

Title: Local criticality, diffusion and chaos in generalized Sachdev-Ye-Kitaev models

Date: Sep 20, 2016 02:00 PM

URL: <http://pirsa.org/16090050>

Abstract:

Local criticality, diffusion, and chaos in generalized Sachdev-Ye-Kitaev model

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Perimeter Institute, Sep 20 , 2016

Based on [YG, Xiao-Liang Qi, Douglas Stanford, arXiv: 1609.*****]

Outline

- ▶ Motivation;
- ▶ Brief review of the Sachdev-Ye-Kitaev (SYK) model;
- ▶ Generalization to higher dimensions. Example: $(1 + 1)$ -d chain model:
 1. Local criticality and large N thermodynamics;
 2. Emergent conformal symmetry;
 3. OPE and energy transport;
 4. Chaos;
- ▶ Discussions.

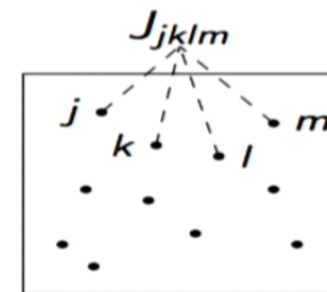
Motivation

- ▶ Condensed matter: solvable but non-trivial (lattice) model for strongly interacting system, especially the quantum matters without quasiparticles;
- ▶ Quantum chaos and quantum gravity: microscopic model to study the chaos and its propagation;
- ▶ Rare known example: the SYK model. \Rightarrow **Higher dimensional models with locality.**

Sachdev-Ye-Kitaev model

Quantum mechanics (0 + 1-d) of N Majorana fermions:

$$H = \sum_{1 \leq j < k < l < m \leq N} J_{jklm} \chi_j \chi_k \chi_l \chi_m, \quad \{\chi_j, \chi_k\} = \delta_{jk}$$



All to all random interaction.

Random coefficients $\overline{J_{jklm}} = 0$, $\overline{J_{jklm}^2} = \frac{3!J^2}{N^3}$.

Interesting at large N and strong coupling: $N \gg \beta J \gg 1$. [Sachdev, Ye, 1993; Kitaev 2015; Maldacena, Stanford 2016.]

Solvable at large N , but non-trivial (no quasiparticles, strong chaos):

1. Emergent conformal symmetry [Sachdev, Ye; Parcollet, Georges; Kitaev];
2. Extensive zero temperature entropy [Parcollet, Georges, Sachdev; Kitaev];
3. Relevant to AdS_2 gravity [Sachdev; Kitaev; Maldacena, Stanford, Yang].

SYK is a nice model, but no locality.

Generalization with locality

- ▶ Generalization to higher dimensions with locality. To be concrete, let us start with a (1 + 1)-d example:



$$H = \sum_{x=1}^M \left[\sum_{j < k < l < m} \underbrace{J_{jklm, x} \chi_{j, x} \chi_{k, x} \chi_{l, x} \chi_{m, x}}_{\text{SYK term}} + \sum_{j < k; l < m} \underbrace{J'_{jklm, x} \chi_{j, x} \chi_{k, x} \chi_{l, x+1} \chi_{m, x+1}}_{\text{Nearest neighbour coupling}} \right]$$

Independent random coefficients:

$$\overline{J_{jklm, x}^2} = \frac{3! J_0^2}{N^3}, \quad \overline{J'_{jklm, x}^2} = \frac{J_1^2}{N^3}$$

- ▶ Interesting at $N \gg \beta J \gg 1$, $J := \sqrt{J_0^2 + J_1^2}$.

Plan for the rest of the talk

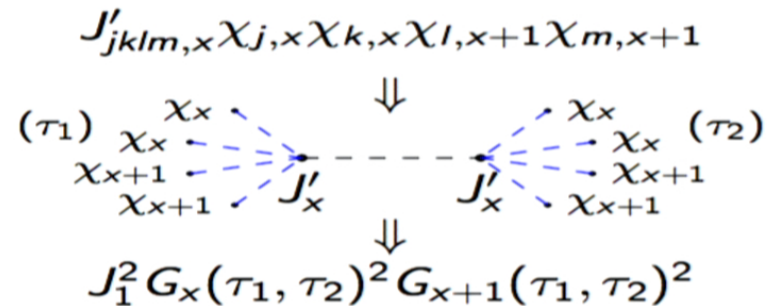
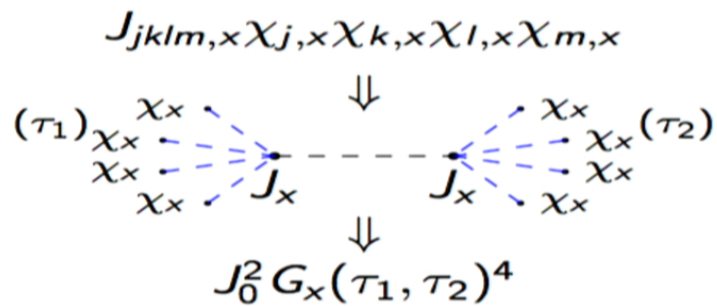
Analyzing the model via large N effective action:

- ▶ Saddle point: two point function and thermodynamical quantities;
- ▶ Fluctuations around saddle point;
 - ▶ Comments on symmetry and pseudo-Goldstone modes;
 - ▶ Collective modes and diffusive energy transport;
 - ▶ Chaos.

Effective action at large N

Same as 0+1 SYK, our model also self-averages (replicon diagonal):

1. Integrate over $\{J_{jklm}\} \Rightarrow$ disorder averaged partition function;
2. Introduce new fields G and Σ : Σ is Lagrange multiplier enforces $G_x(\tau_1, \tau_2) = \frac{1}{N} \sum_j \chi_{j,x}(\tau_1) \chi_{j,x}(\tau_2)$



Effective action at large N continued

3. Integrate over fermions:

$$\bar{Z} = \int \mathcal{D}G \mathcal{D}\Sigma \exp(-N S_{\text{eff}}[G, \Sigma])$$

$$S_{\text{eff}}[G, \Sigma] = \sum_{x=1}^M \left[\underbrace{-\frac{1}{2} \log \det (\partial_\tau - \Sigma_x)}_{\text{From } \frac{1}{2} \chi (\partial_\tau - \Sigma) \chi} + \frac{1}{2} \int_0^\beta d\tau^2 \left(\underbrace{\Sigma_x G_x}_{\text{Lagrange multiplier}} - \underbrace{\frac{J_0^2}{4} G_x(\tau_1, \tau_2)^4 - \frac{J_1^2}{4} G_x(\tau_1, \tau_2)^2 G_{x+1}(\tau_1, \tau_2)^2}_{\text{Interaction term}} \right) \right]$$

Large N in front of $S_{\text{eff}} \Rightarrow$ solvable

Two-point function

Large N saddle point analysis:

1. Saddle point equation:

$$G_x^s(i\omega_n) = \frac{1}{-i\omega_n - \Sigma_x^s(i\omega_n)},$$

$$\Sigma_x^s(\tau) = J_0^2 G_x^s(\tau)^3 + \frac{1}{2} J_1^2 G_x(\tau) [G_{x-1}^s(\tau)^2 + G_{x+1}^s(\tau)^2]$$

2. Using averaged translational symmetry: $G_x^s(\tau_1, \tau_2) = G^s(\tau_1, \tau_2)$

$$G^s(i\omega_n) = \frac{1}{-i\omega_n - \Sigma^s(i\omega_n)}, \quad \Sigma^s(\tau) = J^2 G^s(\tau)^3$$

$J^2 = J_0^2 + J_1^2$: effective coupling.

3. Saddle point equation same as in the $(0 + 1)$ -d SYK model.

Two-point function continued

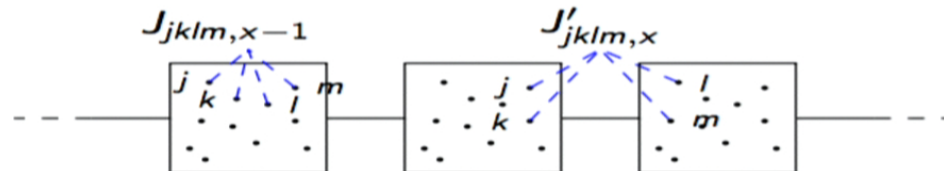
1. Analytic solution at strong coupling $\beta J \gg 1$: [Sachdev, Ye; Parcollet, Georges]

$$G^s(\tau) = \left(\frac{1}{4\pi}\right)^\Delta \left(\frac{\beta J}{\pi} \sin \frac{\pi\tau}{\beta}\right)^{-2\Delta}, \quad \Delta = \frac{1}{4}$$

2. At $T = 0$, power law correlation for fermion on the same site:

$$\langle \mathcal{T}_\tau \chi_{j,x}(\tau) \chi_{j,x}(0) \rangle \propto \text{sgn}(\tau) |J_\tau|^{-2\Delta}$$

3. Fermion correlation functions between different sites strictly zero, due to on-site \mathbb{Z}_2 fermion parity symmetry. (In general model, suppressed by large N).



Thermodynamics

Large N thermodynamics: plug G^s and Σ^s back to the effective action:

$$\begin{aligned}\frac{F}{NM} &= \frac{1}{\beta} \left[-\frac{1}{2} \log \det (\partial_\tau - \Sigma^s) + \frac{1}{2} \int d\tau_1 d\tau_2 \left(\Sigma^s(\tau_1, \tau_2) G^s(\tau_1, \tau_2) - \frac{J^2}{4} G^s(\tau_1, \tau_2)^4 \right) \right] \\ &= U - S_0 T - \frac{\gamma}{2} T^2 + \dots\end{aligned}$$

Same large N free energy density as 0 + 1 SYK model:

1. Extensive zero temperature entropy $S_0 = \frac{\text{Catalan}}{2\pi} + \frac{\log 2}{8} = 0.2324\dots$
2. Specific heat $c_v = \gamma T = \frac{\pi \alpha_K}{16\sqrt{2}\beta J}$, proportional to T . ($\alpha_K \approx 2.852$ numerical constant)

Difference enters at level of quantum fluctuations.

Quantum fluctuations

- ▶ Quantum fluctuations around saddle point:

$$G_x = G^s + \delta G_x^s, \quad \Sigma_x = \Sigma^s + \delta \Sigma_x^s$$

- ▶ Expands to quadratic order, integrate over $\delta \Sigma \Rightarrow$

$$S_{\text{eff}}[G] = S_{\text{eff}}[G^s] + \int \delta G_x(\tau_1, \tau_2) Q_{xy}(\tau_1, \tau_2; \tau_3, \tau_4) \delta G_y(\tau_3, \tau_4)$$

- ▶ Quadratic form has simple dependence on spatial coordinates

$$Q_{xy}(\tau_1, \tau_2; \tau_3, \tau_4) = \underbrace{K^{-1}(\tau_1, \tau_2; \tau_3, \tau_4)}_{\text{same as 0+1 SYK}} \delta_{xy} - \delta(\tau_{13})\delta(\tau_{24}) \underbrace{S_{xy}}_{\text{was } \delta_{xy}}$$

- ▶ K : diagonalizable at $\beta J \gg 1$ [Kitaev 2015; Maldacena, Stanford 2016];

- ▶ S : $\delta_{xy} \rightarrow c_0 \delta_{xy} + c_1 \delta_{x,y\pm 1}$: "band structure" $s(p) = 1 - c_1 p^2 + \dots$

Structure of the fluctuations

- ▶ Quadratic form $Q = K^{-1} - S$ controls the fluctuations;
- ▶ Most of the fluctuations are weak;
- ▶ One important subclass of fluctuation dominates (enhanced by βJ);
- ▶ Related to symmetry;

Emergent conformal symmetry

$$G^s(i\omega_n) = \frac{1}{\underbrace{-i\omega_n - \Sigma^s(i\omega_n)}_{\text{IR, } \rightarrow 0}}, \quad \Sigma^s(\tau) = J^2 G^s(\tau)^3$$

1. The model at IR has an emergent conformal symmetry—global time reparametrization: $f \in \text{Diff}(S^1)$:

$$G_x^s(\tau_1, \tau_2) \rightarrow (f'(\tau_1)f'(\tau_2))^\Delta G_x^s(f(\tau_1), f(\tau_2))$$

2. Spontaneous breaking to $\text{PSL}_2(\mathbb{R})$:

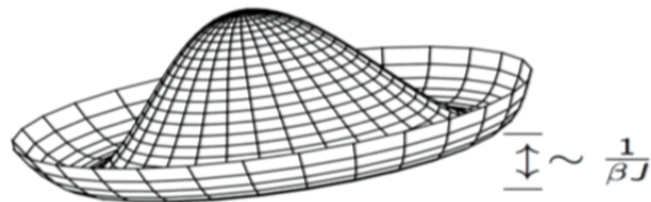
$$(\tau_1 - \tau_2)^{-2\Delta} \rightarrow (f'(\tau_1)f'(\tau_2))^\Delta (f(\tau_1) - f(\tau_2))^{-2\Delta} = (\tau_1 - \tau_2)^{-2\Delta}$$

$$\forall f(\tau) = \frac{a\tau + b}{c\tau + d}, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{R})$$

3. This symmetry is also explicitly broken by UV terms $-i\omega_n$.

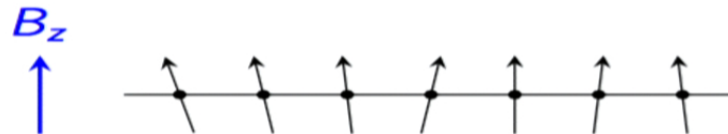
Pseudo-Goldstone mode

- ▶ Spontaneous and explicit symmetry breaking \Rightarrow “nearly flat direction”: Pseudo-Goldstone mode: $f_x \in \text{Diff}(S^1)/\text{PSL}_2(\mathbb{R})$



Tilted Mexican hat

- ▶ Analogy: ferromagnetic spin chain with small pinning field. Spontaneous breaking $SU(2) \rightarrow U(1)$. Explicit breaking by small B_z .



Emergent conformal symmetry

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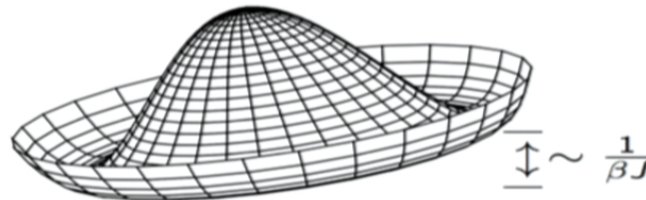
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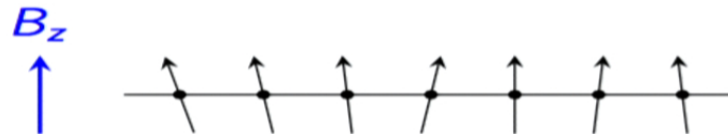
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Effective action for pseudo-Goldstone mode

- ▶ Effective action for pseudo-Goldstone mode $f_x(\tau) = \tau + \epsilon_x(\tau)$

$$S \simeq \frac{1}{256\pi} \sum_{n,p} \epsilon_{n,p} \left(\underbrace{\frac{\sqrt{2}\alpha_K}{\beta J} n^2 (n^2 - 1)}_{\text{Explicit breaking}} + \underbrace{\frac{J_1^2}{3J^2} p^2 |n| (n^2 - 1)}_{\text{Kinetic term}} \right) \epsilon_{-n,-p}$$

- ▶ Important: determines the energy diffusion and the leading contribution to chaos (will discuss later).

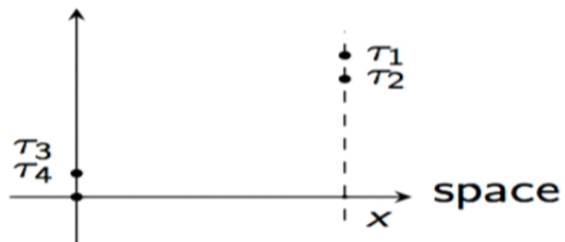
Four-point functions

- ▶ Connected four-point function determined by quantum fluctuations:

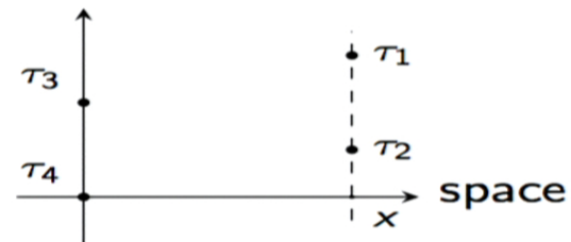
$$\begin{aligned} \frac{1}{N^2} \sum_{j,k} \langle \chi_{j,x}(\tau_1) \chi_{j,x}(\tau_2) \chi_{k,y}(\tau_3) \chi_{k,y}(\tau_4) \rangle_{\text{conn.}} &= \langle G_x(\tau_1, \tau_2) G_y(\tau_3, \tau_4) \rangle - \langle G \rangle \langle G \rangle \\ &= \langle \delta G_x(\tau_1, \tau_2) \delta G_y(\tau_3, \tau_4) \rangle = \frac{1}{N} Q_{xy}^{-1}(\tau_1, \tau_2; \tau_3, \tau_4) \end{aligned}$$

- ▶ Next: physical consequence
 1. OPE: collective modes and energy transport;
 2. Out-of-time-ordered correlation function: characterization of chaos.

imaginary time



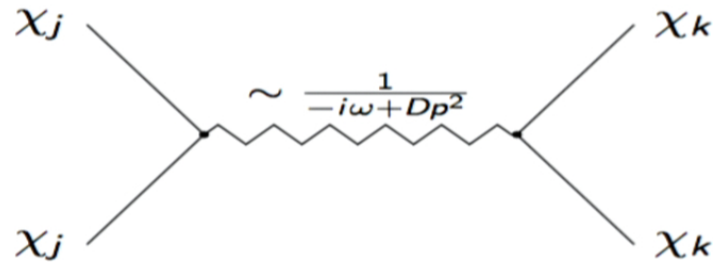
imaginary time



OPE and energy diffusion

OPE region: $\tau_1 \approx \tau_2 \gg \tau_3 \approx \tau_4$: the four-point function \sim two-point function of collective modes.

1. Leading contribution: energy momentum tensor.



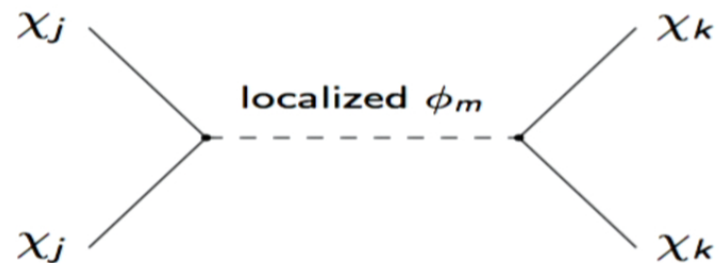
2. A diffusion pole $\frac{1}{-i\omega + Dp^2}$ with diffusion constant:

$$D = \frac{2\pi J_1^2}{3\sqrt{2}\alpha_K J}, \quad \alpha_K \approx 2.852 \text{ (numerical constant)}$$

J_1 : coupling between neighbor sites; J : effective on-site coupling.

OPE and “stringy” modes

1. Subleading contributions: an infinite family of collective local critical modes ϕ_m , $m = 1, 2, \dots$, localization length \sim lattice scale;



2. Corresponds to “low-tension strings” in $0 + 1$ SYK; [[Maldacena, Stanford 2016](#)]
3. Responsible to the correction of chaos exponents (later slides).

Chaos region of four-point function

- ▶ A special time-order responsible for chaos in many-body system: out-of-time-order (OTO)

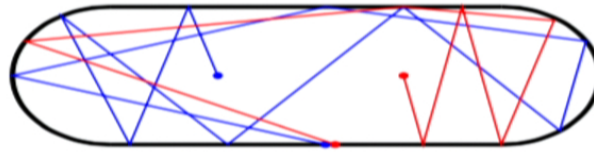
$$\langle \chi_{j,x}(t) \chi_{k,y}(0) \chi_{j,x}(t) \chi_{k,y}(0) \rangle_{\text{conn.}}$$

[Kitaev; Maldacena, Stanford, Shenker; ...]

- ▶ Next:
 1. briefly explain why OTO is relevant to chaos.
 2. show the results in our model.

From classical to quantum

Chaos: high sensitivity on initial condition.

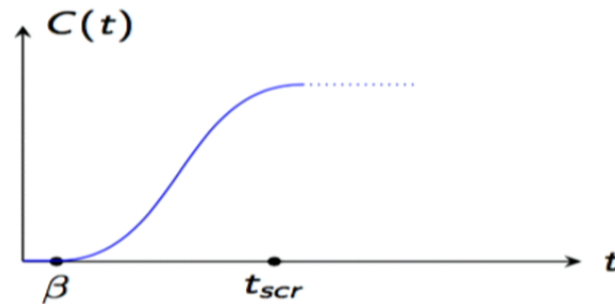


Particles in a stadium

- ▶ Classical chaos: Poisson bracket $\{q(t), p(0)\}_{\text{PB}} = \frac{\partial q(t)}{\partial q(0)} \sim e^{\lambda_L t}$,
 λ_L : Lyapunov exponent.
- ▶ From classical to quantum:
 $\{q(t), p(0)\}_{\text{PB}} \rightarrow [\hat{q}(t), \hat{p}(0)] \rightarrow [W(t), V(0)]$
[Larkin, Ovchinnikov 1969].
- ▶ $W(t), V(0)$ -generic (hermitian) Heisenberg operators in many-body system

Quantum chaos in many-body system

- ▶ Diagnostics: $C(t) = -\text{Tr}([W(t), V(0)]^2 \rho_\beta) = -\langle [W(t), V(0)]^2 \rangle_\beta$ (operator 2-norm on thermal ensemble).
- ▶ Example: 0+1 SYK model.



$$C(t) \sim \frac{1}{N} e^{\lambda_L t}. \quad t_{scr} \sim \frac{1}{\lambda_L} \log N: \text{ time scale of "memory" ;}$$

- ▶ λ_L : measure how fast chaos develops.
- ▶ Maldacena-Shenker-Stanford bound $\lambda_L \leq \frac{2\pi}{\beta}$.

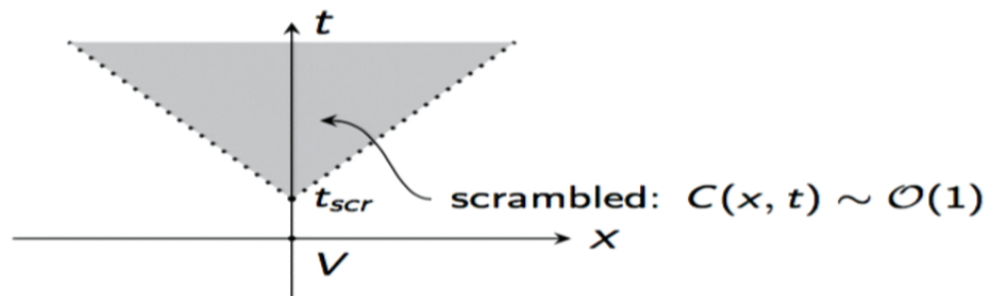
Butterfly velocity

- ▶ QM \Rightarrow QFT with spatial locality:



$$C(x, t) := -\langle [W(x, t), V(0, 0)]^2 \rangle_\beta$$

- ▶ Extra delay of the scrambling time: e.g. $t_{scr}(x) = t_{scr} + |x|/v$, $v = v_B$: butterfly velocity.

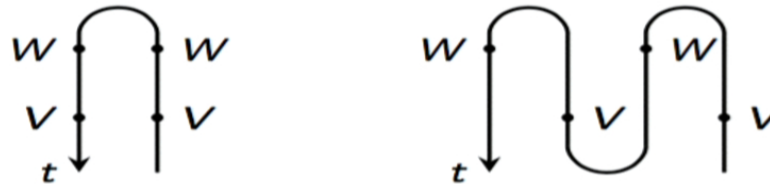


- ▶ Butterfly velocity: the speed of chaos propagation. [Roberts, Stanford, Susskind 2014]

$C(t)$ and OTO correlation function

- ▶ Growing of $C(t)$ is a measure of chaos. \Rightarrow OTO?
- ▶ $C(t) = - \langle [W(t), V(0)]^2 \rangle_{\beta}$ contains four terms.

$$C(t) = \underbrace{\langle V(0)W(t)W(t)V(0) + W(t)V(0)V(0)W(t) \rangle_{\beta}}_{\text{"Accessible correlators"}} - \underbrace{\langle W(t)V(0)W(t)V(0) - V(0)W(t)V(0)W(t) \rangle_{\beta}}_{\text{"Out-of-time-ordered correlators"}}$$



- ▶ OTO correlator: non-trivial exponential growing.

Chaos in generalized SYK

OTO correlation function is responsible for chaos.

- ▶ In our (1 + 1)-d SYK chain model:

$$\frac{\langle \chi_{j,x}(t) \chi_{k,0}(0) \chi_{j,x}(t) \chi_{k,0}(0) \rangle_{\text{conn.}}}{\langle \chi_{j,x}(t) \chi_{k,0}(0) \chi_{j,x}(t) \chi_{k,0}(0) \rangle_{\text{disc.}}} \sim \frac{(\beta J)^{1/2}}{N} \exp \frac{2\pi}{\beta} (t - |x|/v_B)$$

- ▶ $\lambda_L = \frac{2\pi}{\beta}$ true at least to $\frac{1}{(\beta J)^2}$.

Correction vanishes at $\frac{1}{\beta J}$ order: localization of the “stringy” modes;

- ▶ Butterfly velocity:

$$v_B = \frac{2\pi J_1}{(3\sqrt{2}\alpha_K \beta J)^{1/2}}, \quad \alpha_K \approx 2.852 \text{ (numerical constant)}$$

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Comments on the v_B

- ▶ Interesting relation to diffusion:

$$v_B^2 = 2\pi TD$$

agree with holographic calculation on incoherent black hole [Blake 2016]. Relevant to incoherent metal [Hartnoll 2014].

- ▶ Compared with holographic calculation [Roberts, Swingle 2016]
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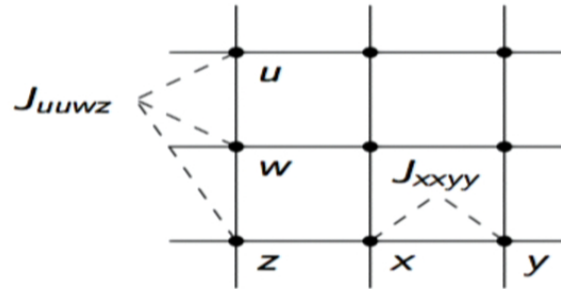
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Brief discussion on general construction

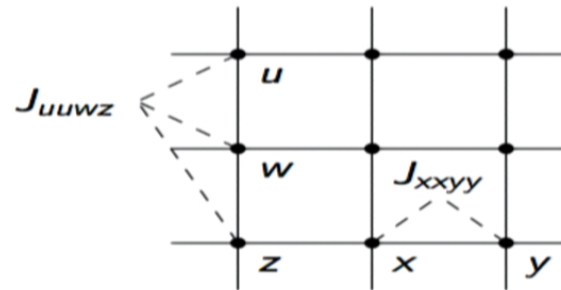
- ▶ Our model can be defined on arbitrary lattice Γ in any dimensions.

$$H = \sum_{x,y,z,w \in \Gamma} \sum_{j,l,k,m=1}^N J_{jklm,xyzw} \chi_{j,x} \chi_{k,y} \chi_{l,z} \chi_{m,w}$$



- ▶ Independent random numbers $\overline{J_{jklm,xyzw}^2} = \frac{J_{xyzw}^2}{N^3}$, solvable at large N .
- ▶ Locality: J_{xyzw} are “local functions” of $xyzw$.

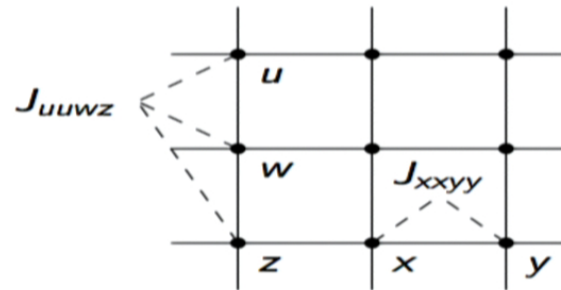
Brief discussion on general construction



1. Emergent conformal symmetry at strong coupling, local criticality for fermions, maximal chaos;
2. Diffusive energy transport and butterfly velocity: $v_{B,j}^2 = 2\pi TD_j$ remains for all directions x_j , $j = 1, 2, \dots, d$.

Further generalization: add global symmetries.

Brief discussion on general construction



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Summary and discussion

Summary:

1. A generalized construction of Sachdev-Ye-Kitaev model at higher dimensions with locality;
2. Solvable at large N , local criticality, emergent symmetry at strong coupling $N \gg \beta J \gg 1$;
3. OPE and diffusive energy transport;
4. Maximal chaos. Butterfly velocity, related to diffusion constant by $v_B^2 = 2\pi TD$

Discussions:

1. A platform to study properties of strongly correlated system **exactly**;
2. Is our model holographic?
 - ▶ The relation suggests a dual to “incoherent black hole”.
 - ▶ One single family of modes (reparametrizations) dominate, dual to “gravitational field”?