

Title: AMATH 875/PHYS 786 - Fall 2015 - Lecture 22

Date: Nov 16, 2015 01:45 PM

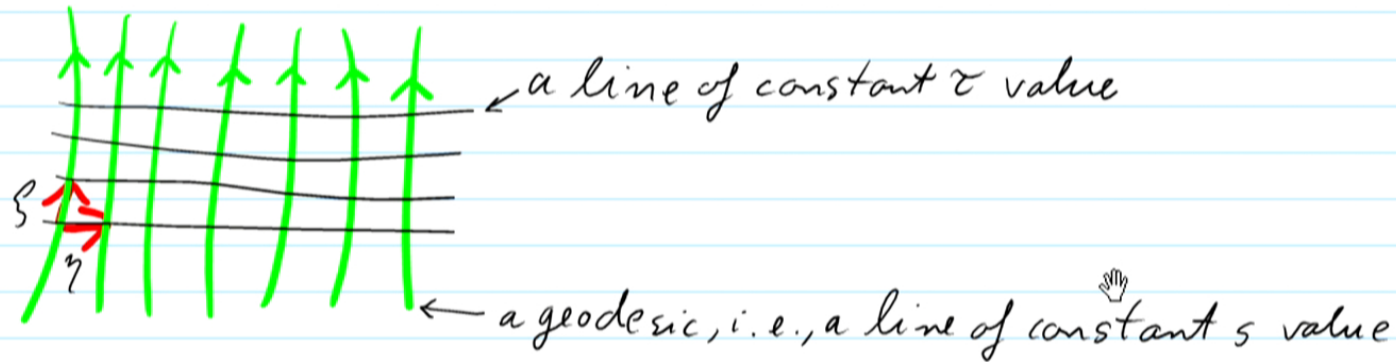
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Abstract:

□ We consider a one-parameter sub-family of these geodesics:

$$x(\tau, s)$$

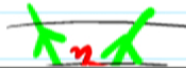
\uparrow eigentime \uparrow parameter of family of neighboring geodesics.



□ Then, we define the deviation vector to a neighboring geodesic:

$$\eta := \frac{d}{ds}$$

□ The singularity theorem claims that this happened in the past:



How does η change along a past-directed timelike geodesic with tangent ξ ?

We showed:

$$\xi^\nu \eta^{\nu}{}_{;\mu} = \eta^\nu B^\nu{}_\mu \quad \text{where} \quad B^\nu{}_\mu := \xi^\nu{}_{;\mu}$$



\Rightarrow Along the geodesic, ξ , the deviation vector η^ν changes its direction and length by $B^\nu{}_\mu \eta^\mu$.

□ The tensor $B^\nu{}_\mu$ can be decomposed covariantly and uniquely:

Symmetric and trace = 0



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$$\xi^\nu \eta^\rho{}_{;\nu} = \eta^\nu B^\rho{}_\nu \quad \text{where} \quad B^\rho{}_\nu := \xi^\mu \eta^\rho{}_{;\mu}$$

\Rightarrow Along the geodesic, ξ , the deviation vector η^ν changes its direction and length by $B^\rho{}_\nu \eta^\nu$.

\square The tensor $B^\rho{}_\nu$ can be decomposed covariantly and uniquely:

$$B_{\rho\nu} = \underbrace{\omega_{\rho\nu}}_{\text{anti-symmetric}} + \underbrace{G_{\rho\nu}}_{\text{Symmetric and trace}=0} + \underbrace{t_{\rho\nu}}_{\text{rest}}$$

Explicitly:

Volume preserving \rightarrow $\omega_{\mu\nu} = \frac{1}{2} (B_{\mu\nu} - B_{\nu\mu})$ Twist: $\circ \rightarrow \odot$

$\sigma_{\mu\nu} = \frac{1}{2} (B_{\mu\nu} + B_{\nu\mu}) - \frac{1}{3} \theta h_{\mu\nu}$ Shear: $\circ \rightarrow \parallel$

Volume changing: $\tau_{\mu\nu} = \frac{1}{3} \theta h_{\mu\nu}$ Expansion: $\circ \rightarrow \bigcirc$

Here, we defined: $\theta := B^{\mu\nu} g_{\mu\nu}$ and $h_{\mu\nu} := g_{\mu\nu} + \xi_{\mu} \xi_{\nu}$

I.e., the Expansion, θ , is the trace of B , which we showed is also equal to the magnitude of the spatial part of B : $\theta = B^{\mu\nu} h_{\mu\nu}$.

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Key question:

What is the dynamics of θ ?

The Raychaudhuri equation

For the derivation, we will use:

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A) Definition of B is: $B_{\mu\nu} := \xi_{\mu;\nu}$

B) The curvature tensor obeys the Ricci equation:

$$\xi^a{}_{jbc} - \xi^a{}_{jcb} = R^a{}_{bcd} \xi^d$$

C) ξ is tangent to a geodesic, i.e., it obeys: $\nabla_{\xi} \xi = 0$

$$\text{i.e.: } 0 = \nabla_{\xi} \xi^b e_b = \xi^a \nabla_a \xi^b e_b = \xi^a \xi^b{}_{;a} e_b$$

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i.e.: $0 = \nabla_{\xi^a e_a} \xi^b e_b = \xi^a \nabla_{e_a} \xi^b e_b + \xi^a \xi^b{}_{;a} e_b$

True for all e_a , thus: $\xi^a \xi^b{}_{;a} = 0$

Now calculate the rate of change of B along the geodesic:

$$\xi^c B_{ab;c} \stackrel{(A)}{=} \xi^c \xi_{a;bc}$$

$\nabla_{\xi} B$

$$\stackrel{(B)}{=} \xi^c \xi_{a;cb} + \xi^c R_{abcd} \xi^d$$

$$\stackrel{\text{Leibniz rule}}{=} \underbrace{(\xi^c \xi_{a;c})}_{=0};b - \xi^c \xi_{ib} \xi_{a;c} + R_{abcd} \xi^c \xi^d$$

$$\stackrel{(C)}{=} -\xi^c \xi_{ib} \xi_{a;c} + R_{abcd} \xi^c \xi^d$$

$$\stackrel{(A)}{=} -R^c{}_{i b a} \xi^c \xi^d + R_{abcd} \xi^c \xi^d$$

$$\xi^c \nabla_{ab;c} = \xi^c \nabla_{a;bc}$$

$$\nabla_{\xi} B$$

$$(B) = \xi^c \xi_{a;cb} + \xi^c R_{abcd} \xi^d$$

$$\stackrel{\text{Leibniz rule}}{=} \underbrace{(\xi^c \xi_{a;c})}_{=0};b - \xi^c{}_{;b} \xi_{a;c} + R_{abcd} \xi^c \xi^d$$

$$(C) = -\xi^c{}_{;b} \xi_{a;c} + R_{abcd} \xi^c \xi^d$$

$$(A) = -B^c{}_b B_{ac} + R_{abcd} \xi^c \xi^d$$

In summary, we derived:

$$\xi^c B_{ab;c} = -B^c_b B_{ac} + R_{abcd} \xi^c \xi^d \quad (*)$$

The trace of (*) will be the Raychaudhuri equation.

But first, we recall:

$$\square \xi = \frac{d}{d\tau}$$

$$\square \text{Tr } B = B_{\mu\nu} g^{\mu\nu} = \Theta$$

$$\Rightarrow \text{Trace(LHS) of (*) reads } \frac{d}{d\tau} \Theta !$$

Now on the RHS of (*) use the decomposition

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$$B_{\mu\nu} = \omega_{\mu\nu} + \sigma_{\mu\nu} + \frac{1}{3}\theta h_{\mu\nu} \text{ to express } B^c_b B_{ac}:$$

$$\begin{aligned} B^c_b B_{ac} &= \omega^c_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3}\theta h_{ac}) \\ &+ \sigma^c_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3}\theta h_{ac}) \\ &+ \frac{1}{3}\theta h^c_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3}\theta h_{ac}) \end{aligned}$$

When taking the trace, $g^{ab} B^c_b B_{ac}$, only the diagonal terms survive:

$$\text{Tr}(BB) = g^{ab} B^c_b B_{ac} = \omega_{ab} \omega^{ab} + \sigma_{ab} \sigma^{ab} + \frac{1}{3}\theta^2 h_{ab} h^{ab}$$

Exercise:
show it is 3

$$\begin{aligned}
 B^c_b B_{ac} &= \omega^c_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \theta h_{ac}) \\
 &+ \sigma^c_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \theta h_{ac}) \\
 &+ \frac{1}{3} \theta h^a_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \theta h_{ac})
 \end{aligned}$$

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The Raychaudhuri equation is then the trace of Eq. (*):

recall: Ricci tensor is
 $R_{cd} = R^a_{cda}$

$$d\theta = -\frac{1}{3} \theta^2 - R_{ab} \xi^a \xi^b$$

$$+ \frac{1}{3} \theta h^a_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \theta h_{ac})$$

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Exercise:
show it is 3

The Raychaudhuri equation is then the trace of Eq. (*):

$$\frac{d\theta}{d\tau} = -\frac{1}{3} \theta^2 - \underbrace{\sigma_{ab} \sigma^{ab}}_{\text{always positive}} - \underbrace{\omega_{ab} \omega^{ab}}_{\text{always positive (and vanishes if choose congruence } \perp \Sigma)} - \underbrace{R_{cd} \xi^c \xi^d}_{\text{pos. or neg?}}$$

recall: Ricci tensor is $R_{cd} = R_{da} a^a$

Thus, assuming the strong energy condition:

$$\frac{d\theta}{d\tau} + \frac{1}{3}\theta^2 \leq 0$$

i.e., $-\frac{1}{\theta^2} \frac{d\theta}{d\tau} - \frac{1}{3} \geq 0$

i.e., $\frac{d}{d\tau} \theta^{-1} \geq \frac{1}{3}$

(+)

Consider the cases when the geodesics are initially all either

- a.) diverging, i.e., $\theta(\tau_0) > 0$ (expanding universe) or
- b.) converging, i.e., $\theta(\tau_0) < 0$ (contracting universe)

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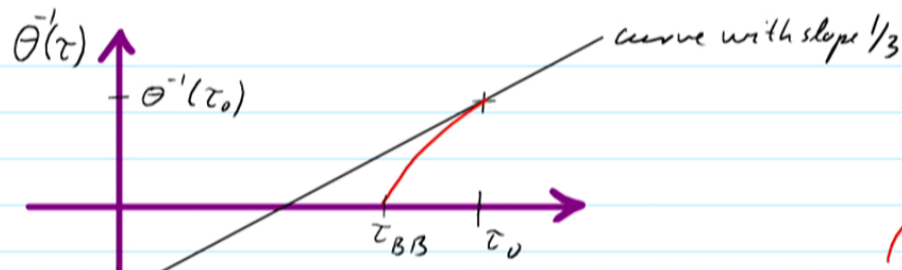
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(This is reformulating the theorem's assumption that the extrinsic curvature (i.e. the expansion or contraction at some time exceeds a certain finite value everywhere)

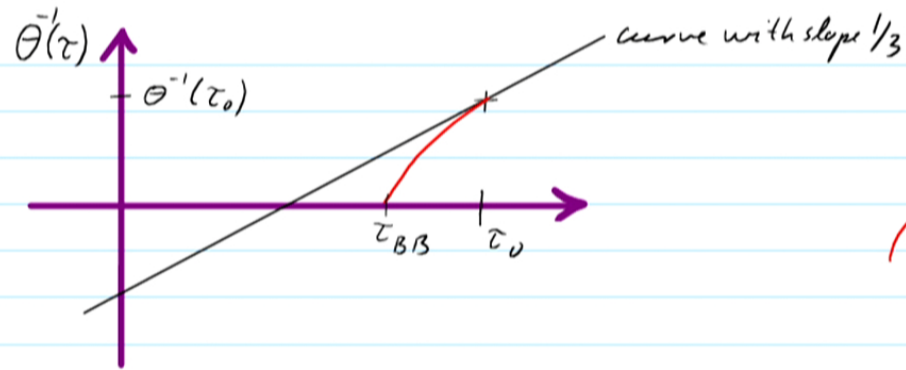
a.)



$\tau_0 =$ e.g. today

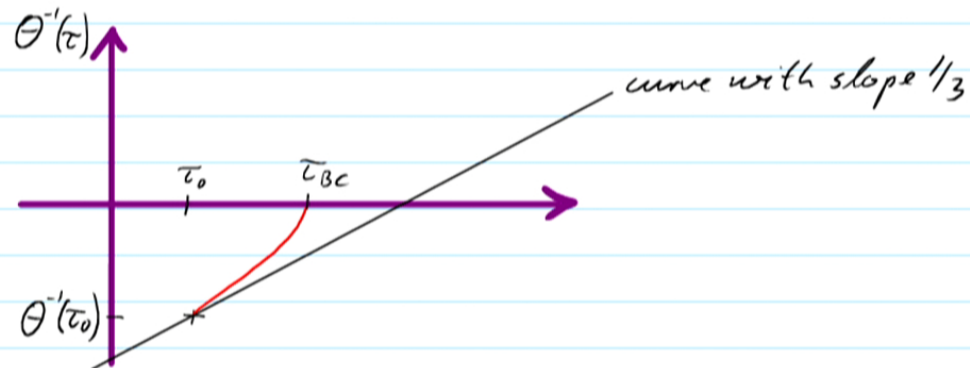
(= curve $\bar{\theta}^{-1}(\tau)$ of slope $\geq \frac{1}{3}$

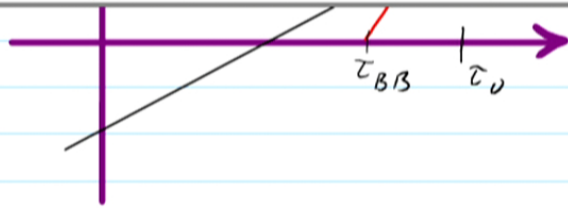
a.)

 $\tau_0 = \text{e.g. today}$ / = curve $\theta'(\tau)$ of slope $> \frac{1}{3}$

We see that $\theta'(\tau)$ must have hit $\theta'(\tau) = 0$ at a finite time τ_{BB} (Big Bang).

b.)

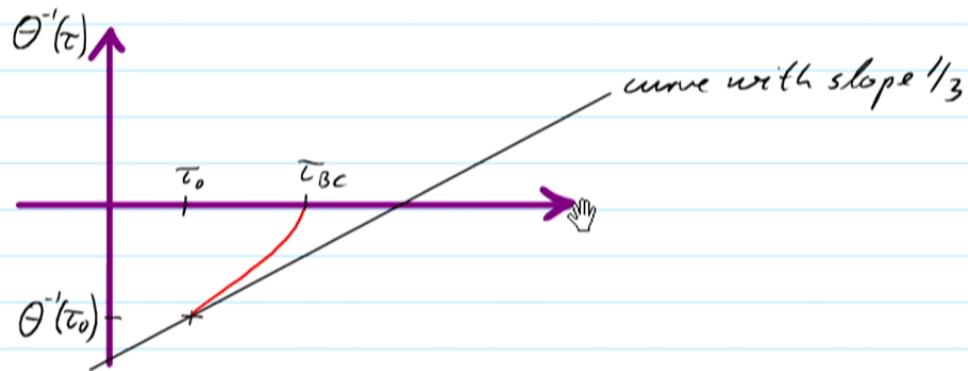
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b)



τ_0 = e.g. today
 \curvearrowright = curve of slope $> \frac{1}{3}$

We see that $\Theta'(\tau)$ will hit $\Theta'(\tau) = 0$ at a finite time τ_{BC} (Big Crunch)

(Big Crunch)

Conclusion:

Eq. (+) implies that $\Theta(\tau)$ must go through 0, i.e.:

a.) for sufficiently early τ , have $\Theta \rightarrow +\infty$, i.e.: Big Bang

b.) for sufficiently late τ , have $\Theta \rightarrow -\infty$, i.e.: Big Crunch

Note:

This type of reasoning leads also to further cosmological singularity theorems.

E.g., another cosmological singularity theorem does not

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E.g., another cosmological singularity theorem does not assume global hyperbolicity, and its conclusion is weaker:

There is at least one incomplete timelike geodesic.


Results, e.g., regarding types of cosmic singularity?

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- ▣ Assume a set of symmetries of matter and spacetime has been chosen.
- ▣ Assume an exact solution or at least its asymptotic properties at early times have been found.
- ▣ Assume, we choose a timelike congruence e.g. of geodesics.

⇒ We can now explicitly calculate the **twist**,

- Assume a set of symmetries of matter and spacetime has been chosen.
- Assume an exact solution or at least its asymptotic properties at early times have been found.
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The expansion in one direction can be say enhanced by shear, as long as shear shrinks other directions.

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Definition:

We define a rate of expansion tensor that includes shear:

$$\Theta_{\mu\nu} := \overset{\text{symmetric part of } B_{\mu\nu}}{\sigma_{\mu\nu}} + \overset{\text{shear}}{\frac{1}{3} \Theta h_{\mu\nu}}$$

projector \perp to the timelike u -field

expansion scalar.

Definition:

We define a rate of expansion tensor that includes shear:

$$\Theta_{\mu\nu} := \underbrace{\sigma_{\mu\nu}}_{\text{symmetric part of } \mathcal{B}_{\mu\nu}} + \frac{1}{3} \underbrace{\theta}_{\text{expansion scalar}} \underbrace{h_{\mu\nu}}_{\text{projector } \perp \text{ to the timelike } u\text{-field}}$$

$\Theta_{\mu\nu}$ is fully spacelike and symmetric $\Rightarrow \Theta_{\mu\nu}$ can be diagonalized in suitable ON frame $\{e_0, e_1, e_2, e_3\}$:

$$\Theta_{\mu\nu} = \begin{pmatrix} 0 & & & \\ & \theta_1 & & \\ & & \theta_2 & \\ 0 & & & \theta_3 \end{pmatrix} \quad \text{3 space-like directions.}$$

with the traditional expansion being the trace (locally)

$$\Theta_{\mu\nu} = \begin{pmatrix} 0 & \theta_1 & 0 \\ 0 & \theta_2 & 0 \\ 0 & 0 & \theta_3 \end{pmatrix} \quad \text{3 space-like directions.}$$

with the traditional expansion being the trace (because $g_{\mu\nu}$ is traceless):

$$\Theta = \theta_1 + \theta_2 + \theta_3$$

\Rightarrow is not quite proper
why $\frac{1}{3}$? Recall that $\text{Tr}(h_{\mu\nu}) = 3$

Definition:

$$H_i := \frac{1}{3} \theta_i$$

Local Hubble expansion function in direction e_i .

$$H := \frac{1}{3} \Theta$$

Overall local Hubble expansion function.

Definition:

□ Definition:

We use H_i, H to define local directional and general scale factors l_i, l :

The l_i, l are defined as the solutions to:

$$\frac{\dot{l}_i}{l_i} = H_i$$

$$\frac{\dot{l}}{l} = H$$

Here, the time derivative is defined as:

$$\dot{l} = u(l) = u^\nu \frac{\partial}{\partial x^\nu} l$$

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□ What behavior can occur in the far past?

Full set of cases not yet known.

But:

Explicit examples are known where e.g.:

- All $l_i \rightarrow 0$ as in FL cosmologies
- $l_1, l_2 \rightarrow 0, l_3 \rightarrow \infty$ "cigar singularity"
- $l_1, l_2 \rightarrow 0, l_3 \rightarrow \text{const}$ "barrel singularity"
- $l_1, l_2 \rightarrow \text{const}, l_3 \rightarrow 0$ "pancake singularity"

□ Note: For homogeneous, isotropic FL models, H is the regular Hubble parameter and l is

Regarding black holes:

Recall: Singularity theorems suitable for black holes involve the concept and assumption of a **trapped surface**:

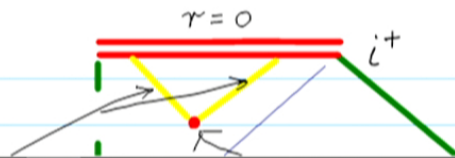
Def:

- Let Σ be a spacelike hypersurface. (Note: Σ is 3-dimensional)
- Let $T \subset \Sigma$ be a compact, 2-dimensional smooth spacelike submanifold of Σ . Consider the ingoing and the outgoing future-directed null geodesics that are orthogonal to T .
- If all these geodesics possess negative expansion, $\theta < 0$, then T is called a **trapped surface**.

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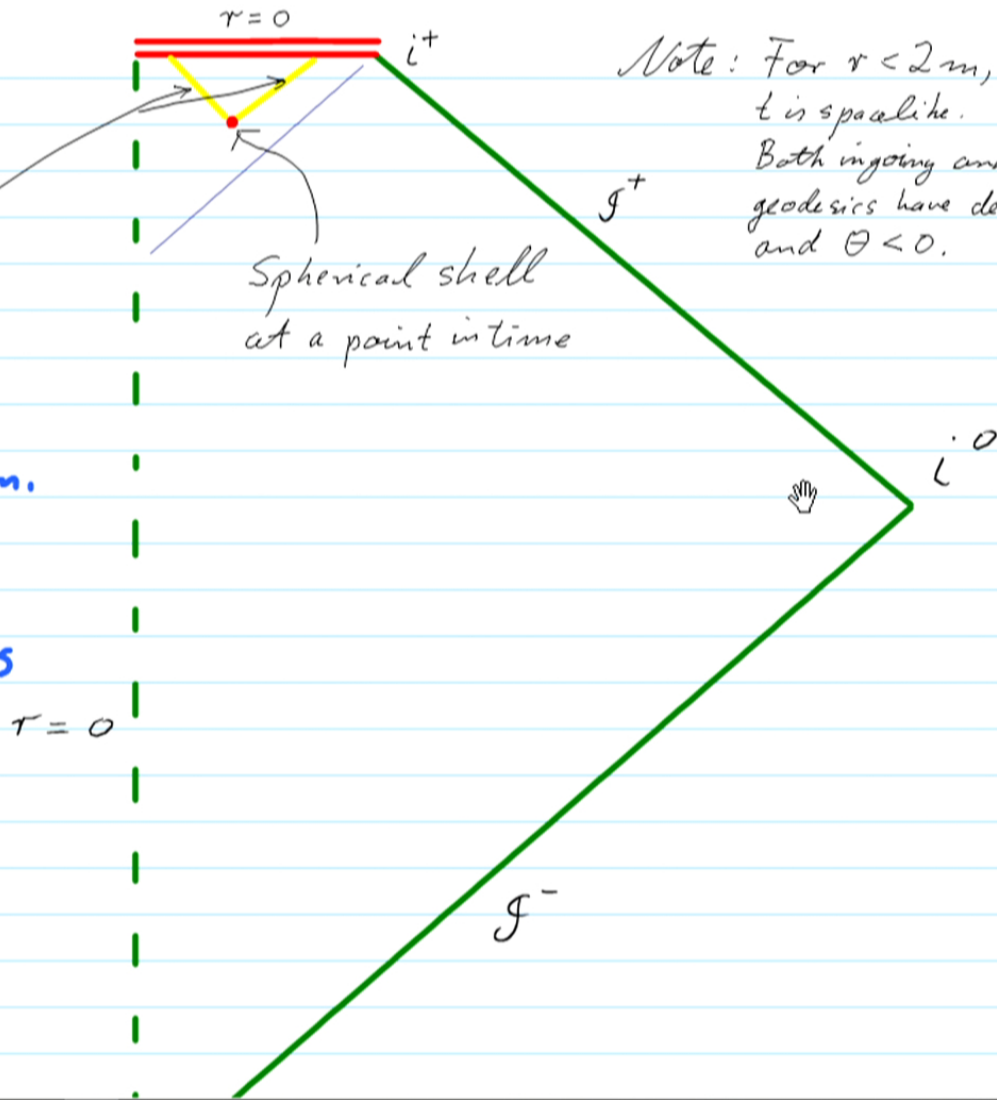
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- If all these geodesics possess negative expansion, $\theta < 0$, then T is called a **trapped surface**.

Examples: All concentric spheres inside a Schwarzschild black hole.



Note: For $r < 2m$, r is timelike and t is spacelike.

The in- and outgoing null geodesics both have negative expansion. Can't see it here b/c the neighboring geodesics are neighbors in the suppressed angular directions.



Note: For $r < 2m$, r is timelike and t is spacelike. Both ingoing and outgoing null geodesics have decreasing r , and $\Theta < 0$.

Spherical shell at a point in time

Def: Let Σ be a spacelike hypersurface.

Then, the (3-dim. spacelike) union, \mathcal{T} , of all trapped surfaces $T \subset \Sigma$ is called the **trapped region** of Σ .

Def: The boundary $\partial\mathcal{T} \subset \Sigma$ is called the **apparent horizon** of the spacelike hypersurface Σ .

Note: $\partial\mathcal{T}$ is 2-dimensional and spacelike.

Def: If we foliate spacetime into spacelike hypersurfaces

$$\Sigma_\alpha, \alpha \in I \subset \mathbb{R}$$

each with its apparent horizon, \mathcal{T}_α , then their union

$$\mathcal{A} := \bigcup \mathcal{T}_\alpha$$

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each with its apparent horizon, \mathcal{H}_α , then their union

$$\mathcal{A} := \bigcup_{\alpha} \mathcal{H}_\alpha$$

is called the **Trapping horizon** of the spacetime.

Remarks:

□ To check for the existence of an event horizon

j^- (worldline to i^+)

in principle requires knowledge of the full future.

□ But one can check for the existence of an apparent horizon in any spacelike hypersurface by calculating the expansion only at that time!

□ For static Schwarzschild black holes the event and apparent horizons coincide.

□ For general black holes, apparent horizons are on or inside

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- For static Schwarzschild black holes the event and apparent horizons coincide.
- For general black holes, apparent horizons are on or inside the event horizons.