaced by machines."

$$\varphi(n) \propto n^k$$
 ?

$$P \stackrel{?}{=} NP$$

 $\mathbf{BPP}\subseteq\mathbf{BQP}$  $BPP \subsetneq BQP$ ? Indirect evidence: - Shor's algorithm - Oracle results - etc.

Pirsa: 17030046 Page 46/66

## Universality thesis:

Any 'reasonable' model of computation can be efficiently simulated on a probabilistic Turing machine (Bernstein & Vazirani 1997, 1411).

 $\cdot$  p efficiently computable  $\iff$  p  $\subseteq$  BPP

Pirsa: 17030046 Page 47/66

(Hagar 2007, 244-5):

"To my mind, the strongest implication is on the autonomous character of some of the theoretical entities used in computer science ... given that quantum computers may be able to efficiently solve classically intractable problems, hence redescribe the space of computational complexity, computational concepts and even computational kinds such as 'an efficient algorithm' or 'the class NP', will become machine-dependent, and recourse to 'hardware' will become inevitable in any analysis of the notion of computational complexity."

### Further implications:

- Cognitive science, philosophy of mind, etc.

Pirsa: 17030046 Page 48/66

## Universality thesis:

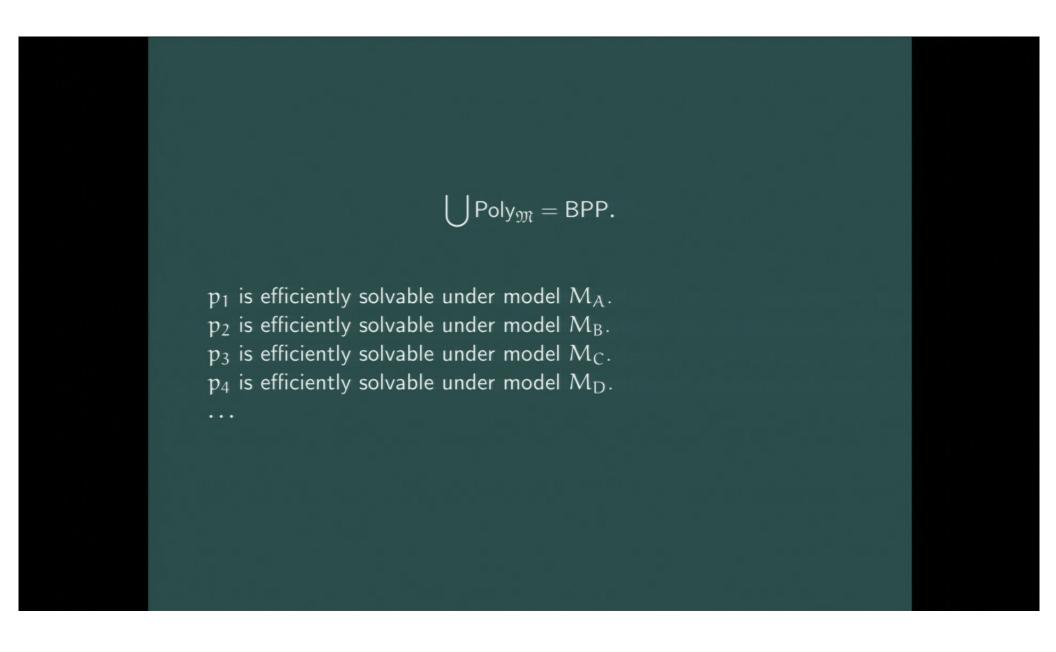
Any 'reasonable' model of computation can be efficiently simulated on a probabilistic Turing machine (Bernstein & Vazirani 1997, 1411).

• Model independence?

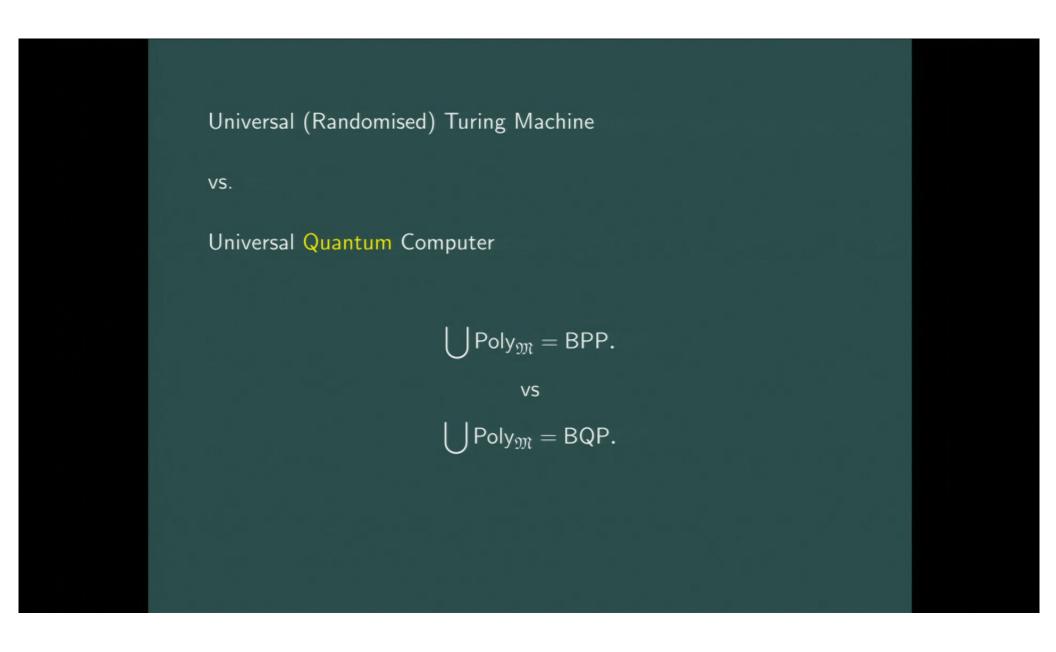
Pirsa: 17030046 Page 49/66

[The truth of the universality thesis would be] great news for the theory of computational complexity, for it implies that attention may be restricted to the probabilistic Turing machine model of computation. After all, if a problem has no polynomial resource solution on a probabilistic Turing machine, then the [universality of Turing efficiency] implies that it has no efficient solution on any computing device. Thus, the [universality of Turing efficiency] implies that the entire theory of computational complexity will take on an elegant, model-independent form if the notion of efficiency is identified with polynomial resource algorithms (Nielsen & Chuang, p. 140).

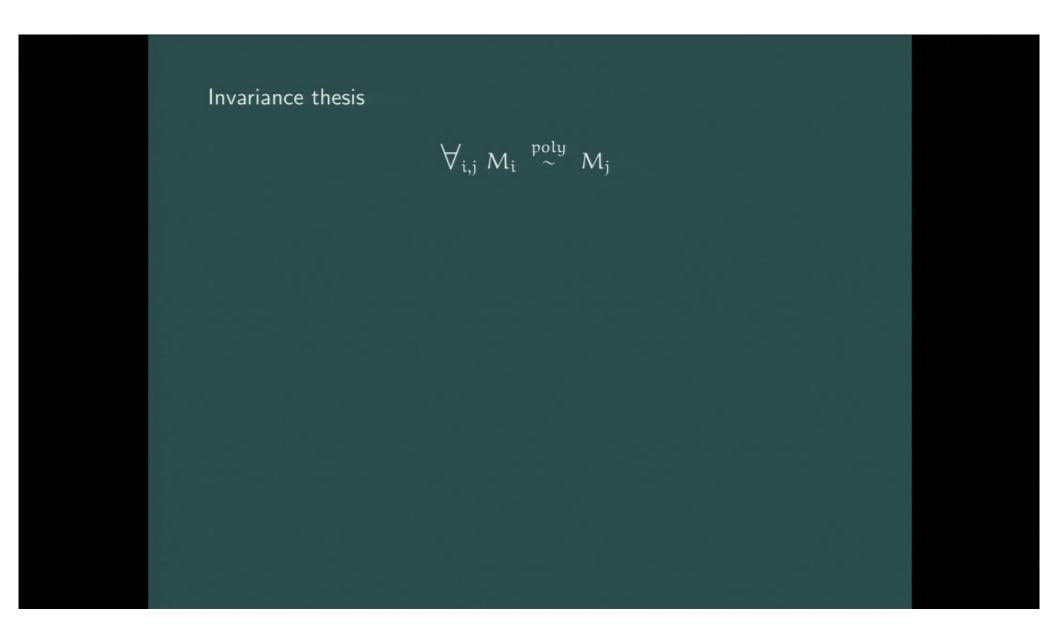
Pirsa: 17030046 Page 50/66



Pirsa: 17030046 Page 51/66



Pirsa: 17030046 Page 52/66



Pirsa: 17030046 Page 53/66

The fundamental complexity classes P and NP became part of a fundamental hierarchy: LOGSPACE, NLOGSPACE, P, NP, PSPACE, EXPTIME, ... And again theory faced the problem that each of these classes has a machine-dependent definition, and that efficient simulations are needed before one can claim that these classes are in fact machine-independent and represent fundamental concepts of computational complexity. It seems therefore that complexity theory, as we know it today, is based on the [assumption that the invariance thesis holds] (van Emde Boas 1990, p. 5).

Pirsa: 17030046 Page 54/66

# LOGSPACE, NLOGSPACE, P, NP, PSPACE, EXPTIME, ...

- Classes of languages
- $L_{primes} = \{10, 11, 101, 111, 1011, 1101, 10001, 10011, \dots\}$
- $L_{primes} \in P$

Pirsa: 17030046 Page 55/66

LOGSPACE, NLOGSPACE, P, NP, PSPACE, EXPTIME, ...

- Classes of languages
- $L_{primes} = \{10, 11, 101, 111, 1011, 1101, 10001, 10001, \dots\}$
- $L_{primes} \in P$

$$P \stackrel{?}{=} NP$$



Gödel 1956: "Dear Mr. von Neumann ..."

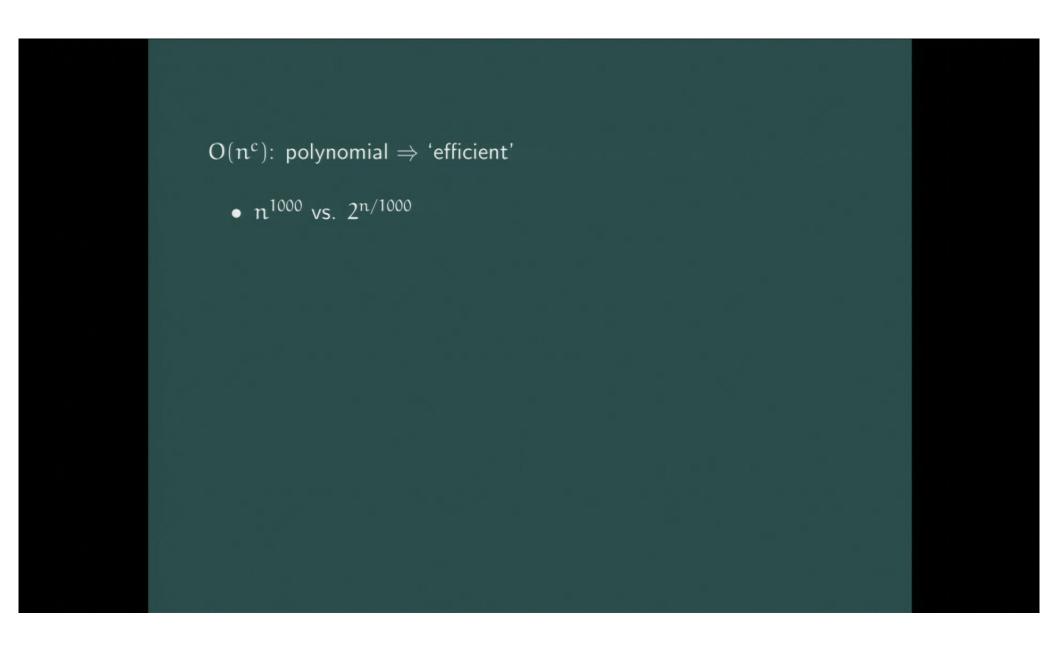
Pirsa: 17030046 Page 56/66

By no means does computational complexity "rest upon" a [universality of Turing efficiency] thesis. The goals [sic.] of computational complexity is to consider different notions of efficient computation and compare the relative strengths of these models. Quantum computing does not break the computational complexity paradigm but rather fits nicely within it. (Fortnow, 2006).

Pirsa: 17030046 Page 57/66



Pirsa: 17030046 Page 58/66



Pirsa: 17030046 Page 59/66

 $O(n^c)$ : polynomial  $\Rightarrow$  'efficient'

•  $n^{1000}$  vs.  $2^{n/1000}$ 

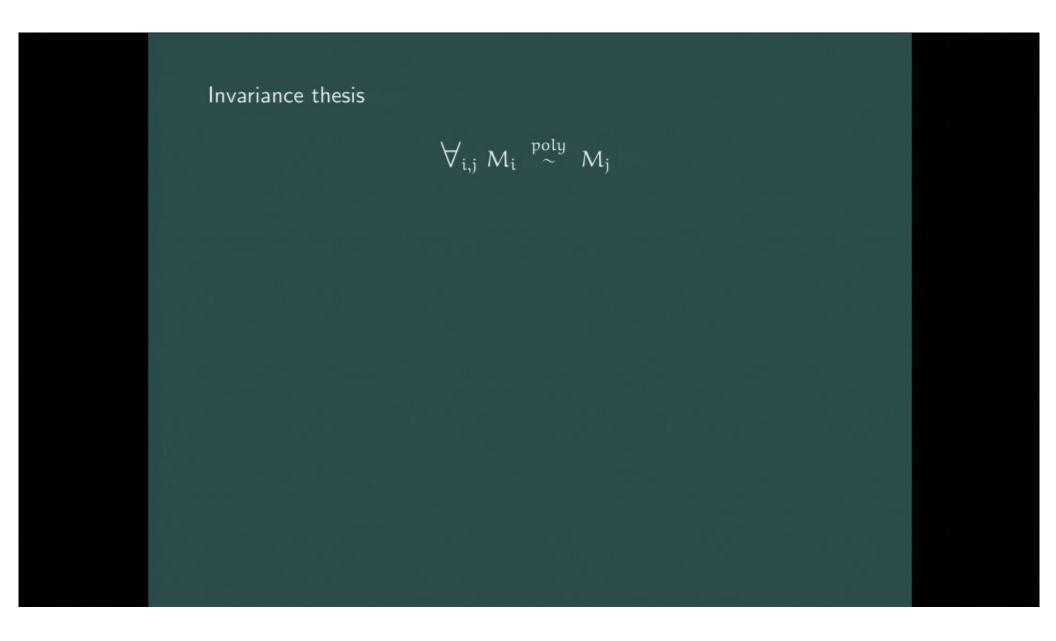
Of the big problems solvable in polynomial time—matching, linear programming, primality testing, etc.—most of them really <u>do</u> have practical algorithms. And of the big problems that we think take exponential time—theorem-proving, circuit minimization, etc.—most of them really <u>don't</u> have practical algorithms. So, that's the empirical skeleton holding up our fat and muscle. (Aaronson 2013, p. 54).

Pirsa: 17030046 Page 60/66

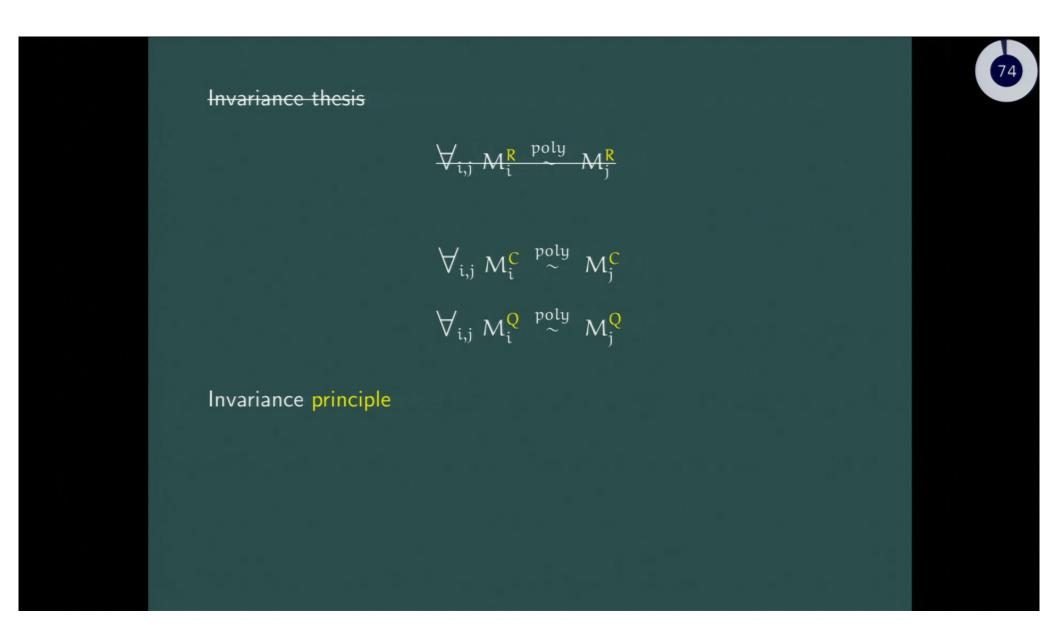
The goal of computational complexity theory.

- Model-independent characterisation of efficient computation?
- 'Practical' science
  - · Normative character
  - · Model-independence inessential

Pirsa: 17030046 Page 61/66



Pirsa: 17030046 Page 62/66



Pirsa: 17030046 Page 63/66

# **Invariance thesis** $\forall_{i,j} M_i^{R} \stackrel{poly}{\sim} M_j^{R}$ $\forall_{i,j} \ M_i^{\text{C}} \overset{\text{poly}}{\sim} \ M_j^{\text{C}}$ $\forall_{i,j} \ M_i^{\text{Q}} \overset{\text{poly}}{\sim} \ M_j^{\text{Q}}$ Invariance principle

Pirsa: 17030046 Page 64/66

### Invariance thesis

$$\forall_{i,j} M_i^{R} \stackrel{poly}{\sim} M_j^{R}$$

$$\begin{array}{cccc} \forall_{i,j} \ M_i^{\text{C}} & \stackrel{\text{poly}}{\sim} & M_j^{\text{C}} \\ \\ \forall_{i,j} \ M_i^{\text{Q}} & \stackrel{\text{poly}}{\sim} & M_j^{\text{Q}} \end{array}$$

$$\forall_{i,j} M_i^{Q} \stackrel{\text{poly}}{\sim} M_j^{Q}$$

## Invariance principle

- Methodological principle
  - · organising
  - · simplifying
  - · relative model-independence

Pirsa: 17030046 Page 65/66 The goal of computational complexity theory.

- Model-independent characterisation of efficient computation?
- 'Practical' science
  - · Normative character
  - · Model-independence inessential

Does quantum computing break the paradigm of computational complexity theory?

- No.
- It reminds us of the point of it all.

Pirsa: 17030046 Page 66/66