

Title: Bulk/boundary correspondence in topological phases

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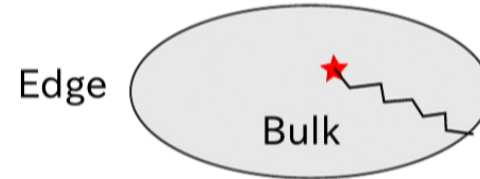
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Abstract:

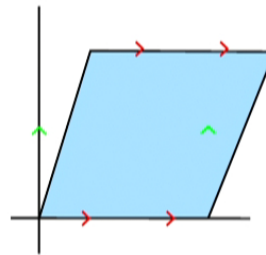
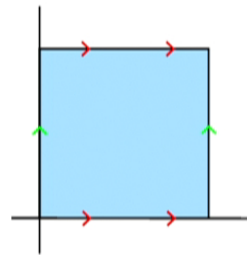
## Some key features in (2+1)d

- Bulk quasiparticles <----> twisted boundary conditions at edge

The bulk ground state degeneracy  
 <----> possible b.c.'s



- Bulk modular S and T matrices of *GS wfn* on *spatial torus*  
 <----> Boundary S and T matrices  
 of *partition functions* on *spacetime torus*



$$|\Psi_i(g)\rangle \rightarrow M_{ij}|\Psi_j(g)\rangle$$

- S and T matrices encode quasiparticle spin and statistics.
- Question: Can these features be generalized to (3+1)d ?

# Bulk-boundary correspondence in topologically ordered phases in (3+1) dimensions

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- Introduction: Bulk-boundary correspondence
- Model 1: (3+1)D BF theory
- Model 2: (3+1)D BF theory w/ Theta term
- Model 3: Coupled BF theories
- Summary

## Results on surface of (3+1)d topological phases

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[Xiao Chen, Apoorv Tiwari, SR, ArXiv: 1509xxx]

- We have carried out the calculations of  $S$  and  $T$  matrices in (2+1)d surface of a (3+1)d bulk topological phases.
- Example:  $Z_k$  gauge theory (BF theory)  
Three-loop braiding statistics
- Proposed a field theory model realizing 3-loop braiding statistics  
 $S$  and  $T$  matrices computed from boundary
- Bulk-boundary correspondence was established by extracting  $S$  and  $T$  matrices from boundary theory  
braiding statistics, (entanglement) entropy, etc.

## Results on surface of (3+1)d topological phases

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## (3+1)d BF topological theory

- Action: 
$$S_{bulk} = \int_{\mathcal{M}} \left[ \frac{K}{2\pi} b \wedge da - a \wedge J_{qp} - b \wedge J_{qv} \right]$$

$b$ : two-form field

$a$ : one-form gauge field

$J_{qp}$ : quasi-particle current (3-form, Wilson loop)

$J_{qv}$ : quasi-vortex current (2-form, surface operator)

$K$ : "level" (integer); parameter of the theory.

- Application: BCS superconductors, discrete gauge theories, bosonic topological insulators, fermionic (topological) insulators, etc.

## (3+1)d BF topological theory



- Action:

$$S_{bulk} = \int_{\mathcal{M}} \left[ \frac{K}{2\pi} b \wedge da - a \wedge J_{qp} - b \wedge J_{qv} \right]$$

- Non-trivial particle-string statistics

$$\int \mathcal{D}[a, b] e^{iS_{bulk}} = e^{iS_{eff}} \quad S_{eff} = \frac{2\pi}{K} \text{Link}(J_{qv}, J_{qp})$$

-  $K^3$  ground state degeneracy on  $T^3$

- Surface theory:  $S_{\partial\mathcal{M}} = \int_{\partial\mathcal{M}} dt dx dy \left[ \frac{K}{2\pi} \epsilon_{ij} \partial_i \zeta_j \partial_t \varphi - V(\varphi, \zeta) \right]$

- Goal: Establish bulk-boundary correspondence

## "Twist" by Theta term

- From the EOM

$$\frac{K}{2\pi} \varepsilon^{\mu\nu\lambda\rho} \partial_\lambda a_\rho = j_{qv}^{\mu\nu},$$

$$\frac{K}{4\pi} \varepsilon^{\mu\nu\lambda\rho} \partial_\nu b_{\lambda\rho} = -\frac{P}{2\pi K} \partial_\nu \Theta j_{qv}^{\mu\nu} + j_{qp}^\mu$$

- Alternative way:

$$S'_{bulk} = \int d^4x \frac{K}{4\pi} \varepsilon^{\mu\nu\lambda\rho} \partial_\nu b_{\lambda\rho} a_\mu - j_{qp}^\mu a_\mu - j_{qv}^{\mu\nu} \frac{1}{2} \left[ b_{\mu\nu} - \frac{P}{2\pi K} (a_\mu \partial_\nu \Theta - a_\nu \partial_\mu \Theta) \right]$$

- Operators in surface theory:

$$\exp im \int_L a = \exp [im\varphi(\partial L)]$$

$$\exp im \int_{\partial S} \left[ \zeta - \frac{P}{2\pi K} \varphi \wedge d\Theta \right]$$



## Surface theory

- Surface theory 
$$S_{\partial\mathcal{M}} = \int_{\partial\mathcal{M}} dt dx dy \left[ \frac{K}{2\pi} \epsilon_{ij} \partial_i \zeta_j \partial_t \varphi - V(\varphi, \zeta) \right]$$

- Equivalent to (2+1)D free scalar when  $K = 1$

$$[\varphi(t, r), \epsilon_{ij} \partial_i \zeta_j(t, r')] = \frac{2\pi i}{K} \delta^{(2)}(r - r') \quad \epsilon_{ij} \partial_i \zeta_j \sim \partial_t \varphi$$

$$\langle\!\langle \phi(t, r), \partial_t \phi(t, r') \rangle\!\rangle = i 2\pi^2 \delta^{(2)}(r - r')$$

- Modular invariant when  $K = 1$

- Can be viewed as scalar + U(1) gauge theory w/ "self-dual condition"

$$S = \int [(d\varphi)^2 + (d\zeta)^2] \quad \partial_\mu \varphi \sim \pm \epsilon_{\mu\nu\lambda} \partial^\nu \zeta^\lambda$$

## Surface theory

- Surface theory 
$$S_{\partial\mathcal{M}} = \int_{\partial\mathcal{M}} dt dx dy \left[ \frac{K}{2\pi} \epsilon_{ij} \partial_i \zeta_j \partial_t \varphi - V(\varphi, \zeta) \right]$$

- Twisted b.c.: 
$$\int_{\partial\Sigma} dx dy \epsilon_{ij} \partial_i \zeta_j = \frac{2\pi M_0}{K} \quad \mathcal{M} = \Sigma \times S^1$$

$$\varphi(t, x + 2\pi R_1, y) = \varphi(t, x, y) + 2\pi M_1/K$$

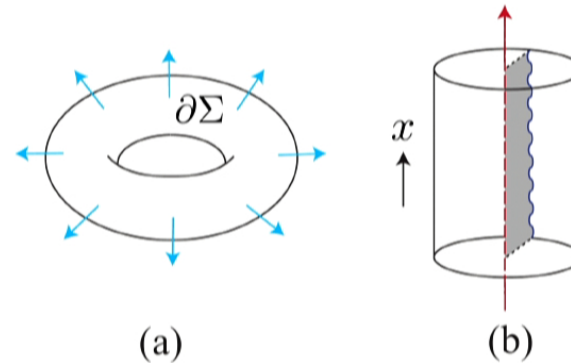
$$\varphi(t, x, y + 2\pi R_2) = \varphi(t, x, y) + 2\pi M_2/K$$

- Twisted b.c. is related to bulk quasi particles/vortices

$$M_\mu = KN_\mu + n_\mu$$

$$\frac{K}{4\pi} \int_{\Sigma} d^3x \epsilon^{0ijk} \partial_i b_{jk} = \int_{\Sigma} d^3x j_{qp}^0 = n_0$$

$$L_1 \times \frac{K}{2\pi} \int dy dz \epsilon^{01ij} \partial_i a_j = n_2 \times L_1$$



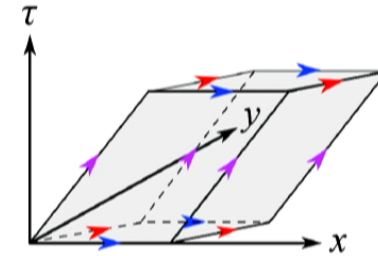
## Large coord. transformations on $T^3$

- Surface theory put on  $T^3$  with flat background metric  $g$

5 modular parameters

$$R_1/R_0, R_2/R_0, \alpha, \beta, \gamma$$

$$\begin{aligned} ds^2 &= g_{\mu\nu} d\theta^\mu d\theta^\nu \\ &= R_0^2 (d\theta^0)^2 + R_1^2 (d\theta^1 - \alpha d\theta^0)^2 + R_2^2 (d\theta^2 - \beta d\theta^1 - \gamma d\theta^0)^2 \end{aligned}$$



- Symmetry (large diffeo):  $SL(3, \mathbb{Z})$   $g_{\mu\nu} \xrightarrow{L} (LgL^T)_{\mu\nu} = L_\mu^\rho L_\nu^\sigma g_{\rho\sigma}$
- $SL(3, \mathbb{Z})$ : generated by two generators  $L = U_1^{n_1} U_2^{n_2} U_1^{n_3} \dots$

$$U_1 = U'_1 M, \quad U'_1 = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad M = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix} \quad U_2 = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\alpha \rightarrow \alpha - 1, \quad \gamma \rightarrow \gamma + \beta$$

## Surface theory: results

- Surface theories with twisted b.c.:

$$\int dx dy \epsilon_{ij} \partial_i \zeta_j = \frac{2\pi M_0}{K} \quad \begin{aligned} \varphi(t, x + 2\pi R_1, y) &= \varphi(t, x, y) + 2\pi M_1/K \\ \varphi(t, x, y + 2\pi R_2) &= \varphi(t, x, y) + 2\pi M_2/K \end{aligned}$$

- Calculated the partition functions on flat  $T^3$  with twisted b.c.:

$$Z^{n_0 n_1 n_2} \quad M_\mu = KN_\mu + n_\mu$$

- Extracted the modular S and T matrices:

$$\begin{aligned} \mathcal{S}_{n_i, n'_i} &= \frac{1}{K} \delta_{n_1, n'_2} e^{-\frac{2\pi i}{K} (n'_0 n_2 - n_0 n'_1)}, \\ \mathcal{T}_{n_i, n'_i} &= \delta_{n_0, n'_0} \delta_{n_1, n'_1} \delta_{n_2, n'_2} e^{\frac{2\pi i}{K} n_0 n_1} \end{aligned}$$

- The result agrees with the bulk calculations [E.g. Moradi-Wen (14)]  
Established the bulk-boundary correspondence.

## BF topological theory with Theta term

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- Action:

$$S_{bulk} = \int_{\mathcal{M}} \left[ \frac{K}{2\pi} b \wedge da - \frac{P}{8\pi^2} d\Theta \wedge a \wedge da - a \wedge J_{qp} - b \wedge J_{qv} \right].$$

- Theta: externally introduced defect:

$$\Theta(x, y, z) = \frac{Q_1 x}{R_1} + \frac{Q_2 y}{R_2}$$

- Theta term induces "twist":

$$\begin{aligned} M_0 &\rightarrow M_0 + \frac{Q_1 M_2 - Q_2 M_1}{K} \\ &= M_0 + \frac{Q \times M}{K}, \end{aligned}$$

- Surface partition functions are not closed under S and T modular transformations

## "Twist" by Theta term

- From the EOM

$$\frac{K}{2\pi} \varepsilon^{\mu\nu\lambda\rho} \partial_\lambda a_\rho = j_{qv}^{\mu\nu},$$

$$\frac{K}{4\pi} \varepsilon^{\mu\nu\lambda\rho} \partial_\nu b_{\lambda\rho} = -\frac{P}{2\pi K} \partial_\nu \Theta j_{qv}^{\mu\nu} + j_{qp}^\mu$$

- Alternative way:

$$S'_{bulk} = \int d^4x \frac{K}{4\pi} \varepsilon^{\mu\nu\lambda\rho} \partial_\nu b_{\lambda\rho} a_\mu - j_{qp}^\mu a_\mu - j_{qv}^{\mu\nu} \frac{1}{2} \left[ b_{\mu\nu} - \frac{P}{2\pi K} (a_\mu \partial_\nu \Theta - a_\nu \partial_\mu \Theta) \right]$$

- Operators in surface theory:

$$\exp im \int_L a = \exp [im\varphi(\partial L)]$$

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- Let's now move on to more complicated theory

- Unique topological order with  $\mathbb{Z}_K$  gauge symmetry since

$$H^4[\mathbb{Z}_K, U(1)] = 0$$

-  $K^2$  distinct topological orders with  $\mathbb{Z}_K \times \mathbb{Z}_K$  gauge symmetry since

$$H^4[\mathbb{Z}_K \times \mathbb{Z}_K, U(1)] = \mathbb{Z}_K \times \mathbb{Z}_K$$

Distinguished by 3-loop braiding statistics [[Wang-Levin \(14\)](#)]



## Coupled BF theories

- Motivating cubic theory (I,J=1,2):

$$\begin{aligned} S_{bulk} = \int_{\mathcal{M}} & \left[ \frac{K}{2\pi} \delta_{IJ} b^I \wedge da^J \right. \\ & + \frac{P_1}{4\pi^2} a^1 \wedge a^2 \wedge da^2 + \frac{P_2}{4\pi^2} a^2 \wedge a^1 \wedge da^1 \\ & \left. - \delta_{IJ} b^I \wedge J_{qv}^J - \delta_{IJ} a^I \wedge J_{qp}^J \right], \end{aligned} \quad (169)$$

Coupling: p1 and p2:

- Gauge invariance:

$$\begin{aligned} b^1 & \rightarrow b'^1 = b^1 + d\zeta^1 - \frac{P_2}{2\pi K} (a^2 \wedge d\varphi^1 + d\varphi^2 \wedge a^1), \\ b^2 & \rightarrow b'^2 = b^2 + d\zeta^2 - \frac{P_1}{2\pi K} (a^1 \wedge d\varphi^2 + d\varphi^1 \wedge a^2), \\ a^I & \rightarrow a'^I = a^I + d\varphi^I. \end{aligned} \quad (173)$$

## BF topological theory with Theta term

- Action:

$$S_{bulk} = \int_{\mathcal{M}} \left[ \frac{K}{2\pi} b \wedge da - \frac{P}{8\pi^2} d\Theta \wedge a \wedge da - a \wedge J_{qp} - b \wedge J_{qv} \right].$$

- Theta: externally introduced defect:

$$\Theta(x, y, z) = \frac{Q_1 x}{R_1} + \frac{Q_2 y}{R_2}$$

- Theta term induces "twist":

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- Surface partition functions are not closed under S and T modular transformations

## Coupled BF theories

- Quadratic theory:

$$S'_{bulk} = \frac{K}{2\pi} \int \delta_{IJ} b^I \wedge da^J - \int \delta_{IJ} a^J \wedge J_{qp}^I$$

$$- \int \left[ b^1 + \frac{p_2}{2\pi K} a^1 \wedge a^2 \right] \wedge J_{qv}^1$$

$$- \int \left[ b^2 + \frac{p_1}{2\pi K} a^2 \wedge a^1 \right] \wedge J_{qv}^2.$$

- 3-loop braiding statistics

$$S_{eff} = -\frac{2\pi}{K} \int (d^{-1} J_{qv}^I) \wedge J_{qp}^I$$

$$+ \left( \frac{2\pi}{K} \right)^3 p_1 \int (d^{-1} J_{qv}^1) \wedge (d^{-1} J_{qv}^2) \wedge J_{qv}^2$$

$$+ \left( \frac{2\pi}{K} \right)^3 p_2 \int (d^{-1} J_{qv}^2) \wedge (d^{-1} J_{qv}^1) \wedge J_{qv}^1$$

- The coupling gives the following twist:

$$M_0 \rightarrow M_0 + \frac{Q \times M}{K}$$

$$Q_0 \rightarrow Q_0 + \frac{M \times Q}{K}$$

## Coupled BF theories

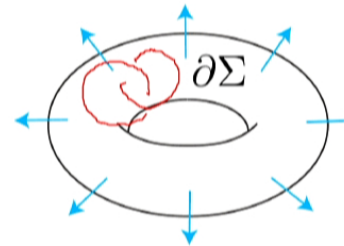
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Twisted b.c. is related to bulk quasi particles/vortices

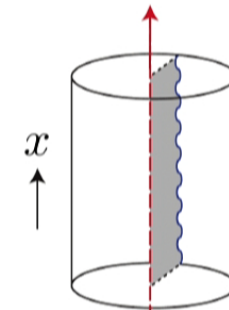
$$\begin{aligned} \frac{K}{2\pi} \int_{\Sigma} db^1 &= -\frac{P_1}{K^2} \int_{\Sigma} (d^{-1} J_{qv}^2) \wedge J_{qv}^2 \\ &+ \frac{P_2}{K^2} \int_{\Sigma} (d^{-1} J_{qv}^2) \wedge J_{qv}^1 + \int_{\Sigma} J_{qp}^1 \end{aligned}$$

Hopf linking of vortex lines twists b.c.

$$L_1 \times \frac{K}{2\pi} \int dydz \varepsilon^{01ij} \partial_i a_j = n_2 \times L_1$$



(a)



(b)

## Coupled BF theories

- Surface theories with twist

$$\begin{aligned} M_\mu &= KN_\mu + n_\mu, & n_\mu &= 0, 1, \dots, K-1, \\ Q_\mu &= KR_\mu + r_\mu, & r_\mu &= 0, 1, \dots, K-1, \end{aligned}$$

$$\begin{aligned} M_0 &\rightarrow M_0 + \frac{Q \times M}{K} \\ Q_0 &\rightarrow Q_0 + \frac{M \times Q}{K} \end{aligned}$$

- Extracted the modular S and T matrices (when  $p_1=p_2=K$ ):

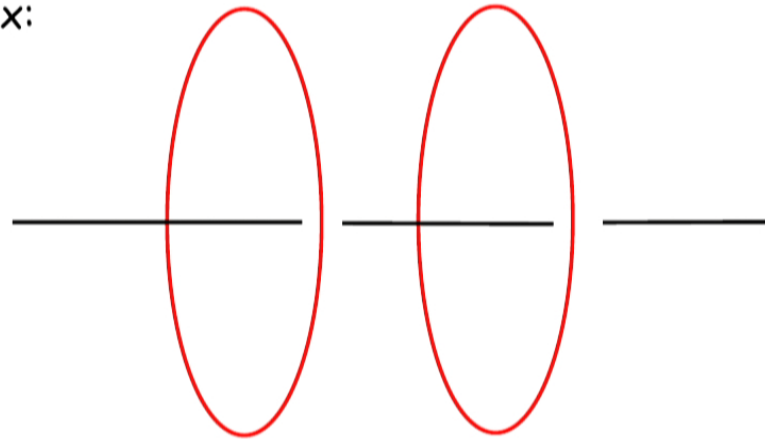
$$\chi_{r_0 r_1 r_2}^{n_0 n_1 n_2}$$

$$\begin{aligned} \mathcal{S}_{n_\mu, n'_\mu, r_\mu, r'_\mu} &= \frac{1}{K^2} \delta_{n_1, n'_1} \delta_{r_1, r'_1} e^{-\frac{2\pi i}{K} (\tilde{n}'_0 n_2 - \tilde{n}_0 n'_1 + \tilde{r}'_0 r_2 - \tilde{r}_0 r'_1)} \\ &\times e^{-\frac{2\pi i}{K^2} [(n_1 + r_1)(n_2 r'_1 + n'_1 r_2) - 2n_2 n'_1 r_1 - 2n_1 r_2 r'_1]} \\ \mathcal{T}_{n_\mu, n'_\mu, r_\mu, r'_\mu} &= \delta_{n_\mu, n'_\mu} \delta_{r_\mu, r'_\mu} \\ &\times e^{\frac{2\pi i}{K} (\tilde{n}_0 n_1 + \tilde{r}_0 r_1) + \frac{2\pi i}{K^2} (r_1 n_2 - r_2 n_1)(n_1 - r_1)}. \end{aligned}$$

- The result is consistent with the bulk calculations [E.g. Wang-Levin (14)  
Jiang-Mesaros-Ran (14), Wang-Wen (14)]

- Interpretation of S-matrix:

$$e^{\frac{2\pi i}{\mathbf{K}^2} n_1 n_2 r'_1}$$



- Dimensional reduction to (2+1)d S-matrix ( $\mathbf{K}=2$ ):

$$(n_2, r_2) = (0, 0) \quad \mathbf{K} = 2\sigma_x \oplus 2\sigma_x$$

$$(n_2, r_2) = (1, 1) \quad \mathbf{K} = 2\sigma_z \oplus 2\sigma_z$$

$$(n_2, r_2) = (1, 0)$$

$$\mathbf{K} = \begin{pmatrix} 0 & 2 & -1 & 0 \\ 2 & 0 & 0 & 0 \\ -1 & 0 & 2 & 2 \\ 0 & 0 & 2 & 0 \end{pmatrix}$$

Comments:

- $K^2$  distinct topological orders with  $\mathbb{Z}_K \times \mathbb{Z}_K$  gauge symmetry since

$$H^4[\mathbb{Z}_K \times \mathbb{Z}_K, U(1)] = \mathbb{Z}_K \times \mathbb{Z}_K$$

Choose  $p_{1,2}/K = 0, \dots, K - 1$

- Can compute (entanglement) entropy from the boundary partition fn
- Is the theory completely healthy?  
Should the spacetime geometry be formal?