

Title: Gravitational Positive Energy Theorems from Information Inequalities

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Abstract:



# Gravitational Positive Energy Theorems from Information Inequalities

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# Swampland Question

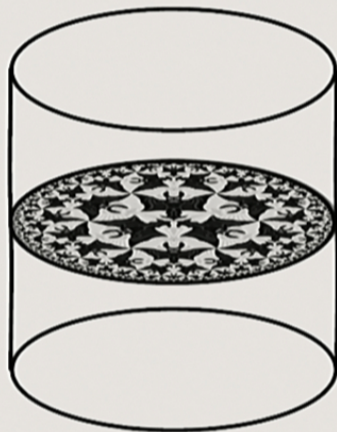
Given an effective theory of gravity, how can one judge whether it is realized as a low energy approximation to a consistent quantum theory with **ultra-violet completion**, such as string theory?

# Constraints on Symmetry

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## Conjectures:

- ☆ There are no global symmetry.
- ☆ All continuous gauge symmetries are compact.
- ☆ The spectrum of electric and magnetic charges forms a complete set consistent with the Dirac quantization condition.



Holographic understanding:

Harlow, arXiv: 1510.07911

Harlow + H.O., to appear

## More Conjectures:

**Gravity is the weakest force in Nature.**

Arkani-Hamed, Motl, Nicolis + Vafa, hep-th/0601001

Every symmetry is gauged.

With a gauge field, there is always a particle whose **mass is smaller than its charge** in the Planck unit.

*Black holes at the Reissner-Nordstrom bound are unstable unless protected by supersymmetry.*

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## Conjectures:

- ☆ The moduli space is **non-compact, complete, and has finite volume**.
- ☆ If we move a large distance  $T$  from a reference point, **a tower of light particles emerges** with mass of the order  $\exp(-aT)$  for some  $a$ . The number of such light particles becomes infinite as  $T$  tends to the infinity.
- ☆ There is **no non-trivial one-cycle with minimal length** within a given homotopy class in the moduli space.

as formulated by Vafa + H.O., arXiv:0605264

***These moduli space constraints have been proven for theories with  $N=3$  or higher supersymmetry.***

Cecotti, "Supersymmetric Field Theories," section 4.9.1

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# Constraints on Calabi-Yau Topology

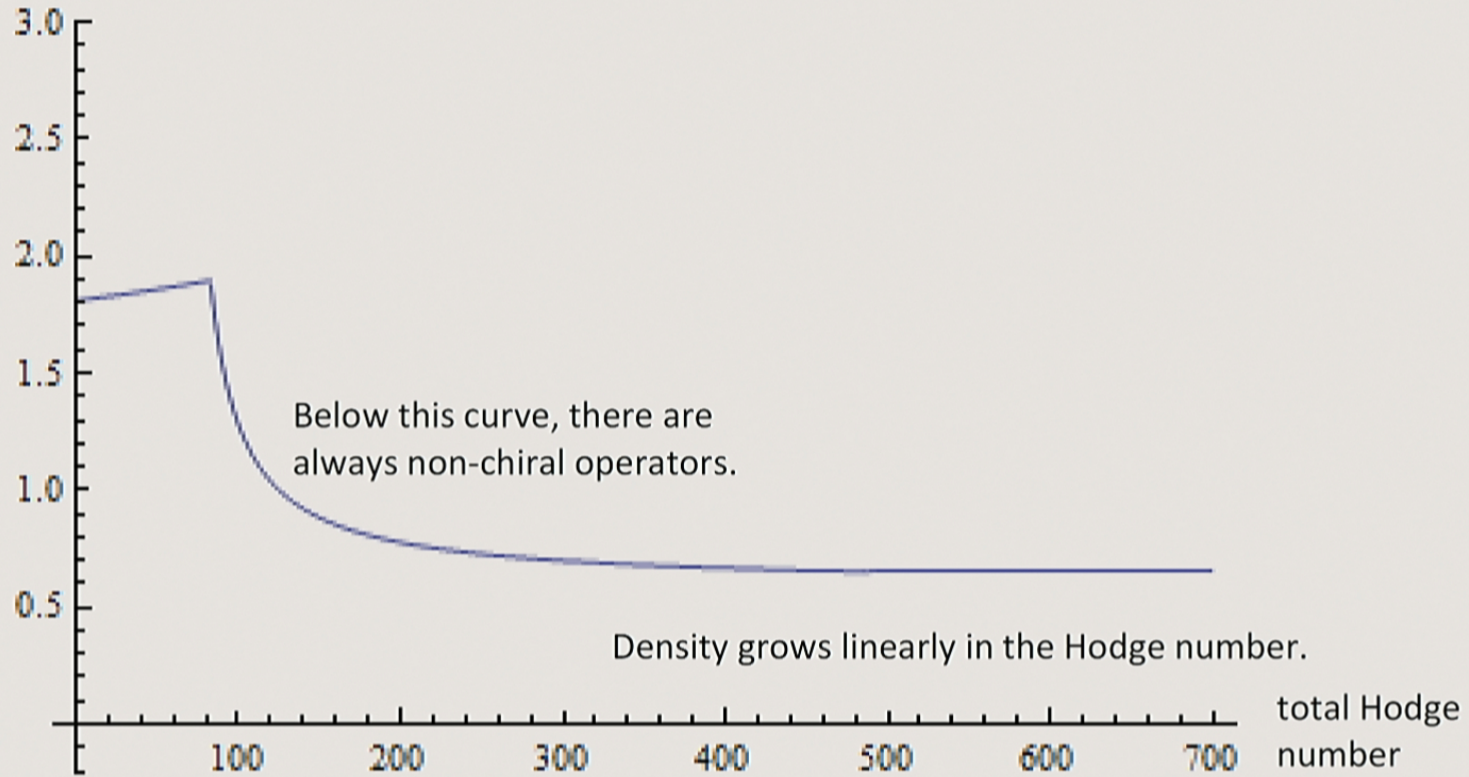
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# Modular invariance constraints

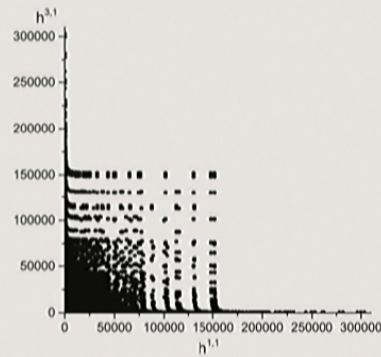
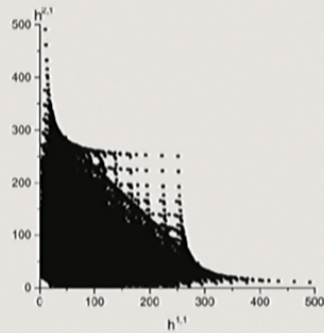
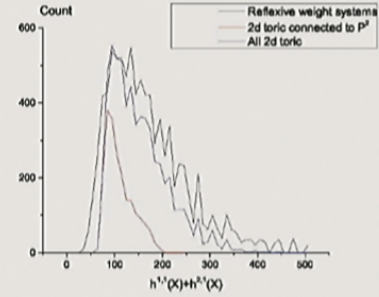
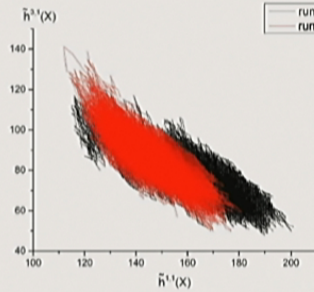
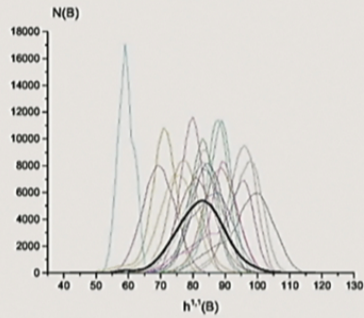
Keller + H.O., arXiv: 1209.4649

conformal dimensions



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# Recent experimental data on Calabi-Yau 3 and 4 folds



Taylor + Wang,  
arXiv: 1510.04978, 1511.03209

# Holographic Constraints

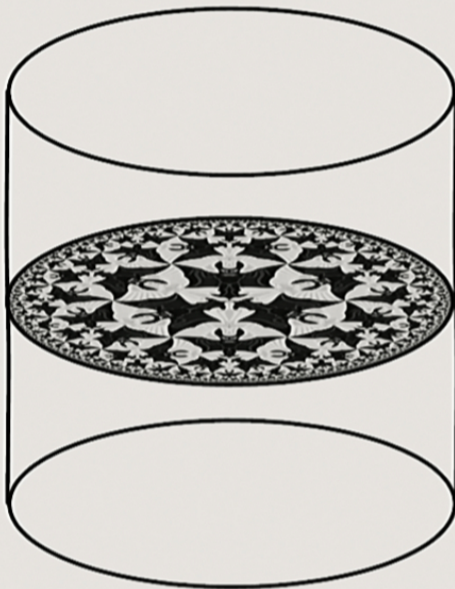
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Suppose there is a low energy effective field theory whose gravity solutions asymptote to the anti-de Sitter space at the infinity.



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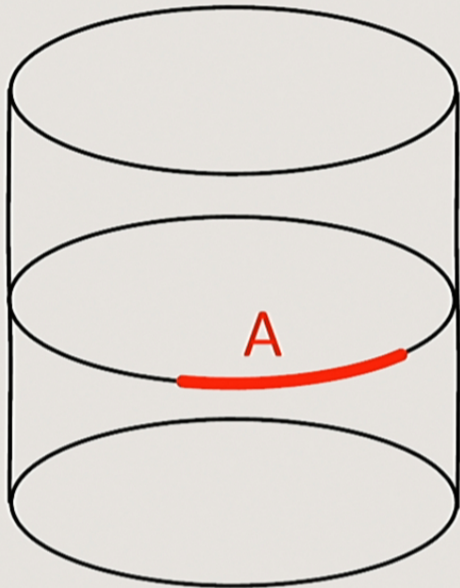
Suppose there is a low energy effective field theory whose gravity solutions asymptote to the anti-de Sitter space at the infinity.



## Holography of Quantum Gravity:

*Consistent quantum gravity in AdS is equivalent to a conformal field theory on the boundary.*

**AdS/CFT Correspondence**



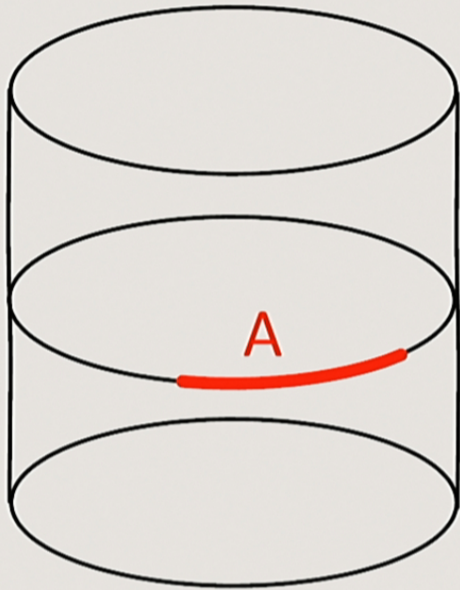
Gravity theory in (d+1)-dim AdS is equivalent to d-dim CFT.

Entanglement Density Matrix  $\rho$

For any state  $|\psi\rangle$  in CFT, choose a spacelike region A.

$$\rho = \text{tr}_{\bar{A}} |\psi\rangle\langle\psi|$$

- ☆ The trace is on the Hilbert space over the complement of A.
- ☆ It is an operator acting on the Hilbert space over A.



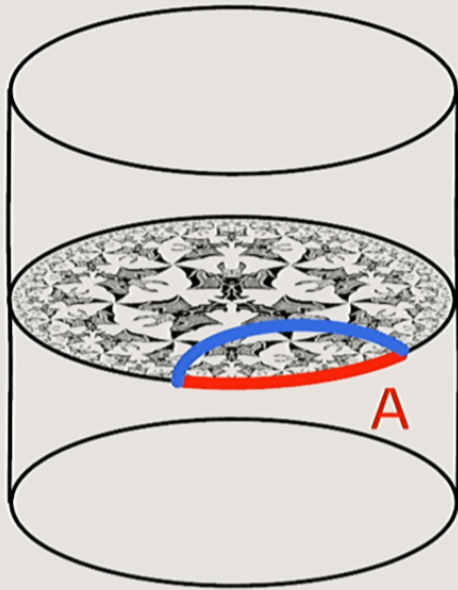
Entanglement Density Matrix  $\rho$

$$\rho = \text{tr}_{\bar{A}} |\psi\rangle\langle\psi|$$

**Entanglement Entropy  $S$**

$$S = -\text{tr} \rho \log \rho$$

**$S$**  measures the amount of entanglement between the region A and its complement.



## Entanglement Entropy $S$

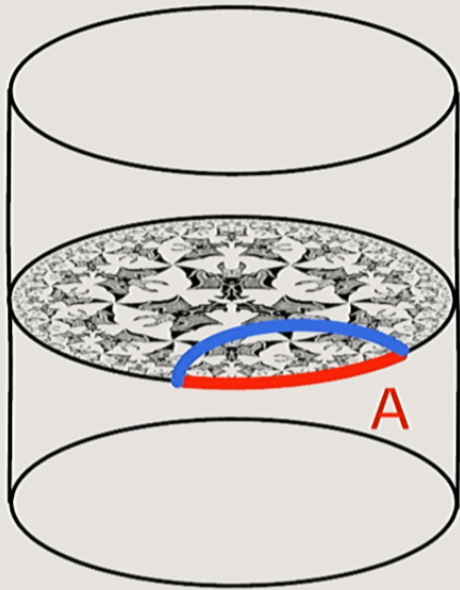
$$S = - \text{tr } \rho \log \rho$$

When the bulk gravity theory is described with smooth geometry, the entanglement entropy  $S$  is proportional to the area of the minimum surface ending of the boundary of  $A$ .

$$S = \frac{1}{4G_N} \text{Area}(\Sigma)$$

Ryu-Takayanagi (2006)





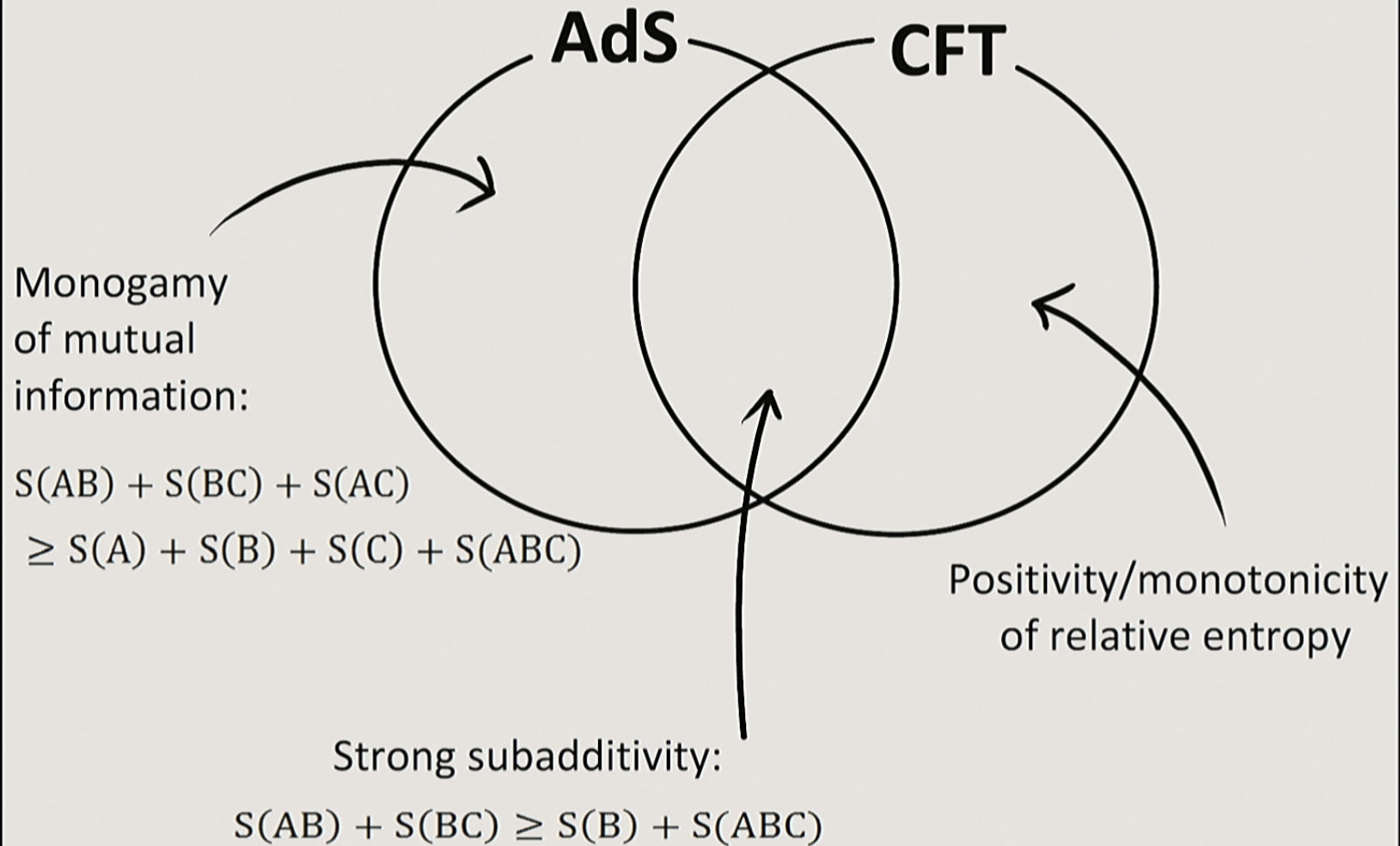
## Entanglement Entropy $S$

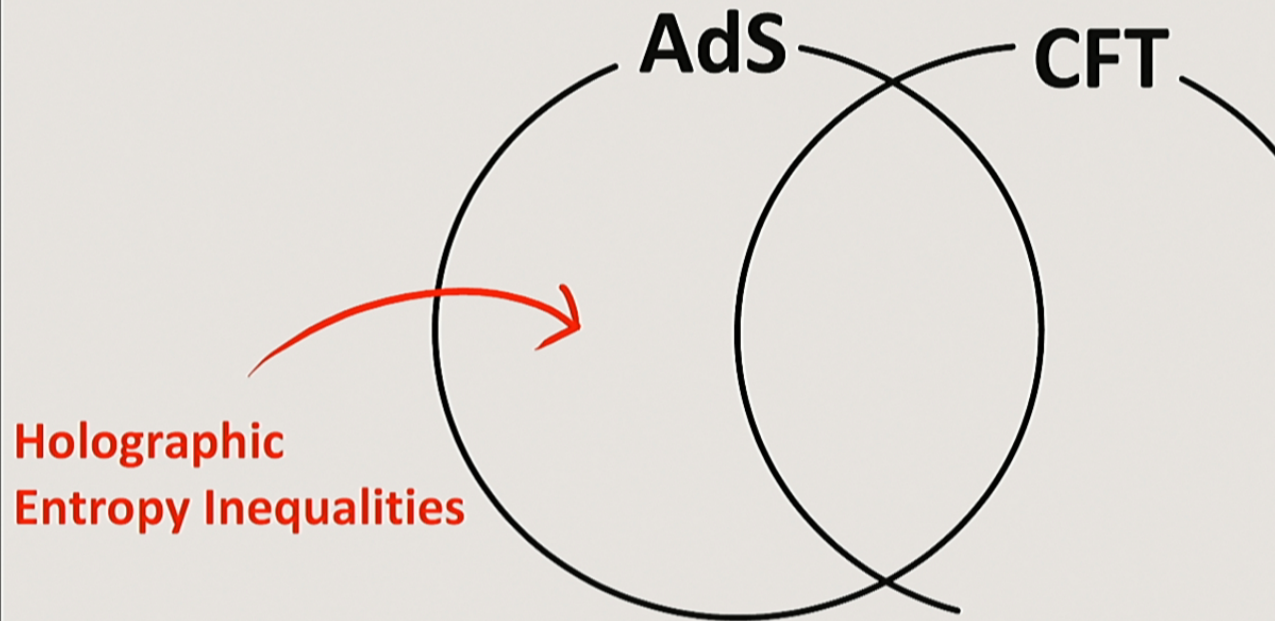
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Ryu-Takayanagi (2006)





CFT states with gravitational duals have interesting entanglement properties.

# Entropy Inequalities

## (Classical) Shannon Entropy:

There are *infinite number* of independent entropy inequalities for more than 3 regions.

⇒ Asymptotic performance for information processing tasks

Matus (2007)

## (Quantum) von Neumann Entropy:

For more than 3 regions, the complete set of independent inequalities is *not known*.

⇒ Numerical evidences that the number is infinite.

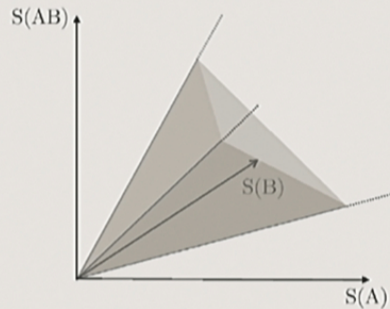
For holographic states:

- ☆ **Finite algorithm** to classify all inequalities.
- ☆ There are **finitely many independent inequalities** for a fixed number of regions.
- ☆ Complete classification for 2, 3, 4 regions.
- ☆ A new family of inequalities for 5 and more regions.

Bao, Nezami, Stoica, Sully, Walter + H.O., arXiv:1505.07839

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# Holographic Entropy Cone

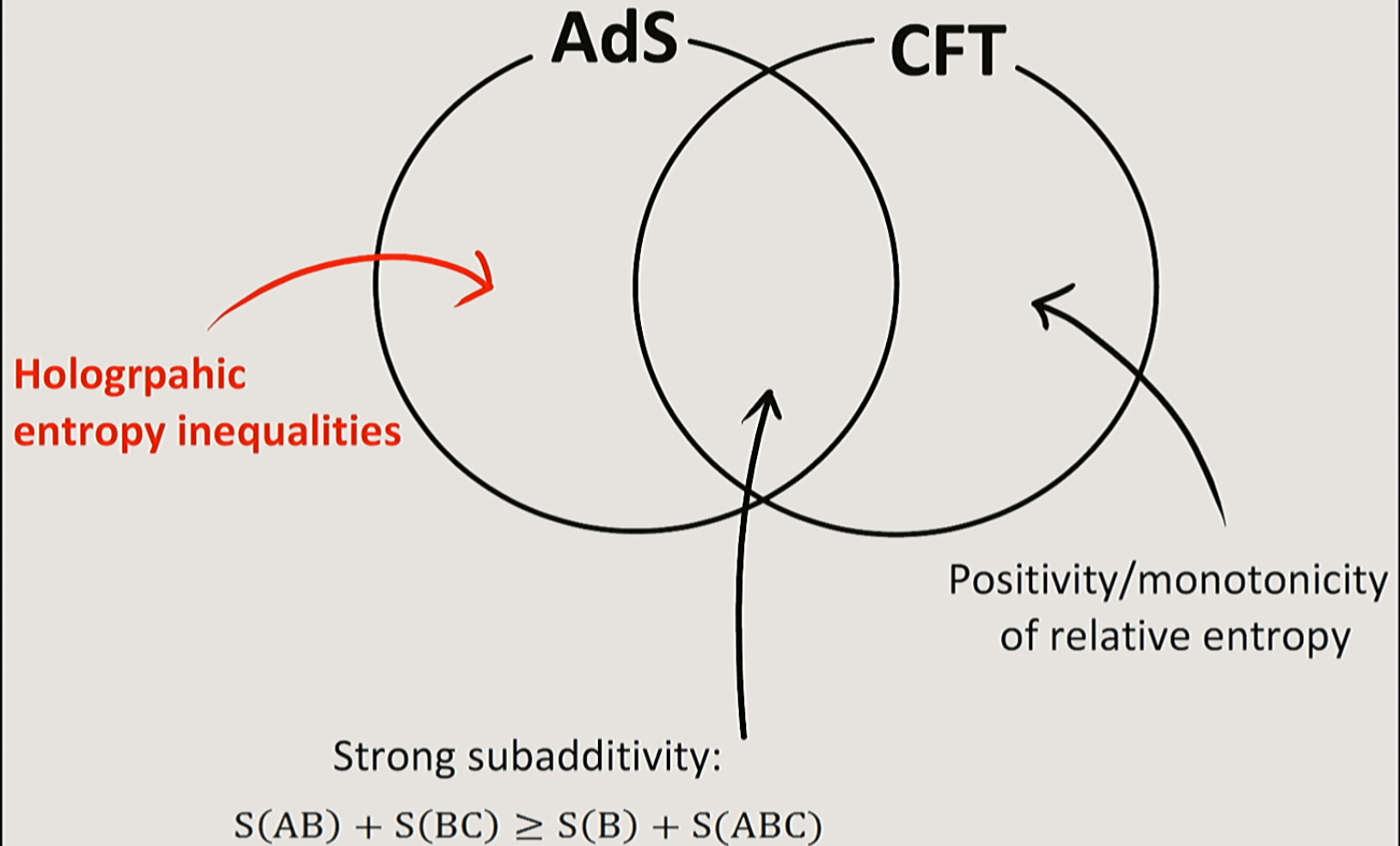


Entanglement entropies for  $n$  regions make a vector in  $(2^n - 1)$  dimensions.

Entropy vectors of holographic states populate inside of a **convex rational polyhedral cone**.

*The number of independent inequalities is finite for each  $n$ .*

Are these implied by the gap conditions on CFT?



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# Energy and Entropy

based on formalism  
developed by Wald & collaborators

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$\Sigma$  : subspace of a Cauchy surface

We will choose  $\Sigma$  to be part of an asymptotically AdS geometry bounded by the Ryu-Takayanagi surface and the AdS boundary.



$\Sigma \subset$  Cauchy surface,  $g$ : metric + matter on  $\Sigma$ .

$L(g)$ : Lagrangian density

$$\delta L(g) = d\theta(\delta g) + \text{e.o.m.}$$

$\Downarrow$

Symplectic form

$$\Omega(\delta_1 g, \delta_2 g)$$

$$= \int_{\Sigma} \omega(\delta_1 g, \delta_2 g)$$

$$\equiv \int_{\Sigma} \delta_1 \theta(\delta_2 g) - \delta_2 \theta(\delta_1 g)$$

Analogy:

$$L(Q) = \frac{1}{2} \left( \frac{dQ}{dt} \right)^2 - V(Q)$$

$$\delta L(Q) = \frac{d}{dt} \left( \frac{dQ}{dt} \delta Q \right) + \text{e.o.m.}$$

$$= \frac{d}{dt} \theta(\delta Q) + \text{e.o.m.}$$

$$\theta(\delta Q) = P \delta Q$$

$$\delta \theta = \delta P \wedge \delta Q$$

Hamiltonian  $H_\xi$  for a vector field  $\xi$  on  $\Sigma$  to generate  $\mathcal{L}_\xi g$

$$\begin{aligned}\delta H_\xi &= \int_\Sigma \Omega(\delta g, \mathcal{L}_\xi g) \\ &= \int_\Sigma \delta \theta(\mathcal{L}_\xi g) - \mathcal{L}_\xi \theta(\delta g)\end{aligned}$$

$$\left( \mathcal{L}_\xi \theta = \underbrace{\xi \cdot d\theta}_{\delta L + \text{e.o.m.}} + d(\xi \cdot \theta) \right)$$

$$\begin{aligned}&= \int_\Sigma \delta (\theta(\mathcal{L}_\xi g) - \xi \cdot L) \\ &\quad - \oint_{\partial \Sigma} \xi \cdot \theta(\delta g)\end{aligned}$$

Analogy :

$$\begin{aligned}\delta H &= \delta P \frac{dQ}{dt} - \delta Q \frac{dP}{dt} \\ &= \delta \left( P \frac{dQ}{dt} \right) \\ &\quad - \underbrace{\frac{d}{dt} (P \delta Q)}_{\delta L + \text{e.o.m.}} \\ &= \delta \left( P \frac{dQ}{dt} - L \right)\end{aligned}$$

For a vector field  $\xi$  on  $\Sigma$ ,

$$\delta H_{\xi} = \int_{\Sigma} \delta (\theta(\mathcal{L}_{\xi} g) - \xi \cdot L) - \int_{\partial \Sigma} \xi \cdot \theta(\delta g).$$

If  $\exists B$  on  $\partial \Sigma$  such that  $\xi \cdot \theta(\delta g) = \delta(\xi \cdot B)$ ,

$$H_{\xi} = \int_{\Sigma} J_{\xi} - \int_{\partial \Sigma} \xi \cdot B \quad \text{where} \\ J_{\xi} = \theta(\mathcal{L}_{\xi} g) - \xi \cdot L.$$

e.g. pure Einstein gravity,

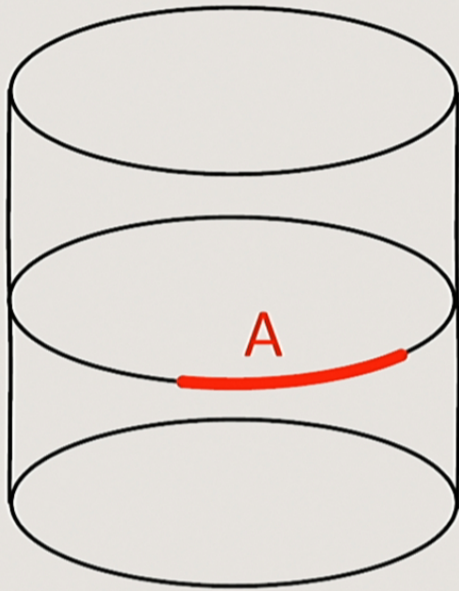
$$L = \frac{1}{2} (R - \Lambda) e, \quad e: \text{spacetime volume form}$$

$$\theta(\delta g) = \frac{1}{2} (g^{\mu\nu} D^{\rho} - g^{\nu\rho} D^{\mu}) \delta g_{\nu\rho} e_{\mu}, \quad e_{\mu}: \text{volume form on } \Sigma$$

$B \propto$  extrinsic curvature (Gibbons-Hawking term)

# Relative Entropy

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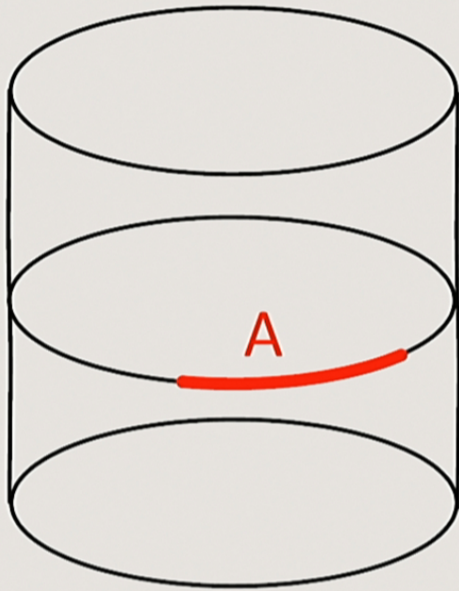


$|\psi_0\rangle$  : vacuum in CFT  
 $\Leftrightarrow$  pure AdS geometry

$|\psi\rangle$  : any CFT state  
 $\Leftrightarrow$  gravity solution

$$\rho_0 = \text{tr}_{\bar{A}} |\psi_0\rangle\langle\psi_0|$$

$$\rho = \text{tr}_{\bar{A}} |\psi\rangle\langle\psi|$$



Relative entropy :

$$S(\rho | \rho_0) = -\text{tr} [\rho \log \rho_0] \\ + \text{tr} [\rho \log \rho]$$

measures the distance between

$$\rho_0 = \text{tr}_{\bar{A}} |\psi_0\rangle\langle\psi_0|$$

$$\rho = \text{tr}_{\bar{A}} |\psi\rangle\langle\psi|$$

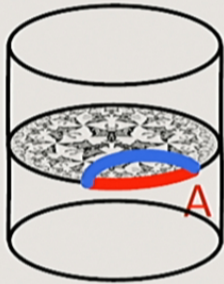
When  $A$  is a ball,

the modular Hamiltonian  $= -\log \rho_0$  is simplified,

and  $S(\rho | \rho_0)$  has a holographic expression.

# Relative Entropy

$$S(\rho | \rho_0) = \underbrace{-\text{tr}[\rho \log \rho_0]}_{\parallel} + \underbrace{\text{tr}[\rho \log \rho]}_{\parallel}$$



$\langle \text{modular Hamiltonian} \rangle_\rho$

Metric asymptotics on A

$- (\text{Entanglement Entropy})$

Minimum surface area

Hamiltonian  $H_\xi = \int_\Sigma J_\xi - \oint_{\partial\Sigma} \xi \cdot B$

$dJ_\xi = 0$  by e.o.m.  $\Rightarrow \exists Q_\xi, J_\xi = dQ_\xi.$

$H_\xi = \oint_{\partial\Sigma} (Q_\xi - \xi \cdot B) \quad \exists \xi, \text{ such that}$

$S(\rho | \rho_0) = H_\xi(\rho) - H_\xi(\rho_0).$



Relative Entropy = Canonical quasi-local energy

$$S(\rho | \rho_0) = H_{\xi}(\rho) - H_{\xi}(\rho_0)$$

Since  $S(\rho | \rho_0) \geq 0$ ,

$H_{\xi}(\rho)$  is bounded below by the vacuum energy.

Lashkari, Lin, Stoica, van Raamsdonk + H.O. arXiv:1605.01075

For linear variation,  $\rho = \rho_0 + \delta\rho$

$$S(\rho_0 + \delta\rho, \rho_0) = 0$$

implies the linearized Einstein equation in the bulk.

Faulkner, Guica, Hartman, Myers + Van Raamsdonk, arXiv:1312.7856

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In the next leading order with backreaction from matters,

$$S(\rho|\rho_0) \geq 0, \quad \frac{d}{dR} S(\rho|\rho_0) \geq 0$$

↖ Radius of A

imply integrated positivity conditions on  $T_{\mu\nu}$  of matters,

such as

$$\int_{\Sigma} \xi^\alpha T_{\alpha\alpha} \sqrt{g_\Sigma} \geq 0$$

Lin, Marcolli, Stoica + H.O. arXiv: 1412.1879

Lashkari, Rabideau, Sabella-Garnier,

Van Raamsdonk

arXiv: 1412.3514

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Relative entropy = Canonical quasi-local energy

Positivity and monotonicity of the relative entropy

- ⇒
- Linearized Einstein equations. arXiv : 1312.7856
  - Integrated positivity of  $T_{00}$  arXiv : 1412.1879  
1412.3514
  - Positivity of quasi-local energy arXiv : 1605.01075

Any low energy effective theory of a consistent ultraviolet complete quantum theory of gravity must satisfy these positive energy conditions.

How strong are these positive energy conditions?

Which low energy theories are ruled out by them?

$$\text{Note: } S(\rho | \sigma)_{\text{CFT}} = S(\tilde{\rho} | \tilde{\sigma})_{\text{bulk}}$$

Jafferis, Lewkowycz, Maldacena, Suh: 1512.06431

Dong, Harlow, Wall: 1601.05416

Harlow: 1607.03901

Or, can we prove a new type of positivity theorems for quasi-local energies?

c.f. Bekenstein bound Casini: 0804.2182

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## Radon transform

For a perturbation near AdS,

$$\frac{d}{dR} \left( \frac{d}{dR} + \frac{1}{R} \right) S(\rho | \rho_0) = 16\pi^2 \int_{RT} T_{00}$$



For AdS, this is invertible.

$\Rightarrow T_{00}$  is reconstructible from  $S(\rho | \rho_0)$ .

For a general solution, for some vectors  $v$  and  $\tau$ ,

$$\frac{d}{dR} \left( \frac{d}{dR} + \frac{1}{R} \right) S(\rho | \rho_0) = 2\pi \int_{RT} v \cdot (J_\tau - d(\tau \cdot B))$$

Can we invert this?

## Swampland Question:

How to characterize an effective gravity theory that can emerge in a low energy approximation to a consistent quantum theory, such as string theory.

**Constraints on Symmetry**

**Constraints on Moduli Space**

**Constraints on Calabi-Yau Topology**

**Positive Energy Theorems**