

Title: Defects: A new window into topological quantum matter

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Abstract: Topologically ordered states, such as the fractional quantum Hall (FQH) states, are quantum states of matter with various exotic properties, including quasiparticles with fractional quantum numbers and fractional statistics, and robust topology-dependent ground state degeneracies. In this talk, I will describe a new aspect of topological states: their extrinsic defects. These include extrinsically imposed point-like or line-like defects that couple to the topological properties of the state in non-trivial ways. The extrinsic point defects localize topologically protected "parafermion" zero modes, which generalize the notion of Majorana fermion zero modes, and provide a new direction for realizing non-Abelian quantum statistics and topological quantum computation. The line defects allow direct quantum mechanical coupling between electrons and fractionalized anyons, leading to new ways to probe fractionalization. After describing the conceptual framework, I will focus on a specific set of experimental proposals, using conventional bilayer FQH states, to detect parafermion zero modes and to directly observe the long-predicted topological ground state degeneracy of FQH states. In the end I will comment on other ways in which extrinsic defects provide a new window into fractionalization.



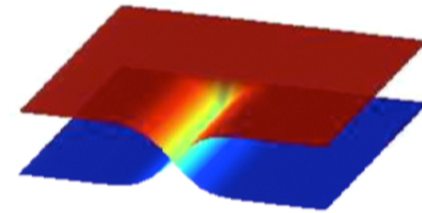
SIMONS FOUNDATION



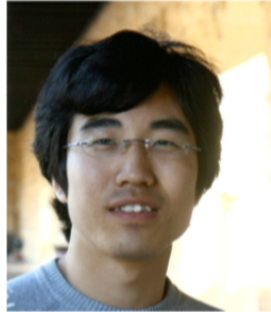
Defects: A New Window into Topological Quantum Matter

Maissam Barkeshli
Microsoft Station Q

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October 2014



Collaborators



Xiao-Liang Qi
(Stanford)



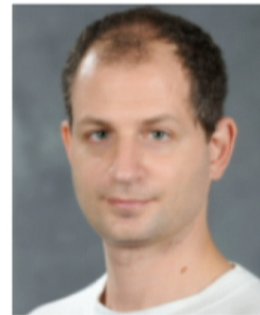
Chao-Ming Jian
(Stanford)



Yuval Oreg
(Weizmann)



Steven Kivelson
(Stanford)



Erez Berg
(Weizmann)

What are the possible states of matter?

What are the possible states of matter?

Intrinsic Interest

New Technologies

What are the possible states of matter?

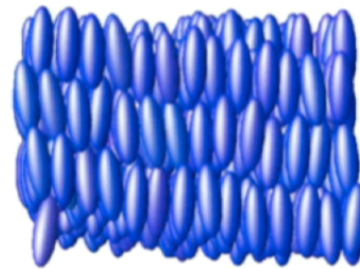
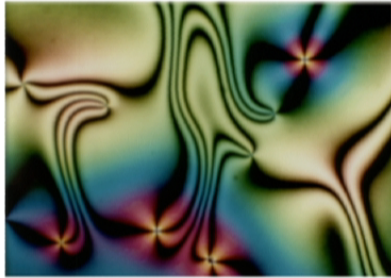
Intrinsic Interest

New Technologies

Insight into the origin of space, matter, fundamental forces

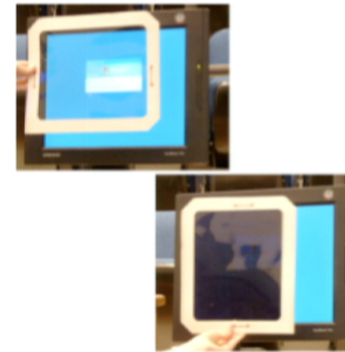
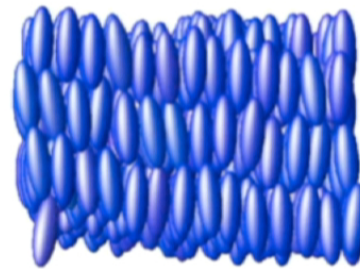
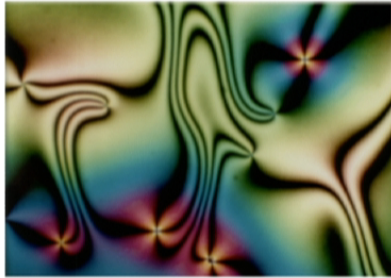
Some interesting (and useful) states of matter

Liquid Crystals and displays

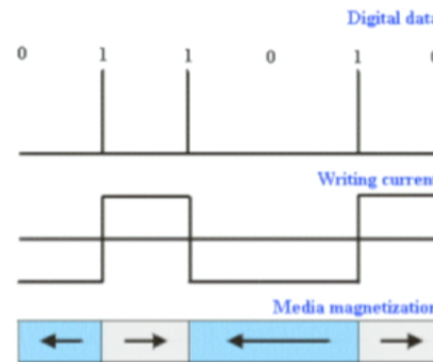
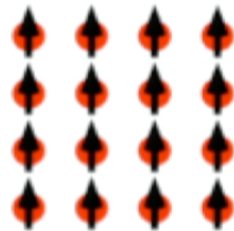


Some interesting (and useful) states of matter

Liquid Crystals and displays

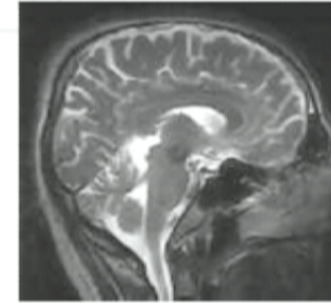


Magnets and Hard Drives



Some interesting (and useful) states of matter

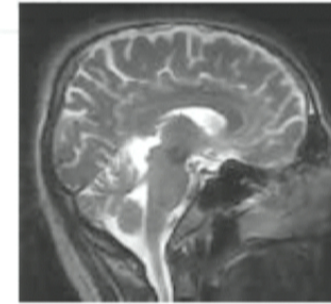
Superconductors



MRI of a human skull.

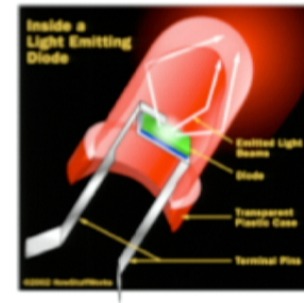
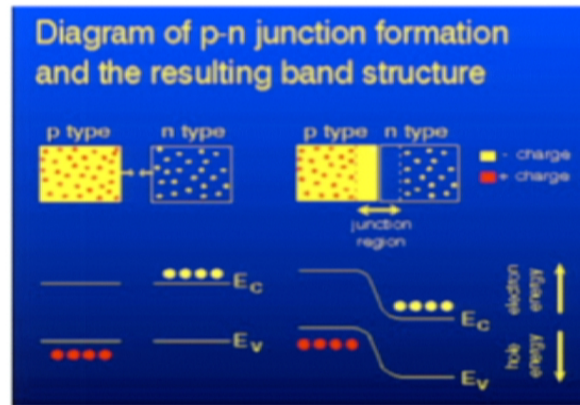
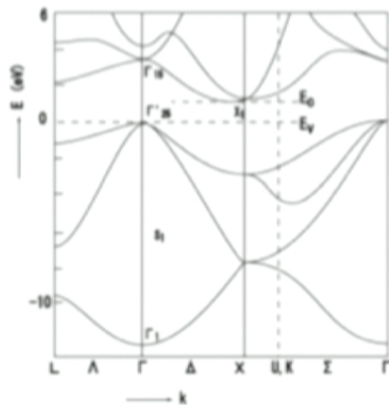
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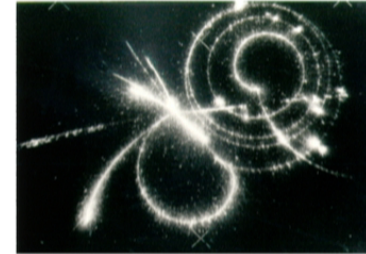
Crystals and Semiconductors (Transistors and LED)



Insight into high-energy physics

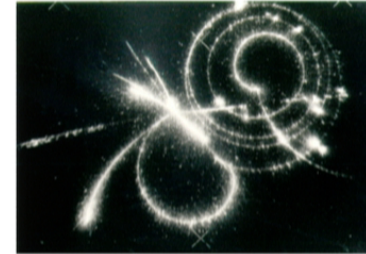
Insight into high-energy physics

- Spontaneous symmetry breaking, Goldstone modes (e.g. pions)



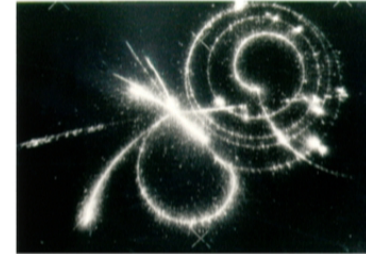
Insight into high-energy physics

- Spontaneous symmetry breaking, Goldstone modes (e.g. pions)
- Origin of mass
“Gauge symmetry-breaking,” Anderson-Higgs Mechanism



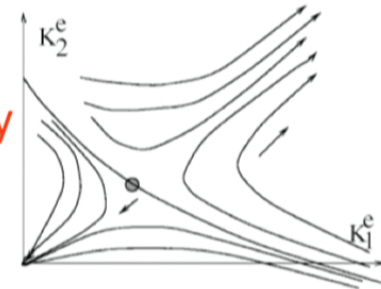
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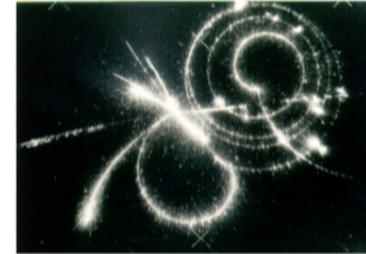
- Origin of mass
“Gauge symmetry-breaking,” Anderson-Higgs Mechanism

- Renormalization-Group Theory
Quantum field theory = low energy effective theory



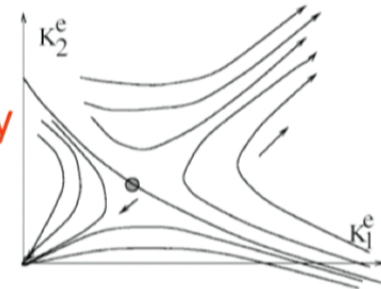
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- Spontaneous symmetry breaking, Goldstone modes (e.g. pions)



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Quantum field theory = low energy effective theory



Or, more speculatively:

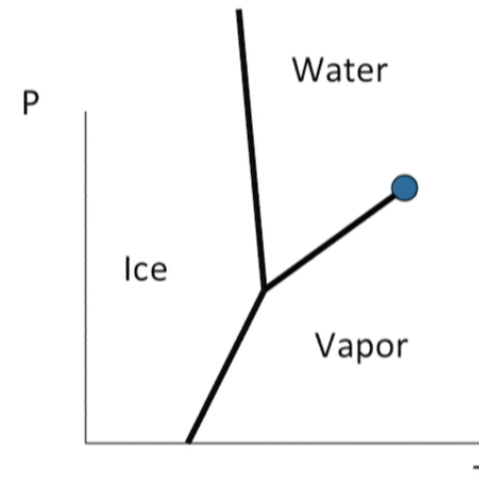
- Origin of fermions and gauge symmetry, emergent symmetries, origin of spatial dimensionality, etc.

Abstract definition of “states of matter”

Two concepts:

1. Phase Transitions

Singularity in free energy



Abstract definition of “states of matter”

Two concepts:

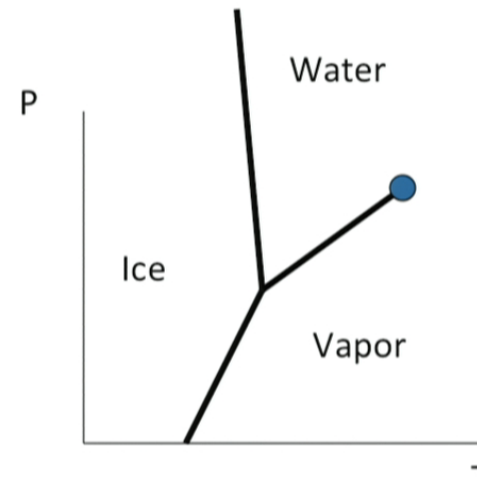
1. Phase Transitions

Singularity in free energy

2. Universality

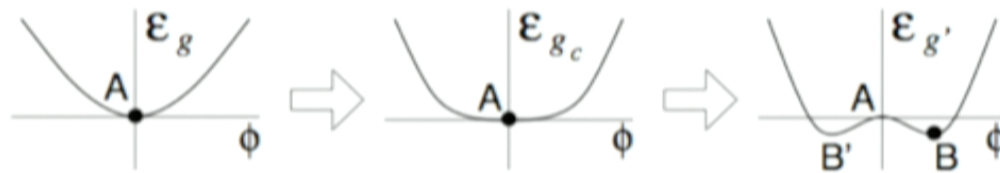
Long-wavelength, low energy behavior is *universal*

Independent of microscopic details

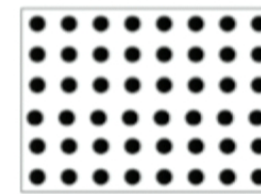


Try to characterize possible universal behaviors

Phases = symmetry-breakings (pattern formation)

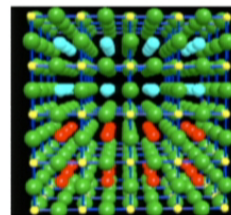


Spontaneous
symmetry-
breaking

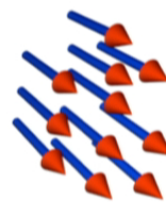


**Ginzburg-Landau
Theory**

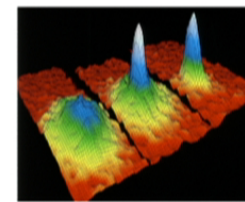
$$F[\phi] = \int |\nabla\phi|^2 + \alpha|\phi|^2 + \beta|\phi|^4 + \dots$$



Crystal



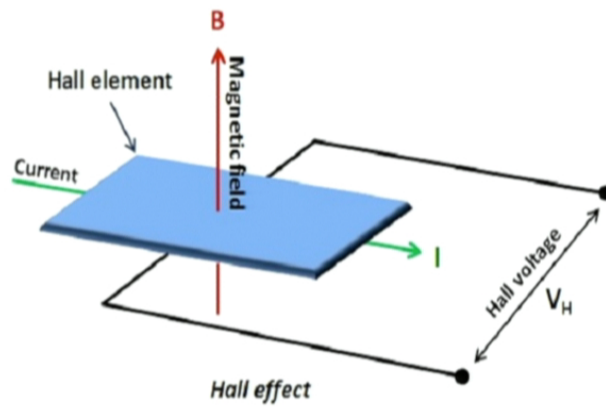
Magnet



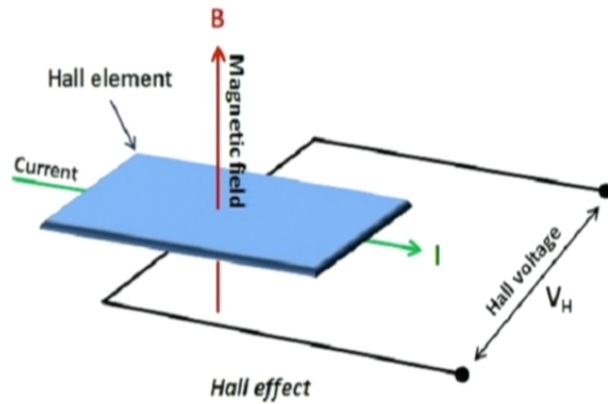
Superfluid

Quantum Hall States: A new chapter

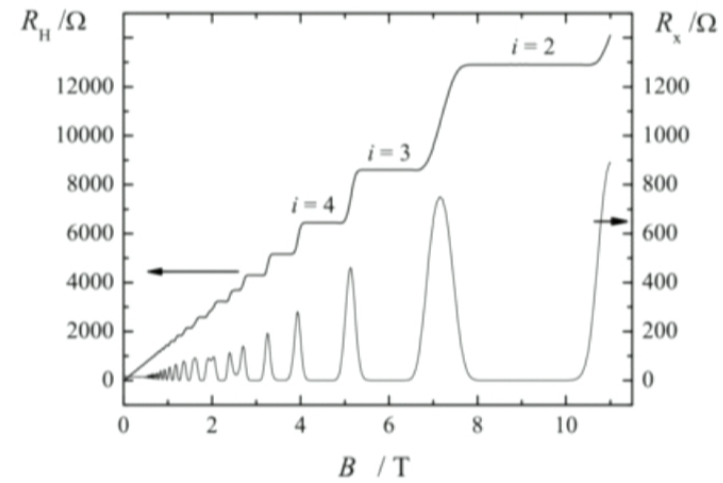
Quantum Hall States: A new chapter



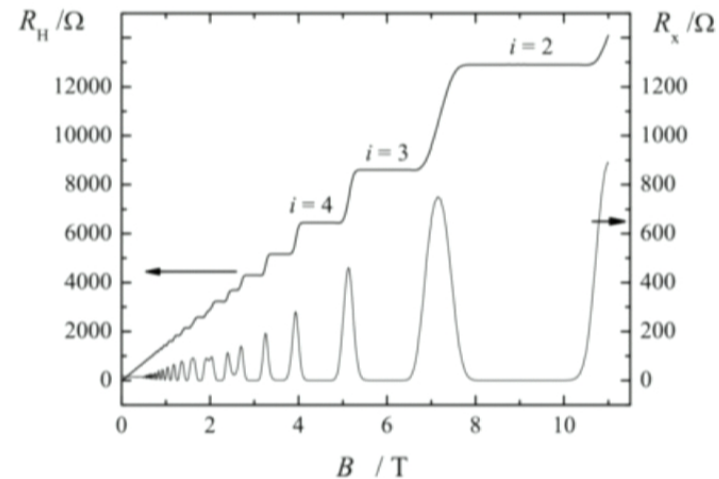
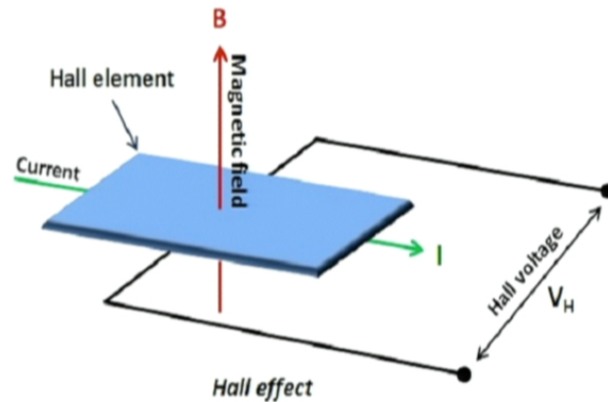
Quantum Hall States: A new chapter



$$\rho_{xy} = \frac{1}{n} \frac{h}{e^2} \quad \rho_{xx} = 0$$



Quantum Hall States: A new chapter



$$\rho_{xy} = \frac{1}{n} \frac{h}{e^2} \quad \rho_{xx} = 0$$

Different quantum Hall states: same symmetry, different physical properties. **Failure of symmetry-breaking theory**



Nobel Prize



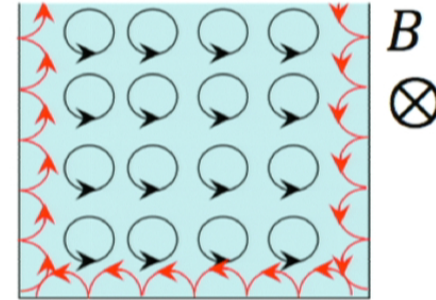
1985: Klitzing



1998: Tsui, Störmer, Laughlin

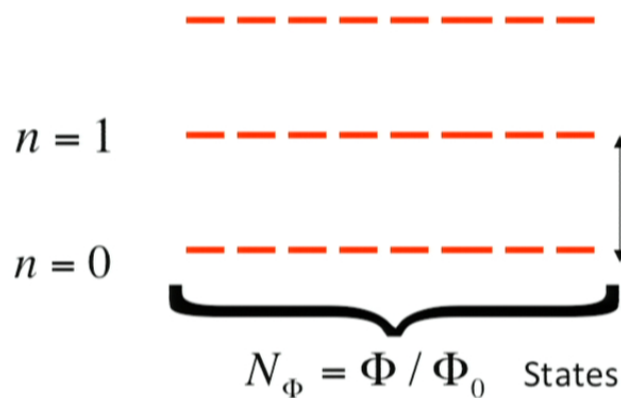
Quantum Hall Basics

- 2D electrons, perpendicular B-field
- Single-Particle Spectrum: **Landau Levels**



$$H = \frac{1}{2m} (p - A)^2 \quad E_n = (n + 1/2)\hbar\omega_c \quad \omega_c = \frac{eB}{mc}$$

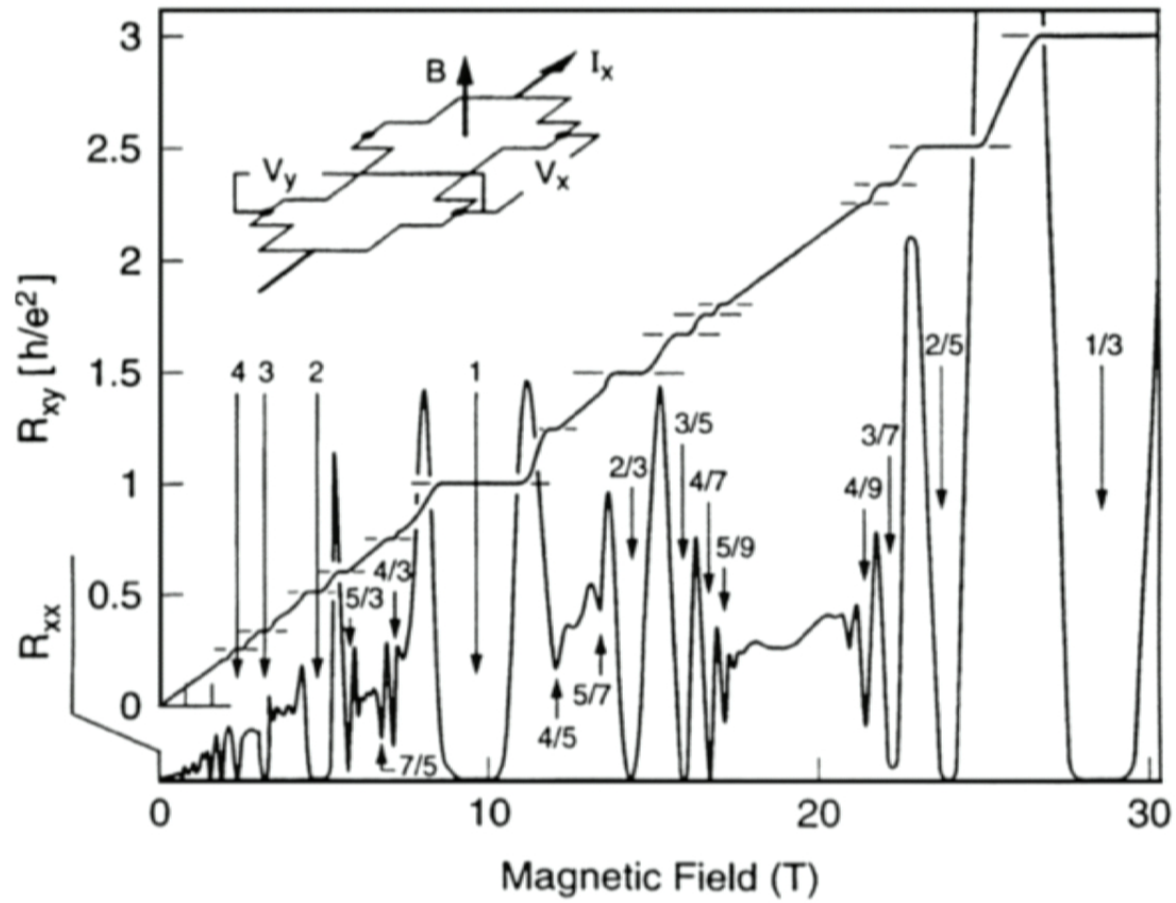
Filling Fraction: $\nu = \frac{N_e}{N_\Phi}$



$$\Delta E = \hbar\omega_c \sim B$$

Incompressible states at integer filling

Fractional Quantum Hall Effect



What is going on at fractional fillings?

Laughlin wave function:

$$\Phi_{1/3}(\{z_i\}) = \prod_{i < j} (z_i - z_j)^3 e^{-\sum_i |z_i|^2 / l_B^2}$$

(Laughlin 1983)

$$\nu = 1/3$$

$$z_i = x_i + iy_i$$

Good overlap with exact ground state



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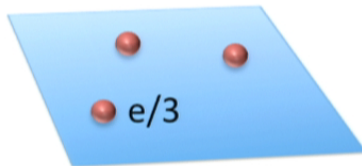
$$\nu = 1/3$$

$$z_i = x_i + iy_i$$

Good overlap with exact ground state

Quasihole wave function:

$$\Phi_{1/3}(\eta; \{z_i\}) = \prod_i (z_i - \eta) \prod_{i<j} (z_i - z_j)^3 e^{-\sum_i |z_i|^2 / l_B^2}$$



Quasiparticle excitations carry

fractional charge

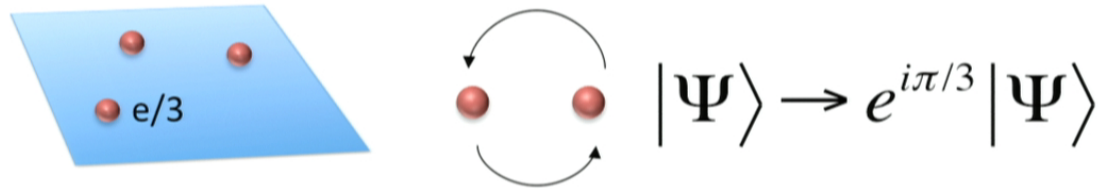
→ Topologically non-trivial!



Fractional Quantum Hall States

- Fractional statistics

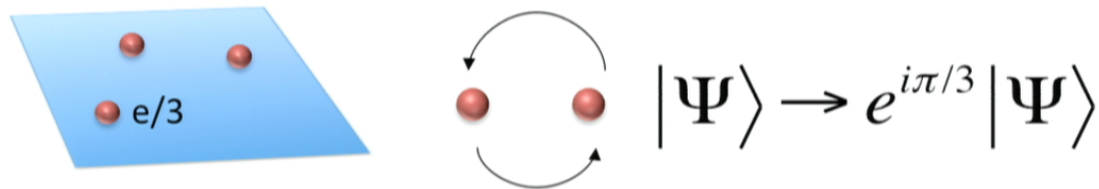
Halperin, 1984;
Arovas, Schrieffer, Wilczek, 1984



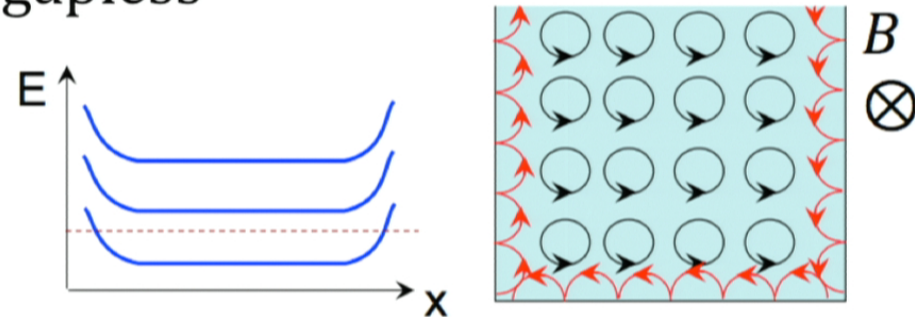
Fractional Quantum Hall States

- Fractional statistics

Halperin, 1984;
Arovas, Schrieffer, Wilczek, 1984



- Protected chiral gapless edge states

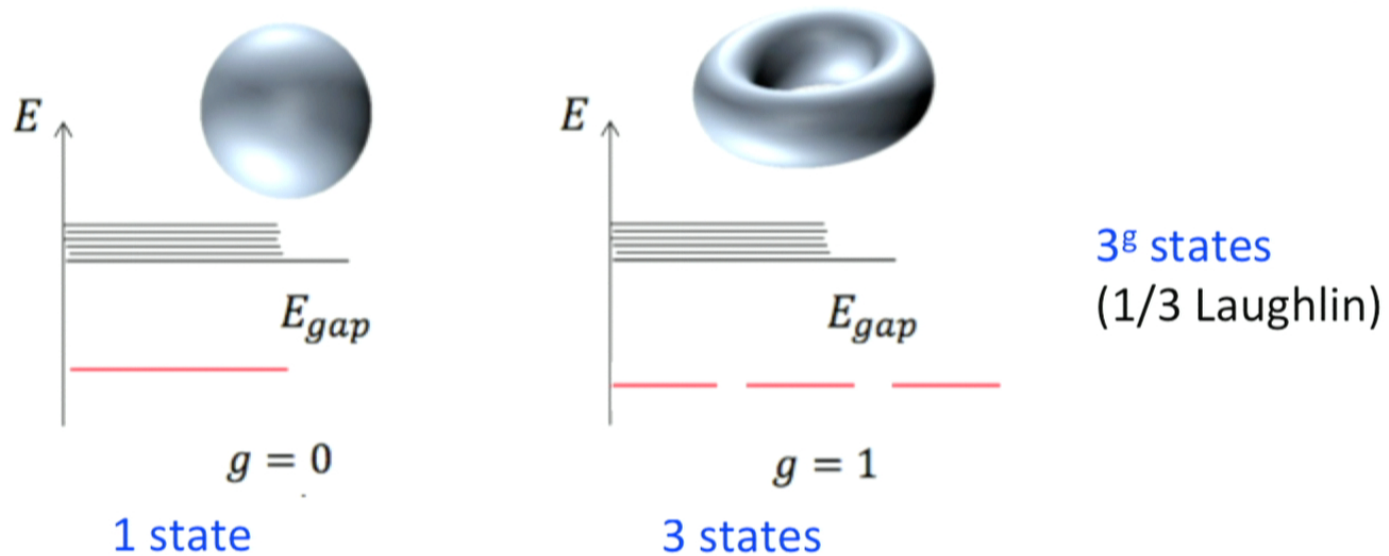


Halperin, 1982
Wen, 1990

Fractional Quantum Hall States

- Topology-dependent ground state degeneracies

Wen 1989



[Observed in numerics, not in experiments]

Fractional Quantum Hall States

Incompressible (gapped) quantum liquids

No Local Order Parameter

Characterized by Fractional statistics, edge states, topological degeneracies, etc

Fractional Quantum Hall States

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Effective description:

Topological Quantum Field Theory

Witten, Atiyah, ...

Emergent gauge theory (Chern-Simons)

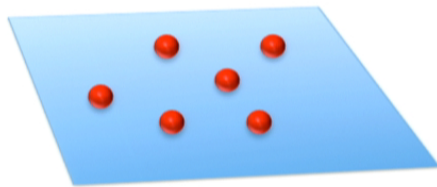
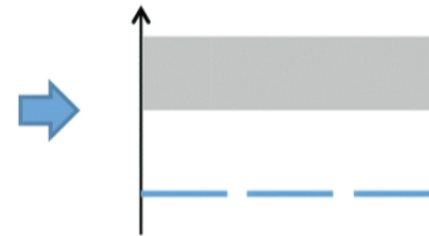
Zhang-Hansson-Kivelson

Mathematics: Unitary Braided Tensor Category Theory

Non-Abelian Quasiparticles

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Can have topological degeneracy
in presence of QPs (Non-Abelian)



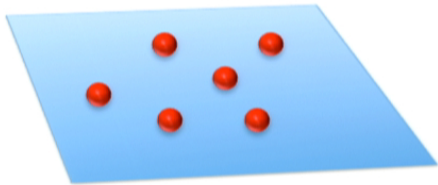
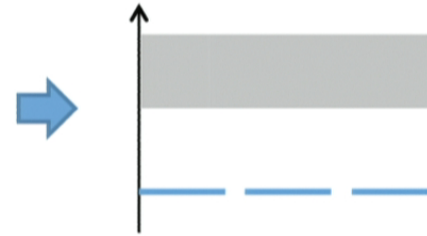
Quantum Dimension

$$\# \text{ states} \propto d^N$$

An arrow points from the text 'Quantum Dimension' to the variable d in the equation above.

Non-Abelian Quasiparticles

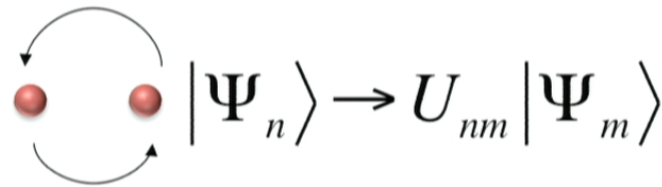
Can have topological degeneracy in presence of QPs (Non-Abelian)



Quantum Dimension

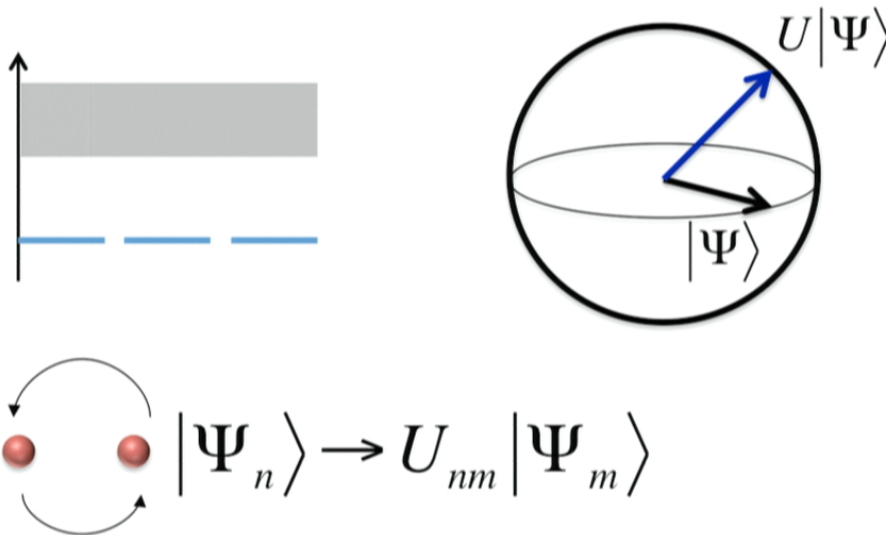
states $\propto d^N$

Braiding: Abelian vs. Non-Abelian Anyons



Application: Topological Quantum Computation

Non-Abelian excitations \rightarrow robust quantum computation



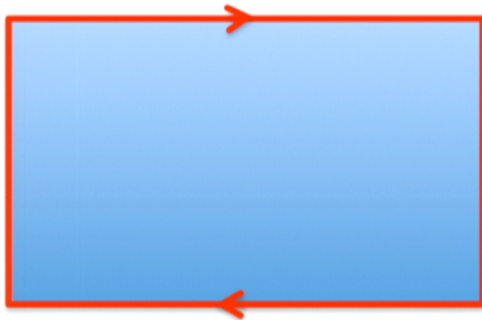
Nayak et al RMP 2008

- Information stored **non-locally** in topological subspace
- Only accessed via non-local (e.g. braiding) operations
- **Intrinsically decoherence-free**

Chiral edge theory (Wen 1990)

$$\mathcal{L}_{edge} = -\frac{3}{4\pi} \partial_x \phi \partial_t \phi - v (\partial_x \phi)^2$$

$$[\phi(x), \phi(y)] = i \frac{\pi}{3} \text{sgn}(x - y) \quad \phi \sim \phi + 2\pi$$

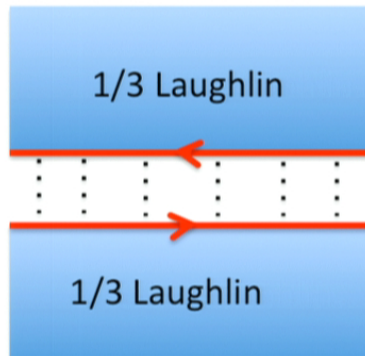


Charge density $\rho = \frac{1}{2\pi} \partial_x \phi$

Charge $a/3$ qp $V_a = e^{ia\phi}$

Electron operator $\Psi_e = e^{i3\phi}$

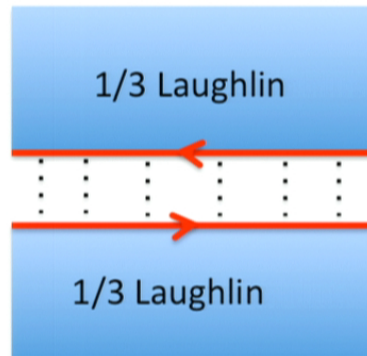
Electron tunneling across two 1/3 Laughlin states



$$\mathcal{L}_{edge} = -\frac{3}{4\pi} \partial_x \phi_1 \partial_t \phi_1 + \frac{3}{4\pi} \partial_x \phi_2 \partial_t \phi_2 - V_{IJ} \partial_x \phi_I \partial_x \phi_J$$

Electron tunneling $\delta\mathcal{L} = -t \cos(3(\phi_1 - \phi_2))$

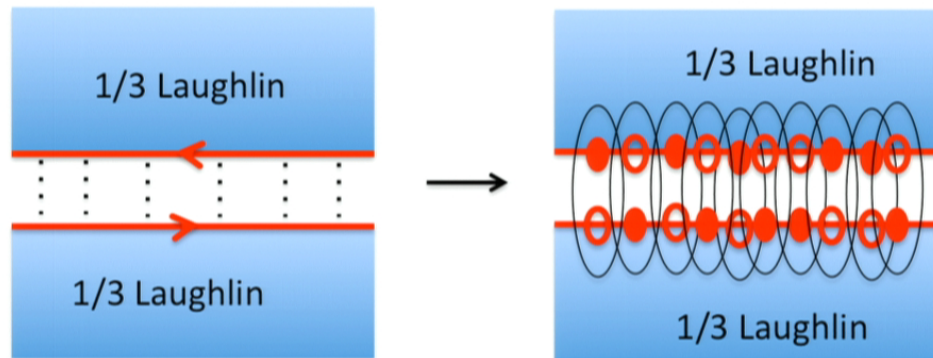
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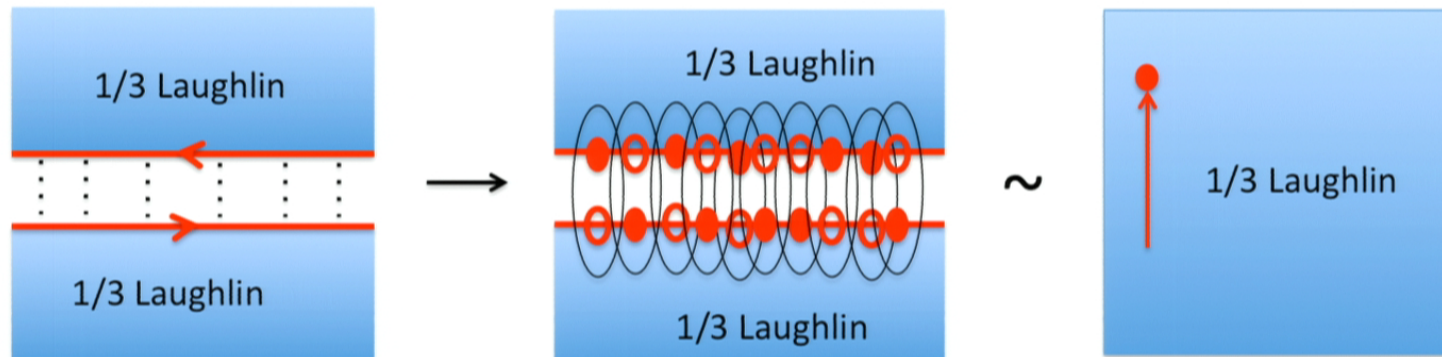


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Large $t \rightarrow$ Gaps modes $\langle e^{i(\phi_1 - \phi_2)} \rangle = e^{2\pi i n / 3}$

Electron tunneling across two 1/3 Laughlin states



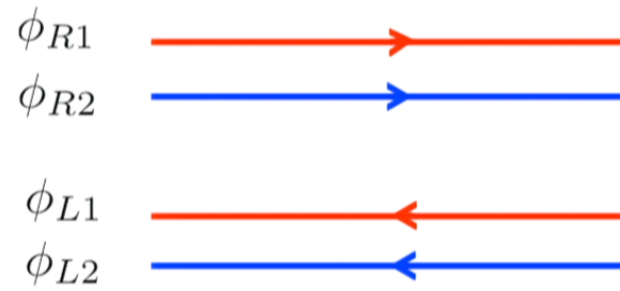
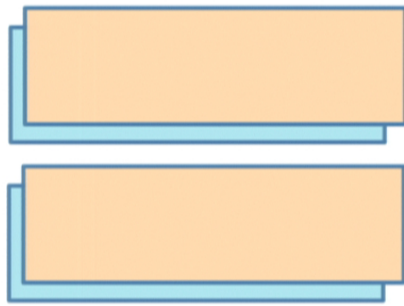
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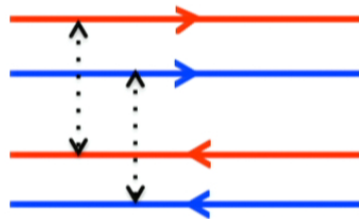
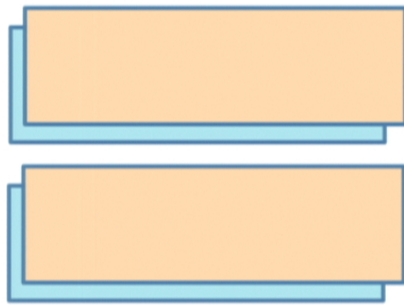
Double layer (1/3 + 1/3)

Barkeshli, Qi PRX 2012

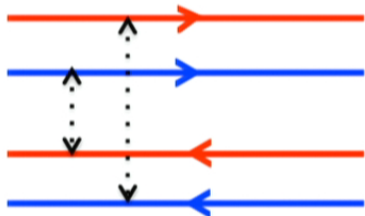


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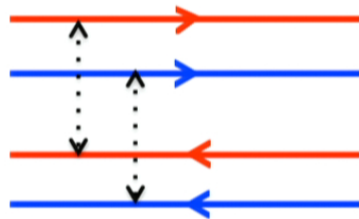
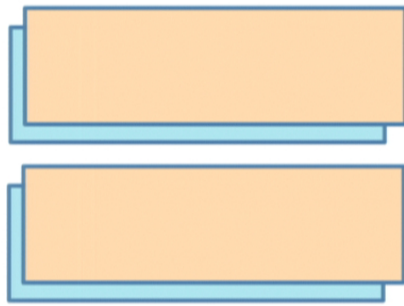
$$\begin{aligned} \cos(3(\phi_{R1} - \phi_{L1})) &\longrightarrow \langle e^{i(\phi_{R1} - \phi_{L1})} \rangle \neq 0 \\ + \cos(3(\phi_{R2} - \phi_{L2})) &\quad \langle e^{i(\phi_{R2} - \phi_{L2})} \rangle \neq 0 \end{aligned}$$



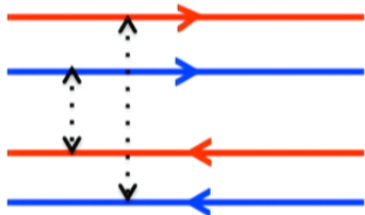
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Double layer (1/3 + 1/3)

Barkeshli, Qi PRX 2012



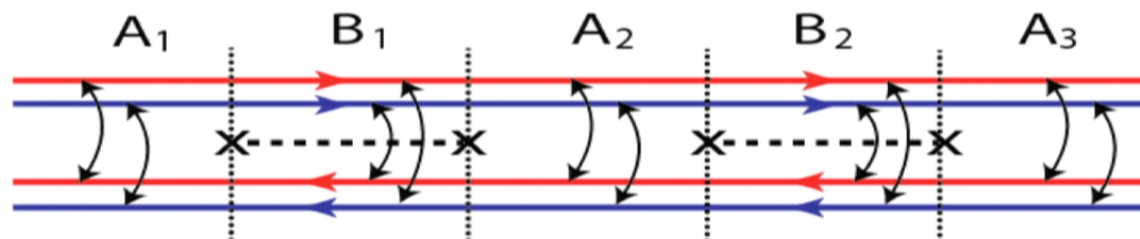
$$\begin{aligned} \cos(3(\phi_{R1} - \phi_{L1})) &\longrightarrow \langle e^{i(\phi_{R1} - \phi_{L1})} \rangle \neq 0 \\ + \cos(3(\phi_{R2} - \phi_{L2})) &\quad \langle e^{i(\phi_{R2} - \phi_{L2})} \rangle \neq 0 \end{aligned}$$



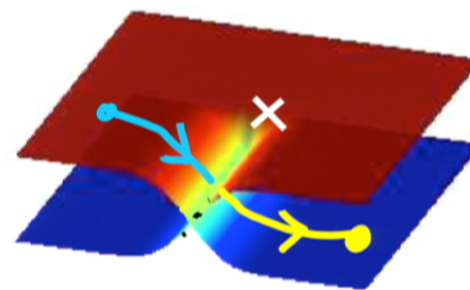
$$\begin{aligned} \cos(3(\phi_{R1} - \phi_{L2})) &\longrightarrow \langle e^{i(\phi_{R1} - \phi_{L2})} \rangle \neq 0 \\ + \cos(3(\phi_{R2} - \phi_{L1})) &\quad \langle e^{i(\phi_{R2} - \phi_{L1})} \rangle \neq 0 \end{aligned}$$

Topologically Distinct Edge Phases!

Domain Walls Between Different Edge Phases

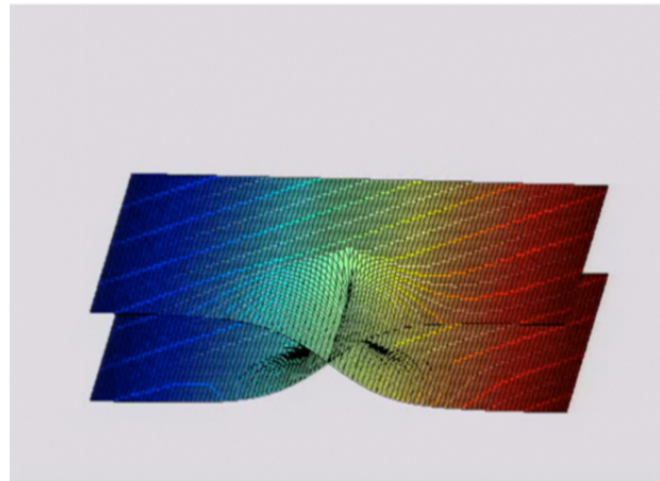
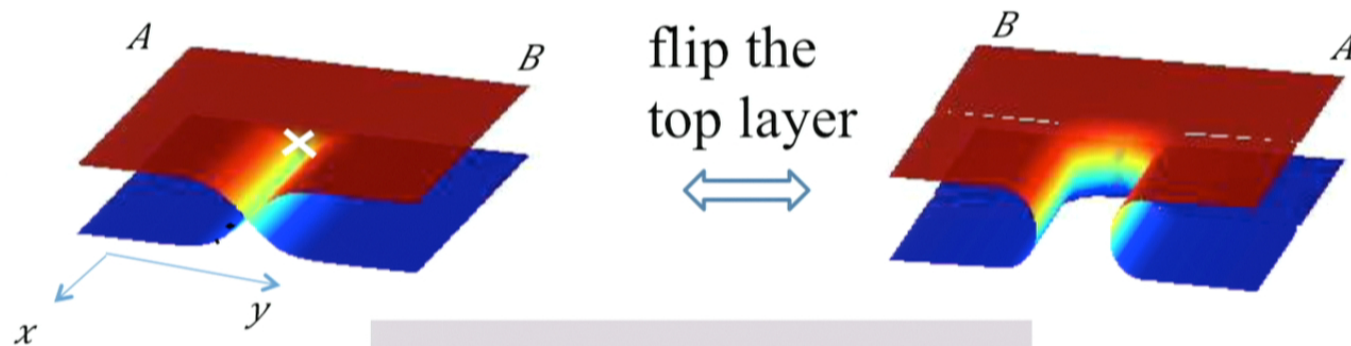


“Twisted” tunneling induces branch cut between layers



Branch cut effectively changes topology

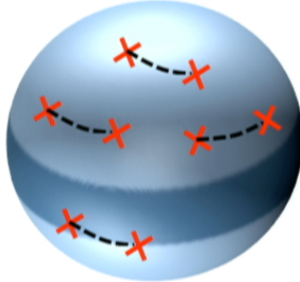
- In bilayers, pair of defects (branch points) creates “worm hole”



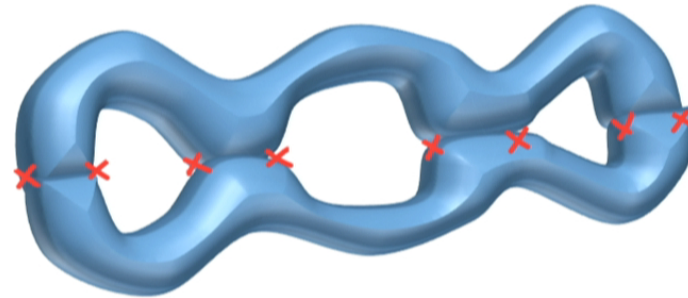
Barkeshli, Wen (2010)
Barkeshli, Qi (2012)

- Every pair of defects add genus 1 to the manifold

$2n$ defects on a sphere



genus $g=n-1$ surface



Defects called **genons**---genus generators

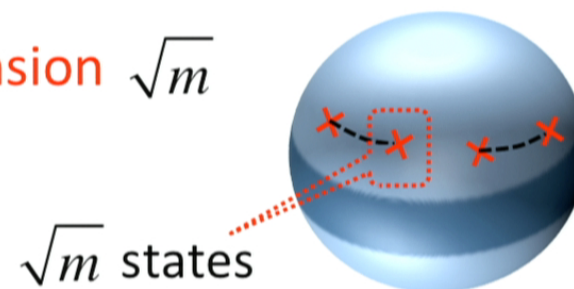
Barkeshli, Wen (2010)
Barkeshli, Qi (2012)

Quantum dimension of genons

- $\nu = 1/m$ Laughlin FQH state in each layer \rightarrow
ground state degeneracy m^g ,

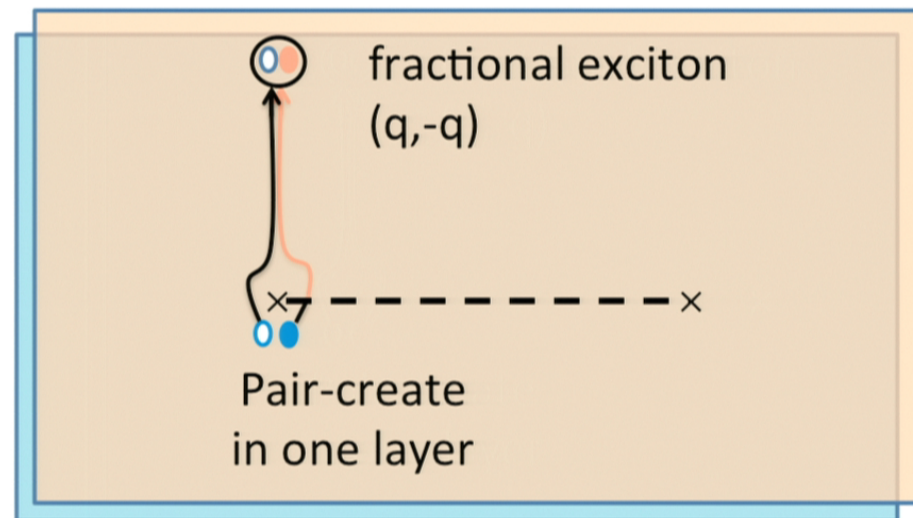
each pair of defects add m degrees of freedom

\rightarrow Each defect has quantum dimension \sqrt{m}



Localized “parafermion” zero modes

- Twist defects/genons lead to localized zero energy states for some quasiparticles
- Genons in bilayers can absorb/emit fractional excitons :



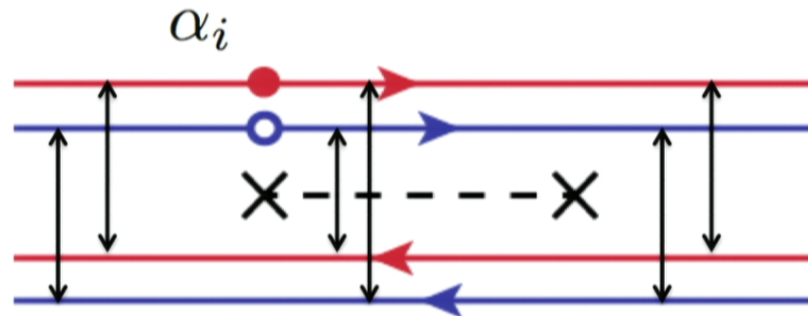
Parafermion zero mode operators

- Zero mode = quasiparticle exciton operators at domain walls:

$$\alpha_i = e^{i(\phi_{R1} - \phi_{R2})(x_i)}$$

$$\alpha_j \alpha_k = \alpha_k \alpha_j e^{2\pi i \text{sgn}(j-k)/3}$$

**Exponentially
localized
to defect.**



Parafermion zero mode operators

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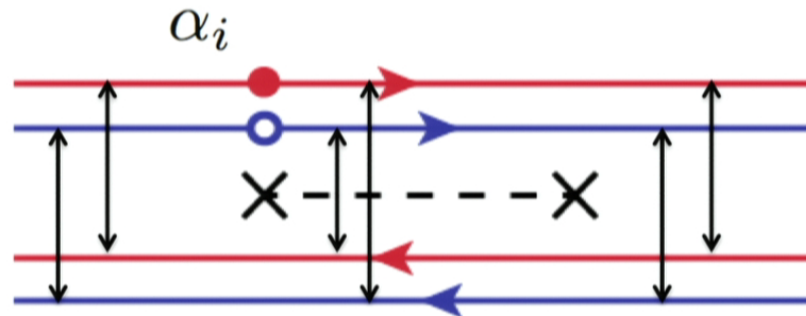
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Z_3 “parafermion” algebra

Beyond Majorana zero modes

[Read-Green 2000
Kitaev 2001]

Exponentially
localized
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Parafermion zero mode operators

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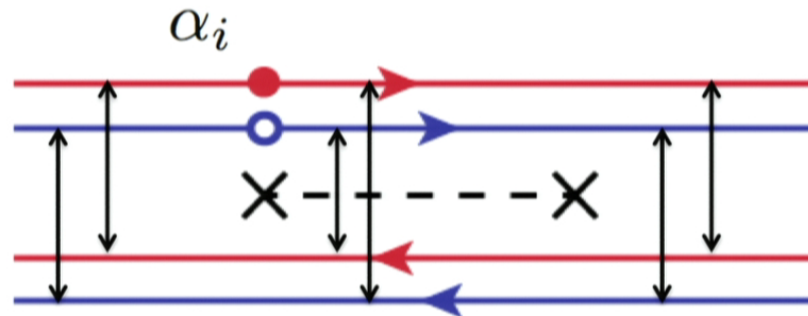
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Z_3 “parafermion” algebra

Beyond Majorana zero modes

[Read-Green 2000
Kitaev 2001]

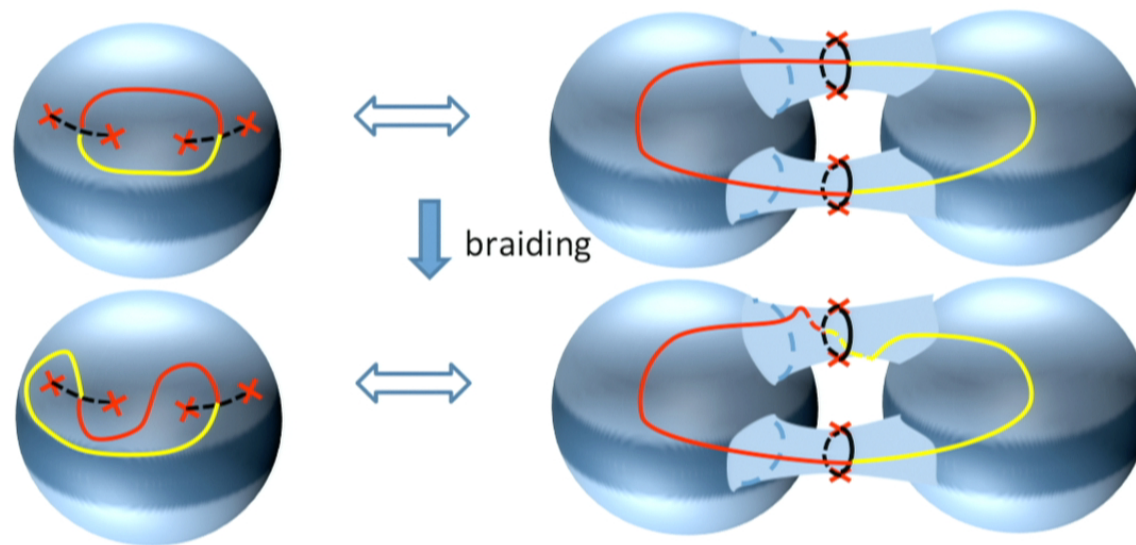
Exponentially
localized
to defect.



Projective braiding statistics of genons

- Braiding two genons = “Dehn twist” on the high genus surface

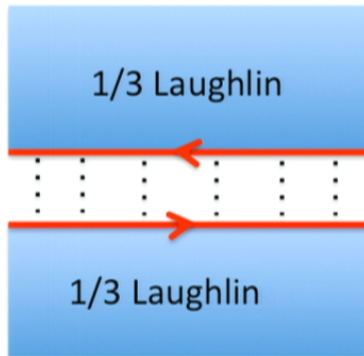
Overall phase not topological → **Projective non-Abelian statistics**



**Universal topological quantum computation
from non-universal states**

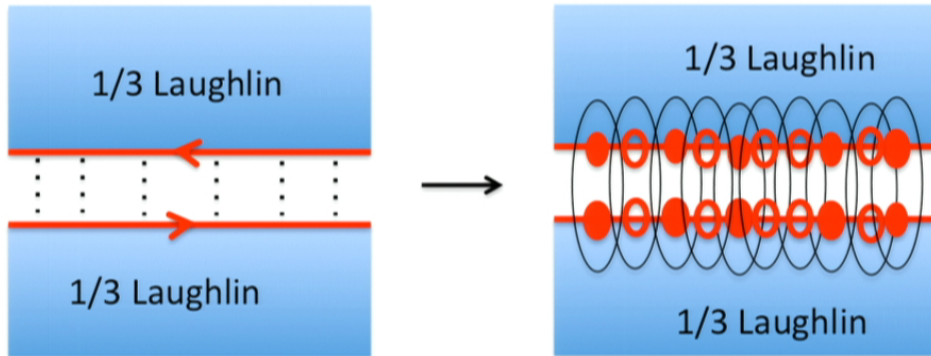
Barkeshli, Jian, Qi (2013)

Cooper pair tunneling in 1/3 Laughlin state



$$\delta\mathcal{L} = -\frac{t}{2}(\Psi_{eR}^\dagger \Psi_{eL}^\dagger + H.c.) = -t \cos(3(\phi_R + \phi_L))$$

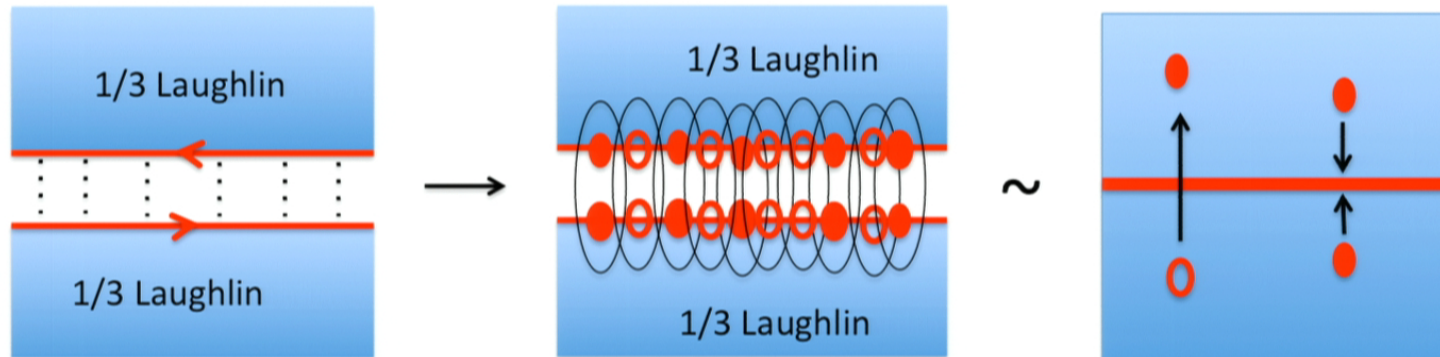
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Large $t \rightarrow$ Gaps modes $\langle e^{i(\phi_R + \phi_L)} \rangle = e^{2\pi i n / 3}$

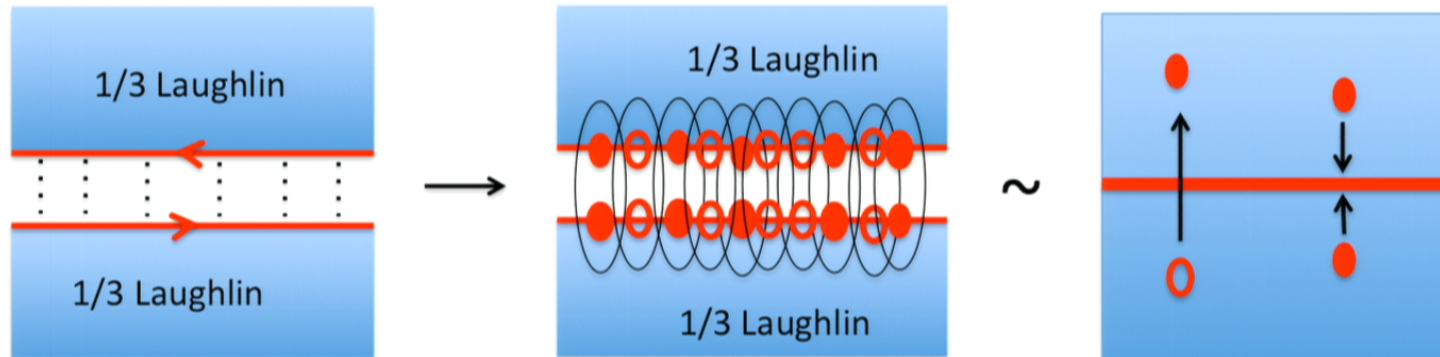
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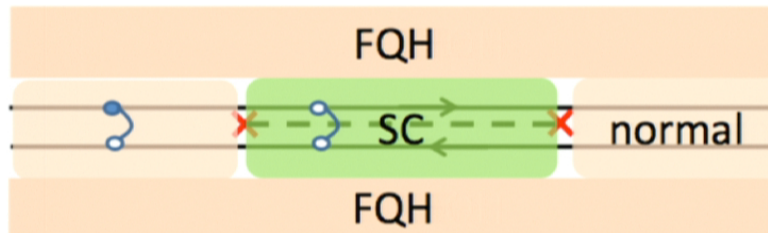


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Large $t \rightarrow$ Gaps modes $\langle e^{i(\phi_R + \phi_L)} \rangle = e^{2\pi i n / 3}$

Topologically distinct way to gap out modes (cf. normal tunneling)

Normal – Superconducting Domain Walls



Lindner, Berg, Refael, Stern 2012;
 Clarke, Alicea, Shtengel 2012;
 Cheng 2012; Vaezi 2012

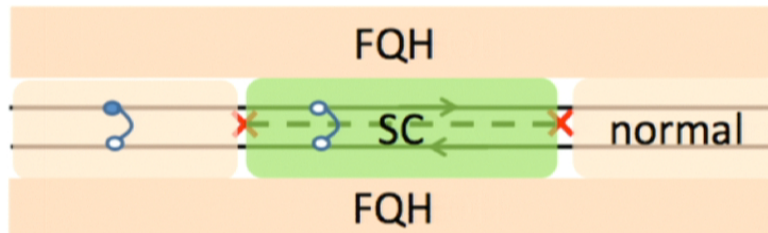
IQSH: Fu-Kane 2008

Quantum Dimension
 of domain walls:

$$\sqrt{2}\sqrt{m} \quad m \text{ odd}$$

$$\sqrt{m/2} \quad m \text{ even}$$

Normal – Superconducting Domain Walls



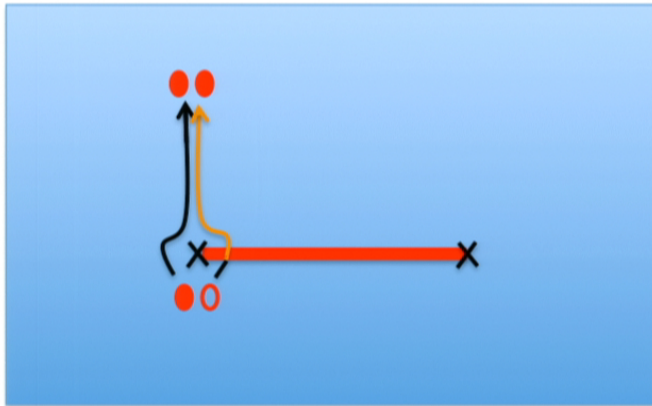
Lindner, Berg, Refael, Stern 2012;
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Parafermion
 zero modes

Line Defects

More abstractly, these were gapped line defects:



General classification of gapped line defects:

For certain lattice models: [Kitaev-Kong \(2012\)](#); [Beig-Shor-Whalen \(2011\)](#)

For general Abelian states: [Barkeshli, Jian, Qi \(2013\)](#); [Levin \(2013\)](#)

[see also [Kapustin-Saulina \(2011\)](#), [Fuchs et al \(2013\)](#)]

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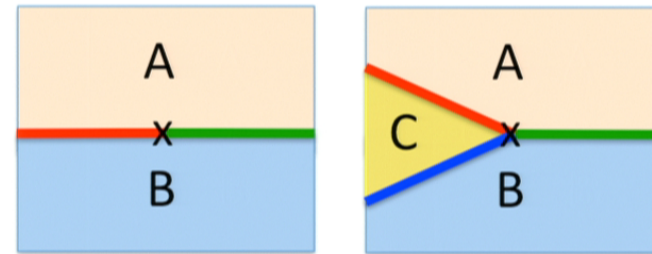
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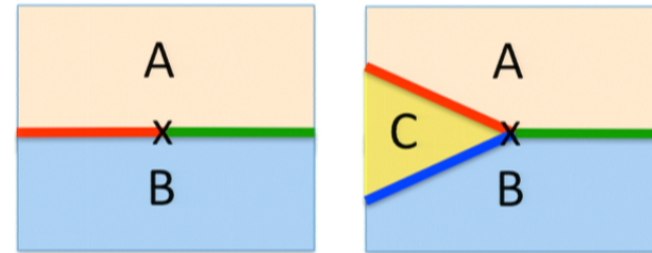
Point-like Defects

- Domain walls/junctions between distinct line defects



Point-like Defects

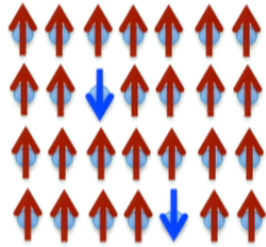
- Domain walls/junctions between distinct line defects



Not finite energy quasiparticle excitations
(Not thermally activated at low T)

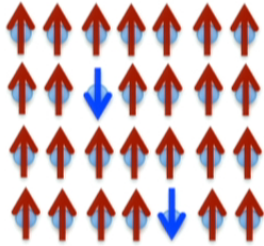
Extrinsically imposed deformations of Hamiltonian

For symmetry-broken order:

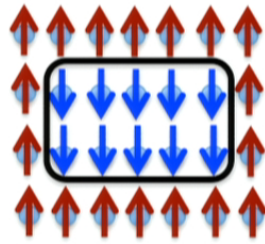


Finite energy
excitations

For symmetry-broken order:

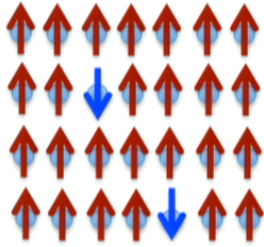


Finite energy
excitations

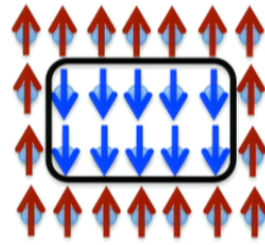


Line defects
(linear energy cost)

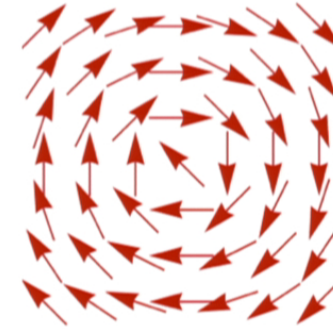
For symmetry-broken order:



Finite energy
excitations

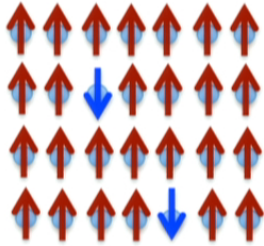


Line defects
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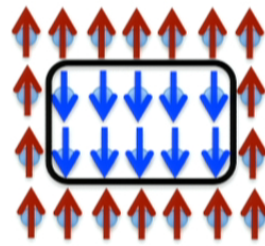


Point-like defects
(log confined)

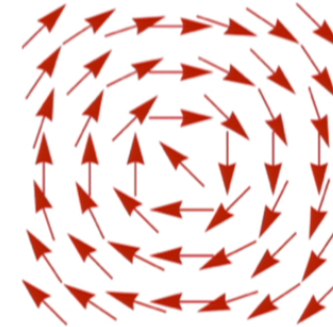
For symmetry-broken order:



Finite energy
excitations

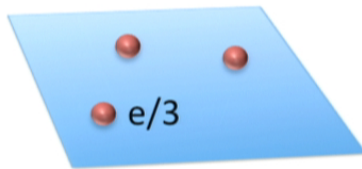


Line defects
(linear energy cost)



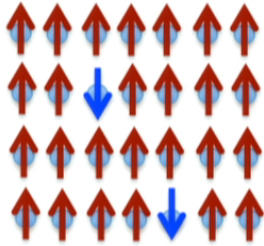
Point-like defects
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For topological order:

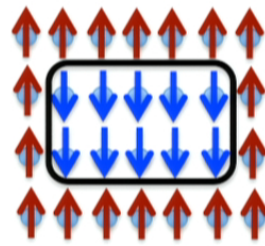


Fractional anyons
= finite energy
excitations

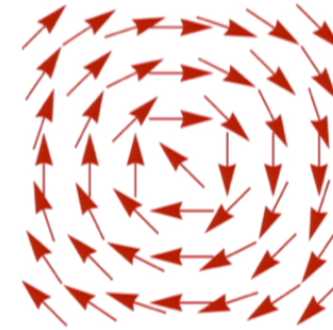
For symmetry-broken order:



Finite energy excitations

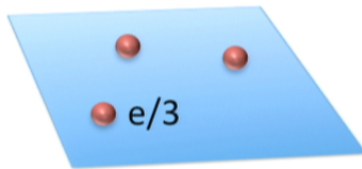


Line defects
(linear energy cost)

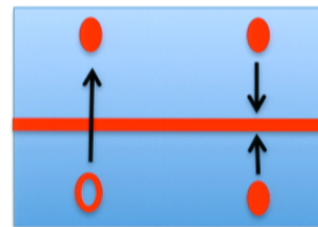


Point-like defects
(log confined)

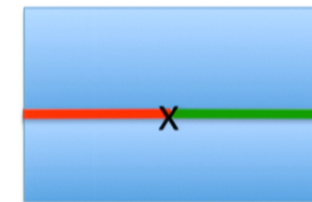
For topological order:



Fractional anyons
= finite energy excitations



Line defects
(linear energy cost)



Point-like defects
(confined)

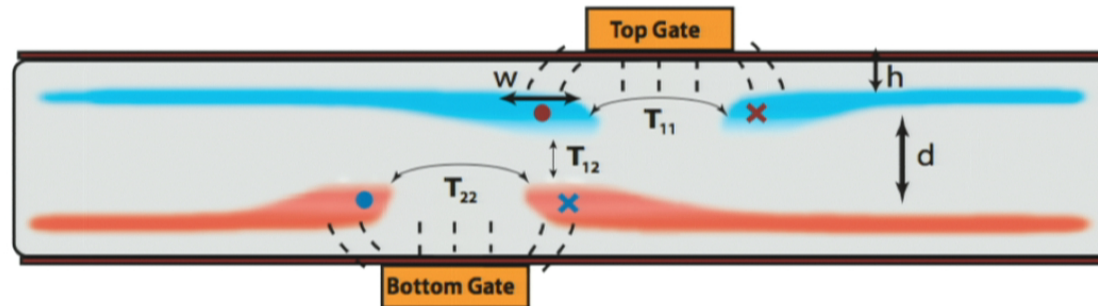
Experimental Proposal

MB, XLQ (2013)

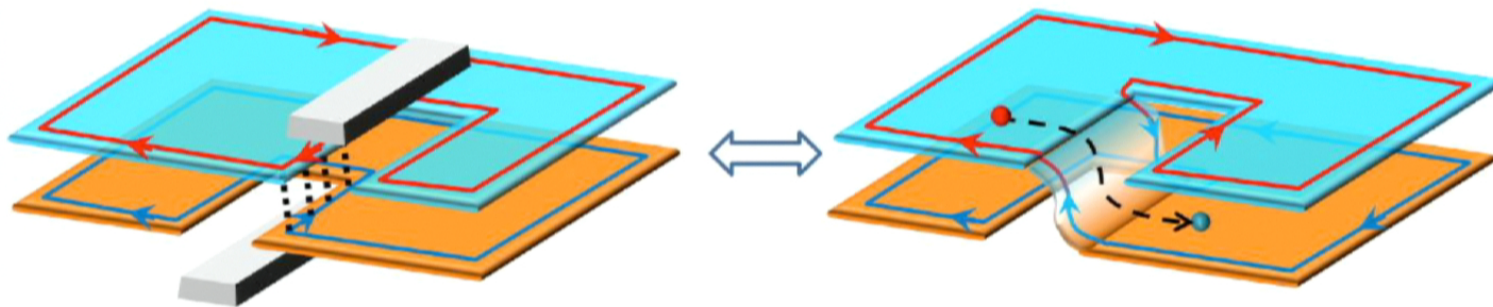
Experimental Proposal

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Bilayer FQH system (two layers of $1/3$ Laughlin FQH state):

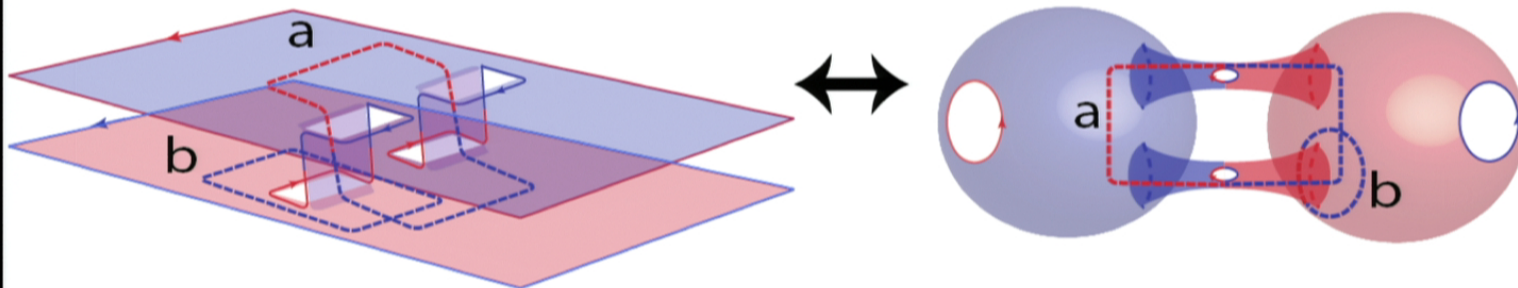


Need $T_{12} \gg T_{11}, T_{22}$



- Adding a staircase (one pair of gates) adds genus by 1.
→ One staircase = 2 genons
- Uncoupled edges do not destroy topological protection.
Parafermion zero modes still exponentially localized!

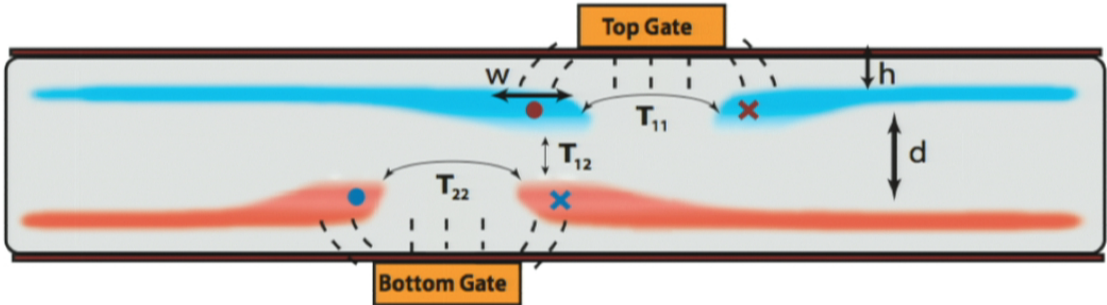
Barkeshli, Qi 2013



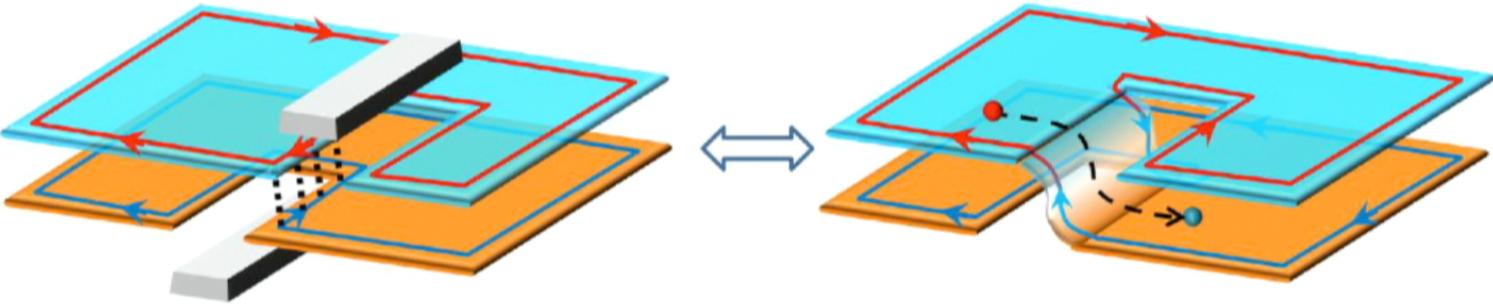
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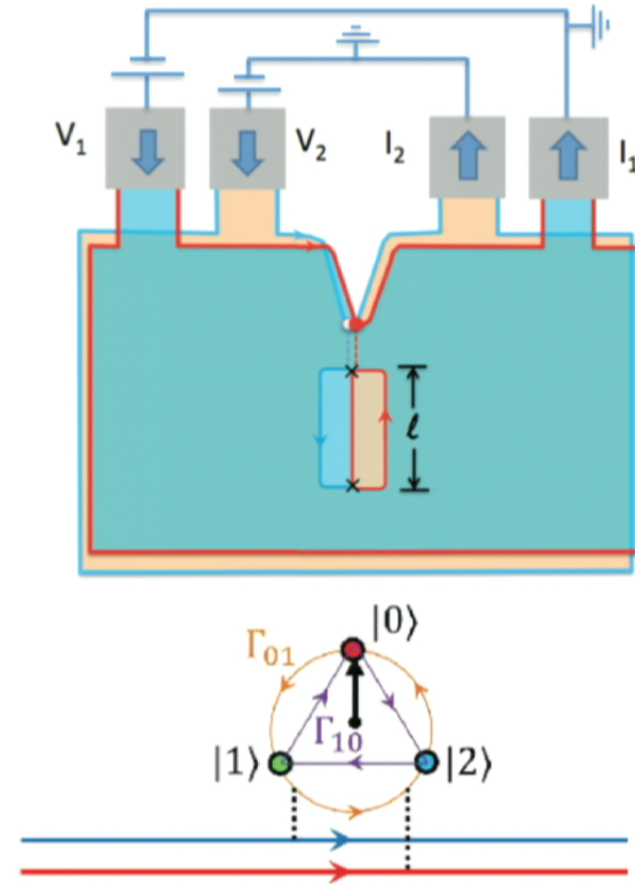


Need $\nu_{12} \gg \nu_{11}, \nu_{22}$



Detecting Topology-Dependent Degeneracy

MB, Y. Oreg, X-L Qi, 2014

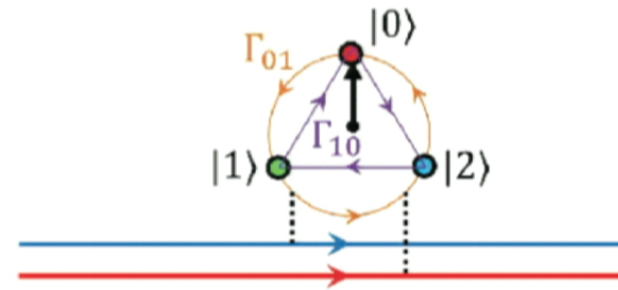
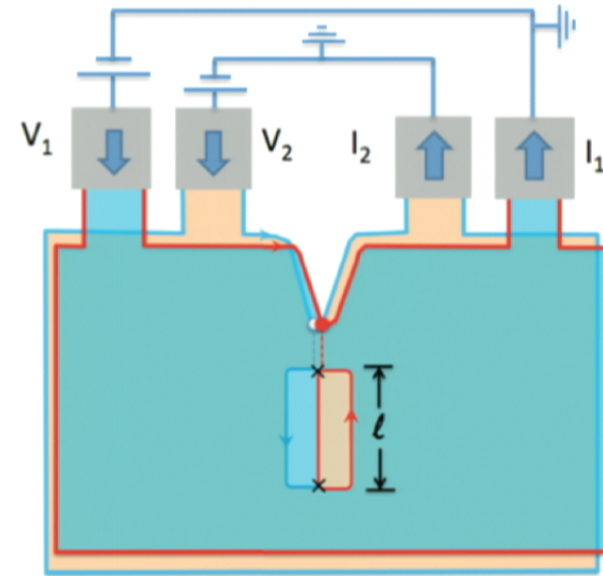
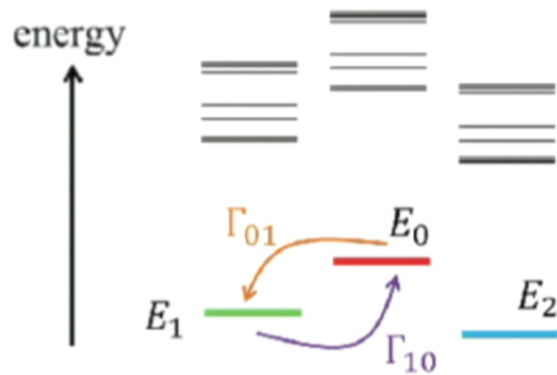


Detecting Topology-Dependent Degeneracy

MB, Y. Oreg, X-L Qi, 2014

- Finite-size gives exponential splitting between topological states

$$\propto e^{-l/\xi_{loc}}$$



Detecting Topology-Dependent Degeneracy

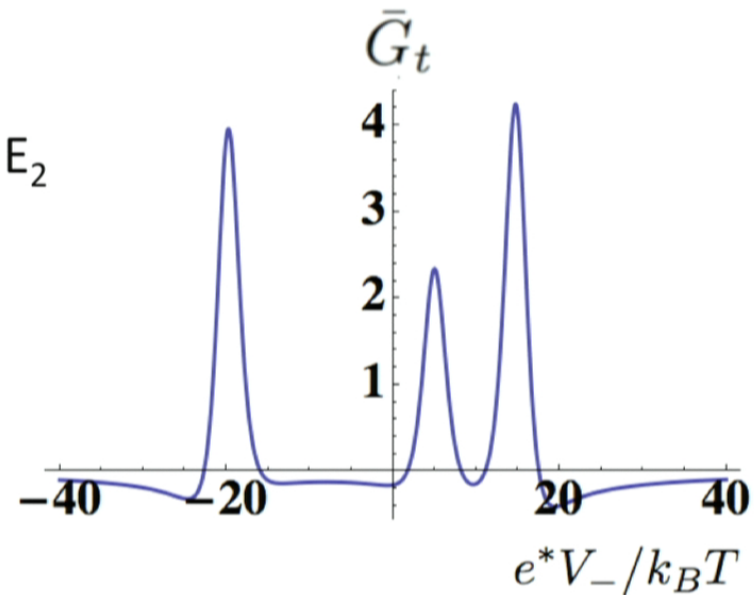
- Tunneling conductance calculated through master equation approach

MB, Y. Oreg, X-L Qi, 2014

$$\frac{dp_n}{dt} = \sum_{l=1}^{m-1} [\Gamma_{n+l,n} p_{n+l} - \Gamma_{n,n+l} p_n] = 0$$

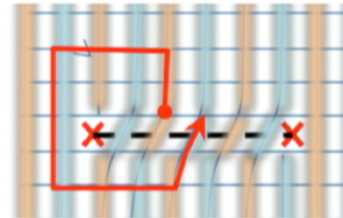
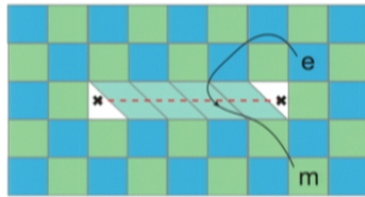
- **3 peaks** exist in general at energies $E_1 - E_0$, $E_2 - E_1$, $E_0 - E_2$

Topological Spectroscopy



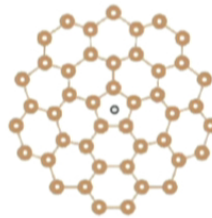
Other realizations of genons/twist defects:

- Lattice dislocations in Fractional Chern Insulators (in $C > 1$ bands) or exactly solvable (Kitaev/Levin-Wen) models [Barkeshli & Qi, \(2012\)](#)



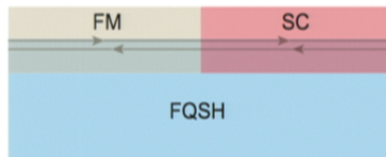
[Kitaev-Kong \(2012\)](#),
[Bombin \(2010\)](#)
[You-Wen \(2012\)](#)

- Lattice dislocations/disclinations in graphene FQH states



[Barkeshli, Lee, Qi, to appear](#)

- Normal/SC domain walls in FQH / FQSH states



[Clarke et al, Lindner et al, Cheng \(2012\)](#)

Defects:

A new chapter in the theory of topological order

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A new chapter in the theory of topological order

- Point-like defects are **non-Abelian**, even in Abelian state.
Exotic “parafermion” zero modes

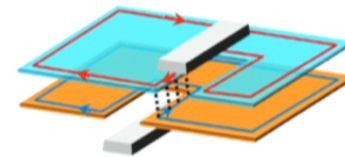
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- **Experimental proposal**

Spectroscopy of topology-dependent
ground state degeneracies



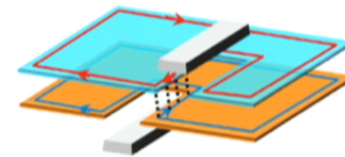
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Spectroscopy of topology-dependent ground state degeneracies



-
- Direct coupling between electrons and fractionalized anyons → “**smoking-gun**” signatures of quantum spin liquids

Barkeshli, Berg, Kivelson, Science (2014), to appear



