

Title: Quantum Field Theory for Cosmology - Achim Kempf - Lecture 5

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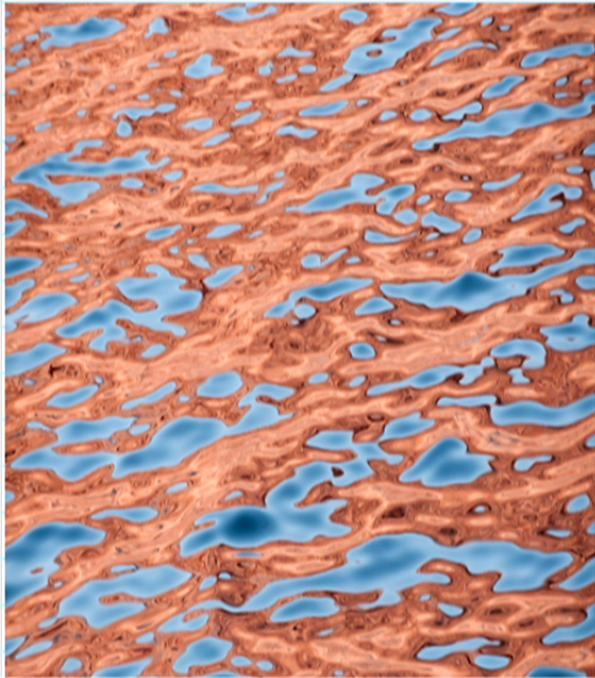
Abstract:

# QFT for Cosmology, Achim Kempf, Winter 2014, Lecture 5

Note Title

## Particles in QFT

Back in the Heisenberg picture,  
to solve the QFT is to solve:



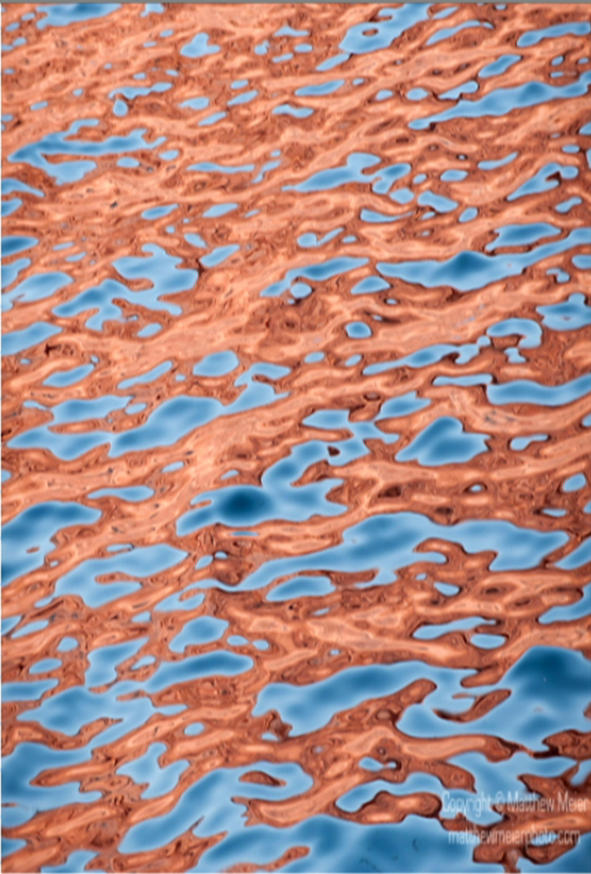
- The hermiticity conditions:

$$\hat{\phi}^+(x, t) = \hat{\phi}(x, t), \quad \hat{\pi}^+(x, t) = \hat{\pi}(x, t)$$

- The canonical commutation relations:

$$[\hat{\phi}(x, t), \dot{\hat{\pi}}(x', t)] = i \delta(x - x')$$

- The equations of motion:



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$$\hat{\phi}^+(x, t) = \hat{\phi}(x, t), \quad \hat{\pi}^+(x, t) = \hat{\pi}(x, t)$$

□ The canonical commutation relations:

$$[\hat{\phi}(x, t), \hat{\pi}(x', t)] = i \delta(x - x')$$

□ The equations of motion:

$$\ddot{\pi}(x, t) - \Delta \hat{\phi}(x, t) + m^2 \phi(x, t) = 0$$

$$\dot{\pi}(x, t) = \dot{\hat{\phi}}(x, t)$$

To simplify:

□ Infrared regularization:

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Box size  $L \times L \times L$  with  
 periodic boundary conditions.

↳ Project: uses Dirichlet boundary conditions.

□ Then Fourier series expansion:

$$\hat{\phi}(x, t) = L^{-3/2} \sum_k \hat{\phi}_k(t) e^{ikx}$$

↳  $k = \frac{2\pi}{L}(n_1, n_2, n_3), n_i \in \mathbb{Z}$

Obtain:  $\ddot{\hat{\phi}}_k(t) = -(k^2 + m^2) \hat{\phi}_k(t)$  and  $[\hat{\phi}_k, \hat{\phi}_{k'}] = i \delta_{k, -k'}$

$$\hat{H} = \sum_k \hat{H}_k \quad \text{with} \quad \hat{H}_k = \frac{1}{2} \hat{\pi}_k^+ \hat{\pi}_k + \frac{1}{2} \hat{\phi}_k^+ (k^2 + m^2) \hat{\phi}_k$$

Obtain:  $\ddot{\hat{\phi}}_k(t) = -(k^2 + m^2)\hat{\phi}_k(t)$  and  $[\hat{\phi}_k, \hat{\phi}_{k'}] = i\delta_{k,-k'}$

$$\hat{H} = \sum_k \hat{H}_k \quad \text{with} \quad \hat{H}_k = \frac{1}{2} \hat{\pi}_k^\dagger \hat{\pi}_k + \frac{1}{2} \hat{\phi}_k^\dagger (k^2 + m^2) \hat{\phi}_k$$



i.e.: 
$$\hat{H} = \sum_k \left( \frac{1}{2} \hat{\pi}_k^\dagger(t) \hat{\pi}_k(t) + \frac{1}{2} \hat{\phi}_k^\dagger(t) (k^2 + m^2) \hat{\phi}_k(t) \right)$$

□ Crucial observations:

\* For each wave vector  $k = (k_x, k_y, k_z)$  there is an independent harmonic oscillator with frequency  $\omega_k = \sqrt{k^2 + m^2}$  and spectrum  $\text{spec}(H_k) = \hbar\omega_k \left\{ \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots \right\}$ .

\* For each wave vector  $\mathbf{k} = (k_x, k_y, k_z)$  there is an independent harmonic oscillator with frequency  $\omega_{\mathbf{k}} = \sqrt{k^2 + m^2}$  and spectrum  $\text{spec}(H_{\mathbf{k}}) = \hbar \omega_{\mathbf{k}} \left\{ \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots \right\}$ .

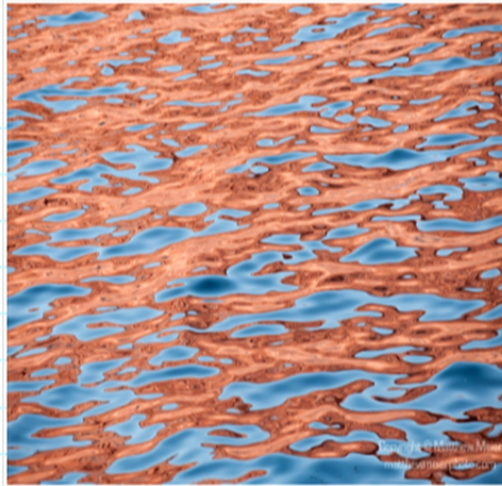
$\Rightarrow$  The excitation levels of  $H_{\mathbf{k}}$  differ by the energy  $E = \hbar \omega_{\mathbf{k}} = \hbar \sqrt{k^2 + m^2}$  ( $\hbar = 1$ )

\* This is also the energy of a particle of momentum  $\mathbf{k}$ !

$\Rightarrow$  Hypothesis: Mode excitation = particle creation

Water:

$$\phi(x, t)$$

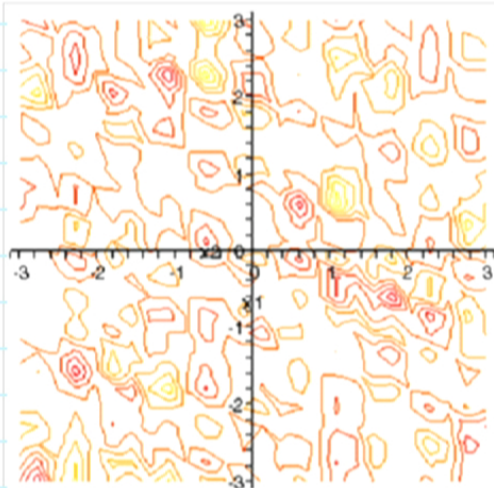


Probe amplitudes,  
e.g., with a cork:

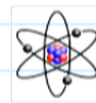


Quantum field:

$$\hat{\phi}(x, t)$$



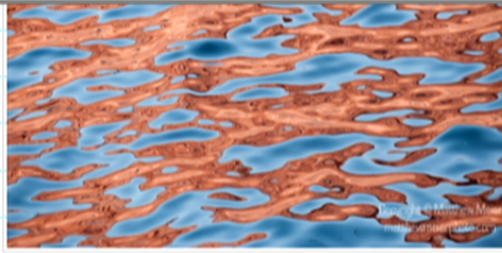
Probe amplitudes, e.g.,  
with atoms.



Use as a  
detector for  
the field's particles  
(e.g. photons for EM field)

One finds:

$$\phi(x, t)$$

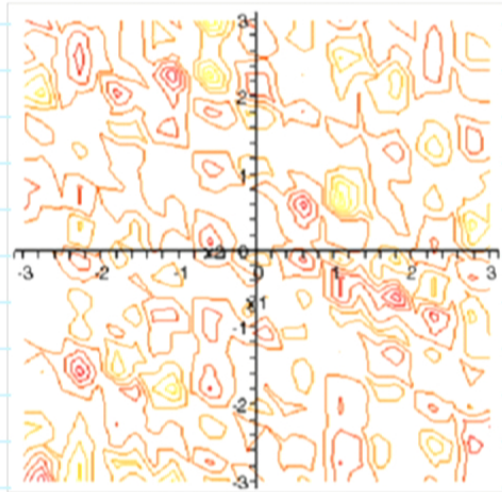


*f.g., water waves*

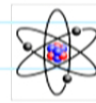


Quantum field:

$$\hat{\phi}(x, t)$$



Probe amplitudes, e.g., with atoms.



Use as a detector for the field's particles (e.g. photons for EM field)

One finds:

- Interpretation works but is acceleration and curvature dependant.
- Interpretation simple only in Minkowski space for inertial detectors.



Note: Conventional particle physics is based on that special case.

Then: Which is, e.g., the state  $|4\rangle$  in which we have

3 particles of momentum  $k_a$  and 7 particles of momentum  $k_b$ ?

$$|4\rangle = |n_{k_a}=3, n_{k_b}=7, \text{ all other } n_k=0\rangle$$

$$= |n_{k_a}=3\rangle \otimes |n_{k_b}=7\rangle \left( \bigotimes_{\substack{\text{all other} \\ k_c}} |n_{k_c}=0\rangle \right)$$

Energy:  $H_k |4\rangle = \begin{pmatrix} \hbar \omega_k (\frac{1}{2} + 3) & \text{if } k=k_a \\ \hbar \omega_k (\frac{1}{2} + 7) & \text{if } k=k_b \\ \hbar \omega_k \frac{1}{2} & \text{if } k \neq k_a, k_b \end{pmatrix} |4\rangle$

3 particles of momentum  $k_a$  and 7 particles of momentum  $k_b$ ?

$$|\psi\rangle = |n_{k_a}=3, n_{k_b}=7, \text{ all other } n_k=0\rangle$$
$$= |n_{k_a}=3\rangle \otimes |n_{k_b}=7\rangle \left( \bigotimes_{\substack{\text{all other} \\ k_c}} |n_{k_c}=0\rangle \right)$$

Energy:  $H_k |\psi\rangle = \begin{pmatrix} \hbar \omega_{k_a} \left(\frac{1}{2} + 3\right) & \text{if } k=k_a \\ \hbar \omega_{k_b} \left(\frac{1}{2} + 7\right) & \text{if } k=k_b \\ \hbar \omega_k \frac{1}{2} & \text{if } k \neq k_a, k_b \end{pmatrix} |\psi\rangle$

$$\Rightarrow \hat{H} |\psi\rangle = \left( 3\omega_{k_a} + 7\omega_{k_b} + \sum_{\text{all } k} \frac{1}{2} \omega_k \right) |\psi\rangle$$

And one can have linear combinations:

Which is, e.g., the state  $|\mathcal{C}\rangle$  in which we have

3 particles of momentum  $k_a$  or 7 particles of momentum  $k_b$ ,

with probability amplitudes  $\alpha$ ,  $\beta = \sqrt{1-\alpha^2}$ ?

$$|\mathcal{C}\rangle = \alpha |n_{k_a}=3, \text{ other } n_k=0\rangle + \beta |n_{k_b}=7, \text{ other } n_k=0\rangle$$

**Notice:** This is not a state of fixed particle number!

**Remark:** Some particle species have a number conservation law, e.g., leptons, i.e.  $e^-$ ,  $\mu^-$ ,  $\tau^-$ ,  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ , (where the antiparticles count negatively).

# Mechanisms for mode excitation / particle creation?

J.e.: What are mechanisms for exciting harmonic oscillators?

□ 2 types of mechanism: (here  $\hat{q}(t)$  stands for  $\hat{\phi}_k(t)$ )

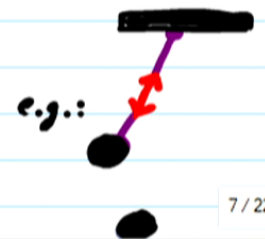
we'll begin with this effect → a.) A "driving force" shakes the oscillator:

$$\ddot{\hat{q}}(t) = -\omega^2 \hat{q}(t) + \hat{j}(t)$$



b.) A time dependence of  $\omega$  affects the oscillator:

$$\ddot{\hat{q}}(t) = -\omega^2(t) \hat{q}(t)$$



↑  $V(q)$



## All occur in QFT:

- a.) Multiple fields enter into the Hamiltonian and into the eqns of motion. Thus, fields provide each other with  $J$  terms, e.g.:

$$H(\hat{\phi}, \hat{\psi}) = \hat{H}_1(\hat{\phi}) + \hat{H}_2(\hat{\psi}) + \int_{\mathbb{R}^3} \lambda \hat{\phi}(x,t) \hat{\psi}(x,t) d^3x$$

- Wave interpretation: Nontrivial interaction of waves of different types of fields
- Particle interpretation: The collision of particles happens when their mode oscillators drive another.  
→ Collisions can create and annihilate particles.
- Strongest effects?

□ Wave interpretation: Nontrivial interaction of waves of different types of fields

□ Particle interpretation: The collision of particles happens when their mode oscillators drive another.  
 → Collisions can create and annihilate particles.

□ Strongest effects?

When oscillator "resonates" with driving force.

E.g.: It takes high energy particles to <sup>hand</sup> make high energy particles

b.) The presence of gravity can effectively influence the  $\omega_k(t)$ .

□ Wave interpretation: \* E.g., cosmic expansion stretches the wavelength

→ expect  $\omega_k(t)$  decreases. Time and also:

b.) The presence of gravity can effectively influence the  $\omega_r(t)$ .

▢ Wave interpretation: \* E.g., cosmic expansion stretches the wavelength  
 $\Rightarrow$  expect  $\omega = \omega(t)$  decreases. True, and also:

\* if wavelength  $>$  horizon then  $\omega^2(t) < 0!$

$\Rightarrow$  runaway harmonic mode "oscillators" 

(then: field amplification but no particle interpretation)

▢ Particle interpretation:

Gravity can excite mode oscillators, i.e.  
 it can create particles from the vacuum.

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▢ Strongest effects?

When oscillator resonates with  $\omega(t)$ . This effect is called parametric resonance.

Case **a**: Particle creation through external driving of mode oscillators.

Example: Production of photons by an antenna:



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Example: Production of photons by an antenna:

□ We model the electromagnetic field as a Klein Gordon field.

(The fact that EM fields have polarization and have  $m=0$  is not important here)

□ Consider an arbitrary mode of the electromagnetic field:

$$\hat{\phi}_k(t)$$

should really  
be quantized too

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□ We model the electric current as a given classical field  $\vec{j}(x,t)$  whose modes are  $j_k(t)$ .

↙ should really be vector-valued



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- We model the electric current as a given classical field  $\mathbf{j}(\mathbf{x}, t)$  whose modes are  $\mathbf{j}_k(t)$ .
- ↓ should really be vector-valued

- In a rough simplification, the EM  $\mathbf{k}$  mode obeys:

$$\hat{H}_k = \frac{1}{2} \hat{\pi}_k^\dagger(t) \hat{\pi}_k(t) + \frac{1}{2} \omega_k^2 \hat{\phi}_k^\dagger(t) \hat{\phi}_k(t) + \hat{\phi}_k(t) \mathbf{j}_k(t)$$

⇒ If the current  $\mathbf{j}(t)$  varies in time it can excite the mode oscillators, thus creating photons.

$$H_k = \frac{1}{2} \dot{\phi}_k(t)^2 + \frac{1}{2} \omega_k^2 \phi_k(t)^2 + \phi_k(t) j_k(t)$$

⇒ If the current  $j(t)$  varies in time it can excite the mode oscillators, thus creating photons.

⇒ Need to study the quantized driven harmonic oscillator!

$$\hat{H}(t) = \frac{1}{2} \hat{p}(t)^2 + \frac{\omega^2}{2} \hat{q}(t)^2 - j(t) \hat{q}(t)$$

for  $H_k(t)$       for  $\hat{H}_k(t)$       stands for a field mode  $\hat{\phi}_k(t)$

stands for a mode  $j_k(t)$  of another classical (or better quantum) field.

# I Preparation:

□ Recall that for all observables  $\hat{f}$ :

$$\bar{f}(t) = \langle \psi_0 | \hat{U}^\dagger(t) \hat{f}_0 \hat{U}(t) | \psi_0 \rangle$$

↙ state at initial time

↖ operator at initial time

with the time-evolution operator obeying:

$$\hat{U}(t_0) = 1, \quad i \frac{d}{dt} \hat{U}(t) = \hat{U}(t) \hat{H}(t)$$

↘ the original Hamiltonian

↖ "Heisenberg Hamiltonian"

□ Schrödinger picture? We write, equivalently:


↙ Exercise: check!

$$= |\psi(t)\rangle$$

$$\bar{f}(t) = \left( \langle \psi_0 | \hat{U}^\dagger(t) \right) \hat{f}_0 \left( \hat{U}(t) | \psi_0 \rangle \right)$$


$$\bar{f}(t) = \langle \psi_0 | \hat{U}^\dagger(t) \hat{f}_0 \hat{U}(t) | \psi_0 \rangle$$

$$= \langle \psi(t) | \hat{f}_0 | \psi(t) \rangle$$

The dynamics is  $i \frac{d}{dt} | \psi(t) \rangle = \hat{H}_S(t) | \psi(t) \rangle$  

Recall:  $\hat{H}_S(t) = \hat{H}(t)$  only if  $\frac{d}{dt} \hat{H}(t) = 0$

with Schrödinger Hamiltonian:  $\hat{H}_S(t) = \hat{U}(t) \hat{H}(t) \hat{U}^\dagger(t)$

 Exercise: check  
We will use, equivalently, the Heisenberg picture :

$$\bar{f}(t) = \langle \psi_0 | (\hat{U}^\dagger(t) \hat{f}_0 \hat{U}(t)) | \psi_0 \rangle$$

Exercise: check  
 □ We will use, equivalently, the Heisenberg picture:

$$\begin{aligned}\bar{f}(t) &= \langle \gamma_0 | \underbrace{(\hat{U}^\dagger(t) \hat{f}_0 \hat{U}(t))}_{\hat{f}(t)} | \gamma_0 \rangle \\ &= \langle \gamma_0 | \hat{f}(t) | \gamma_0 \rangle\end{aligned}$$

with dynamics:

$$i \frac{d}{dt} \hat{f}(t) = [\hat{f}(t), \hat{H}(t)]$$

II Aspects of the Heisenberg picture:

## II Aspects of the Heisenberg picture:

- The **state** of the quantum system stays the same Hilbert space vector, say  $|\psi\rangle \in \mathcal{H}$  (from measurement to measurement).
- The **observables**, say  $\hat{H}(t)$ ,  $\hat{f}(t)$ , etc, are time-dependent operators in Hilbert space.
- Important implication:

The eigenbases and the eigenvalues of observables, such as  $\hat{H}(t)$  and any  $\hat{f}(t)$  depend on time!

$$\hat{f}(t) |f_n(t)\rangle = f_n(t) |f_n(t)\rangle$$



Example: \* Assume the driven harmonic oscillator starts out at time  $t_1$  in  $n$ 'th energy state, say  $|\gamma\rangle = |E_n(t_1)\rangle$ :

$$\hat{H}(t_1) |E_n(t_1)\rangle = E_n(t_1) |E_n(t_1)\rangle$$

\* State vector of the system stays  $|\gamma\rangle$  for  $t > t_1$ .

\* But at later times, say  $t > t_1$ , the Hamiltonian and its eigenvectors and eigenvalues are

$$\hat{H}(t) |E_n(t)\rangle = E_n(t) |E_n(t)\rangle$$

and we generally have

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$$\hat{H}(t) |E_n(t)\rangle = E_n(t) |E_n(t)\rangle$$

and we generally have

$$E_n(t) \neq E_n(t_1), |E_n(t)\rangle \neq |E_n(t_1)\rangle$$

$\Rightarrow$  At time  $t_2$  system is still in state  $|\gamma\rangle$  and still

⇒ At time  $t_2$  system is still in state  $|\psi\rangle$  and still  
 $|\psi\rangle = |E_n(t_1)\rangle$

but  $|\psi\rangle$  is generally no longer with (or any other) energy eigenstate!



In particular:

\* Assume system starts out at  $t_1$  in lowest energy state (i.e. in vacuum):  $|\psi\rangle = |E_0(t_1)\rangle$

\* Then if  $|\psi\rangle = |E_0(t_1)\rangle \neq |E_0(t_2)\rangle$

⇒ At  $t_2$  the system's state  $|\psi\rangle$  is not the ground

\* Then if  $|\gamma\rangle = |E_0(t_1)\rangle \neq |E_0(t_2)\rangle$

$\Rightarrow$  At  $t_2$  the system's state  $|\gamma\rangle$  is not the ground state i.e. not the vacuum state, i.e. particles (e.g. photons) exist at time  $t_2$ .

### III Strategy for solving quantized driven harmonic oscillator

Problem: \* CCR:  $[\hat{q}(t), \hat{p}(t)] = i1$

\* Hermiticity:  $\hat{q}^\dagger(t) = \hat{q}(t)$ ,  $\hat{p}^\dagger(t) = \hat{p}(t)$

\* Hamiltonian:  $\hat{H}(t) = \frac{1}{2} \hat{p}(t)^2 + \frac{\omega^2}{2} \hat{q}(t)^2 - \gamma(t) \hat{q}(t)$

\* Hamiltonian:  $\hat{H}(t) = \frac{1}{2} \hat{p}(t)^2 + \frac{\omega^2}{2} \hat{q}(t)^2 - J(t) \hat{q}(t)$

\* Heisenberg eqns  $i \dot{\hat{f}}(t) = [\hat{f}(t), \hat{H}(t)]$  yield:

$$\dot{\hat{q}}(t) = \hat{p}(t)$$

$$\dot{\hat{p}}(t) = -\omega^2 \hat{q}(t) + J(t)$$

This is a good strategy  
with and without a  
driving force

↓  
□ Strategy: \* Combine

is operator even though no "hat".

$$a(t) := \alpha \hat{q}(t) + i\beta \hat{p}(t)$$

↳ Mukhanov calls it  $a^-(t)$

⤴ (analogous to "real" & "imaginary" parts)

\* Choose  $\alpha, \beta$  so that  $\hat{H}(t)$  and eqn of motion simplify.

## IV Determine $\alpha$ and $\beta$ :

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□ Notice first that once we have  $a(t)$  we immediately obtain  $\hat{q}(t), \hat{p}(t)$ : Use of  $a^+(t) = \alpha \hat{q}(t) - i\beta \hat{p}(t)$  yields:

$$\hat{q}(t) = \frac{1}{2\alpha} (a^+(t) + a(t))$$

$$\hat{p}(t) = \frac{i}{2\beta} (a^+(t) - a(t))$$

□ Use this to express  $[\hat{q}, \hat{p}] = i$  in terms of new variable  $a(t)$ :

$$\Rightarrow [a(t), a^+(t)] = 2\alpha\beta$$

For simplicity, we choose  $\beta = \frac{1}{2\alpha}$  so that:

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For simplicity, we choose  $\beta = \frac{1}{2\alpha}$  so that:

$$[a(t), a^+(t)] = 1$$

$$\Rightarrow [a(t), a^\dagger(t)] = 2\alpha\beta$$

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$$[a(t), a^\dagger(t)] = 1$$

□ Now express  $\hat{H}(t)$  in terms of new variable  $a(t)$ :

$$\begin{aligned}\hat{H}(t) = & -\frac{1}{2}\alpha^2 (a^\dagger(t) - a(t))^2 + \frac{\omega^2}{2} \frac{1}{4\alpha^2} (a^\dagger(t) + a(t))^2 \\ & - J(t) \frac{1}{2\alpha} (a^\dagger(t) + a(t))\end{aligned}$$



□ Now express  $\hat{H}(t)$  in terms of new variable  $a(t)$ :

$$\hat{H}(t) = -\frac{1}{2}d^2 (a^+(t) - a(t))^2 + \frac{\omega^2}{2} \frac{1}{4d^2} (a^+(t) + a(t))^2 - J(t) \frac{1}{2d} (a^+(t) + a(t))$$

We notice that the terms  $\sim a^+(t)^2$  and  $\sim a(t)^2$  drop out if we choose:

$$-\frac{1}{2}d^2 + \frac{\omega^2}{2} \frac{1}{4d^2} = 0$$

Thus, we choose:  $d = \sqrt{\frac{\omega}{2}}$  and therefore  $\beta = \frac{1}{\sqrt{2\omega}}$

□ Thus, by definition:

Thus, we choose:  $\alpha = \sqrt{\frac{\omega}{2}}$  and therefore  $\beta = \frac{1}{\sqrt{2\omega}}$

□ Thus, by definition:

$$a(t) := \sqrt{\frac{\omega}{2}} \hat{q}(t) + i \frac{1}{\sqrt{2\omega}} \hat{p}(t)$$

□ The Hamiltonian simplifies to become:

$$\hat{H}(t) = \omega \left( a^\dagger(t) a(t) + \frac{1}{2} \right) - f(t) \frac{1}{\sqrt{2\omega}} (a^\dagger(t) + a(t))$$

IV Solve for  $a(t)$ :

$$\hat{H}(t) = \omega \left( a^\dagger(t) a(t) + \frac{1}{2} \right) - J(t) \frac{1}{\sqrt{2\omega}} (a^\dagger(t) + a(t))$$

## IV Solve for $a(t)$ :

▢ The Heisenberg equation  $i\dot{\hat{f}}(t) = [\hat{f}(t), \hat{H}(t)]$  reads for  $a(t)$ :

$$i\dot{a}(t) = \omega a(t) - \frac{1}{\sqrt{2\omega}} J(t)$$

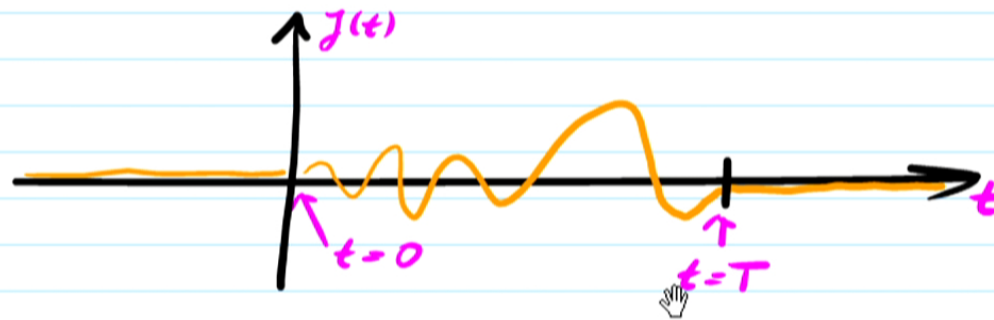
▢ Let us give  $a(t=0)$  a name:  $a_{in} = a(0)$ . Then:

Exercise:  
verify.

$$a(t) = a_{in} e^{-i\omega t} + \frac{i}{\sqrt{2\omega}} \int_0^t J(t') e^{i\omega(t'-t)} dt'$$

## VI Case of force of finite duration

□ Assume  $J(t) = 0$  for all  $t \notin [0, T]$



□ Define 
$$J_0 := \frac{i}{\sqrt{2\omega}} \int_0^T J(t') e^{i\omega t'} dt'$$

□ Then: 
$$\begin{cases} a_{in} e^{-i\omega t} & \text{for } t < 0 \end{cases}$$

$t=0$   $t=T$

□ Define 
$$J_0 := \frac{i}{\sqrt{2\omega}} \int_0^T J(t') e^{i\omega t'} dt'$$

□ Then:

$$a(t) = \begin{cases} a_{in} e^{-i\omega t} & \text{for } t < 0 \\ \text{see above} & \text{for } t \in [0, T] \\ (a_{in} + J_0) e^{-i\omega t} & \text{for } t > T \end{cases}$$

Implications in terms of particle (e.g. photon) production?