

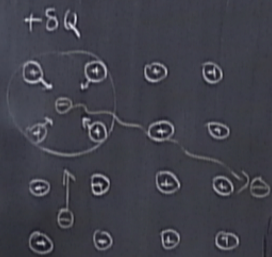
Title: Condensed Matter-14

Date: Nov 27, 2014 10:30 AM

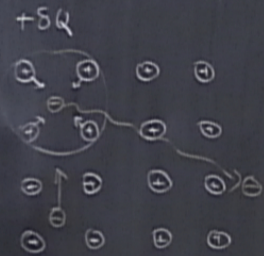
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Abstract:

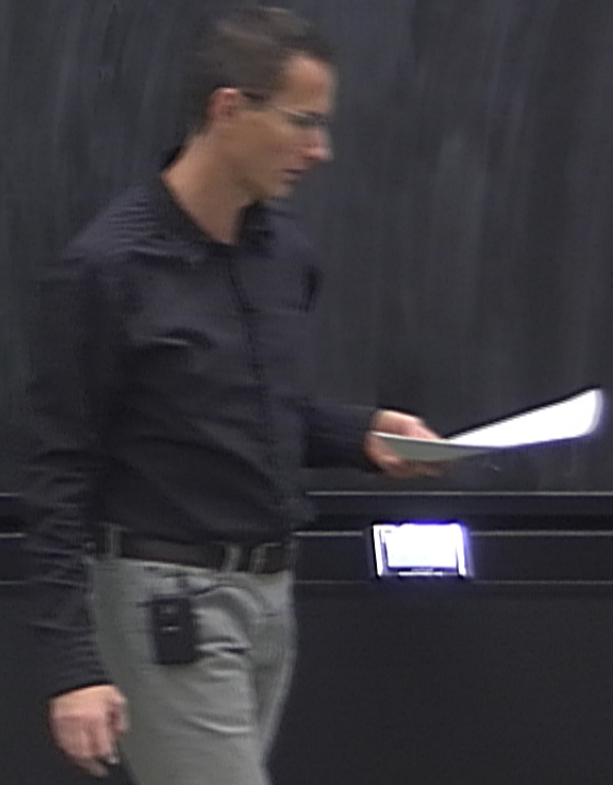
Origin of the attractive interaction:
electron-phonon coupling.



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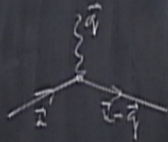


- Fröhlich (1950-52)
- Isotope effect



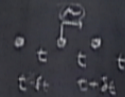
Origin of the attractive interaction:
electron-phonon coupling.

- Frolich (1950-52)
- Isotope effect
 $M^{1/2} T_c \approx \text{const}$
↑ ion mass



Frolich Hamiltonian

$$H = \sum_c \epsilon_c c_c^\dagger c_c + \sum_q \omega_q a_q^\dagger a_q + \lambda \sum_{k, q} (a_q - a_{-q}^\dagger) c_{k+q}^\dagger c_k$$



effective attractive e-e interaction

$$H e^S = H + [H, S] + \frac{1}{2} [[H, S], S] + \dots$$

\tilde{H} is indep. of λ to first order.
[perturbation theory.]

$$\langle \phi_n | H_0 S - S H_0 | \phi_m \rangle = -\lambda \langle \phi_n | H' | \phi_m \rangle$$

$$\langle \phi_n | S | \phi_m \rangle (E_n - E_m) = \dots$$

$$[H, S] + \lambda [H', S] + \dots$$

$\sim \lambda^2$

→ take a matrix element
 $\langle \phi_m | \dots | \phi_m \rangle$

$$\langle \phi_n | S | \phi_m \rangle = \lambda \dots$$

$$H e^S = H + [H, S] + \frac{1}{2} [[H, S], S] + \dots$$

\tilde{H} is indep. of λ to first order. [perturbation theory.]

$$\langle \phi_n | H_0 S - S \tilde{H}_0 | \phi_m \rangle = -\lambda \langle \phi_n | H' | \phi_m \rangle$$

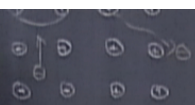
$$\langle \phi_n | S | \phi_m \rangle (\epsilon_n - \epsilon_m) = \dots$$

$[H, S] + \lambda [H', S] + \dots$
 $\sim \lambda^2$
 \rightarrow take a matrix element
 $\langle \phi_m | \phi_m \rangle$

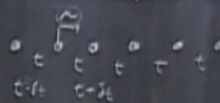
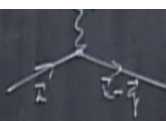
$$\langle \phi_n | S | \phi_m \rangle = \lambda \frac{\langle \phi_n | H' | \phi_m \rangle}{\epsilon_m - \epsilon_n}$$

$$\tilde{H} = H_0 + \lambda [H', S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H', S], S] + \dots$$

$$\tilde{H} = H_0 + \frac{1}{2} \lambda [H', S] + O(\lambda^3)$$



isotope effect
 $M^{1/2} T_c \approx \text{const.}$
 ↑ ion mass



$\lambda H'$

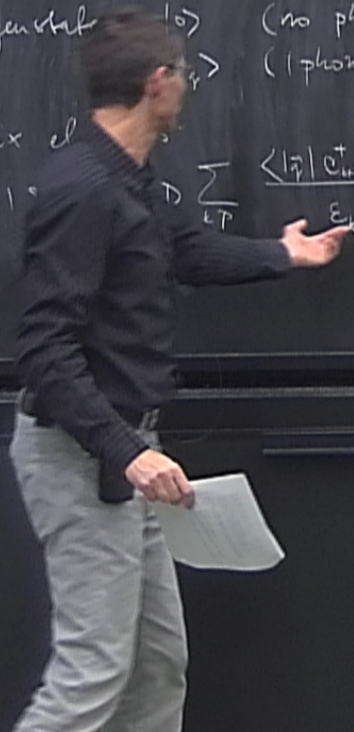
Derivation by canonical transformation
 Consider $H = H_0 + \lambda H'$

- Apply this to e-ph Hamiltonian
- Consider $T=0$ (no phonons in the ground state)

Eigenstates $|0\rangle$ (no phonon)
 $|\vec{q}\rangle$ (1 phonon momentum \vec{q})

Matrix el

$\langle 1_{\vec{q}} | \dots \sum_{kT} \frac{\langle 1_{\vec{q}} | c_{k+q}^\dagger c_k (a_p - a_{-p}^\dagger) | 0 \rangle}{E_k - E_{k+q} - \omega_q}$



ion mass

Consider

- Apply this to e-ph Hamiltonian
- Consider $T=0$ (no phonons in the ground state)

Eigenstates $|0\rangle$ (no phonon)
 $|1_{\vec{q}}\rangle$ (1 phonon momentum \vec{q})

Matrix elements $\vec{p} = -\vec{q}$

$$\langle 1_{\vec{q}} | S | 0 \rangle = iD \sum_{\vec{k}} \frac{\langle 1_{\vec{q}} | c_{\vec{k}+\vec{q}}^\dagger c_{\vec{k}} (c_{\vec{k}} - a_{-\vec{q}}^\dagger) | 0 \rangle}{\epsilon_{\vec{k}} - \epsilon_{\vec{k}+\vec{q}} - \omega_{\vec{q}}}$$

$$= -iD \sum_{\vec{k}} \frac{c_{\vec{k}-\vec{q}}^\dagger c_{\vec{k}}}{\epsilon_{\vec{k}} - \epsilon_{\vec{k}-\vec{q}} - \omega_{\vec{q}}}$$

$$\langle 0 | S | 1_{\vec{q}} \rangle = iD \sum_{\vec{k}} \frac{c_{\vec{k}-\vec{q}}^\dagger c_{\vec{k}}}{\epsilon_{\vec{k}} - \epsilon_{\vec{k}+\vec{q}} + \omega_{\vec{q}}}$$



ion mass

Consider

- Apply this to e-ph Hamiltonian
- Consider $T=0$ (no phonons in the ground state)

Eigenstates $|0\rangle$ (no phonon)
 $|1_{\vec{q}}\rangle$ (1 phonon with \vec{q})

Matrix elements

$$\langle 1_{\vec{q}} | S | 0 \rangle = iD \sum_{\vec{k}} \frac{\langle 1_{\vec{q}} | c_{\vec{k}+\vec{q}}^\dagger c_{\vec{k}} | 0 \rangle}{\epsilon_{\vec{k}}}$$

$$= -iD \sum_{\vec{k}} \frac{c_{\vec{k}-\vec{q}}^\dagger c_{\vec{k}}}{\epsilon_{\vec{k}} - \epsilon_{\vec{k}-\vec{q}}}$$

$$\langle 0 | S | 1_{\vec{q}} \rangle = iD \sum_{\vec{k}} \frac{c_{\vec{k}-\vec{q}}^\dagger c_{\vec{k}}}{\epsilon_{\vec{k}} - \epsilon_{\vec{k}+\vec{q}} + \omega_{\vec{q}}}$$

ion mass

Consider

- Apply this to e-ph Hamiltonian
- Consider $T=0$ (no phonons in the ground state)

Eigenstates $|0\rangle|0\rangle$ (no phonon)
 $|1_{\vec{q}}\rangle$ (1 phonon momentum \vec{q})

Matrix elements

$$\langle 1_{\vec{q}} | S | 0 \rangle = iD \sum_{\vec{k}} \frac{\langle 1_{\vec{q}} | c_{\vec{k}+\vec{q}}^\dagger c_{\vec{k}} (a_{\vec{k}}^\dagger - a_{-\vec{k}}^\dagger) | 0 \rangle}{\epsilon_{\vec{k}} - \epsilon_{\vec{k}+\vec{q}} - \omega_{\vec{q}}}$$

$\vec{k} = -\vec{q}$

$$= -iD \sum_{\vec{k}} \frac{c_{\vec{k}-\vec{q}}^\dagger c_{\vec{k}}}{\epsilon_{\vec{k}} - \epsilon_{\vec{k}-\vec{q}} - \omega_{\vec{q}}}$$

$$\langle 0 | S | 1_{\vec{q}} \rangle = iD \sum_{\vec{k}} \frac{c_{\vec{k}-\vec{q}}^\dagger c_{\vec{k}}}{\epsilon_{\vec{k}} - \epsilon_{\vec{k}+\vec{q}} + \omega_{\vec{q}}}$$

Consider $H = H_0 + \lambda H'$

$$\langle 0|S|\vec{q}\rangle = iD \sum_i \frac{c_{i+\vec{q}}^\dagger c_i}{\epsilon_i - \epsilon_{i+\vec{q}} + \omega_{\vec{q}}} = \frac{1}{2} D^2 \sum_{i,j} \dots$$

$$\begin{aligned} \langle 0|[H', S]|0\rangle &= \langle 0|H'S|0\rangle - \langle 0|SH'|0\rangle \\ &= \sum_{\vec{q}} \left[\langle 0|H'|\vec{q}\rangle \langle \vec{q}|S|0\rangle - \langle 0|S|\vec{q}\rangle \langle \vec{q}|H'|0\rangle \right] \end{aligned}$$

Consider $H = H_0 + \lambda H'$

and state) $\langle 0|S|1_{\vec{q}}\rangle = iD \sum_i \frac{c_{i+\vec{q}}^\dagger c_i}{\epsilon_i - \epsilon_{i+\vec{q}} + \omega_{\vec{q}}}$

\vec{q}
 $-\vec{q}$

$$\langle 0|[H', S]|0\rangle = \langle 0|H'_S|0\rangle - \langle 0|S H'|0\rangle$$

$\mathbb{1} = \sum_{\vec{q}} |1_{\vec{q}}\rangle \langle 1_{\vec{q}}|$

$$= \sum_{\vec{q}} \left[\langle 0|H'|1_{\vec{q}}\rangle \langle 1_{\vec{q}}|S|0\rangle - \langle 0|S|1_{\vec{q}}\rangle \langle 1_{\vec{q}}|H'|0\rangle \right]$$

$$= \frac{1}{2} D^2 \sum_{\vec{k}} c_{i-\vec{q}}^\dagger c_i c_{k-\vec{q}}^\dagger c_k$$

$$\times \left(\frac{1}{\epsilon_i - \epsilon_{i-\vec{q}} - \omega_{\vec{q}}} - \frac{1}{\epsilon_i - \epsilon_{i+\vec{q}} + \omega_{\vec{q}}} \right)$$

reverse $\vec{k} \leftrightarrow -\vec{k}$
 $\vec{q} \rightarrow -\vec{q}$

$$\tilde{H}' = D^2 \sum_{\vec{k}, \vec{q}} \frac{\omega_{\vec{q}}}{(\epsilon_k - \epsilon_{k-\vec{q}})^2 - \omega_{\vec{q}}^2} c_{i-\vec{q}}^\dagger c_{k-\vec{q}}^\dagger c_k c_i$$

Tranf $H \rightarrow \tilde{H} = e^{-S} H e^S = H + [H, S] + \frac{1}{2} [[H, S], S] + \dots$ $\langle \phi_n | \tilde{H}_0 S - S \tilde{H}_0 | \phi_m \rangle = -\lambda \langle \phi_n | H' | \phi_m \rangle$

Goal Find S so that \tilde{H} indep. of λ to first order.
 [Schrieffer-Wolff perturbation]

$$\langle \phi_n | S | \phi_m \rangle (\epsilon_n - \epsilon_m) = \dots$$

$$\langle \phi_n | S | \phi_m \rangle = \lambda \frac{\langle \phi_n | H' | \phi_m \rangle}{\epsilon_m - \epsilon_n}$$

$$\tilde{H} = H_0 + \underbrace{(\lambda H' + [H_0, S])}_{=0} + \dots$$

$$\tilde{H} = H_0 + \lambda [H', S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H', S], S] + \dots$$

$S \sim \lambda$
 $[H_0, S] = -\lambda H' \rightarrow$ element

$$\tilde{H} = H_0 + \frac{1}{2} \lambda [H', S] + O(\lambda^2)$$

Adopt a basis: $H_0 | \phi_n \rangle = \epsilon_n | \phi_n \rangle$

[Schrieffer-Noolf perturbation theory]

$$\tilde{H} = H_0 + \underbrace{(\lambda H' + [H_0, S])}_{=0} + \underbrace{\lambda [H', S]}_{\sim \lambda^2} + \dots$$

$S \sim \lambda$

$$[H_0, S] = -\lambda H' \rightarrow \text{take a matrix element}$$

Adopt a basis: $H_0 |\phi_n\rangle = \epsilon_n |\phi_n\rangle$

$$\langle \phi_n | S | \phi_m \rangle = \lambda \frac{\langle \phi_n | H' | \phi_m \rangle}{\epsilon_m - \epsilon_n}$$

$$S = H_0 + \lambda [H', S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H', S], S] + \dots$$

$$\tilde{H} = H_0 + \frac{1}{2} \lambda [H', S] + O(\lambda^3)$$

The BCS ground state (1956)

$$|\Psi_0\rangle = \prod_k (u_k + v_k c_{k\uparrow}^\dagger c_{k\downarrow}^\dagger) |0\rangle$$

$$|u_k|^2 + |v_k|^2 = 1$$

$|v_k|^2$ - probability that a pair
($\vec{k}\uparrow, -\vec{k}\downarrow$) is occupied



[Schrieffer-Hooff perturbation theory]

$$\tilde{H} = H_0 + \underbrace{(\lambda H' + [H_0, S])}_{=0} + \underbrace{\lambda [H', S]}_{\sim \lambda^2} + \dots$$

$S \sim \lambda$

$[H_0, S] = -\lambda H' \rightarrow$ take a matrix element

Adopt a basis: $H_0 |\phi_n\rangle = \epsilon_n |\phi_n\rangle$

$$\langle \phi_n | S | \phi_m \rangle = \lambda \frac{\langle \phi_n | H' | \phi_m \rangle}{\epsilon_m - \epsilon_n}$$

$$S = H_0 + \lambda [H', S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H', S], S] + \dots$$

$$\tilde{H} = H_0 + \frac{1}{2} \lambda [H', S] + O(\lambda^3)$$

The BCS ground state (1956)

$$|g\rangle = \prod_k (u_k + \tau_k c_{k\uparrow}^\dagger c_{k\downarrow}^\dagger) |0\rangle$$

$$|\tau_k|^2 = 1$$

probability that a pair is occupied

[Schrieffer-Hooff perturbation theory]

$$\tilde{H} = H_0 + \underbrace{(\lambda H' + [H_0, S])}_{=0} + \underbrace{\lambda [H', S]}_{\sim \lambda^2} + \dots$$

$S \sim \lambda$

$$[H_0, S] = -\lambda H' \rightarrow \text{take a matrix element}$$

Adopt a basis: $H_0 |\phi_n\rangle = \epsilon_n |\phi_n\rangle$

$$\langle \phi_n | S | \phi_m \rangle = \lambda \frac{\langle \phi_n | H' | \phi_m \rangle}{\epsilon_m - \epsilon_n}$$

$$S = H_0 + \lambda [H', S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H', S], S] + \dots$$

$$\tilde{H} = H_0 + \frac{1}{2} \lambda [H', S] + O(\lambda^3)$$

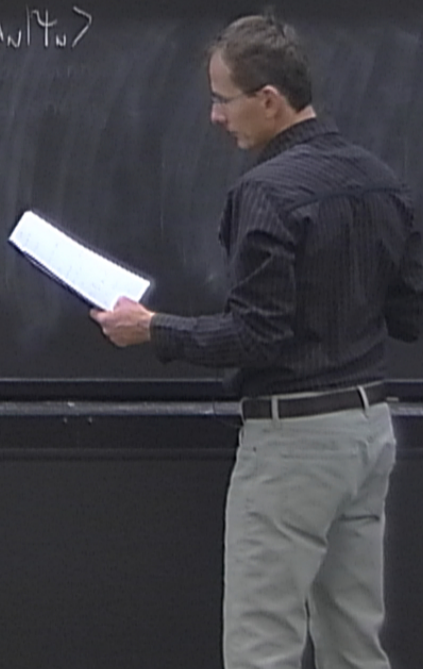
The BCS ground state (1956)

$$|\Psi_c\rangle = \prod_k (u_k + v_k c_{k\uparrow}^\dagger c_{k\downarrow}^\dagger) |0\rangle \quad |\Psi_c\rangle = \sum_N \lambda_N |\Psi_N\rangle$$

$$|u_k|^2 + |v_k|^2 = 1$$

$|v_k|^2$ - probability that a pair $(\vec{k}\uparrow, -\vec{k}\downarrow)$ is occupied

of electrons



$$H = H_0 + \underbrace{(\lambda H' + [H_0, S])}_{=0} + \underbrace{\lambda [H', S]}_{\sim \lambda^2} + \dots$$

$S \sim \lambda$
 $[H_0, S] = -\lambda H' \rightarrow$ take a matrix element

Adopt a basis: $H_0 |\phi_m\rangle = \epsilon_m |\phi_m\rangle$

$$\tilde{H} = H_0 + \lambda [H', S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H', S], S] + \dots$$

$$\tilde{H} = H_0 + \frac{1}{2} \lambda [H', S] + O(\lambda^3)$$

$\tilde{H} \sim H'$

The BCS ground state (1956)

$$\frac{1}{\Omega} \sum_k \rightarrow \int \frac{d^3k}{(2\pi)^3}$$

$$|\Psi_c\rangle = \prod_k (u_k + \sigma_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger) |0\rangle$$

$$|u_k|^2 + |\sigma_k|^2 = 1$$

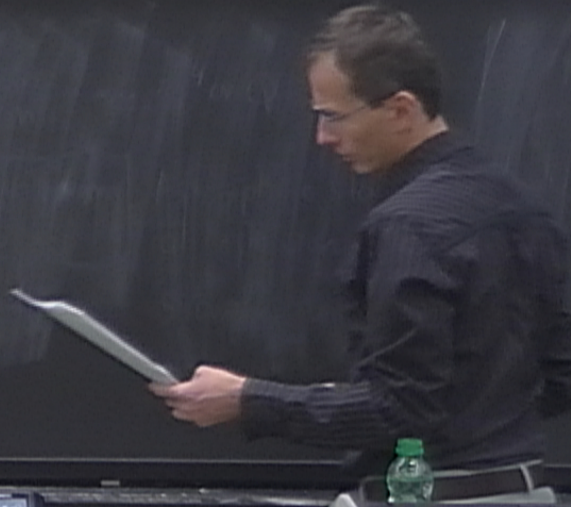
$|\sigma_k|^2$ - probability that a pair $(\vec{k}\uparrow, -\vec{k}\downarrow)$ is occupied

of electrons

$$|\Psi_c\rangle = \sum_N \lambda_N |\Psi_N\rangle$$

λ_N - sharply peaked around \bar{N} .

$$\bar{N} = \langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2|u_k|^2 \sim \Omega$$



$$H = H_0 + \underbrace{(\lambda H' + [H_0, S])}_{=0} + \underbrace{\lambda [H', S]}_{\sim \lambda^2} + \dots$$

$S \sim \lambda$
 $[H_0, S] = -\lambda H' \rightarrow$ take a matrix element

Adapt a basis: $H_0 |\phi_m\rangle = \epsilon_m |\phi_m\rangle$

$$\langle \phi_n | S | \phi_m \rangle = \lambda \frac{\epsilon_m - \epsilon_n}{\epsilon_m - \epsilon_n}$$

$$\tilde{H} = H_0 + \lambda [H', S] + \frac{1}{2} [[H_0, S], S] + \frac{1}{2} [[H', S], S] + \dots$$

$$\tilde{H} = H_0 + \frac{1}{2} \lambda [H', S] + O(\lambda^3)$$

The BCS ground state (1956)

$$\frac{1}{2} \sum_{\mathbf{k}} \rightarrow \int \frac{d^3k}{(2\pi)^3}$$

$$|\Psi_c\rangle = \prod_{\mathbf{k}} (u_{\mathbf{k}} + v_{\mathbf{k}} c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger}) |0\rangle$$

$$|\Psi_c\rangle = \sum_N \lambda_N |\Psi_N\rangle$$

λ_N - sharply peaked around \bar{N} .

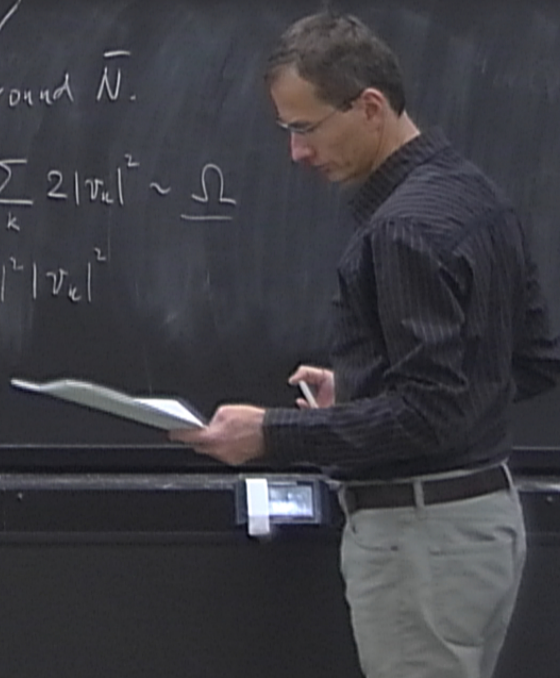
$$|u_{\mathbf{k}}|^2 + |v_{\mathbf{k}}|^2 = 1$$

$|v_{\mathbf{k}}|^2$ - probability that a pair $(\vec{k}\uparrow, -\vec{k}\downarrow)$ is occupied

$$\bar{N} = \langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_{\mathbf{k}} 2|v_{\mathbf{k}}|^2 \sim \Omega$$

$$\langle (\hat{N} - \bar{N})^2 \rangle = 4 \sum_{\mathbf{k}} |u_{\mathbf{k}}|^2 |v_{\mathbf{k}}|^2$$

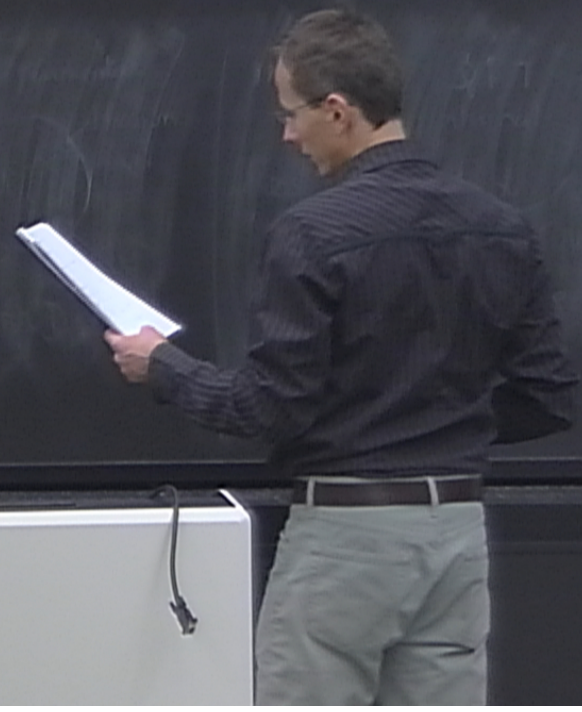
of electrons



$(n+1)\psi_m - \lambda \epsilon_m - \epsilon_n$
 $\tilde{H} = H_0 + \lambda [H', S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H', S], S] + \dots$
 $\tilde{H} = H_0 + \frac{1}{2} \lambda [H', S] + O(\lambda^3)$

$\frac{1}{2} \sum_k \rightarrow \int \frac{d^3k}{(2\pi)^3}$ $\frac{\delta N}{\bar{N}} = \frac{\sqrt{\langle (\hat{N} - \bar{N})^2 \rangle}}{\bar{N}}$

$|\Psi_c\rangle = \sum_N \lambda_N |\Psi_N\rangle$
 N - sharply peaked around \bar{N} .
 $\langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2|v_k|^2 \sim \Omega$
 $\langle \Psi_c | (\hat{N} - \bar{N})^2 | \Psi_c \rangle = 4 \sum_k |v_k|^2 |v_k|^2 \sim \Omega$



$$H = H_0 + \lambda [H_1, S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H_1, S], S] + \dots$$

$$\tilde{H} = H_0 + \frac{1}{2} \lambda [H_1, S] + O(\lambda^3)$$

$$\frac{\delta N}{N} = \frac{\sqrt{\langle (\hat{N} - \bar{N})^2 \rangle}}{\bar{N}} \sim \frac{\sqrt{\Omega}}{\Omega} \sim \frac{1}{\sqrt{\Omega}} \sim \frac{1}{\sqrt{N}}$$

$$\bar{N} \sim 10^{24}$$

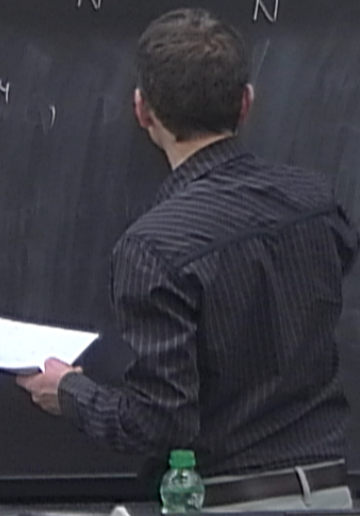
$$\langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2 |v_k|^2 \sim \Omega$$

$$\langle \Psi_c | \hat{N}^2 | \Psi_c \rangle = 4 \sum_k |u_k|^2 |v_k|^2 \sim \Omega$$

$\frac{1}{2} \sum_k \rightarrow \int \frac{d^3k}{(2\pi)^3}$

$|\Psi_c\rangle = \sum_N \lambda_N |\Psi_N\rangle$

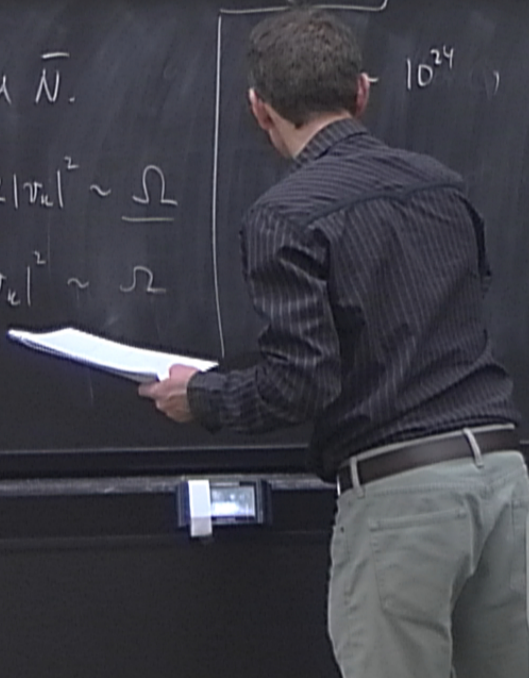
N - sharply peaked around \bar{N} .



$(n_1 + 1/2 + n_2 + 1/2) - \lambda \epsilon_m - \epsilon_n$
 $H = H_0 + \lambda [H_1, S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H_1, S], S] + \dots$
 $\tilde{H} = H_0 + \frac{1}{2} \lambda [H_1, S] + O(\lambda^2)$

$\frac{1}{2} \sum_k \rightarrow \int \frac{d^3k}{(2\pi)^3}$
 $|\Psi_c\rangle = \sum_N \lambda_N |\Psi_N\rangle$
 N - sharply peaked around \bar{N} .
 $\langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2 |v_k|^2 \sim \Omega$
 $(-\bar{N})^2 \rangle = 4 \sum_k |u_k|^2 |v_k|^2 \sim \Omega$

$\frac{\delta N}{\bar{N}} = \frac{\sqrt{\langle (\hat{N} - \bar{N})^2 \rangle}}{\bar{N}} \sim \frac{\sqrt{\Omega}}{\Omega} \sim \frac{1}{\sqrt{\Omega}} \sim \frac{1}{\sqrt{N}}$
 $\frac{\delta N}{\bar{N}} \sim \frac{1}{\sqrt{10^{24}}} \approx 10^{-12}$



element

$$H = H_0 + \lambda [H_0, S] + \frac{1}{2} [[H_0, S], S] + \frac{\lambda}{2} [[H_0, S], S] + \dots$$

$$\tilde{H} = H_0 + \frac{1}{2} \lambda [H_0, S] + O(\lambda^3)$$

~ 1
 H

(c)

$$\frac{1}{2} \sum_k \rightarrow \int \frac{d^3k}{(2\pi)^3}$$

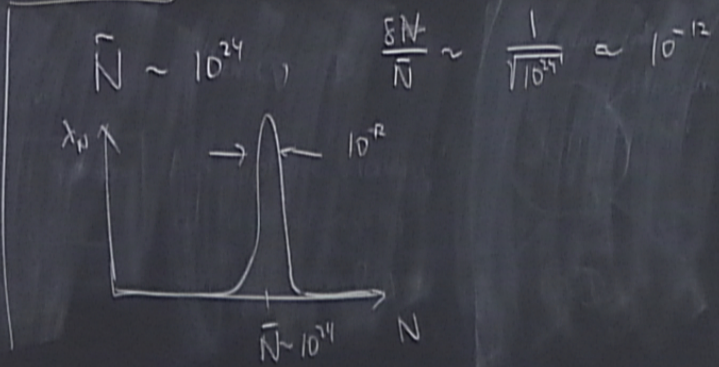
$$\frac{\delta N}{N} = \frac{\sqrt{\langle (\hat{N} - \bar{N})^2 \rangle}}{\bar{N}} \sim \frac{\sqrt{\Omega}}{\Omega} \sim \frac{1}{\sqrt{\Omega}} \sim \frac{1}{\sqrt{N}}$$

$$|\Psi_c\rangle = \sum_N \lambda_N |\Psi_N\rangle$$

N - sharply peaked around \bar{N} .

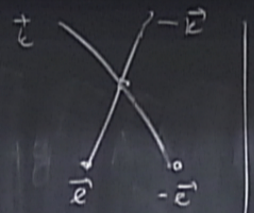
$$\langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2 |v_k|^2 \sim \Omega$$

$$-\langle \bar{N} \rangle = 4 \sum_k |u_k|^2 |v_k|^2 \sim \Omega$$

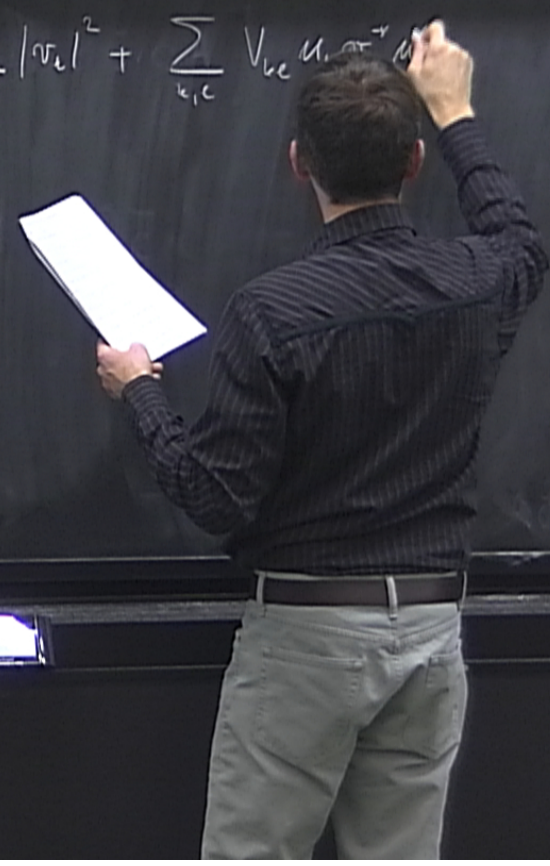


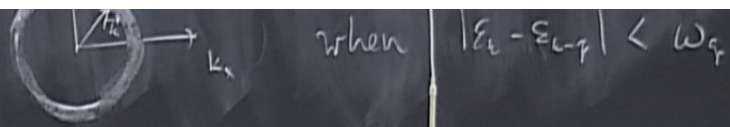
$\frac{c_k}{-r - \omega_k}$ when

v_k coefficients
 Hamiltonian
 $\epsilon_0 c_{k\uparrow} + \sum_{k' \neq k} V_{kk'} c_{k'\uparrow}^\dagger c_{k\downarrow}^\dagger c_{k\downarrow} c_{k'\uparrow}$
 variational method, i.e.
 $\langle \hat{H} - \mu \hat{N} | \Psi_c \rangle$



Evaluate
 $E_s = 2 \sum_k \xi_k |v_k|^2 + \sum_{k, k'} V_{kk'} u_k v_{k'}$





Evaluate

$$E_s = 2 \sum_k \xi_k |v_k|^2 + \sum_{k,l} V_{kl} u_k v_l^* u_l^* v_k$$

assume $u_k, v_k \in \mathbb{R}$

$\sin \theta_k, v_k = \cos \theta_k$

with $\frac{\partial E_s}{\partial \theta_k} = 0$

BCS solution

$$u_k^2 = \frac{1}{2} \left(1 + \frac{\xi_k}{E_k} \right),$$

$$v_k^2 = \frac{1}{2} \left(1 - \frac{\xi_k}{E_k} \right)$$

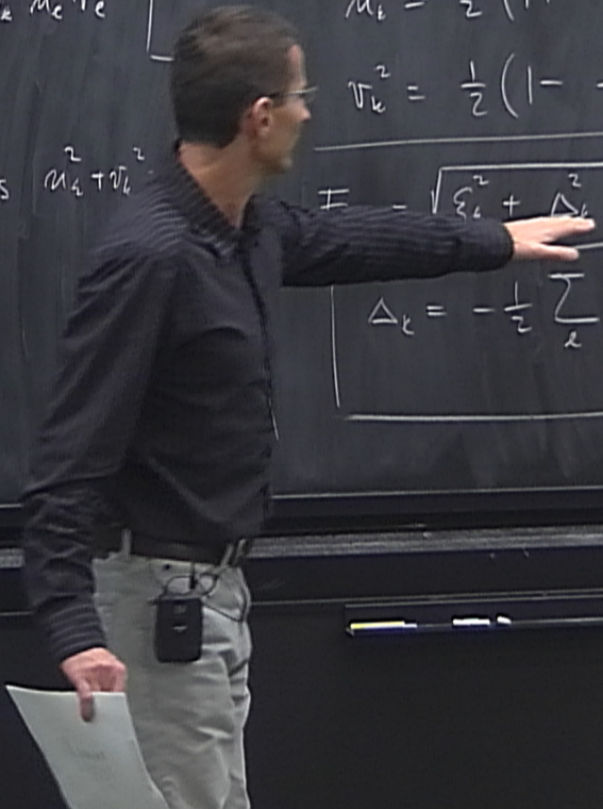
(satisfies $u_k^2 + v_k^2 = 1$)

$$E = \sqrt{\xi_k^2 + \Delta_k^2}$$

the BCS gap function

$$\Delta_k = -\frac{1}{2} \sum_l \frac{\Delta_l}{\sqrt{\Delta_l^2 + \xi_l^2}} V_{kl}$$

"BCS gap equation"





when $|\epsilon_k - \epsilon_{k-p}| < \omega_p$

Evaluate

$$E_s = 2 \sum_k \xi_k |v_k|^2 + \sum_{k,l} V_{kl} u_k v_k^* u_l^* v_l$$

assume $u_k, v_k \in \mathbb{R}$
 $\sin \theta_k, v_k = \cos \theta_k$

min $\frac{\partial E_s}{\partial \theta_k} = 0$

note's $u_k^2 + v_k^2 = 1$

BCS solution

$$u_k^2 = \frac{1}{2} \left(1 + \frac{\xi_k}{E_k} \right),$$

$$v_k^2 = \frac{1}{2} \left(1 - \frac{\xi_k}{E_k} \right)$$

$$E_k = \sqrt{\xi_k^2 + \Delta_k^2}$$

the BCS gap function

$$\Delta_k = -\frac{1}{2} \sum_l \frac{\Delta_l}{\sqrt{\Delta_l^2 + \xi_l^2}} V_{kl}$$

"BCS gap equation"



when $|\epsilon_k - \epsilon_{k-q}| < \omega_q$

BCS solution:

$$u_k^2 = \frac{1}{2} \left(1 + \frac{\xi_k}{E_k} \right),$$

$$v_k^2 = \frac{1}{2} \left(1 - \frac{\xi_k}{E_k} \right)$$

$$E_k = \sqrt{\xi_k^2 + \Delta_k^2}$$

the BCS gap function

$$\Delta_k = -\frac{1}{2} \sum_{\ell} \frac{\Delta_{\ell}}{\sqrt{\Delta_{\ell}^2 + \xi_{\ell}^2}} V_{k\ell}$$

"BCS gap equation"

$$+ \sum_{k,\ell} V_{k\ell} u_k v_{\ell}^* u_{\ell}^* v_k$$

(satisfies $u_k^2 + v_k^2 = 1$)

Adopt a basis: $|H_0, S\rangle = -\lambda + \dots$

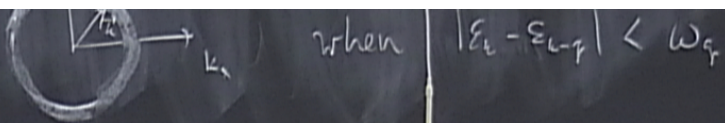
The BCS

$$|\Psi_0\rangle = \prod_k (u_k + v_k \tau_k)$$

$$|u_k|^2 + |v_k|^2 = 1$$

$|v_k|^2$ - probability that $(\vec{k}\uparrow, -\vec{k}\downarrow)$ is occupied

of electrons



evaluate

$$2 \sum_k \xi_k |v_k|^2 + \sum_{k,c} V_{kc} u_k v_c^* u_c^* v_k$$

where $u_k, v_k \in \mathbb{R}$

$\theta_k, v_k = \cos \theta_k$ (satisfies $u_k^2 + v_k^2 = 1$)

$$\frac{\partial E_g}{\partial \theta_k} = 0$$

BCS solution

$$u_k^2 = \frac{1}{2} \left(1 + \frac{\xi_k}{E_k} \right),$$

$$v_k^2 = \frac{1}{2} \left(1 - \frac{\xi_k}{E_k} \right)$$

$$E_k = \sqrt{\xi_k^2 + \Delta_k^2}$$

the BCS function

$$\Delta_k = -\frac{1}{2} \sum_c \frac{\Delta_c}{\sqrt{\Delta_c^2 + \xi_c^2}} V_{kc}$$

equation

$\Delta_k = 0$ "trivial state" \rightarrow

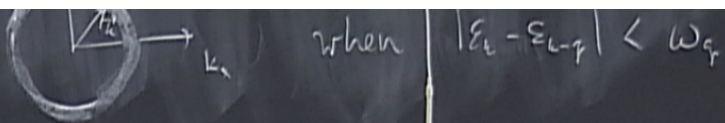
Adopt

$|\Psi_c\rangle$

$|u_c|^2 +$

$|v_c|^2 =$

of elec



evaluate

$$2 \sum_k \xi_k |v_k|^2 + \sum_{k,c} V_{kc} u_k v_k^* u_c^* v_c$$

where $u_k, v_k \in \mathbb{R}$

$\theta_k, v_k = \cos \theta_k$ (satisfies $u_k^2 + v_k^2 = 1$)

$$\frac{\partial E_S}{\partial \theta_k} = 0$$

BCS solution:

$$u_k^2 = \frac{1}{2} \left(1 + \frac{\xi_k}{E_k} \right),$$

$$v_k^2 = \frac{1}{2} \left(1 - \frac{\xi_k}{E_k} \right)$$

$$E_k = \sqrt{\xi_k^2 + \Delta_k^2} \quad \leftarrow \text{the BCS gap function}$$

$$\Delta_k = -\frac{1}{2} \sum_c \frac{\Delta_c}{\sqrt{\Delta_c^2 + \xi_c^2}} V_{kc} \quad \leftarrow \text{"BCS gap equation"}$$

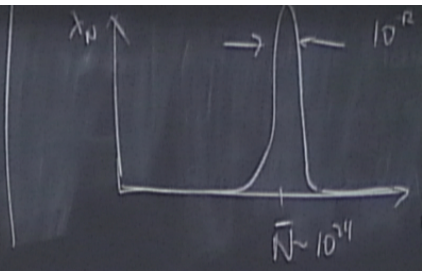
$\Delta_k = 0$ "trivial case" \rightarrow normal metal

$|v_{\mathbf{k}}|^2$ - probability that a pair
 $(\mathbf{k}\uparrow, -\mathbf{k}\downarrow)$ is occupied

 # of electrons

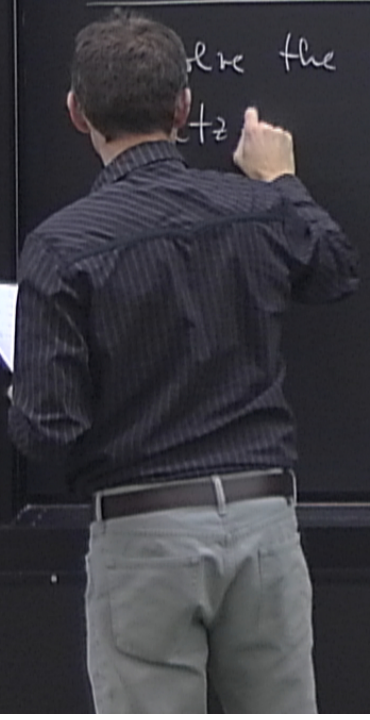
$$\bar{N} = \langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_{\mathbf{k}} 2 |v_{\mathbf{k}}|^2 \sim \Omega$$

$$\langle (\hat{N} - \bar{N})^2 \rangle = 4 \sum_{\mathbf{k}} |u_{\mathbf{k}}|^2 |v_{\mathbf{k}}|^2 \sim \Omega$$



$\Delta_c \neq 0$ "Superconduct state"

where the gap eq. use Cooper's
 $\psi_{\pm 2}$

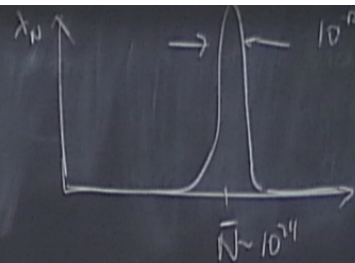


$|v_k|^2$ - probability that a pair
($\bar{k}\uparrow, -\bar{k}\downarrow$) is occupied

of electrons

$$\bar{N} = \langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2|v_k|^2 \sim \Omega$$

$$\langle (\hat{N} - \bar{N})^2 \rangle = 4 \sum_k |v_k|^2 |v_k|^2 \sim \Omega$$



$\Delta_c \neq 0$ "Superconduct state"

To solve the gap eq. use Cooper's

ansatz:

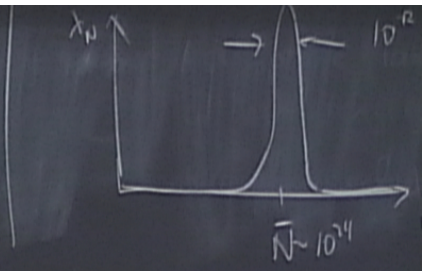
$$V_{kc} = \begin{cases} -V & \text{if } |\xi_c|, |\xi_c| \leq \hbar\omega_c \\ 0 & \text{otherwise} \end{cases}$$

$|v_k|^2$ - probability that a pair
 $(\bar{e}\uparrow, -\bar{e}\downarrow)$ is occupied

 # of electrons

$$\bar{N} = \langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2|v_k|^2 \sim \Omega$$

$$\langle (\hat{N} - \bar{N})^2 \rangle = 4 \sum_k |m_k|^2 |v_k|^2 \sim \Omega$$



$\Delta_c \neq 0$ "Superconduct state"

To solve the gap eq. use C 's
 ansatz:

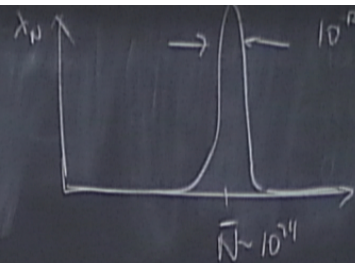
$$V_{kc} = \begin{cases} -V & \text{if } |c| \leq t_w c \\ 0 & \text{otherwise} \end{cases}$$

$|v_k|^2$ - probability that a pair
($\bar{k}\uparrow, -\bar{k}\downarrow$) is occupied

of electrons

$$\bar{N} = \langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2|v_k|^2 \sim \Omega$$

$$\langle (\hat{N} - \bar{N})^2 \rangle = 4 \sum_k |v_k|^2 |v_k|^2 \sim \Omega$$

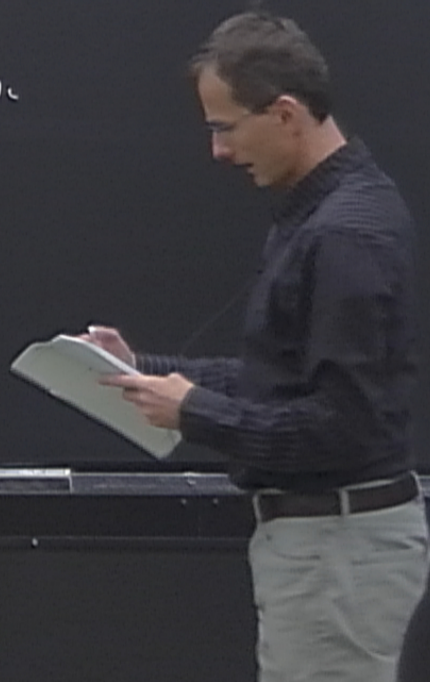


$\Delta_c \neq 0$ "Superconduct state"

To solve the gap eq. use Cooper's
ansatz:

$$v_{kc} = \begin{cases} -V & \text{if } |\xi_c|, |\bar{\xi}_c| \leq t_w c \\ 0 & \text{otherwise} \end{cases}$$

$$\Rightarrow \Delta_c = \begin{cases} \Delta & \text{for } |\xi_c| < t_w c \\ 0 & \text{otherwise} \end{cases}$$

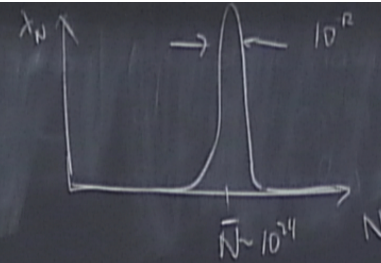


$|v_k|^2$ - probability that a pair
 $(\vec{k}\uparrow, -\vec{k}\downarrow)$ is occupied

of electrons

$$\bar{N} = \langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2|v_k|^2 \sim \Omega$$

$$\langle (\hat{N} - \bar{N})^2 \rangle = 4 \sum_k |v_k|^2 |v_k|^2 \sim \Omega$$



$\Delta_c \neq 0$ "Superconduct state"

To solve the gap eq. use Cooper's
 ansatz:

$$v_{kc} = \begin{cases} -V & \text{if } |\xi_c|, |\xi_c| \leq \hbar\omega_c \\ 0 & \text{otherwise} \end{cases}$$

$$\Rightarrow \Delta_c = \begin{cases} \Delta & \text{for } |\xi_c| < \hbar\omega_c \\ 0 & \text{otherwise} \end{cases}$$

$$\Delta = \frac{1}{2} V \sum_c' \frac{\Delta}{\sqrt{\Delta^2 + \xi_c^2}} \quad / \quad \frac{1}{\Delta}$$

$$\frac{2}{V} = \sum_c' \frac{1}{\sqrt{\xi_c^2 + \Delta^2}} =$$

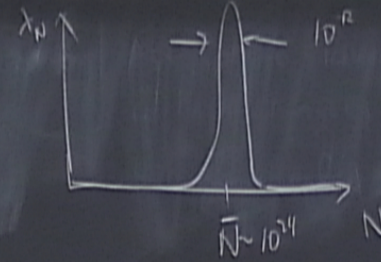


$|v_k|^2$ - probability that a pair $(\vec{k}\uparrow, -\vec{k}\downarrow)$ is occupied

of electrons

$$\bar{N} = \langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2|v_k|^2 \sim \Omega$$

$$\langle (\hat{N} - \bar{N})^2 \rangle = 4 \sum_k |v_k|^2 |v_k|^2 \sim \Omega$$



$\Delta_c \neq 0$ "Superconduct state"

To solve the gap eq. use Cooper's ansatz:

$$V_{kc} = \begin{cases} -V & \text{if } |\xi_c|, |\xi_c| \leq \hbar\omega_c \\ 0 & \text{otherwise} \end{cases}$$

$$\Rightarrow \Delta_c = \begin{cases} \Delta & \text{for } |\xi_c| < \hbar\omega_c \\ 0 & \text{otherwise} \end{cases}$$

$$\Delta = \frac{1}{2} V \sum_c \frac{\Delta}{\sqrt{\Delta^2 + \xi_c^2}} \quad / \quad \frac{1}{\Delta}$$

$$\frac{2}{V} = \sum_c \frac{1}{\sqrt{\xi_c^2 + \Delta^2}} = \int_{-\hbar\omega_c}^{\hbar\omega_c} \frac{N(\xi)}{\sqrt{\xi^2 + \Delta^2}}$$

$$\approx N(0) \int_{-\hbar\omega_c}^{\hbar\omega_c} d\xi \frac{1}{\sqrt{\xi^2 + \Delta^2}} = 2N(0) \times \dots$$

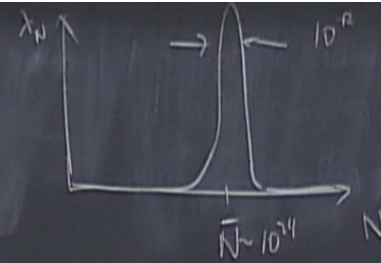
$$\Rightarrow \Delta = \frac{\hbar\omega_c}{\sinh[1/\sqrt{N(0)}]} \approx 2\hbar\omega_c \quad \text{if } \sqrt{N(0)} \ll 1$$

$|v_k|^2$ - probability that a pair $(\vec{k}\uparrow, -\vec{k}\downarrow)$ is occupied

of electrons

$$\bar{N} = \langle \Psi_c | \hat{N} | \Psi_c \rangle = \sum_k 2|v_k|^2 \sim \Omega$$

$$\langle (\hat{N} - \bar{N})^2 \rangle = 4 \sum_k |v_k|^2 |v_k|^2 \sim \Omega$$



$\Delta_c \neq 0$ "Superconduct state"

To solve the gap eq. use Cooper's ansatz.

$$V_{kc} = \begin{cases} -V & \text{if } |\xi_c|, |\xi_c| \leq \hbar\omega_c \\ 0 & \text{otherwise} \end{cases}$$

$$\Rightarrow \Delta_c = \begin{cases} \Delta & \text{for } |\xi_c| < \hbar\omega_c \\ 0 & \text{otherwise} \end{cases}$$

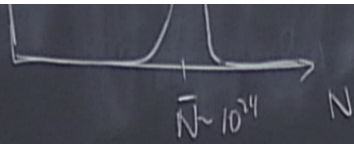
$$\Delta = \frac{1}{2} V \sum_c \frac{\Delta}{\sqrt{\Delta^2 + \xi_c^2}} \quad / \quad \frac{1}{\Delta}$$

$$\frac{2}{V} = \sum_c \frac{1}{\sqrt{\xi_c^2 + \Delta^2}} = \int_{-\hbar\omega_c}^{\hbar\omega_c} d\xi \frac{N(\xi)}{\sqrt{\xi^2 + \Delta^2}}$$

$$\approx N(0) \int_{-\hbar\omega_c}^{\hbar\omega_c} d\xi \frac{1}{\sqrt{\xi^2 + \Delta^2}} = 2N(0) \text{ArcSinh}\left(\frac{\hbar\omega_c}{\Delta}\right)$$

$$\Rightarrow \Delta = \frac{\hbar\omega_c}{\sinh[1/\sqrt{N(0)}]} \approx \frac{-1/\sqrt{N(0)}}{2\hbar\omega_c e}$$

$$4 \sum_k |m_k|^2 |v_k|^{-1} \sim \Omega$$



$$\Delta = \frac{1}{2} V \sum_k \frac{\Delta}{\sqrt{\Delta^2 + \xi_k^2}} \quad / \quad \frac{1}{\Delta}$$

$$\frac{2}{V} = \sum_k \frac{1}{\sqrt{\xi_k^2 + \Delta^2}} = \int_{-\hbar\omega_c}^{\hbar\omega_c} d\xi \frac{N(\xi)}{\sqrt{\xi^2 + \Delta^2}}$$

$$\int_{-\hbar\omega_c}^{\hbar\omega_c} d\xi \frac{1}{\sqrt{\xi^2 + \Delta^2}} = 2N(0) \text{ArcSinh}\left(\frac{\hbar\omega_c}{\Delta}\right)$$

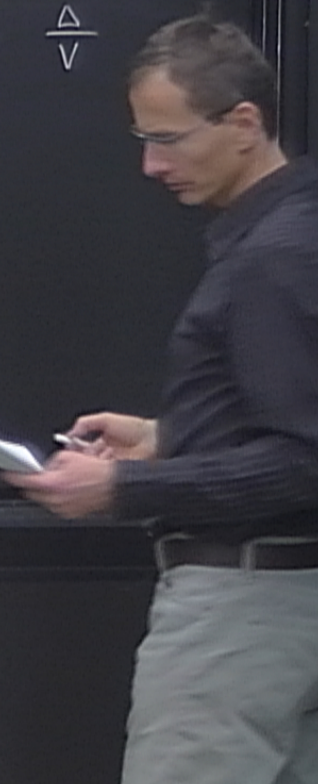
$$\frac{\hbar\omega_c}{\sinh[1/VN(0)]} \approx \frac{-1/VN(0)}{2\hbar\omega_c e}$$

- non-perturbative result

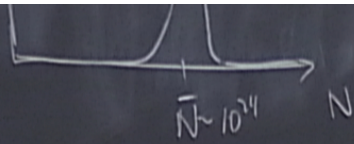
• Condensation energy

$$E_s = \langle \Psi_c | \hat{H} - \mu \hat{N} | \Psi_c \rangle = \sum_k \left(\xi_k - \frac{\xi_k^2}{E_k} \right) - \frac{\Delta^2}{V}$$

$$E_N = \langle \Psi_c | \hat{H} - \mu \hat{N} | \Psi_c \rangle_{\Delta=0}$$



$$4 \sum_k |m_k|^2 |v_k|^{-1} \sim \Omega$$



$$\Delta = \frac{1}{2} V \sum_c \frac{\Delta}{\sqrt{\Delta^2 + \xi_c^2}} \quad / \quad \frac{1}{\Delta}$$

$$\frac{2}{V} = \sum_c \frac{1}{\sqrt{\xi_c^2 + \Delta^2}} = \int_{-\hbar\omega_c}^{\hbar\omega_c} d\xi \frac{N(\xi)}{\sqrt{\xi^2 + \Delta^2}}$$

$$\int_{-\hbar\omega_c}^{\hbar\omega_c} d\xi \frac{1}{\sqrt{\xi^2 + \Delta^2}} = 2N(0) \text{ArcSinh}\left(\frac{\hbar\omega_c}{\Delta}\right)$$

$$\frac{\hbar\omega_c}{\sinh[\hbar\omega_c/\Delta]} \approx \frac{-1/VN(0)}{2\hbar\omega_c} \quad \text{for } VN(0) \ll 1$$

- non-perturbative result

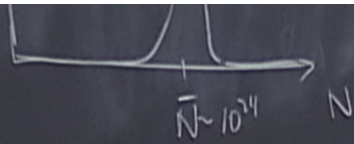
• Condensation energy

$$E_S = \langle \hat{H} - \mu \hat{N} | \Psi_c \rangle = \sum_k \left(\xi_k - \frac{\xi_k^2}{E_k} \right) - \frac{\Delta^2}{V}$$

$$E_N = \langle \hat{H} - \mu \hat{N} | \Psi_c \rangle_{\Delta=0} = 2 \sum_{|k| < k_F} \xi_k$$

$$\Delta E =$$

$$4 \sum_k |m_k|^2 |v_k|^2 \sim \Omega$$



$$\Delta = \frac{1}{2} V \sum_c \frac{\Delta}{\sqrt{\Delta^2 + \xi_c^2}} \quad / \quad \frac{1}{\Delta}$$

$$\frac{2}{V} = \sum_c \frac{1}{\sqrt{\xi_c^2 + \Delta^2}} = \int_{-hw_c}^{hw_c} d\xi \frac{N(\xi)}{\sqrt{\xi^2 + \Delta^2}}$$

$$\int_{-hw_c}^{hw_c} d\xi \frac{1}{\sqrt{\xi^2 + \Delta^2}} = 2N(0) \text{ArcSinh}\left(\frac{hw_c}{\Delta}\right)$$

$$\frac{hw_c}{\sinh[1/VN(0)]} \approx \frac{-1/VN(0)}{2hw_c} \quad \text{for } VN(0) \ll 1$$

- non-perturbative result

• Condensation energy

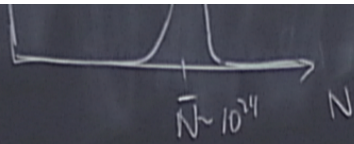
$$E_S = \langle \Psi_c | \hat{H} - \mu \hat{N} | \Psi_c \rangle = \sum_k \left(\xi_k - \frac{\xi_k^2}{E_k} \right) - \frac{\Delta^2}{V}$$

$$E_N = \langle \Psi_c | \hat{H} - \mu \hat{N} | \Psi_c \rangle_{\Delta=0} = 2 \sum_{|k| < k_F} \xi_k$$

$$\Delta E = E_S - E_N = -\frac{1}{2} N(0) \Delta^2$$

BCS Conde

$$4 \sum_k |m_k|^2 |v_k|^{-1} \sim \Omega$$



$$\Delta = \frac{1}{2} V \sum_k \frac{\Delta}{\sqrt{\Delta^2 + \xi_k^2}} \quad / \quad \frac{1}{\Delta}$$

$$\frac{2}{V} = \sum_k \frac{1}{\sqrt{\xi_k^2 + \Delta^2}} = \int_{-hw_c}^{hw_c} d\xi \frac{N(\xi)}{\sqrt{\xi^2 + \Delta^2}}$$

$$\int_{-hw_c}^{hw_c} d\xi \frac{1}{\sqrt{\xi^2 + \Delta^2}} = 2N(0) \text{ArcSinh}\left(\frac{hw_c}{\Delta}\right)$$

$$\frac{hw_c}{\sinh[1/VN(0)]} \approx \frac{-1/VN(0)}{2hw_c} \quad \text{for } VN(0) \ll 1$$

- non-perturbative result

• Condensation energy

$$E_s = \langle \Psi_0 | \hat{N} | \Psi_0 \rangle = \sum_k \left(\xi_k - \frac{\xi_k^2}{E_k} \right) - \frac{\Delta^2}{V}$$

$$E_N = \langle \Psi_0 | \hat{N} | \Psi_0 \rangle_{\Delta=0} = 2 \sum_{|k| < k_F} \xi_k$$

$$\Delta E = E_s - E_N = \dots$$

BCS Condensation energy.

