

Title: PSI 2016/2017 Quantum Theory - Lecture 11

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Abstract:

Quantum Mechanics
Perturbation Theory –
Schrieffer-Wolff

Lecture 11



Bravyi, DiVincenzo, Loss
arXiv: 1105.0675

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Perturbation Theory –
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Lecture 11

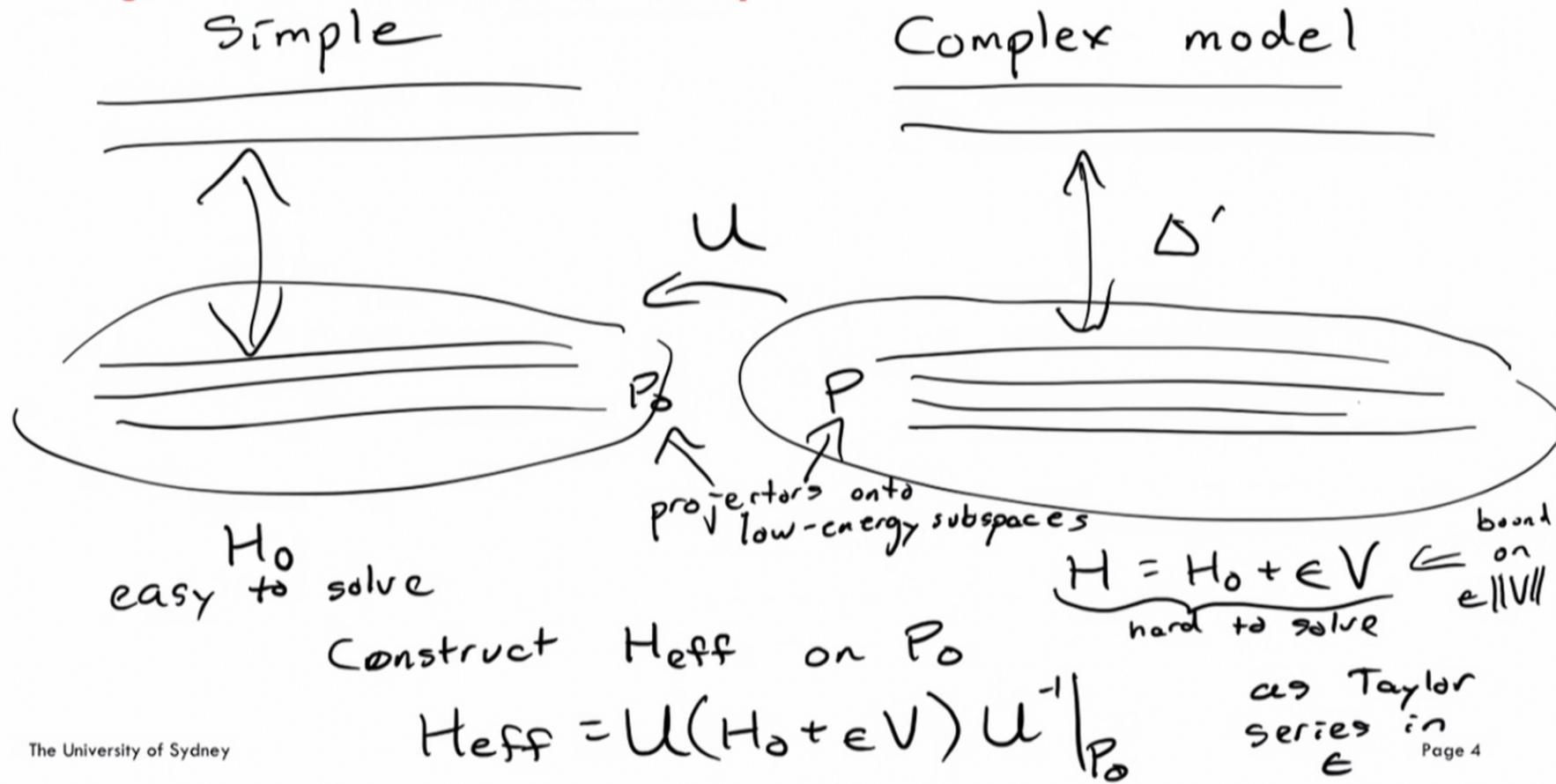
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THE UNIVERSITY OF
SYDNEY

'Degenerate' Perturbation Theory



Advantages of SW perturbation theory

- Works well for topological quantum many-body models
 - Standard techniques for Feynman-Dyson diagram technique require adiabatic assumption: i.e., non-degenerate ground state
- Rigorous results with proven convergence conditions
- Systematic method to construct local H_{eff} (satisfying linked cluster theorem)

Direct rotation between a pair of subspaces – one-dimensional

Direct rotation between a pair of subspaces – one-dimensional

Finite dimensional Hilbert space \mathcal{H}

For any $|v\rangle \in \mathcal{H}$

$$R_v = I - 2|v\rangle\langle v|$$

flips sign of $|v\rangle$

acts trivially on complement

Given a pair $|v\rangle, |0\rangle$ we want $U_{v \rightarrow 0}$ mapping $|v\rangle \rightarrow |0\rangle$
(up to an overall phase)

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Given a pair $|v\rangle, |w\rangle$ we want $U_{v \rightarrow w}$ mapping $|v\rangle \rightarrow |w\rangle$
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Double reflection $R_w R_v$ - rotates $\text{span}\{|v\rangle, |w\rangle\}$ by 2θ where
identity elsewhere $|\langle v|w\rangle| = \cos\theta$

$$U_{v \rightarrow w} = \sqrt{R_w R_v}$$

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$$U_{v \rightarrow w} = \sqrt{R_w R_v}$$

Lemma: $|w\rangle, |v\rangle$ nonorthogonal $\Rightarrow R_w R_v$ has no eigenvalues on real neg axis

Direct rotation between a pair of subspaces – one-dimensional

Proof of lemma:

Since $U_{\gamma \rightarrow \phi}$ (and $R_\gamma R_\phi$) acts trivially on complement of $\text{span}\{|1\rangle, |0\rangle\} \Rightarrow$ restrict to qubit case \mathbb{C}^2

$$\text{wlog } |1\rangle = |1\rangle \quad |0\rangle = \sin\theta|0\rangle + \cos\theta|1\rangle$$

Direct rotation between a pair of subspaces – one-dimensional

Proof of lemma:

Since $U_{\gamma \rightarrow \emptyset}$ (and $R_\gamma R_\emptyset$) acts trivially on complement of $\text{span}\{|1\rangle, |\emptyset\rangle\} \Rightarrow$ restrict to qubit case \mathbb{C}^2

wlog $|1\rangle = |1\rangle \quad |\emptyset\rangle = \sin\theta|0\rangle + \cos\theta|1\rangle \quad 0 \leq \theta < \pi/2$

Direct rotation between a pair of subspaces – one-dimensional

Proof of lemma:

Since $U_{y \rightarrow \phi}$ (and $R_y R_\phi$) acts trivially on complement of $\text{span}\{|+\rangle, |\phi\rangle\} \Rightarrow$ restrict to qubit case \mathbb{C}^2

wlog $|+\rangle = |+\rangle$ $|\phi\rangle = \sin\theta|+\rangle + \cos\theta|i\rangle$ $0 \leq \theta < \pi/2$

$$R_y = Z \quad R_\phi = \cos 2\theta Z - \sin 2\theta X$$

Direct rotation between a pair of subspaces – one-dimensional

Proof of lemma:

Since $U_{Y \rightarrow \emptyset}$ (and $R_Y R_\emptyset$) acts trivially on complement of $\text{span}\{|Y\rangle, |\emptyset\rangle\} \Rightarrow$ restrict to qubit case \mathbb{C}^2

wlog $|Y\rangle = |1\rangle \quad |\emptyset\rangle = \sin\theta|0\rangle + \cos\theta|1\rangle \quad 0 \leq \theta < \pi/2$

$$R_Y = Z \quad R_\emptyset = \cos 2\theta Z - \sin 2\theta X$$

$$R_\emptyset R_Y = \cos(2\theta) I + i \sin(2\theta) Y = e^{i\theta Y}$$

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Since $0 \leq 2\theta < \pi$, no eig. on real neg axis

$$U_{Y \rightarrow \emptyset} = e^{i\theta Y} \quad \text{Note } U_{Y \rightarrow \emptyset}|Y\rangle = |\emptyset\rangle$$

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For any $|v\rangle \in \mathcal{H}$

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Given a pair $|v\rangle, |\phi\rangle$ we want $U_{v \rightarrow \phi}$ mapping $|v\rangle \rightarrow |\phi\rangle$
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Double reflection $R_\phi R_v$ - rotates $\text{span}\{|v\rangle, |\phi\rangle\}$ by 2θ where
- identity elsewhere $|\langle v|\phi\rangle| = \cos\theta$

$$U_{v \rightarrow \phi} = \sqrt{R_\phi R_v}$$

Lemma: $|v\rangle, |\phi\rangle$ nonorthogonal $\Rightarrow R_\phi R_v$ has no eigenvalues on real neg axis

Fix $\langle \phi | v \rangle$ real, positive -

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Lemma: $|v\rangle, |\phi\rangle$ nonorthogonal $\Rightarrow R_\phi R_v$ has no eigenvalues on real

Fix $\langle \phi | v \rangle$ real, positive $\Rightarrow U_{v \rightarrow \phi} |v\rangle = |\phi\rangle$ neg axis

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Fix $\langle \phi | v \rangle$ real, positive $\Rightarrow U_{v \rightarrow \phi} |v\rangle = |\phi\rangle$ ^{neg axis}

Corollary: Let $P = |v\rangle\langle v|$ $P_\phi = |\phi\rangle\langle \phi|$

w

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Corollary: Let $P = |v\rangle\langle v|$ $P_0 = |\phi\rangle\langle \phi|$

Write $U_{v \rightarrow \phi} = \exp(S)$ S anti-Hermitian

- $PSP = P_0SP_0 \neq (I-P)S(I-P) = (I-P_0)S(I-P_0) = 0$
- $\|S\| < \pi/2$

Page 6

Direct rotation between a pair of subspaces – one-dimensional

Proof of lemma:

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$$U_{Y \rightarrow \emptyset} = e^{i\theta Y} \quad \text{Note } U_{Y \rightarrow \emptyset}|Y\rangle = |\emptyset\rangle$$

Proof of corollary: $S = i\theta Y$

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Proof of corollary: $S = i\theta Y$ (and $S=0$ on complement if extended)

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$$\text{So } P_S P = 0 + P_\emptyset S P_\emptyset = 0$$

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$$\text{So } PSP = 0 + P_\emptyset S P_\emptyset = 0 \quad \text{Check } \begin{cases} (1-P)S(1-P) = 0 \\ (1-P_\emptyset)S(1-P_\emptyset) = 0 \end{cases}$$

Direct rotation between a pair of subspaces – arbitrary

$P, P_0 \subseteq \mathcal{H}$ linear subspaces of \mathcal{H} of same dim.
Define projectors P, P_0 onto P, P_0

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"Non orthogonality" replaced with $\|P - P_0\| < 1$ ←

Holds iff
no vector in P
is orthogonal to P_0
and vice versa

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$$R_P = I - 2P \quad \begin{array}{l} \text{- flips sign of vectors in } P \\ \text{- trivial on complement} \end{array}$$

$$U = \sqrt{R_{P_0} R_P} \quad \text{Direct rotation from } P \text{ to } P_0$$

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Lemma: If $\|P - P_0\| < 1$, no eigenvalue on neg real axis and $\sqrt{R_{P_0} R_P}$ uniquely defined.

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Note: U is "minimal" rotation, it differs the least from the identity $\sqrt{R_{P_0} R_P}$ uniquely defined.

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Corollary: $U = \exp(S)$ S antihermitian

$$\bullet P S P = P_0 S P_0 = I$$

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$$\bullet P S P = P_0 S P_0 = (I - P) S (I - P) = (I - P_0) S (I - P_0) = 0$$

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- $PSP = P_0SP_0 = (I - P)S(I - P) = (I - P_0)S(I - P_0) = 0$
- $\|S\| < \pi/2$

Generator of the direction rotation

How do we find S ?

What are some "nice" conditions defining S ?

Lemma: Suppose $\|P - P_0\| < 1$.

Then \exists unique antihermitian S s.t.

$$1) e^S P e^{-S} = P_0$$

$$2) S \text{ is block-offdiagonal wrt } P_0 \quad P_0 S P_0 = 0 \\ (I - P_0) S (I - P_0) = 0$$

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Then $U = \exp(S)$ is the direct rotation from P to P_0

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Then $U = \exp(S)$ is the direct rotation from P to P_0

Proof sketch: We know S exists, just need to prove uniqueness.

Weak multiplicativity of the direction rotation

Bipartite system $\mathcal{H} = \mathcal{H}^A \otimes \mathcal{H}^B$
Projectors P^A, P_0^A and P^B, P_0^B with $\|P^A - P_0^A\| < 1$
 $\|P^B - P_0^B\| < 1$

$$U^A P^A (U^A)^+ = P_0^A \quad U^B P^B (U^B)^+ = P_0^B$$

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"Global" direct rotation $U^{AB} : P^A \otimes P^B \rightarrow P_0^A \otimes P_0^B$

$$U^{AB} (P^A \otimes P^B) (U^{AB})^+ = P_0^A \otimes P_0^B$$

Note $U^{AB} \neq U^A \otimes U^B$ in general

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Prop: If $\|P^A - P_0^A\| < 1$ and $\|P^B - P_0^B\| < 1$ then
 $\|P^A \otimes P^B - P_0^A \otimes P_0^B\| < 1$

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Note $U^{AB} \neq U^A \otimes U^B$ in general

Prop: If $\|P^A - P_0^A\| < 1$ and $\|P^B - P_0^B\| < 1$ then

$$\|P^A \otimes P^B - P_0^A \otimes P_0^B\| < 1$$

Weak multiplicativity:

$$U^{AB} (P^A \otimes P^B) = U^A \otimes U^B (P^A \otimes P^B)$$

Spectral gap

Finite dim \mathcal{H} , H_0 $\xleftarrow{\text{unperturbed Hamiltonian}}$ Hermitian operator

$P_0 \subseteq \mathcal{H}$ subspace spanned by eigenvectors corresponding to all eigenvalues in some interval I_0

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H_0 has a spectral gap Δ iff for any pair of eigenvalues $\lambda \in I_0, \eta \notin I_0$ we have $|\lambda - \eta| \geq \Delta$

Spectral gap

Finite dim \mathcal{H} , $H_0 \xleftarrow{\text{unperturbed Hamiltonian}}$ Hermitian operator

$P_0 \subseteq \mathcal{H}$ subspace spanned by eigenvectors corresponding to all eigenvalues in some interval I_0

H_0 has a spectral gap Δ iff for any pair of eigenvalues $\lambda \in I_0, \eta \notin I_0$ we have $|\lambda - \eta| \geq \Delta$

Consider $H = H_0 + V$ V arb. Hermitian op. on \mathcal{H}
Restrict V s.t. $|V| \leq \epsilon_c = \frac{\Delta}{2\|V\|}$

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Finite dim \mathcal{H} , $H_0 \xleftarrow{\text{unperturbed Hamiltonian}}$ Hermitian operator

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Define P, P_0 projectors on $\mathcal{P}, \mathcal{P}_0$
 $Q = I - P$ $Q_0 = I - P_0$