

Title: Realism Versus Quantum Mechanics: Implications of Recent Experiments

Date: Jun 20, 2016 04:45 PM

URL: <http://pirsa.org/16060041>

Abstract:

# REALISM VERSUS QUANTUM MECHANICS:

## IMPLICATIONS OF RECENT EXPERIMENTS

**A. J. Leggett**

Department of Physics  
University of Illinois at Urbana-Champaign



1. What do we mean by “realism” in physics?
2. Local realism: The EPR-Bell setup
3. Three recent EPR-Bell experiments\*
4. Macrorealism: The MQC Setup
5. A recent MQC experiment<sup>†</sup>

\* B. Hensen et al., Nature 526, 682 (2015) (“Delft”)  
L. K. Shalm et al. Phys. Rev. Letters 115, 250402 (2015) (“NIST”)  
M. Giustina et al, Phys. Rev. Letters 115, 250401 (2015) (IQOQI”)

<sup>†</sup> G. C. Knee et al., arXiv: 1601.03728 (2016) (“NTT”)



What do we/can we mean by “realism”?

Philosophers discuss “reality” of (e.g.)

the human mind

the number 5

moral facts

atoms (electrons, photons...)

.....



but, difficult to  
think of input  
from physics

So: in what sense can physics as such say  
something about “realism”?

(My) proposed definition:

At any given time, the world has a definite  
value of any property which may be measured on  
it (irrespective of whether that property actually  
is measured)

To make this proposition (possibly) experimentally  
testable, need to extend it to finite “parts” of the world.

Irrespective of the universal validity (or not) of  
QM, what can we infer about this proposition



directly from experiment?

quantum mechanics



What do we/can we mean by “realism”?

Philosophers discuss “reality” of (e.g.)

the human mind

the number 5

moral facts

atoms (electrons, photons...)

.....



but, difficult to  
think of input  
from physics

So: in what sense can physics as such say  
something about “realism”?

(My) proposed definition:

At any given time, the world has a definite  
value of any property which may be measured on  
it (irrespective of whether that property actually  
is measured)

To make this proposition (possibly) experimentally  
testable, need to extend it to finite “parts” of the world.

Irrespective of the universal validity (or not) of  
QM, what can we infer about this proposition



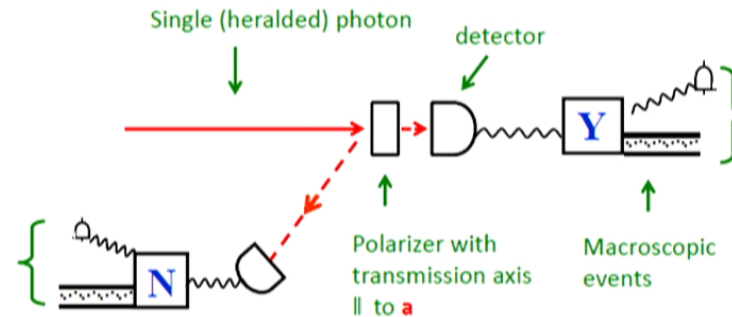
directly from experiment?

quantum mechanics



# THE SIMPLEST CASE: A TWO STATE SYSTEM

(Microscopic) example: photon polarization



"Question" posed to photon:

Are you polarized along  $a$ ?

Experimental fact:

for each photon, **either** counter Y clicks (and counter N does not) **or** N clicks (and Y does not).

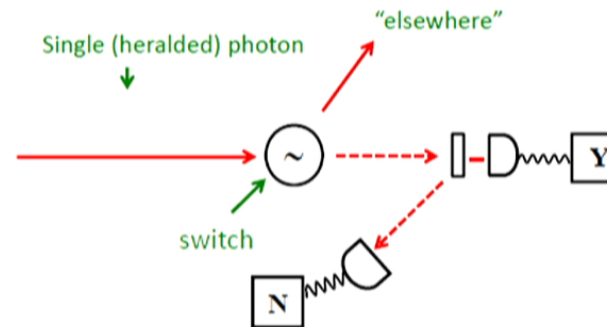
natural "paraphrase":

**when asked**, each photon answers either "yes" ( $A = +1$ ) or "no" ( $A = -1$ )

But: what if it is **not** asked?



# MACROSCOPIC COUNTERFACTUAL DEFINITENESS (MCFD) (Stapp, Peres...)



Suppose a given photon is directed “elsewhere”.  
 What does it mean to ask “does it have a definite value of A?”?  
 A possible quasi-operational definition:  
 Suppose photon had been switched into measuring device:  
 Then:  
 Proposition I (truism?): It is a fact that **either** counter Y  
 would have clicked ( $A = +1$ ) **or** counter N would have clicked  
 ( $A = -1$ )

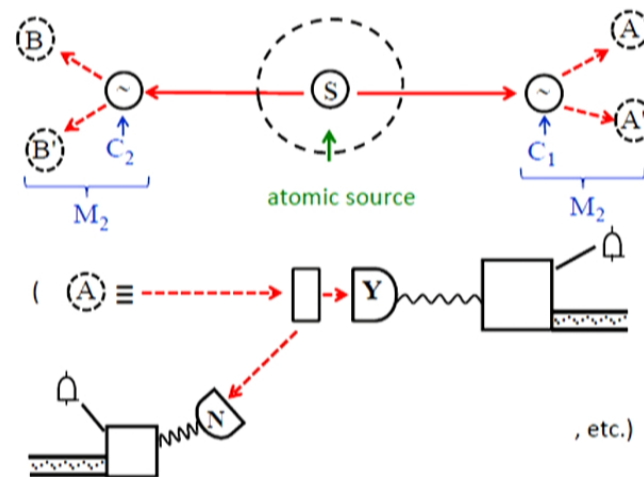


Proposition II (MCFD): Either it is a fact that counter Y would  
 have clicked (i.e. it is a fact that  $A = +1$ ) **or** it is a fact that  
 counter N would have clicked ( $A = -1$ )

**DO COUNTERFACTUAL STATEMENTS HAVE TRUTH VALUES?**  
 (common sense, legal system... assume so!)



# THE EPR-BELL EXPERIMENTS (idealized)



CHSH inequality: all objective local theories (OLT's) satisfy the constraints

$$\langle AB \rangle + \langle A'B \rangle + \langle AB' \rangle - \langle A'B' \rangle \leq 2 \quad (*)$$

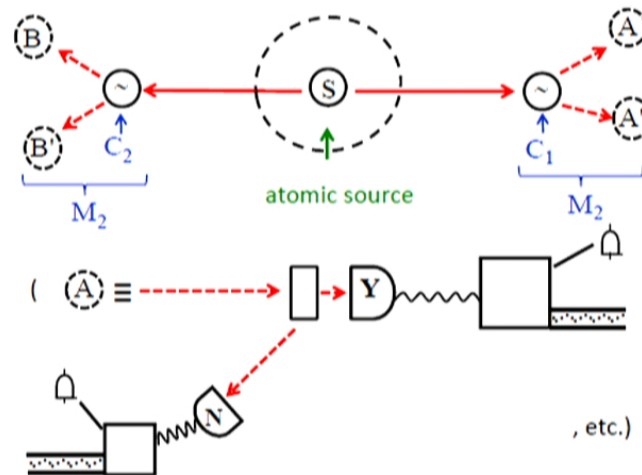
(\*) is violated (by predictions of QM, and) (prima facie) by experimental data.

Note: for purposes of refuting local realism, use of "source" is inessential!





## THE EPR-BELL EXPERIMENTS (idealized)



CHSH inequality: all objective local theories (OLT's) satisfy the constraints

$$\langle AB \rangle + \langle A'B \rangle + \langle AB' \rangle - \langle A'B' \rangle \leq 2 \quad (*)$$

(\*) is violated (by predictions of QM, and) (prima facie) by experimental data.

Note: for purposes of refuting local realism, use of "source" is inessential!



The most obvious “loopholes” in EPR-Bell experiments  
(pre- 11/15)

- (1) “locality”: event of (e.g.) switching at  $C_1$  not spacelike separated from detection in  $M_2$
- (2) “freedom of choice”: switching at  $C_{1,2}$  may not be truly “random”
- (3) “detection”: if counters not 100% efficient, detected particles may not be representative sample of whole.

Until Nov. 2015, many experiments had blocked 1 or 2 loopholes, but none had blocked all 3 simultaneously.

Why?

Blocking of (1) requires spacelike separation of switching at  $C_1$  and detection at  $M_2$  and blocking of (2) requires (inter alia) spacelike separation of switching at  $C_1$  and emission at  $S$  (or equivalent)

} easy for photons,  
difficult for e.g. atoms

Blocking of (3) requires detector efficiency  $>82.8\%$  for CHSH (or  $67\%$  for Eberhard, see below)

easy for atoms, etc., difficult for photons

To exclude giant “conspiracy of Nature” need to block all 3 loopholes simultaneously! (“holy grail” of experimental quantum optics)



The most obvious “loopholes” in EPR-Bell experiments  
(pre- 11/15)

- (1) “locality”: event of (e.g.) switching at  $C_1$  not spacelike separated from detection in  $M_2$
- (2) “freedom of choice”: switching at  $C_{1,2}$  may not be truly “random”
- (3) “detection”: if counters not 100% efficient, detected particles may not be representative sample of whole.

Until Nov. 2015, many experiments had blocked 1 or 2 loopholes, but none had blocked all 3 simultaneously.

Why?

Blocking of (1) requires spacelike separation of switching at  $C_1$  and detection at  $M_2$  and blocking of (2) requires (inter alia) spacelike separation of switching at  $C_1$  and emission at  $S$  (or equivalent)

} easy for photons, difficult for e.g. atoms

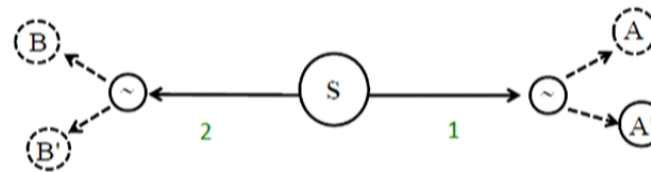
Blocking of (3) requires detector efficiency  $>82.8\%$  for CHSH (or  $67\%$  for Eberhard, see below)

easy for atoms, etc., difficult for photons

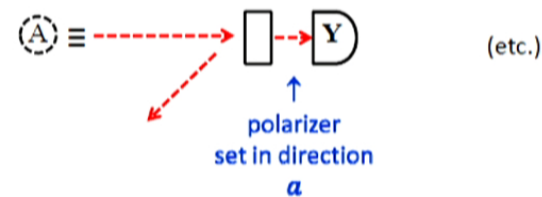
To exclude giant “conspiracy of Nature” need to block all 3 loopholes simultaneously! (“holy grail” of experimental quantum optics)



A useful extension of CHSH inequality (Eberhard):



but now:



(so don't mind whether nondetected particles had polarization  $\perp$   $\mathbf{a}$ , or were simply not detected because of inefficiency of counter).

Eberhard inequality:

$$J \equiv p(+|ab) - p(+0|ab') - p(0+|a'b) - p(++|a'b') \leq 0$$

where, e.g.,

$p(+0|ab) \equiv$  probability that with particles switched into detectors A, B, detector A fires and B does not.

Inequality is valid independently of detection efficiency  $\eta$ , but predictions of QM violate it only for  $\eta > 67\%$ .



### EPR-Bell Experiments of Nov – Dec. 2015

<u>First author affiliation</u>	<u>System</u>	<u><math>C_1 - M_2</math> distance</u>	<u>Inequality tested</u>	<u>Value of <math>(K - 2)/J</math></u>	<u>Significance</u>
Delft	electron spins	1.3 km	CHSH	0.42	0.019/0.039
NIST	photon polarization	185m	Eberhard	$2 \times 10^{-7}$	$< 2.3 \times 10^{-3}$
IQOQI	photon polarization	58m	Eberhard	$7 \times 10^{-7}$	$< 10^{-30}$ [sic!]

⇒ local realism is dead?

What are the outstanding loopholes?

- |                      |                     |
|----------------------|---------------------|
| (1)Superdeterminism  | probably untestable |
| (2)retrocausality    | probably untestable |
| (3)collapse locality | ?                   |

at what point in the “measurement” process was a definite outcome realized?



Can experiment (of a different kind) say anything about this?

### EPR-Bell Experiments of Nov – Dec. 2015

<u>First author affiliation</u>	<u>System</u>	<u><math>C_1 - M_2</math> distance</u>	<u>Inequality tested</u>	<u>Value of <math>(K - 2)/J</math></u>	<u>Significance</u>
Delft	electron spins	1.3 km	CHSH	0.42	0.019/0.039
NIST	photon polarization	185m	Eberhard	$2 \times 10^{-7}$	$< 2.3 \times 10^{-3}$
IQOQI	photon polarization	58m	Eberhard	$7 \times 10^{-7}$	$< 10^{-30}$ [sic!]

⇒ local realism is dead?

What are the outstanding loopholes?

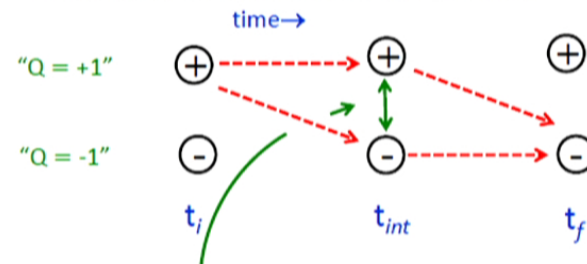
- |                      |                     |
|----------------------|---------------------|
| (1)Superdeterminism  | probably untestable |
| (2)retrocausality    | probably untestable |
| (3)collapse locality | ?                   |

at what point in the “measurement” process was a definite outcome realized?



Can experiment (of a different kind) say anything about this?

## MACROSCOPIC QUANTUM COHERENCE (MQC)



macroscopically  
distinct states

Example: "flux qubit":



Existing experiments: if raw data interpreted in QM terms,  
state at  $t_{int}$  is quantum superposition (not mixture!) of  
states  $\oplus$  and  $\ominus$ .

$\uparrow$ : how "macroscopically" distinct?  
(cf: arXiv: 1603.03992)



Analog of CHSH theorem for MQC:

Any **macrorealistic** theory satisfies constraint

$$-2 \leq \langle Q(t_1)Q(t_2) \rangle + \langle Q(t_2)Q(t_3) \rangle + \langle Q(t_3)Q(t_4) \rangle - \langle Q(t_1)Q(t_4) \rangle \leq 2$$

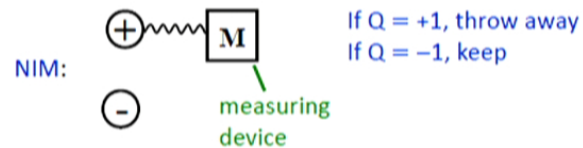
or setting  $t_4 = t_1$ ,

$$\langle Q(t_1)Q(t_1) \rangle + \langle Q(t_2)Q(t_3) \rangle + \langle Q(t_3)Q(t_1) \rangle \geq -1$$

which is violated (for appropriate choices of the  $t_i$ ) by the QM predictions for an “ideal” 2-state system

Definition of “macrorealistic” theory: conjunction of

- 1) macrorealism “per se” ( $Q(t) = +1$  or  $-1$  for all  $t$ )
- 2) absence of retrocausality
- 3) noninvasive measurability (NIM)



In this case, unnatural to assert 1) while denying 3).

NIM cannot be explicitly tested, but can make “plausible” by ancillary experiment to test whether, when  $Q(t)$  is **known** to be (e.g.)  $+1$ , a putatively noninvasive measurement does or does not affect subsequent statistics. But measurements **must be projective** (“von Neumann”).

Existing experiments use “weak-measurement” techniques (and states are not macroscopically distinct)





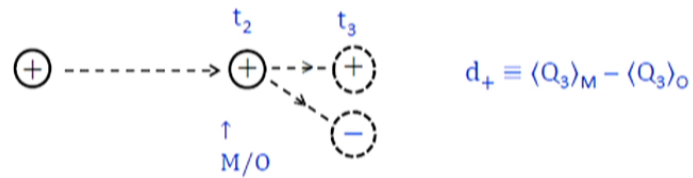
NTT experiment

Rather than measuring 2-time correlations, check directly how far measurement (not necessarily noninvasive) at  $t_2$  affects  $\langle Q(t_3) \rangle \equiv \langle Q_3 \rangle$  for the different macroscopically distinct states and for their (putative) quantum superposition.

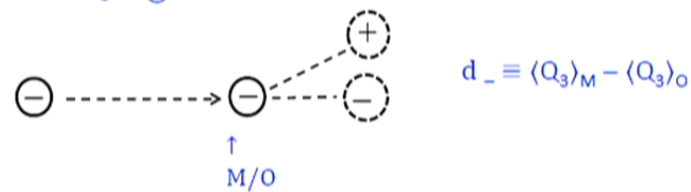
Define for any state  $\sigma$  at  $t=t_2^-$ ,

$$d_\sigma \equiv \langle Q_3 \rangle_M - \langle Q_3 \rangle_O \quad \left\{ \begin{array}{l} M \equiv \text{measurement with} \\ \text{uninspected outcome made at } t_2 \\ O \equiv \text{measurement not made at } t_2 \end{array} \right.$$

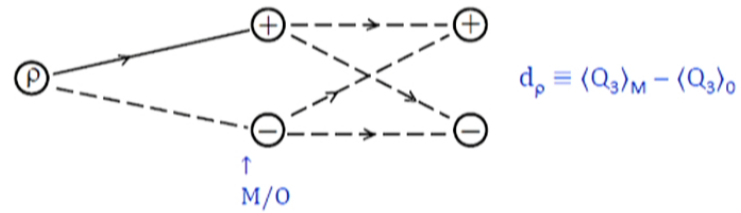
Ancillary test:  $\sigma = \oplus$



$\sigma = \ominus$



Main experiment:



Df:  $\delta \equiv d_p - \min(d_+, d_-)$

MR:  $\delta > 0$

Expt:  $\delta = -0.063$

violates MR prediction by >84 standard deviations!



## CONCLUSION

Not just at the level of photons/electrons, but even at the level of superconducting qubits,

“unperformed experiments have no results”

or more generally

counterfactual statements have no truth-values.

(are the philosophers surprised?)

