

Title: How does extended supersymmetry affect the elliptic genus?

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Abstract: The elliptic genus of K3 and its decomposition into characters of the N=4 superconformal algebra of associated conformal field theories can be viewed as the outset of Mathieu Moonshine. Thus, extended supersymmetry induces additional properties of the elliptic genus, which so far lack a satisfactory geometric interpretation. We investigate the implications of this decomposition on geometric structures that underlie the elliptic genus.

# How does extended supersymmetry affect the elliptic genus?

(MOCK) MODULARITY, MOONSHINE AND STRING THEORY  
Perimeter Institute, Waterloo, Canada, April 13-17, 2015

Katrin Wendland  
Albert-Ludwigs-Universität Freiburg



## The elliptic genus under extended supersymmetry

### Introduction

- 1 The elliptic genus of Calabi-Yau manifolds
- 2 Effects of extending the  $N = (2, 2)$  superconformal algebra
- 3 Interpretation and symmetry-surfing

[Creutzig/W15], [Carnahan/Grimm/W15] *work in progress*

[W15] *K3 en route from geometry to conformal field theory*;  
to appear in: Proceedings of the 2013 Summer School "Geometric, Algebraic and Topological Methods for Quantum Field Theory", Villa de Leyva, Colombia;  
arXiv:1503.08426 [math.DG]

[W14] *Snapshots of conformal field theory*;  
in "Mathematical Aspects of Quantum Field Theories", Mathematical Physics Studies, Springer 2015, pp. 89-129; arXiv:1404.3108 [hep-th]

[Taormina/W13] *A twist in the  $M_{24}$  moonshine story*, to appear in Confluentes Mathematici;  
arXiv:1303.3221 [hep-th]

[Taormina/W12] *Symmetry-surfing the moduli space of Kummer  $K3$ s*, to appear in: Proceedings of String-Math 2012; arXiv:1303.2931 [hep-th]

[Taormina/W11] *The overarching finite symmetry group of Kummer surfaces in the Mathieu group  $M_{24}$* , JHEP **1308**:152 (2013); arXiv:1107.3834 [hep-th]

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# 1. The elliptic genus of Calabi-Yau manifolds

Let  $M$  denote a compact Calabi-Yau  $D$ -fold,  $T := T^{1,0}M$ ,

$$\mathbb{E}_{q,-y} := y^{-\frac{D}{2}} \Lambda_{-y} T^* \otimes \bigotimes_{n=1}^{\infty} [\Lambda_{-yq^n} T^* \otimes \Lambda_{-y^{-1}q^n} T \otimes S_{q^n} T^* \otimes S_{q^n} T],$$

where for any bundle  $E \rightarrow M$ ,  $\Lambda_x E := \bigoplus_{m=0}^{\infty} x^m \Lambda^m E$ ,  $S_x E := \bigoplus_{m=0}^{\infty} x^m S^m E$

## Definition

With  $q := e^{2\pi i\tau}$ ,  $y := e^{2\pi iz}$  for  $\tau, z \in \mathbb{C}$ ,  $\text{Im}(\tau) > 0$ ,

$$\mathcal{E}_M(\tau, z) := \chi(\mathbb{E}_{q,-y}) = \int_M \text{Td}(M) \text{ch}(\mathbb{E}_{q,-y})$$

is the **ELLIPTIC GENUS** of  $M$ .

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$$E_H = \lambda(\mathbb{E}_{q, y}) \quad \begin{array}{c} c(\tau) = \prod_j (1 + x_j) \\ \downarrow \\ \int \prod_j x_j \end{array} \quad \frac{\mathcal{D}_1(\tau, z, -x_j)}{\mathcal{D}_1(\tau, z)}$$

$$\mathcal{D}_1(\tau, z) = i q^{1/8} y^{-1/2} \prod_{k=1}^{\infty} (1 - q^k)(1 - y^{-1} q^{k-1})(1 - y q^k)$$



$$D_1(\tau, z) = i q^{1/8} y^{-1/2} \prod_{k=1}^{\infty} (1 - q^k)(1 - y^{-1} q^{k-1})(1 - y q^k)$$

$q \rightarrow 0$   
 "no-loop  
 limit"

$$\chi(y^{-D/2} \Lambda_y T^+) = \text{Td}(M) \leftarrow_{k=1} \frac{\Lambda_y T^+}{ST^{(k \neq 1)}} \frac{\Lambda T}{ST}$$

"graded Euler"



expect:  $E_M(\tau, z) = \text{str}_{\text{HNS}} \left( y^{\tau_0 - \frac{\epsilon}{6}} \overset{\text{top}}{L_0} \right)$

for SCFT = NLSM(M),  $\overset{\text{top}}{L_0} = L_0 - \frac{1}{2} \tau_0$   
 $c = 3D$

CAUTION

THE BOARD OR BOARD WITH WRITING SURFACE  
 SHOULD BE USED BY THE BOARD OR THE BOARD  
 IT IS PROHIBITED TO WRITE  
 WITH OTHER OBJECTS  
 PLEASE RESPECT BOARD



expect:  $E_M(\tau, z) \underset{\tau \rightarrow \infty}{\sim} \text{str HNS} \left( y^{\tau_0 - \frac{c}{6}} L_0^{\text{top}} \right)$

for SCFT = NLSM(M),  $L_0^{\text{top}} = L_0 - \frac{1}{2} \tau_0$   
 $c = 3D$

ok:  $M = \mathbb{C}^D / \mathcal{N} + \text{orbifolds}$

CAUTION

IT IS IMMEDIATELY TO BE  
 REMOVED FROM THE AREA  
 OF THE BOARD

PLEASE RETURN BOARD



expect:  $E_M(\tau, z) \underset{\tau \rightarrow 0}{=} \text{str} \text{HNS} \left( y^{\tau_0 - \frac{\epsilon}{6}} q^{L_0^{\text{top}}} \right)$

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## Chiral de Rham complex

**Definition [Malikov/Schechtman/Vaintrob99]**

For open  $U \subset M$  with local holomorphic coordinates  $z^1, \dots, z^D$ :

$\Omega_M^{ch}(U) :=$  Fock space for the fields  $\phi^j, p_j, \psi^j, \rho_j, j \in \{1, \dots, D\}$ ,  
( $D$  copies of a  $(bc - \beta\gamma)$ -system)

where  $\phi^j \leftrightarrow z^j, p_j \leftrightarrow \frac{\partial}{\partial z^j}, \psi^j \leftrightarrow dz^j, \rho_j \leftrightarrow \frac{\partial}{\partial(dz^j)}$ .

This yields a sheaf of vertex algebras over  $M$ ,

the CHIRAL DE RHAM COMPLEX  $\Omega_M^{ch}$ .

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### **Theorem [Malikov/Schechtman/Vaintrob99; Borisov/Libgober00]**

$H^*(M, \Omega_M^{ch})$  carries the structure of a topological  $N = 2$  superconformal vertex algebra, induced from globally well-defined fields on  $M$ ,

$$L^{top} = - : p_j \partial \phi^j : - : \rho_j \partial \psi^j :, \quad J = : \rho_j \psi^j :, \quad Q = - : \psi^j p_j :, \quad G = : \rho_j \partial \phi^j :.$$

The elliptic genus  $\mathcal{E}_M(\tau, z)$  is the bigraded Euler characteristic of  $\Omega_M^{ch}$ .



$$\mathcal{D}_1(\tau, z) = i q^{1/8} y^{-1/2} \prod_{k=1}^{\infty} (1 - q^k)(1 - y^{-1} q^{k-1})(1 - y q^k)$$

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$$\frac{\Lambda_{T^+}}{\Lambda_T} \rightsquigarrow \rho_{j|k}$$

$Td(M)$   
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$$ST^{(k \neq 1)} \downarrow \varphi_{j|k-1}$$

$$ST \rightsquigarrow \rho_{j|k}$$

$$\chi(y^{-D/2} \Lambda_y T^+)$$



for SCFT = NLSM(M),  $L_0^{\text{top}} = L_0 - \frac{1}{2}c$   
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ok:  $M = \mathbb{C}^D / N + \text{orbifolds}$

$M \rightsquigarrow H^+(\mathcal{M}, \Omega^{\text{ch}}) \rightsquigarrow \mathcal{E}_N(\tau_2)$   
VOA

model:  $\mathbb{E}_{g_1 - y}$

CAUTION

DO NOT TOUCH THE BOARD WHEN  
IT IS BEING USED BY OTHERS  
IF IT IS NECESSARY TO OPEN  
THE BOARD, PLEASE ASK  
THE ASSISTANT



?

calculate VOA  
 $H^+$  (M,  $\Omega^{cm}$ )

ok.  
 $C^0/A$ ,

kurve  
KS,

CAUTION

DO NOT TOUCH THE CONTROL PANEL  
OR THE SURFACE OF THE BOARD

IF AN EMERGENCY OCCURS  
PLEASE CONTACT THE INSTRUCTOR

AVOID EXCESSIVE NOISE





• calculate VOA  
 $H^+ (17, \Omega^{(4)})$

• dependence on  
cpx str.?

• relation to NLSM  
Γ Witten '92 top half twist  
+ Kapustin 06 (large vol. limit)

ok.  
CP/A, ✓

Kummer  
K3, ✓

[Carrollian]

Grimm/W

work

in progress

CAUTION

DO NOT TOUCH THE BOARD WHEN  
POWER IS ON. PLEASE CONTACT THE  
TECHNICAL SUPPORT TEAM IF YOU  
NEED ASSISTANCE.



## Chiral de Rham complex

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The elliptic genus  $\mathcal{E}_M(\tau, z)$  is the bigraded Euler characteristic of  $\Omega_M^{ch}$ .

if  $\mathcal{E}_H(\tau, z) = \text{str}_{\text{HNS}}(\dots)$   
with extended SUSY : decompose!

CAUTION

ALL RISKS OF COLLISION ARE REDUCED TO A  
MINIMUM BY THE DESIGN OF THE MACHINE.  
IT IS ESSENTIAL TO OPERATE THE MACHINE  
WITH CARE AND ATTENTION.

## 2. Extending to $N = (4, 4)$ supersymmetry

Assume:  $D = 2$ , i.e.  $c = 6$  and  $N = (4, 4)$  supersymmetry.

3 types of  $N = 4$  irreps  $\mathcal{H}_\bullet$  with  $\chi_\bullet(\tau, z) = \text{sTr}_{\mathcal{H}_\bullet} (y^{J_0} q^{L_0 - 1/4})$ :  
VACUUM  $\mathcal{H}_0$ , MASSLESS MATTER  $\mathcal{H}_{m.m.}$ , MASSIVE MATTER  $\mathcal{H}_{h>0}$ .

$$\mathcal{E}_{K3}(\tau, z) = -2\chi_0(\tau, z) + 20\chi_{m.m.}(\tau, z) + \sum_{n=1}^{\infty} A_n \chi_n(\tau, z)$$



Proof (with T. Creutzig)

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$T$ : SU(2) principal bundle

$$\begin{array}{l} \mathbb{E}_{q_1-y} \\ \xrightarrow[\text{dist.}]{\text{holonomy}} \end{array} \mathbb{E}_{q_1-y} \quad \text{---} \text{---} \text{---} \quad \bigoplus_{n=1}^{\infty} W_n \oplus M_n \quad \leftarrow \text{multipl.}$$

$\uparrow$   
n-dim  
indep. of SU(2)

$S^{n-1}(T)$



Proof (with T. Creutzig)

Creutzig (Höhn '13)

T:  $SU(2)$  principal bundle

$\mathbb{F}_{q_1-y}$   
holonomy  
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— " —

$$\mathbb{F}_{q_1-y} = \bigoplus_{n=1}^{\infty} W_n \otimes M_n \leftarrow \text{multipl.}$$

$\uparrow$   
n-dim  
irrep. of  $SU(2)$

$$= \bigoplus_{n=1}^{\infty} S^{n-1}(T) \otimes \chi_n(\mathbb{Z}_2)$$

$\uparrow$   
 $\mathbb{Z}(N=4 \text{ char.})$

$\uparrow$   
N=4  
action  
(since  $SU(2)$ -  
inv.)

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### Conjecture [W13]

Let  $M=K3$ . There are polynomials  $p_n$  for every  $n \in \mathbb{N}$ , such that

$$\mathbb{E}_{q,-y} = -\mathcal{O}_{K3} \chi_0(\tau, z) - T \chi_{m.m.}(\tau, z) + \sum_{n=1}^{\infty} p_n(T) \chi_n(\tau, z),$$

where  $A_n = \int_{K3} \text{Td}(K3) p_n(T) = \chi(p_n(T))$  for all  $n \in \mathbb{N}$ .

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if  $E_n(\tau, z) = \text{str}_{\text{HNS}}$  ( ... )  
 with extended SUSY : decompose!

$$\chi(\mathbb{P}^n(\mathbb{T})) \rightarrow \dim \mathbb{P}^n = A_n \longleftrightarrow \text{Rep}(\mathbb{T}_2)$$

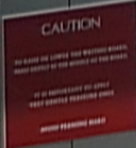
$$\downarrow$$

$$H^+(\mathbb{P}^n(\mathbb{T}))$$

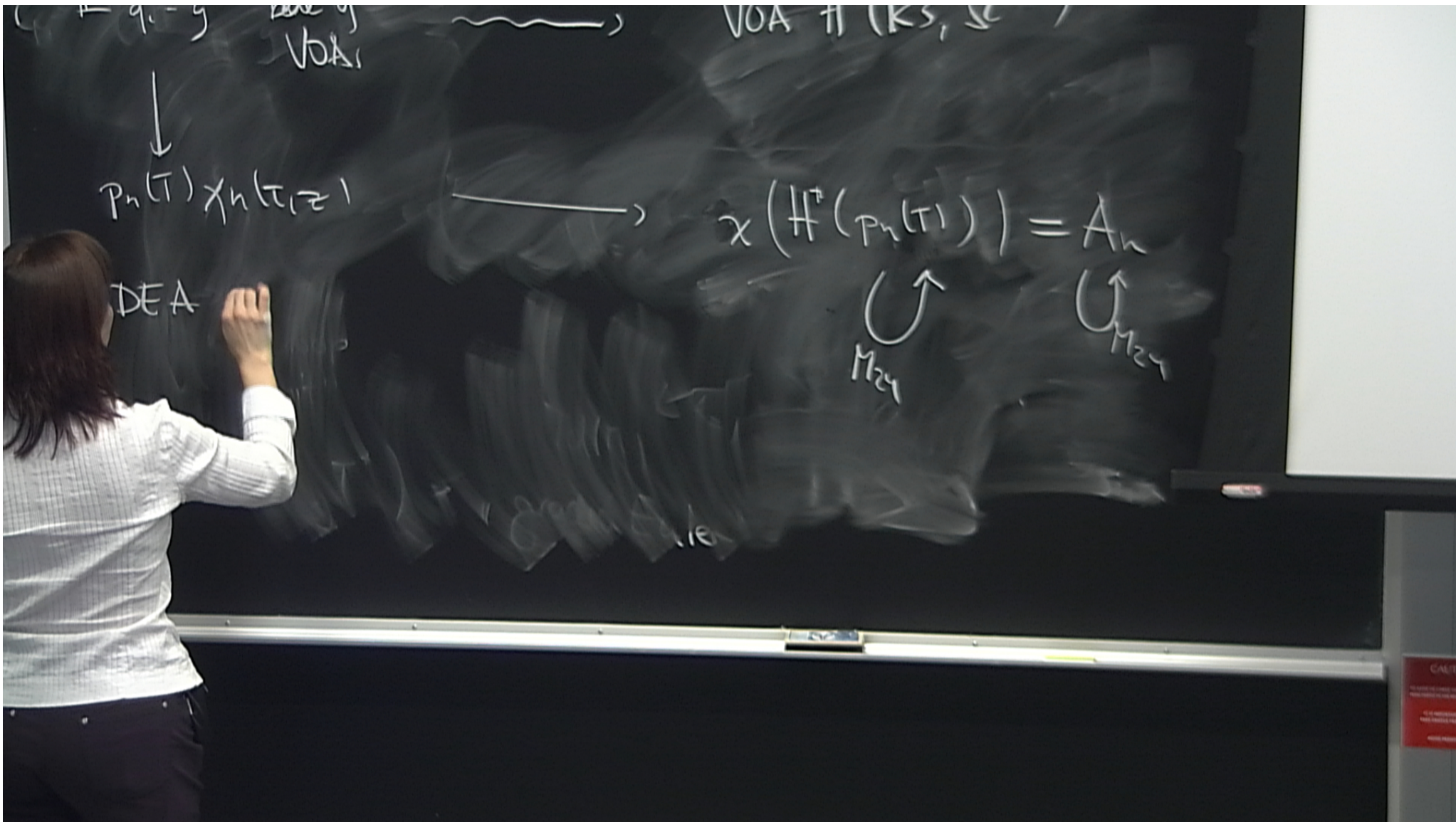
Γ Eguchi / Ooguri / Tachikawa '10  
 the audience  
 (annou'12)

! decompose  $\#_{g, y}$

$$\mathbb{P}^n \mathbb{T} = S^2 \mathbb{T}$$









work a coset  $\tilde{\mathcal{M}}$  of moduli space of  $MSFT(K3)$

e.g. for  $\mathbb{Z}_2$ -orbifold CFTs:

choose geom. interpr. on  $T_{11}/\mathbb{Z}_2, T_{11} = \mathbb{C}^2/\Lambda$

$$\wedge^2 \mathbb{C}^2 \cong \mathbb{F}_2^4$$

↑  
choose!



work a case  $\mathcal{M}$  of moduli space of  $MSM(K3)$

e.g. for  $\mathbb{Z}_2$ -orbifold CFTs:

choose geom. interpr. on  $T_{1/2\Lambda} \sim T_{\Lambda} = \mathbb{C}^2/\Lambda$

$$\uparrow_{1/2\Lambda} \cong \mathbb{F}_2^4$$

↑  
choose!

(  $\rightsquigarrow$  common marking

$$H^{\mathbb{Z}_2}(T_{1/2\Lambda}, \mathbb{C}) \cong \text{standard lattice}$$



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$$\Lambda/2\Lambda \stackrel{\sim}{=} \mathbb{F}_2^4$$

↑  
choose!

(  $\rightsquigarrow$  common marking

$H^2(T_{1/2\Lambda}, \mathbb{Z}) \stackrel{\sim}{=} \text{standard lattice}$



1. [TW11] :  $M_1, M_2 \in \tilde{\mathcal{M}}$   
 $H^*(\pi_{k_i}, \mathbb{Z}) \xrightarrow[\cong]{\theta} \boxed{NA_i^{24}}$   
 $\uparrow \cong_{G_k}$   $\uparrow \cong_{G_k}$   
 $\mathbb{Z}_2^4 \Delta A_7$

2. [TW12]  $\mathbb{F}_2^4$   
 $\uparrow \cong_{G_k}$   
 $\mathbb{Z}_2^4 \Delta A_8 \in \pi_{13}$   
 [TW13]  $\mathcal{R}_1$  : space of states common to all  $\mathbb{Z}_2$ -orbifold CFT on  $k_1$

## Symmetry-surfing: Symmetries of $\mathbb{Z}_2$ -orbifold CFTs on K3

$G$ : **geometric** symmetry group of a  $\mathbb{Z}_2$ -orbifold CFT  
with **geometric interpretation** on  $X = T_\Lambda/\mathbb{Z}_2$ ,  $T_\Lambda = \mathbb{C}^2/\Lambda$

$$\implies G = (\mathbb{Z}_2)^4 \rtimes G_\Lambda,$$

using [Fujiki88]  $\implies (\mathbb{Z}_2)^4$  acts by shifts on  $\Lambda/2\Lambda$ ,  $G_\Lambda \subset \text{SO}(3)$

- $G_\Lambda \subset G_{\Lambda_k}$ , one of three **maximal finite groups**

$$G_{\Lambda_1} = A_4, \quad G_{\Lambda_2} = S_3, \quad G_{\Lambda_0} = \mathbb{Z}_2^2$$

- our choice of **lattice generators**  $\Lambda = \text{span}_{\mathbb{C}}\{\lambda_1, \lambda_2, \lambda_3, \lambda_4\}$  for the corresponding **maximally symmetric** lattices  $\Lambda_k$  is

$$\Lambda_1 = \text{span}_{\mathbb{Z}}\{(1,0,0,0), (0,1,0,0), (0,0,1,0), \frac{1}{2}(1,1,1,1)\},$$

$$\Lambda_2 = \text{span}_{\mathbb{Z}}\{(1,0,0,0), \frac{1}{2}(-1, \sqrt{3}, 0, 0), (0,0,1,0), \frac{1}{2}(0,0, -1, \sqrt{3})\},$$

$$\Lambda_0 = \text{span}_{\mathbb{Z}}\{(1,0,0,0), (0,1,0,0), (0,0,1,0), (0,0,0,1)\}.$$

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$G$ : **geometric** symmetry group of a  $\mathbb{Z}_2$ -orbifold CFT  
 with **geometric interpretation** on  $X = T_\Lambda/\mathbb{Z}_2$ ,  $T_\Lambda = \mathbb{C}^2/\Lambda$   
 $\implies G = (\mathbb{Z}_2)^4 \rtimes G_\Lambda \subset (\mathbb{Z}_2)^4 \rtimes \text{GL}_4(\mathbb{F}_2) \stackrel{[\text{Jordan1870}]}{\cong} (\mathbb{Z}_2)^4 \rtimes A_8 \subset M_{24}$ ,  
 $\mathbb{F}_2^4 \cong \Lambda/2\Lambda$ ,  $G_\Lambda \subset \text{SO}(3)$   
 using  $[\text{Fujiki88}]$   
 $\implies$

- $G_\Lambda \subset G_{\Lambda_k}$ , one of three **maximal finite groups**

$$G_{\Lambda_1} = A_4, \quad G_{\Lambda_2} = S_3, \quad G_{\Lambda_0} = \mathbb{Z}_2^2$$

- our choice of **lattice generators**  $\Lambda = \text{span}_{\mathbb{C}}\{\lambda_1, \lambda_2, \lambda_3, \lambda_4\}$   
 for the corresponding **maximally symmetric** lattices  $\Lambda_k$  is

$$\Lambda_1 = \text{span}_{\mathbb{Z}}\{(1,0,0,0), (0,1,0,0), (0,0,1,0), \frac{1}{2}(1,1,1,1)\},$$

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## Symmetry-surfing: Symmetries of $\mathbb{Z}_2$ -orbifold CFTs on K3

$G$ : **geometric** symmetry group of a  $\mathbb{Z}_2$ -orbifold CFT  
 with **geometric interpretation** on  $X = T_\Lambda/\mathbb{Z}_2$ ,  $T_\Lambda = \mathbb{C}^2/\Lambda$   
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## Symmetry surfing the moduli space of Kummer K3s

### Results [Taormina/W11&12&13]

- For the  $\mathbb{Z}_2$ -orbifold CFTs on K3 with geometric interpretation on some  $X = \widetilde{T_\Lambda/\mathbb{Z}_2}$  ( $T_\Lambda = \mathbb{C}^2/\Lambda$ ), the joint action of all symmetry groups yields the maximal subgroup  $(\mathbb{Z}_2)^4 \rtimes A_8 \subset M_{24}$ .

Note:  $(\mathbb{Z}_2)^4 \rtimes A_8$  is not a subgroup of  $M_{23}$ .

- There is a 90-dim. space  $\mathcal{R}_1$  of states common to all K3-theories that are  $\mathbb{Z}_2$ -orbifolds of toroidal theories. As common representation space of all geometric symmetry groups of Kummer K3s,  $\mathcal{R}_1$  carries an action of  $(\mathbb{Z}_2)^4 \rtimes A_8$  induced from  $\mathcal{R}_1 \cong \mathbf{45} \oplus \overline{\mathbf{45}}$  with irreps  $\mathbf{45}, \overline{\mathbf{45}}$  of  $M_{24}$ .

Note: There is a twist in this action of the groups  $G_{\Lambda_1}, G_{\Lambda_2}, G_{\Lambda_0}$  on  $\mathcal{R}_1 \cong \mathbf{15} \otimes (\mathbf{3} \oplus \overline{\mathbf{3}})$ .

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