Title: Thermalization in Quantum Systems - and its breakdown

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Abstract: How does thermalization in quantum systems work? Naively, the unitary time evolution prevents thermalization, but one can easily show that in general quantum systems thermalize when brought into contact with a thermal bath. In noninteracting systems, the approach to the thermal value can be either ballistic or diffusive depending on particle statistics and bath temperature.

However, many systems cannot be thermalized when placed in a bath: glasses.

I will discuss a disorderfree model of an organic electronic glass that is formed through rapid supercooling. Geometric frustration and long-range interactions cause the Arrhenius-type freezing.

Quenched disorder can also lead to glassiness, a phenomenon known as many-body localization. In this case, thermalization is prevented by the existence of extensively many local integrals of motion. I will show how to compute these integrals of motion and their properties.

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# Thermalization in Quantum Systems and its breakdown



**Louk Rademaker** (KITP Santa Barbara)
Perimeter Institute, Wednesday 23 November 2016

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Thermalization = Loss of Memory initial state information
In Quantum Mechanics there is No Loss due to Unitary Time Evolution!

How can Quantum Systems Thermalize?

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 $\Rightarrow$  Eigenstate Thermalization Hypothesis  $\langle \psi_0 | \mathcal{A} | \psi_0 \rangle = Z^{-1} \mathrm{Tr} \, \mathcal{A} e^{-\beta H}$ 

Deutsch '91 Srednicki '94 Rigol '08

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**♦ Quantum Chaos** 

Kitaev '15, Maldacena, Shenker, Stanford '15

Out-of-time-ordered correlations (OTOC)  $C_{WV}(t) = \langle [W(t), V(0)]^2 \rangle$ 

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- ♦ Level statistics
  Poissonian vs. Wigner-Dyson
  Berry, Tabor '77
  Polkovnikov '13

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Level statisticsPoissonian vs. Wigner-Dyson

Berry, Tabor '77 Polkovnikov '13

Integrability / Conformal Field Theory
Existence of 'local density' integrals of motion

Cardy, Calabrese '06 Essler, Fagotti '16 Doyon '15

# 19th Century Thermodynamics



Simpler question:

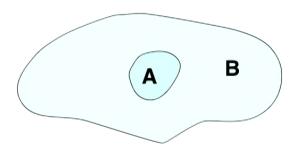
Two systems brought into contact.

Will they equilibrate?

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# 19th Century Thermodynamics





#### Simpler question:

Two systems brought into contact.

Will they equilibrate?

#### Example:

- Cup of tea/coffee in surrounding
- Superconducting sample in a fridge

#### In general:

 'Hot' system A in 'cold' bath B brought into contact at t=0

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Consider Quantum system with  ${\bf A}$  at temperature  ${\bf T}_{\bf A}$  and  ${\bf B}$  at temperature  ${\bf T}_{\bf B}$ .

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Consider **Quantum system** with **A** at temperature  $\mathbf{T_A}$  and **B** at temperature  $\mathbf{T_B}$ . Initial state has **density matrix**:  $\rho = (Z_A Z_B)^{-1} e^{-\beta_A H_A} e^{-\beta_B H_B}$ 

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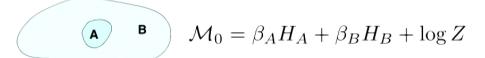
Better described with **Modular Hamiltonian**  $\mathcal{M} = -\log 
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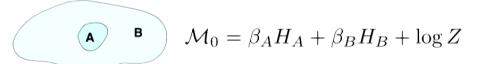


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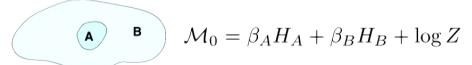
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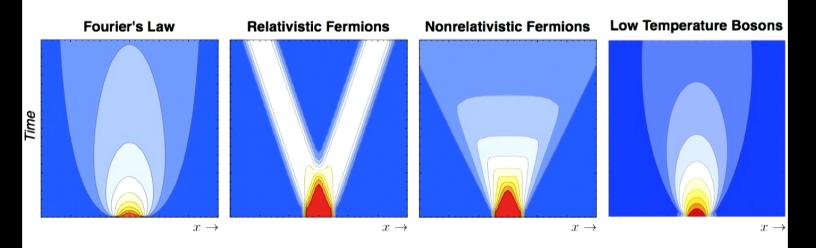
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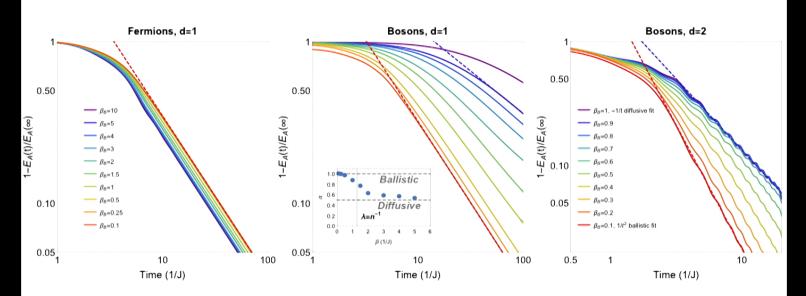
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### **Hot flames**



- 1. Classical expansion is **diffusive**,  $\partial_t T = \mathcal{D} \nabla^2 T$  , so  $\Delta T \sim t^{-d/2}$
- 2. Relativistic fermions: instantaneous thermalization
- 3. Nonrelativistic noninteracting fermions thermalize **ballistically**  $\Delta E \sim t^{-d}$
- 4. Bosons...

# Approach to thermalization

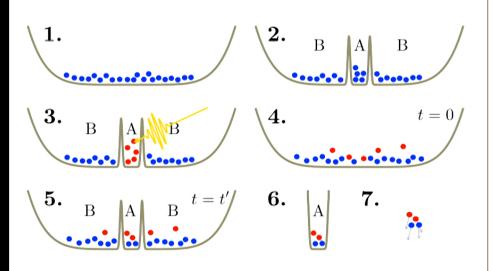


There is a **crossover** from ballistic to diffusive for bosons!

At low temperatures, when bosons overlap, diffusive wave-like behavior dominates  $\partial_t \psi \sim \partial_x^2 \psi$ 

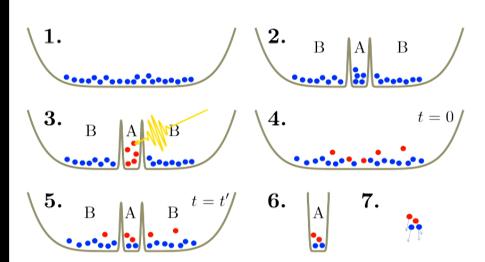
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Can test this **experimentally** with cold atoms:



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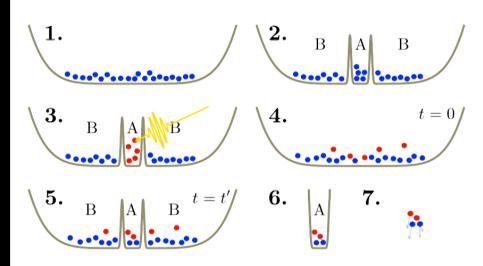
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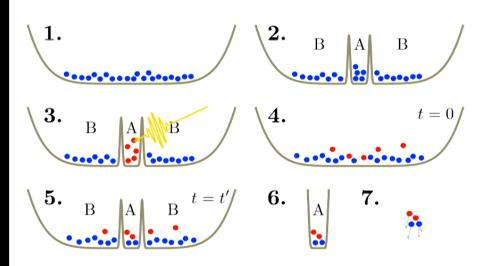
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- **7.** Measure kinetic energy in A using Time-Of-Flight

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**Repeat** with different 'end-times' to get  $\Delta E(t)$ 

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What about interacting nonintegrable systems?

$$\mathcal{M}(t) = \sum_{ij} m_{ij}^{(2)}(t) b_i^{\dagger} b_j + \sum_{ijkl} m_{ijkl}^{(4)}(t) b_i^{\dagger} b_j^{\dagger} b_k b_l + \sum_{ijklmn} m_{ijklmn}^{(6)}(t) b_i^{\dagger} b_j^{\dagger} b_k^{\dagger} b_l b_m b_n + \dots$$

$$b_k^{\dagger}(t) = e^{-i\xi_k t} b_k^{\dagger} - e^{-i\xi_k t} b_k^{\dagger} \sum_{k'} n_{k'} + \sum_{qpp'} b_p^{\dagger} b_{p'}^{\dagger} b_q e^{i\xi_q t} \sum_n \langle pp' | n \rangle \langle n | kq \rangle e^{-iE_n t} + \dots$$

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When will thermalization break down?

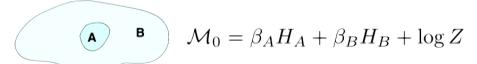
- Long Range interactions: no separation of degrees of freedom into A and B
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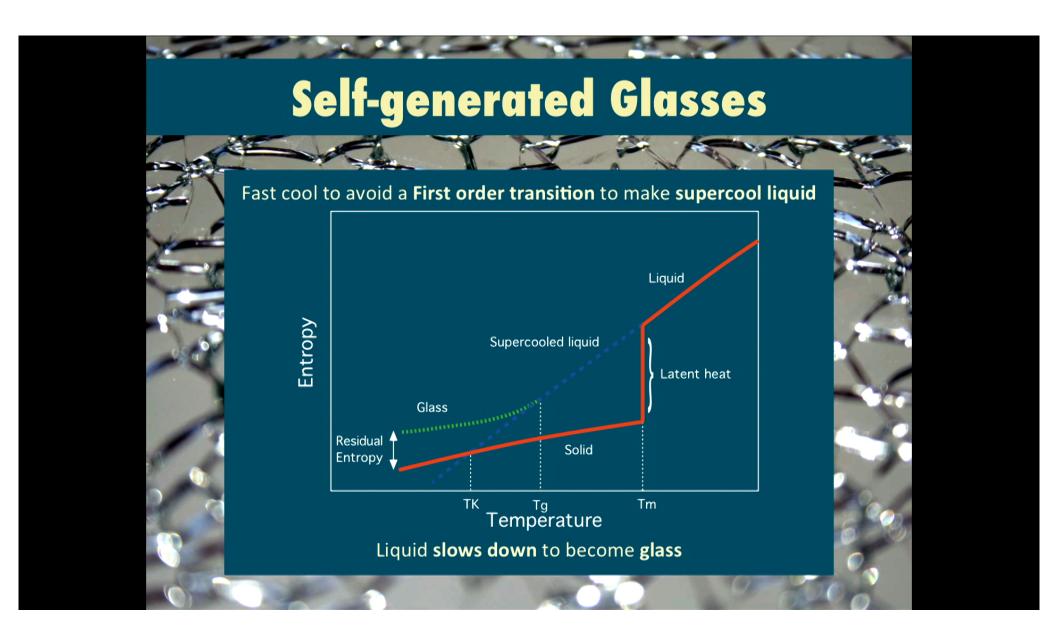
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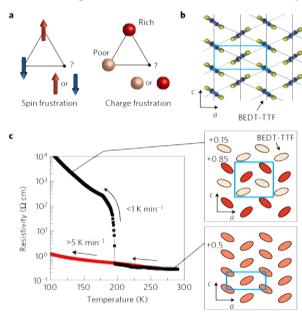
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# Electronic glass

**Organic** crystal  $\theta$ -(BEDT-TTF)<sub>2</sub>RbZn(SCN)<sub>4</sub>

Low temperature electronic stripe order

Fast cooling: Glass with Arrhenius dynamics

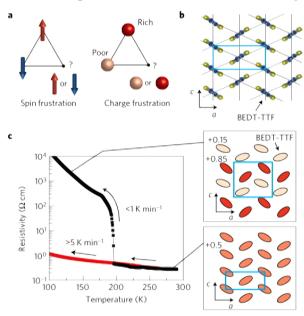


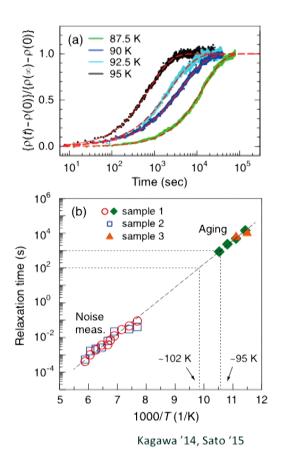
Kagawa '14, Sato '15

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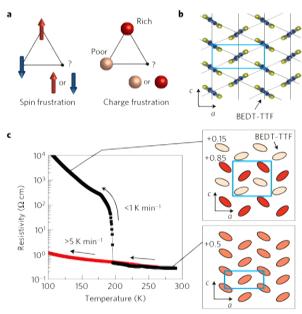


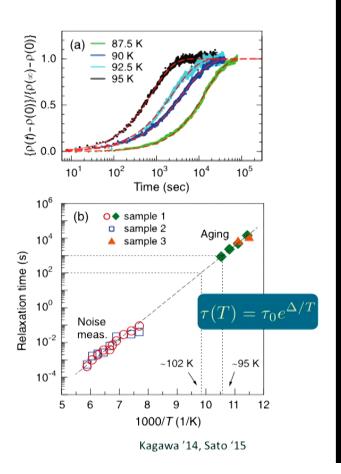


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# **Ising Model**

Simplest interaction: Nearest Neighbor repulsion gives Ising model ( $V\gg t$ )

$$H = \sum_{\langle ij \rangle} V\left(n_i - \frac{1}{2}\right) \left(n_i - \frac{1}{2}\right)$$

Ground state is exponentially degenerate

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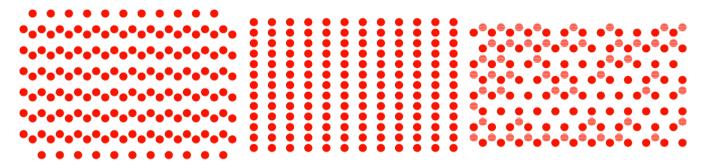
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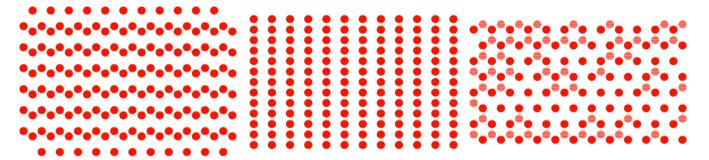


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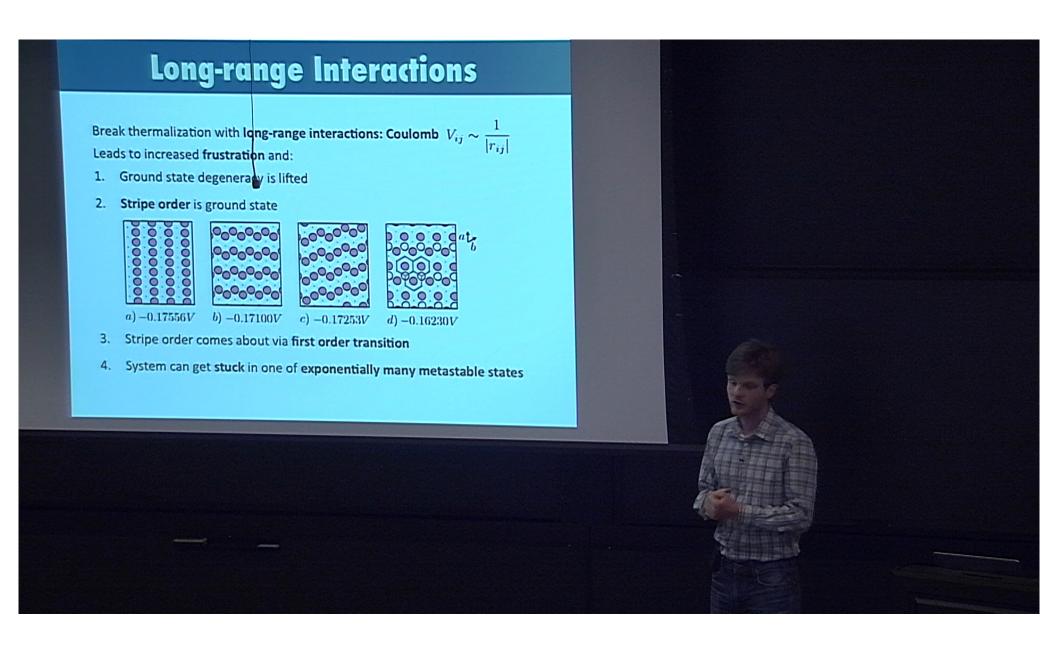
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Ground state is exponentially degenerate



Upon inclusion of hopping leads to Liquid-like state

This cannot explain slowing down!

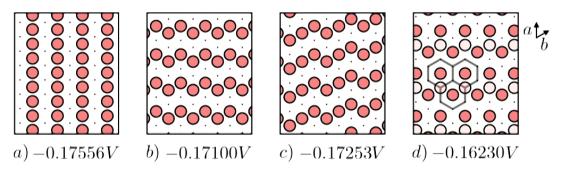


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# Long-range Interactions

Break thermalization with long-range interactions: Coulomb  $V_{ij} \sim \frac{1}{|r_{ij}|}$  Leads to increased frustration and:

- 1. Ground state degeneracy is lifted
- 2. **Stripe order** is ground state



- 3. Stripe order comes about via first order transition
- 4. System can get stuck in one of exponentially many metastable states

#### Monte Carlo: Arrhenius-Law

T = 0.066

Using classic Monte Carlo

with Ewald summation

with **Ewald summation**

$$V_{ij} = (1-x)V\delta_{|R_i-R_j|=1} + x\frac{V}{|R_i-R_j|} \overset{\bigcirc}{\underset{\bigcirc}{\triangleright}} \overset{-1}{\underset{\bigcirc}{\triangleright}}$$

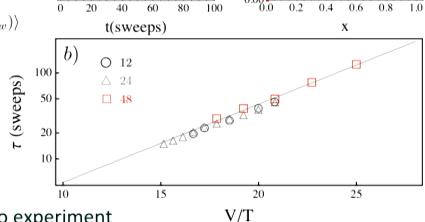
Measure autocorrelation function

$$C(t + t_w, t_w) = \frac{2}{N} \sum_{i} \langle \delta n_i(t + t_w) \delta n_i(t_w) \rangle$$

Find Arrhenius Law

$$\tau(T) = \tau_0 e^{\Delta/T}$$

Gap  $\Delta \sim 2300 K$  corresponds to experiment



T = 0.048

c)0.20

0.15

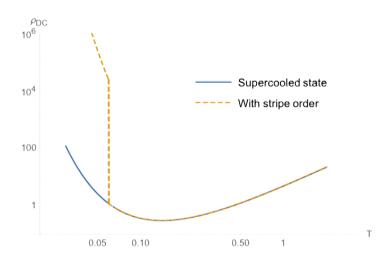
0.10

0.05

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### **EDMFT: Conductivity**

Semi-classical limit of **Extended Dynamical Mean Field Theory** yields qualitative results for **resistivity**:

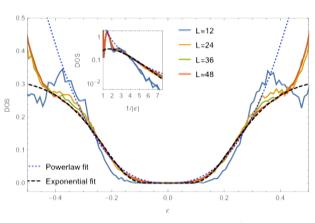


$$\overline{n} - \overline{n}^2 = \sum_{k} \frac{1}{(\overline{n} - \overline{n}^2)^{-1} + \beta(\Delta + V_k)}$$

$$\sigma_{DC} \sim \int_{-\infty}^{\infty} d\omega \, \frac{\rho^2(\omega)}{4T \cosh^2 \frac{\omega}{2T}} = \frac{\beta}{8} \sqrt{\frac{\beta}{\Delta \pi}} e^{-\frac{1}{4}\beta \Delta}$$

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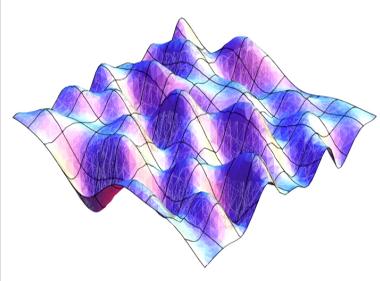
# Electron glass - Outlook



1. How does the single-particle density of states look like?

- 2. What is the structure of the manifold of metastable states?
- 3. What is the relation to ETH and quantum chaos?
- 4. Is there a 'true' glass transition at finite temperature?

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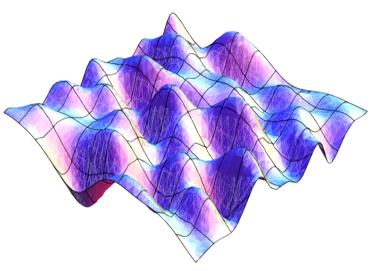
Quenched disorder leads to

exponentially localized WF  $|\Psi(r)| \sim e^{-r/\xi}$ 

**Anderson localization** Hamiltonian

$$H = -t \sum_{\langle ij \rangle} (c_i^{\dagger} c_j + h.c.) + \sum_i \mu_i n_i$$

can be diagonalized  $H=\epsilon_i \tilde{n}_i$ 



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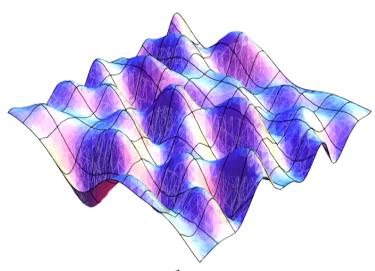
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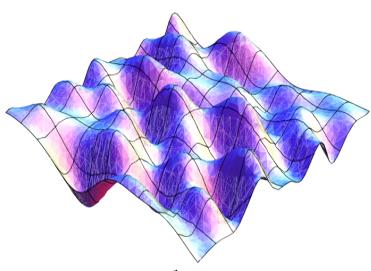
**Local Integrals of Motion (LIOMs)** 

$$H = \sum_{\alpha} \xi_{\alpha} n_{\alpha} + \frac{1}{2} \sum_{\alpha\beta\gamma\delta} V_{\alpha\beta\gamma\delta} c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\gamma} c_{\delta}$$

Interactions 'dress' Anderson integrals of motion

In Many-Body Localized phase LIOMs still exist that prevent thermalization

- Logarithmically slow growth of entanglement/entropy
- Vanishing conductivity



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**Local Integrals of Motion (LIOMs)** 

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## **Unitary Transformation**

For every Hamiltonian, there exists a unitary transformation that brings it

into the classical form 
$$H = \sum_i \xi_i \tau_i^z + \sum_{ij} J_{ij} \tau_i^z \tau_j^z + \dots$$

**Perturbation theory** often fails due to resonances  $c_{\alpha} \rightarrow c_{\alpha} + \frac{V_{\alpha\beta\gamma\delta}}{\xi_{\alpha} + \xi_{\beta} - \xi_{\gamma} - \xi_{\delta}} c_{\beta}^{\dagger} c_{\gamma} c_{\delta}$ 

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into the classical form 
$$H = \sum_i \xi_i \tau_i^z + \sum_{ij} J_{ij} \tau_i^z \tau_j^z + \dots$$

**Perturbation theory** often fails due to resonances  $c_{\alpha} \to c_{\alpha} + \frac{V_{\alpha\beta\gamma\delta}}{\xi_{\alpha} + \xi_{\beta} - \xi_{\gamma} - \xi_{\delta}} c_{\beta}^{\dagger} c_{\gamma} c_{\delta}$  **Our solution:** Consecutive application of exact 'small' unitaries

$$X = c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\gamma} c_{\delta} \quad \tan 2\lambda = -\frac{V_{\alpha\beta\gamma\delta}}{\xi_{\alpha} + \xi_{\beta} - \xi_{\gamma} - \xi_{\delta}} \quad \mathcal{D}_{\lambda}(X) = \exp\left(\lambda(X^{\dagger} - X)\right)$$

Approximation: Cut off after a certain order in normal ordered operators

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### **Unitary Transformation**

For every Hamiltonian, there exists a unitary transformation that brings it into the classical form

assical form 
$$H = \sum_i \xi_i au_i^z + \sum_{ij} J_{ij} au_i^z au_j^z + \dots$$

**Perturbation theory** often fails due to resonances  $c_{\alpha} \rightarrow c_{\alpha} + \frac{V_{\alpha\beta\gamma\delta}}{\mathcal{E}_{\alpha} + \mathcal{E}_{\beta} - \mathcal{E}_{\alpha} - \mathcal{E}_{\beta}} c_{\beta}^{\dagger} c_{\gamma} c_{\delta}$ Our solution: Consecutive application of exact 'small' unitaries

$$X = c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\gamma} c_{\delta} \quad \tan 2\lambda = -\frac{V_{\alpha\beta\gamma\delta}}{\xi_{\alpha} + \xi_{\beta} - \xi_{\gamma} - \xi_{\delta}} \quad \mathcal{D}_{\lambda}(X) = \exp\left(\lambda(X^{\dagger} - X)\right)$$

**Approximation:** Cut off after a certain order in normal ordered operators

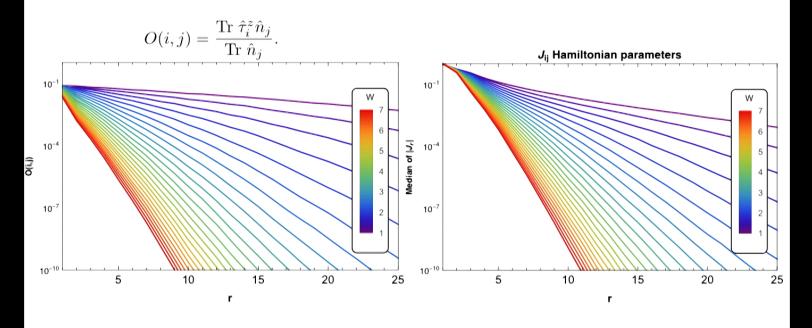
$$H = \sum_{i} \xi_{i} \tau_{i}^{z} + \sum_{ij} J_{ij} \tau_{i}^{z} \tau_{j}^{z}$$

$$\tau_{i}^{z} = \hat{U} \hat{n}_{i} \hat{U}^{\dagger} = \hat{n}_{i} + \alpha_{i;jk} \hat{c}_{j}^{\dagger} \hat{c}_{k} + \alpha_{i;jklm} \hat{c}_{j}^{\dagger} \hat{c}_{k}^{\dagger} \hat{c}_{l} \hat{c}_{m}$$
Compute  $J_{ij}$  and  $\alpha_{i;jklm}$ !

## Typical Integrals of motion

Our model: 
$$H = \sum_{i=1}^{N} \epsilon_i n_i + t \sum_{i=1}^{N-1} (c_i^{\dagger} c_{i+1} + c_{i+1}^{\dagger} c_i) + V \sum_{i=1}^{N-1} n_i n_{i+1}$$
  $\epsilon_i \in [-W/2, W/2]$ 

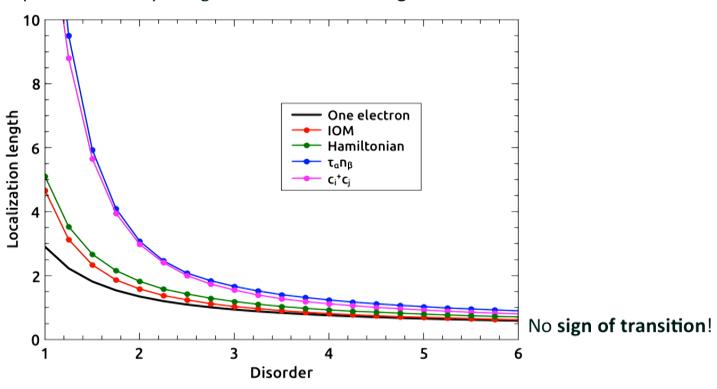
Take the **median** of all Integrals of Motion for many disorder realizations As a function of **distance** and **disorder strength** 



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# **Typical Localization Length**

Exponential decay can give us localization length:



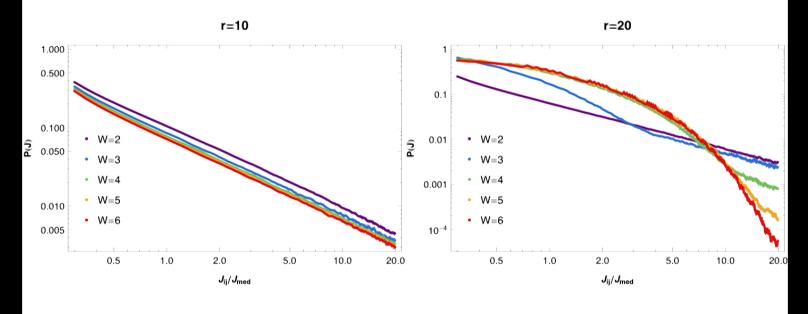
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#### Rare fluctuations

Picture much less clear when looking at Average values instead of Typical

**Delocalization** can occur through only **few nonlocal terms** 

Full distribution of  $J_{ij}$  is consistently  $P(|J|) \sim 1/J$  for weak disorder



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# Many-Body Localization — Outlook

#### Shown that we can compute Local Integrals of Motion

- How does delocalization transition act on integrals of motion?
   Role of rare fluctuations most likely the key factor
- 2. Can we compute IOMs for **other systems**?

  Heisenberg model, Hubbard model, Kondo lattice model
- 3. Systematic extension of **Hartree-Fock methods**? New class of trial wavefunctions of the form  $e^{A_{ij}^{\ kl}c_i^{\dagger}c_l^{\dagger}c_lc_k}c_{i_1}^{\dagger}\cdots c_{i_k}^{\dagger}|0\rangle$

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#### Summary

#### Thermalization in Quantum Systems

In general: hot subsystem A in cold bath B thermalizes **Bosons** have crossover from **ballistic** to **diffusive** 

Reference: LR & Zaanen, soon on arXiv

#### Self-generated glasses

**Frustration** and **long-range** interactions prevent thermalization

Model & experiments show **Arrhenius law** slowing down

References: LR, Nussinov, Balents, Dobrosavljevic, arXiv:1605.01822; LR, Ralko, Fratini, Dobrosavljevic, arXiv:1508.03065; Mahmoudian, LR, Ralko, Fratini, Dobrosavljeci, PRL 115 (2015)

#### **Many-Body Localization**

Quenched disorder creates **local integrals of motion** 

Computed **typical properties** of LIOMs, displays locality

MBL-to-ergodic transition requires study of rare fluctuations

References: LR, Ortuno, Somoza, arXiv: 1610.06238; LR, Ortuno, PRL 116 (2015)

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