

Title: Introduction to exact WKB analysis I

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Abstract: Exact WKB analysis, developed by Voros et.al., is an effective method for the global study of differential equations (containing a large parameter) defined on a complex domain. In the first and second lecture I'll give an introduction to exact WKB analysis, and recall some basic facts about WKB solutions, Borel resummation, Stokes graphs etc.

On the other hand, cluster algebras are a particular class of commutative subalgebras of the field of rational functions with distinguished generators. I'll explain about a hidden cluster algebraic structure in exact WKB analysis in the third lecture. This is a joint work with Tomoki Nakanishi (Nagoya).

# Introduction to exact WKB

WKB method + Borel resummation  
(resurgent analysis)

- Voros 1983 ~
- Pham et al 1990 ~  
(Japanese var 1998)
- Kawai-Takei et al 1990 ~  
AMS 2005

Reference: Kawai-Takei  
Algebraic Analysis of Singular Perturbation  
Theory

§1

§2



- §1. WKB method )
- §2. Borel resummation ) today
- §3. Stokes graphs )
- §4. Voros' formula ) 2nd
- §5. Cluster algebraic aspects ) 3rd



## §1. WKB method

Schrödinger equation

$$\left( \frac{d^2}{dz^2} - \eta^2 Q(z, \eta) \right) \psi(z, \eta) = 0$$

$z$ : complex var.

$\eta$  large par. ( $= 1/\hbar$ )  $(\sim N)$

$$Q(z, \eta) = \underbrace{Q_0(z)} + \underbrace{\eta^{-1} Q_1(z)} + \dots + \underbrace{\eta^{-N} Q_N(z)}$$

rational functions



# §1. WKB method

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## §1. WKB method

• Schrödinger equation

$$\left( \frac{d^2}{dz^2} - \eta^2 Q(z, \eta) \right) \psi(z, \eta) = 0$$

Take  $\psi = \exp\left(\int^z S dz\right)$

$\rightarrow S^2 + \frac{dS}{dz} = \eta^2 Q(z, \eta)$  Riccati eq.

Formal sol.  $S(z, \eta) = \eta S_1(z) + S_0(z) + \eta^{-1} S_2(z) + \dots$

$z$ : complex var.

$\eta$  large par. ( $= 1/\hbar$ )  $(\hbar N)$

$$Q(z, \eta) = \underbrace{Q_0(z)} + \eta^{-1} \underbrace{Q_1(z)} + \dots + \eta^{-N} \underbrace{Q_N(z)}$$

rational functions



Recursion relation  $\Rightarrow \sum_{\pm} S_{\pm}^{(n)}(z) = \pm \sqrt{Q_0(z)}$

$$S_{-1}(z)^2 = Q_0(z)$$

$$2S_{-1}S_n + \sum_{\substack{n_1+n_2=n \\ n_i \geq 0}} S_{n_1}S_{n_2} + \frac{dS_{n-1}}{dz} = Q_{n+1}(z)$$

Fact

$$(z, \eta) = \pm \underbrace{S_{\text{odd}}}_{\text{anti inv.}} + \underbrace{S_{\text{even}}}_{\text{inv.}}$$

w.r.t. covering involution  
of  $\{y^2 = Q_0(z)\}$  "Spectral curve"

$$S_{\text{odd}} = \frac{1}{2}(S^{(+)} - S^{(-)})$$

$$S_{\text{even}} = -\frac{1}{2} \frac{1}{S_{\text{odd}}} \frac{dS_{\text{odd}}}{dz}$$

§1

§2



Recursion relation

$$S_{-1}(z)^2 = Q_0(z) \Rightarrow S_{\pm}^{(\pm)}(z) = \pm \sqrt{Q_0(z)}$$

$S_n$  is singular at zeros of  $Q$

$$2S_{n-1}S_n + \sum_{\substack{n_1+n_2=n-1 \\ n_i \geq 0}} S_{n_1}S_{n_2} + \frac{dS_{n-1}}{dz} = Q_{n+1}(z)$$

Fact  $S_{\pm}^{(\pm)}(z, \eta) = \pm S_{\text{odd}} + S_{\text{even}}$

$\uparrow$  anti inv.       $\uparrow$  inv.  
 w.r.t covering involutions  
 of  $\{y^2 = Q_0(z)\}$

$S_{\text{odd}} = \frac{1}{2}(\dots)$



Recursion relation

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$S_n$  is singular at zeros of  $Q_0(z)$

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'turning points'

$$S_{\text{odd}} = \frac{1}{2}(S^{(+)} - S^{(-)})$$

Fact  $S^{(\pm)}(z, \eta) = \pm S_{\text{odd}} + S_{\text{even}}$

anti inv.      inv.

w.r.t covering involutions of  $\{y^2 = Q_0(z)\}$  'Spectral curve'

$$S_{\text{even}} = -\frac{1}{2} \frac{1}{S_{\text{odd}}} \frac{dS_{\text{odd}}}{dz}$$

WKB

$$\psi_{\pm}$$




WKB solutions:

$$\psi_{\pm}(z, \eta) = \exp\left(\int_{z_0}^z S^{(\pm)}(z', \eta) dz'\right)$$

$z_0$  generic pt

$$\equiv \frac{1}{\sqrt{S_{\text{odd}}(z, \eta)}} \exp\left(\pm \int_{z_0}^z S_{\text{odd}}(z', \eta) dz'\right)$$

up to  
const

along a path on spectral curve  
avoiding turning points



$$\psi_{\pm}(z, \eta) = \exp(\pm a(z)\eta) \cdot \sum_{n=0}^{\infty} \eta^{-n-\frac{1}{2}} \psi_{\pm, n}(z)$$

$$a(z) = \int_{z_0}^z \sqrt{Q_0(z')} dz'$$

top term

$$\frac{1}{\sqrt{Q_0(z)}} \exp(\pm \eta a(z))$$

WKB approximation

$\psi_{\pm}$  is divergent in general:

$$|\psi_{\pm, n}(z)| \sim n! C^n \quad (C > 0)$$

curve



## §2. Borel resummation

$\mathcal{Y}_{\pm}$  is said to be "Borel summable"

if 
$$\mathcal{J}[\mathcal{Y}_{\pm}](z, \eta) = \int_0^{\infty} e^{-y\eta} \mathcal{Y}_{\pm, B}(z, y) dy$$

is well-defined.

Here 
$$\mathcal{Y}_{\pm, B}(z, y) = \sum_{n=0}^{\infty} \frac{\mathcal{Y}_{\pm, n}(z)}{\Gamma(n + \frac{1}{2})} (y \pm a(z))^{n - \frac{1}{2}} : \text{converged}$$

Borel tr. of  $\mathcal{Y}_{\pm}$  (= termwise inverse Laplace tr)



## §2. Borel resummation

$\mathcal{Y}_{\pm}$  is said to be "Borel summable"

if 
$$\mathcal{S}[\mathcal{Y}_{\pm}](z, \eta) = \int_0^{\infty} e^{-y\eta} \mathcal{Y}_{\pm, B}(z, y) dy$$

is well-defined.

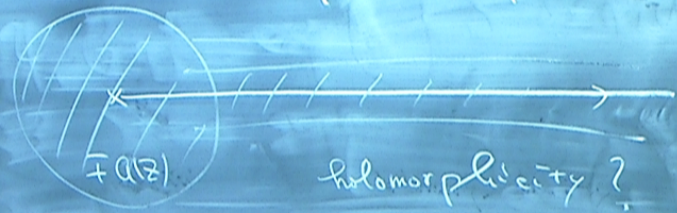
Here 
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Borel tr. of  $\mathcal{Y}_{\pm}$  (= termwise inverse Laplace tr)



as

$$|\psi_{\pm B}(z, \eta)| \leq C_1 e^{C_2 |\eta|}$$



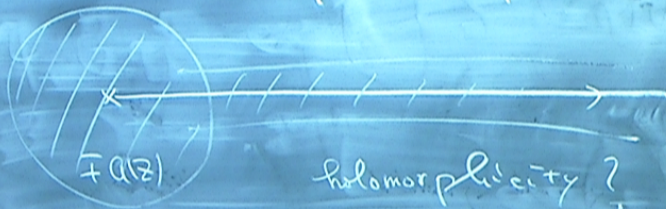
If  $\psi_{\pm}$  is Borel summable

then  $\mathcal{S}[\psi_{\pm}](z, \eta)$  gives a analytic solution of Sch eq.  
(not formal)

and  $\mathcal{S}[\psi_{\pm}](z, \eta) \underset{\text{asympt. exp.}}{\sim} \psi_{\pm}(z, \eta)$  for  $|\eta| \rightarrow +\infty$



$$|\psi_{\pm B}(z, \eta)| \leq C_1 e^{C_2 |\eta|}$$



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## §2. Borel resummation

$$\left(\frac{d}{dz}\right)^2 Q_0(z) \psi = \dots$$

Remark

$$\frac{\partial^2 \psi_{\pm, B}}{\partial z^2} = Q_0(z) \frac{\partial^2 \psi_{\pm, B}}{\partial y^2}$$

is well-defined.

$$\text{Here } \psi_{\pm, B}(z, y) := \sum_{n=0}^{\infty} \frac{\psi_{\pm, n}(z)}{\Gamma(n + \frac{1}{2})} (y \pm Q(z))^{n - \frac{1}{2}} \quad ; \text{ convergent}$$

Borel tr. of  $\psi_{\pm}$  (= termwise inverse Laplace tr)



Fact: The Bessel tr. of Airy WKB sol is given by

$$\mathcal{Y}_{\alpha, \beta}(z, y) = (\text{same factor}) {}_2F_1(\alpha, \beta, \gamma; t)$$

$$\alpha, \beta, \gamma \in \mathbb{C}$$

$$t = \frac{3}{4} \frac{y}{z^{3/2}} + \frac{1}{2}$$



$${}_2F_1(\alpha, \beta; \gamma; t) = \sum_{n=0}^{\infty} \frac{(\alpha)_n (\beta)_n}{(\gamma)_n n!} t^n \quad (\alpha)_n = \begin{cases} 1 & \text{if } n=0 \\ \alpha(\alpha-1)\dots(\alpha-n+1) & \text{else} \end{cases}$$

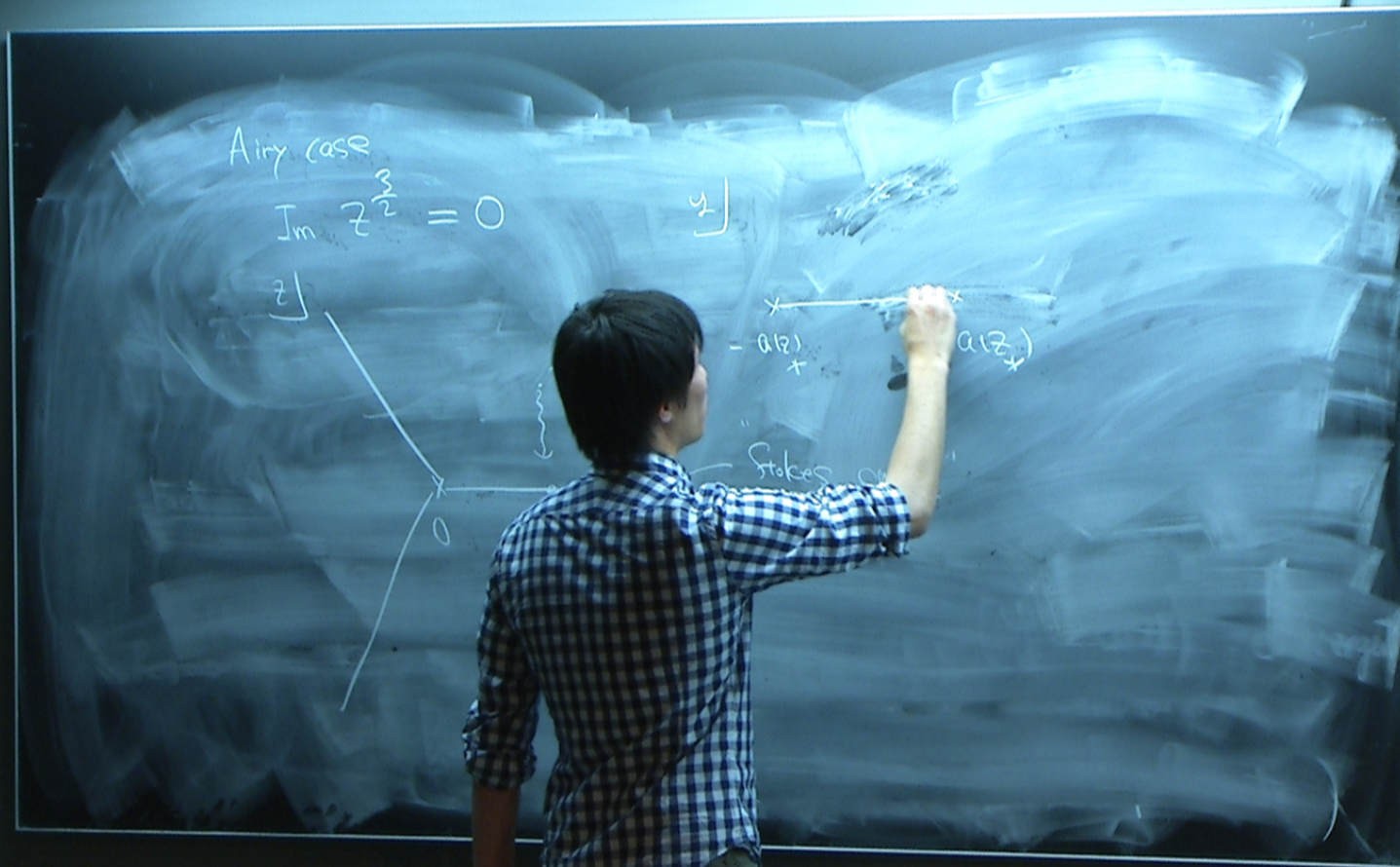
convergent  $|t| < 1$

Regular singular at  $t=0, 1, \infty$

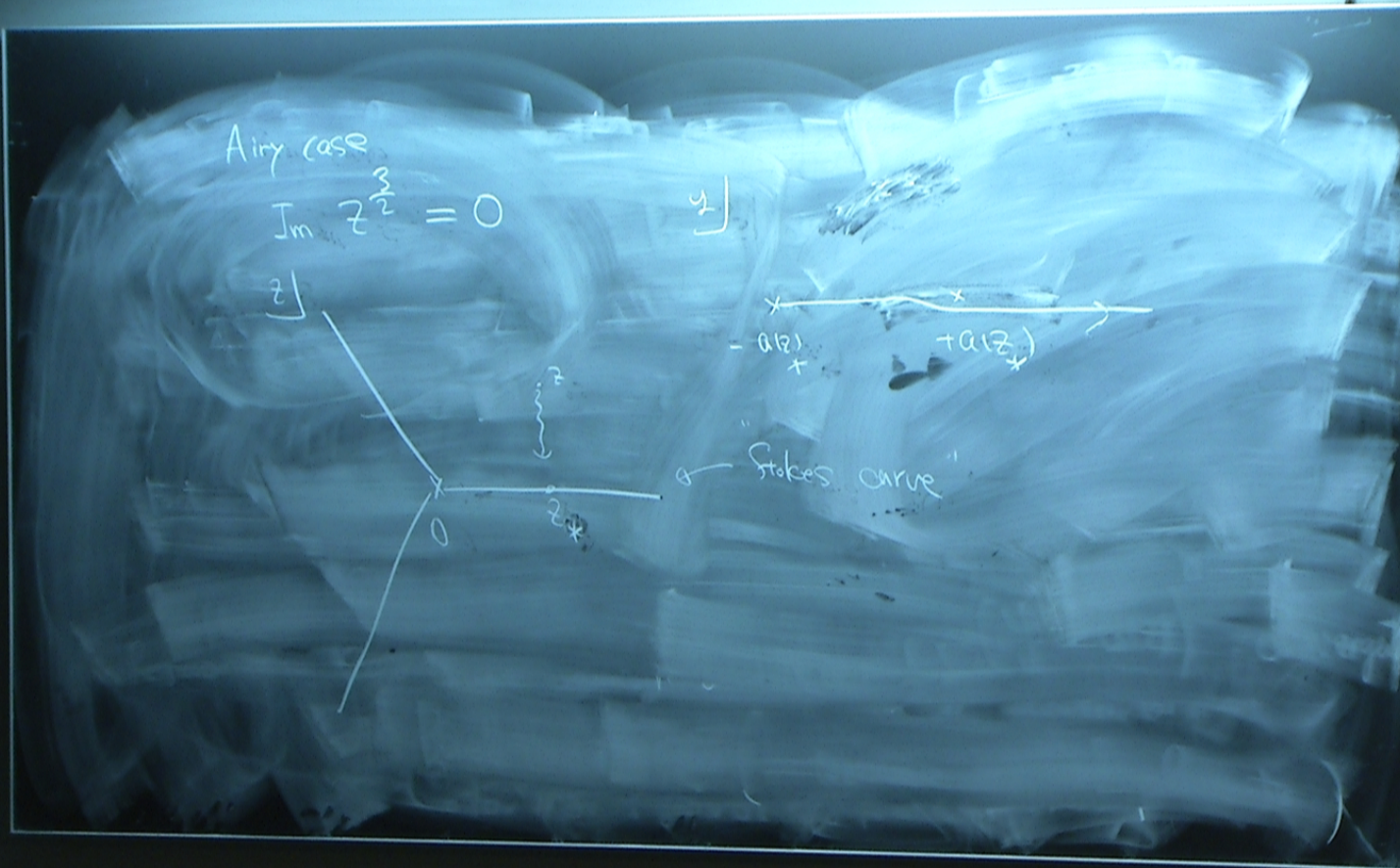
$\frac{\psi(A; \alpha; z)}{z^\alpha}$  is Borel summable if  $\text{Im}(\alpha(z)) \neq 0$

$\text{Im}(\alpha(z)) = 0$  Stokes curve







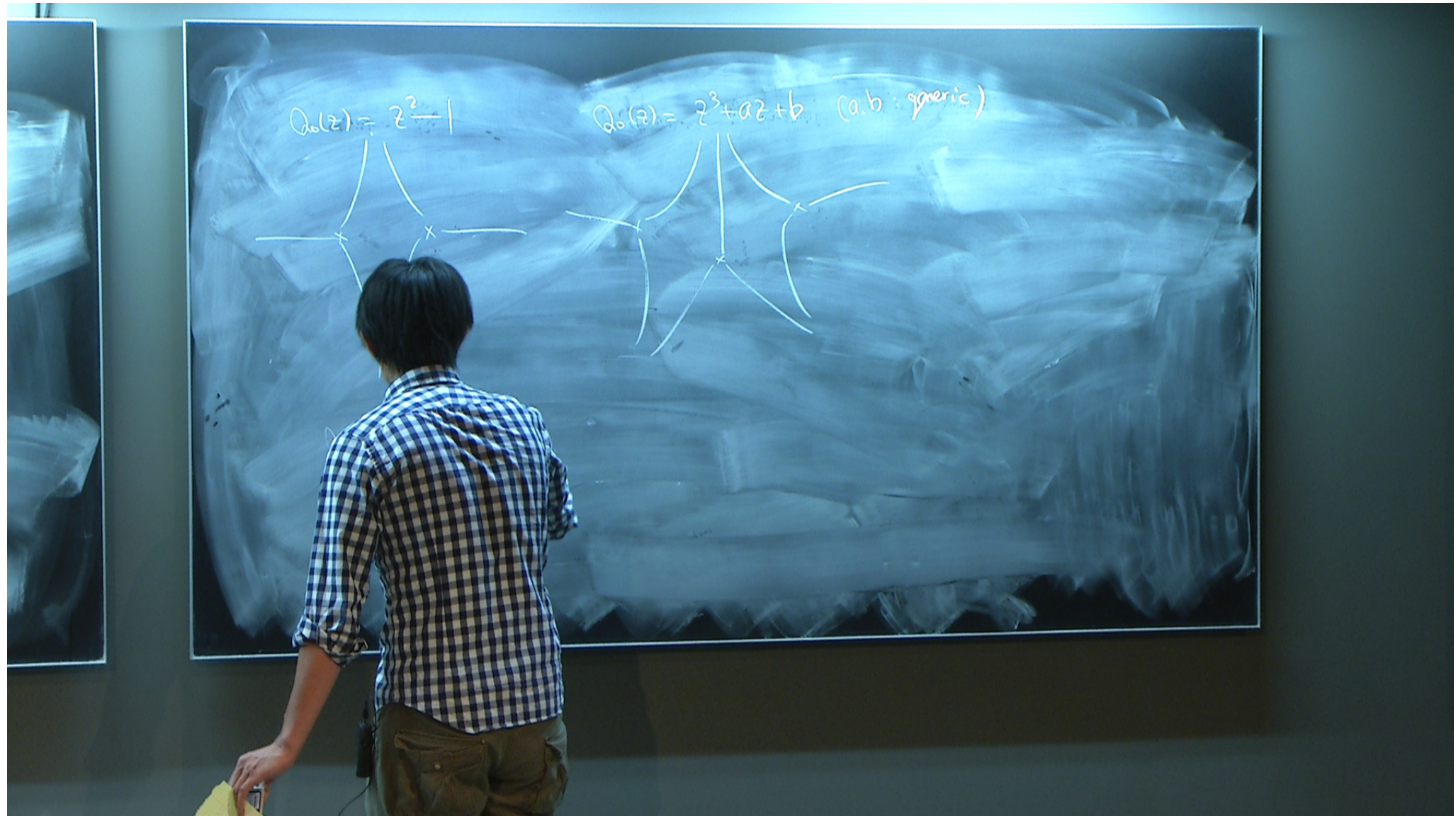




## § Stokes graph

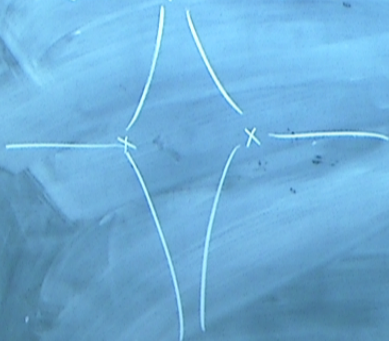
Stokes graph is the graph  
are turning points and poles of  $Q_0(z)$   
(zeros of  $Q_0(z)$ )



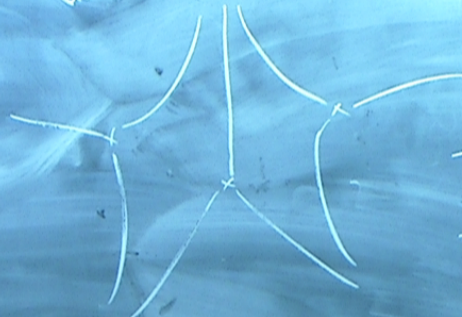




$$Q_0(z) = z^2 - 1$$



$$Q_0(z) = z^3 + az + b \quad (a, b: \text{generic})$$



Thm (Koitke-Schrofske)

If the Stokes graph has  
"no saddle"

then  $\mathcal{U}_{\pm}$  are Borel sumble  
on each Stokes region.

face of Stokes graph

$$Q_0(z) = 1 - z^2$$

