

Title: U-duality, exotic instantons and automorphic forms on Kac-Moody groups

Date: Apr 14, 2015 11:00 AM

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Abstract:

Talk based on:

[1312.3643] w/ Kleinschmidt & Fleig

[1412.5625] w/ Gustafsson & Kleinschmidt

+ big review in progress w/ Fleig, Gustafsson & Kleinschmidt



## Motivation

Understand the structure of **string interactions**



Strongly constrained by **symmetries!**

- supersymmetry
- U-duality



amplitudes have intricate  
arithmetic structure  $G(\mathbb{Z})$

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Understand the structure of **string interactions**



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amplitudes have intricate  
**arithmetic structure**  $G(\mathbb{Z})$

Symmetry constrains interactions, leads to insights about:

- ultraviolet properties of gravity
- non-perturbative effects (black holes, instantons)
- novel mathematical predictions from physics





# Toroidal compactifications yield the famous chain of **U-duality groups**

[Cremmer, Julia][Hull, Townsend]



$D$	$G$	$K$	$G(\mathbb{Z})$
10	$SL(2, \mathbb{R})$	$SO(2)$	$SL(2, \mathbb{Z})$
9	$SL(2, \mathbb{R}) \times \mathbb{R}^+$	$SO(2)$	$SL(2, \mathbb{Z})$
8	$SL(3, \mathbb{R}) \times SL(2, \mathbb{R})$	$SO(3) \times SO(2)$	$SL(3, \mathbb{Z}) \times SL(2, \mathbb{Z})$
7	$SL(5, \mathbb{R})$	$SO(5)$	$SL(5, \mathbb{Z})$
6	$Spin(5, 5, \mathbb{R})$	$(Spin(5) \times Spin(5))/\mathbb{Z}_2$	$Spin(5, 5, \mathbb{Z})$
5	$E_6(\mathbb{R})$	$USp(8)/\mathbb{Z}_2$	$E_6(\mathbb{Z})$
4	$E_7(\mathbb{R})$	$SU(8)/\mathbb{Z}_2$	$E_7(\mathbb{Z})$
3	$E_8(\mathbb{R})$	$Spin(16)/\mathbb{Z}_2$	$E_8(\mathbb{Z})$

**Physical couplings** are given by **automorphic forms** on

$$G(\mathbb{Z}) \backslash G(\mathbb{R}) / K$$

Green, Gutperle, Sethi, Vanhove, Kiritsis, Pioline, Obers, Kazhdan, Waldron, Basu, Russo, Cederwall, Bao, Nilsson, D.P., Lambert, West, Gubay, Miller, Fleig, Kleinschmidt, ...

theoretic vertices)

$$\text{with } X, \exists G^X\text{-mod. } K^X = \bigoplus_{r(\text{mod}) \geq 0} \bigoplus_{d \geq 0} K_{r,d}^X$$

$$\text{dim } \delta_{r,X} + \sum_{d \geq 0} \text{tr}(g | K_{r,d}^X) q^d$$

as described by Cheng-D-Harnay

$$-q \delta_{r,X} \delta_{r,1/2} + \sum_{d \geq 0} c_{r,1/2}^X(-4\text{mod}, r) q^d \quad \chi \in \text{Dir}(\mathbb{C})$$

mult. of  $\chi$  in  $K_{r,d}^X$

$$r) \in \mathbb{Z}_{\geq 0} \quad \forall \chi, d, r$$

w-ly. mng

Below D=3 U-duality conjecturally becomes infinite-dimensional

- |   |     |                      |                      |
|---|-----|----------------------|----------------------|
| • | $D$ | $G(\mathbb{R})$      | $G(\mathbb{Z})$      |
|   | 2   | $E_6(\mathbb{R})$    | $E_6(\mathbb{Z})$    |
|   | 1   | $E_{10}(\mathbb{R})$ | $E_{10}(\mathbb{Z})$ |
| • | 0   | $E_{11}(\mathbb{R})$ | $E_{11}(\mathbb{Z})$ |
- Can we understand physical couplings in terms of automorphic forms on Kac-Moody groups?
  - Yes, but many issues to be overcome:
    - mathematical theory much less developed
    - new exotic instanton effects
    - unclear how to define U-duality invariant effective actions



theoretic vertices)

$$\text{with } X, \exists G^X\text{-mod. } K^X = \bigoplus_{r \pmod{d}} \bigoplus_{d \geq 0} K_{r,d}^X$$

$$\text{dim } \delta_{r,X} + \sum_{d \geq 0} \text{tr}(g | K_{r,d}^X) q^d$$

as described by Cheng-D-Huang

$$q^{-\frac{1}{2} \text{dim}} \delta_{r,X} \delta_{\chi/1} + \sum_{d \geq 0} c_{\chi/1}^X(-q \text{ mod } r) q^d \quad \chi \in \text{Dir}(G)$$

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w-ly many

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Can we understand physical couplings in terms of automorphic forms on **Kac-Moody groups**?

Yes, but many issues to be overcome:

- mathematical theory much less developed
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theoretic vertices)

$$\text{with } X, \exists G^X\text{-mod. } K^X = \bigoplus_{r(\text{mod}) \geq 0} \bigoplus_{d \geq 0} K_{r,d}^X$$

$$\text{dim } \delta_{r,X} + \sum_{d \geq 0} \text{tr}(g | K_{r,d}^X) q^d$$

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$$- \sum_{r \in \mathbb{Z}} \delta_{r,X} \delta_{r,Y} + \sum_{d \geq 0} c_{r,d}^X(-q \text{ mod } r) q^d \quad \chi \in \text{Der}(C)$$

↑  
mult. of  $\chi$  in  $K_{r,d}^X$

$$r \in \mathbb{Z}_{\geq 0} \quad \forall \chi, d, r \quad \text{w.g. many}$$

Below D=3 U-duality conjecturally becomes infinite-dimensional

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- 
- Can we understand physical couplings in terms of automorphic forms on Kac-Moody groups?
- 

In this talk I will present recent progress in this endeavour!



## Outline

1. Eisenstein series and U-duality
2. Automorphic forms on Kac-Moody groups
3. Conclusions and future directions

theoretic vertices)

with  $X, \exists G^X\text{-mod. } K^X = \bigoplus_{r(\text{mod}) \geq 0} \bigoplus_{d \geq 0} K_{r,d}^X$

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$q^{-\text{dim}} \delta_{r,d} \delta_{\chi/1} + \sum_{d \geq 0} c_{\chi/1}^X(-\text{mod}, r) q^d$   $\chi \in \text{Der}(\mathbb{C})$

$r \in \mathbb{Z}_{\geq 0}$   $\forall \chi, d, r$   $\uparrow$   $\infty$ -deg. mod

$\uparrow$  mult. of  $\chi$  in  $K_{r,d}^X$

## Higher-derivative action in type II string theory on tori

$$\int d^{10-n}x \sqrt{G} \left[ (\alpha')^3 f_0(g) \mathcal{R}^4 + (\alpha')^5 f_4(g) \partial^4 \mathcal{R}^4 + \dots \right]$$

## Higher-derivative action in type II string theory on tori

$$\int d^{10-n}x \sqrt{G} [(\alpha')^3 f_0(g) \mathcal{R}^4 + (\alpha')^5 f_4(g) \partial^4 \mathcal{R}^4 + \dots]$$

→  $f_0(g), f_4(g)$  are functions of  $g \in E_{n+1}(\mathbb{R})/K$

→ must be **invariant** under U-duality  $E_{n+1}(\mathbb{Z})$

→ supersymmetry requires that they are  
**Laplacian eigenfunctions**

→ well-defined **weak-coupling  
expansions** as  $g_s \rightarrow 0$

defining properties  
of an  
**automorphic form!**

## Example: type IIB in D=10

$$\int d^{10}x \sqrt{G} f_0(\tau) \mathcal{R}^4$$

→  $f_0(\tau)$  function of  $\tau = \chi + ie^{-\phi} \in \mathbb{H} \cong SL(2, \mathbb{R})/SO(2)$  “axio-dilaton”

→  $f_0\left(\frac{a\tau + b}{c\tau + d}\right) = f_0(\tau) \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$

→  $\Delta_{\mathbb{H}} f_0(\tau) = -\frac{3}{4} f_0(\tau) \quad \Delta_{\mathbb{H}} = y^2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \quad \tau = x + iy$

→  $f_0(\tau) \sim 2\zeta(3)y^{3/2}$  as  $g_s = e^{\phi} = y^{-1} \rightarrow 0$  weak string coupling limit

unique  
solution!

$$f_0(\tau) = \sum_{(m,n) \neq (0,0)} \frac{y^{3/2}}{|m + n\tau|^3}$$

[Green, Gutperle]  
[Green, Sethi]  
[Pioline]

# Non-holomorphic Eisenstein series

Consider the sum:

$$E_s(\tau) = \sum_{(c,d)=1} \frac{y^s}{|c\tau + d|^{2s}} \quad \begin{array}{l} \text{non-holomorphic} \\ \text{Eisenstein series} \\ s \in \mathbb{C} \end{array}$$

→ a function on  $\mathbb{H} = \{\tau = x + iy \in \mathbb{C} \mid y > 0\}$

→ invariant under  $\tau \mapsto \gamma \cdot \tau = \frac{a\tau + b}{c\tau + d}$

$$\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$$



Invariance under  $\tau \mapsto \tau + 1$  yields the **Fourier expansion**

$$E_s(\tau) = \underbrace{C(y; s)}_{\substack{\text{constant term} \\ \text{zero mode}}} + \underbrace{\sum_{n \neq 0} F_n(y; s) e^{2\pi i n x}}_{\text{non-zero mode}}$$

$\rightarrow C(y; s) = y^s + \frac{\xi(2s-1)}{\xi(2s)} y^{1-s}$ 
 completed zeta-function:  
 $\xi(s) = \pi^{-s/2} \Gamma(s/2) \zeta(s)$

Physical interpretation:

$$f_0(\tau) = 2\zeta(3)E_{3/2}(\tau)$$

Perturbative quantum effects (weak-coupling limit  $y \rightarrow \infty$ )

constant  
term

$$C(y; 3/2) = y^{3/2} + \frac{\xi(2)}{\xi(3)} y^{-1/2}$$

Non-perturbative quantum effects (D(-1) instantons)

$$F_n(y; 3/2) = \frac{1}{\xi(3)} \sqrt{|n|} \mu_{-2}(n) e^{-S_{inst}^{(n)}(y)} (1 + \mathcal{O}(1/y))$$

instanton measure

$$\mu_{-2}(n) = \sum_{d|n} d^{-2}$$

theoretic vertices)

with  $X, \exists G^X$ -mod.  $K^X = \bigoplus_{r \in \text{irr}(G^X)} \bigoplus_{d \geq 0} K_{r,d}^X$

dim  $\delta_{r,X} + \sum_{d \geq 0} \text{tr}(g | K_{r,d}^X) q^d$

as described by Cheng-D. Harvey:

$-\frac{1}{2} \dim \delta_{r,X} \delta_{r,1/2} + \sum_{d \geq 0} c_{r,1/2}^X(-4mid, r) q^d$   $\chi \in \text{Irr}(G)$

$r \in \mathbb{Z}_{\geq 0}$   $\forall \chi, d, r$   
 $\uparrow$   
 co-fg. mod

Physical interpretation:

$$f_0(\tau) = 2\zeta(3)E_{3/2}(\tau)$$

Perturbative quantum effects (weak-coupling limit  $y \rightarrow \infty$ )

constant term  $C(y; 3/2) = y^{3/2} + \frac{\xi(2)}{\xi(3)} y^{-1/2}$

Non-perturbative quantum effects (D(-1) instantons)

$$F_n(y; 3/2) = \frac{1}{\xi(3)} \sqrt{|n|} \mu_{-2}(n) e^{-S_{\text{inst}}^{(n)}(y)} (1 + \mathcal{O}(1/y))$$

This result is perturbatively and non-perturbatively exact!

# Eisenstein series on semi-simple Lie groups

The **Langlands Eisenstein series** on a semi-simple Lie group is defined by:

$$E(\lambda, g) = \sum_{\gamma \in B(\mathbb{Z}) \backslash G(\mathbb{Z})} e^{\langle \lambda + \rho | H(\gamma g) \rangle}$$

# Eisenstein series on semi-simple Lie groups

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Iwasawa decomposition:  $G = BK = NAK$

Logarithm map:  $H : G \rightarrow \mathfrak{h} = \text{Lie } A \quad H(nak) = \log a$

Weight:  $\lambda \in \mathfrak{h}^* \otimes \mathbb{C}$

Weyl vector:  $\rho = \frac{1}{2} \sum_{\alpha > 0} \alpha$



theoretic vertices).

with  $X, \exists G^X$ -mode.  $K^X = \bigoplus_{r \in \text{irr}(G^X)} \bigoplus_{d \geq 0} K_{r,d}^X$

$\dim \delta_{r,X} + \sum_{d \geq 0} \text{tr}(g | K_{r,d}^X) q^d$

as described by Cheng-D-Huang.

$q^{-\frac{1}{2} \dim \delta_{r,X}} \delta_{r,X/1} + \sum_{d \geq 0} c_{r/1}^X(-\frac{1}{2} \dim, r) q^d$   $\chi \in \text{Irr}(G)$

$r \in \mathbb{Z}_{\geq 0} \quad \forall \chi, d, r$   
 $\uparrow$   
 mult. of  $\chi$  in  $K_{r,d}^X$   
 $\uparrow$   
 co-fg. num.

## Fourier coefficients

The periodicity  $f(\tau + 1) = f(\tau)$  generalises to

$$E(\lambda, ng) = E(\lambda, g) \quad n \in N(\mathbb{Z})$$

Much more complicated since  $N(\mathbb{Z})$  is **non-abelian**.

General structure:

$$E(\lambda, g) = E^{\text{const}}(\lambda, g) + \sum_{\psi} W_{\psi}(\lambda, g) + \dots$$

↑  
 non-abelian coefficients  
 (non zero-modes)  
 non-perturbative effects

theoretic vertices)

with  $X, \exists G^X$ -mod.  $K^X = \bigoplus_{r \in \mathbb{N}} \bigoplus_{d \geq 0} K_{r,d}^X$

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$\uparrow$  mult. of  $\chi$  in  $K_{r,d}^X$

$(r) \in \mathbb{Z}_{\geq 0} \quad \forall \chi, d, r$

$\uparrow$  co-fg. mod

## Perturbative terms - Langlands constant term formula

$$E^{\text{const}}(\lambda, g) = \int_{N(\mathbb{Z}) \backslash N(\mathbb{R})} E(\lambda, ng) dn = \sum_{w \in W(\mathfrak{g})} M(w, \lambda) e^{(w\lambda + \rho)(g)}$$

This can be generalised to smaller unipotent subgroups:  $U \subset N$

$$\int_{U(\mathbb{Z}) \backslash U(\mathbb{R})} E(\lambda, ug) du = \sum_{w \in W_U \backslash W(\mathfrak{g})} e^{(w\lambda + \rho(H(g)))} M(w, \lambda) E^{G'}(w\lambda, g)$$

$\uparrow$   
Eisenstein series  
for a subgroup:  
 $G' \subset G$



## Perturbative limit - choices of unipotent subgroups

### → **Decompactification limit**

- perturbative parameter: radius of decompactified circle
- non-perturbative effects: KK-instantons, BPS-instantons

### → **String perturbation limit**

- perturbative parameter: string coupling
- non-perturbative effects: D-instantons, NS5-instantons

### → **M-theory limit**

- perturbative parameter: volume of M-theory torus
- non-perturbative effects: M2- & M5-instantons

**Example:**  $G = SO(5, 5)$  type II string theory on  $T^4$  [Green, Russo, Vanhove]

Higher-derivative coupling:  $\int d^4x \sqrt{G} f_0(g) \mathcal{R}^4$

Eisenstein series:  $f_0(g) = E(2s\Lambda_1 - \rho, g)$   $s = 3/2$

Choose minimal unipotent for **string theory limit**:  $U$

theoretic vertices).

with  $X, \exists G^X$ -mod.  $K^X = \bigoplus_{r \in \mathbb{Z}_{\geq 0}} \bigoplus_{d \geq 0} K_{r,d}^X$

$\lim_{d \rightarrow \infty} \delta_{r,d} + \sum_{d \geq 0} \text{tr}(g|K_{r,d}^X) q^d$

as described by Cheng-D-Huang.

$\lim_{d \rightarrow \infty} \delta_{r,d} \delta_{r',d'} + \sum_{d \geq 0} c_{r,r'}^X(-q^{mid}, r) q^d$   $\chi \in \text{Der}(C)$

$r \in \mathbb{Z}_{\geq 0} \quad \forall \chi, d, r$

$\uparrow$

$\infty$ -by- $\infty$

mult. of  $\chi$  in  $K_{r,d}^X$

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Choose minimal unipotent for string theory limit:  $U$

Constant term:

$$\int_{U(\mathbb{Z}) \backslash U(\mathbb{R})} E(3\Lambda_1 - \rho, ug) du = \frac{2\zeta(3)}{g_s^2} + E^{SO(4,4)}$$





## Much more is known!

$$\int d^{11-n}x \sqrt{G} f_0(g) \mathcal{R}^4 \quad f_0(g) = E(2s\Lambda_1 - \rho, g) \quad s = 3/2$$

$$\int d^{11-n}x \sqrt{G} f_4(g) \mathcal{R}^4 \quad f_4(g) = E(2s\Lambda_1 - \rho, g) \quad s = 5/2$$

Successfully checked against perturbative string calculations for all

$$G = E_n(\mathbb{R}) \quad n \leq 8$$

[Green, Russo, Vanhove][Green, Miller, Vanhove][Pioline]

$\partial^6 \mathcal{R}^4$  also works but more complicated story...

Eisenstein series can formally be defined for any **Kac-Moody group**

$$E(\lambda, g) = \sum_{\gamma \in B(\mathbb{Z}) \setminus G(\mathbb{Z})} e^{\langle \lambda + \rho | H(\gamma g) \rangle}$$

- $G(\mathbb{Z}) \subset G(\mathbb{R})$  defined as a **Chevalley group**
- convergence established by Garland in the affine case and by Carbone, Lee, Liu for rank 2 hyperbolic
- generalization of Langlands constant term formula established by Garland in the affine case

theoretic vertices)

$$\text{with } X, \exists G^X\text{-mod. } K^X = \bigoplus_{r(\text{mod}) \neq 0} \bigoplus_{d \geq 0} K_{r,d}^X$$

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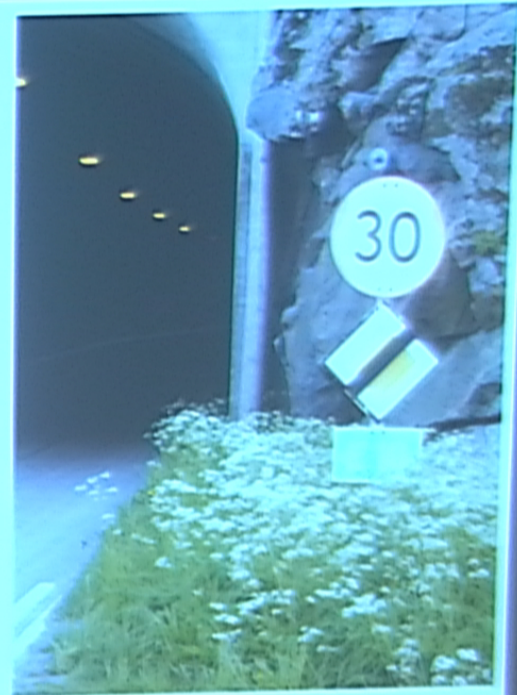
$$-q \sum_{r,d} \delta_{r,1} \delta_{X,1} + \sum_{d \geq 0} c_{X,1}^X(-q \text{ mod } r) q^d \quad \chi \in \text{Irr}(G)$$

↑  
mult. of  $\chi$  in  $K_{r,d}^X$

$$r) \in \mathbb{Z}_{\geq 0} \quad \forall \chi, d, r$$

↑  
co-finitary

Example:  $G = E_{10}(\mathbb{R})$



**Example:**  $G = E_{10}(\mathbb{R}) \quad s = 3/2 \iff \lambda = 3\Lambda_1 - \rho$

$$B_{s,m}(a) \sim \left( \sum_{d|m} d^s \right) K_s(ma)$$

$\psi$	$W_\psi(\chi_{3/2}, a)$
$(m, 0, 0, 0, 0, 0, 0, 0, 0, 0)$	$v_3^2 v_1^{-1} B_{3/2,m} (v_1^2 v_3^{-1})$
$(0, m, 0, 0, 0, 0, 0, 0, 0, 0)$	$\frac{v_2^2 \tilde{B}_{0,m}(v_2^2 v_4^{-1})}{\xi(3)}$
$(0, 0, m, 0, 0, 0, 0, 0, 0, 0)$	$\frac{\xi(2) v_4 B_{1,m}(v_3^2 v_1^{-1} v_4^{-1})}{\xi(3)}$
$(0, 0, 0, m, 0, 0, 0, 0, 0, 0)$	$\frac{v_4 \tilde{B}_{1/2,m}(v_4^2 v_2^{-1} v_3^{-1} v_5^{-1})}{\xi(3)}$
$(0, 0, 0, 0, m, 0, 0, 0, 0, 0)$	$\frac{v_5^2 \tilde{B}_{0,m}(v_5^2 v_4^{-1} v_6^{-1})}{\xi(3) v_6}$
$(0, 0, 0, 0, 0, m, 0, 0, 0, 0)$	$\frac{\xi(2) v_6^3 B_{-1/2,m}(v_6^2 v_5^{-1} v_7^{-1})}{\xi(3) v_7^2}$
$(0, 0, 0, 0, 0, 0, m, 0, 0, 0)$	$v_7^4 v_8^{-3} B_{-1,m} (v_7^2 v_6^{-1} v_8^{-1})$
$(0, 0, 0, 0, 0, 0, 0, m, 0, 0)$	$\frac{\xi(4) v_8^5 v_9^{-4} B_{-3/2,m}(v_8^2 v_7^{-1} v_9^{-1})}{\xi(3)}$
$(0, 0, 0, 0, 0, 0, 0, 0, m, 0)$	$\frac{\xi(5) v_9^6 v_{10}^{-5} B_{-2,m}(v_9^2 v_8^{-1} v_{10}^{-1})}{\xi(3)}$
$(0, 0, 0, 0, 0, 0, 0, 0, 0, m)$	$\frac{\xi(6) v_{10}^7 B_{-5/2,m}(v_{10}^2 v_9^{-1})}{\xi(3)}$



## String theory interpretation

Expansion of Bessel functions reveal **D-instanton effects**

$$W_\psi(a) \sim e^{-1/g_s}$$

but we can also obtain **arbitrary powers of the coupling!**

$$e^{-1/g_s^n}$$

These might correspond to  
**exotic instantons**

[Obers, Pioline][Shigemori, de Boer]



## Conclusions and speculations

What does our results mean mathematically?

**Conjecture:** For  $G = E_9, E_{10}, E_{11}$  the Eisenstein series  $E(3\Lambda_1 - \rho, g)$  is attached to the **minimal representation**

## Conclusions and speculations

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Minimal representations can be defined for all simply laced finite simple Lie groups. [Joseph]

Automorphic realisations of minimal representations have **very few Fourier coefficients** [Ginzburg, Rallis, Soudry]

Conjecture holds for  $E_n$  with  $n \leq 8$  [Green, Miller, Vanhove][Pioline]

## Conclusions and future directions

- Our formula for degenerate Whittaker vectors can also be used to obtain **instanton effects in higher dimensions**  
[in progress w/ Fleig, Gustafsson, Kleinschmidt]
- Higher-derivative corrections with less susy require “generalized automorphic forms” satisfying Poisson-type equations. [Green,Vanhove][Green, Miller,Vanhove][Pioline]  
Q: Can one define these also for **Kac-Moody groups**?
- Can one obtain explicit formulas for the **non-abelian Fourier coefficients**?
- **Langlands program** for Kac-Moody groups?  
[Braverman, Kazhdan]





theoretic vertices)

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$r \in \mathbb{Z}_{\geq 0}$   $\forall \chi, d, r$   $\uparrow$   $\infty$ -deg. mod

mult. of  $\chi$  in  $K_{r,d}^X$

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- Q: Can one define these also for Kac-Moody groups?
- Can one obtain explicit formulas for the non-abelian Fourier coefficients?
- Langlands program for Kac-Moody groups?   
[Braverman, Kazhdan]