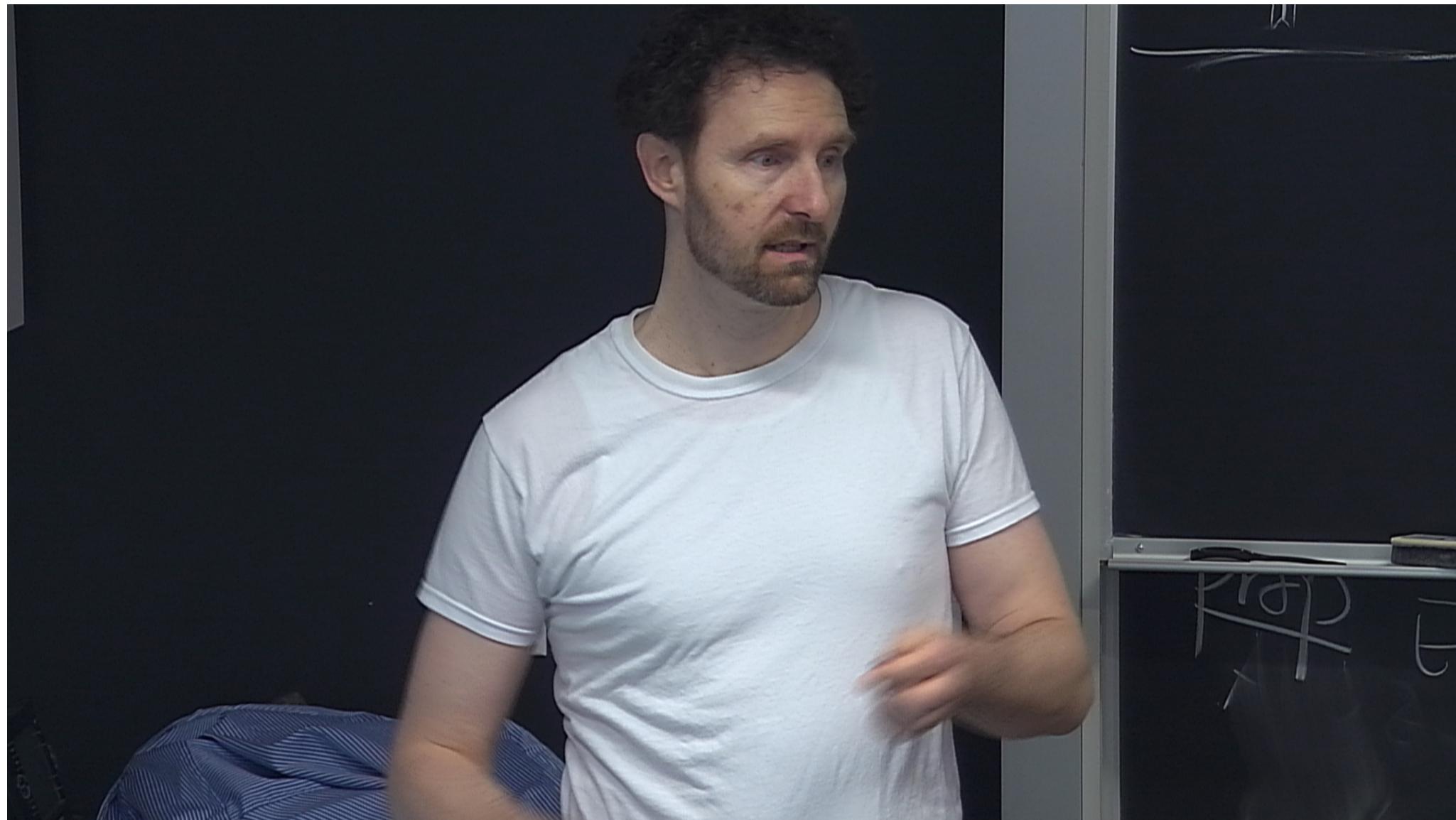


Title: AMATH 875/PHYS 786 - Fall 2015 - Lecture 12

Date: Oct 26, 2015 01:30 PM

URL: <http://pirsa.org/15100023>

Abstract: <p>Course Description coming soon.</p>





Example: A charged scalar field  $\Psi$ ,  
 (such  $\Psi$  describe, e.g.,  $\pi^\pm$  mesons)  
 together with electromagnetism.

□ Equiv. principle yields from spec. relativity:

Why  $\Psi$  complex?  
 Mixed term is linear & four  
 if  $\Psi$  was real, it would be  
 absent:

$$\begin{aligned} -ieA_a\Psi^* \Psi_{;b} g^{ab} \\ +ieA_b\Psi^* \Psi_{;a} g^{ab} \\ =ieA_a\Psi^* (\Psi_{;b} - \Psi_{;a}) g^{ab} \\ =0 \text{ if } \Psi^* = 0 \end{aligned}$$

$$L = -\frac{1}{2} (\Psi_{;a}^* - ieA_a\Psi^*) (\Psi_{;b} + ieA_b\Psi) g^{ab}$$

electric charge constant

$$= -\frac{1}{2} \frac{m^2}{k^2} \Psi^* \Psi - \frac{1}{16\pi} F_{ab} F_{cd} g^{ac} g^{bd}$$

Dirac equation: (Brief treatment of basis only of Dirac spinors)

In special relativity: (with units such that  $\hbar = 1$ )

$$[1. \quad \nu \gamma_5 \quad \lambda \nu \gamma_5] \quad " \quad " \quad "$$

□ Vary w. resp. to  $\Psi^*$   $\Rightarrow$  E.L. eqn:

$$\Psi_{;ab} g^{ab} - \frac{m^2}{k^2} \Psi + ieA_a g^{ab} (\Psi_{;b} + ieA_b \Psi) + ieA_{a,b} g^{ab} \Psi = 0$$

$\underbrace{\hspace{10em}}$  Klein-Gordon part       $\underbrace{\hspace{10em}}$   $\Psi$  is affected by  $A$

and varying w. resp. to  $\Psi$  yields the compl. conj. equation.

□ Vary w. resp. to  $A_a$   $\Rightarrow$  E.L. eqn:

$$\frac{1}{4\pi} F_{abc} g^{bc} - ie\Psi^* (\Psi_{;ja} - ieA_a\Psi^*) + ie\Psi^* (\Psi_{;c} + ieA_c\Psi) = 0$$

$\underbrace{\hspace{10em}}$  plain Maxwell part       $\underbrace{\hspace{10em}}$   $A$  is affected by  $\Psi, \Psi^*$ .

□ Why (6)? Equation (6) is specifically chosen so that each component of  $\Psi$  obeys the Klein-Gordon equation. Indeed:

$$(D) \Rightarrow (-i\nu^\mu \partial_\mu - m)(i\nu^\mu \partial_\mu - m)\Psi = 0$$

# GR for Cosmology, Achim Kempf, Fall 2015, Lecture 12

Note Title

Plan: **I** The dynamics of matter & radiation in curved spacetime

**II** Energy-momentum tensor

**III** The dynamics of spacetime itself.

I. Recall: On a (pseudo)-Riemannian mfld,  
equations are well-defined only if defined  
independently of any chart.

→ An example: the eqns. of motion

1. Recall: On a (pseudo)-Riemannian mfld, equations are well-defined only if defined independently of any chart.

⇒ Any eqn, including the eqns of motions for matter fields must be eqns among tensors and their covariant derivatives.

⇒ Need a tensor field,  $\Psi$ , for each species of particle:

$e^-$ ,  $q$ , gluon,  $\pi^\pm$ , photon,  $W^\pm$ , etc...

$\Rightarrow$  Need a tensor field,  $\Psi$ , for each species of particle:

$e^-$ ,  $q$ , gluon,  $\pi^\pm$ , photon,  $W^\pm$ , etc...

Notation:

$$\Psi_{(i)}^{a \dots b} {}^c \dots {}^d$$

↑ species label

contravariant  
 covariant

Note: any spinor equation can also  
be expressed as a (complicated) tensor equation  
(see e.g. Hawking & Ellis, p 59)

Question:

$e^-$ ,  $q$ , gluon,  $\pi^\pm$ , photon,  $W^\pm$ , etc...

### Notation:

$$\psi_{(i)}^{a \dots b} \quad \begin{matrix} \text{contravariant} \\ \text{covariant} \end{matrix}$$

$\uparrow$  species label

Note: any spinor equation can also  
be expressed as a (complicated) tensor equation  
(see e.g. Hawking & Ellis, p 59)

### Question:

Could we have also an additional connection field  $\tilde{\Gamma}_{ij}^k$ ?

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Could we have also an additional connection field  $\tilde{\Gamma}_{ij}^k$ ?

Yes, we could: But, the difference field  $Q_{;ij}^k := \Gamma_{;ij}^k - \tilde{\Gamma}_{;ij}^k$  is actually a tensor field!

$$\Gamma_{ab}^r \rightarrow \frac{\partial \bar{x}^r}{\partial x^a} \frac{\partial^2 x^i}{\partial \bar{x}^a \partial \bar{x}^b} + \frac{\partial \bar{x}^r}{\partial x^a} \frac{\partial x^i}{\partial \bar{x}^a} \frac{\partial x^j}{\partial \bar{x}^b} \Gamma_{ij}^r$$

$$\tilde{\Gamma}_{ab}^r \rightarrow \frac{\partial \bar{x}^r}{\partial x^a} \frac{\partial^2 x^i}{\partial \bar{x}^a \partial \bar{x}^b} + \frac{\partial \bar{x}^r}{\partial x^a} \frac{\partial x^i}{\partial \bar{x}^a} \frac{\partial x^j}{\partial \bar{x}^b} \tilde{\Gamma}_{ij}^r$$

$$\Rightarrow (\Gamma_{ab}^r - \tilde{\Gamma}_{ab}^r) \rightarrow \frac{\partial \bar{x}^r}{\partial x^a} \frac{\partial x^i}{\partial \bar{x}^a} \frac{\partial x^j}{\partial \bar{x}^b} (\Gamma_{ij}^r - \tilde{\Gamma}_{ij}^r)$$

$\Rightarrow$

$$Q_{ab}^r \rightarrow \frac{\partial \bar{x}^r}{\partial x^a} \frac{\partial x^i}{\partial \bar{x}^a} \frac{\partial x^j}{\partial \bar{x}^b} Q_{ij}^r$$

i.e.  $Q_{ab}^r$  is a tensor due to having the correct transformation

Yes, we could: But, the difference field  $Q^k_{ij} := \Gamma^k_{ij} - \tilde{\Gamma}^k_{ij}$  is actually a tensor field!

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$$\Rightarrow (\Gamma^r_{ab} - \tilde{\Gamma}^r_{ab}) \rightarrow \frac{\partial \bar{x}^r}{\partial x^a} \frac{\partial x^i}{\partial \bar{x}^a} \frac{\partial x^j}{\partial \bar{x}^b} (\Gamma^k_{ij} - \tilde{\Gamma}^k_{ij})$$

$$\Rightarrow Q^r_{ab} \rightarrow \frac{\partial \bar{x}^r}{\partial x^a} \frac{\partial x^i}{\partial \bar{x}^a} \frac{\partial x^j}{\partial \bar{x}^b} Q^k_{ij}$$

i.e.  $Q^r_{ab}$  is a tensor due to having the correct transformation property according to the physicist's definition of a tensor.

$\Rightarrow$  Introducing an additional connection  $\tilde{\Gamma}$  is same as

## Eqs of motion of matter fields?

Action principle: (As in special relativity)

Any theory of matter fields can be defined

by specifying the so-called <sup>hand</sup>Lagrangian

function,  $L$ , namely a scalar function

of the matter fields  $\Psi_{(i)}^{a...b}$  and their first

We'll sometimes omit the indices

covariant derivatives, and now also of the metric  $g$ :

Action principle : (As in special relativity )

Any theory of matter fields can be defined by specifying the so-called Lagrangian function,  $L$ , namely a scalar function of the matter fields  $\Psi_{(i)}^{a\dots b}$  and their first covariant derivatives, and now also of the metric  $g$ :

we'll sometimes omit the indices

$$L(\Psi) = L^{(\text{matter})} \left( \{\Psi_{(i)}^{a\dots b}\}, \{\Psi_{(i)\text{c.d.j.e}}^{a\dots b}\}, g \right)$$

□ Define the action functional:

$$S[\psi] := \int_B \underbrace{L(\psi)}_{\text{scalar}} \underbrace{\sqrt{g} d^4x}_{n\text{-form}} \quad \in \mathbb{R}$$

$\Omega = \text{volume form}$

some bounded  
and closed 4-dim  
region in  $M$ .

Thus, each physical field  $\psi(x,t)$  (as a function of both space and time) is mapped into a number  $S[\psi]$ .

□ Action principle (or postulate) of classical physics:

In nature, physical fields  $\psi$  are such that

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In nature, physical fields  $\Psi$  are such that

$S[\Psi]$  is extremal in the space of all fields  $\Psi$ .



□ Thus: The matter fields  $\Psi$  obey:

$$\frac{\delta S[\Psi]}{\delta \Psi} = 0$$

(\*)

These will be the eqns of motion for the fields  $\Psi$ .

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◻ Definition of (\*)?



Def: A "variation  $\delta \Psi$ " of the fields  $\Psi_i(p)$  in a region  $B$  is a one-parameter family of fields  $\Psi_i(p)$  such that  $p \in B$ .

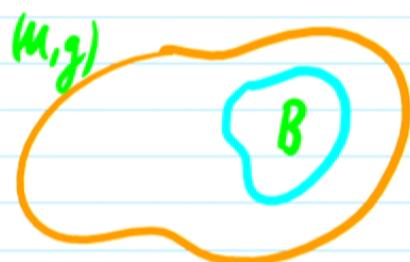
some limit

## □ Definition of $\delta\psi$ ?



Def: A "variation  $\delta\psi$ " of the fields  $\Psi_{(i)}(p)$  in a region  $B$  is a one-parameter

deformation,  $\Psi_{(i)}(\lambda, p)$ , with  $\lambda \in (-\varepsilon, \varepsilon)$ ,  
some finite interval  
 $\lambda$  deformation parameter



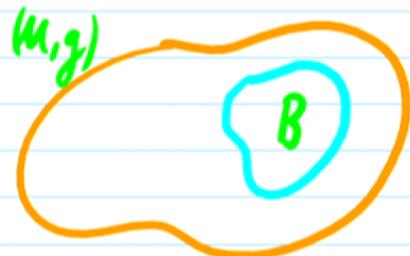
so that

i.e.  $\lambda=0$  is non-deformation

$$1) \quad \Psi_{(i)}(0, p) = \Psi_{(i)}(p) \quad \forall p \in M$$

$$2) \quad \Psi_{(i)}(\lambda, p) = \Psi_{(i)}(p) \quad \forall \lambda, \text{ if } p \in M - B$$

Def: A "variation  $\delta \Psi$ " of the fields  $\Psi_{(i)}(p)$  in a region  $B$  is a one-parameter deformation,  $\Psi_{(i)}(\lambda, p)$ , with  $\lambda \in \overset{\text{some finite}}{\underset{\text{interval}}{\lambda \in (-\varepsilon, \varepsilon)}}$ ,  $\lambda$  deformation parameter



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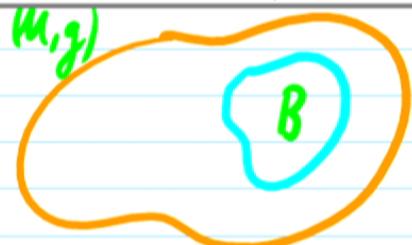
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i.e. no deformation at all outside region  $B$ .

Def: Then, we define:



$$1.) \quad \Psi_{(i)}(0, p) = \Psi_{(i)}(p) \quad \forall p \in M$$

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i.e. no deformation at all outside region B.

Def: Then, we define:

$$\delta \Psi_{(i)}(p) := \left. \frac{\partial \Psi_{(i)}(\lambda, p)}{\partial \lambda} \right|_{\lambda=0}$$

Def: The action principle now reads:

$$0 = \left. \frac{\partial S[\Psi]}{\partial \lambda} \right|_{\lambda=0} \quad \text{for all variations } \delta \Psi_{(i)}.$$

Evaluate:

$$0 = \frac{\partial S'}{\partial \lambda} \Big|_{\lambda=0} = \sum_i \left[ \underbrace{\frac{\partial L}{\partial \psi_{(i)}^{a\dots b} \dots d}}_{B} \delta \psi_{(i)}^{a\dots b} \dots d \right]$$

Term I

recall:  $= \frac{d \psi_{(i)}^{a\dots b} \dots d}{d \lambda} \Big|_{\lambda=0}$

$$+ \underbrace{\frac{\partial L}{\partial \psi_{(i)}^{a\dots b} \dots dje}}_{\text{Term II}} \delta(\psi_{(i)}^{a\dots b} \dots dje) \Big] \sqrt{g} d^4x$$

by assumption,  
 $L$  depends also on  
the 1st cov. derivatives.

$$0 = \left. \frac{\partial S'}{\partial \lambda} \right|_{\lambda=0} = \sum_i \left[ \frac{\partial L}{\partial \psi_{(i)}^{a...b}} \delta \psi_{(i)}^{a...b} \dots \right]_B$$

Term I

recall:  $\frac{d \psi_{(i)}^{a...b}}{d \lambda} \Big|_{\lambda=0}$

$$+ \left. \frac{\partial L}{\partial \dot{\psi}_{(i)}^{a...b}} \delta (\dot{\psi}_{(i)}^{a...b} \dots) \right] \sqrt{g} d^4 x$$

Term II

by assumption,  
 $L$  depends also on  
the 1st cov. derivatives.

Evaluate terms I, II separately:

$$\delta(\Psi_{(i)}^{a \dots b} \text{ ... } e) = (\delta \Psi_{(i)}^{a \dots b} \text{ ... } e)_{je}$$

$$\Rightarrow \text{Term II} = \sum_i \int_B \frac{\partial L}{\partial \Psi_{(i)}^{a \dots b} \text{ ... } e} (\delta \Psi_{(i)}^{a \dots b} \text{ ... } e)_{je} \sqrt{g} d^4x$$

$\vdash : K^e$

$$= \sum_i \int_B \left[ \underbrace{\left( \frac{\partial L}{\partial \Psi_{(i)}^{a \dots b}} \delta \Psi_{(i)}^{a \dots b} \right)_{je}}_{=: K^e} \right] \text{ ... } e \quad \left( \text{use Leibniz rule to verify} \right)$$

$$- \left( \frac{\partial L}{\partial \Psi_{(i)}^{a \dots b}} \right)_{je} \delta \Psi_{(i)}^{a \dots b} \text{ ... } e \right] \sqrt{g} d^4x$$

One term is a "boundary term":

Exercise:

$$\delta(\psi_{(i)}^{a \dots b} \text{ ... } c \dots d; e) = (\delta \psi_{(i)}^{a \dots b} \text{ ... } c \dots d)_{je}$$

$$\Rightarrow \text{Term II} = \sum_i \int_B \frac{\partial L}{\partial \psi_{(i)}^{a \dots b} \text{ ... } c \dots d; e} (\delta \psi_{(i)}^{a \dots b} \text{ ... } c \dots d)_{je} \sqrt{g} d^4x$$

$$= \sum_i \int_B \left[ \underbrace{\left( \frac{\partial L}{\partial \psi_{(i)}^{a \dots b} \text{ ... } c \dots d; e} \delta \psi_{(i)}^{a \dots b} \text{ ... } c \dots d \right)_{je}}_{=: K^e} \right] \sqrt{g} d^4x$$

(use Leibniz rule to verify)

$$- \left( \frac{\partial L}{\partial \psi_{(i)}^{a \dots b} \text{ ... } c \dots d; e} \right)_{je} \delta \psi_{(i)}^{a \dots b} \text{ ... } c \dots d \right] \sqrt{g} d^4x$$

One term is a "boundary term":

Exercise

$$\begin{aligned}
 &= \sum_i \int_B \left[ \left( \underbrace{\frac{\partial L}{\partial y_{(i) \text{ end;e}}}}_{=: K^e} \delta y_{(i) \text{ end;e}} \right)_{je} \right. \\
 &\quad \left. - \left( \underbrace{\frac{\partial L}{\partial y_{(i) \text{ end;e}}}}_{je} \right)_{je} \delta y_{(i) \text{ end;e}} \right] \nabla g^e d^4x
 \end{aligned}$$

(use Leibniz rule to verify)

One term is a "boundary term":

$$\sum_i \int_B K^e_{je} \nabla g^e d^4x$$

$$= \sum_i \int_B \operatorname{div}_\Omega K$$

### Exercise:

show that for all  $\xi'$ :

$$\xi' \cdot \nabla_\Omega = \operatorname{div}_\Omega \xi'$$

$$\text{if } \Omega = \nabla g^e dx^1 \wedge \dots \wedge dx^m$$

One term is a boundary term:

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Exercise:

Show that for all  $\xi''$ :

$$\xi''_{j\mu} \Omega = \operatorname{div}_\Omega \xi''$$

$$\text{if } \Omega = \sqrt{g} dx^1 \dots dx^n$$

Gauss' theorem  $\Rightarrow$

$$= \sum_i \int_{\partial B} i_K \Omega$$

↑ inner derivation

$$\left( \begin{array}{l} \text{Recall: } \operatorname{div}_\Omega K = L_K \Omega \\ \quad (i_K \circ d + d \circ i_K) \Omega \\ \quad = d \circ i_K \Omega \end{array} \right)$$

but:  $K \propto \delta^4$  and  $\delta^4(p) = 0$  if  $p \in \partial B$

by property 2) of variations.

$$\sum_i \int_B K^e \cdot e \sqrt{g} d^4x$$

$$= \sum_i \int_B \operatorname{div}_\Omega K$$

Gauss' theorem  $\Rightarrow$

$$= \sum_i \int_{\partial B} i_K \cdot \underline{\Omega} \quad \leftarrow \text{inner derivation}$$

Exercise:

Show that for all  $\xi$ :

$$\xi^a \cdot \underline{\Omega} = \operatorname{div}_\Omega \xi$$

$$\text{if } \underline{\Omega} = \sqrt{g} dx^1 \wedge \dots \wedge dx^n$$

$$\begin{aligned} \text{Recall: } \operatorname{div}_\Omega K &= L_K \underline{\Omega} \\ &= (i_K \circ d + d \circ i_K) \underline{\Omega} \\ &= d \circ i_K \underline{\Omega} \end{aligned}$$

but:  $K \in \delta^4$  and  $\delta^4(p) = 0$  if  $p \in \partial B$

by property 2) of variations.



$$= 0$$

!

Thus, term II simplifies and we obtain:

$$0 = \frac{\partial S}{\partial \lambda} \Big|_{\lambda=0} = \sum_i \int \left[ \underbrace{\frac{\partial L}{\partial q_{(i)}^{amb}} \delta q_{(i)}^{amb} - \left( \frac{\partial L}{\partial q_{(i)}^{amb} \text{adj}} \right)_{ie} \delta q_{(i)}^{amb}}_{\text{Term I}} \right] \sqrt{g} d^4x$$

Since must hold for all variations  $\delta q$

$\Rightarrow$

$$\frac{\partial L}{\partial q_{(i)}^{amb}} - \left( \frac{\partial L}{\partial q_{(i)}^{amb} \text{adj}} \right)_{ie} = 0$$

"Euler-Lagrange equations"

Example: A real-valued scalar field  $\Psi$   $\nwarrow$  real-valued

□ Such  $\Psi$  describe e.g.:

- $\pi^0$  meson (quark + antiquark)
- inflation

□ Lagrangian?

- Choose geodesic cds at orb. point and appeal to equiv. principle.
- Obtain from spec. relativ. Lagrangian:

$$L = -\frac{1}{2} \left( \Psi_{;a} \Psi^{;b} g^{ab} + \frac{m^2}{\kappa^2} \Psi^2 \right)$$

□ Euler-Lagrange equation: Klein-Gordon equation

## □ Lagrangian?

- Choose geodesic cds at orb. point and appeal to equiv. principle.
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## □ Euler-Lagrange equation: Klein-Gordon equation

(Exercise: verify)

$$\Psi_{;ab} g^{ab} - \frac{m^2}{k^2} \Psi = 0$$

Example: The electromagnetic fields

## Example: The electromagnetic fields

- Assume there are no charges  
(i.e. there are only EM waves)
- Define the "EM 4-potential" as a real-number-valued one-form  $A$ .
- Consider the field strength tensor  $F$ :

$$F := dA$$

- Recall that the  $E$  and  $B$  fields are

□ Define the EM 4-potential as a real-number-valued one-form  $A$ .

□ Consider the field strength tensor  $F$ :

$$F := dA$$

□ Recall that the  $E$  and  $B$  fields are components of the 2-form  $F$ . (up to a factor of 2)

□ The Lagrangian (from equiv. principle):

1 T T ac bd /Exercise: write

□ The Lagrangian (from equiv. principle):

$$L = -\frac{1}{16\pi} F_{ab} F^{ab} g^{cd} \tilde{g}^{ac} \tilde{g}^{bd}$$

(Exercise: write  
in terms of forms)

□ Varying w.rsp. to  $A$ , the E.L. equations read:

$$F_{ab;c} \tilde{g}^{bc} = 0$$

recall: this is  $\delta F = 0$

□ It is also true that

$$\bar{F}_{ab;c} + \bar{F}_{ca;b} + \bar{F}_{bc;a} = 0$$

"Maxwell eqns".

but this is not an Euler-Lagrange eqn. If

□ The Lagrangian (from equiv. principle):

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in terms of forms)

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□ It is also true that

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but this is not an Euler-Lagrange eqn. If



first: 1.1.1. lesson 10)

Example: A charged scalar field  $\Psi$ ,  $\leftarrow$  complex-valued  
 (such  $\Psi$  describe, e.g.,  $\pi^\pm$  mesons)  
 together with electromagnetism.

B Equiv. principle yields from spec. relativity:

Why  $\Psi$  complex?

Mixed term is Lorentz force  
 If  $\Psi$  was real, it would be absent:

$$-ieA_a\Psi^+\Psi_{;b}g^{ab}$$

$$+ieA_b\Psi^+\Psi_{;a}g^{ab}$$

$$-ieA_{[a}\Psi^+[4_{,b}\Psi - 4_{,a}\Psi^+]$$

$$L = \frac{1}{2} \left( \Psi_{;a}^* - ieA_a\Psi^* \right) \left( \Psi_{;b} + ieA_b\Psi \right) g^{ab}$$

electric charge constant

$$\rightarrow -\frac{1}{2} \frac{m^2}{c^2} \Psi^* \Psi - \frac{1}{16\pi} F_{ab} F_{cd} g^{ac} g^{bd}$$

□ Vary w. resp. to  $\Psi^*$   $\Rightarrow$  E.L. eqn:

$$\Psi_{;ab} g^{ab} - \frac{m^2}{\lambda^2} \Psi + ie A_a g^{ab} (\Psi_{;b} + ie A_b \Psi) + ie A_{a;b} g^{ab} \Psi = 0$$

Klein-Gordon part       $\Psi$  is affected by  $A$

and varying w. resp. to  $\Psi$  yields the compl. conj. equation.

□ Vary w. resp. to  $A_a$   $\Rightarrow$  E.L. eqn:

$$\frac{1}{4\pi} F_{abc} g^{bc} - ie \Psi (\Psi_{;a} - ie A_a \Psi^*) + ie \Psi^* (\Psi_{;a} + ie A_a \Psi) = 0$$

□ Vary w. resp. to  $A_a \Rightarrow$  E.L. eqn:

$$\frac{1}{4\pi} F_{abc} g^{bc} - ie \bar{\psi} (\gamma^* j_a - ie A_a \gamma^*) + ie \bar{\psi}^* (\gamma_a + ie A_a \gamma) = 0$$

plain Maxwell part
 $A$  is affected by  $\bar{\psi}, \psi^*$ .



Dirac equation: (Brief treatment of basics only of Dirac spinors)

In special relativity: (with units such that  $\hbar = 1$ )

Dirac equation: (Brief treatment of basics only of Dirac spinors)

In special relativity: (with units such that  $\hbar = 1$ )

$$\left( i \gamma^\mu \frac{\partial}{\partial x^\mu} - m \right) \Psi(x) = 0$$

"Dirac equation"

(D)

where

$$\Psi = \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \end{pmatrix}$$

is a "Spinor"

↑  
describes spin  $\frac{1}{2}$  particles  
such as electrons and quarks

and the four  $4 \times 4$  matrices  $\gamma^\mu$  obey:

□ Why (\*)? Equation  $(*)$  is specifically chosen so that each component of  $\Psi$  obeys the Klein Gordon equation. Indeed:

$$(D) \Rightarrow (-i\gamma^\mu \partial_\mu - m)(i\gamma^\nu \partial_\nu - m)\Psi = 0$$

$$\Rightarrow (\gamma^\mu \gamma^\nu \partial_\mu \partial_\nu + i\gamma^\mu \partial_\mu m - im\gamma^\nu \partial_\nu + m^2)\Psi = 0$$

$$\Rightarrow \underbrace{(\gamma^\mu \gamma^\nu \partial_\mu \partial_\nu + m^2)}_{\substack{\text{symmetric under } \mu \leftrightarrow \nu \\ \text{anti-symmetric part not needed, it would drop out.}}} \Psi = 0$$

$$\Rightarrow \left( \frac{1}{2}(\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu) \partial_\mu \partial_\nu + m^2 \right) \Psi = 0$$

$$\stackrel{(*)}{\Rightarrow} \Gamma (\gamma^\mu \partial_\mu \partial_\nu + m^2) \Psi = 0$$

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$$\xrightarrow{(*)} \Gamma (\gamma^\mu \partial_\mu \partial_\nu + m^2) \Psi = 0$$

□ Why (\*)? Equation  $(*)$  is specifically chosen so that each component of  $\Psi$  obeys the Klein Gordon equation. Indeed:

$$(D) \Rightarrow (-i\gamma^\mu \partial_\mu - m)(i\gamma^\nu \partial_\nu - m)\Psi = 0$$

$$\Rightarrow (\cancel{\gamma^\mu \gamma^\nu \partial_\mu \partial_\nu} + i\gamma^\mu \partial_\mu m - im\gamma^\nu \partial_\nu + m^2)\Psi = 0$$

$$\Rightarrow (\underbrace{\gamma^\mu \gamma^\nu \partial_\mu \partial_\nu}_{\text{symmetric under } \mu \leftrightarrow \nu} + m^2)\Psi = 0$$

anti-symmetric part not needed, it would drop out. ↗

$$\Rightarrow \left( \frac{1}{2}(\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu) \partial_\mu \partial_\nu + m^2 \right) \Psi = 0$$

$$\stackrel{(*)}{\Rightarrow} \left( \gamma^{\mu\nu} \partial_\mu \partial_\nu + m^2 \right) \Psi = 0$$

$$\left( i \gamma^{\mu} \frac{\partial}{\partial x^{\mu}} - m \right) \psi(x) = 0$$

"Dirac equation"  
(D)

where

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$$

is a "Spinor"

$\uparrow$   
describes spin  $\frac{1}{2}$  particles  
such as electrons and quarks

and the four  $4 \times 4$  matrices  $\gamma^{\mu}$  obey:

$$\gamma^{\mu} \gamma^{\nu} + \gamma^{\nu} \gamma^{\mu} = 2 \eta^{\mu\nu} \quad (*)$$

$\hookrightarrow \eta^{\mu\nu} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$

11 12 . . .

## In general relativity:

- By choosing an orthonormal tetrad,  $\{\theta^i\}$ , we achieve

$$g^{\mu\nu} = \gamma^{\mu\nu} \quad \forall \mu, \nu \in M$$

i.e. one set of matrices  $\gamma^\mu$  obeying  $\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2\delta^{\mu\nu}$  suffices.

- This motivates:



$$(i\gamma^\mu \nabla_\mu - m)\Psi = 0$$

- But what is the covariant derivative of a spinor?

▷ 4 - 7

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□ This motivates:



$$(i \gamma^\mu \mathbf{R}_r - m) \psi = 0$$

□ But what is the covariant derivative of a spinor?

$$\nabla_{\partial_r} \psi = ?$$

$$\nabla_{e_r} \psi = ?$$

Recall: The covariant derivative of a vector yields the infinitesimal Lorentz transformation by which the vector rotates under infinitesimal parallel transport.

Idea: The covariant derivative of a spinor should yield the rotation of the spinor by the same infinitesimal Lorentz transformation.



Recall: Infinitesimal parallel transport of a vector  $e_g$  in direction  $e_r$ :

$$e_g \rightarrow e_g + \nabla_{e_r} e_g = e_g + \omega_g^r(e_r) e_g$$

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↑  
Recall: the curvature 1-form takes values that are infinitesimal Lorentz transformations.

Recall intuition why parallel transport yields Lorentz transformation:  
Parallel transport preserves the

This is an infinitesimal Lorentz transformation  $\Lambda^\delta_\gamma$ :

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Strategy: Apply the same inf. Lorentz transformation on spinors for their parallel transport.

To this end: Recall from Special Relativity how an infinitesimal

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

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$\rightarrow$  Strategy: Apply the same inf. Lorentz transformation on spinors for their parallel transport.

To this end: Recall from Special Relativity how an infinitesimal Lorentz transformation acts on a spinor:

□ Assume  $\{s_i\}_{i=1}^4$  are ON basis in Spinor space,  
i.e.

$$\Psi = \psi^i(x) s_i$$

these are Spinor indices:  $i = 1, 2, 3, 4$

□ How do the  $s_i$  transform under Lorentz transformation?

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- How do the  $s_i$  transform under Lorentz transformations?  
I.e., what is  $\nabla_{e_i} s_j = ?$  (In analogy to  $\nabla_{e_a} e_\nu = \omega^\rho_\nu(e_a) e_\rho$ )

- From special relativity it is known that under infinitesimal Lorentz transformation ...

From special relativity it is known that under infinitesimal Lorentz transformations,

$$\Lambda^\mu_\nu = \delta^\mu_\nu + \omega^\mu_\nu$$

vectors transform as

$$e_\mu \rightarrow e_\mu + \omega_\mu^\nu e_\nu$$

and the Dirac spinors transform as:

$$s_i \rightarrow s_i - \frac{1}{4} \omega_\mu^\nu [y^\mu, y_\nu] s_i$$

$\Rightarrow$  under infinitesimal Lorentz trans.

Where does  $[y^\mu, y^\nu]$  come from?

Recall that e.g. translations in space are generated by momentum operators,  $e^{-i\vec{p}x} f(x) e^{i\vec{p}\vec{x}} = f(x + \vec{x})$ , if they obey the commutation relations  $[x_i, p_j] = i\delta_{ij}$ .

Similarly, Lorentz transformations are generated by operators  $M^{\mu\nu}$ : antisym. antisym.

$$e^{-i\mu\nu M^{\mu\nu}} f e^{i\mu\nu M^{\mu\nu}} = \Lambda(f)$$

if these  $M^{\mu\nu}$  obey certain commutation

$$\Lambda_v = \delta_v + \omega_v$$

vectors transform as

$$e_r \rightarrow e_r + \omega_r^\nu e_\nu$$

and the Dirac spinors transform as:



$$s_i \rightarrow s_i - \underbrace{\frac{1}{4} \omega_r^\nu [\gamma^\mu, \gamma^\nu]}_{\text{antisym}} s_i$$

$\Rightarrow$  under infinitesimal Lorentz trans.  
the spinor "rotates" by this amount.

Where does  $[\gamma^\mu, \gamma^\nu]$  come from?

Recall that e.g. translations in space are generated by momentum operators,  $e^{-i\vec{p}x} f(x) e^{i\vec{p}\vec{x}} = f(x + \vec{x})$ , if they obey the commutation relations  $[x_i, p_j] = i\delta_{ij}$ .

Similarly, Lorentz transformations are generated by operators  $M^{\mu\nu}$ : antisym. antisym.

$$e^{-i\omega^\mu M^{\mu\nu}} f e^{i\omega^\nu M^{\mu\nu}} = \Lambda(f)$$

if these  $M^{\mu\nu}$  obey certain commutation relations. In spinor space, the unique objects that obey these commutation relations are the  $M^{\mu\nu} = [\gamma^\mu, \gamma^\nu]$ .

## Apply to GR:

If a vector  $e_\mu$  is infinitesimally parallel transported in the direction of  $e_a$  then it obtains an infinitesimal "rotation", namely, the infinitesimal Lorentz transformation

$$\omega^\nu_a(e_\mu)$$

which is the value of the connection 1-forms, i.e.:

local value of the connection form

$$e_\mu \rightarrow e_\mu + \omega^\nu_a(e_\mu) e_\nu$$

→ From this one can immediately read off again the covariant derivative for vectors:

i.e., the infinitesimal Lorentz transformation

$$\omega^\nu(e_a)$$

which is the value of the connection 1-form. Thus:

local infinitesimal Lorentz transformation,  
i.e., local value of the connection 1-form.

$$s_i \rightarrow s_i - \frac{1}{4} \underbrace{\omega(e_a)_\mu}_{\text{local infinitesimal Lorentz transformation}} \gamma^\mu [y^\nu, y_\nu] s_i$$

□ Since, under infinitesimal parallel transport:

$$s_i \rightarrow s_i + \nabla_{e_a} s_i$$

$\nabla$  to be determined

⇒ The covariant derivative of the basis vectors  $\{s_i\}$

$\Rightarrow$  The covariant derivative of the basis vectors  $\{s_i\}$  of Dirac spinors is:

$$\nabla_{e_a} s_i = -\frac{1}{4} \omega_\mu^\nu(e_a) [\gamma^\mu, \gamma_\nu] s_i$$

$\Rightarrow$  For general Dirac spinors  $\Psi(x) = \psi^i(x) s_i$

the Leibniz rule for  $\nabla$  yields:

$$\nabla_{e_a} \Psi = \nabla_{e_a} (\psi^i(x) s_i) = (\nabla_{e_a} \psi^i(x)) s_i + \psi^i(x) \nabla_{e_a} s_i$$

scalar coefficient functions

: .

 $\nabla \Psi = \partial_\mu \Psi^\dagger \gamma^\mu \Psi$

$$\nabla_{e_a} s_i = -\frac{1}{4} \omega_{\mu}^{\nu}(e_a) [\gamma^\mu, \gamma_\nu] s_i$$

$\Rightarrow$  For general Dirac spinors  $\Psi(x) = \psi^i(x) s_i$   
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scalar coefficient functions

i.e.:

$$\nabla_{e_a} \Psi = e_a(\Psi) - \frac{1}{4} \omega(e_a)_\mu^{\nu} [\gamma^\mu, \gamma_\nu] \Psi$$

$$e_a(\Psi) = s_i e_a(\psi^i)$$

↑ function  
 ↓ vector field

## Dirac equation:

The general relativistic Dirac equation

$$(i \gamma^\mu \nabla_{e^\mu} - m) \psi = 0$$

now takes this explicit form:

$$i \gamma^\nu e_\nu(\psi) - i \frac{1}{4} \omega(e_\nu)^\nu_\sigma \gamma^\mu [\gamma^\sigma, \gamma_\nu] \psi - m \psi = 0$$

$\underbrace{\phantom{0}}_{\text{in a chart, this becomes a directional derivative of } \psi}$

Remark: The relationship between the Dirac operator  $D = i \gamma^\mu \nabla_{e^\mu}$  and the Laplace or d'Alembert operator  $\Box$  also becomes:

# The general relativistic Dirac equation

$$(i \gamma^\mu \nabla_{e^\mu} - m) \psi = 0$$

now takes this explicit form:

$$i\gamma^\mu e_\nu(4) - i\frac{1}{4}\omega(e_\nu)^{\sigma}{}_{\sigma} \gamma^\mu [\gamma^9, \gamma_9] 4 - m 4 = 0$$

↑  
in a chart, this becomes a directional derivative of 4.

**Remark:** The relationship between the Dirac operator  $D = i \gamma^\mu \partial_\mu$  and the Laplace or d'Alembert operator  $\Box$  also becomes:

$$D = d + \delta,$$

To this end, one re-interprets the Grassmann algebra of

... now we can write our equation

$$(i \gamma^\mu \nabla_{e_\mu} - m) \psi = 0$$

now takes this explicit form:

$$i \gamma^\mu e_\mu(\psi) - i \frac{1}{4} \omega(e_\mu)^\nu g \gamma^\mu [\gamma^\alpha, \gamma_\nu] \psi - m \psi = 0$$

↑  
in a chart, this becomes a directional derivative of  $\psi$ .

**Remark:** The relationship between the Dirac operator  $D = i \gamma^\mu \nabla_{e_\mu}$  and the Laplace or d'Alembert operator  $\Box$  also becomes:

$$D = \Delta + \delta.$$

To this end, one re-interprets the Grassmann algebra of differential forms as a so-called **Clifford algebra**.