Title: Low energy field theories for non-Fermi liquids

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Abstract: Non-Fermi liquids are exotic metallic states which do not support well defined quasiparticles. Due to strong quantum fluctuations and the presence of extensive gapless modes near the Fermi surface, it has been difficult to understand universal low energy properties of non-Fermi liquids reliably. In this talk, we will discuss recent progress made on field theories for non-Fermi liquids. Based on a dimensional regularization scheme which tunes the number of co-dimensions of Fermi surface, critical exponents that control scaling behaviors of physical observables can be computed in controlled ways. The systematic expansion also provides important insight into strongly interacting metals. This allows us find the non-perturbative solution for the strange metal realized at the antiferromagnetic quantum critical point in 2+1 dimensions and predict the exact critical exponents.

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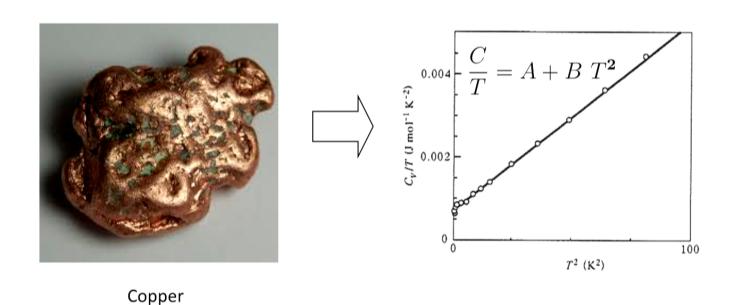
# Low Energy Effective Theories for non-Fermi liquids

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# In condensed matter physics, we aim to understand collective behaviors of many particles



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#### The microscopic theory:

$$H = \sum_{i} \frac{p_i^2}{2m} + \sum_{I} \frac{p_I^2}{2M} + \sum_{i>j} \frac{e^2}{|r_i - r_j|} + \sum_{I>J} \frac{Z^2 e^2}{|R_I - R_J|} - \sum_{i,I} \frac{Z e^2}{|R_I - r_i|}$$

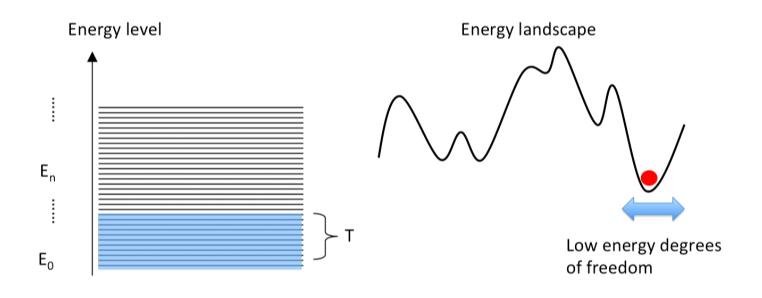
$$H|\Psi>=E|\Psi>$$

- It is in general impossible to solve the Schrodinger equation for 10<sup>23</sup> interacting particles
  - Size of Hilbert space is too big
  - The full many-body wavefunction is too complicated to be useful

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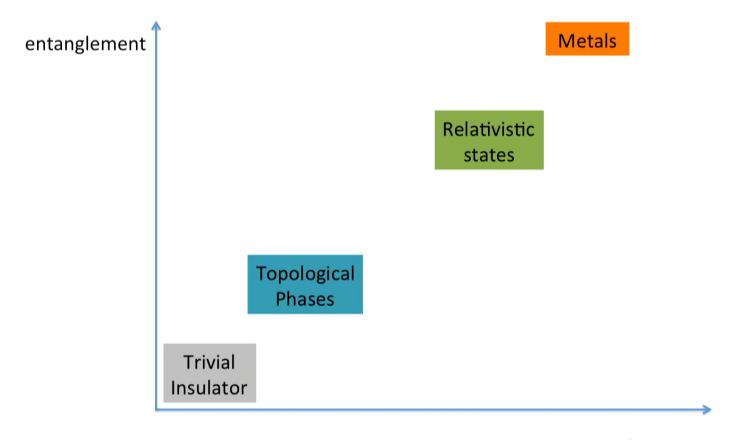
#### Useful strategies:

Capture universal low energy properties using effective theories Look for simple organizing principles that emerge dynamically



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# of IR modes

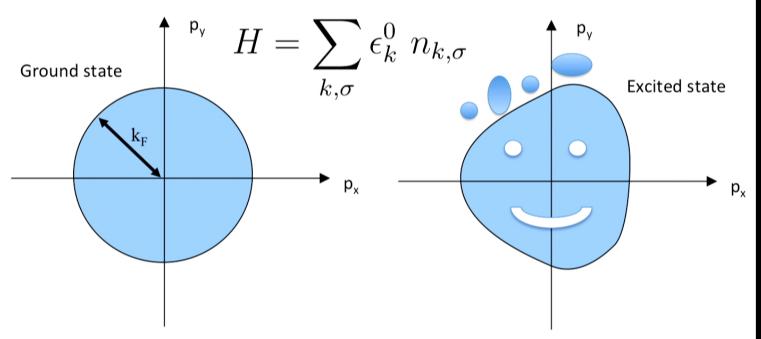
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### This talk:

Understanding universal properties of metals based on low energy effective field theories

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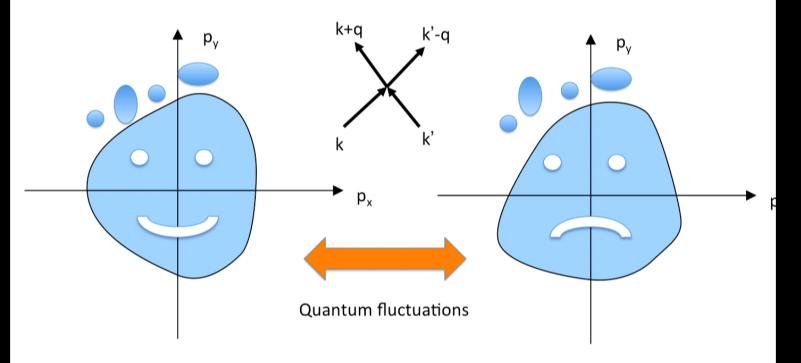
#### Fermi Gas



Many-body eigenstates are labeled by occupation numbers of single-particle states  $|n_{k_1,\sigma_1},n_{k_2,\sigma_2},\ldots>$ 

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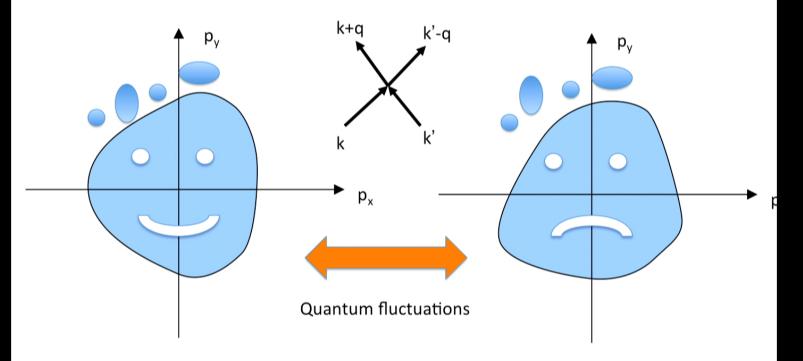
### **Interacting Fermions**



Shape of Fermi surface is subject to quantum fluctuations

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### **Interacting Fermions**



Shape of Fermi surface is subject to quantum fluctuations

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### Fermi Liquids

[Landau]

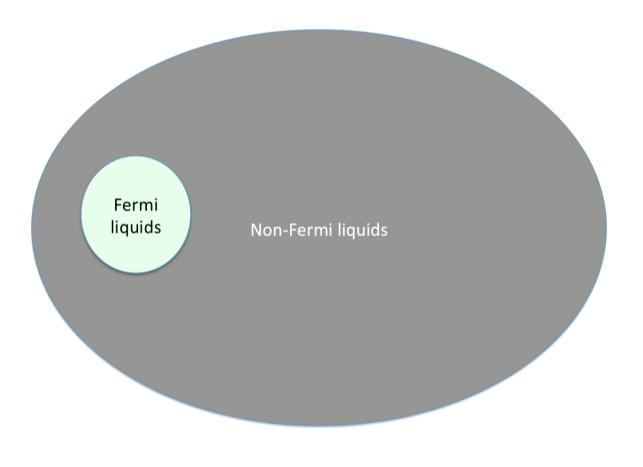
- In a class of metals, the low temperature properties of interacting fermions are remarkably similar to those of the non-interacting counterpart
  - Specific heat : C ~ T
  - Magnetic susceptibility :  $\chi \sim const.$
- Landau postulated that low energy eigenstates of interacting fermions are still labeled by single particle occupation numbers

$$|n_{k_1,\sigma_1}, n_{k_2,\sigma_2}, \dots >$$

- The well-defined single-particle excitations are dressed fermions with renormalized mass but with the same charge and statistics: quasiparticles
- The quasiparticle paradigm has been very successful in explaining behaviors of simple metals

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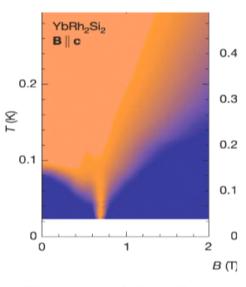
# Landscape of metals



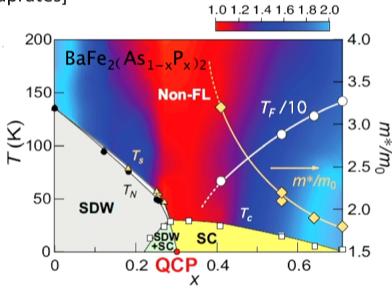
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#### Breakdown of Fermi liquid near Quantum Critical Point

[heavy fermion; pnictides; cuprates]

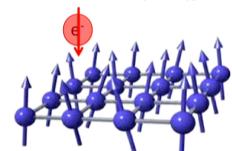


[Custers et al.(2003)]



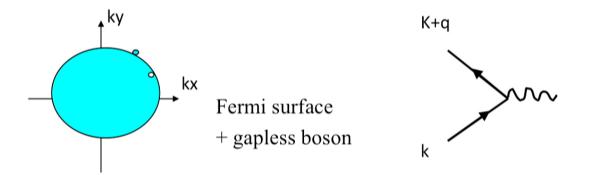
[Hashimoto et al. Science 336, 1554 (2012)]

- At quantum critical point, order parameter fluctuations become gapless
- Specific heat : C ~ T log(1/T),
- Resistivity : ρ ~ T<sup>n</sup>, n < 2</li>



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#### Soft collective mode cause fluctuations of FS



- Non-forward scatterings are enhanced by collective modes
- Bare fermion decays into a complicated superposition of multiparticle states

**Wanted**: theories that replace the Fermi liquid theory

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# Non-Fermi liquids in 2+1D

- Most interesting dimensions :
  - Extended Fermi surface
  - Strong quantum fluctuations at low energies
- In general, a small parameter is needed to study the theory in a controlled way
- Recently, non-perturbative solutions are found for some cases

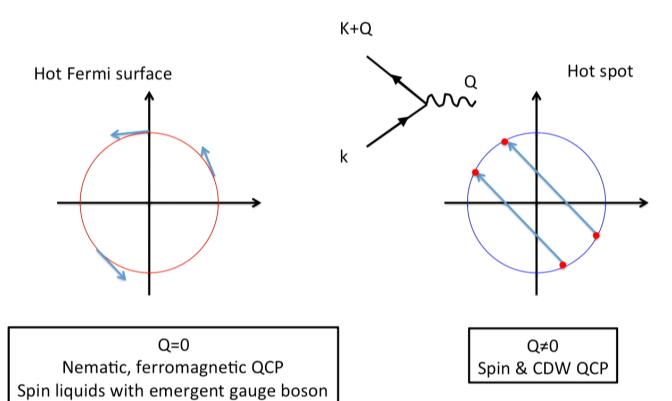
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# Some surprises for theories of NFL

- NFL with N vector flavors behaves as a matrix model in the large N limit
  - Angle around Fermi surface effectively plays the role of an additional internal index, promoting vector field to matrix field
- Weak coupling expansion near the upper critical dimensions ≠ Loop expansion
  - High loop graphs are enhanced by IR singularities
  - Expansion in fractional powers of coupling

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### Critical surface vs Hot spots



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### Antiferromagnetic quantum critical metal



Shouvik Sur (McMaster-> Tallahassee)



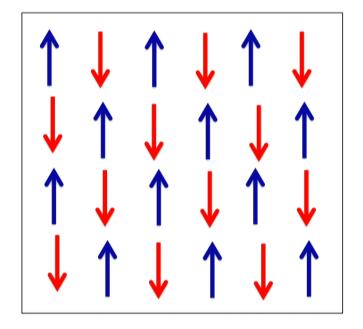
**Andres Schlief** 



**Peter Lunts** 

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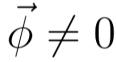
# Antiferromagnetic Order

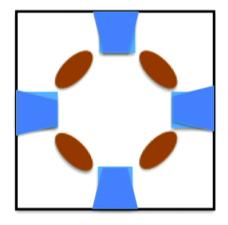


$$<\vec{S}(\vec{r})>=\vec{\phi}\;e^{i\vec{Q}\cdot\vec{r}}$$

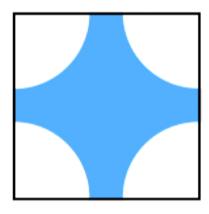
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# Antiferromagnetic phase transition in metal



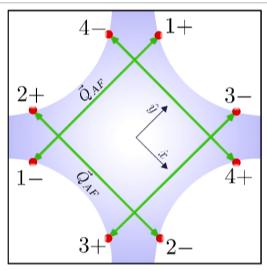


$$\vec{\phi} = 0$$



Metal with antiferromagnetic order

Quantum Critical Point Paramagnetic Metal



# Minimal Theory for AF QCP in 2+1D

[Abanov, Chubukov]

$$e_1^{\pm}(\vec{k}) = -e_3^{\pm}(\vec{k}) = vk_x \pm k_y$$
  
 $e_2^{\pm}(\vec{k}) = -e_4^{\pm}(\vec{k}) = \mp k_x + vk_y$ 

$$S = \sum_{l=1}^{4} \sum_{m=\pm} \sum_{\sigma=\uparrow,\downarrow} \int \frac{d^{3}k}{(2\pi)^{3}} \, \psi_{l,\sigma}^{(m)*}(k) \left[ ik_{0} + e_{l}^{m}(\vec{k}) \right] \psi_{l,\sigma}^{(m)}(k)$$

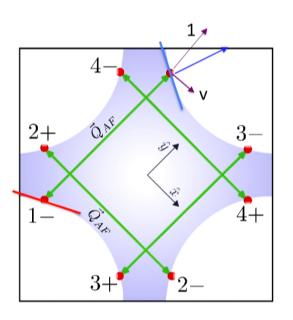
$$+ \frac{1}{2} \int \frac{d^{3}q}{(2\pi)^{3}} \left[ q_{0}^{2} + c^{2} |\vec{q}|^{2} \right] \vec{\Phi}(-q) \cdot \vec{\Phi}(q)$$

$$+ g_{0} \sum_{l=1}^{4} \sum_{\sigma,\sigma'=\uparrow,\downarrow} \int \frac{d^{3}k}{(2\pi)^{3}} \frac{d^{3}q}{(2\pi)^{3}} \left[ \vec{\Phi}(q) \cdot \psi_{l,\sigma}^{(+)*}(k+q) \vec{\tau}_{\sigma,\sigma'} \psi_{l,\sigma'}^{(-)}(k) + c.c. \right]$$

$$+ \frac{u_{0}}{4!} \int \frac{d^{3}k_{1}}{(2\pi)^{3}} \frac{d^{3}k_{2}}{(2\pi)^{3}} \frac{d^{3}q}{(2\pi)^{3}} \left[ \vec{\Phi}(k_{1}+q) \cdot \vec{\Phi}(k_{2}-q) \right] \left[ \vec{\Phi}(-k_{1}) \cdot \vec{\Phi}(-k_{2}) \right]$$

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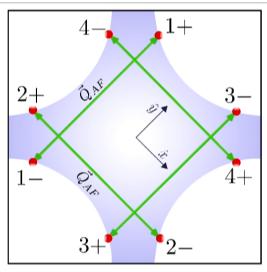
## Parameters of the theory



- v : Fermi velocity perpendicular to Q<sub>AF</sub>
- c: boson velocity
- g : coupling bet'n fermion and boson
- u : quartic boson coupling

If v=0, hot spots connected by Q<sub>AF</sub> are nested

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# Minimal Theory for AF QCP in 2+1D

[Abanov, Chubukov]

$$e_1^{\pm}(\vec{k}) = -e_3^{\pm}(\vec{k}) = vk_x \pm k_y$$
  
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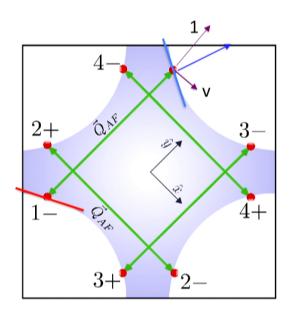
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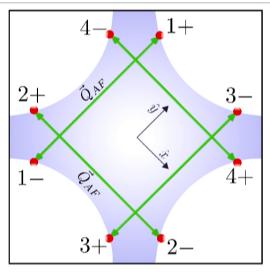
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$$S = \sum_{l=1}^{4} \sum_{m=\pm} \sum_{\sigma=\uparrow,\downarrow} \int \frac{d^{3}k}{(2\pi)^{3}} \, \psi_{l,\sigma}^{(m)*}(k) \left[ ik_{0} + e_{l}^{m}(\vec{k}) \right] \psi_{l,\sigma}^{(m)}(k)$$

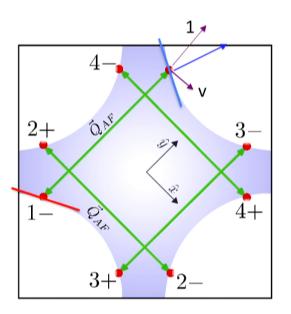
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If v=0, hot spots connected by Q<sub>AF</sub> are nested

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# Strong quantum fluctuations in 2+1D

$$S = \sum_{l=1}^{4} \sum_{m=\pm} \sum_{\sigma=\uparrow,\downarrow} \int \frac{d^3k}{(2\pi)^3} \, \psi_{l,\sigma}^{(m)*}(k) \left[ ik_0 + e_l^m(\vec{k}) \right] \psi_{l,\sigma}^{(m)}(k)$$

$$+ \frac{1}{2} \int \frac{d^3q}{(2\pi)^3} \left[ q_0^2 + c^2 |\vec{q}|^2 \right] \vec{\Phi}(-q) \cdot \vec{\Phi}(q)$$



$$+ \underbrace{g_0} \sum_{l=1}^{4} \sum_{\sigma,\sigma'=\uparrow,\downarrow} \int \frac{d^3k}{(2\pi)^3} \frac{d^3q}{(2\pi)^3} \left[ \vec{\Phi}(q) \cdot \psi_{l,\sigma}^{(+)*}(k+q) \vec{\tau}_{\sigma,\sigma'} \psi_{l,\sigma'}^{(-)}(k) + c.c. \right]$$

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Interactions are relevant at the Gaussian fixed point

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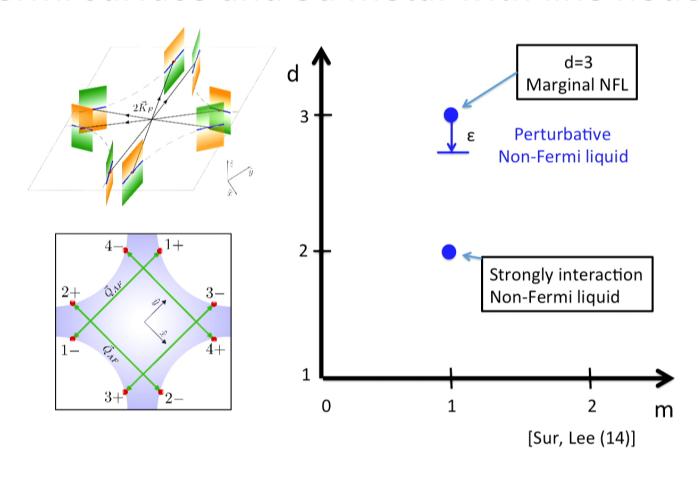
# How to tame quantum fluctuations



Dynamical tuning	Modify the bare dispersion $ q ^2\phi^2  ightarrow  q ^{1+\epsilon}\phi^2$	
Dim. reg.	Tune the number of dimensions	The state of the s
Co-dim. reg.	Tune the number of co- dimensions	

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# A continuous interpolation between 2d Fermi surface and 3d metal with line nodes



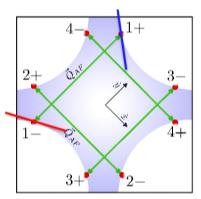
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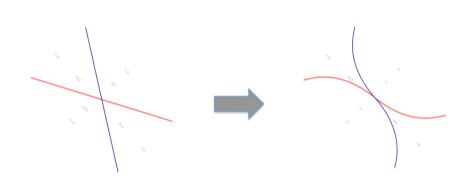
# Lesson from the ε-expansion

[Sur, Lee (14); Lunts, Andres, Lee, in preparation]

- Emergent locality
  - Fermi surface is dynamically nested near the hot spots
  - the speed of the collective mode becomes zero
- Interaction is renormalized to form a balance with vanishing speed

 $v, c \to 0 \ \left(\frac{v}{c} \to 0\right), \ g \to 0 \left(\frac{g^2}{v} \to O(\epsilon)\right)$ 



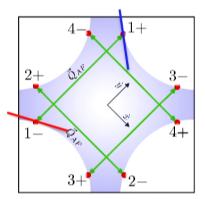


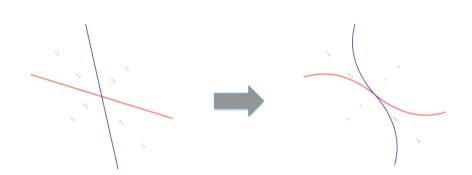
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#### Plan to tackle 2+1D



- We will make an Ansatz by assuming that
  - Interaction plays the dominant role  $g^2/v \sim 1$
  - Collective mode is damped by the particle-hole excitations
  - Emergent locality + Hierarchy in the velocities :v << c <<1</li>

 Then we check that the Ansatz is selfconsistent

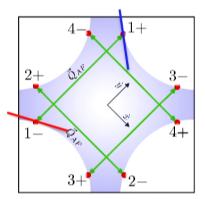
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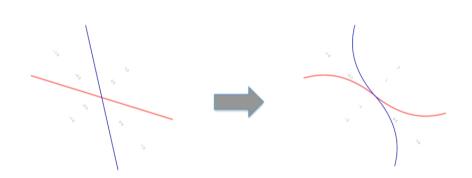
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## Interaction driven scaling

[Sur, Lee (13)]

Scaling which leaves the fermion-boson coupling and fermion kinetic term marginal at the expense of dropping boson kinetic energy as irrelevant term

$$S = \sum_{l=1}^{4} \sum_{m=\pm} \sum_{\sigma=\uparrow,\downarrow} \int \frac{d^{3}k}{(2\pi)^{3}} \, \psi_{l,\sigma}^{(m)*}(k) \left[ ik_{0} + e_{l}^{m}(\vec{k}) \right] \psi_{l,\sigma}^{(m)}(k)$$

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# Interaction driven scaling

$$[k_0] = [k_x] = [k_y] = 1,$$

$$[\psi(k)] = [\phi(k)] = -2.$$

- Keeping Yukawa coupling and fermion kinetic term as marginal operators uniquely fixes the scaling
- Electron keeps the classical scaling dimension
- Collective mode has anomalous dimension ½ compared to the classical scaling

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#### Minimal action

$$\mathcal{S} = \sum_{n=1}^{4} \sum_{\sigma=\uparrow,\downarrow} \int dk \ \bar{\Psi}_{n,\sigma}(k) \Big[ i\gamma_0 k_0 + i\gamma_1 \varepsilon_n(\vec{k}) \Big] \Psi_{n,\sigma}(k)$$
$$+ i\sqrt{\frac{\pi v}{2}} \sum_{n=1}^{4} \sum_{\sigma,\sigma'} \int dk dq \ \Big[ \bar{\Psi}_{\bar{n},\sigma}(k+q) \Phi_{\sigma,\sigma'}(q) \gamma_1 \Psi_{n,\sigma'}(k) \Big]$$

- Entire boson action is irrelevant
- Yukawa coupling is rescaled such that g²/v ~ 1
- v is the only dimensionless parameter

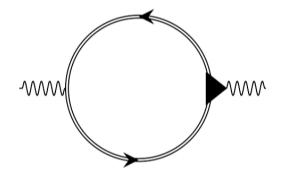
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## Self-consistent boson propagator



$$D(q)^{-1} = m_{CT} - \pi v \sum_{n} \int dk \operatorname{Tr} \left[ \gamma_1 G_{\bar{n}}(k+q) \Gamma(k,q) G_n(k) \right]$$

• In general, it is hard to solve the self-consistent equation because G(k),  $\Gamma(k,q)$  depend on D(q)

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  - Emergent locality + Hierarchy in the velocities : v << c <<1</p>

 Then we check that the Ansatz is selfconsistent

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#### Small v limit

$$D(q)^{-1} = |q_0| + c(v) [|q_x| + |q_y|],$$

$$c(v) = \frac{1}{4} \sqrt{v \log(1/v)}$$

- Boson propagator is entirely generated from particle-hole fluctuations
- v << c << 1 in the small v limit</li>

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#### Small v limit

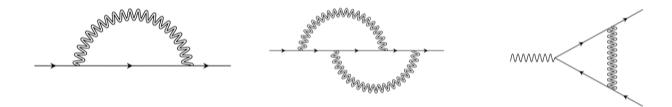
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#### Flow of v

 In the small v limit, v indeed flows to zero in the low energy limit, which completes the cycle of self-consistency



$$\frac{dv}{d\ln\mu} = \frac{6}{\pi^2} v^2 \log\left(\frac{1}{c(v)}\right) \quad v = \frac{\pi^2}{3} \left(\log\frac{1}{\mu} \log\log\frac{1}{\mu}\right)^{-1}$$

## Critical exponents

$$z = 1 + \frac{3}{4\pi} \frac{v}{c(v)},$$

$$\eta_{\phi} = \frac{1}{4\pi} \frac{v}{c(v)} \log\left(\frac{c(v)}{v}\right),$$

$$\eta_{\psi} = -\frac{3}{8\pi} \frac{v}{c(v)}$$

- Deviation from the interaction driven scaling dimensions are controlled by v/c, which flows to zero
- This confirms that the interaction driven scaling is exact

[Andres, Lunts, Lee, 1608.06927]

$$[k_0] = [k_x] = [k_y] = 1,$$
  
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# Spectral function near hot spots

$$G_{1+}^{R}(\omega, \vec{k}) = \frac{1}{F_{\psi}(\omega) \left[ \omega F_{z}(\omega) \left( 1 + i \frac{\sqrt{3}\pi}{2} \frac{1}{\sqrt{\log \frac{1}{\omega} \log \log \frac{1}{\omega}}} \right) - \left( \frac{\pi^{2}}{3} \frac{k_{x}}{\log \frac{1}{\omega} \log \log \frac{1}{\omega}} + k_{y} \right) \right]}$$

$$F_{\psi}(\omega) = \left( \log \frac{1}{\omega} \right)^{\frac{3}{8}}, \quad F_{z}(\omega) = e^{2\sqrt{3} \frac{\left( \log \frac{1}{\omega} \right)^{1/2}}{\log \log \frac{1}{\omega}}}$$

 The deviation from the Fermi liquid is stronger than marginal Fermi liquid due to slow decay of v/c

$$\frac{v}{c} = \frac{4\pi}{\sqrt{3}} \left( \log^{1/2} \frac{1}{\mu} \log \log \frac{1}{\mu} \right)^{-1}$$

### Spin structure factor

$$D^{R}(\omega, \vec{q}) = \frac{1}{F_{\phi}(\omega) \left(-i\omega F_{z}(\omega) + \frac{\pi}{4\sqrt{3}} \frac{|q_{x}| + |q_{y}|}{\left(\log \frac{1}{\omega}\right)^{1/2}}\right)}$$
$$F_{\phi}(\omega) = e^{\frac{2}{\sqrt{3}} \left(\log \frac{1}{\omega}\right)^{1/2}}$$

Spin fluctuations are strongly damped by particle-hole excitations

### Specific heat

$$c \sim TF_z(T)(\log 1/T)^{1/2}$$

$$F_z(\omega) = e^{2\sqrt{3} \frac{\left(\log \frac{1}{\omega}\right)^{1/2}}{\log \log \frac{1}{\omega}}}$$

- Collective mode dominates the specific heat (more important than the contribution from the cold electrons on the extended Fermi surface)
- Deviation from Fermi liquid is stronger than log T!
- More accurate experiments needed

#### Fate in the low T limit

- In the low T limit, superconductivity is expected to set in
- Electrons away from the hot spots support welldefined quasiparticles and are prone to BCS instability
- T<sub>c</sub> will be parametrically enhanced near the critical point but T<sub>c</sub> is non-universal: the temperature window for the critical scaling depends on specific materials

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### Summary

- Low energy effective theories for NFLs
- Co-dimensional reg. give perturbative NFLs
- Antiferromagnetic critical metal in 2+1D
  - Exact critical exponents are predicted based on a non-perturbative solution
  - Precise experiments to test the predictions are needed

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