

Title: First sound of zero temperature holographic superfluids

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Abstract: Within the context of AdS/CFT, the gravity dual of an s-wave superfluid is given by scalar QED on an asymptotically AdS spacetime. While this conclusion is vastly based on numerical arguments, I will provide an analytical proof that this is indeed the case. In particular, I will present a technique which allows to explicitly compute the low-energy effective action for the boundary theory starting from the bulk system. This will be done for an arbitrary number of dimensions and an arbitrary potential. I will recover the known dispersion relation for conformal first sound.

# FIRST SOUND OF ZERO TEMPERATURE HOLOGRAPHIC SUPERFLUIDS

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Low Energy Challenges for High Energy Physics II

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Talk mostly based on:

AE, S. Garcia-Saenz, R. Penco — arXiv:1606.03104

AE, S. Garcia-Saenz, A. Nicolis, R. Penco — work in progress

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# OUTLINE

- Review of EFT for superfluids and its gravity dual
- Setup and background equations of motion
- Quadratic action for phonons
  1. Linearized, low-energy equations of motion for the fluctuations
  2. The partially on-shell quadratic action
- Future plans and conclusions



# A BRIEF REVIEW

## The EFT for a superfluid

- An **s-wave superfluid** at  $T = 0$  can be seen as a system where a **spontaneously broken**  $U(1)$  symmetry is at **finite density**
- Its low energy description involves a single real field  $\phi(x)$  such that:

$$\phi \xrightarrow{U(1)} \phi + \boxed{a}$$

Shift under  $U(1)$

$$\langle \phi \rangle = \boxed{\mu t}$$

Chemical potential

$$\phi = \mu t + \boxed{\pi}$$

Goldstone boson  
= Phonon

- Most general action for the phonons:  $S = \int d^D x P(X)$  with:  $X = -\partial_\mu \phi \partial^\mu \phi$   
(Mostly + metric)
- On the background:  $X_{\text{bkg}} = \mu^2$
- The stress tensor is given by:  $T_{\mu\nu} = 2 \frac{\partial P}{\partial X} \partial_\mu \phi \partial_\nu \phi + \eta_{\mu\nu} P$
- Conformal symmetry** constrains  $P(X)$ , and therefore the **speed of sound** to be:

$$P(X) \propto X^{D/2}$$

$$c_s^2 = \frac{1}{D-1}$$



# A BRIEF REVIEW

## The gravity dual

- An **alternative description** of the same system involves a complex field  $\Phi(x) = e^{i\phi(x)}$  and a shift in the time derivatives, such that:

$$\Phi \xrightarrow{U(1)} e^{ia}\Phi \quad \partial_t \rightarrow \partial_t - i\mu \quad \langle \phi \rangle = 0$$

Constant gauge field
No more VEV

- With this new language the **holographic dual** can be found easily:

**scalar QED on asymptotically AdS space**

[see e.g. Herzog, Kovtun, Son 0809.4870; Hartnoll, Herzog, Horowitz 0803.3295]

- There is no shortage of studies of this system in the literature. However, most of them are based on numerical arguments.
- We found a fairly simple method to **explicitly and analytically construct the quadratic boundary action for phonons starting from the bulk side**.
- Similar methods have been developed for the EFT of holographic fluids.

[Nickel, Son 1009.3094; de Boer, Heller, Pinzani-Fokeeva 1504.07616;  
Crossley, Glorioso, Liu, Wang 1504.07611]

# OUR SETUP

- We consider the following action:

$$S = - \int d^{D+1}x \sqrt{-g} \left[ |\partial\Phi - iqA\Phi|^2 + V(|\Phi|^2) + \frac{1}{4} F_{MN} F^{MN} \right] + S_{\text{c.t.}}$$

- We keep the **potential completely general** and of the form:

$$V(|\Phi|^2) = m^2 |\Phi|^2 + \text{interaction terms}$$

- We want to study the **zero temperature case**  $\longrightarrow$  we work in the **probe limit** (no backreaction) with **fixed AdS<sub>D+1</sub> metric**:

$$ds^2 = \frac{dx^\mu dx_\mu + du^2}{u^2} \quad \begin{array}{l} u = \infty \rightsquigarrow \text{center of AdS} \\ u = 0 \rightsquigarrow \text{boundary} \end{array}$$

- DISCLAIMER:** It has been shown that a non-backreacting AdS metric is **not always a good description of the geometry of the ground state superfluid** (IR sector not always conformally invariant).

[see e.g. Horowitz, Roberts 0908.3677; Gubser, Nellore 0908.1972]

- We **assume** that our potential is such that this approximation is consistent and there is indeed **conformal symmetry** (e.g. free massless field, W-shaped potential at large charge).



# THE BACKGROUND FIELDS

- *Background fields* ansatz:  $\Phi \equiv \rho(u) \quad A_M \equiv \sqrt{2} \frac{\psi(u)}{u} \delta_M^0$

- Near-boundary behavior:

The diagram shows the near-boundary behavior of the background fields  $\rho$  and  $\psi$ . The field  $\rho$  is expanded as  $\rho = \rho_{(1)} u^{D-\Delta} + \rho_{(2)} u^\Delta + \dots$ , where  $\rho_{(1)}$  is boxed in red and labeled "Source of charged op." with a red arrow, and  $\rho_{(2)}$  is boxed in green and labeled "VEV of charge op." with a red arrow. A green arrow points from  $\rho_{(2)}$  to a green box containing the equation  $\Delta(\Delta - D) = m^2$ . The field  $\psi$  is expanded as  $\psi = \frac{\mu}{\sqrt{2}} u - \frac{\varepsilon}{\sqrt{2}} u^{D-1} + \dots$ , where  $\mu$  is boxed in orange and labeled "Chemical pot." with an orange arrow, and  $\varepsilon$  is boxed in orange and labeled "U(1) charge density" with an orange arrow.

- We take the *counter term action* to be:

$$S_{\text{c.t.}} = \lim_{u \rightarrow 0} \int d^D x \sqrt{-\gamma} \left[ (\Delta - D) |\Phi|^2 - \frac{1}{2(2\Delta - D - 2)} \Phi \square_\gamma \Phi^* + c.c. \right] + \dots$$

and the *boundary condition* to be  $\rho_{(1)} = 0 \longrightarrow$  **spontaneous breaking**

- The equations of motion for the background are then:

$$\begin{aligned} \rho'' - \frac{D-1}{u} \rho' - \frac{1}{u^2} V'(\rho^2) \rho + 2q^2 \frac{\psi^2}{u^2} \rho &= 0 \\ \psi'' - \frac{D-1}{u} \psi' + \frac{D-1}{u^2} \psi - 2q^2 \frac{\rho^2}{u^2} \psi &= 0 \end{aligned}$$

# THE QUADRATIC ACTION FOR PHONONS

Introducing the fluctuations

- Fluctuations of the fields around the background:  $\Phi = (\rho + \sigma)e^{i\pi}$        $A_M = \bar{A}_M + \alpha_M$

- Quadratic action:

$$S^{(2)} = - \int d^{D+1}x \sqrt{-g} \left[ \partial_M \sigma \partial^M \sigma + \rho^2 \partial_M \pi \partial^M \pi - 4q \bar{A}^M \rho \partial_M \pi \sigma - 2q \rho^2 \alpha^M \partial_M \pi \right. \\ \left. + q^2 \bar{A}^M \bar{A}_M \sigma^2 + 4q^2 \rho \bar{A}_M \alpha^M \sigma + q^2 \rho^2 \alpha_M \alpha^M + (V' + 2\rho^2 V'') \sigma^2 + \frac{1}{4} f_{MN} f^{MN} \right] + S_{\text{c.t.}}^{(2)}$$

Term of the c.t. action quadratic in the fluctuations

$f_{MN} = \partial_M \alpha_N - \partial_N \alpha_M$

- Plan of action:

- Write the equations of motion for the fluctuations to **lowest order in boundary derivatives**
- Solve them for all fluctuations but  $\pi$ , with **vanishing double Dirichlet b.c.**
- Find the resulting **partially on-shell action**

- We will see that, although the analytical expression for the background fields is not available, we can still compute the Goldstone action regardless of its details



# THE QUADRATIC ACTION FOR PHONONS

The low-energy, linearized equations

- The **low-energy expansion** can be implemented with the following rules:

$$\sigma, \alpha_\mu, \partial_u \sim \mathcal{O}(1) \quad \partial_\mu \sim \mathcal{O}(\epsilon) \quad \pi, \alpha_u \sim \mathcal{O}(1/\epsilon)$$

- The resulting **linearized equations** are:

$$\begin{aligned} \sigma'' - \frac{(D-1)}{u} \sigma' - \frac{1}{u^2} (V' + 2\rho^2 V'') \sigma + 2\sqrt{2} \frac{q\rho\psi}{u} (q\alpha_0 - \partial_0\pi) + \frac{2q^2\psi^2}{u^2} \sigma &= 0 \\ (q\alpha_0 - \partial_0\pi)'' - \frac{(D-3)}{u} (q\alpha_0 - \partial_0\pi)' - 2 \frac{q^2\rho^2}{u^2} (q\alpha_0 - \partial_0\pi) - 4\sqrt{2} \frac{q^3\rho\psi}{u^3} \sigma &= 0 \\ (q\alpha_i - \partial_i\pi)'' - \frac{(D-3)}{u} (q\alpha_i - \partial_i\pi)' - 2 \frac{q^2\rho^2}{u^2} (q\alpha_i - \partial_i\pi) &= 0 \\ \pi' - q\alpha_u &= 0 \end{aligned}$$

- NOTE:** we did not fix any gauge

- The last equation tells us right away that:  $\pi(u, x_\mu) = -q \int_u^\infty dw \alpha_u(w, x_\mu)$   
with vanishing b.c. at the center of AdS

- We will see that **the superfluid phonon** corresponds to:  $\pi_B(x_\mu) = \pi(u=0, x_\mu)$

# THE QUADRATIC ACTION FOR PHONONS

Solving the equations of motion

- We can find the **fluctuations in terms of the background fields**:

$$\alpha_i = \frac{1}{q} \left( \partial_i \pi - \frac{\sqrt{2} \psi}{\mu u} \partial_i \pi_B \right) \quad \sigma = -\frac{\rho'}{q\mu} u \partial_0 \pi_B \quad \alpha_0 = \frac{1}{q} \left( \partial_0 \pi - \frac{\sqrt{2} \psi'}{\mu} \partial_0 \pi_B \right)$$

which vanish both at  $u = \infty$  and  $u = 0$  (if the background decreases sufficiently fast in the IR).

- If we **plug them back into the quadratic action**, and use the equations for  $\psi$ , we find a purely boundary term:

$$S^{(2)} = \frac{\varepsilon(D-1)(D-2)}{2q^2\mu} \int d^D x \left[ \dot{\pi}_B^2 - \frac{\partial_i \pi_B \partial^i \pi_B}{(D-1)} \right]$$

- This is **exactly the low energy effective action for free phonons in a conformal superfluid** and indeed exhibits the right dispersion relation
- NOTE:** the boundary terms coming from the c.t. action vanish for  $u \rightarrow 0$



# DISCUSSION

- A few comments are now in order:
  1. Our argument does not apply to the  $D = 2$  case since the asymptotic behavior of the fields is not regular anymore. However, we do not expect Goldstone bosons because of Coleman's theorem; [Anninos, Hartnoll, Iqbal 1005.1973]
  2. With our conventions  $\varepsilon/\mu > 0$ . For  $D > 2$  this ensures that  $\pi_B$  is not ghost-like;
  3. The interpretation of the boundary Goldstone boson in terms of Wilson line of the radial component of the gauge field has been found in several other works:  
[see e.g. Nickle, Son 1009.3094 for Einstein-Maxwell;  
de Boer, Heller, Pinzani-Fokeeva 1504.07616 for ordinary fluids;  
Sakai, Sugimoto hep-th/0412141 for holographic QCD;  
Contino, Nomura, Pomarol hep-ph/0306259 for composite Higgs]
- We believe that if applied to other contexts, our method can help shed some light on some old issues as well as help in understanding the dual low energy theory of a given bulk system. In fact...

# THE QUADRATIC ACTION FOR PHONONS

Solving the equations of motion

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# FUTURE PLANS

- Directions for future work:

## A. Holographic superfluids at finite temperature:

- The EFT for a superfluid at non-zero temperature has been developed quite a few years ago. [\[Nicolis 1108.2513\]](#)
- The gravity dual is considered to be [scalar QED on Schwarzschild-AdS background](#).
- Numerical studies were [not able to reproduce Landau's prediction](#) for the relation between first and second sound at low temperature:  $c_2^2 = c_1^2/(D-1) \quad T \sim 0$
- This might be due to [additional degrees of freedom](#). [\[Herzog, Yarom 0906.4810\]](#)
- Can we recover the known EFT using our method? If not, can we isolate the unexpected degrees of freedom?

## B. Holographic solid:

- The EFT for a solid in [D-dim. flat space](#) has a global  $ISO(D) \times ISO(D)$  symmetry spontaneously broken down to the diagonal subgroup. The group is non-compact  $\longrightarrow$  no YM gauge theory can be built.
- However, if the solid lives on a [D-dim. sphere](#) the symmetry becomes  $SO(D+1)$ . [The gravity dual of this system should be simply a non-abelian YM theory](#).
- We are employing the technique presented here to check if we can recover the known action for the solid phonons... stay tuned!

# SUMMARY

To summarize:

- We showed a method to **recover the EFT of a superfluid** starting from the **fluctuations of the bulk fields** around their background
- The equations of motion for these fluctuations can be solved **without knowing the explicit form for the background**
- When the gauge is not fixed, the boundary Goldstone boson is the **IR-to-UV Wilson line of the radial component of the gauge field**
- Because it explicitly allows to build the action of the boundary EFT, **we believe that this method has the potential to help us to understand other holographic systems**

THANKS FOR YOUR ATTENTION!