

Title: Fricke S-duality in CHL models

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Abstract: We consider dual pairs of four dimensional heterotic/type IIA CHL models with 16 space-time supersymmetries. We provide strong evidence for the existence of an S-duality acting on the heterotic axion-dilaton by a Fricke involution  $S \rightarrow -1/NS$ , where  $N$  is the order of the orbifold symmetry. While most models are self-dual, in some cases S-duality relates the CHL model to a compactification of type IIA on an orbifold of  $T^6$ . We provide a simple criterion to determine whether a model is self-dual or not. Finally, we argue that in self-dual CHL models the lattices of electric and magnetic charges must be  $N$ -modular and verify this prediction.

# Fricke S-dualities in CHL models

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(Mock) modularity, Moonshine and String Theory

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work in collaboration with Daniel Persson

# Introduction

- Twisted-twining genera  $\phi_{g,h}$  of Mathieu (Umbral) moonshine related to NLSM on  $K3$
- Their Borchers lifts (second quantized tw-tw genera  $\Phi_{g,h}$ ) related to multiplicities of  $1/4$  BPS states in type  $II/K3 \times T^2$  and CHL models

[Cheng '10; Persson, Volpato '14]

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[Cheng '10; Persson, Volpato '14]
- Questions:
  - Extend physical interpretation of  $\Phi_{g,h}$  to non-geometric symmetries?

# Introduction

- Modular properties of second quantized tw-tw genera

$$\Phi_{g,h}(\sigma, \tau, z) = \Phi_{g,h'}\left(\frac{\tau}{N}, N\sigma, z\right)$$

where  $N$  is order of  $g$

[Persson-Volpato 14]

- Suggests 'electric-magnetic' duality in CHL models

$$\begin{pmatrix} Q_e \\ Q_m \end{pmatrix} \rightarrow \begin{pmatrix} \frac{1}{\sqrt{N}} Q_m \\ \sqrt{N} Q_e \end{pmatrix}$$

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- Suggests 'electric-magnetic' duality in CHL models

$$\begin{pmatrix} Q_e \\ Q_m \end{pmatrix} \rightarrow \begin{pmatrix} \frac{1}{\sqrt{N}} Q_m \\ \sqrt{N} Q_e \end{pmatrix}$$

- Will show that this is a consequence of new (Fricke) S-duality for CHL models

$$\text{Het}/T^6 \longleftrightarrow \text{IIA}/K3 \times T^2 \longleftrightarrow \text{IIB}/K3 \times T^2$$

- 4-dim N=4 theories
- Gauge group  $U(1)^{28}$  (generically)
- Lattice em charges  $\Lambda_{em} = \Gamma^{6,22} \oplus \Gamma^{6,22}$
- Duality group  $SL_2(\mathbb{Z}) \times O(\Gamma^{6,22})$
- Moduli space

$$\mathcal{M} = \frac{SL_2(\mathbb{R})}{SL_2(\mathbb{Z}) \times U(1)} \times \frac{O(6, 22)}{O(\Gamma^{6,22}) \times (O(6) \times O(22))}$$

$\Gamma^{m,m}$  even self dual



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## Level-matching issues

- Consider orbifold of Het only by  $g \in O(\Gamma^{6,22})$

- Failure of level matching if in  $g$ -twisted sector

$$h_L - h_R \in \frac{\mathcal{E}}{N\lambda} + \frac{1}{N}\mathbb{Z} \quad \lambda|(N, 24)$$

- Compensate by shift  $\delta \in \frac{1}{N}\Gamma^{6,22}$  with  $\delta^2 \neq 0$   
[Chaudhuri, Lowe '95]

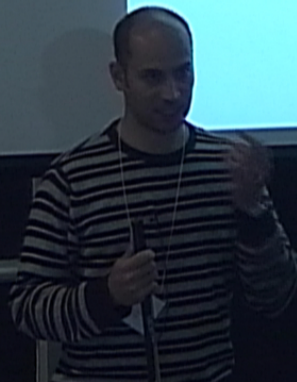
- Equivalently, choose shift  $\delta \in \frac{1}{N\lambda}\Gamma^{6,22}$  with  $\delta^2 = 0$

even self dual

$$\text{Het}/\frac{T^1 \times T^2}{\langle (\delta, g) \rangle} \longleftrightarrow \text{IIA}/\frac{K3 \times T^2}{\langle (\delta, g) \rangle} \longleftrightarrow \text{IIB}/\frac{K3 \times T^2}{\langle (\delta, g) \rangle}$$

- $g \in O(\Gamma^{6,22})$  fixes a subsp. of  $\dim d \geq 8$  in  $\Gamma^{6,22} \otimes \mathbb{R}$

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- $g \in O(\Gamma^{6,22})$  fixes a subsp. of  $\dim d \geq 8$  in  $\Gamma^{6,22} \otimes \mathbb{R}$
- Gauge group  $U(1)^d$
- At least 3 cplx moduli: het. axion-dilaton  $S$ , Kahler  $T$  and cplx structure  $U$  of  $T^2$

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## Classification of CHL models

- Class of CHL models associated with  $\langle(\delta, g)\rangle$  with

$$\delta \in \Gamma^{6,22} \otimes \mathbb{R} \quad g \in O(\Gamma^{6,22})$$

- $g$  fixes  $\Gamma^{2,2} \subset \Gamma^{6,22}$  and pos. def. subspace of dim 6 in  $\Gamma^{6,22} \otimes \mathbb{R}$
- $\delta$  of finite order in  $(\Gamma^{2,2} \otimes \mathbb{R})/\Gamma^{2,2}$
- Large redundancy of equivalent models:
  - Can choose  $N\lambda\delta$  to be primitive null vector in  $\Gamma^{6,22}$
  - Groups  $\langle(\delta, g)\rangle$  up to  $O(\Gamma^{6,22})$  conjugation

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- $g \in O(\Gamma^{4,20}) \longrightarrow$  class in Conway group  $Co_0$   
[Gaberdiel, Hennegger, Volpato '11]
- Eigenvalues of  $g$  on 24-dim rep. encoded in its Frame shape

$$\prod_{a|N} a^{m(a)}$$

with

$$\sum_{a|N} am(a) = 24$$

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Frame shape

$$\prod_{a|N} a^{m(a)}$$

$C_{00}$  class

$\langle(\delta, g)\rangle$  classes

All maps are well-defined and one to one!



## T-duality

- Consider IIA /  $\frac{K3 \times S}{\langle(\delta, g)\rangle} \times \tilde{S}$  ( $\lambda = 1$ )
- $S \times \tilde{S}$  with radii  $R$  and  $\tilde{R}$ ; no  $B$  or Wilson lines

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- winding-momenta along  $S$  quantized as

$$(p_L, p_R) \sim \left( \frac{m}{R} + \frac{wR}{N}, \frac{m}{R} - \frac{wR}{N} \right) \quad m, w \in \mathbb{Z}$$

- States have the form  $|w, m\rangle \otimes \chi_{w,m}$   
where  $\chi_{w,m}$  is in  $g^w$ -twisted sector and in  
 $g = e^{\frac{2\pi i m}{N}}$  eigenspace of internal K3 NLSM

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- For  $R, \tilde{R} \gg 1$ 
  - finite  $(p_L, p_R) \Rightarrow w = 0$
  - $m/R \rightarrow$  continuum

$\Rightarrow$  only untwisted sector, any  $g$ -eigenvalue

- Local observer going  $1/N$  period around  $S$  sees

$$|0, m\rangle \otimes \chi_{0,m} \mapsto e^{\frac{2\pi i m}{N}} |0, m\rangle \otimes \chi_{0,m} = |0, m\rangle \otimes g(\chi_{0,m})$$

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- Het/IIA duality in 6D  $\Rightarrow$  duality of CHL  
[Vafa, Witten '95]

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- For  $R', \tilde{R}' \gg 1$ 
  - finite  $(p_L, p_R) \Rightarrow w' = 0$
  - $m'/R' \rightarrow$  continuum

$\Rightarrow$  only  $g$ -invariant states, any twisted sector

- Local observer going  $1/N$  period around  $S'$  sees

$$|0, m'\rangle \otimes \chi_{m',0} \mapsto e^{\frac{2\pi i m'}{N}} |0, m'\rangle \otimes \chi_{m',0} = |0, m'\rangle \otimes Q(\chi_{m',0})$$

where  $Q$  is the 'quantum symmetry'

$\Rightarrow$  This is IIA/  $\frac{\mathcal{C}' \times S'}{\langle (\delta', Q) \rangle} \times \tilde{S}'$ , where

- $\mathcal{C}'$  is the orbifold of NLSM on K3 by  $g$
- $Q$  is the quantum symmetry

[Nafa '95]

## Atkin-Lehner dualities

- Atkin-Lehner involution:

$$W_e = \frac{1}{\sqrt{e}} \begin{pmatrix} ae & b \\ cN & de \end{pmatrix} \quad ade^2 - bcN = e$$

where  $e|N$  and  $\gcd(e, \frac{N}{e}) = 1$

- Properties:

- $W_e^2 \in \Gamma_0(N)$
- $W_e$  generate normalizer of  $\Gamma_0(N)$  in  $SL_2(\mathbb{R})$
- $W_N$  is Fricke involution

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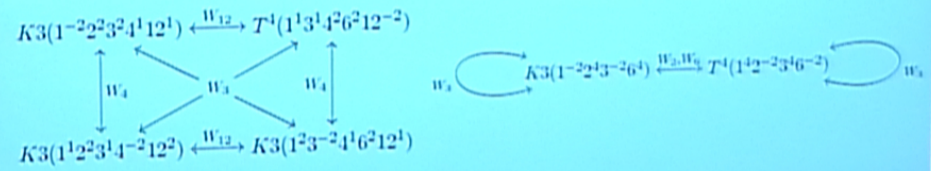


# Atkin-Lehner dualities

- A-L T-duality maps  $\text{IIA}/\frac{K3 \times T^2}{\langle(\delta, g)\rangle}$  to  $\text{IIA}/\frac{C' \times T^2}{\langle(\delta', g')\rangle}$  where  

$$C' = \text{NLSM}(K3)/\langle g^{\frac{2}{c}} \rangle \quad g' = gQ$$

- Models in case 1 (balanced Frame shape) are self-dual under all A-L dualities
- All models in cases 2 and 3 are related by some A-L duality to  $\text{IIA}/\frac{T^4 \times T^2}{\langle(\delta', g')\rangle}$



even self dual

## Summary

- New Fricke (and Atkin-Lehner) dualities in CHL models
- A CHL model is self-dual iff it is associated with a balanced Frame shape
- Models with non-balanced frame shape are always related to  $\text{IIA}/\frac{T^4 \times T^2}{\langle\langle \delta', g' \rangle\rangle}$  by some A-L duality
- Self-duality leads to modularity of em lattices
- Multiplicities of 1/2 BPS states compatible with all dualities

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## Outlook

- Modular properties of second quantized tw-tw genera  $\Phi_{g,h}$  as 1/4 BPS multiplicities in CHL models?
- Can we obtain M24 (or umbral groups) by relaxing conditions on  $g$ ?
- Non-cyclic groups?
- Physical interpretation of A-L involutions in other contexts (e.g. Monster Moonshine)?

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