

Title: Lessons from QuantumLand

Date: Apr 11, 2015 09:00 AM

URL: <http://pirsa.org/15040111>

Abstract: Theories with large kinetic interactions have very relevant phenomenological applications in cosmology, in particular in the context of cosmic acceleration. Their Effective Field Theory (EFT) description relies on the so-called Vainshtein effect being operative. When incorporated at the quantum level, this mechanism ensures the validity of the theory in a non-trivial way. I will discuss how to estimate the regime of validity of such EFTs on the basis of computing the quantum corrections to the classical theory. I will point out how some lessons learned from the study of quantum effects in these theories might help us to tackle the significance of superluminalities and revisit what properties a healthy EFT should have.

“**superluminal**” —faster than the speed of light

- a cosmologist gets nervous
- a field theorist gets intrigued

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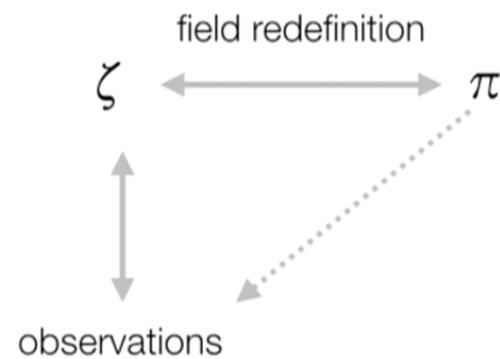
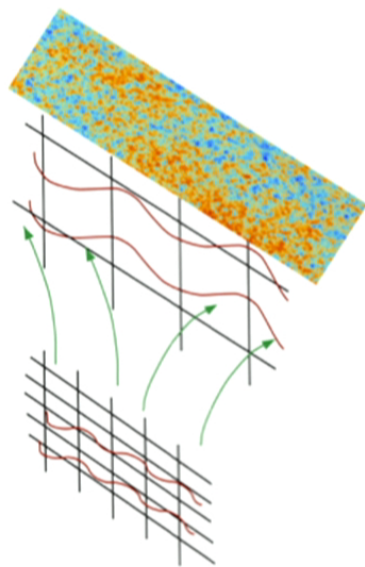
“**superluminal**” —faster than the speed of light

- cosmologist’s strategy: no, I’ll always impose $c_s < 1$ or $c_s = 1$
- field theorist’s strategy: hmmm, what does it tell us about the fundamental physics of the theory?

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perturbation theory in data-driven cosmology

- the preferred language is effective field theory



cosmological data gives gives direct access to the perturbations, rather than the background

fundamental principles

Adams et al.
hep-th/0602178

- causality
- unitarity
- naturalness



**bottom-up
approach**

superluminalities? can the theory propagate viable phenomenology?

Warning: this talk is an exploration of ideas

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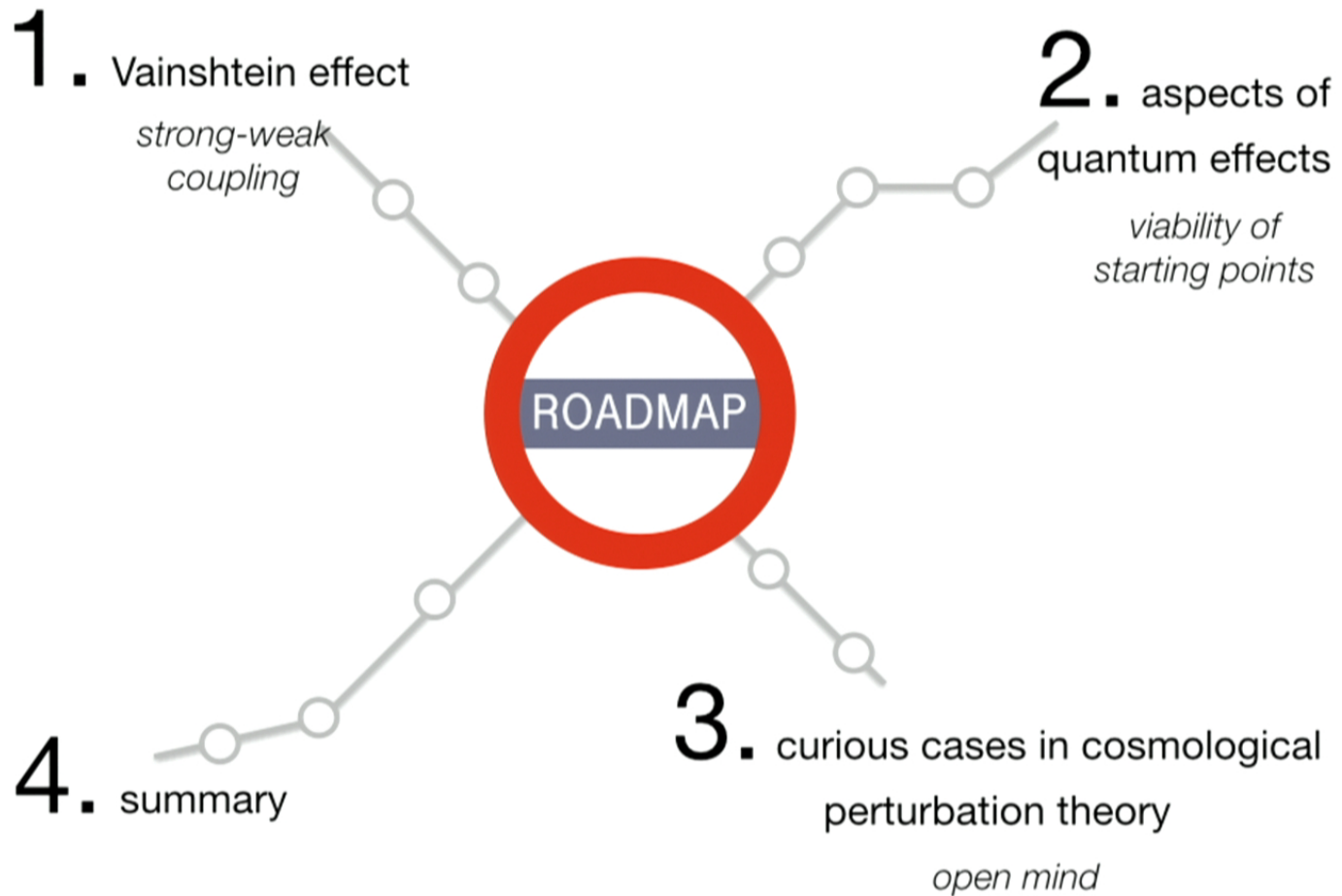


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- non-standard theories, where **certain** irrelevant operators become important
- the kinetic term of quantum fluctuations receives **important** corrections from the background. symbolically using the background field method:

$$Z^{\mu\nu} \partial_\mu \delta\phi \partial_\nu \delta\phi \sim (1 + f(\text{large background})) (\partial \delta\phi)^2$$

- **typical examples:**

- $P(X)$ where $X = -(\partial\phi)^2/\Lambda^4$ (endowed with the shift symmetry)
- galileons (endowed with Galilean symmetry + extra hidden symmetry)

Nicolis, Rattazzi & Trincherini

Hinterbichler & Joyce

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Vainshtein effect in massless $P(X)$ theories

- **start** with a generic $P(X)$ theory and use the background field method
- write the action in terms of the kinetic matrix

$$\delta S = -\frac{1}{2} \int d^4x \{ Z^{\mu\nu}[\phi_0] \partial_\mu \delta\phi \partial_\nu \delta\phi \}$$

$$Z^{\mu\nu}[\phi_0] = 2P'(X)\delta^{\mu\nu} - \frac{4}{\Lambda^4}P''(X)\partial^\mu\phi_0\partial^\nu\phi_0$$

- symbolically, if $Z \gg 1$ then interactions are rather large and redress intuition from standard EFT rules

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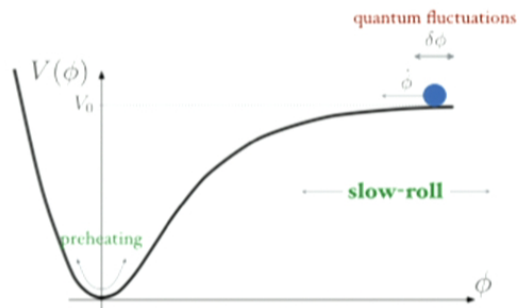
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case study: DBI inflation



As the field rolls down the potential, it quantum-mechanically fluctuates.

Consider a homogeneous scalar field.

Silverstein & Tong
hep-th/0310221

Consider a specific class of $P(X)$ models: Dirac–Born–Infeld [DBI] theories

$$\mathcal{L}_{\text{DBI}} = \Lambda^4 P(X) = -\Lambda^4 \sqrt{1-X} + \Lambda^4$$

$$X = \frac{\dot{\phi}^2}{\Lambda^4}$$

In the strongly coupled regime, we have $|X| \lesssim 1$ and $\gamma \equiv \frac{1}{\sqrt{1-X}} \gtrsim 1$

screening and superluminalities in P(X) theories

- the action for perturbations is

$$S^{(2)} = -\frac{1}{2} Z^{\mu\nu} \partial_\mu \delta\phi \partial_\nu \delta\phi$$

- focusing on the radial direction, we find

$$\begin{aligned} Z^{tt} &\sim P_{,X} \\ Z^{rr} &\sim P_{,X} + 2X P_{,XX} \end{aligned}$$

- superluminalities can arise as a consequence of stability requirements

$$c_r^2 = 1 + 2X \frac{P_{,XX}}{P_{,X}} \text{ generically } > 1$$

for example, review
by Joyce et al.

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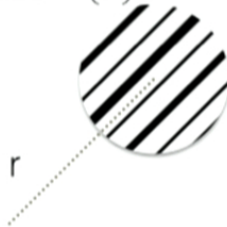
screening in galileons

Defayet et al. hep-th/0106001
Nicolis & Rattazzi hep-th/0404159

coupling the cubic galileon to **matter**:

$$S = \int d^4x \left\{ -\frac{1}{2}(\partial\phi)^2 - \frac{1}{\Lambda^3}\square\phi(\partial\phi)^2 + \frac{\phi}{M_{\text{Pl}}}T \right\}$$

$$T = -M\delta^{(3)}(r)$$



Split the field into background + perturbation.

Consider spherically symmetric background solutions and compute the kinetic matrix.

$$Z^{\mu\nu}[\phi_0] \sim \delta^{\mu\nu} + \left(\frac{\square\phi_0}{\Lambda^3}\delta^{\mu\nu} - \frac{\partial^\mu\partial^\nu\phi_0}{\Lambda^3} \right)$$

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superluminalities peekaboo

Defayet et al. hep-th/0106001
Nicolis & Rattazzi hep-th/0404159

- there is a hierarchy between the different eigenvalues of Z:

$$c_r^2 \sim 1 + \frac{\phi'_0/r}{\Lambda^3}$$

- presence of superluminalities is tied to having effective screening:

$$F_\phi(r) \sim \phi'(r) \sim \sqrt{\frac{M\Lambda^3}{M_{\text{Pl}}r}} \rightarrow \square\phi \gg \Lambda^3$$

- the effect is relevant for short-distance physics

$$r_* \equiv \frac{1}{\Lambda} \left(\frac{M}{4\pi M_{\text{Pl}}} \right)^{1/3} \text{ divides between Newtonian and modified gravity is called the Vainshtein radius}$$

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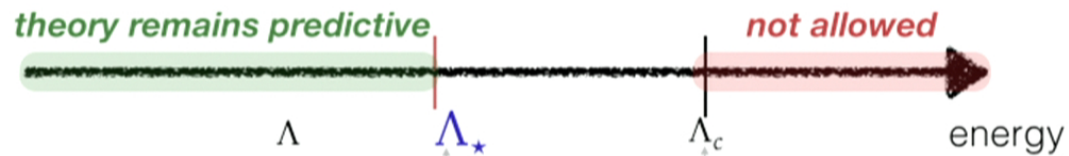
redressed strong coupling scale

case study: cubic galileon

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▶ if interactions are large, $\square\phi \gg \Lambda^3$: $\Lambda_* = \sqrt{Z}\Lambda \gg \Lambda$

▶ perturbative unitarity does not break at Λ , and we can use the EFT description beyond that scale



implicitly assuming these scales
are parametrically different

specific examples in
Aydemir et al. arXiv:1203.5153

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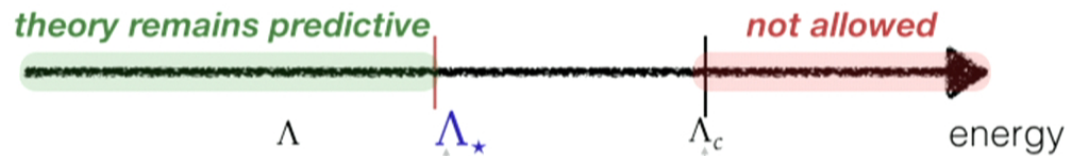
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to get an insight on how quantum fluctuations affect the theory, we can use the one-loop effective action

$$e^{-\Gamma^{1\text{-loop}}[\phi]} = \int \mathcal{D}[\delta\phi] \exp^{-\frac{1}{2}\delta\phi \left(\frac{\delta^2 S_E[\phi]}{\delta\phi^2} \right) \delta\phi}$$



$$\Gamma_{1\text{-loop}} = \frac{1}{2} \log \det \{ Z^{\mu\nu}[\phi_0] \nabla_\mu \nabla_\nu \}$$

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UV-divergences are organised as a Seeley–DeWitt expansion

- ▶ Barvinsky & Vilkovisky [Phys.Rept. 119 \(1985\) & Nucl.Phys. B333 \(1990\)](#)
- ▶ Avramidi [arXiv:math-ph/0107018](#)

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repackaging quantum corrections at 1-loop

cf. Burgess & London
hep-ph/9203216

► if we focus on log divergences and use dimensional regularisation

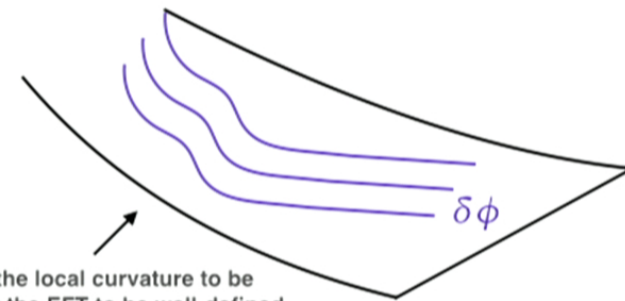
$$\Gamma_{1\text{-loop}}^{\text{log}} \sim \int d^4x \sqrt{g_{\text{eff}}} \left\{ R^2 + 2R_{\mu\nu}R^{\mu\nu} \right\}$$

generalisation of the
Coleman–Weinberg
potential

de Rham & RHR
arXiv:1405.5213

$$\sqrt{g_{\text{eff}}} |R^2[g_{\text{eff}}]| \ll \Lambda^4 P(X)$$

original Lagrangian



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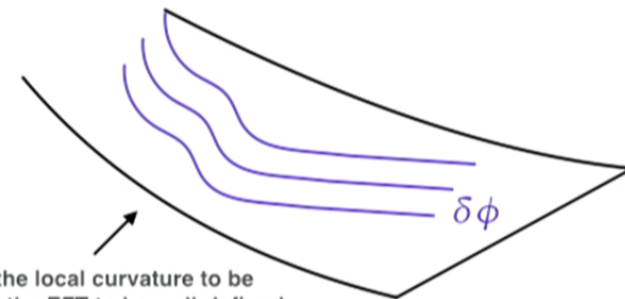
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DBI inflation: theory and data constraints

$$\mathcal{L}_{\text{DBI}} = -\Lambda^4 \sqrt{1 - X} + \Lambda^4 \simeq \frac{1}{2} \dot{\phi}^2 + \frac{1}{8} \frac{\dot{\phi}^4}{\Lambda^4} + \dots$$

popular statement in the literature: in DBI the speed of the field is allowed to be large provided the acceleration is small

Can we be a bit more precise?

DBI inflation: theory and data constraints

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Estimating the regime of predictability in Minkowski:

$$|\mathcal{L}_{\text{classical}}| \gg |\mathcal{L}_{1\text{-loop}}| \rightarrow \frac{\ddot{\phi}_0}{\Lambda^3} \ll \gamma^{-3} \sim \mathcal{O}(10^{-3}) \quad \text{while } |\dot{\phi}| \sim \Lambda^2$$

theory & Planck data

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theory & Planck data

kinetic/Vainshtein screening

Vainshtein PLB39 (1972)
Babichev & Deffayet arXiv:1304.7240
Babichev et al. arXiv: 0905.2943

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▶ the induced operators generate **ghosts**

▶ consider the example $\mathcal{L} = -\frac{1}{2}(\partial\phi)^2 + \frac{\lambda}{\Lambda^4}(\partial\phi)^4$



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de Rham & RHR
arXiv:1405.5213

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coupling to high-energy sectors

Consider a two-field model $\mathcal{L}_{2\text{-field}} = \Lambda^4 P(X) - \frac{1}{2}(\partial\chi)^2 - \frac{1}{2}M^2\chi^2 - \frac{g}{2\Lambda^2}(\partial\phi)^2\chi^2$

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If we focus on the log divergences, we find overwhelming sensitivity to new physics (recall that $M \sim \Lambda_c$):

$$\mathcal{L}_{\log}^{1\text{-loop}} = -\frac{g}{8\Lambda^2} \left\{ M^2(\partial\phi)^2 + g \frac{(\partial\phi)^4}{\Lambda^2} \right\} \log \left(\frac{k^2 + M^2}{\mu^2} \right)$$

► one-loop corrections **correct** the classical operators

“If no mistake have you made, yet losing you
are... a different game you should play”
Master Yoda



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insight through ERG methods

de Rham & RHR
arXiv:1405.5213

► *the main tool is the Wetterich equation:*

$$\frac{\partial P_\kappa(X)}{\partial \kappa} = \frac{1}{2} \text{Tr} \left[\frac{\partial_\kappa R_\kappa}{R_\kappa + Z_\kappa^{\mu\nu} \partial_\mu \partial_\nu} \right] \quad \text{with} \quad R_\kappa = \mathcal{L}_\kappa(\kappa^2 + \square) \Theta(\square + \kappa^2)$$

Litim hep-th/0005245

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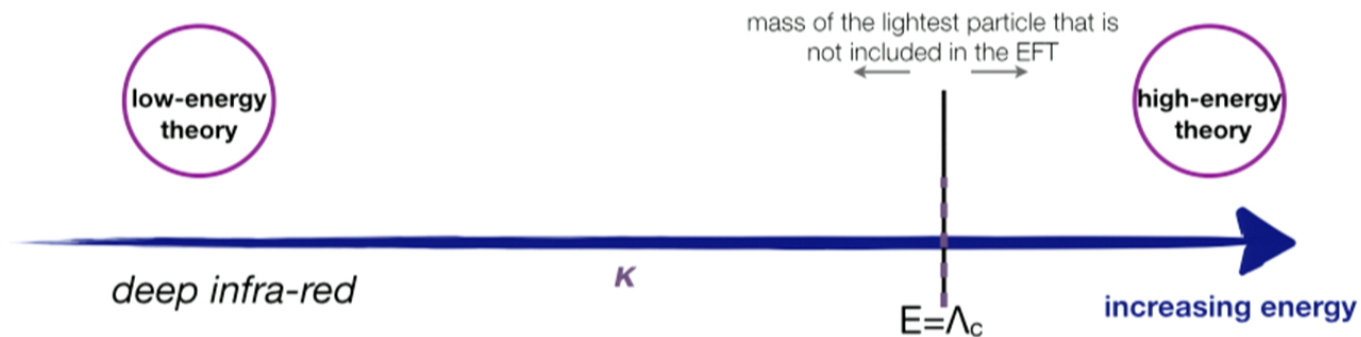
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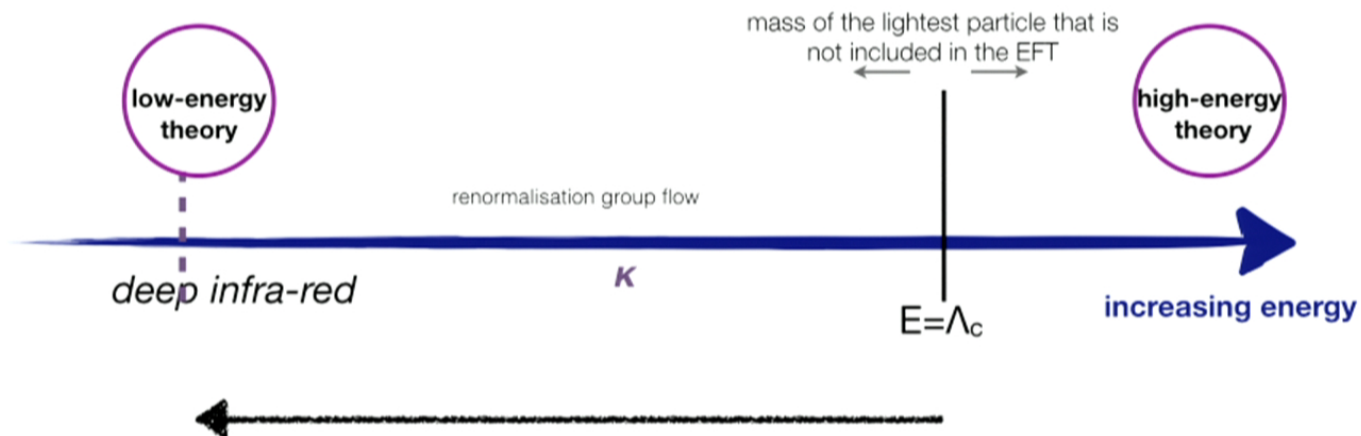
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- not all the regimes are understood though
- estimates deep inside the Vainhstein radius based on the exact renormalisation group indicate the worst divergence appears as

$$\text{flow of the Lagrangian} \sim \frac{\Lambda_c^4}{\text{Max}|Z|}$$

- **suggests UV sensitivity can be ameliorated by a quantum-mechanical analogue of the Vainshtein screening**

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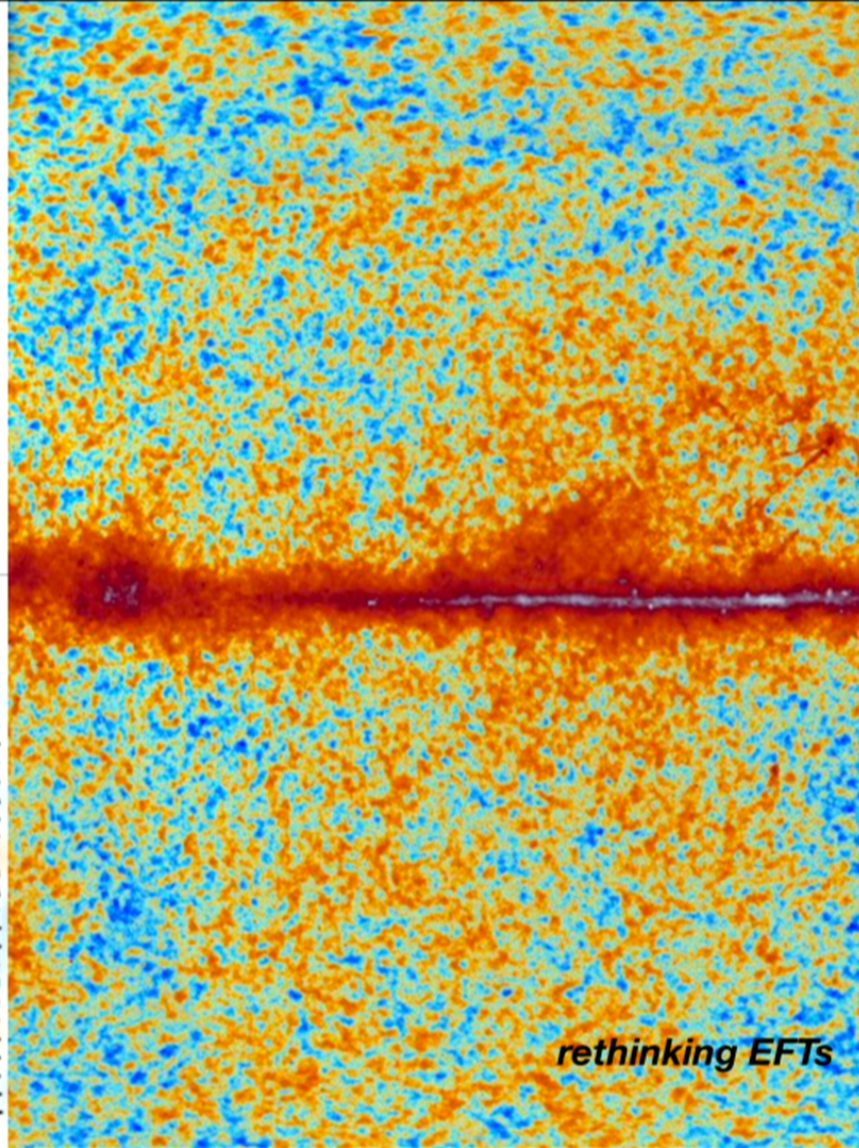
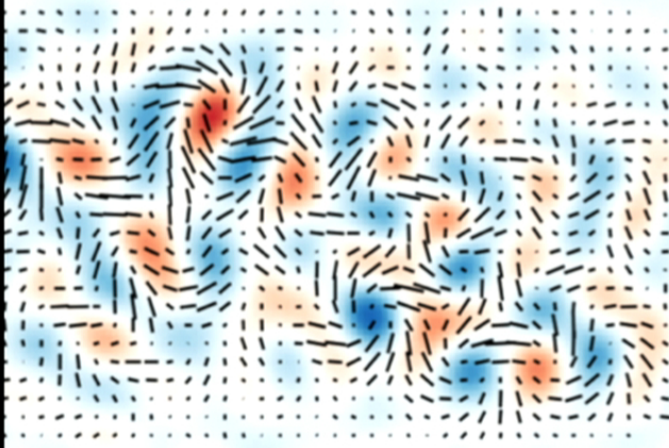
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3

a curious case in
cosmology



rethinking EFTs

cosmological perturbation theory

remaining agnostic about the background

- the EFT of ζ contains the following cubic interactions

$$S_{\zeta}^{(3)} = \int d^3x dt a^3 \left\{ \Lambda_1 \dot{\zeta}^3 + \Lambda_2 \zeta \dot{\zeta}^2 + \frac{\Lambda_3}{a^2} \zeta (\partial_i \zeta)^2 + \Lambda_4 \dot{\zeta} \partial_i \zeta \partial^i \partial^{-2} \zeta + \Lambda_5 \partial^2 \zeta (\partial_i \partial^{-2} \zeta)^2 \right\} + S_{\text{boundary}}$$

- to next-order in slow-roll, the EFT in π is

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traditional rules of low-energy EFT: identify the low energy dof and write down all the local operators which are compatible with the underlying symmetries

looking closer—where do ∂^{-2} come from?

- in ADM variables, the metric is

$$ds^2 = -N^2 dt^2 + h_{ij} (dx^i + N^i dt) (dx^j + N^j dt)$$

- when we solve for the lapse and the shift we introduce some hints at non-locality

$$N = 1 + \frac{\dot{\zeta}}{H} + \dots$$

$$N_i = \partial_i \left(-\frac{\zeta}{H} + \frac{a^2 \Sigma(\text{bckg})}{H^2} \partial^{-2} \dot{\zeta} \right)$$

- plugging into the action generates those non-local-looking operators

*the trouble appears because these EFTs are fundamentally gravitational
causality is not violated*

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$$N = 1 + \frac{\dot{\zeta}}{H} + \dots$$

$$N_i = \partial_i \left(-\frac{\zeta}{H} + \frac{a^2 \Sigma(\text{bckg})}{H^2} \partial^{-2} \dot{\zeta} \right)$$

- plugging into the action generates those non-local-looking operators

*the trouble appears because these EFTs are fundamentally gravitational
causality is not violated*

looking closer—where do ∂^{-2} come from?

- in ADM variables, the metric is

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EFTs with active Vainshtein screening

Consider a field theory with at most 2nd order derivatives acting on the fields

$$\mathcal{L}_{\text{EFT}} = \mathcal{L}_{\text{low-energy}} + \sum_{\text{relevant}} \frac{f_{\alpha}(\partial\phi, \partial^2\phi)}{\Lambda^{\alpha}} \Lambda^4 + \sum_{\text{irrelevant}} \frac{\mathcal{O}_{\beta}}{\Lambda^{\beta}} \Lambda^4$$

The diagram illustrates the energy spectrum of operators. A horizontal axis labeled 'energy' has an arrow pointing to the right. The spectrum is divided into three regions: 1. 'relevant' (red diagonal lines) at low energy. 2. 'important' (purple vertical lines) in the middle energy range. 3. 'irrelevant' (green diagonal lines) at high energy. A vertical dashed line is positioned above the 'important' region. Below the axis, a purple arrow points upwards to the 'important' region, with the text 'reorganised EFT built on a hierarchy between (different orders in) derivatives'.

cf. Luty et al. hep-th/0303116
Nicolis & Rattazzi hep-th/0404159

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EFTs with active Vainshtein screening

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$$\mathcal{L}_{\text{EFT}} = \mathcal{L}_{\text{low-energy}} + \sum \frac{f_\alpha(\partial\phi, \partial^2\phi)}{\Lambda^\alpha} \Lambda^4 + \sum \frac{\mathcal{O}_\beta}{\Lambda^\beta} \Lambda^4$$

The diagram features a horizontal axis labeled 'energy' with an arrow pointing to the right. The axis is divided into three regions by vertical dashed lines. The leftmost region is marked with red diagonal hatching and labeled 'relevant' in red text above it. The middle region is marked with purple diagonal hatching and labeled 'important' in purple text below it. The rightmost region is marked with green diagonal hatching and labeled 'irrelevant' in green text above it. A vertical dotted line is positioned at the boundary between the 'relevant' and 'important' regions. Below the 'important' region, a purple arrow points upwards towards the axis, with the text 'reorganised EFT built on a hierarchy between (different orders in) derivatives' centered below it.

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**superluminal
propagation**

generic feature of backgrounds
supporting this effect

screening effect
suppresses coupling to matter

**Vainshtein
mechanism**

$P(X)$ and galileons

a bottom-up exercise

**technical
naturalness of
interactions**

estimate the regime of
validity of the theory

**changes standard
EFT rules**

Λ_{sc} is redressed

Raquel H Ribeiro