

Title: Quantum Field Theory for Cosmology - Achim Kempf - Lecture 20

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Abstract:

# QFT for Cosmology, Achim Kempf, Winter 16, Lecture 20

Note Title

## Realistic cosmic inflation

1. How can a period of near-exponential expansion be caused?

□ Recall the full action:



We neglect such terms by Occam's razor: there is no evidence for their existence as yet.

$$S = - \frac{1}{16\pi G} \int [2\Lambda + R(x) + \cancel{\mathcal{O}(R\phi)} + \cancel{\mathcal{O}(R^2)} + \dots] \sqrt{|g|} d^4x$$

↑ cosm. constant

Note:  $\phi$  is now called the "Inflaton" field.

$$+ \int \left[ \frac{1}{2} g^{\mu\nu} \phi_{,\mu} \phi_{,\nu} - V(\phi) \right] \sqrt{|g|} d^4x$$

+ ~~Other fields~~

← We neglect this term because the contribution of the inflaton field to the action is assumed to be dominant.

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$$+ \int \left[ \frac{1}{2} g^{\mu\nu} \phi_{,\mu} \phi_{,\nu} - V(\phi) \right] \sqrt{|g|} d^4x$$

+ ~~Other fields~~

← We neglect this term because the contribution of the inflaton field  $\phi$  and of  $g_{\mu\nu}$  are assumed to have been dominant in the very early universe.

Example choice of  $V$ :  $V(\phi) = m\phi^2 + \lambda\phi^4$

□ Equations of motion:

\*  $\frac{\delta S}{\delta \phi(x)} = 0$  yields the K.G. eqn.:

$$\frac{\partial}{\partial x^\mu} \left( g^{\mu\nu}(x) \phi_{,\nu}(x) \sqrt{|g(x)|} \right) + \frac{\partial V}{\partial \phi}(x) \sqrt{|g(x)|} = 0 \quad (K.G.)$$

$$\delta \phi(x)$$

$$\frac{\partial}{\partial x^\mu} \left( g^{\mu\nu}(x) \phi_{,\nu}(x) \sqrt{|g(x)|} \right) + \frac{\partial V}{\partial \phi}(x) \sqrt{|g(x)|} = 0 \quad (KG)$$

\*  $\frac{\delta S}{\delta g_{\mu\nu}(x)} = 0$  yields the Einstein eqn:

$$R_{\mu\nu}(x) - g_{\mu\nu}(x) R(x) + \Lambda g_{\mu\nu}(x) = -8\pi G T_{\mu\nu}(x) \quad (E)$$

where the energy-momentum tensor (for  $\phi$  only) reads:

$$T_{\mu\nu} = \phi_{,\mu} \phi_{,\nu} - g_{\mu\nu} \left( g^{\alpha\beta} \phi_{,\alpha} \phi_{,\beta} - V(\phi) \right) + \underbrace{T_{\mu\nu}^{\text{(other fields)}}$$

We'll assume this small compared to the contribution of  $\phi$ , during the very early universe.

## □ The important special case of homogeneity & isotropy

Eqs. (KG) and (E) are a set of coupled nonlinear partial differential equations which are even classically very hard.

→ As a lowest order approximation we assume perfect homogeneity & isotropy:

$$\phi(x,t) = \phi(t)$$

$$g_{\mu\nu}(x,t) = g_{\mu\nu}(t)$$

Note:

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$$g_{\mu\nu}(x,t) = g_{\mu\nu}(t)$$

Note:

This may also be viewed as considering only the  $k=0$  modes, neglecting all other modes.

Thus, the eqns of motion simplify:

$$\left(\cdot = \frac{\partial}{\partial t}\right) \quad \ddot{\phi} + 3 \frac{\dot{a}}{a} \dot{\phi} + \frac{dV}{d\phi} = 0 \quad (\text{K.G. eqn.})$$

$$3 \left(\frac{\dot{a}}{a}\right)^2 = 8\pi G T_0^0 + \Lambda \quad (\text{the } 0,0 \text{ component of the Einstein equation})$$

$$-2 \frac{\ddot{a}}{a} - \frac{\dot{a}^2}{a^2} = 8\pi G T_i^i - \Lambda \quad (\text{the } i,i \text{ components of the Einstein equation})$$

↙ no sum

$$\text{Here: } T_0^0 = \rho(\phi) = \frac{1}{2} \dot{\phi}^2 + V(\phi) \quad (\text{the energy density } \rho \text{ of } \phi)$$

$$T_i^i = p(\phi) = \frac{1}{2} \dot{\phi}^2 - V(\phi) \quad (\text{the pressure } p \text{ of } \phi)$$

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$$\ddot{\phi} + 3 \frac{\dot{a}}{a} \dot{\phi} + \frac{dV}{d\phi} = 0$$

(K.G. equ.)

$$3 \left(\frac{\dot{a}}{a}\right)^2 = 8\pi G T^0_0 + \Lambda$$

(the  $0,0$  component of the Einstein equation)

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(the energy density  $\rho$  of  $\phi$ )

$$T^i_i = p(t) = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

(the pressure  $p$  of  $\phi$ )

Given any initial conditions and given any  $V(\phi)$  one can now solve for  $a(t)$ ,  $\phi(t)$ , at least numerically!



Notice:

- The cosmological constant  $\Lambda$  contributes effectively a positive energy density  $\rho_\Lambda$  and effectively a negative pressure  $p_\Lambda$ .
- Vice versa, whenever  $V(\phi) \gg \dot{\phi}^2/2$  then  $V(\phi)$  temporarily plays the same rôle as  $\Lambda$ .
- How close we are to  $V(\phi) \gg \dot{\phi}^2/2$  is described by the "Equation of state parameter"!!

$$w(t) := \frac{p(t)}{\rho(t)} = \frac{\dot{\phi}^2/2 - V(\phi)}{\dot{\phi}^2/2 + V(\phi)}$$

$$-1 < w < 1$$

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□ How close we are to  $V(\phi) \gg \dot{\phi}^2/2$  is described by the "Equation of state parameter!"

$$w(t) := \frac{p(t)}{\rho(t)} = \frac{\dot{\phi}^2/2 - V(\phi)}{\dot{\phi}^2/2 + V(\phi)}$$

$$-1 < w < 1$$

⇒  $\forall \forall w \approx -1$  then  $V(\phi)$  acts like a cosm. constant.

First attempt to get exponential expansion:

Assume that  $\Lambda$  dominates over  $T_{\mu\nu}$  of all fields in nature.

Then, the 0,0 component of Einstein's equation,

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3} T_0^0 + \frac{1}{3} \Lambda \text{ becomes } \left(\frac{\dot{a}}{a}\right)^2 = \frac{1}{3} \Lambda$$

whose solution has the desired behavior:

$$a(t) = a_0 e^{Ht} \text{ with } H = \sqrt{\Lambda/3} !$$

Problems:  $\square$   $\Lambda$  is too tiny! It is 122 orders of magnitude below the

Planck scale. We'd need a  $\Lambda$  close to the Planck scale  $10^{70} \text{ m}^{-2}$ .

(just a few orders of magnitude below)

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Note:

$\Lambda$  manifests itself as dark energy and has been measured:

$$\Lambda \approx 10^{-52} \text{ m}^{-2}$$

Since  $\Lambda$  is constant, such an inflation would never end!

→ Realistic possibility:

$V(\phi)$  temporarily very large

→ One of the biggest ideas of science, ever:

□ Consider a universe like ours.

Everywhere, at all times, all fields quantum fluctuate.

□ As a rare fluke, the field  $\phi$  quantum fluctuates in a patch a few Planck lengths in size



→ one of the biggest ideas of science, ever:

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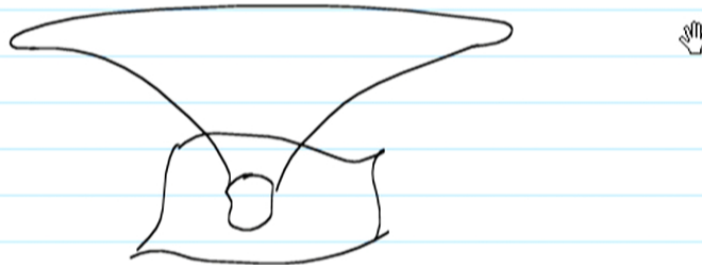


to a  $\phi$  value that makes  $V(\phi)$  close to the Planck scale.

(Assume homogeneity in that patch, so that the  $\partial_i \phi$  are small)

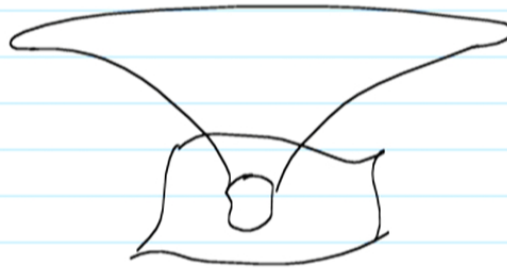
□ In this patch, the equations above hold, with  $V(\phi)$

- In this patch, the equations above hold, with  $V(\phi)$  dominant and imparting  $a(t)$  like a large  $\Lambda$  would
- ⇒ Before the fluctuation can "snap back", general relativity will quasi-exponentially inflate this patch (potentially, e.g., by  $10^5$  orders of magnitude).



- ⇒ The mother universe spawns a daughter universe!
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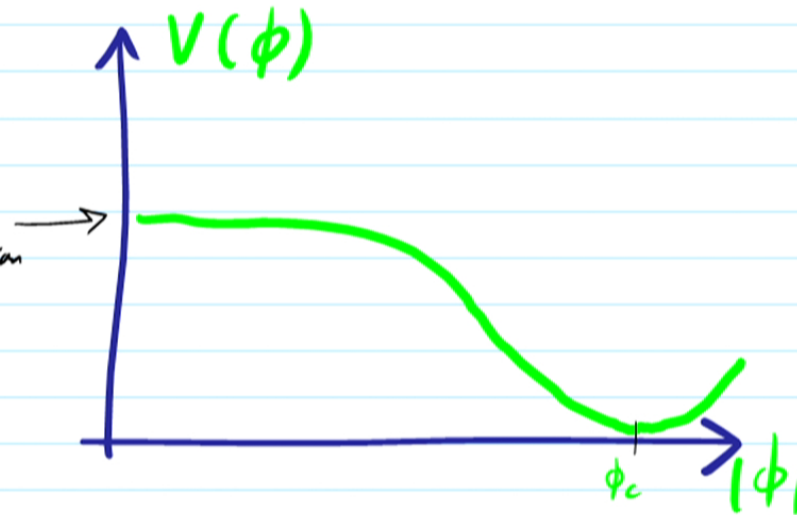
⇒ The mother universe spawns a daughter universe. 

- $V(\phi)$  in the patch starts out high but will dynamically fall eventually to low value → Inflation ends.
- The energy in  $V(\phi)$  turns into hot matter.



## Example potential:

very large  
value  
so that expansion  
will be fast.



- Then, inflation starts when, in a patch,  $\phi$  is very small, even though it is energetically expensive (a rare quantum fluctuation.)
- Then, after  $\phi$  starts out at  $\phi=0$  and large  $V(\phi)$ , it will slowly evolve towards  $\phi_c$  while the universe inflates, thus flattens, and the matter dilutes.
- Once  $\phi = \phi_c$  is reached,  $V(\phi) = 0$ , and inflation has ended.

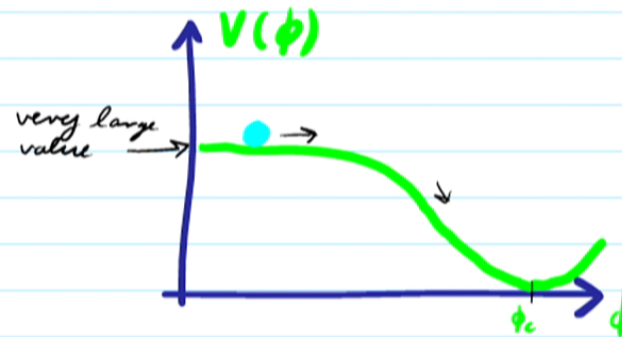
\* Concretely:

The Klein Gordon equation reads:

$$\ddot{\phi} = -3 \frac{\dot{a}}{a} \dot{\phi} - \frac{dV}{d\phi}$$

↙ friction term

This is like the equation of motion of a ball rolling down a hill, with friction:



$\left(-\frac{dV}{d\phi}\right)$  acts to pull  $\phi$  down the potential hill.

## \* Definition:

If the initial value of  $V(\phi)$  is very large and if the initial slope is very flat, i.e., if the ball for a period rolls slowly, with approximately constant  $V(\phi)$ , we call this a period of "Slow Roll Inflation".

## \* Observation:

During the slow roll period, we have, in particular, that  $V(\phi)$  dominates over  $\frac{1}{2}\dot{\phi}^2$ .

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During the slow roll period, we have, in particular, that  $V(\phi)$  dominates over  $\frac{1}{2}\dot{\phi}^2$ .

$$\Rightarrow \quad w(t) = \frac{\frac{1}{2}\dot{\phi}^2 - V(\phi)}{\frac{1}{2}\dot{\phi}^2 + V(\phi)} \approx -1 \quad (\text{temporarily})$$

\* But, do we also get temporary exponential inflation?

Indeed, the  $0,0$  component of the Einstein equation

$$\left(\frac{\dot{a}}{a}\right)^2 - \frac{1}{3}\Lambda = \frac{8\pi G}{3} T^0_0$$

\* But, do we also get temporary exponential inflation?

Indeed, the  $0,0$  component of the Einstein equation

$$\left(\frac{\dot{a}}{a}\right)^2 - \frac{1}{3}\Lambda = \frac{8\pi G}{3}T^0_0$$

is during the slow roll period:

$$\left(\frac{\dot{a}}{a}\right)^2 \approx \frac{8\pi G}{3}V(\phi)$$

whose solution during slow roll is

$$a(t) \approx a_0 e^{\pm \sqrt{\frac{8\pi G}{3}V(\phi)} t} \quad \left(\begin{array}{l} \phi \text{ and } V(\phi) \text{ change slowly} \\ \text{over time in slow roll.} \end{array}\right)$$

\* Thus, during slow roll, we have effectively a

\* Thus, during slow roll, we have effectively a slowly varying Hubble parameter!

### Definition:

The function  $H(t) := \frac{\dot{a}(t)}{a(t)}$  is called the Hubble parameter function.

\* In the case  $a(t) = e^{Ht}$  we recover  $H = H(t)$ .

\* In the case of slow roll inflation, we have

$$H(t) = \sqrt{\frac{8\pi G}{3} V(\phi(t))}$$

Remark:

## Remark :

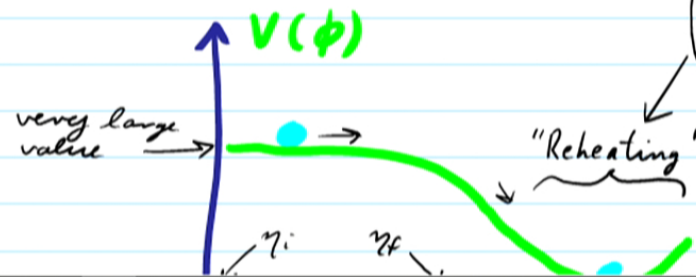
□ As  $V(\phi)$  decreases, also  $H(t)$  decreases.

⇒ inflation predicts that  $\delta\phi_L$  decreases for late and later horizon crossing modes, i.e., for smaller and smaller wavelength modes.

The WMAP satellite's CMB data show evidence for this!

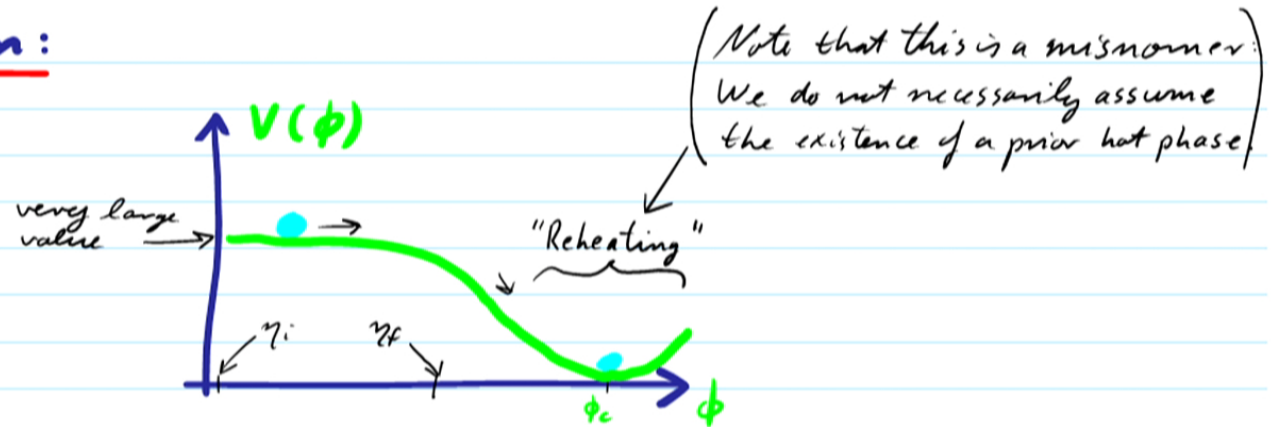


## The end of inflation:



(Note that this is a misnomer. We do not necessarily assume the existence of a prior hot phase.)

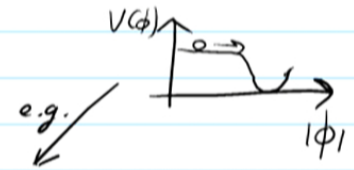
## The end of inflation:



- \* In the period called "Re-heating", the energy of  $\phi$  is transferred into the mode oscillators of the usual (low mass) particles, i.e., the inflaton particles decay and thereby create a high energetic, i.e. hot, plasma of literally all sorts of particles.
- \* From thereon, the usual big bang cosmology is



# Quantum fluctuations during cosmic inflation



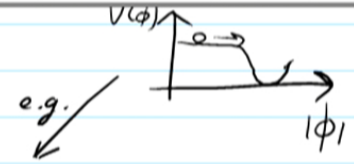
## Strategy:

□ We assume a suitable potential  $V(\phi)$  and suitable initial conditions, as discussed before.

⇒ Solutions  $\phi_0(t)$  and  $a_0(t)$  which exhibit slow roll inflation for a suitable finite time interval  $[t_i, t_f]$ , i.e.,  $[\eta_i, \eta_f]$ .

□ We consider the case of small inhomogeneities in the inflaton field:

$$\phi(x, \eta) = \phi(\eta) + \varphi(x, \eta) \quad \text{with} \quad |\varphi(x, \eta)| \ll |\phi(\eta)|$$

Inflation perturbations during cosmic inflationStrategy:

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□ We consider the case of small inhomogeneities in the inflaton field:

$$\phi(x, \eta) = \phi_0(\eta) + \varphi(x, \eta) \quad \text{with} \quad |\varphi(x, \eta)| \ll |\phi_0(\eta)|$$

□ This means that we must also consider small fluctuations in the metric, because:

In inflationary theory we are always assuming that the largest contribution to  $T_{\mu\nu}(x)$  stems from the inflaton field  $\phi(x)$ :

$$T_{\mu\nu}^{\text{infl.}}(\eta, \vec{x}) = \phi_{,\mu} \phi_{,\nu} - g_{\mu\nu} \left[ \frac{1}{2} g^{\alpha\beta} \phi_{,\alpha} \phi_{,\beta} - \frac{1}{2} m^2 \phi^2 \right]$$

⇒ Thus, because of the Einstein equation,

$$R_{\mu\nu}(x) - \frac{1}{2} g_{\mu\nu}(x) R(x) + \Lambda g_{\mu\nu}(x) = 8\pi G T_{\mu\nu}(x)$$

Energy-momentum in the inflating vacuum.

In inflationary theory we are always assuming that the largest contribution to  $T_{\mu\nu}(x)$  stems from the inflaton field  $\phi(x)$ :

$$T_{\mu\nu}^{\text{inf}}(\eta, \vec{x}) \equiv \phi_{,\mu} \phi_{,\nu} - g_{\mu\nu} \left[ \frac{1}{2} g^{\alpha\beta} \phi_{,\alpha} \phi_{,\beta} - \frac{1}{2} m^2 \phi^2 \right]$$

⇒ Thus, because of the Einstein equation,

$$R_{\mu\nu}(x) - \frac{1}{2} g_{\mu\nu}(x) R(x) + \Lambda g_{\mu\nu}(x) \equiv 8\pi G T_{\mu\nu}(x)$$

inhomogeneities of  $\phi(x)$  induce inhomogeneities of  $g_{\mu\nu}(x)$ :

→ Consider also small inhomogeneities  
in the metric, i.e., in the spacetime

$$g_{\mu\nu}(x, \eta) = a(\eta) \eta_{\mu\nu} + \gamma_{\mu\nu}(x, \eta) \quad \text{with } |\gamma_{\mu\nu}(x, \eta)| \ll 1$$

- We would like to solve the full quantum theory of  $\hat{g}_{\mu\nu}(x)$  and  $\hat{\phi}(x)$  but this is too hard, inconsistent so far.
- In lowest order perturbation theory we first find the classical solutions  $g_{\mu\nu}(\eta) = a(\eta) \eta_{\mu\nu}$  and  $\phi_0(\eta)$  that are completely homogeneous and isotropic.

□ In lowest order perturbation theory we first find the classical solutions  $g_{\mu\nu}(\eta) = a(\eta)\eta_{\mu\nu}$  and  $\phi_0(\eta)$  that are completely homogeneous and isotropic.

□ Then, we quantize only the  $\hat{\psi}(x, \eta)$  and  $\hat{\gamma}_{\mu\nu}(x, \eta)$ .

□ Why does this approximation help?

\* For fields  $\hat{\psi}$ ,  $\hat{\gamma}_{\mu\nu}$  that are "small" the equations of motions are effectively linear in  $\hat{\psi}$ ,  $\hat{\gamma}_{\mu\nu}$ .

\* This is because we can assume that in their equations of motion all terms that are quadratic

- \* For fields  $\hat{e}$ ,  $\hat{j}_{\mu\nu}$  that are "small" the equations of motions are effectively linear in  $\hat{e}$ ,  $\hat{j}_{\mu\nu}$ .
- \* This is because we can assume that in their equations of motion all terms that are quadratic or of higher power are negligible.
- \* This means that the quantum fields  $\hat{e}$  and  $\hat{j}_{\mu\nu}$  have no potential terms, nor any mass terms.
- $\Rightarrow$  We will obtain a free, i.e., noninteracting quantum field theory whose nontriviality only stems from the time-varying parameters  $\phi_0(\gamma)$ ,  $a_0(\gamma)$ .

□ Intuition: We should expect two more sources of nontriviality:

1) Interdependence of  $\mathcal{E}$  and  $g_{\mu\nu}$  inhomogeneities:

\* Much of the inhomogeneities of  $\hat{g}_{\mu\nu}(x, y)$  will be induced by the inhomogeneities of the inflaton,  $\hat{\phi}(x, y)$ .

\* **Vice versa**: we can also read the Einstein eqn from left to right  $\Rightarrow$  these gravity inhomogeneities induce the inflaton's inhomogeneities.

\* Thus, the inflaton's inhomogeneities' dynamics cannot be separated from that of the metric.



## Recall:

Gravity is a force with some similarity to electromagnetism:

□ Some electromagnetic fields only exist because there are charges or currents.

Similarly: Some part of the metric will depend on  $\phi$ , while some metric fluctuations (gravitational waves) will be self-sustaining, i.e. they are degrees of freedom independent of  $\phi$ .

□ But: also, some electromagnetic fields are self-sustaining, i.e., they exist independently, with their own dynamics.

Exercise: show this → □ Namely:  $\vec{E}: \mathbb{R}^3 \rightarrow \mathbb{R}^3$

Any vector field  $\vec{E}(x)$  can be decomposed into:

$$\vec{E}(x) = \vec{E}_c(x) + \vec{E}_v(x)$$

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Any vector field  $\vec{E}(x)$  can be decomposed into:

$$\vec{E}(x) = \vec{E}_s(x) + \vec{E}_v(x)$$

↑  
"gradient part"  
or "scalar part"

↑  
"curl part"  
or "vector part"

Here,  $\vec{E}_s$  and  $\vec{E}_v$  derive from a scalar 20 / 26

Here,  $\vec{E}_s$  and  $\vec{E}_v$  derive from a scalar function  $\Lambda$  and a vector field  $\vec{A}$  respectively:

$$\vec{E}_s = \vec{\nabla} \Lambda \quad \text{and} \quad \vec{E}_v = \vec{\nabla} \times \vec{A}$$

They obey:  $\vec{\nabla} \times \vec{E}_s = \vec{0}$  and  $\vec{\nabla} \cdot \vec{E}_v = 0$  (A)

Exercise for physics students: verify  $\rightarrow$

□ According to the Maxwell equations, the scalar part, e.g., of the electric field,  $\vec{E}$ , is caused by (or causes) the electric charge density

$$\vec{\nabla} \cdot \vec{E} = \rho$$

⌈ \* An unusual but mathematically equivalent viewpoint.

\* E.g. D-branes in string theory are charges that are defined from this viewpoint.

Thus,  $\vec{E}$  and  $\vec{B}$  fields can sustain each other, which makes possible

while the vector part is charge independent

- Similarly, some curvature exists only where there is energy-momentum.
- But, also, some curvature is self-sustaining, with dynamics, e.g., gravitational waves.

⇒ We should expect that  $\hat{f}_{\mu\nu}(x, y)$  contains:

\* some curvature that is induced by (or induces) the inflaton inhomogeneities.

\* some curvature inhomogeneities that are self-sustaining, i.e., that possess

⇒ We should expect that  $\hat{g}_{\mu\nu}(x, \eta)$  contains:

- \* some curvature that is induced by (or induces) the inflaton inhomogeneities.
- \* some curvature inhomogeneities that are self-sustaining, i.e., that possess their own dynamics - and therefore also their own quantum fluctuations.

How to separate these inhomogeneities of  $g_{\mu\nu}(x, \eta)$ ?

How to separate these inhomogeneities of  $\gamma_{\mu\nu}(x, y)$ ?

Similar to vector fields  $\vec{E}: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  we have for the tensor field  $\gamma$ :

□ The perturbations  $\gamma_{\mu\nu}$  of the metric tensor can be decomposed into three types:

- a) The part of  $\gamma_{\mu\nu}$  which can be derived from scalar functions.
- b) The part of  $\gamma_{\mu\nu}$  which can be derived from vector fields.

Similar to vector fields  $\mathbb{C} \cdot \mathbb{R} \rightarrow \mathbb{R}$  we have for the tensor field  $\gamma$ :

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a) The part of  $\gamma_{\mu\nu}$  which can be derived from scalar functions.

b) The part of  $\gamma_{\mu\nu}$  which can be derived from vector fields.

c) The part of  $\gamma_{\mu\nu}$  which is purely tensor.

## Decomposition of $g_{\mu\nu}(x, \eta)$ , with respect to its spatial structure:

- One usually writes the "line element"  $ds^2$ , i.e., the infinitesimal proper distance (squared) from  $x$  to  $x+dx$  as

$$ds^2 = g_{\mu\nu}(x, \eta) dx^\mu dx^\nu \text{ with } dx^\mu = (d\eta, dx^1, dx^2, dx^3)$$

- Then, the decomposition takes the form:

$$ds^2 = \underbrace{a^2(\eta) \left( d\eta^2 - \sum_{i=1}^3 (dx^i)^2 \right)}_{\text{zero mode, i.e., homogeneous and isotropic part}} + \underbrace{ds_s^2}_{\text{scalar}} + \underbrace{ds_v^2}_{\text{vector}} + \underbrace{ds_T^2}_{\text{tensor}}$$

- Here, the spatially "scalar" part of the inhomogeneities reads

$$ds_s^2 = a^2(\eta) \left[ 2\Phi(x, \eta) d\eta^2 - 2 \sum_{i=1}^3 \underline{e}_i \cdot B(x, \eta) dx^i d\eta \right]$$



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$$ds_s^2 = a^2(\eta) \left[ 2\Phi(x, \eta) d\eta^2 - 2 \sum_{i=1}^3 \frac{\partial}{\partial x^i} B(x, \eta) dx^i d\eta - \sum_{i,j=1}^3 \left( 2\Psi(x, \eta) \delta_{ij} - 2 \frac{\partial}{\partial x^i} \frac{\partial}{\partial x^j} E(x, \eta) \right) dx^i dx^j \right]$$

where  $\Phi$ ,  $\Psi$ ,  $B$  and  $E$  are scalar functions.

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where  $V_i$  and  $W_i$  are 3-vector fields.

- The spatially "tensor" part of the metric is the remainder, i.e., is what cannot be derived from a scalar or vector fields:

$$ds_T^2 = a^2(\eta) \sum_{i,j=1}^3 h_{ij}(x, \eta) dx^i dx^j$$

Here,  $h_{ij}$  is a spatial tensor field.

$$ds_v^4 = a^2(\eta) \left[ 2 \sum_{i=1}^3 V_i(x, \eta) dx^i d\eta - \sum_{i,j=1}^3 \left( \frac{\partial}{\partial x^j} W_i(x, \eta) + \frac{\partial}{\partial x^i} W_j(x, \eta) \right) dx^i dx^j \right]$$

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we now have:

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$$\vec{\nabla} \cdot \vec{V} = 0, \quad \vec{\nabla} \cdot \vec{W} = 0 \quad \left( \text{i.e. } \sum_{i=1}^3 \frac{\partial}{\partial x^i} V^i = 0 \text{ etc.} \right)$$

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Remark: This implies that  $h_{ij}$  describes "Weyl curvature" which is known to describe gravitational waves