

Title: Novel Fractional Quantum Hall States in the Lowest Landau Level

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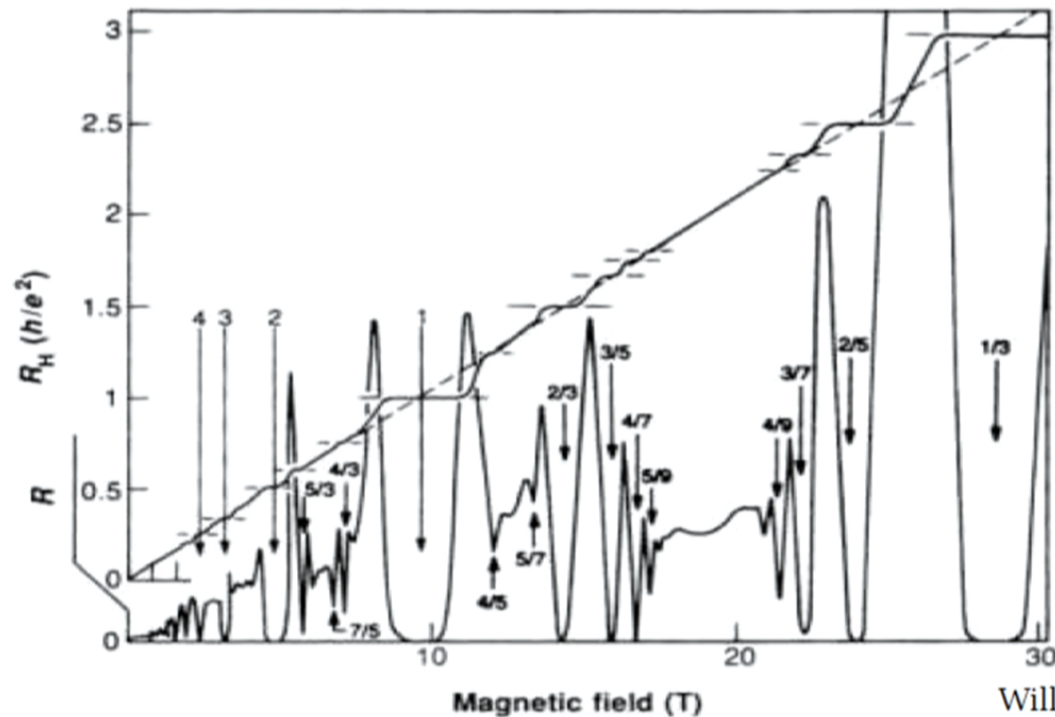
URL: <http://pirsa.org/15070076>

Abstract: Fractional quantum Hall effect in the sequence of filling factors  $\nu/(2p \pm 1)$  is well understood by the integer quantum Hall effect of the composite fermions at the filling factor  $\nu$ . A composite fermion (CF) is a bound state of an electron and  $2p$  number of quantized vortices. However, the experimentally observed states such as  $4/11$ ,  $5/13$ , and  $3/8$  which are between  $1/3$  and  $2/5$  cannot be accommodated in the conventional noninteracting theory of composite fermions. The interaction between CFs in partially filled second effective Landau levels of CFs is important for these states. However, conventional fractional quantum Hall effect of these interacting composite fermions do not reproduce these incompressible states. By diagonalizing Coulomb Hamiltonian in a restricted Hilbert space of the CFs, we find that these states are incompressible for flux-particle relationships that are different from the conventional considerations. We interpret that  $4/11$  and  $5/13$  states occur due to fractional quantum Hall effect of the composite fermions for Haldane Pseudopotential  $V_3$  rather than  $V_1$ . And the anti-Pfaffian pair correlation between CFs in the half-filled second effective Landau level creates incompressible  $3/8$  state. The unconventional topologies of these states are reflected in anomalously low magneto-roton energies for these states.

## Plan of Talk

- **Introduction to fractional quantum Hall effect.**
- **Observation of “second generation” FQHE in between two parent-FQHE states.**
- **Composite-fermion diagonalization: failure of conventional wisdom.**
- **New correlations that describe these novel FQHE states.**
- **Prediction of anomalously low magneto-roton energies in neutral collective modes of these FQHE states.**
- **Conclusion.**

## Fractional quantum Hall effect



Willett et al, PRL, 1987

$$\nu = \frac{n}{2n-1}, [2/3, 3/5, 4/7, \dots, 1/2] \quad \nu = \frac{n}{2n+1}, [1/3, 2/5, 3/7, \dots, 1/2]$$

Filling factor,  $\nu = \frac{N}{N_\phi}$ , # / fraction of LL filled by the electrons.

## Hamiltonian of the FQHE problem

**The problem is to find a quantum mechanical solution of interacting many electrons in presence of strong magnetic field.**

$$H = \frac{1}{2m_b} \sum_j^N \left( -i\hbar \vec{\nabla}_j + \frac{e}{c} \vec{A}_j \right)^2 + \frac{e^2}{\epsilon} \sum_{i<j}^N \frac{1}{r_{ij}} + g\mu_B \sum_j^N \vec{S}_j \cdot \vec{B}$$

**In the limit of high magnetic field,**

$$H_{\text{eff}} = \frac{e^2}{\epsilon} \sum_{i<j}^N \frac{1}{r_{ij}} \quad (\text{restricted in the LLL Hilbert space})$$

**1) Conventional perturbative method does not work here, due to the lack of any small parameter.**

**2) FQHE cannot be understood as an instability of any known state.**

## Laughlin Wave Function

$$\Psi(z_1, z_2, \dots, z_N) = \prod_{i < j}^N (z_i - z_j)^{2n+1} \exp[-\sum_k |z_k|^2 / (4l^2)]$$

A particle will feel  $(2n+1)(N-1)$  vortices for a  $N$  particle system. In the thermodynamic limit, this wave function thus corresponds to filling factor:

$$\nu = \lim_{N \rightarrow \infty} \frac{N}{(2n+1)(N-1)} = \frac{1}{(2n+1)}$$

Laughlin wave function for filling factor  $1/3$  has very good overlap with the exact wave function that one obtains with Coulomb interaction  $V(r)$ . It can describe essential qualitative features that one obtains at filling factor  $1/3$ .

The wave function  $\Psi_{1/3}$  is the exact ground state of the Haldane pseudo potential  $V_1$

$$\hat{V} = \sum_m V_m |m\rangle \langle m|$$

$\Psi_{1/5}$  is exact for the potential  $V_1 + V_3$

Simple generalization to Laughlin wave function does not work for other observed states in the sequence, e.g.,  $n/(2n+1)$ .

## Laughlin Wave Function

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## Composite Fermion Theory

J. K. Jain, PRL, 1989

**Postulate:** Electrons minimize the repulsive interaction by capturing *even number of quantized vortices* to form a bound state known as “composite fermions”.

$$\nu = \frac{\nu_{CF}}{2\nu_{CF} + 1} \quad (B_{eff} = B - 2pn_e \varphi_0)$$

**The FQHE of electrons is the manifestation of the IQHE of CFs.**

**Many body ground state wave function for the filling factor**  $\nu = \frac{n}{2n+1}$

$$\Psi_{CF}(z_1, z_2, \dots, z_N) = P_{LLL} \Phi_n(z_1, z_2, \dots, z_N) \prod_{i < j}^N (z_i - z_j)^2$$

$$\Phi_1(z_1, z_2, \dots, z_N) = \exp\left[-\sum_k \frac{|z_k|^2}{4l^2}\right] \begin{vmatrix} 1 & 1 & \dots \\ z_1 & z_2 & \dots \\ z_1^2 & z_2^2 & \dots \\ \vdots & \vdots & \vdots \\ z_1^{N-1} & z_2^{N-1} & \dots \end{vmatrix} \equiv \prod_{i < j}^N (z_i - z_j) \exp\left[-\sum_k \frac{|z_k|^2}{4l^2}\right]$$

**This assumes non-interacting CFs.**

## Spherical Geometry

Haldane, PRL, 1983

**N interacting electrons move on the surface of a sphere with 2Q flux passing through it, due to a magnetic “monopole” Q kept at the centre of the sphere. The radius of the sphere is**  $R = \sqrt{Q}l$

$$l = \left( \frac{\hbar c}{eB} \right)^{1/2}$$

$(N, 2Q) \rightarrow (N, 2q); 2q = 2Q - 2(N - 1)$  [Effective Flux]

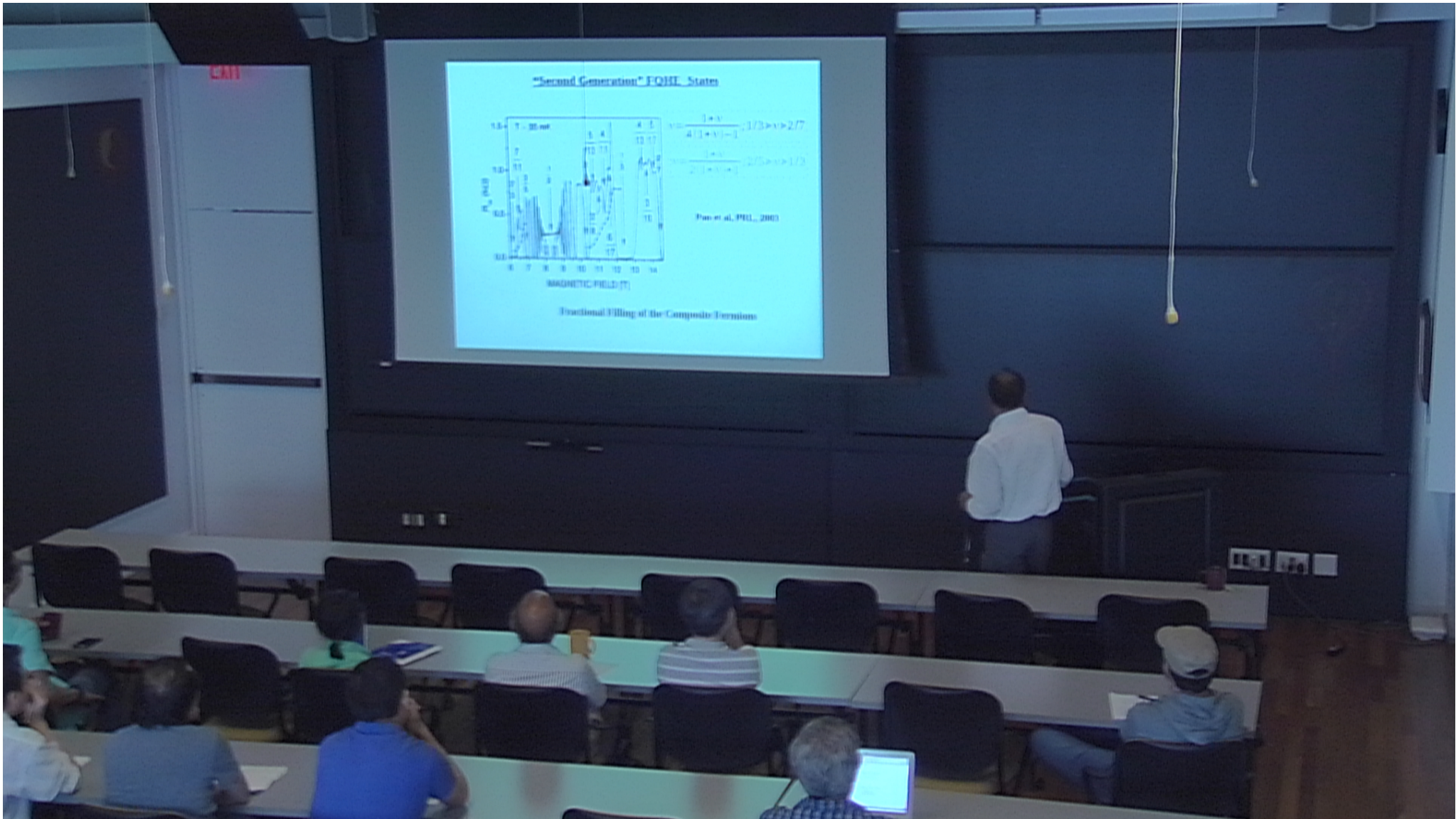
$$\begin{array}{ccc} \dots & 2Q & 2q \\ \dots & \dots & \dots \\ 1/3 & 3N-3 & N-1 \end{array} \quad \nu = \lim_{N \rightarrow \infty} \frac{N}{2Q}; \nu_{CF} = \lim_{N \rightarrow \infty} \frac{N}{2q}$$

$$2/5 \quad \frac{5}{2}N-4 \quad \frac{1}{2}N-2 \quad \text{Shift: } 2Q = \frac{N}{\nu} - S \quad \nu = \frac{\nu_{CF}}{2\nu_{CF} + 1}$$

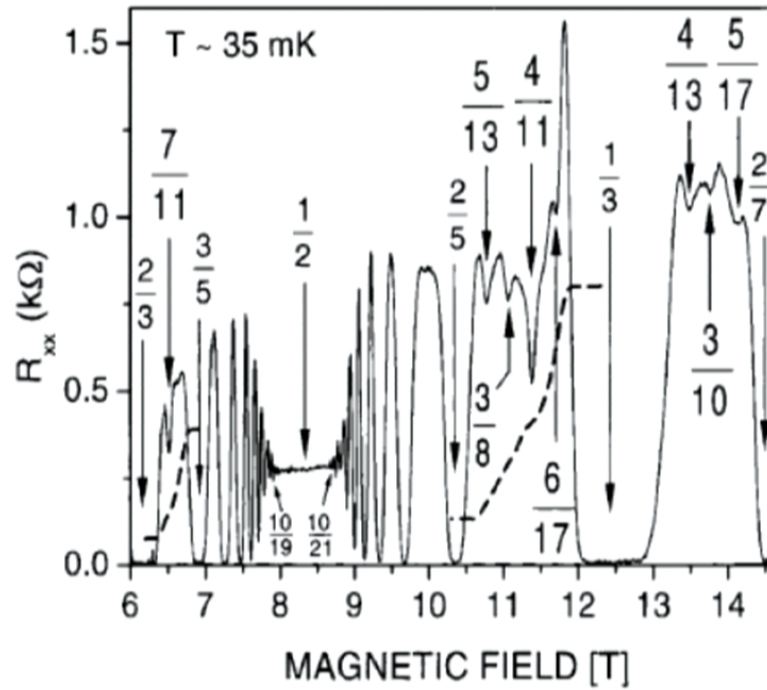
**“Shift” determines topologically distinct Fractional quantum Hall states.**

$S$	$\dots$	$\dots$	
	Correlation		
$\nu = \frac{1}{2}$	2	3	Gapless, Fermi liquid of CFs
	3		Gapped paired state, Pfaffian
	-1		Gapped paired state, Anti-Pfaffian





## “Second Generation” FQHE States



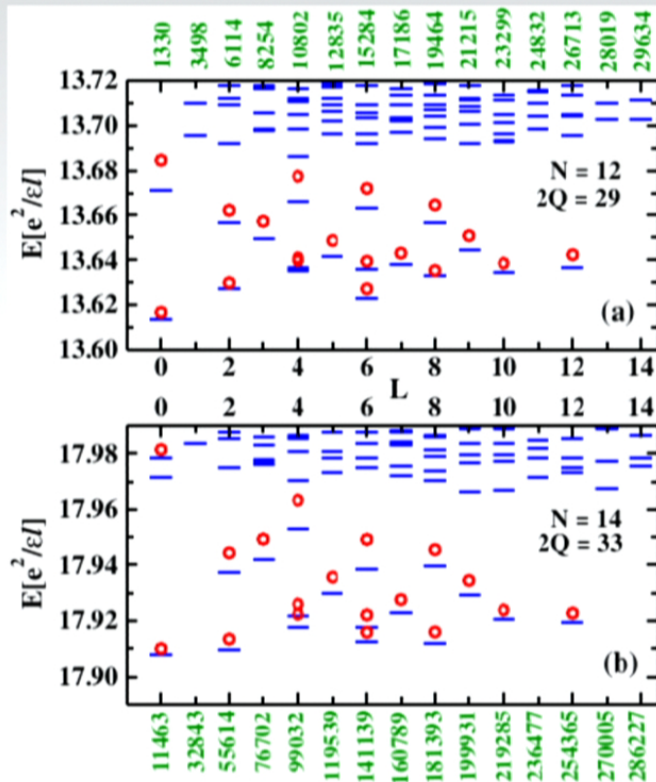
$$\nu = \frac{1 + \bar{\nu}}{4(1 + \bar{\nu}) - 1}; 1/3 > \nu > 2/7$$

$$\nu = \frac{1 + \bar{\nu}}{2(1 + \bar{\nu}) + 1}; 2/5 > \nu > 1/3$$

Pan et al, PRL, 2003

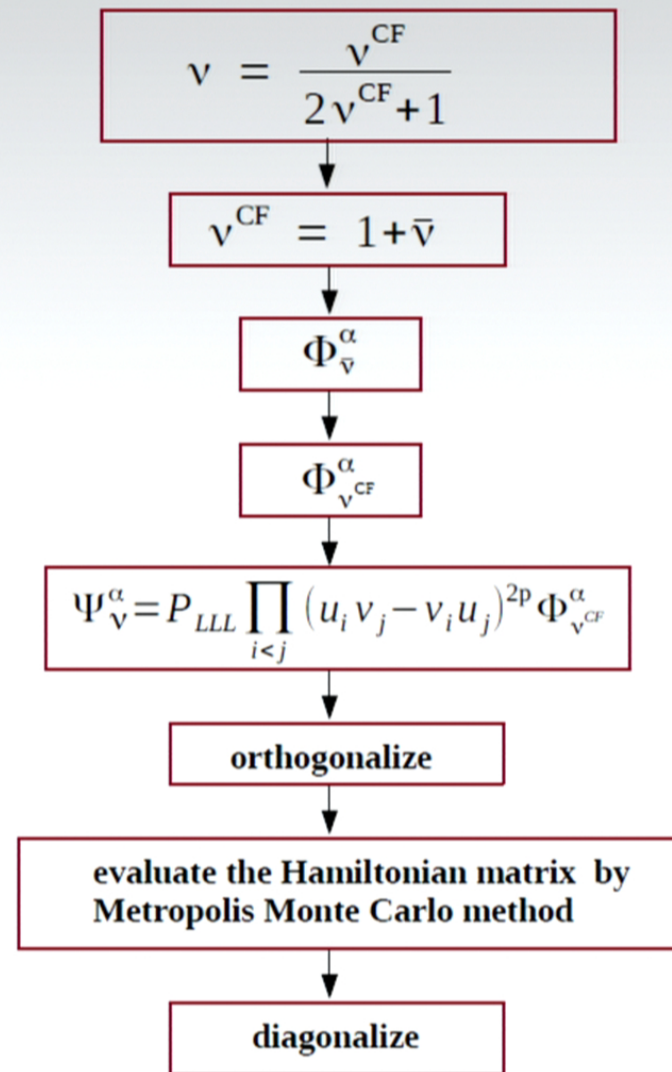
**Fractional Filling of the Composite Fermions**

## CF diagonalization (CFD)

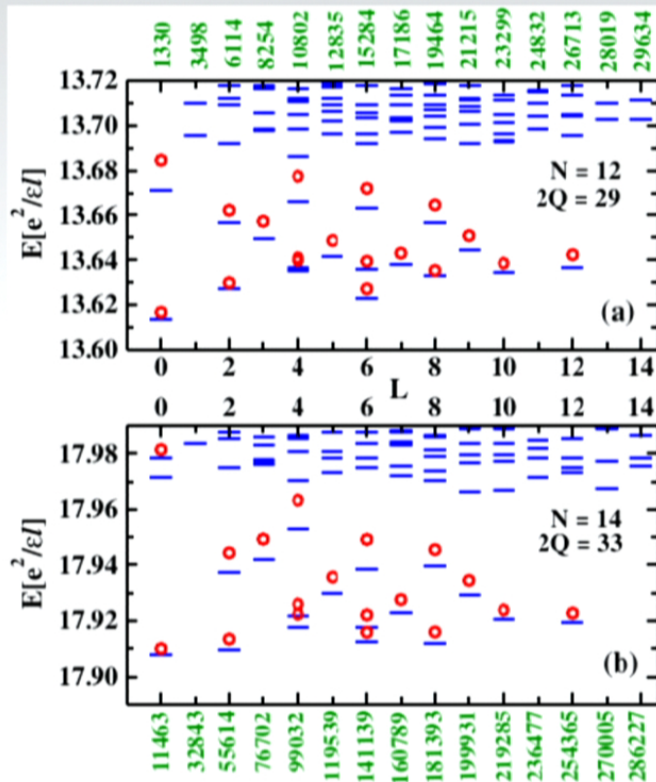


Comparison between exact spectra (blue dashes) and CFD spectra (red circles). The CFD energies deviate from exact ones by only  $\sim 0.05\%$  for lowest mode.

SSM & Jain, PRB, 2002

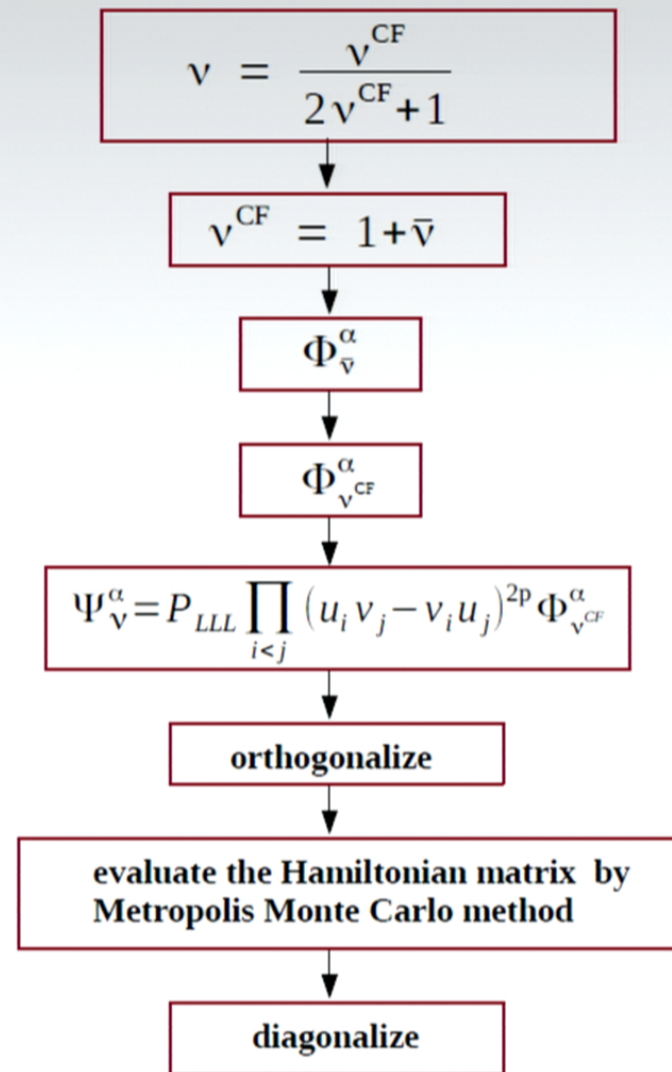


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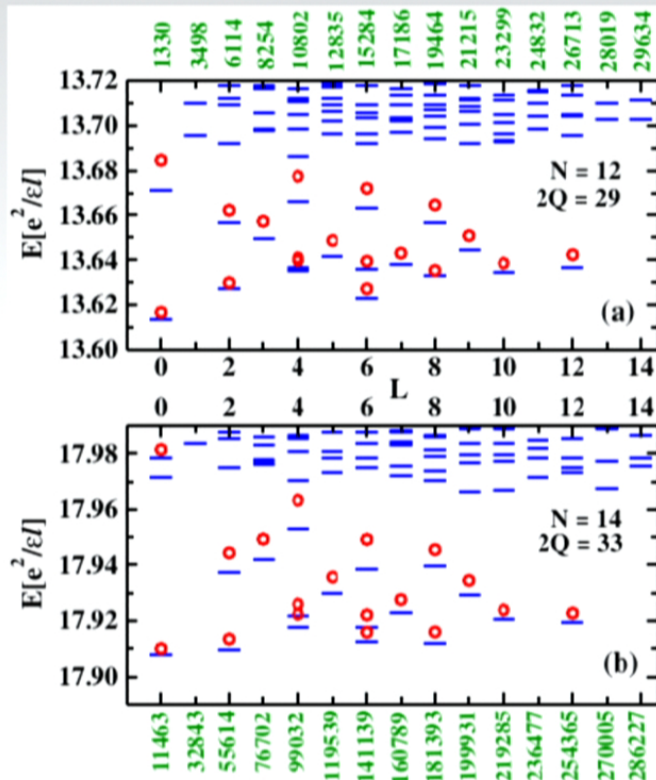


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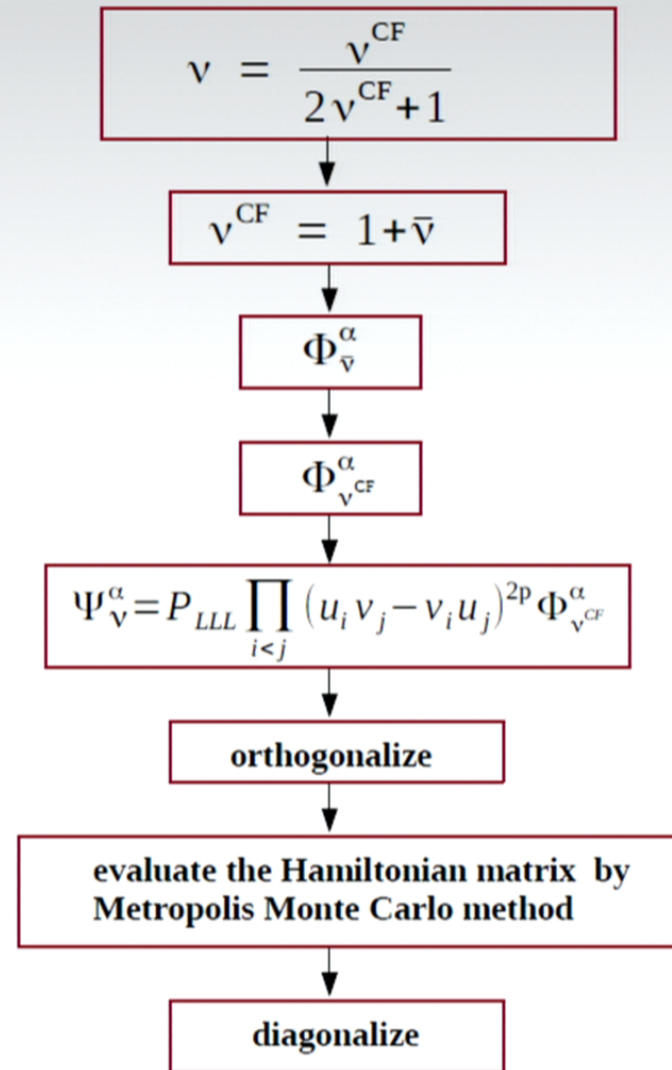


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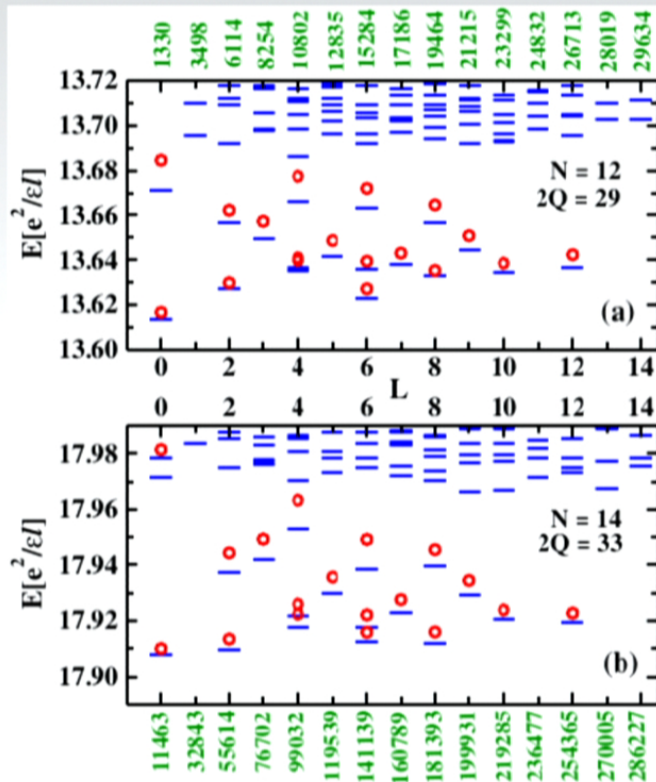


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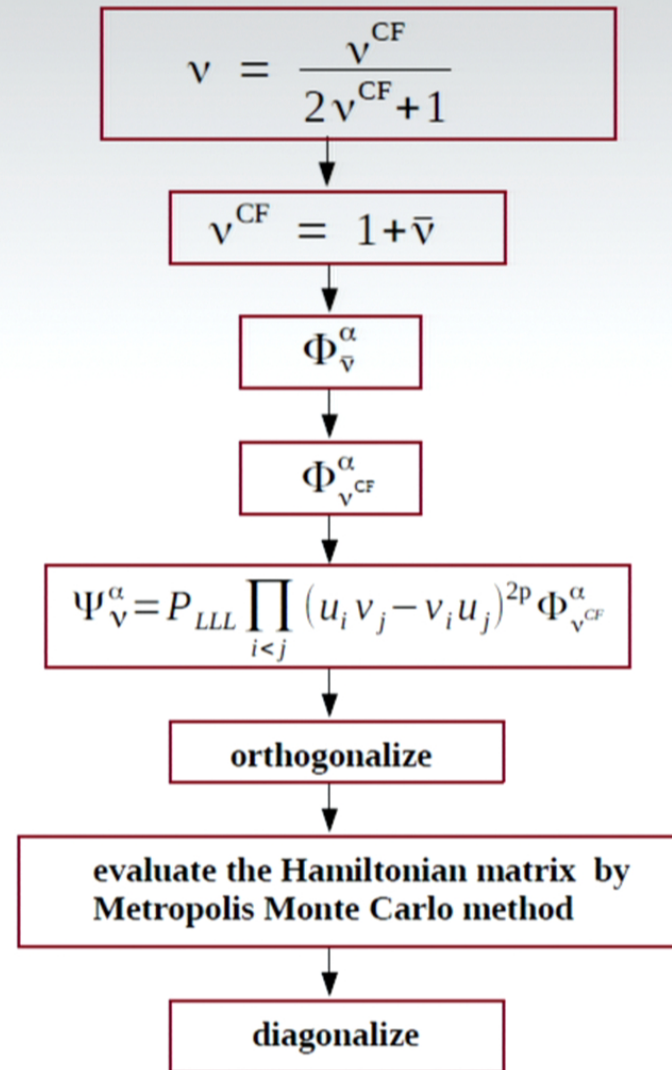


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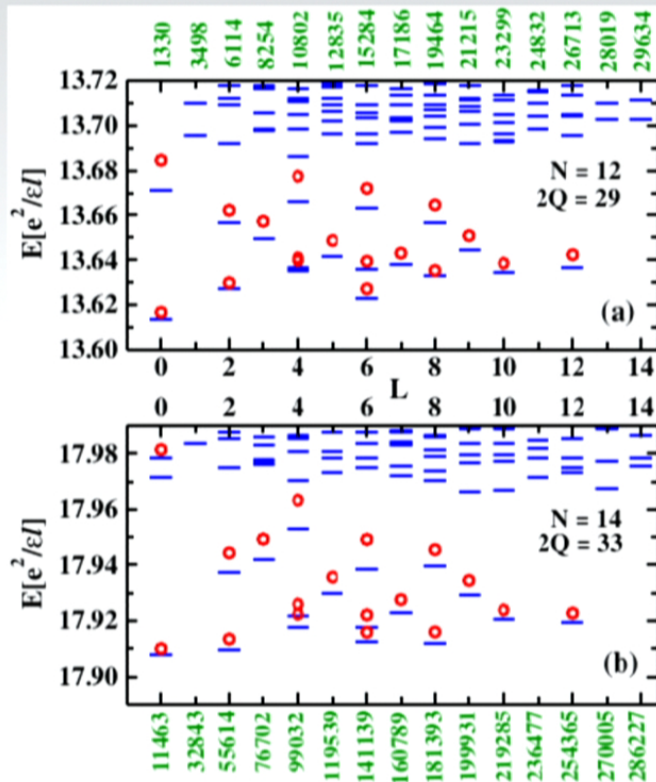


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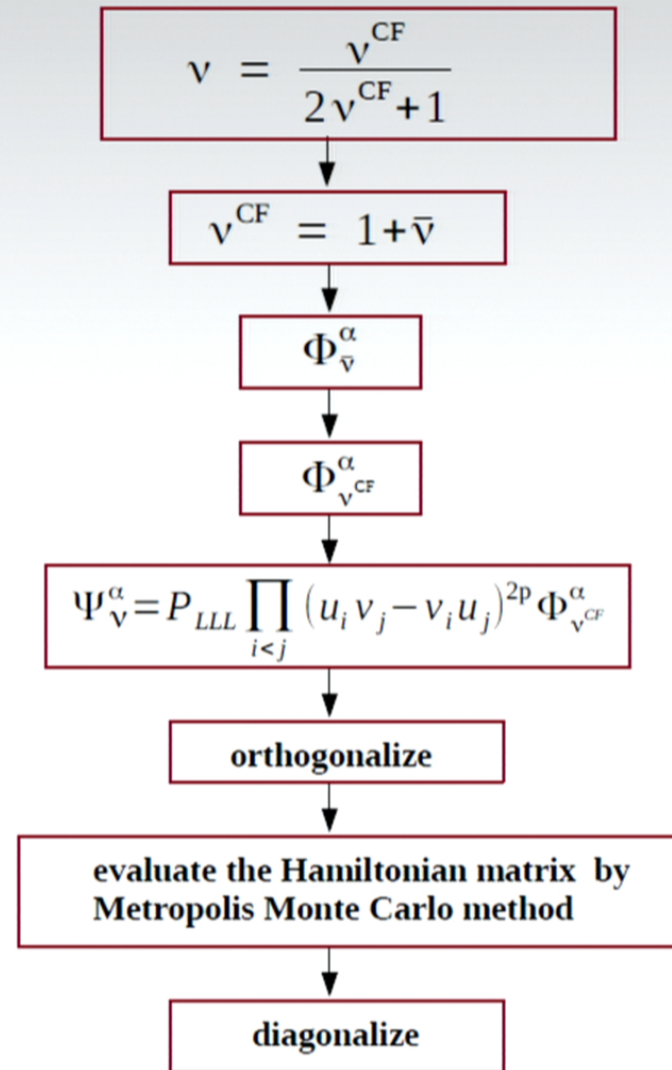


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## Test of conventional wisdom

$$2Q = v^{-1}(N-1) - (3 - v^{-1})(\lambda + 2); \quad 2/5 > v > 2/7$$

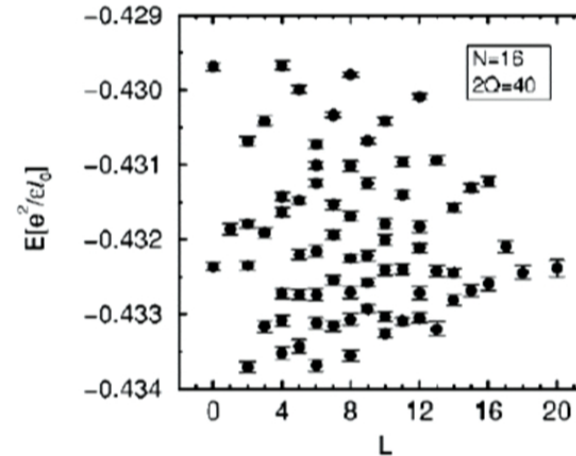
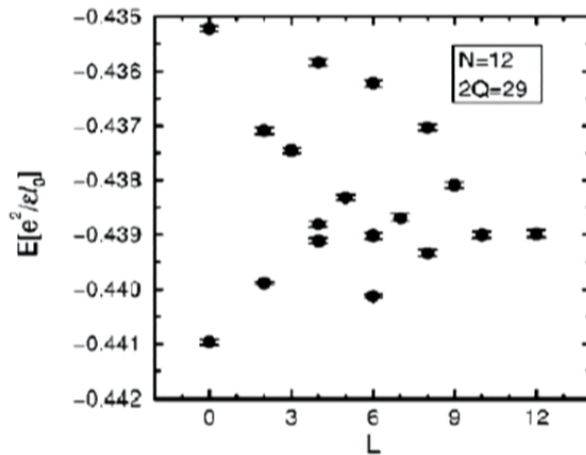
$$v = \frac{1 + \bar{v}}{2(1 + \bar{v}) + 1}; \quad 2/5 > v > 1/3$$

$\bar{v}$	$v$
1/3	4/11
2/3	5/13
2/5	7/19
3/7	10/27
1/5	6/17
1/2	3/10

$$v = \frac{1 + \bar{v}}{4(1 + \bar{v}) - 1}; \quad 1/3 > v > 2/7$$

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Conventional:  $\lambda = 3, \bar{v} = 1/3$



**So conventional mechanism does not give rise to FQHE state at the filling factor 4/11.**



## Test of conventional wisdom

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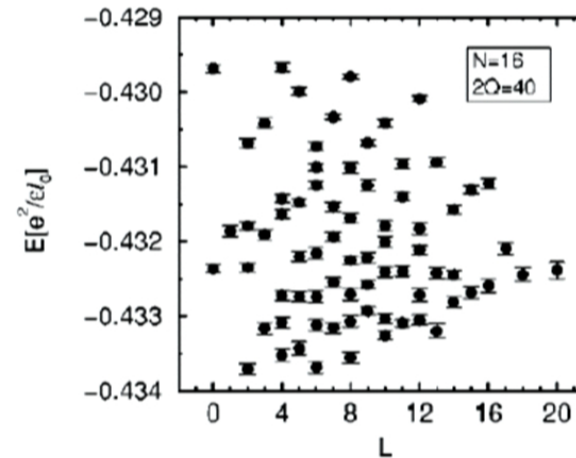
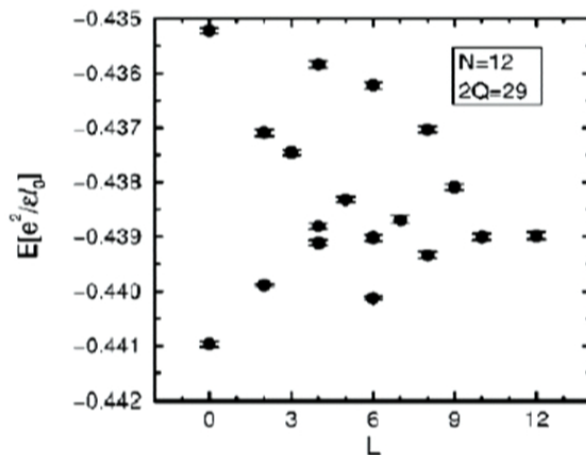
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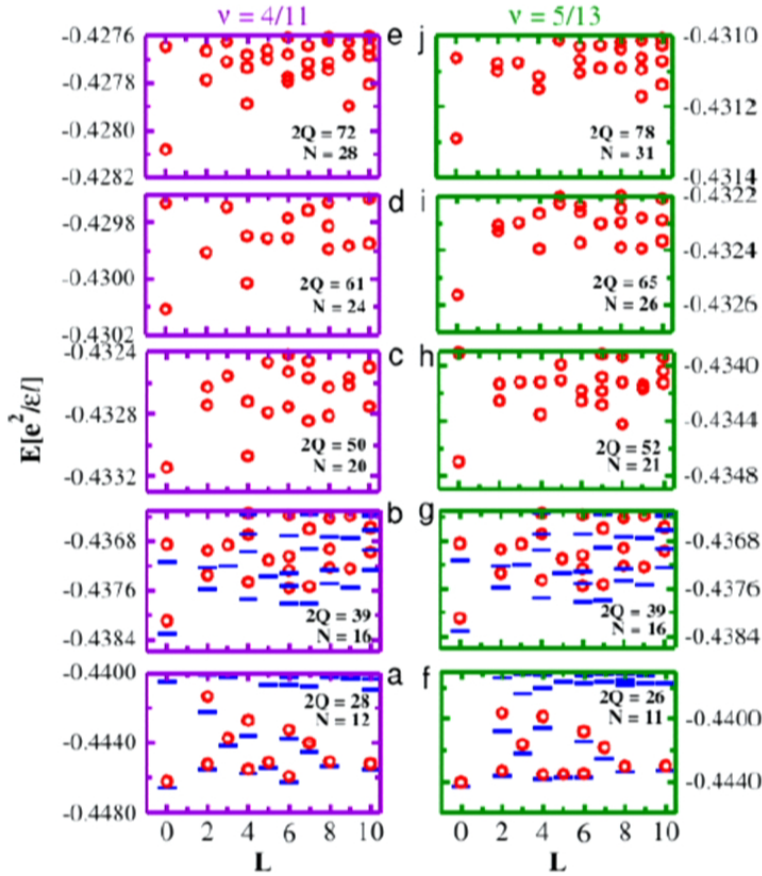
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## CFD spectra at specific filling and shift

S. Mukherjee, SSM, Y-H Wu, A. Wojs, and J. K. Jain, PRL, 2014



$$2Q = 2q + 2(N - 1)$$

$$2q = \bar{N} / \bar{\nu} - \lambda$$

$$2Q = \nu^{-1}(N - 1) - (3 - \nu^{-1})(\lambda + 2);$$

$$\nu = 4/11, \bar{\nu} = \frac{1}{3}, \lambda = 7$$

$$\nu = 5/13, \bar{\nu} = \frac{2}{3}, \lambda = -2$$

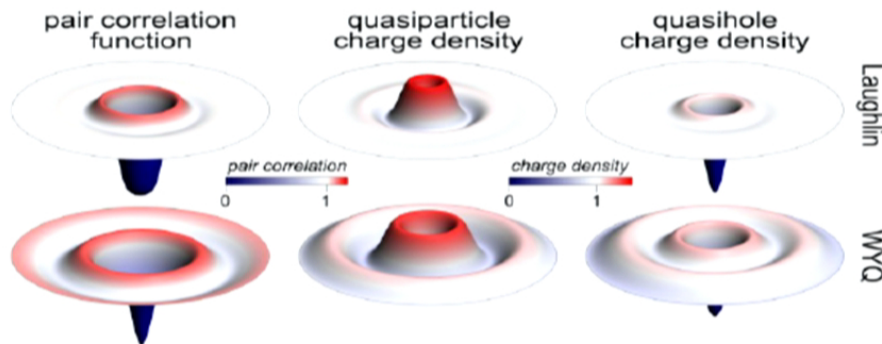
## Characterization of FQHE state 4/11

Interaction of two CFs in the second ELL (when the lowest ELL is fully filled) has maximum pseudo-potential in the relative angular momentum channel 3.

$$V = \sum_m V_m |m\rangle\langle m| \quad V_3 > V_1$$

*The zero energy and incompressible ground state is possible for  $V_3$  potential at flux  $2Q=5N-9$*

**Only incompressible state is possible at flux  $2Q=3N-7$**  (Wojs, Yi, and Quinn, 2004)



**We find FQHE ground state at 4/11 when the flux in second ELL is**

S. Mukherjee, SSM, Y-H Wu, A. Wojs, and J. K. Jain, PRL, 2014

$$2(q+1) = 3\bar{N} - 7$$

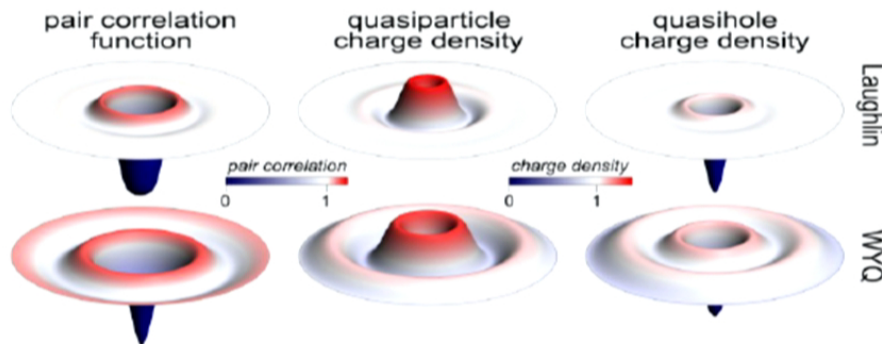
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## Fully Polarized 3/8 state

$$2Q = 2q + 2(N - 1)$$

$$2q = \bar{N}/\bar{\nu} - \lambda$$

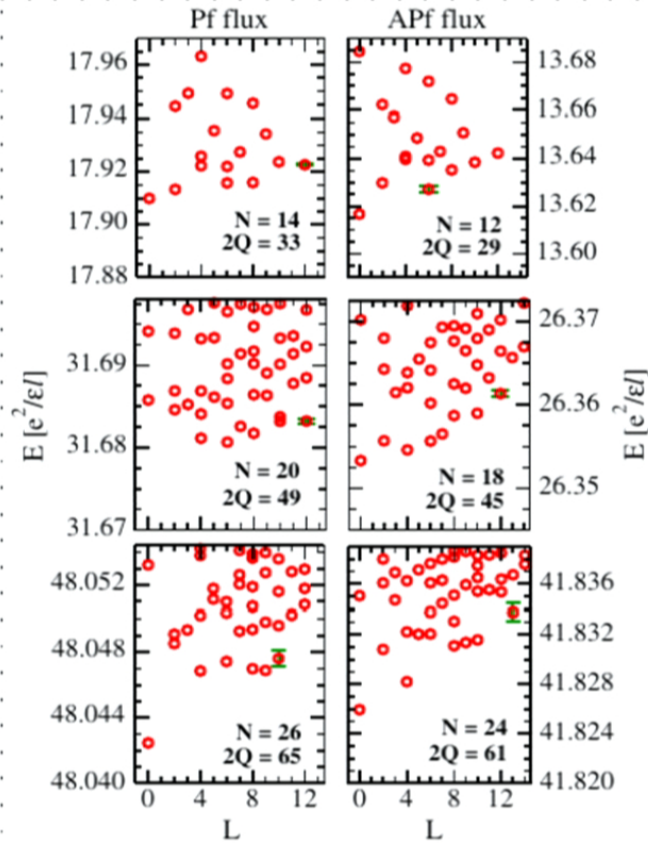
$$2Q = \nu^{-1}(N - 1) - (3 - \nu^{-1})(\lambda + 2);$$

$$\nu = 3/8, \bar{\nu} = \frac{1}{2}$$

$$\lambda = 3 \text{ (Pfaffian)}, -1 \text{ (Antipfaffian)}$$

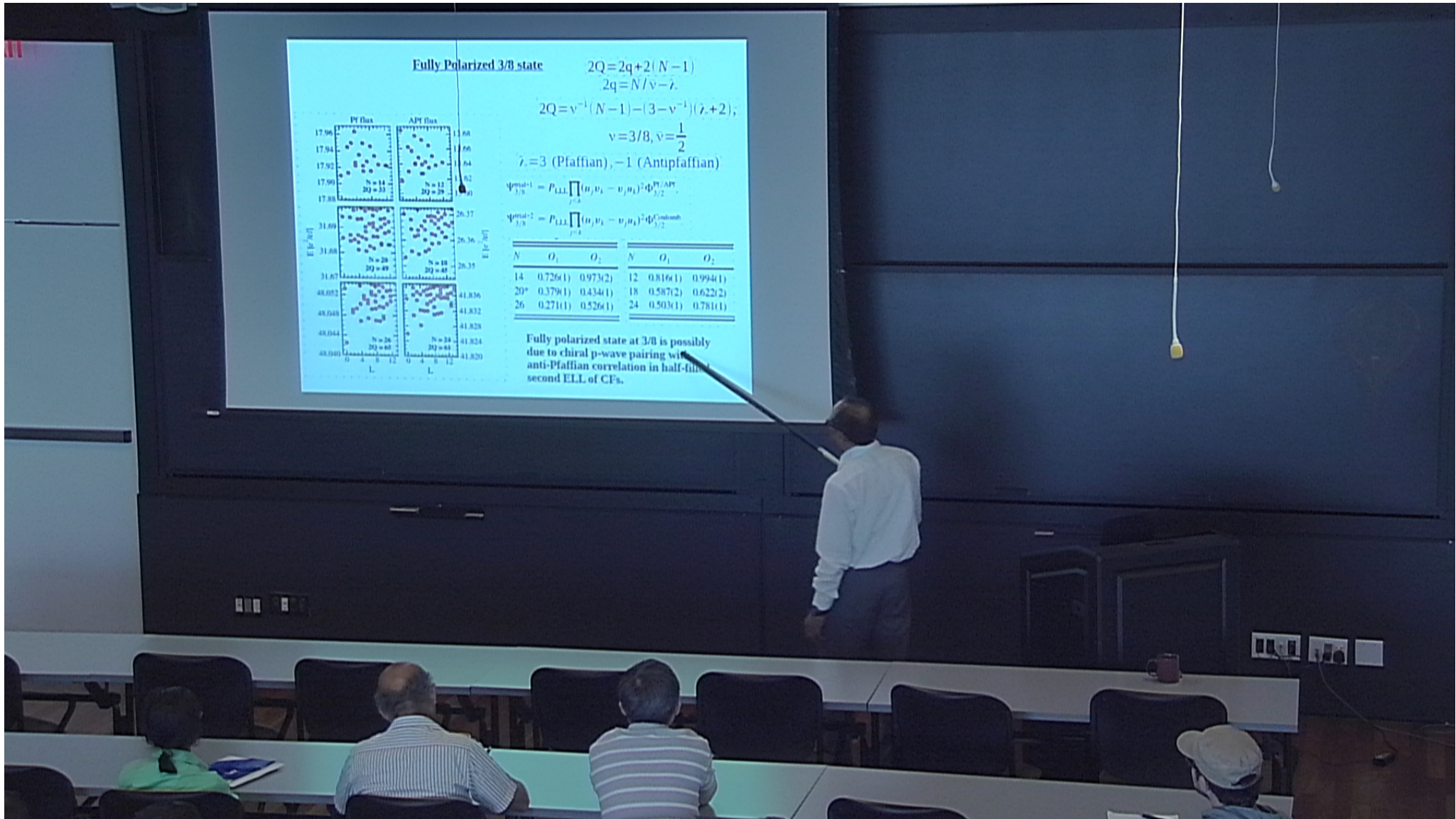
$$\Psi_{3/8}^{\text{trial-1}} = P_{\text{LLL}} \prod_{j < k} (u_j v_k - v_j u_k)^2 \Phi_{3/2}^{\text{Pf/APf}}$$

$$\Psi_{3/8}^{\text{trial-2}} = P_{\text{LLL}} \prod_{j < k} (u_j v_k - v_j u_k)^2 \Phi_{3/2}^{\text{Coulomb}}$$



$N$	$O_1$	$O_2$	$N$	$O_1$	$O_2$
14	0.726(1)	0.973(2)	12	0.816(1)	0.994(1)
20*	0.379(1)	0.434(1)	18	0.587(2)	0.622(2)
26	0.271(1)	0.526(1)	24	0.503(1)	0.781(1)

Fully polarized state at 3/8 is possibly due to chiral p-wave pairing with anti-Pfaffian correlation in half-filled second ELL of CFs.



**Fully Polarized 3/8 state**

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$$2q = N/\nu - \lambda$$

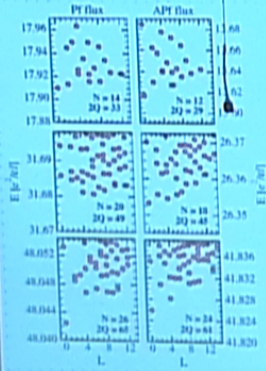
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$$\nu = 3/8, \nu = \frac{1}{2}$$

$\lambda = 3$  (Pfaffian),  $-1$  (Antipfaffian)

$$\Psi_{3/8}^{\text{anti-1}} = P_{LLL} \prod_{j < k} (u_j v_k - v_j u_k)^2 \Phi_{3/2}^{\text{Pf/APF}}$$

$$\Psi_{3/8}^{\text{anti-2}} = P_{LLL} \prod_{j < k} (u_j v_k - v_j u_k)^2 \Phi_{3/2}^{\text{anti-Pf/APF}}$$



$\Psi_{3/8}^{\text{anti-1}}$			$\Psi_{3/8}^{\text{anti-2}}$		
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## Character of “second generation” FQHE states

- (1) **Electrons capture 2 or 4 quantum vortices to form CFs**
- (2) **CFs fill the lowest ELL completely and the second ELL partially; CFs interact in the partially filled ELL.**
- (3) **FQHE of CFs in the second ELL caused by the IQHE of higher-order CFs which capture two more vortices does not take place.**  
SSM and Jain, PRB, 2002
- (4) **“WYQ” correlation causing FQHE of interacting CFs or their holes in the filling factors  $1/3, 2/3$  gives rise to FQHE states at  $(4/11, 4/13)$  .**  
Mukherjee, SSM, Wu, Wojs, and Jain, PRL, 2013
- (5) **“Ant-Pfaffian” pairing correlation causing FQHE for those interacting CFs at  $1/2$  filling gives rise to FQHE states at  $3/8$ .**  
Mukherjee, SSM, Wojs, and Jain, PRL, 2012; Mukherjee, Jain, and SSM, PRB, 2014
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## Dispersion using Single Mode Approximation

**Excited State:**  $\Psi_k = P_{LLL} \sum_{j=1}^N e^{i(k_x X_j + k_y Y_j)} \Psi_0.$

$$\Delta(k) = 2[\bar{S}(k)]^{-1} \int \frac{dq}{(2\pi)^2} \sin^2\left(\frac{\mathbf{k} \times \mathbf{q}}{2} l^2\right) e^{-k^2 l^2 / 2} \\ \times [v(|\mathbf{q} - \mathbf{k}|) e^{k \cdot (\mathbf{q} - \mathbf{k} / 2) l^2} - v(\mathbf{q})] \bar{S}(\mathbf{q}),$$

Girvin, MacDonald, and Platzamn, PRB, 1986

Energy dispersion for neutral excitations can be determined by calculating the pair-correlation function using the ground state wavefunction.

$$g(r) \sim \left\langle \sum_{i \neq j} \delta(\vec{r} - \vec{r}_i + \vec{r}_j) \right\rangle$$

$$\Psi_v^{uncon} = P_{LLL} \prod_{i < j} (z_i - z_j)^2 \Phi_{1+\bar{v}}^{WYQ}$$

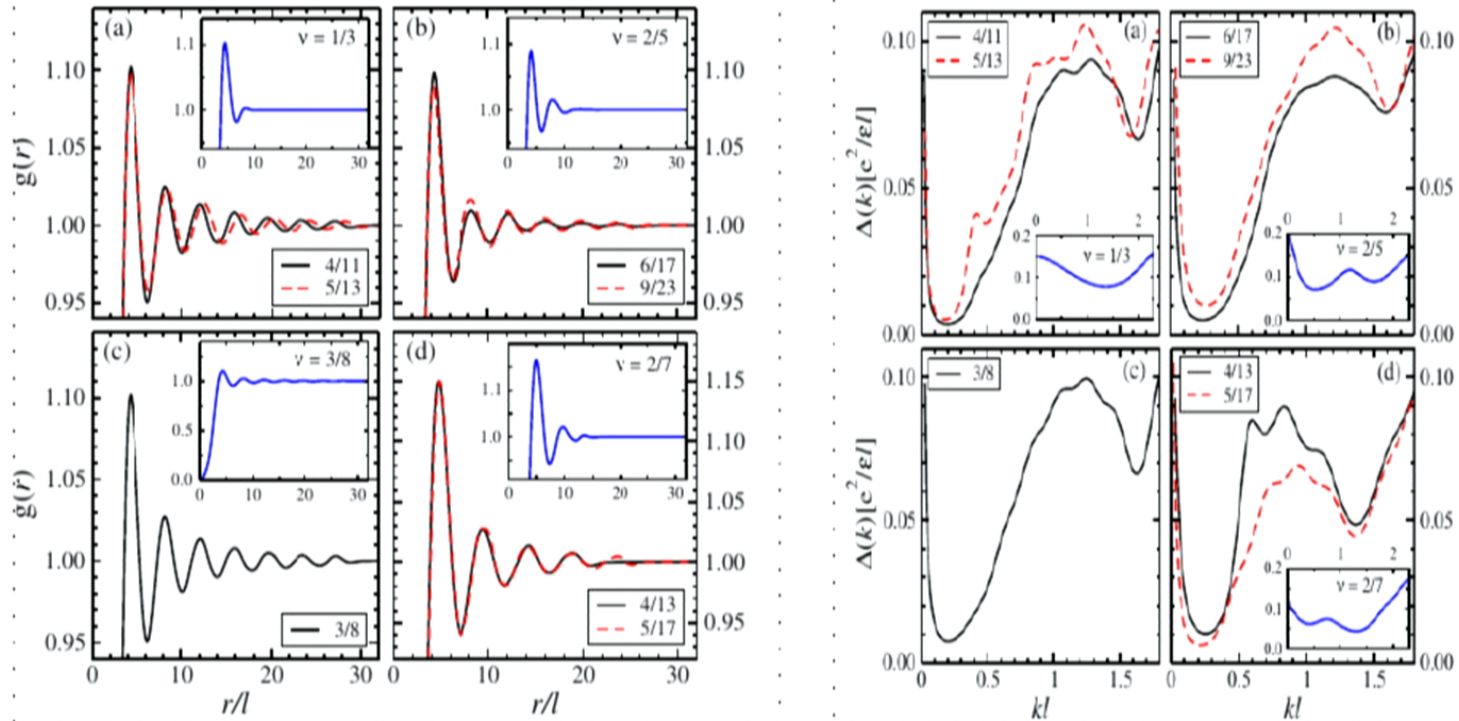
$$\bar{v} = 1/3, 2/3, \dots$$

$$\Psi_{3/8}^{uncon} = P_{LLL} \prod_{i < j} (z_i - z_j)^2 \Phi_{1+1/2}^{Apf}$$

$$v = 4/11, 5/13, \dots$$

# Pair-correlation Function and energy dispersion

S. Mukherjee and SSM, PRL, 2015

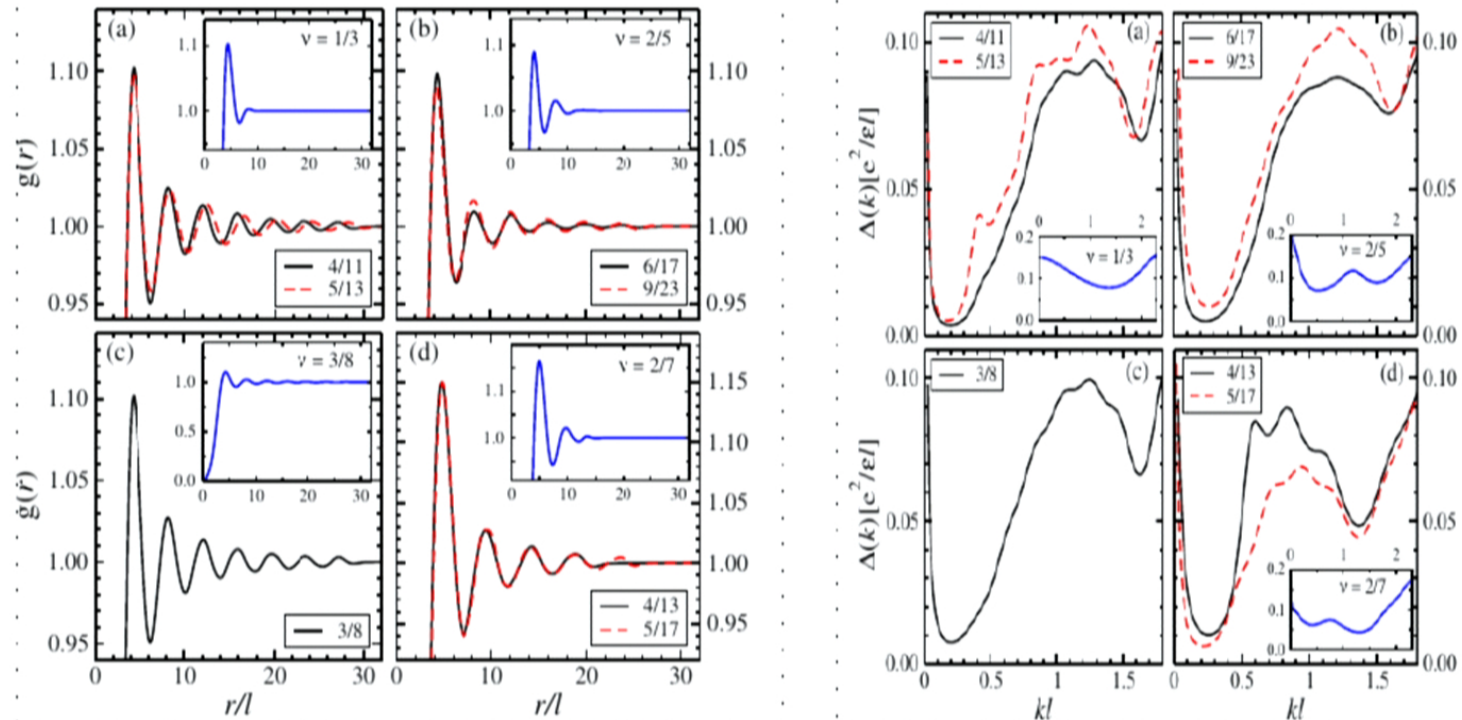


Magnetoroton minima in the energy range

$$0.004 - 0.011 e^2/\epsilon l \sim 0.05 - 0.14 \text{ meV}$$

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S. Mukherjee and SSM, PRL, 2015



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## Conclusion

**“Second Generation” FQHE states are novel as they emerge due to “unconventional” mechanisms of FQHE of composite fermions.**

**What will be the analytic forms of the wave functions that will describe FQHE in these states?**

**What will be the character of the edge states for these FQHE states?**