

Title: Condensed Matter-15

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Abstract:

Bogoliubov-de Gennes (BdG) theory of superconductivity

\hat{H}

$$\sum_{\mathbf{k}} \xi_{\mathbf{k}} c_{\mathbf{k}\uparrow}^\dagger c_{\mathbf{k}\uparrow} + \sum_{\mathbf{k}} V_{\mathbf{k}} \underbrace{c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger}_{b_{\mathbf{k}}^\dagger} \underbrace{c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow}}_{b_{\mathbf{k}}}$$

MF

ling

$$b_{\mathbf{k}}^\dagger b_{\mathbf{k}} \approx b_{\mathbf{k}}^\dagger \langle b_{\mathbf{k}} \rangle + \langle b_{\mathbf{k}}^\dagger \rangle b_{\mathbf{k}} - \langle b_{\mathbf{k}}^\dagger \rangle \langle b_{\mathbf{k}} \rangle$$

Bogoliubov-de Gennes (BdG) theory of superconductivity

$$\mathcal{H}^{\text{MF}} = \sum_{\mathbf{k}\sigma} \xi_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma}$$

$$\hat{H} - \mu \hat{N} = \sum_{\mathbf{k}\sigma} \xi_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} \underbrace{c_{\mathbf{k}\uparrow}^\dagger c_{\mathbf{k}'\uparrow}^\dagger}_{b_{\mathbf{k}}^+} \underbrace{c_{-\mathbf{k}\downarrow} c_{-\mathbf{k}'\downarrow}}_{b_{\mathbf{k}'}}$$

MF decoupling

$$b_{\mathbf{k}}^+ b_{\mathbf{k}'} \approx b_{\mathbf{k}}^+ \langle b_{\mathbf{k}'} \rangle + \langle b_{\mathbf{k}}^+ \rangle b_{\mathbf{k}'} - \langle b_{\mathbf{k}}^+ \rangle \langle b_{\mathbf{k}'} \rangle$$

Define:

$$\Delta_{\mathbf{k}} = - \sum_{\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} \langle b_{\mathbf{k}'} \rangle = - \sum_{\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} \langle c_{-\mathbf{k}'\downarrow} c_{\mathbf{k}'\uparrow} \rangle$$

$$\mathcal{H}^{MF} = \sum_{k\sigma} \xi_k c_{k\sigma}^\dagger c_{k\sigma} - \sum_k \left(\Delta_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger + \Delta_k^* c_{-k\downarrow} c_{k\uparrow} - \Delta_k \langle b_k^\dagger \rangle \right)$$

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Diagonalize by Bogoliubov-Valatin transformation

$$c_{k\uparrow} = u_k^* \gamma_{k\uparrow} + v_k \gamma_{k\downarrow}^\dagger$$

$$c_{-k\downarrow}^\dagger = -v_k^* \gamma_{k\downarrow} + u_k \gamma_{k\uparrow}^\dagger$$

$$\{\gamma_{k\sigma}, \gamma_{k'\sigma'}\} = \delta_{kk'} \delta_{\sigma\sigma'}$$

$$\Rightarrow |u_k|^2 + |v_k|^2 = 1$$

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$$\mathcal{H} = \sum_{k\sigma} \xi_k \left[(|u_k|^2 - |v_k|^2) \gamma_{k\sigma}^\dagger \gamma_{k\sigma} + 2|v_k|^2 + 2(u_k v_k^* \gamma_{k\uparrow}^\dagger \gamma_{k\downarrow}^\dagger + \text{h.c.}) \right]$$

$$+ \sum_{k\sigma} \left(\Delta_k u_k v_k^* + \Delta_k^* u_k^* v_k \right) \left(\gamma_{k\sigma}^\dagger \gamma_{k\sigma} + \frac{1}{2} \right)$$

$$+ \sum_{k\uparrow} \left[(\Delta_k^* v_k^2 - \Delta_k u_k^2) \gamma_{k\uparrow}^\dagger \gamma_{k\downarrow}^\dagger + \text{h.c.} + \Delta_k \langle b_k^+ \rangle \right]$$

$$\mathcal{H}^{\text{MF}} = \sum_{\mathbf{k}\sigma} \xi_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} - \sum_{\mathbf{k}} \left(\Delta_{\mathbf{k}} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger + \Delta_{\mathbf{k}}^* c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} - \Delta_{\mathbf{k}} \langle b_{\mathbf{k}}^+ \rangle \right)$$

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We require

$$2 \xi_L \mu_L v_L + \Delta_L^* v_L^2 - \Delta_L \mu_L^2 = 0 \quad / \quad \frac{\Delta_L^*}{\mu_L^2}$$

$$\frac{\Delta_L^* v_L}{\mu_L} = \underbrace{\sqrt{\xi_L^2 + |\Delta_L|^2}}_{E_L} - \xi_L \equiv E_L - \xi_L$$

$$\mathcal{H} = \underbrace{\sum_{k,\sigma} E_k \gamma_{k\sigma}^\dagger \gamma_{k\sigma}}_{\text{excitations}} + \underbrace{\sum_k (\xi_k - E_k + \Delta_k \langle b_k^\dagger \rangle)}_{E_s \text{ ground state energy}}, \quad E_k = \sqrt{\xi_k^2 + |\Delta_k|^2}$$

$$\psi_H = \underbrace{\sum_{k,\sigma} E_k \gamma_{k\sigma}^\dagger \gamma_{k\sigma}}_{\text{excitations}} + \underbrace{\sum_k (\xi_k - E_k + \Delta_k \langle b_k^\dagger \rangle)}_{E_s \text{ ground state energy}},$$

$$E_k = \sqrt{\xi_k^2 + |\Delta_k|^2} \geq 0$$

ground state is the vacuum of γ 's

$$\gamma_{k\sigma} |\Psi_0\rangle = 0$$

Calculation of Δ_k :

$$\Delta_k = - \sum_{\ell} V_{k\ell} \langle c_{-\ell\downarrow} c_{\ell\uparrow} \rangle$$

Calculation of Δ_c

$$\Delta_c = - \sum_c V_{kc} \langle c_{-c\uparrow} c_{c\uparrow} \rangle = - \sum_c V_{kc} \langle 1 - \gamma_{k\uparrow}^+ \gamma_{c\uparrow} - \gamma_{kc}^+ \gamma_{c\downarrow} \rangle, \quad \langle \gamma_{k\uparrow}^+ \gamma_{c\downarrow} \rangle = 0$$

In thermal equilibrium

$$\langle \gamma_{k\uparrow}^+ \gamma_{k\uparrow} \rangle = f(E_c) = \frac{1}{e^{\beta E_c} + 1}$$

$$\begin{aligned} \Delta_c &= - \sum_c V_{kc} u_c^* v_c [1 - 2f(E_c)] \\ &= - \sum_c V_{kc} \frac{\Delta_c}{2E_c} \tanh\left(\frac{\beta E_c}{2}\right) \end{aligned}$$

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Solve the BCS gap eq.

(Cooper ansatz: $V_{kc} = -V \Rightarrow \Delta_k = \Delta$)

$$\boxed{\frac{\Delta}{V} = \frac{1}{2} \sum_k \frac{\tanh(\frac{1}{2}\beta E_k)}{E_k}}$$

Non-trivial solution $\Delta \neq 0$

$$\frac{1}{V} = \int_0^{\hbar\omega_c} d\xi \underbrace{N(\xi)}_{\approx N(0)} \frac{\tanh \frac{1}{2}(\beta \sqrt{\xi^2 + \Delta^2})}{\sqrt{\xi^2 + \Delta^2}}, \quad \beta = \frac{1}{k_B T}$$

BCS gap eq. at finite T

$$\frac{1}{N(0)V} = \int_0^{\hbar\omega_c} d\xi \frac{\tanh \frac{1}{2}(\beta \sqrt{\xi^2 + \Delta^2})}{\sqrt{\xi^2 + \Delta^2}}$$

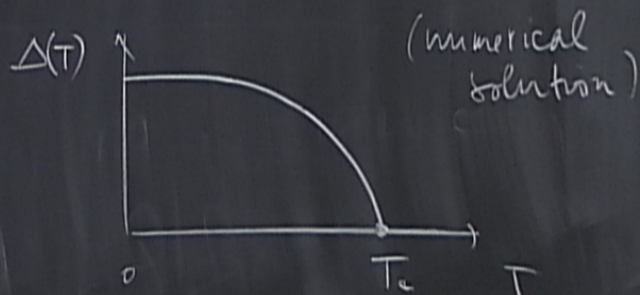
- implicit E_F for $\Delta(T)$.



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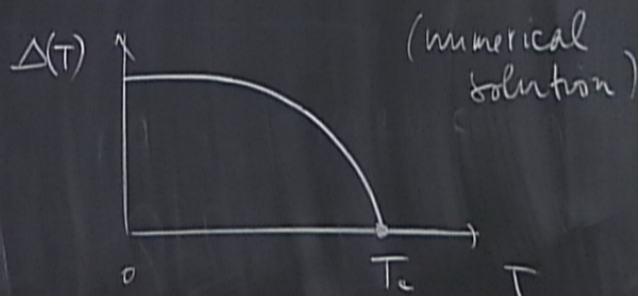
At $T=0$

$$\Delta(0) = 2\hbar\omega_c e^{-1/N(0)V}$$

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$$x = \frac{1}{2} \frac{\xi}{k_B T}$$

Determination of T_c

$$\Delta(T_c) \rightarrow 0, \quad E_c \rightarrow \xi_c$$

$$\frac{1}{N(0)V} = \int_0^{\hbar\omega_c/k_B T} dx \frac{\tanh x}{x}$$

$$= \ln(A\beta\hbar\omega_c), \quad A = \frac{2\gamma}{\pi} \approx 1.13$$

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$$k_B T_c = \frac{1}{\beta_c} = 1.13 \hbar\omega_c e^{-1/N(0)V}$$

← BCS critical temperature

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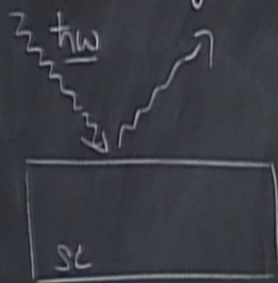
BCS ratio:

$$\frac{\Delta(0)}{k_B T_c} = \frac{2}{1.13} \approx 1.764$$

Experiment: 1.5 - 2.3

• Excitation gap $|\Delta(T)|$

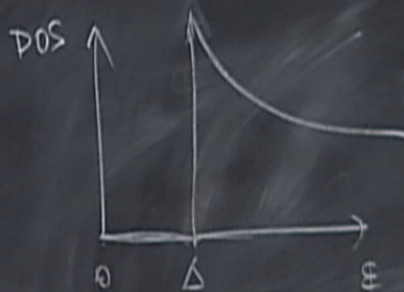
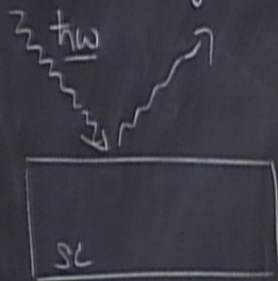
Tunneling & optical absorption:



No absorption
for $\hbar\omega < 2\Delta$

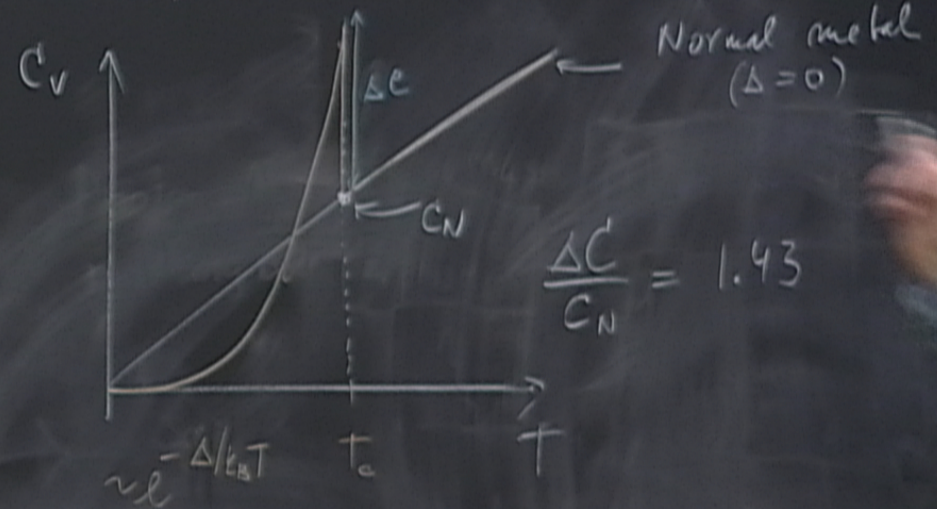
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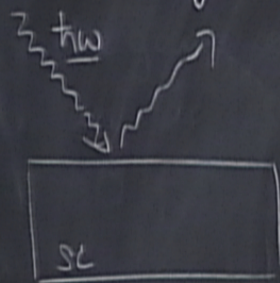
No absorption
for $h\nu < 2\Delta$

• Specific heat jump.



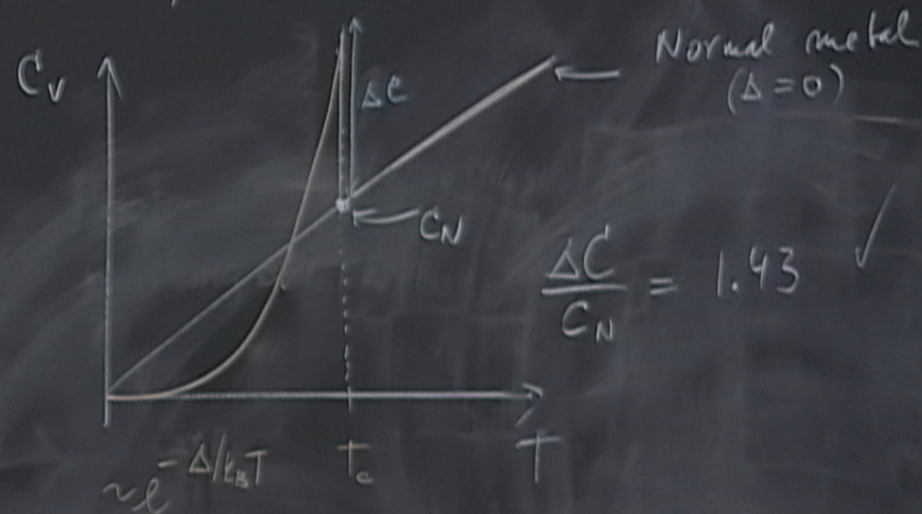
• Excitation gap $|\Delta(T)|$

Tunneling & optical absorption:



No absorption
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• Specific heat jump.



$$\frac{\Delta C}{C_N} = 1.43 \quad \checkmark$$

EM properties, Ginzburg-Landau theory (1950)

GL

Describe Cooper pair condensate by a complex wavefunction

$$\Psi(\vec{r}) = |\Psi(\vec{r})| e^{i\varphi(\vec{r})}$$

$$|\Psi|^2 = n_s \quad \text{"condensate density"}$$

$$\varphi \quad - \quad \text{condensate phase}$$

GL Free energy functional

$$F[\Psi] = \int d^3r \left\{ \frac{1}{2m^*} |(\vec{p} - \frac{e^*}{c} \vec{A}) \Psi|^2 + \frac{1}{2} \alpha |\Psi|^2 + \beta |\Psi|^4 \right\}$$

$m^* \approx 2m_e$, $e^* = 2e$ mass & charge of Cooper pairs

α, β - GL phenomenological parameters (material dependent)

$\alpha \approx \alpha_0 (T - T_c)$, $\beta \sim \text{temp indep}$

Find Ψ that minimizes $F[\Psi]$; $\frac{\delta F[\Psi]}{\delta \Psi^*} = 0$

\Rightarrow GL equation:
 $\frac{1}{2m^*} (\vec{p} -$

\Rightarrow GL equation:

$$\frac{1}{2m^*} (\vec{p} - \frac{e^*}{c} \vec{A}) \psi + \alpha \psi + \beta |\psi|^2 \psi = 0$$

\Rightarrow GL equation:

$$\frac{1}{2m^*} (\vec{p} - \frac{e^*}{c} \vec{A})^2 \psi + \alpha \psi + \beta |\psi|^2 \psi = 0$$

uniform case, $\vec{A} = 0$, $\psi = \text{const.}$

$$\alpha \psi + \beta |\psi|^2 \psi = 0$$

$$|\psi| = \sqrt{-\frac{\alpha}{\beta}}$$

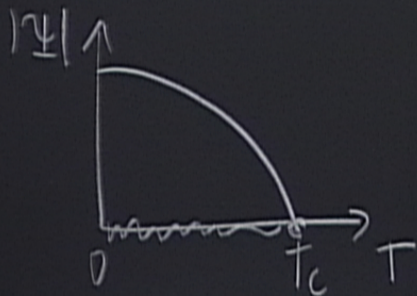
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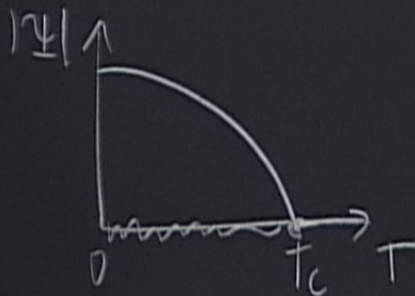
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Supercurrent:

$$\vec{j} = \frac{\delta F[\psi]}{\delta \vec{A}} =$$

Supercurrent:

$$\psi = 0$$

$$\vec{j} = \frac{\delta F[\psi]}{\delta \vec{A}} = \frac{e^*}{m^*} |\psi|^2 (\hbar \vec{\nabla} \varphi - \frac{e^*}{c} \vec{A})$$

Gauge invariance

$$\vec{A} \rightarrow \vec{A} - \vec{\nabla} \lambda$$
$$\psi \rightarrow e^{i\lambda} \psi$$

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Gauge invariance $\vec{A} \rightarrow \vec{A} - \vec{\nabla} \lambda$
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London approximation: $|\psi|^2 = n_s = \text{const.}$

$$\vec{j} = \frac{e^* n_s}{m^*} (\hbar \vec{\nabla} \varphi - \frac{e^*}{c} \vec{A})$$

$$\frac{e^*}{m^*} |\psi|^2 (\hbar \vec{\nabla} \varphi - \frac{e^*}{c} \vec{A})$$

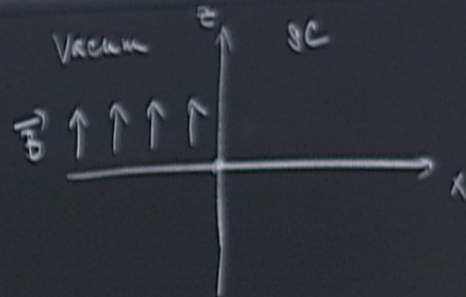
$$\vec{A} = \vec{\nabla} \chi$$

$$e^{i\chi} \psi$$

$$|\psi|^2 = n_s = \text{const.}$$

$$\vec{\nabla} \varphi - \frac{e^*}{c} \vec{A} \quad \vec{\nabla} \times$$

• The Meissner effect



$$\vec{\nabla} \times \vec{j} = - \frac{e^{*2} n_s}{m^* c} \vec{B} \quad / \quad \vec{\nabla} \times$$

Ampère's law: $\vec{\nabla} \times \vec{B} = \frac{4\pi}{c} \vec{j} \quad / \quad \vec{\nabla} \times$

$$\frac{c}{4\pi} \underbrace{\vec{\nabla} \times \vec{\nabla} \times}_{-\nabla^2} \vec{B} = - \frac{e^{*2} n_s}{m^* c} \vec{B}$$

$$\boxed{\nabla^2 \vec{B} = - \frac{1}{\lambda_L^2} \vec{B}}$$

$$\lambda_L^2 = \frac{m^* c}{4\pi e^{*2} n_s} \quad \text{"London penetration depth"}$$

$$\frac{e^*}{m^*} |\psi|^2 (\hbar \vec{\nabla} \varphi - \frac{e^*}{c} \vec{A})$$

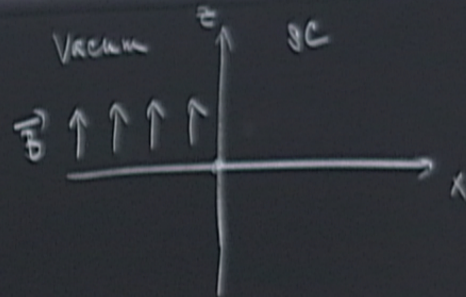
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$$\vec{B} = B(x) \hat{z}$$

$$\frac{\partial^2}{\partial x^2} B = \frac{1}{\lambda_L^2} B$$

$$\Rightarrow \boxed{B(x) = B(0) e^{-x/\lambda_L}}$$

- magnetic field decays exponentially inside the SC.

$$\lambda_L \approx 10^2 - 10^4 \text{ \AA}$$

$$= \frac{e^*}{m^*} |\psi|^2 (\hbar \vec{\nabla} \varphi - \frac{e^*}{c} \vec{A})$$

$$\vec{A} = \vec{\nabla} \chi$$

$$\rightarrow e^{i\chi} \psi$$

$$|\psi|^2 = n_s = \text{const.}$$

$$\hbar \vec{\nabla} \varphi - \frac{e^*}{c} \vec{A}$$

$$\vec{\nabla} \times$$

• The Meissner effect

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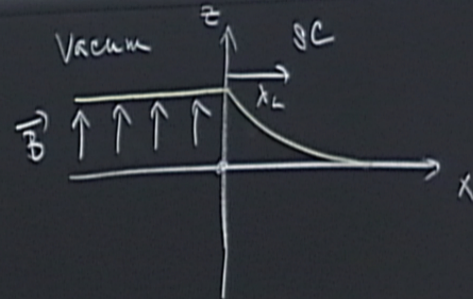
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"London penetration depth"



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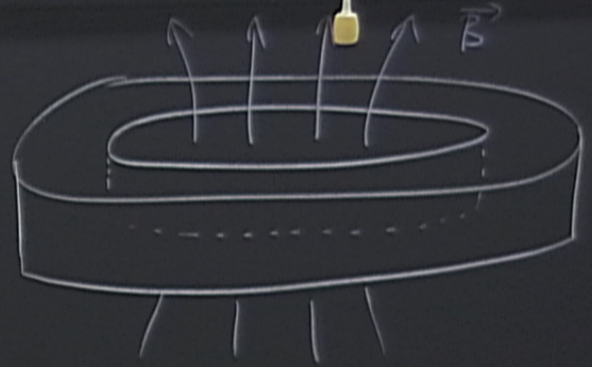
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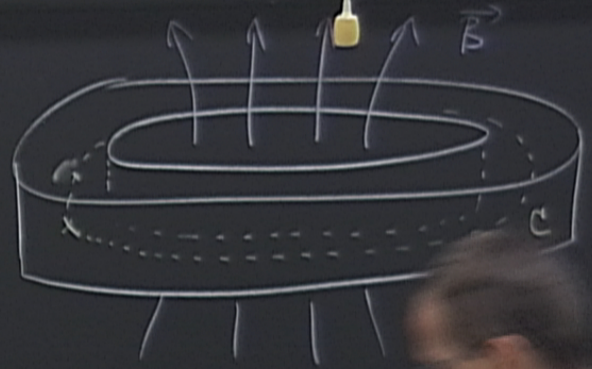
Shielding currents

$$\vec{j} = \hat{j} j(0) e^{-x/\lambda_L}$$

- Flux Quantisation in a S ring.



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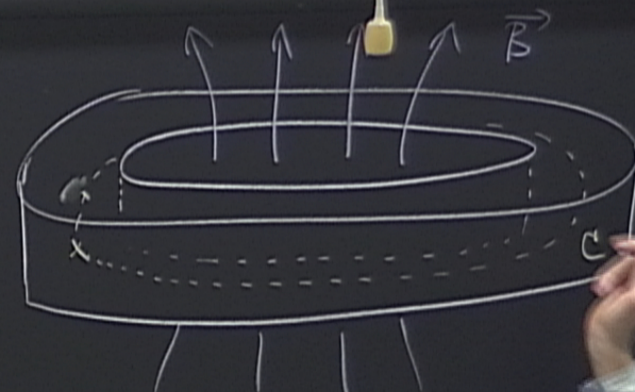


- Flux quantisation in a SC ring.

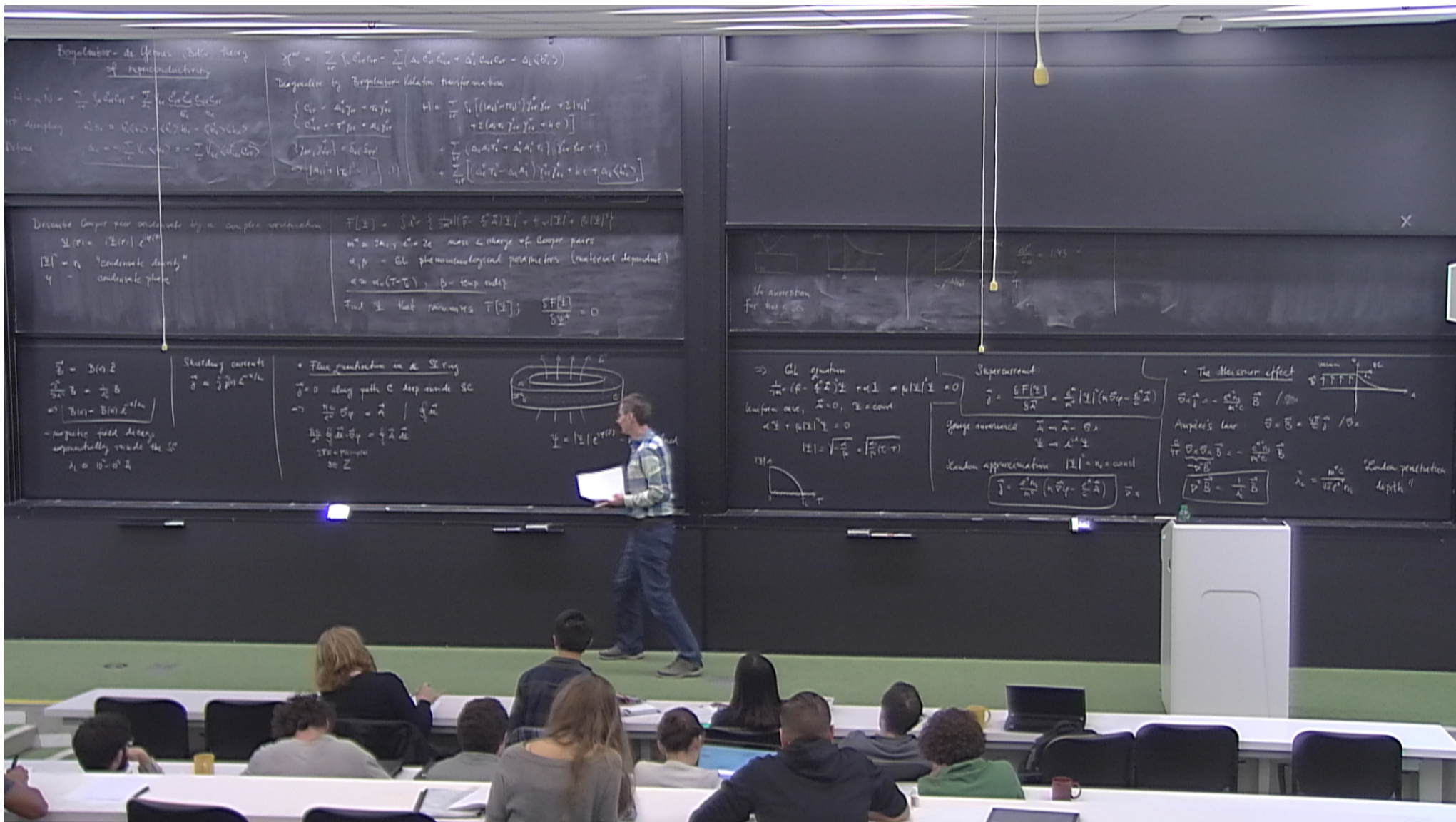
$\vec{j} = 0$ along path C deep inside SC

$$\Rightarrow \frac{\hbar c}{e^*} \vec{\nabla} \varphi = \vec{A} \quad \bigg| \quad \oint_C \vec{A} \cdot d\vec{\ell}$$

$$\frac{\hbar c}{e^*} \oint_C \vec{A} \cdot d\vec{\ell} = \oint_C \vec{A} \cdot d\vec{\ell} \quad \varphi(C) - \varphi(0)$$



$$\psi = |\psi| e^{i\varphi(\vec{r})}$$



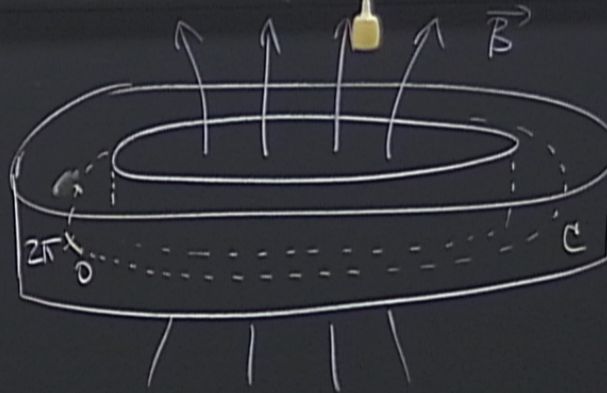
quantization in a SC ring.

any path C deep inside SC

$$\nabla\varphi = \vec{A} \quad \bigg| \quad \oint_C \vec{A} \cdot d\vec{e}$$

$$\oint_C \vec{A} \cdot d\vec{e} = \int d^2S (\underbrace{\nabla \times \vec{A}}_{\vec{B}}) = \Phi \quad \leftarrow \text{mag flux}$$

$$\Phi = 2\pi s \frac{\hbar c}{e^*} = \Phi_0 s, \quad s \in \mathbb{Z}$$



$$\psi = |\psi| e^{i\varphi(\vec{r})} \quad - \text{single valued}$$

$$\Phi_0 = \frac{hc}{2e} \quad \text{"SC flux quantum"}$$

"Little-Parks experiment"

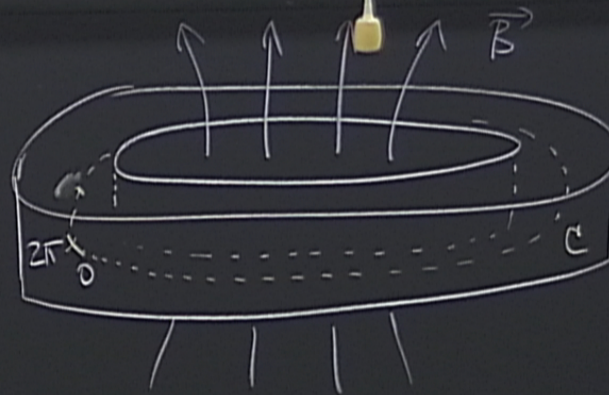
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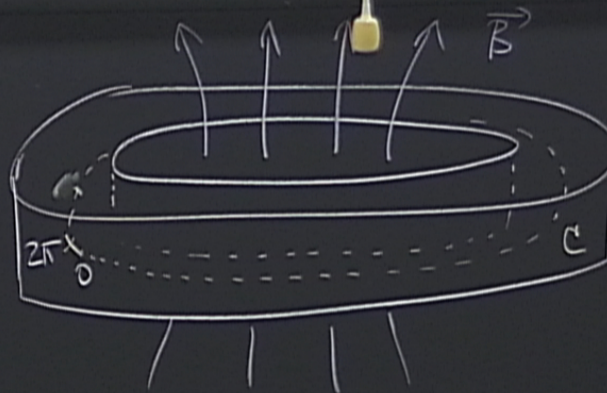
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"Little-Parks experiment"

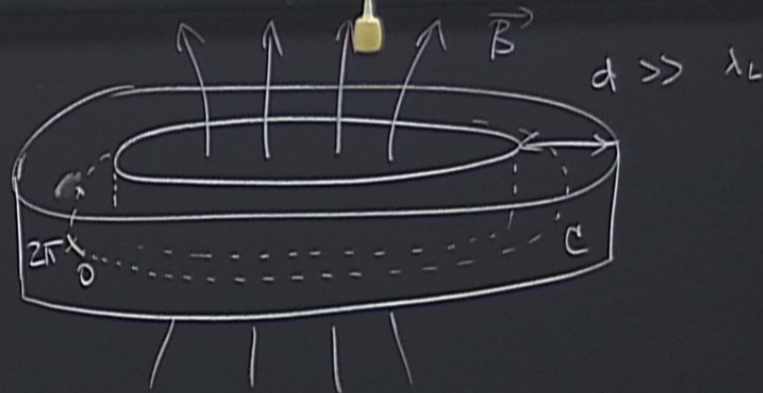
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"Little-Parks experiment"

$$= \frac{e^*}{m^*} |\psi|^2 (\hbar \vec{\nabla} \varphi - \frac{e^*}{c} \vec{A})$$

$$\vec{A} = \vec{\nabla} \chi$$

$$\rightarrow e^{i\chi} \psi$$

$$|\psi|^2 = n_s = \text{const.}$$

$$\hbar \vec{\nabla} \varphi - \frac{e^*}{c} \vec{A} \quad \vec{\nabla} \times$$

• The Meissner effect

$$\vec{\nabla} \times \vec{j} = - \frac{e^{*2} n_s}{m^* c} \vec{B}$$

Ampère's law: $\vec{\nabla} \times \vec{B} = \frac{4\pi}{c} \vec{j}$

$$\frac{c}{4\pi} \underbrace{\vec{\nabla} \times \vec{\nabla} \times}_{-\nabla^2} \vec{B} = - \frac{e^{*2} n_s}{m^* c} \vec{B}$$

$$\boxed{\nabla^2 \vec{B} = \frac{1}{\lambda_L^2} \vec{B}}$$

$$\lambda_L^2 = \frac{m^* c}{4\pi e^{*2} n_s}$$

"London penetration depth"

