

Title: Introduction to Majorana Fermions in Condensed Matter & Interpretation of recent Experiments in SmB6 using Majorana Fermi Sea

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Abstract: <p>A pedagogic introduction will be given to: i) Emergent Majorana fermions and Majorana zero modes in certain condensed matter models and ii) Kondo insulators. This will be followed by discussion of a remarkable Quantum Oscillation Anomaly seen in recent experiments in SmB6, a Kondo insulator, by the Cambridge group [1], as providing evidence [2] for presence of Majorana fermi sea, in an unexpected place. We show a counter intuitive result that these Majorana fermions though neutral, exhibit Landau diamagnetism.</p>

<p> </p>

<p>[1] B.S. Tan et al., Science, vol.349, pp. 287-29 (2015), arXiv:1507.01129 [1] G. Baskaran, arXiv:1507.03477</p>

**Majorana Fermions in Condensed Matter and  
Interpretation of a Recent Experimental Anomaly in  $\text{SmB}_6$  using  
Majorana Fermi Sea**

**G. Baskaran**

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## **Introduction**

Majorana Fermions, Majorana Zero Modes

An Electron Fermi Sea = Four (1 scalar + 3 vector) Majorana Fermi Seas

How a Scalar Majorana Fermi Sea Survives Kondo Coupling

Coleman, Miranda, Tsvetlik (1993)

Landau diamagnetism and dHvA Quantum Oscillations in Majorana fermi sea basis

Kondo lattice in the presence of magnetic field for Kondo lattice

Resistivity Saturation and Violation of Lifshitz Kosevich formula

Surface Majorana Band, Majorana Zero Modes

Other Kondo Insulators & Heavy Fermion system



**Works on**  
**Kitaev Spin Model, Majorana Fermi/Dirac Sea etc.**  
**from Matscience, Chennai**

R. Shankar, S. Hassan, R Ganesh, Mukul Laad, GB  
Saptarshi Mandal, Naveen Surendran, G Santhosh  
Abhinav Saket, Sruluckshmi, Sandeep Goyal

**Exact results for spin correlation functions and quantum number fractionization**

**GB, S. Mandal and R Shankar, Phys. Rev. Lett. 98, 247201 (2007)**

**Spin-S Kitaev Model: Classical ground states, order from disorder and Exact Correlation Functions**

**GB, Diptiman Sen and R Shankar, Phys. Rev. B 78, 115116 (2008)**

**Exact quantum spin liquid with a fermi surface in a spin-1/2 model**

**GB, G Santhosh and R Shankar, arXiv: 0908.1614**

**Exactly solvable Kitaev model in three dimensions**

**S. Mandal and N. Surendran, Phys. Rev. B 79, 024426 (2009)**

**Manipulating unpaired Majorana fermions in a quantum spin chain**

**A. Saket, S. R. Hassan, and R. Shankar, Phys. Rev. B 82, 174409 (2010)**

**Spin-1 Kitaev Model in One Dimension**

**D. Sen, R. Shankar, D. Dhar and K. Ramola, Phys. Rev. B 82, 195435 (2010)**

**Confinement-deconfinement transition and spin correlations in a generalized Kitaev Model**

**S Mandal, S Bhattacharya, K Sengupta, R Shankar and GB (2011 PRB)**

**RVB gauge theory and the topological degeneracy in the honeycomb Kitaev model**

**S Mandal, R. Shankar and G. Baskaran, J. Phys. A, 45, 335304 (2012)**

**Topological aspects of an Exactly Solvable Spin Chain**

**A. Saket, S.R. Hassan and R. Shankar, Phys. Rev., B 87, 174414 (2013)**

**A stable Algebraic Spin Liquid in a Hubbard model**

**S.R. Hassan, P.V. Sriluckshmy, S.K. Goyal, R. Shankar and D. Senechal, Phys. Rev. Lett., 110, 037201 (2013)**

**Quarter-filled Kitaev-Hubbard model: A quantum Hall state in an optical lattice**

**S. R. Hassan, S. Goyal, R. Shankar, and D. S en echal, Phys. Rev. B 88, 045301 (2013)**

**Quantum oscillations without a Fermi surface and the anomalous de Haas-van Alphen effect**

Johannes Knolle and Nigel R. Cooper

arXiv:1507.00885

**Majorana Fermi Sea in Insulating  $\text{SmB}_6$ :  
A proposal and a Theory of Quantum Oscillations in Kondo Insulators**

G. Baskaran

arXiv:1507.03477

# **Liquids**

**a Strongly Correlated state of Matter**

**We are a bag of liquid mixture !**

**Intra cellular fluid ..... blood flow**

## Classical

**Liquids**

**Liquid crystals**

**Biological Fluids**

**Paramagnet**

**(a classical spin gas, liquid)**

## Quantum

**Fermi liquid** metals, He3, cold atoms

**Bose liquid** He4, cold atoms

**Superconductors**

**Paired Superfluids** He3, Neutron Stars, cold atoms

**Luttinger Liquid**

**Quantum Hall fluids**

**Liquid of light**

**Quantum Spin Liquids** a big family

**Majorana Fermi Liquids**

## Majorana Zero Modes

**2 Channel Kondo Effect** Emery and Kivelson 1992; Affleck, Ludwig, Hewson, ...

**Kitaev Wire** (Ends of 1d p-wave superconductor)

Vortices in p-wave superconductor Volovik, Read, Green ..

Half vortices in  $\text{Sr}_2\text{RuO}_4$  Ivanov, Budakian ..

Ends of Spin Chains on a Superconducting Surface Yazdani ..

## Majorana Fermi Sea

**Quantum Spin Liquid**

GB, Zou, Anderson; Shastry, Tsvelik, Sen, Khaliulin, Soda, ...; S Sachdev, R R Biswas, L Fu, C. Laumann ..

**Kondo Lattice** Coleman, Miranda, Tsvelik

**Kitaev Model on Honeycomb Lattice** Dirac Sea (Iridates) Khaliulin, Jackeli, ...

(Majorana Fermi Surface) Kivelson, Yao; GB, Santhosh, Shankar; Feigelman, ... YB Kim, ... Trebst, ..  
(3 dimension) Mandal, Sundar ...

## Formalism

**Spin-1/2 operators in terms of Majorana fermions** Books: Mattis, Tsvelik

**1D Spinful Fermi sea in terms of Majorana fermions (Non-Abelian Bosonization)** Affleck, Ludwig, Maldacena, Hewson ...

**2D and Higher Dimensional Fermi Sea in terms of Majorana Fermions** Coleman, Miranda, Tsvelik

**Hubbard model** Ho, Coleman; Cenke Xu, Sachdev; Brijesh Kumar

## Dirac or complex Fermion

$$\{\psi_i, \psi_j^\dagger\} = \delta_{ij}$$

$$\psi = \frac{1}{2}(\zeta + i\eta)$$

$$\{\zeta_i, \zeta_j\} = \delta_{ij} \quad \eta^2 = \zeta^2 = 1$$

$$D_\zeta = \sqrt{2}$$

$$D_\eta = \sqrt{2}$$

$$D_F = D_\zeta \times D_\eta = \sqrt{2} \times \sqrt{2} = 2$$

$$|0\rangle, |1\rangle$$

**Majorana or  
Real fermions**

Hilbert space dimensions

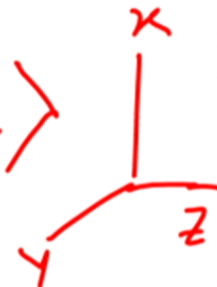
Ising Model in a  
Transverse Field  
&  
1D Superconductor

$$H = -J \sum_i S_i^x S_{i+1}^x - h \sum_i S_i^z$$

$2^N$  dim Hilbert space

$$h=0 \quad |A\rangle = |\uparrow \uparrow \uparrow \dots \uparrow\rangle, \quad |B\rangle = |\downarrow \downarrow \downarrow \dots \downarrow\rangle$$

$h \neq 0$  allows for Tunnelling between  
 $|A\rangle$  and  $|B\rangle$



# JORDAN WIGNER TRANSFORMATION

PAULI  
SPIN  
OPERATORS

$$S_i^X S_i^Y + S_i^Y S_i^X = 0$$

ANTI COMMUTE

$$S_i^X S_j^Y - S_j^Y S_i^X = 0$$

COMMUTE for  $i \neq j$

We wish to construct anticommuting operators

$$c_i \text{'s} \quad \{c_i, c_j^\dagger\} = \delta_{ij} \quad \text{using } [S_i^X, S_i^Y, S_i^Z]$$

the set

$$c_i = S_i^+ \prod_{l=1}^{i-1} S_l^z \quad ; \quad c_i^\dagger = S_i^- \prod_{l=1}^{i-1} S_l^z$$

$$c_i c_j^\dagger = (S_i^+ S_{i-1}^z S_{i-2}^z \dots S_j^z \dots S_1^z) (S_j^- S_{j-1}^z \dots S_1^z)$$

$$\begin{aligned} i \neq j &= -(S_j^- S_{j-1}^z \dots S_1^z) (S_i^+ S_{i-1}^z S_{i-2}^z \dots S_1^z) \\ &= -c_j^\dagger c_i \end{aligned}$$

Similarly

$$c_i^\dagger c_i + c_i c_i^\dagger = 1$$

$$\therefore \{c_i, c_j^\dagger\} = 0$$

$$\boxed{\{c_i, c_j^\dagger\} = \delta_{ij}}$$



Inverse Transformation

$$S_i^\dagger = c_i e^{i\pi \sum_{l < i} c_l^\dagger c_l}$$

$$S_i^z = \frac{1}{2} - c_i^\dagger c_i$$

Flux tube  
attachment  
or

String  
attachment

JW transformation is at the heart of  
Bosonization in 1 Dimension

## Back to Ising Model in transverse field

$$H = -J \sum_{i=1}^N S_i^x S_{i+1}^x - h \sum_{i=1}^N S_i^z$$

$$S_i^x = \frac{1}{2} (c_i^\dagger + c_i) e^{i\pi \sum_{k \leq i} n_k}; \quad S_i^y = \frac{i}{2} (c_i^\dagger - c_i) e^{i\pi \sum_{k \leq i} n_k}$$

$$\begin{aligned} S_i^x S_{i+1}^x &= \frac{1}{4} (c_i^\dagger + c_i) e^{i\pi n_i} (c_{i+1}^\dagger + c_{i+1}) \\ &= \frac{1}{4} (c_i^\dagger - c_i) (c_{i+1}^\dagger + c_{i+1}) \end{aligned}$$

$$H = -\frac{J}{4} \sum (c_i^\dagger - c_i) (c_{i+1}^\dagger + c_{i+1}) + \frac{h}{2} \sum c_i^\dagger c_i + \text{const.}$$

$$\begin{aligned} H &= -J \sum (c_i^\dagger c_{i+1} + h.c.) - \frac{J}{4} \sum (c_i^\dagger c_{i+1}^\dagger + h.c.) \\ &\quad + \frac{h}{2} \sum c_i^\dagger c_i \end{aligned}$$

## MAJORANA FERMIONS

$$c_i = \frac{1}{2} (a_i + i b_i) \quad c_i^\dagger = \frac{1}{2} (a_i - i b_i)$$

$$\{c_i, c_j^\dagger\} = \delta_{ij}$$

$$a_i = (c_i + c_i^\dagger)$$

$$b_i = i (c_i - c_i^\dagger)$$

$$\boxed{a_i^2 = 1}$$
$$\boxed{b_i^2 = 1}$$

$$a_i^2 = (c_i + c_i^\dagger)(c_i + c_i^\dagger) = 0 + 0 + c_i^\dagger c_i + c_i c_i^\dagger = 1$$

$$\boxed{\{a_i, a_j\} = 2 \delta_{ij}}$$

$$\boxed{c_i^\dagger c_i = \frac{1}{2} a_i b_i}$$

Hilbert Space Dimension of a Fermi Oscillator is 2  
 $|0\rangle, |1\rangle$

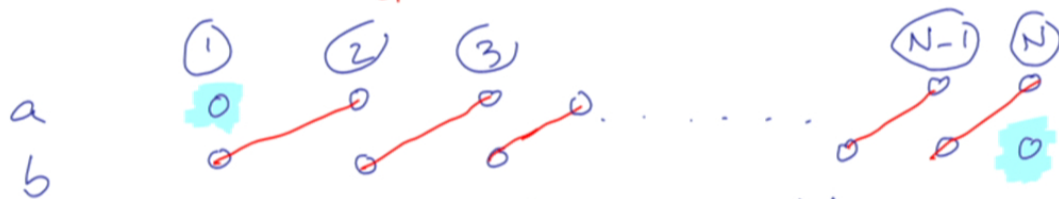
Nominally  $H_F = H_{MF} \otimes H_{MF}$

$$\mathcal{D}_F = \mathcal{D}_{MF} \times \mathcal{D}_{MF} = \sqrt{2} \times \sqrt{2}$$

$$\begin{aligned}
 H &= -\frac{J}{4} \sum_{i=1}^N (c_i^\dagger - c_i) (c_i^\dagger + c_i) + \frac{h}{2} \sum c_i^\dagger c_i \\
 &= \frac{J}{4} \sum_{i=1}^N b_i a_{i+1} + \frac{h}{4} \sum_{i=1}^N a_i b_i
 \end{aligned}$$

Let us look at  $h=0$  case

$$H = \frac{J}{4} (b_1 a_2 + b_2 a_3 + \dots + b_{N-2} a_{N-1} + b_{N-1} a_N)$$



Now we regroup (or pair) Majorana fermions

$$\begin{aligned}
 d_i &= \frac{1}{2}(b_i + i a_{i+1}) \\
 d_i^\dagger &= \frac{1}{2}(b_i - i a_{i+1})
 \end{aligned}$$

$$d_i^\dagger d_i = \frac{1}{2} b_i a_{i+1}$$

$$H = \epsilon_0 d_0^\dagger d_0 + \sum_{n=1}^{N-1} \epsilon_n d_n^\dagger d_n$$

N-1 finite energy fermi oscillators  
1 ZERO ENERGY FERMION OSCILLATOR

$$H = \frac{J}{2} \sum_{i=1}^{N-1} d_i^+ d_i$$

$a_1$  and  $b_N$   
are MISSING

$z^+, z$  : ZERO MODE FERMION OSCILLATOR

$a_1$  and  $b_N$  are Majorana oscillators that  
reside at the boundary

one MISSING  
FERMION OSCILLATOR!

$$z^+ = \frac{i}{2} (a_1 + i b_N)$$

$$z = \frac{i}{2} (a_1 - i b_N)$$

$|\uparrow\uparrow\uparrow\uparrow\dots\uparrow\rangle$

$|\downarrow\downarrow\downarrow\dots\downarrow\rangle$

DUALITY

$a_1$

$b_N$

boundary  
degree of freedom

For well separated edges the eigen vector of left edge mode is

$$\begin{pmatrix} 1 \\ 0 \\ \left(\frac{\hbar}{J}\right) \\ 0 \\ \left(\frac{\hbar}{J}\right)^2 \\ 0 \\ \left(\frac{\hbar}{J}\right)^3 \\ 0 \\ \vdots \end{pmatrix}$$

the term  $\hbar \sum S_i^z$  causes quantum tunneling

The boundary mode is slightly delocalized

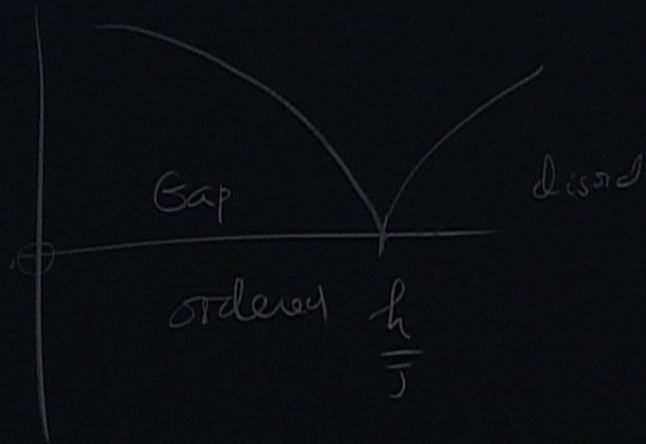
Localization length

$$\xi \sim \frac{1}{\ln\left(\frac{\hbar}{J}\right)}$$

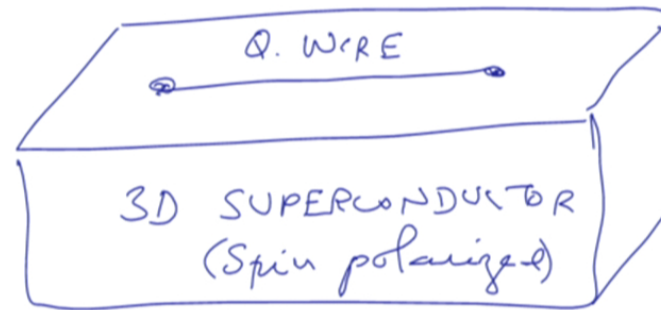
$$\begin{aligned}
 \mathcal{H}_G &\sim \hbar \left(\frac{\hbar}{J}\right)^N i a_1 b_N \\
 &= e^{-\frac{N}{3}} z^\dagger z
 \end{aligned}$$







# 1-3) Quantum Wire



$$\mathcal{H} = \sum_j \left[ -w (c_j^\dagger c_{j+1} + \text{h.c.}) - \mu (c_j^\dagger c_j - \frac{1}{2}) + \Delta c_j c_{j+1} + \Delta^* c_{j+1}^\dagger c_j^\dagger \right]$$

$$\Delta = |\Delta| e^{i\theta}$$

$$a_i = e^{i\frac{\theta}{2}} c_i + e^{-i\frac{\theta}{2}} c_i^\dagger$$

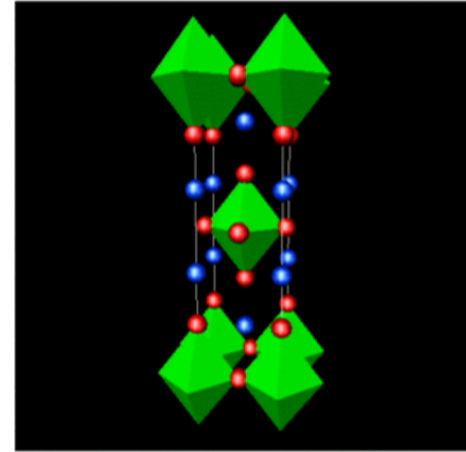
$$b_i = -ie^{i\frac{\theta}{2}} c_i + ie^{-i\frac{\theta}{2}} c_i^\dagger$$

## $\text{Sr}_2\text{RuO}_4$ structurally similar to $\text{La}_2\text{CuO}_4$

Discovery of superconductivity by Maeno et al. (1994)

Prediction of p-Wave Superconductivity by  
Rice and Sigrist (1995) and GB (1995,96)

Superconducting  $T_c \sim 1 \text{ K}$ , very low !



**Orbital part can have  $p_x$ ,  $p_y$  or  $p_z$  symmetry or linear combinations such as  $p_x + i p_y$  or  $p_x - i p_y$  (in 2D this will be favored, because of in plane orbital motion)**

**Spin part has to be symmetric under interchange. So it will be one of the three triples or linear combinations.**

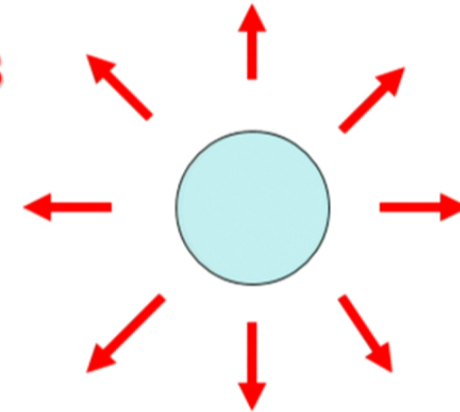
**Cooper pair amplitude (Superconducting order parameter is not a scalar**

$$\Psi = e^{i\varphi} [d_x(|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle) + id_y(|\uparrow\uparrow\rangle - |\downarrow\downarrow\rangle) + d_z(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle)](k_x + ik_y).$$

direction  $\hat{\mathbf{d}}$  of triplet pairing

# Excitations of 2D superconductors

Bogoliubov quasi particles and quantized vortices



Traditionally  
one views the  
phase as a  
2d vector

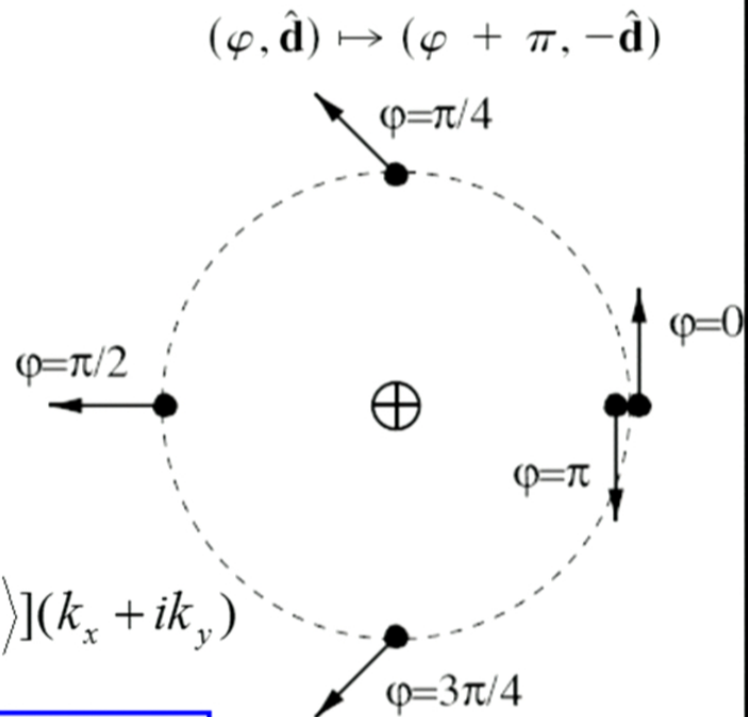
In a quantized vortex carrying flux quanta  $\frac{hc}{2e}$

The phase of the order parameter  $\varphi$  winds by  $2\pi$   
as we go around the vortex once

There is a normal core at the center of the vortex  
of dimension  $\xi$ , the coherence length.

The size of the magnetic flux is  $\lambda$  the London penetration length

## Half Quantum Vortex



$$\Psi(r, \theta) = \Delta(r) e^{\frac{i\theta}{2}} [e^{\frac{i\theta}{2}} |\uparrow\uparrow\rangle + e^{-\frac{i\theta}{2}} |\downarrow\downarrow\rangle] (k_x + ik_y)$$

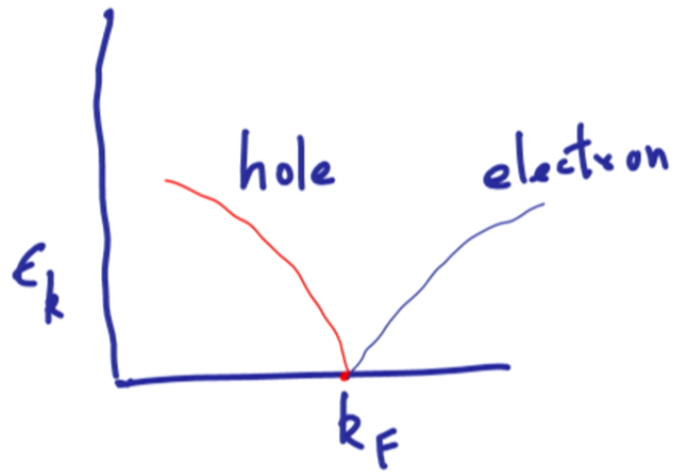
$$\Psi(r, \theta) = \Delta(r) [e^{i\theta} |\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle] (k_x + ik_y)$$

**Order parameter remains single valued**

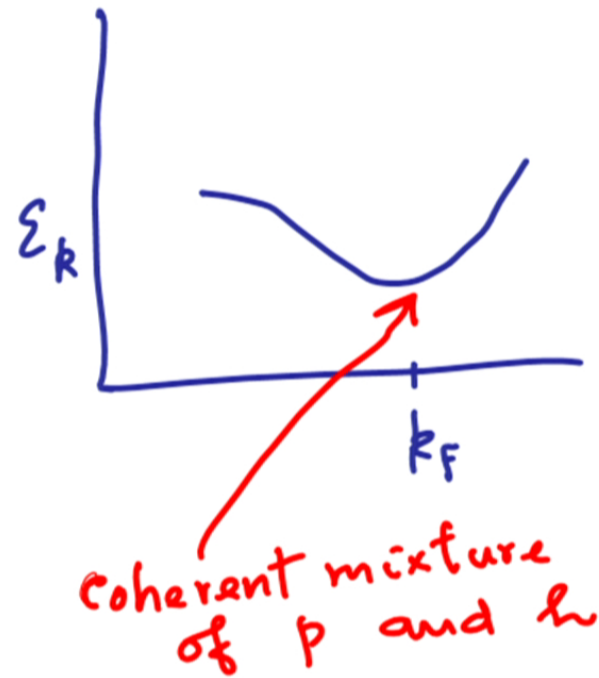


**Bogoliubov quasi particle is a linear combinations of  
an electron and a hole of opposite spin  
Their chrges are defined only module 2**

$$\alpha_{k\sigma} = u_k c_{k\sigma} + \sigma v_k c_{-k\bar{\sigma}}^+$$

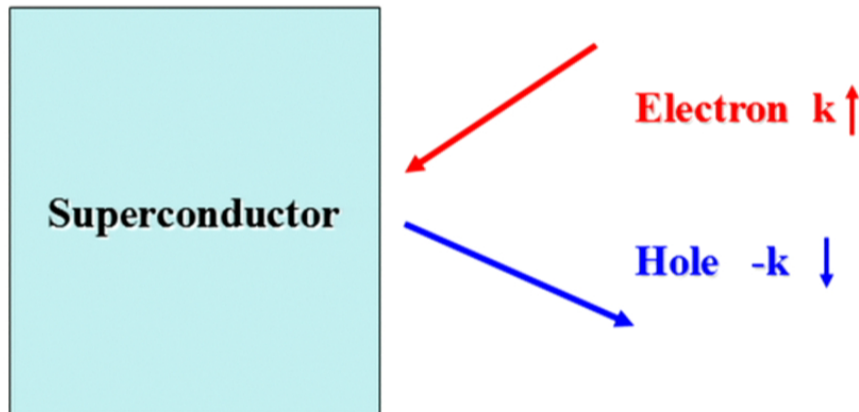
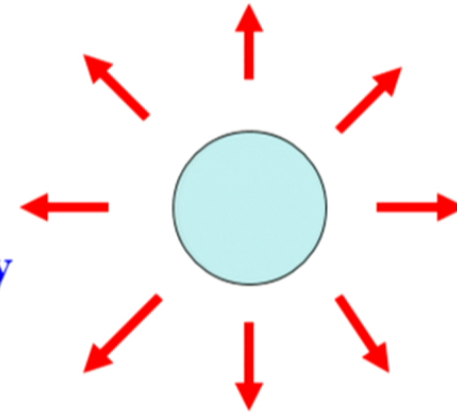


$$\alpha_{k\sigma}^+ = u_k c_{k\sigma}^+ + \sigma v_k c_{-k\bar{\sigma}}$$

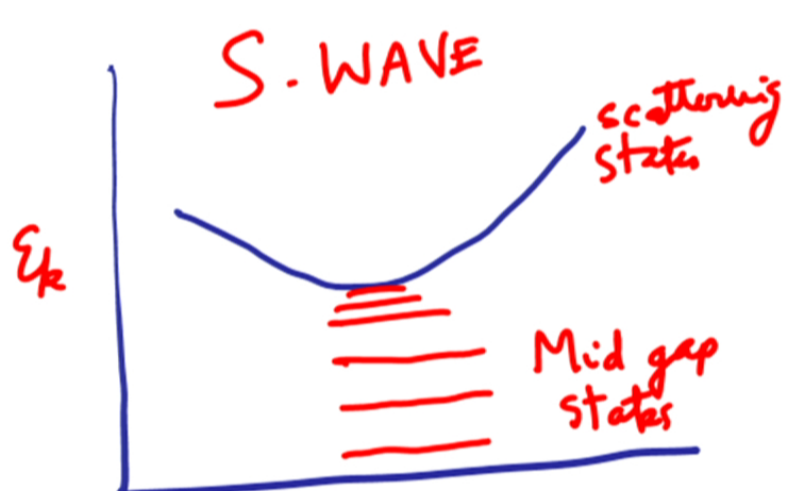


Normal state quasi particles at the vortex core get **Andreev reflected** at the boundary of the core and establish bound quasi particle states in the gap of the quasi particle spectrum

Because the boundary has a non trivial topology for the phase of the order parameter the bound qp-states could have **non-trivial topological and robust character**





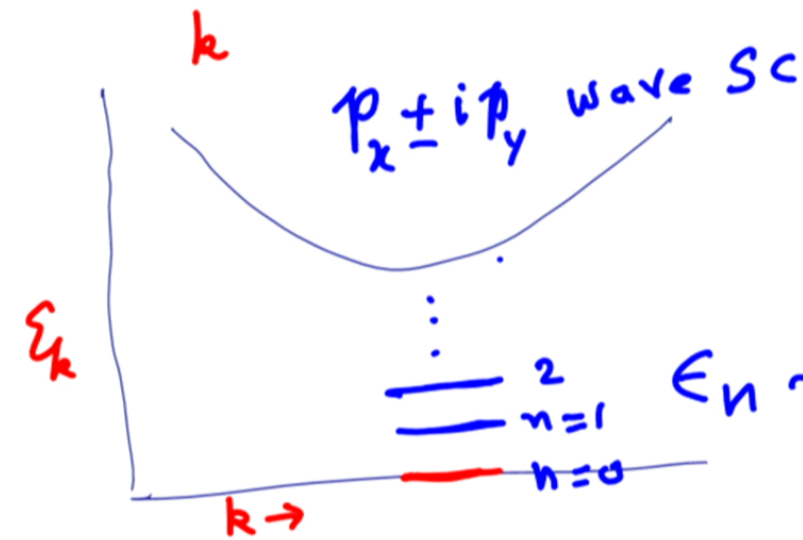


S-wave  
de Gennes, Monticoni

$$E_n \sim E_0 \left(n + \frac{1}{2}\right)$$

$$E_0 \sim \frac{\Delta^2}{E_F}$$

p-wave  
Kopnin, Salomaa  
Volovik ...



**Nature of localized quasi particle states in Half vortices**     **D. A. Ivanov, PRL 2001**

$$\gamma^\dagger(E) = \gamma(-E)$$

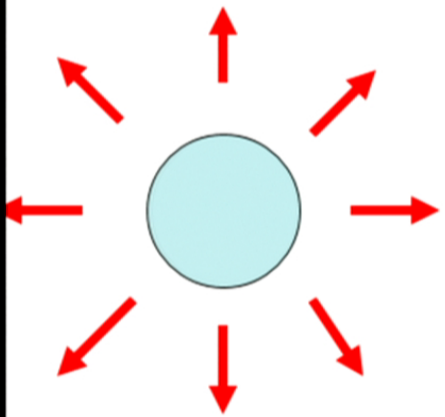
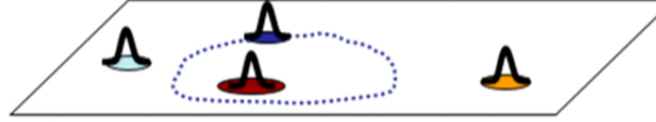
**Number of degree of freedom  
(number of fermi oscillators)  
is half at a single vortex**

The zero-energy level becomes a self-conjugate **Majorana Fermion**

$$\gamma^\dagger(E = 0) = \gamma(E = 0)$$

**Contrast it with midgap states in domain walls in polyacetylene**

**The Majorana Fermion zero mode is stable against local perturbation such external scalar, electromagnetic vector potentials, spin orbit coupling, local variation of the order parameter etc.**

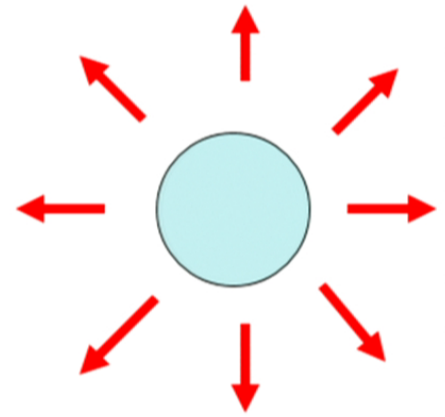


$\gamma_1$

**Majorana mode**

$$\Psi = \gamma_1 + i\gamma_2$$

**A complex fermion mode whose  
Real and imaginary parts are  
well separated in Space !**

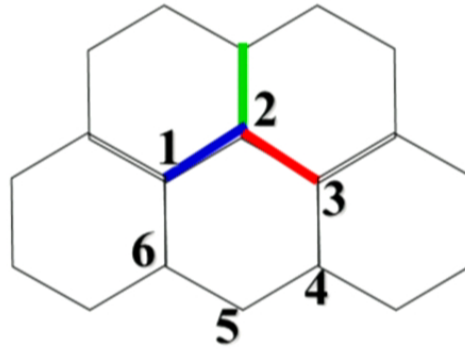
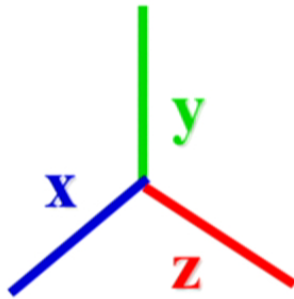


$\gamma_2$

**Majorana mode**

# Kitaev Model on a Honeycomb lattice

Kitaev 2001, 2003



**Frustration in Spin Space**

$$H = -J_x \sum_{\langle ij \rangle_x} \sigma_i^x \sigma_j^x - J_y \sum_{\langle ij \rangle_y} \sigma_i^y \sigma_j^y - J_z \sum_{\langle ij \rangle_z} \sigma_i^z \sigma_j^z$$

**Flux Operator**

$$\widehat{B}_P \equiv \sigma_1^y \sigma_2^z \sigma_3^x \sigma_4^y \sigma_5^z \sigma_6^x$$

$$[\widehat{B}_P, H] = 0 \quad \widehat{B}_P^2 = 1$$

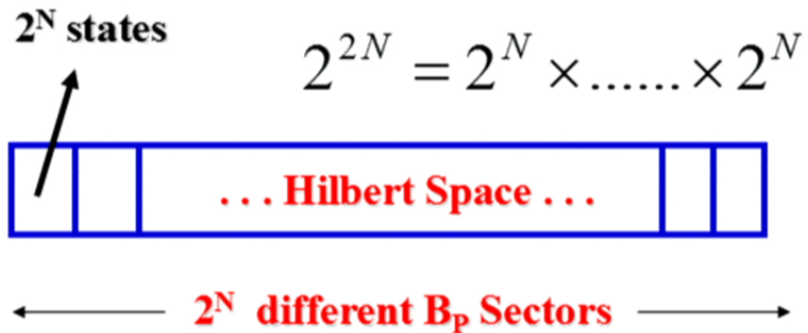
**Eigen value  $B_P = \pm 1$**

$$[\widehat{B}_P, \widehat{B}_{P'}] = 0$$

**for every plaquette P**

**$2^N$  possible configurations of  $B_P$**

**2N sites**



## Representing a Spin-1/2 Operator by Majorana Fermions

### Introduce 4 Majorana fermions

$$c^\alpha, \quad \alpha = 0, x, y, z$$

$$\{c^\alpha, c^\beta\} = 2\delta_{\alpha\beta}$$

Dimension of Physical Hilbert Space 2

Dimension of Enlarged Hilbert Space 4

$$D_i |\Psi\rangle_{\text{phys}} = |\Psi\rangle_{\text{phys}}$$

$$D_i \equiv c_i c_i^x c_i^y c_i^z$$

$$\sigma_i^a = ic_i c_i^a, \quad a = x, y, z$$

$$[\sigma_i^a, \sigma_j^b] = i\epsilon_{abc} \sigma_i^c \delta_{ij}$$

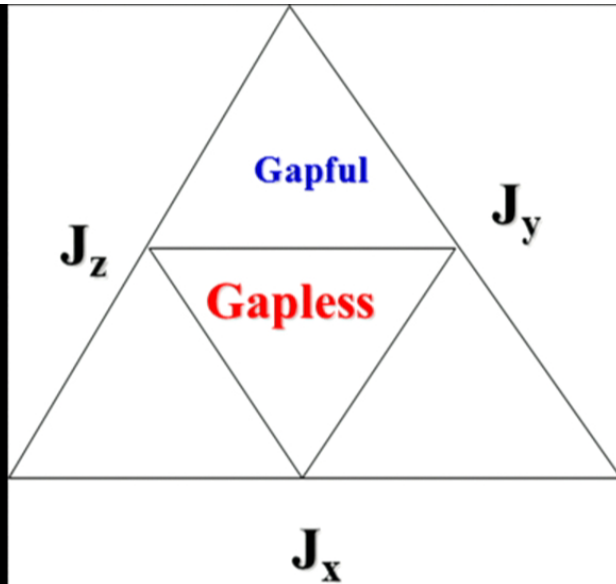
$$\psi^+ = \xi + i\zeta$$

$$2 = \sqrt{2} \times \sqrt{2}$$

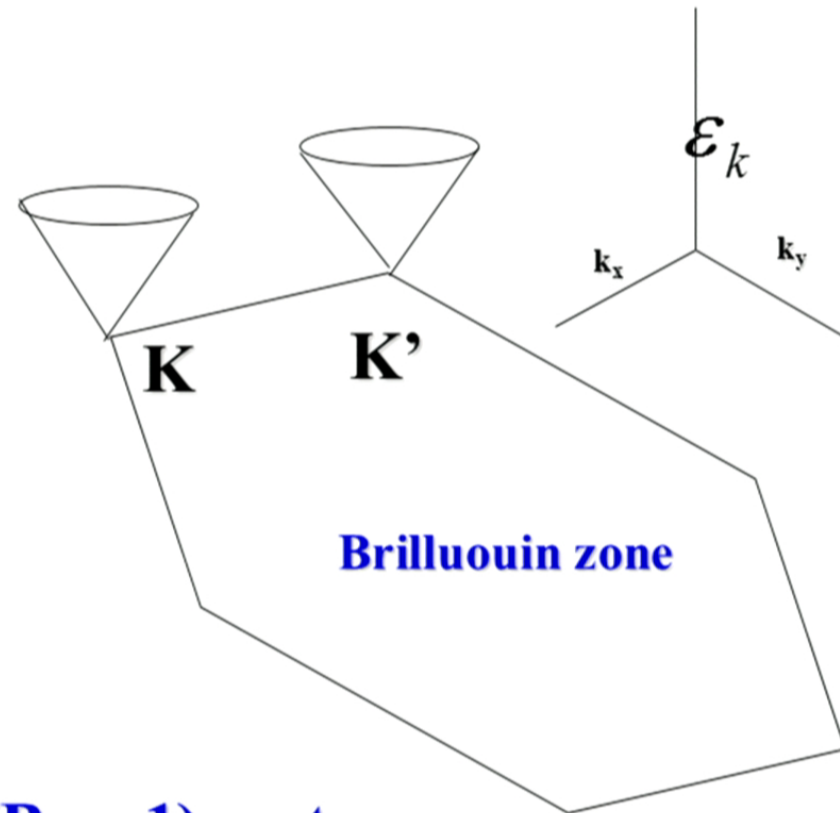
$$\{\psi, \psi^+\} = 1$$

$$\{\xi, \zeta\} = 0$$

$$\xi^2 = \zeta^2 = 1$$



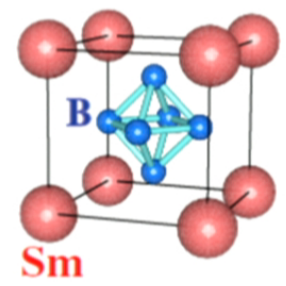
Only particle like fermionic excitations are present



For zero flux ( $B_p = 1$ ) sector

# Kondo Effect, Kondo Insulators, Valence Fluctuations, Heavy Fermions, ...

Anderson Lattice Model, Kondo Lattice Model, multi band Hubbard Model ...



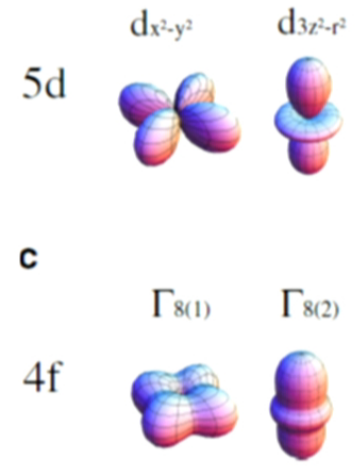
$(\text{B}_6)^{2-}$

$\text{SmB}_6$

$\text{Sm}^{2+}$

$4f^6 5d^0$  and  $4f^5 5d^1$

Spin-Orbit Coupling  
Kramers Doublets





$$\vec{S}_i = C_{i\alpha}^T \vec{\sigma}_{\alpha\beta} C_{i\beta}$$

$$S^T = C^T C$$

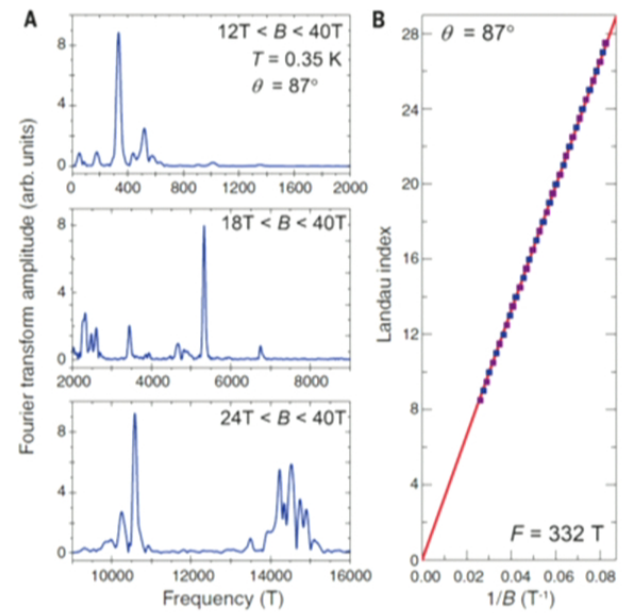
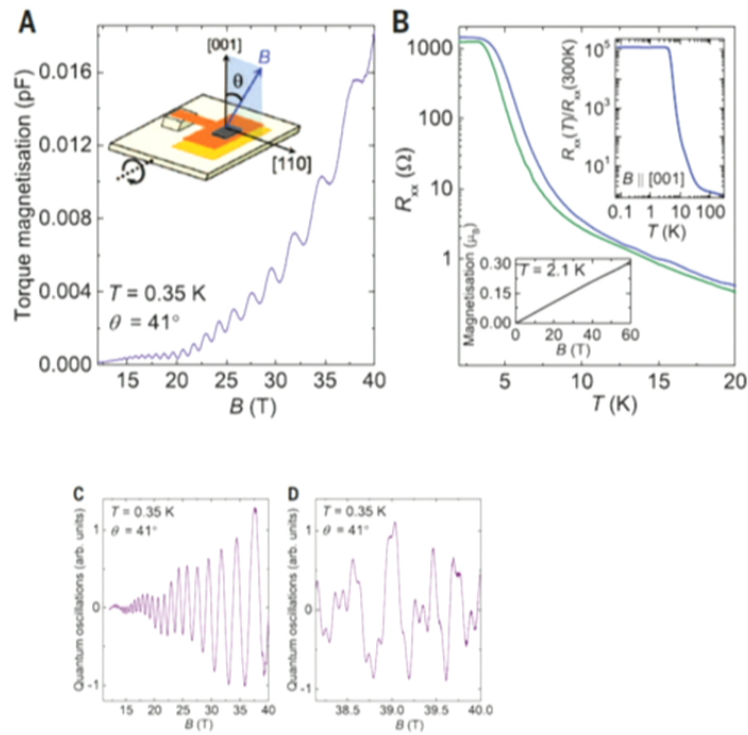
$$H = \sum_k \epsilon_k C_{k\sigma}^T C_{k\sigma} + J \sum_i \vec{S}_i \cdot \vec{S}_{i+1}$$

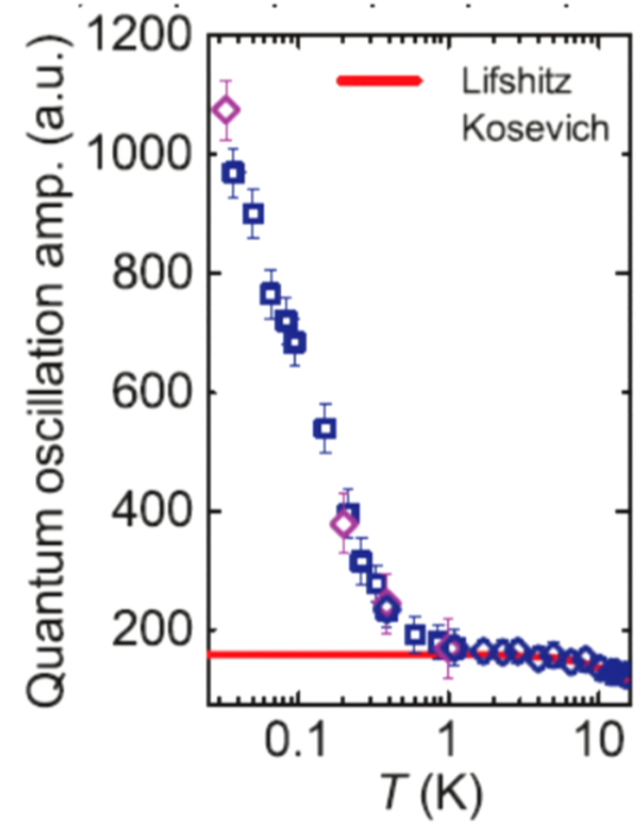
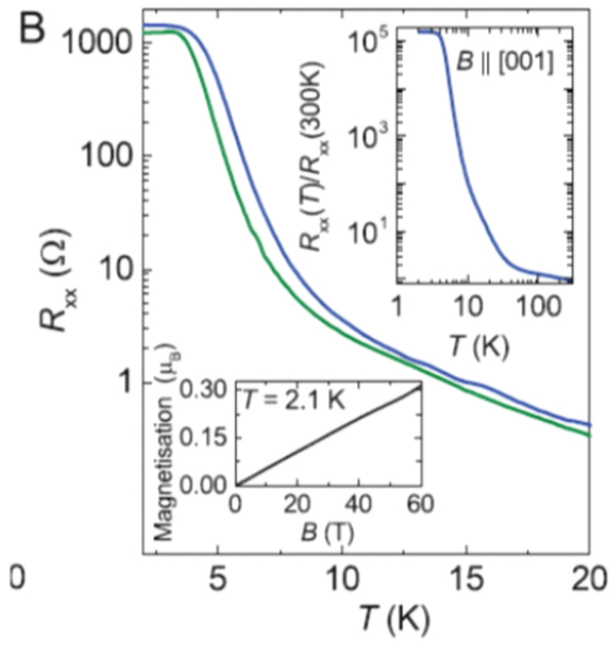


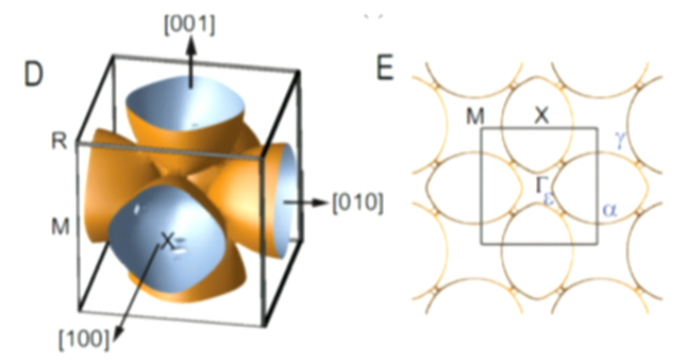
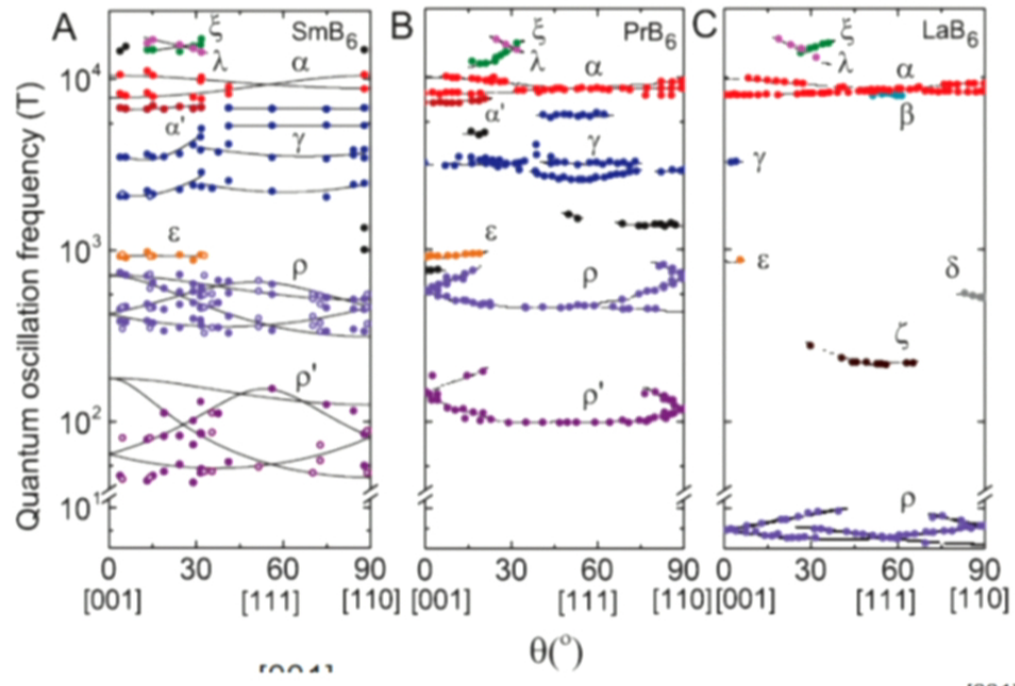
# Unconventional Fermi surface in an insulating state

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**A spinful free Fermi sea at Half filling with particle-hole symmetry**

**can be rewritten as**

**Four Majorana Fermi seas, one scalar and three vector**

**Majorana fermions are their own antiparticles**

**So positive energy fermions exist only on one side of the Fermi surface !**

in a standard Fermi sea, positive energy excitations  
particles live outside the FS  
holes live inside the FS

The currently popular (as qubits for topological quantum computation)  
**Majorana Zero Modes are Different**

**However, Majorana fermions offer an easy opportunity to create Majorana Zero Modes**

$$H_{KE} = -t \sum_{\langle ij \rangle} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) \rightarrow -it \sum_{\langle ij \rangle} (c_{i\sigma}^\dagger c_{j\sigma} - c_{j\sigma}^\dagger c_{i\sigma})$$

Transform operators in one of the two sublattices,  $c_{i\sigma}^{\dagger -} \rightarrow i c_{i\sigma}^\dagger$

$$c_{i\uparrow}^\dagger = \frac{1}{2}(c_{ix} + i c_{iy}) \text{ and } c_{i\downarrow}^\dagger = \frac{1}{2}(c_{iz} - i c_{i0})$$

$$\{c_{i\alpha}, c_{j\beta}\} = 0 \text{ for } i \neq j \text{ and } \alpha \neq \beta \text{ and } c_{i\alpha}^2 = 1$$

$$H_{KE} = -it \sum_{\langle ij \rangle} (c_{i0} c_{j0} + \mathbf{c}_i \cdot \mathbf{c}_j)$$

$$H_{KE} = -it \sum_{\langle ij \rangle} (c_{i0} c_{j0} + \mathbf{c}_i \cdot \mathbf{c}_j)$$

$$(a_{\mathbf{k}\alpha}^\dagger, a_{\mathbf{k}\alpha}), \alpha = 0, x, y, z$$

$$c_{i\alpha} = \frac{1}{\sqrt{N}} \sum_{\text{half BZ}} (a_{\mathbf{k}\alpha} e^{i\mathbf{k} \cdot \mathbf{R}_i} + a_{\mathbf{k}\alpha}^\dagger e^{-i\mathbf{k} \cdot \mathbf{R}_i})$$

$$H_{KE} = \sum_{\mathbf{k} \in \text{half BZ}} \epsilon_{\mathbf{k}} (a_{\mathbf{k}0}^\dagger a_{\mathbf{k}0} + \mathbf{a}_{\mathbf{k}}^\dagger \cdot \mathbf{a}_{\mathbf{k}}) + E_0$$

$$E_0 = 2 \sum_{\epsilon_{\mathbf{k}} \leq 0} \epsilon_{\mathbf{k}} = 2N \int_{-W}^0 \epsilon \rho(\epsilon) d\epsilon$$

$$H = H_{\text{KE}} + J \sum_i \mathbf{s}_i \cdot \mathbf{S}_i$$

Coleman, Miranda, Tsvetlik 1993

$$\mathbf{S}_i \equiv -\frac{i}{2} \boldsymbol{\eta}_i \times \boldsymbol{\eta}_i$$

$$\mathbf{s}_i \equiv c_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} c_{i\beta}$$

$$\mathbf{s}_i = i c_{i0} \mathbf{c}_i + \frac{i}{2} (\mathbf{c}_i \times \mathbf{c}_i)$$

$$(\mathbf{c}_i \times \mathbf{c}_i) \cdot (\boldsymbol{\eta}_i \times \boldsymbol{\eta}_i) = -\frac{1}{2} (\mathbf{c}_i \cdot \boldsymbol{\eta}_i)^2 - \frac{3}{2}$$

$$H = H_{\text{KE}} + \frac{J}{2} \sum_i [c_{i0} \mathbf{c}_i \cdot (\boldsymbol{\eta}_i \times \boldsymbol{\eta}_i) - \frac{1}{2} (\mathbf{c}_i \cdot \boldsymbol{\eta}_i)^2] + \text{const}$$

The above representation of Kondo lattice Hamiltonian is exact, except for the gauge redundancy. That is, many body spectrum of the original Kondo Hamiltonian is preserved. However there are  $2^{\frac{N}{2}}$  extra gauge copies of the Hilbert space.

$$H = H_{\text{KE}} + \frac{J}{2} \sum_i [\mathbf{c}_{i0} \mathbf{c}_i \cdot (\boldsymbol{\eta}_i \times \boldsymbol{\eta}_i) - \frac{1}{2} (\mathbf{c}_i \cdot \boldsymbol{\eta}_i)^2] + \text{const}$$

$$\langle \mathbf{c}_{i0} \mathbf{c}_i \rangle = 0 \quad (\mathbf{c}_i \cdot \boldsymbol{\eta}_i)^2 \rightarrow 2\chi_0 (\mathbf{c}_i \cdot \boldsymbol{\eta}_i) - \chi_0^2. \text{ Here } \chi_0 \equiv \langle \mathbf{c}_i \cdot \boldsymbol{\eta}_i \rangle$$

$$\langle \mathbf{S}_i \rangle = \langle \boldsymbol{\eta}_i \times \boldsymbol{\eta}_i \rangle = 0$$

$$H_{\text{mf}} = H_{\text{KE}} + -J\chi_0 \sum_i \mathbf{c}_i \cdot \boldsymbol{\eta}_i + \text{const}$$

$$= \sum_{\text{Half BZ}} \epsilon_k a_{k0}^\dagger a_{k0} + \sum_{\text{BZ}} \epsilon_k \tilde{a}_k^\dagger \cdot \tilde{a}_k + \text{const}$$

$$\epsilon_k = \frac{\epsilon_k}{2} \pm \sqrt{\left(\frac{\epsilon_k}{2}\right)^2 + (J\chi_0)^2}$$



$$H_{\text{KE}} = -t \sum_{\langle ij \rangle} (e^{i \frac{e}{\hbar c} \int_i^j \mathbf{A} \cdot d\mathbf{l}} c_{i\sigma}^\dagger c_{j\sigma} + H.c.) \quad \mathbf{H} = \nabla \times \mathbf{A}$$

$$= \sum_{\text{all } \alpha} \epsilon_\alpha c_{\alpha\sigma}^\dagger c_{\alpha\sigma}$$

Energy of the half filled band of (up and down spin) fermi sea gets modified from  $2 \sum_{\epsilon_k \leq 0} \epsilon_k$  to  $2 \sum_{\epsilon_\alpha < 0} \epsilon_\alpha$ , in the presence of a finite uniform magnetic field. Magnetic field preserves the particle hole symmetry.

$$H_{\text{KE}} = \sum_{\epsilon_\alpha \geq 0} \epsilon_\alpha a_{\alpha 0}^\dagger a_{\alpha 0} + \sum_{\epsilon_\alpha \geq 0} \epsilon_\alpha \mathbf{a}_\alpha^\dagger \cdot \mathbf{a}_\alpha + E_0(\mathbf{H})$$

Vacuum energy of individual Majorana fermi seas are all identical. Total vacuum energy is,  $E_0(\mathbf{H}) = 4 \times \frac{1}{2} \sum_{\epsilon_\alpha < 0} \epsilon_\alpha \equiv 2N \int_{-W}^0 \rho(\epsilon, \mathbf{H}) \epsilon d\epsilon$ . Here  $\rho(\epsilon, \mathbf{H})$  is the modified one electron density of states in the presence of an uniform magnetic field  $\mathbf{H}$  and  $2W$  is the bandwidth of one electron states.

$$\begin{aligned}
H_{\text{mf}}(\mathbf{H}) &= \sum_{\epsilon_\alpha \geq 0} \epsilon_\alpha a_{\alpha 0}^\dagger a_{\alpha 0} + \sum_{\epsilon_\alpha \geq 0} \epsilon_\alpha \mathbf{a}_\alpha^\dagger \cdot \mathbf{a}_\alpha - J\chi_0 \sum_i \mathbf{c}_i \cdot \boldsymbol{\eta}_i \\
&+ \frac{1}{4}E_0(\mathbf{H}) + \frac{3}{4}E_v(\mathbf{H}, \chi_0) \tag{10}
\end{aligned}$$

$$\begin{aligned}
H_{\text{mf}}(\mathbf{H}) &= \sum_{\epsilon_\alpha > 0} \epsilon_\alpha a_{\alpha 0}^\dagger a_{\alpha 0} + \sum_{\text{all } \epsilon_\alpha} \epsilon_\alpha \tilde{\mathbf{a}}_\alpha^\dagger \cdot \tilde{\mathbf{a}}_\alpha \\
&+ \frac{1}{4}E_0(\mathbf{H}) + \frac{3}{4}E_v(\mathbf{H}, \chi_0) \quad \epsilon_\alpha = \frac{\epsilon_\alpha}{2} \pm \sqrt{\left(\frac{\epsilon_\alpha}{2}\right)^2 + (J\chi_0)^2}
\end{aligned}$$

# Majorana Fermions in a Superconductor

C. Chamon, R. Jackiw, Y. Nishida, S.Y. Pi, L. Santos

**Remaining Question : Who will discover  
Majorana fermions first, condensed matter  
physicists or particle physicists ?**

$$\begin{aligned}
H_{\text{mf}}(\mathbf{H}) &= \sum_{\epsilon_\alpha \geq 0} \epsilon_\alpha a_{\alpha 0}^\dagger a_{\alpha 0} + \sum_{\epsilon_\alpha \geq 0} \epsilon_\alpha \mathbf{a}_\alpha^\dagger \cdot \mathbf{a}_\alpha - J\chi_0 \sum_i \mathbf{c}_i \cdot \boldsymbol{\eta}_i \\
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&+ \frac{1}{4}E_0(\mathbf{H}) + \frac{3}{4}E_v(\mathbf{H}, \chi_0) \quad \epsilon_\alpha = \frac{\epsilon_\alpha}{2} \pm \sqrt{\left(\frac{\epsilon_\alpha}{2}\right)^2 + (J\chi_0)^2}
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## Conclusion

### **SmB<sub>6</sub> is a Topological Insulator**

**Ours is a minimal model** - a Spherical Cow Approximation **Need for more realistic model**

### **Nature of Surface States (ARPES, Quantum Oscillations, missing oscillation in magnetoresistance)**

Charge neutral Scalar, Vector Majorana surface states and charged electron fermi surfaces (GB)

### **Majorana Zero Modes – Inexpensive Topological Qubits ?**

Topological crystal defects, condensate defects, ....

### **Resistivity Saturation at Low Temperatures vs Violation of Lifshitz-Kosevich Formula**

### **Nature of Symmetry Breaking** odd frequency pairing ...

### **Doped Kondo Insulators**

Do Majorana Fermi Seas survive ?

Are Heavy Fermions Majorana Fermions ?

### **Doniach Phase Diagram ?**