

Title: Wilsonian and Large N Approaches to Non-Fermi Liquids

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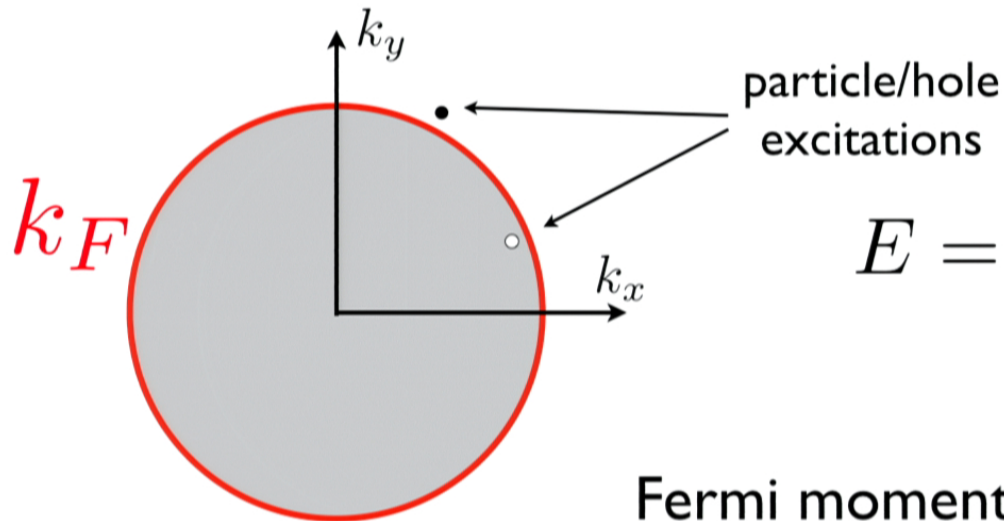
Abstract: We study the problem of metals near a quantum critical point using a local Wilsonian effective field theory of Fermi surface fermions coupled to massless boson (i.e. order parameter) fields, in particular in a large N limit where the boson is matrix-valued. We focus on regions of parameter space where the boson dresses the fermions into a non-Fermi liquid while the bosons are approximately controlled by the Wilson-Fisher fixed point.

# Wilsonian and Large N approaches to Non- Fermi Liquids

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Stanford University  
w/ Shamit Kachru, Jared Kaplan, Steve Kivelson, Sri Raghu

# Introduction to Fermi Liquids

Fermions at finite density  
have a Fermi surface



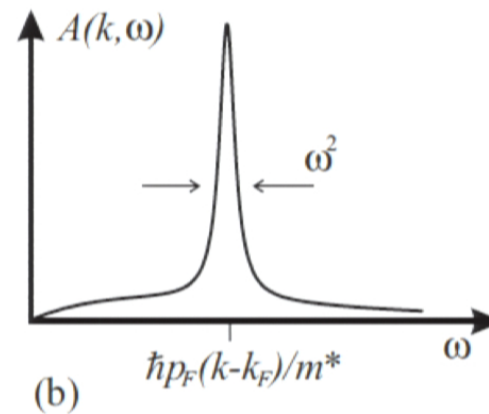
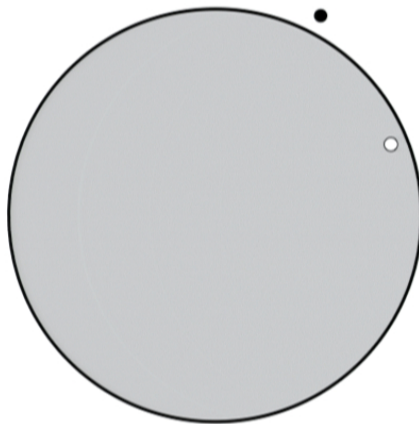
Fermi energy

$$E = \frac{k^2}{2m} - \epsilon_F$$

Fermi momentum:  $\frac{k_F^2}{2m} = \epsilon_F$

# Landau Fermi Liquids

In simple metals, excitations are weakly coupled quasi-particles



$$\frac{1}{\tau} = \text{Im}(\Sigma) \sim \frac{\omega^2}{k_F}$$



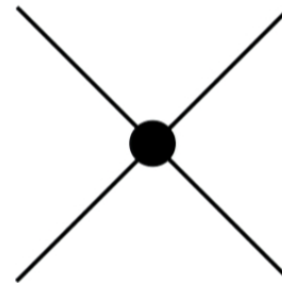
# Landau Fermi Liquids

Why are emergent quasiparticles well-described by weak coupling?

Modern EFT description:  
(almost) all interactions are irrelevant

Shankar  
Polchinski

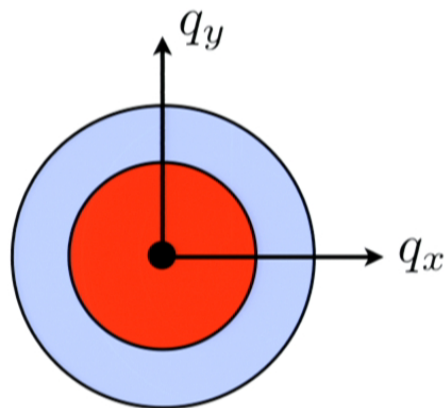
$$\frac{\psi^\dagger \psi \psi^\dagger \psi}{\Lambda}$$



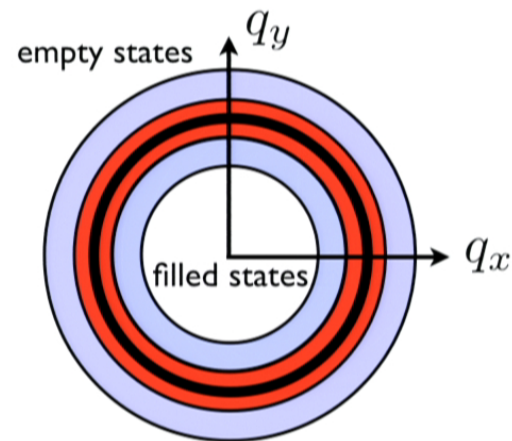
# Landau Fermi Liquids

## Scaling:

Standard:



Fermi Surface:



Fix angle and scale toward  
nearest point on Fermi surface:

$$\vec{q} = \hat{\theta}(k_F + \ell)$$

$$\omega \rightarrow e^\lambda \omega \quad \ell \rightarrow e^\lambda \ell$$

# Landau Fermi Liquids

$$S_2 = \int dS^{d-1} \left[ \int d\omega d\ell \psi^\dagger (\omega - v_F \ell) \psi \right]$$
$$\ell \equiv |k| - k_F$$
$$\omega \rightarrow e^\lambda \omega$$
$$\ell \rightarrow e^\lambda \ell$$

So we see that the fermions should scale as

$$\psi \rightarrow e^{-\frac{3}{2}\lambda} \psi$$

# Landau Fermi Liquids

First interaction is four-fermion interaction

$$S_4 = \int d^{d-1}S_1 d\omega_1 d\ell_1 \dots d^{d-1}S_4 d\omega_4 d\ell_4 \delta(\omega_1 + \omega_2 + \omega_3 + \omega_4)$$

$$V(\theta_i) \psi_1^\dagger \psi_2^\dagger \psi_3 \psi_4 \delta^d(\vec{k}_1 + \vec{k}_2 + \vec{k}_3 + \vec{k}_4)$$

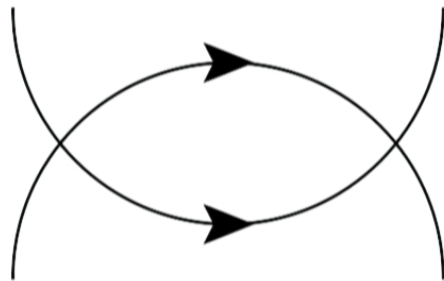
It naively scales like  $e^\lambda$  and is irrelevant

But for certain kinematic configurations, the delta function scales like  $e^{-\lambda}$  and the interaction becomes *marginal*

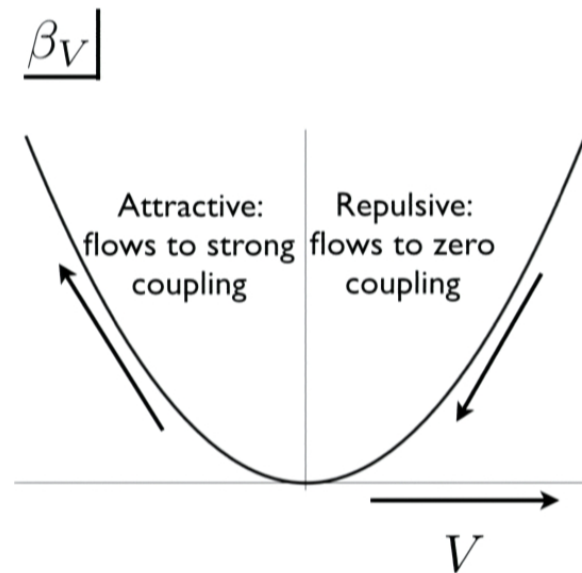
# Landau Fermi Liquids

BCS instability:

At one-loop, the interaction between antipodal points runs and becomes marginally relevant/irrelevant



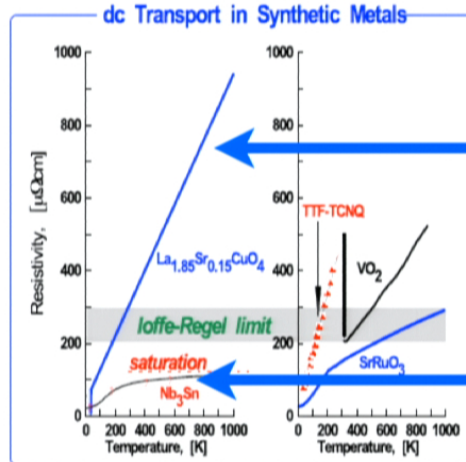
$$\frac{dV}{d \log \mu} = V^2$$



# Fermi vs. Non-Fermi

## Ioffe-Regel Resistivity Limit on Fermi Liquids

Quasi-particle  
transport:  
resistivity is inversely  
proportional to mean  
free path



“Non-Fermi Liquid”  
metal exceeds bound

“Fermi Liquid” metal  
doesn't exceed bound

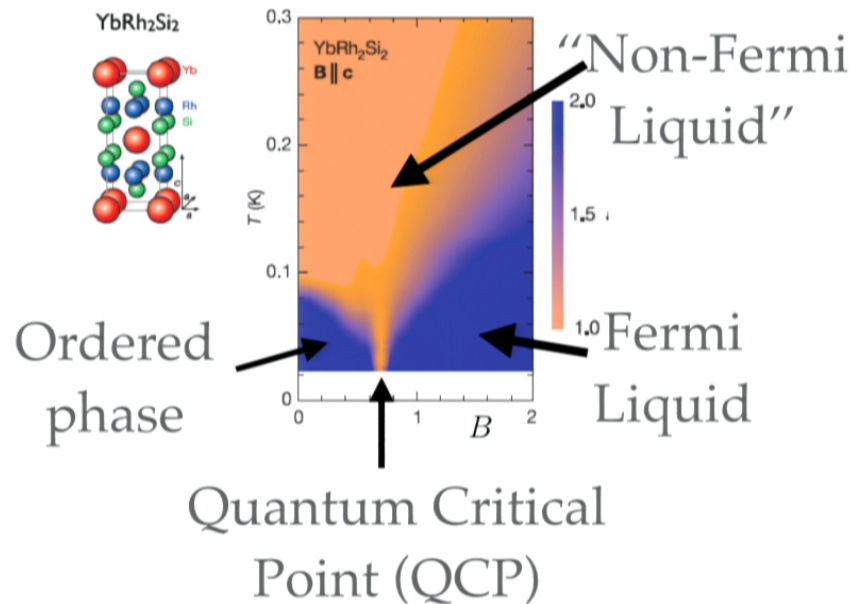
Courtesy of D. Basov (UCSD)

If resistivity is too large, then mean free path is shorter than lattice spacing, and quasi-particle description doesn't make sense

# “Non-Fermi” Liquids

Many materials have fascinating new properties that make them fall outside of the Fermi Liquid description

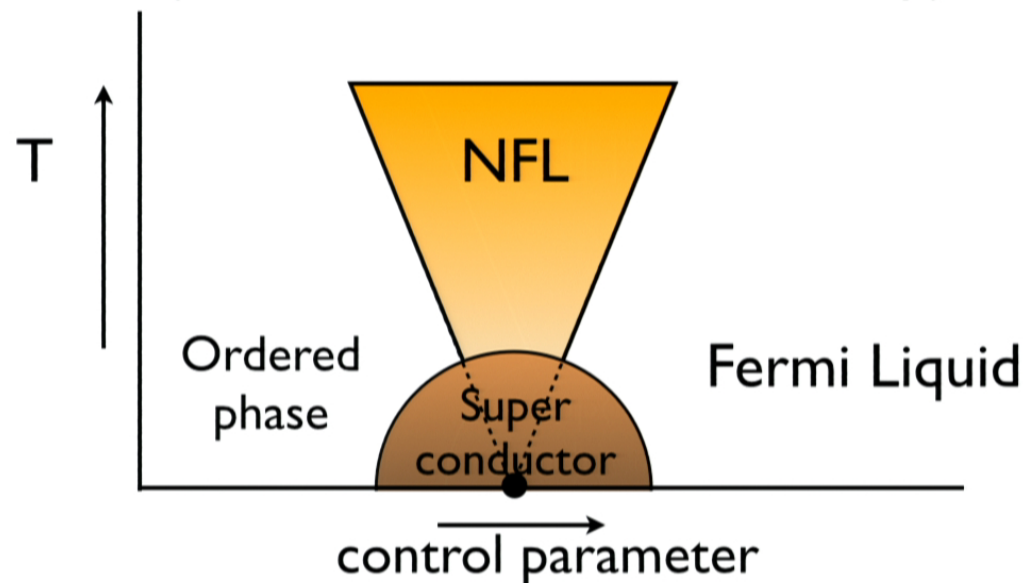
- Resistivity Linear in  $T$
- Violate Ioffe-Regel bound
- Superconductivity often occurs at high temperature
- Often Located near Quantum Critical Points



# Quantum Critical Points

A Recurring theme: NFLs arise near Quantum Phase Transitions

(Phase transition at zero temp)

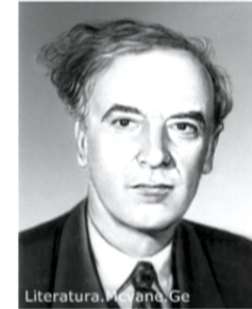




# Landau-Ginzburg-Wilson

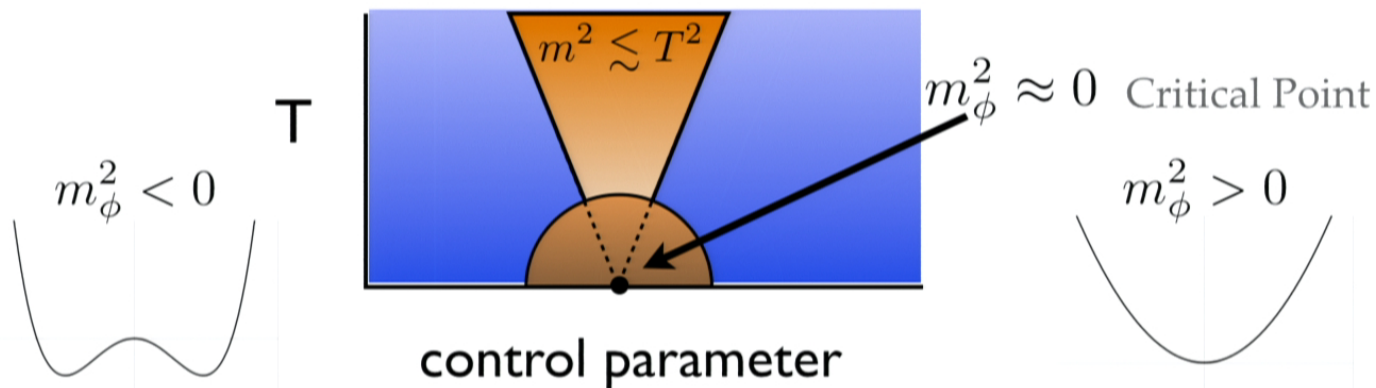
Write down Lagrangian for the order parameter of the phase transition

$$\mathcal{L} \sim \dot{\phi}^2 - (\nabla \phi)^2 - m^2 \phi^2 - \lambda \phi^4 + \dots$$



Example: Ferromagnetic transition  $\phi = M_z$

Near critical point:  $\phi$  is a nearly massless fluctuating boson



# EFTs of Non-Fermi Liquids

As a high energy physicist, I will take some lessons from the study of QCD:

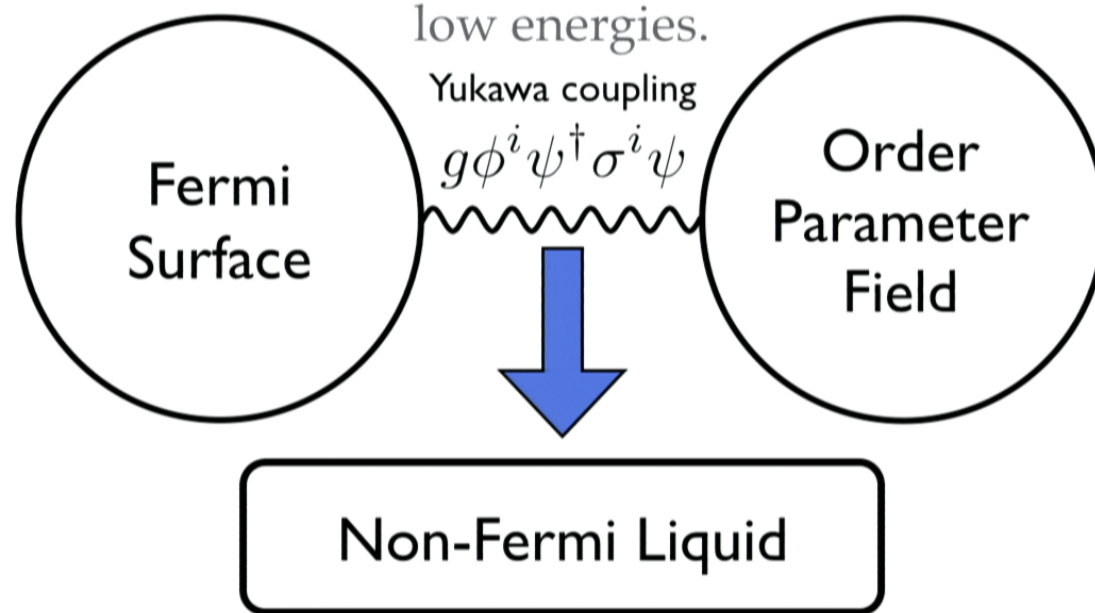
1) It was hard to see *a priori* what QFTs (if any!) could explain deep inelastic scattering

The classification and study of local QFTs was wildly successful

2) Confinement especially was hard to tackle directly, and simplifying special cases (2d, large  $N$ , SUSY) played a crucial role in our qualitative understanding

# EFTs and Non-Fermi Liquids

Treat this as a Wilsonian EFT problem:  
Choose our light degrees of freedom and add interactions.  
Integrate out high energy modes and see what happens at  
low energies.



# EFTs of Non-Fermi Liquids

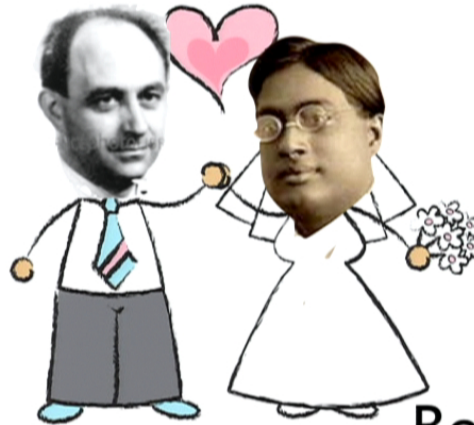
Wilsonian approach: start with *local* action in UV and integrate out high energy modes

We will not add *by hand* any terms like

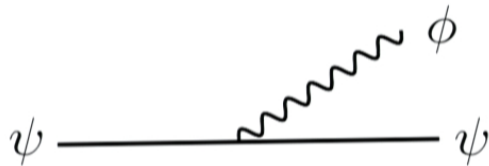
$$\phi \frac{1}{\nabla^2} \phi$$



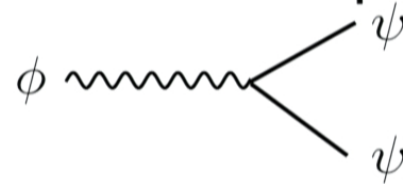
# Marriage of Landau's Two Great Frameworks



Fermions can decay:  
Non-Fermi Liquid

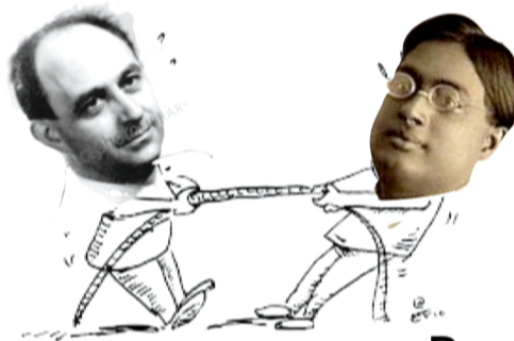


Bosons can decay to  
particle/hole pairs:  
“Landau damping”

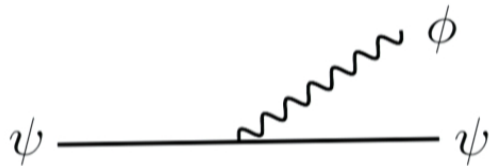


# Titanic Struggle

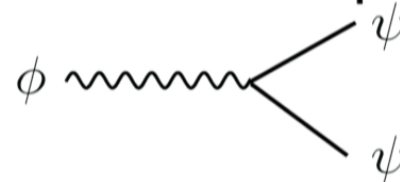
Fermions renormalize bosons and vice versa  
Who wins?



Fermions can decay:  
Non-Fermi Liquid



Bosons can decay to  
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“Landau damping”

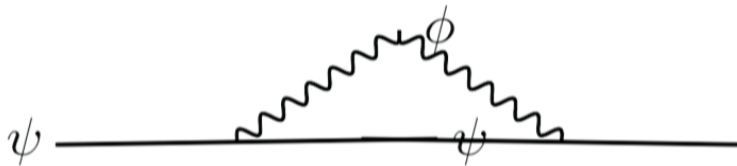


# Titanic Struggle

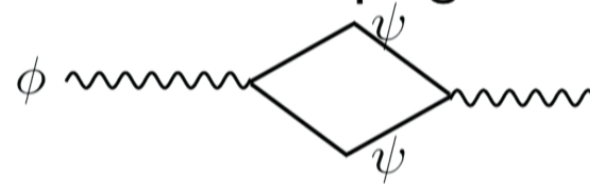
Fermions renormalize bosons and vice versa  
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Fermions can decay:  
Non-Fermi Liquid

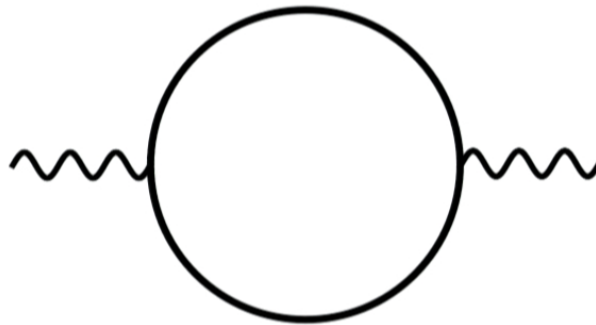


Bosons can decay to  
particle/hole pairs:  
“Landau damping”






# Landau Damping



One-loop boson self-energy  
has non-analytic term

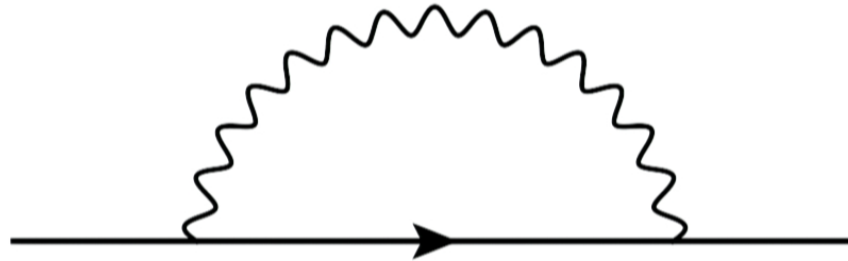

 $\sim M^2 F(\omega/p)$

Strong coupling at IR scale: One loop vs tree-level


 $> \text{~~~~~}$



# Anomalous Dimension



Wavefunction  
renormalization

This is a more familiar effect from a particle  
physicist's point of view:

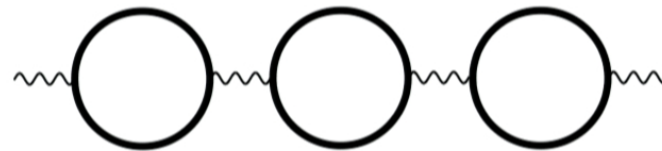
The log divergent piece changes the scaling  
dimension of the fermion field

# Landau Damping

Mainstream philosophy  
Hertz (1976):

“Fermions Win”

“Keep 1PI diagrams but  
drop all others, resum to  
get new kinetic term”



“Then feed this back into corrections to fermion”

# Landau Damping

Mainstream philosophy

Hertz (1976):

“Fermions Win”

Long line of work in this direction:

Millis '93, Polchinski '94,

Nakak, Wilczek '94,

Oganesyan, Kivelson, Fradkin '01,

Chubukov et al. '06, S.S. Lee '09,

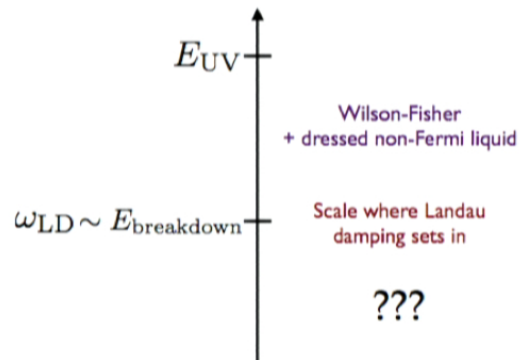
Metlitski, Sachdev '10,

Mross, McGreevy, Liu, Senthil '10

We will go a different direction

# Wilsonian Perturbation Theory

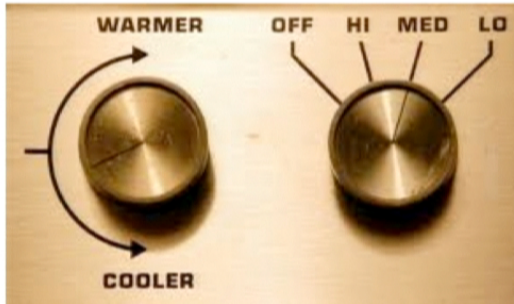
We will start by perturbing around the free UV theory and look for limits where we can do perturbative calculations in a small parameter



- Look for intermediate regimes governed by approximate fixed points.
- Estimate the scales where corrections become large and our description breaks down.

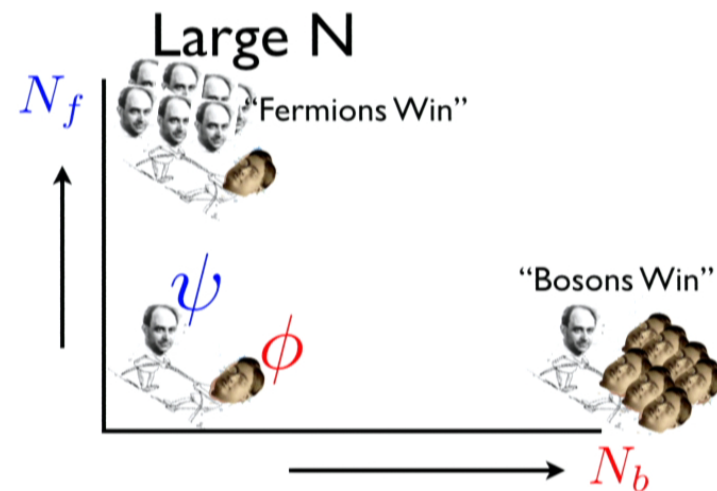
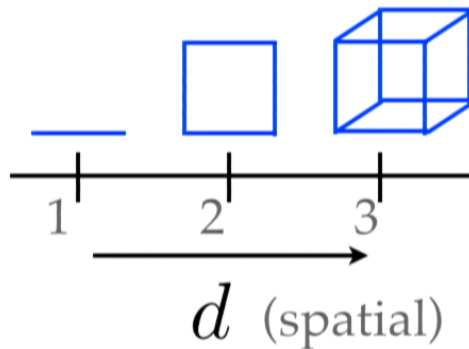
In a theory with superconducting instabilities, we are mainly interested in pushing the breakdown scale below the superconducting dome.

# Looking for Parameters to Dial



We want to find parameters of the theory where a drastic simplification occurs (e.g. Landau damping is highly suppressed)

Dimension: small  $\epsilon = 3 - d$



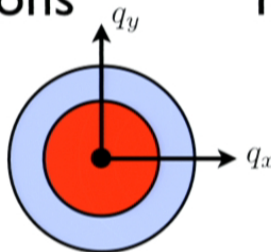
# Epsilon Expansion

Work near upper critical dimension to find a scale-invariant fixed point at weak coupling

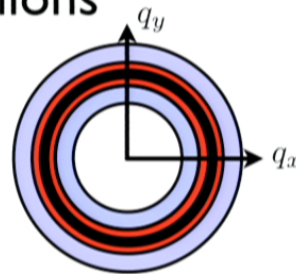
All three couplings are classically marginal in  $d = 3$

$$g\phi\psi^\dagger\psi \quad \lambda_\phi\phi^4$$
$$\lambda_\psi(\psi^\dagger\psi)^2$$

Bosons



Fermions



$$g \rightarrow e^{\frac{3-d}{2}\lambda} g$$

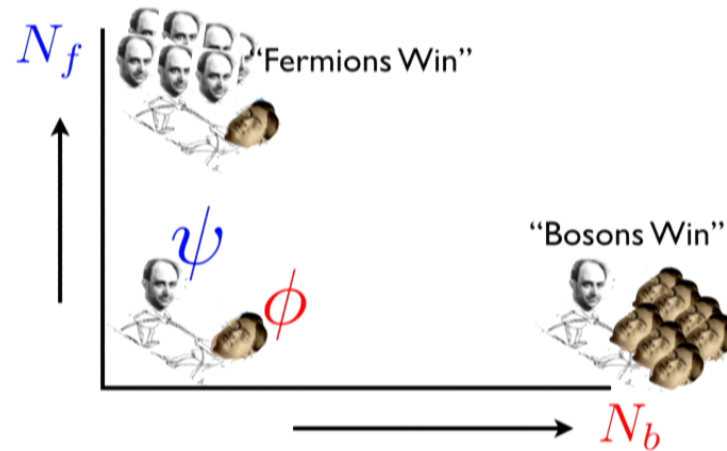
$$\lambda_\phi \rightarrow e^{(3-d)\lambda} \lambda_\phi$$

$$\lambda_\psi \rightarrow \lambda_\psi$$

# Large N Dials

	$SU(N_b)$	$SU(N_f)$
$\phi_i^j$	Adj	1
$\psi_i^A$	$\square$	$\bar{\square}$

Furthermore, consider simplifications in large N limits

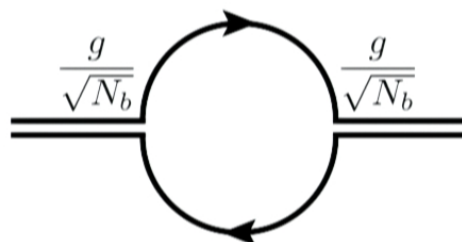


# Large N Dials

At  $N_b \rightarrow \infty$   $N_f$  fixed

	$SU(N_b)$	$SU(N_f)$
$\phi_i^j$	Adj	1
$\psi_i^A$	$\square$	$\bar{\square}$

“Bosons Win”



Landau Damping is a non-planar diagram  
and has no effect at infinite  $N_b$



# Large N Dials

	$SU(N_b)$	$SU(N_f)$
$\phi_i^j$	Adj	1
$\psi_i^A$	$\square$	$\bar{\square}$

At  $N_b \rightarrow \infty$   $N_f$  fixed

$$\frac{\lambda_\phi^{(2)}}{8N_b^2} (\text{tr}[\phi^2])^2$$



$$\frac{\lambda_\phi^{(1)}}{8N_b} \text{tr}[\phi^4]$$



# Large N Dials

	$SU(N_b)$	$SU(N_f)$
$\phi_i^j$	Adj	1
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At  $N_b \rightarrow \infty$   $N_f$  fixed

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$$\frac{\lambda_\phi^{(1)}}{8N_b} \text{tr}[\phi^4]$$

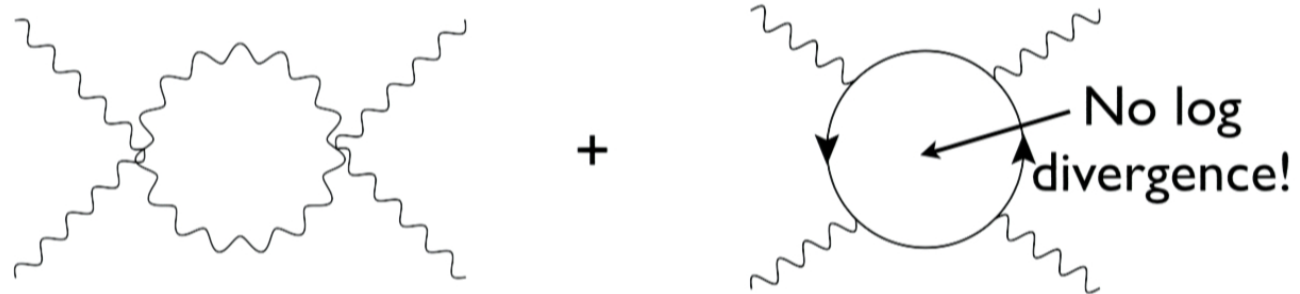


One can set  $\lambda_\phi^{(1)} = 0$  naturally (in the 't Hooft sense)

Then the  $\phi$  sector is isomorphic to the  $SO(N_b^2)$   
Wilson-Fisher fixed point

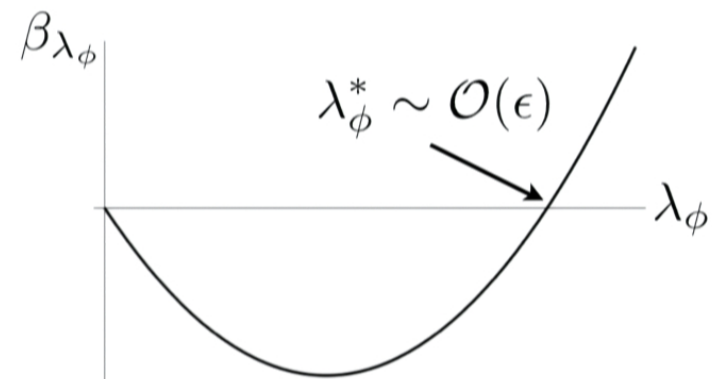
# Epsilon Expansion

$$d = 3 - \epsilon$$



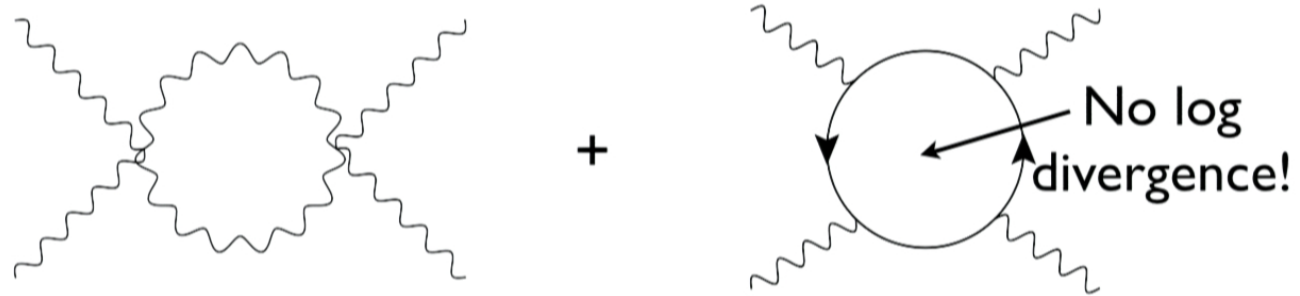
Scalar quartic running is the same as in Wilson Fisher

$$\frac{d}{d \log \mu} \lambda_\phi = -\epsilon \lambda_\phi + a_{\lambda_\phi} \lambda_\phi^2$$



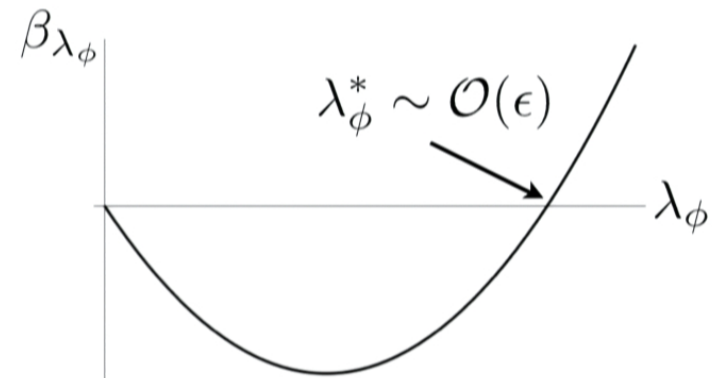
# Epsilon Expansion

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$$\frac{d}{d \log \mu} \lambda_\phi = -\epsilon \lambda_\phi + a_{\lambda_\phi} \lambda_\phi^2$$



# Running Velocity

Look at renormalization of quadratic terms

$$\mathcal{L} \supset \psi^\dagger (\omega - \epsilon(\ell)) \psi$$

$$\ell = |k| - k_F$$

$$\epsilon(\ell) = v\ell + w\ell^2 + \dots = k_x + \frac{k_y^2}{k_F} + \mathcal{O}(k_x^2, k_y^4)$$

Focus on limit where higher-derivative term is small

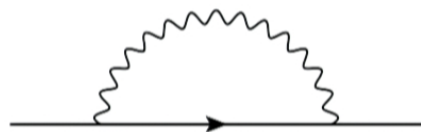
$$w\ell \ll v$$

# Running Velocity

Look at renormalization of quadratic terms

$$\epsilon(\ell) = v\ell + w\ell^2 + \dots$$

When  $w\ell \ll v$  one finds

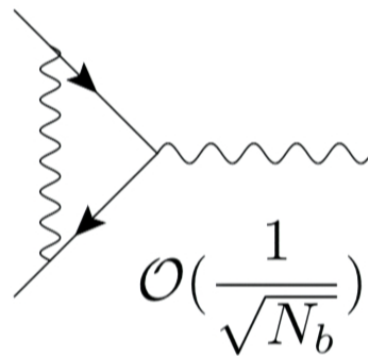

$$\sim (Z\omega + Z_\ell\ell) \log(\Lambda)$$

$$\beta_v = \frac{g^2}{(2\pi)^2 c^2} \rightarrow v \sim v_0 - \frac{g^2}{(2\pi)^2 c^2} \log \frac{\Lambda}{\mu}$$

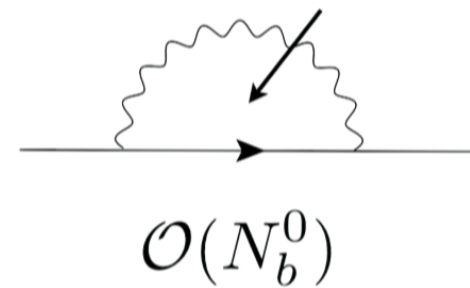
Velocity flows toward zero faster than any  
power of RG scale  $\mu$

# Epsilon Expansion

$$d = 3 - \epsilon$$



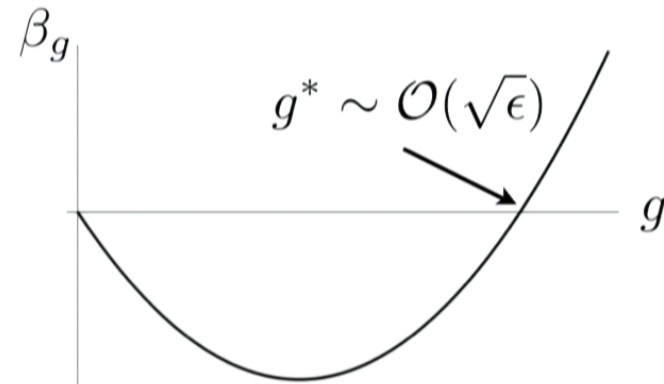
+



$\gamma_\psi$  from Wavefunction renormalization

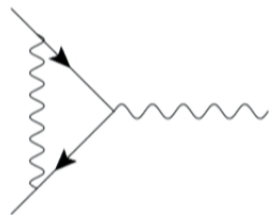
Yukawa runs to IR fixed point

$$\frac{d}{d \log \mu} g = -g \left( \frac{\epsilon}{2} - a_g g^2 \right) + \dots$$



# Large N Dials

At  $N_b \rightarrow \infty$   $N_f$  fixed



is  $\mathcal{O}\left(\frac{1}{\sqrt{N_b}}\right)$



is  $\mathcal{O}(N_b^0)$

So all running of  $g$  is through wavefunction renormalization:  $\frac{d}{d \log \mu} g = -g \left( \frac{\epsilon}{2} - 2\gamma_\psi(g) \right)$

Scale-invariant fixed point  
even for  $\epsilon \sim \mathcal{O}(1)$

$$2\gamma_\psi = \frac{\epsilon}{2}$$

The fermion Green's function  
therefore takes the form

$$G(\omega, p) = \frac{1}{\omega^{1-2\gamma_\psi}} f\left(\frac{\omega}{p}\right)$$



# Large N Dials

**At**  $N_b \rightarrow \infty$   $N_f$  fixed

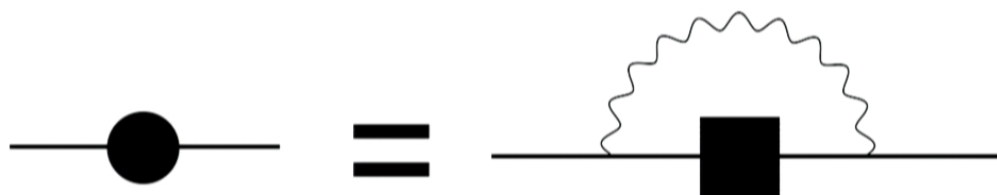
Actually, we can even calculate the scaling function  $f\left(\frac{\omega}{p}\right)$

## Gap equation for fermion Green's function

$$\begin{aligned} \Sigma(\omega, \ell) &= \text{diagram of a self-energy loop} \\ \left( G^{-1}(\omega, \ell) \right)^{-1} &= \text{diagram of a bare propagator} + \text{diagram of a self-energy correction} + \text{diagram of a second-order self-energy correction} + \dots \end{aligned}$$

# Large N Dials

At  $N_b \rightarrow \infty$   $N_f$  fixed

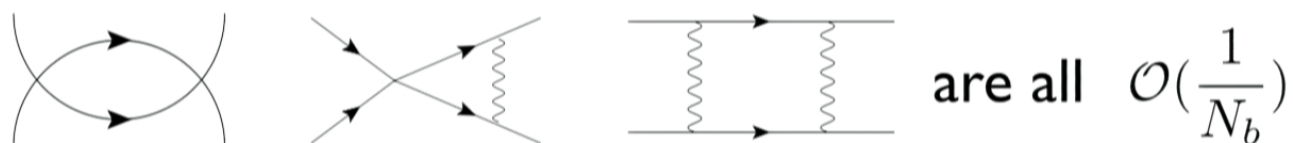


Solution:  $G(\omega, p) = \frac{1}{\omega^{1-\frac{\epsilon}{2}}}$   $f\left(\frac{\omega}{p}\right) = 1$

# Large N Dials

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$\psi_i^A$	$\square$	$\bar{\square}$

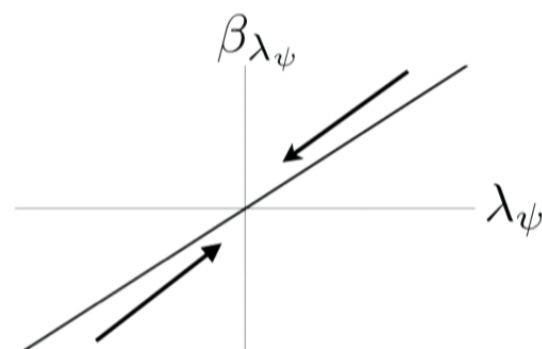
At  $N_b \rightarrow \infty$   $N_f$  fixed



The only contribution to four-fermi running is  
wavefunction renormalization

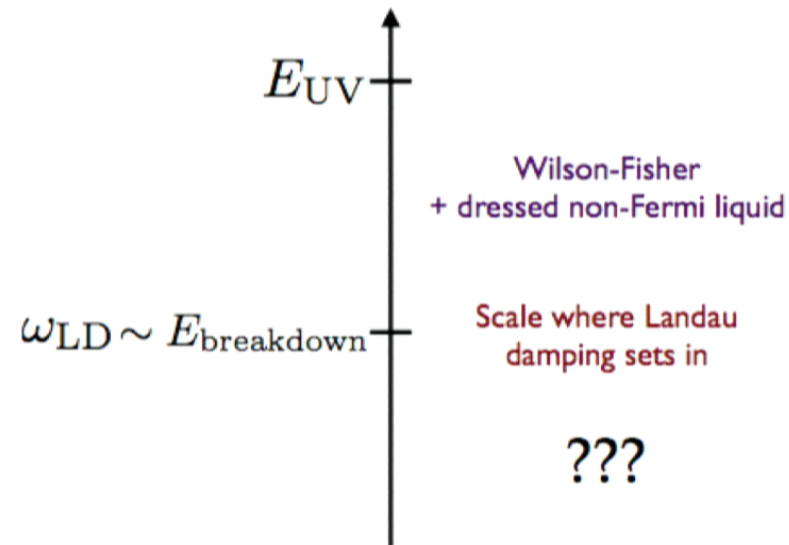
$$\frac{d\lambda_\psi}{d \log \mu} = 4\gamma_\psi \lambda_\psi$$

Stable against superconductivity  
near origin



# Epsilon Expansion

Landau damping pushed to low scale

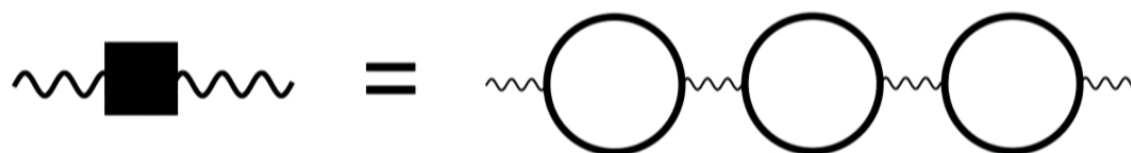


# Large N Dials

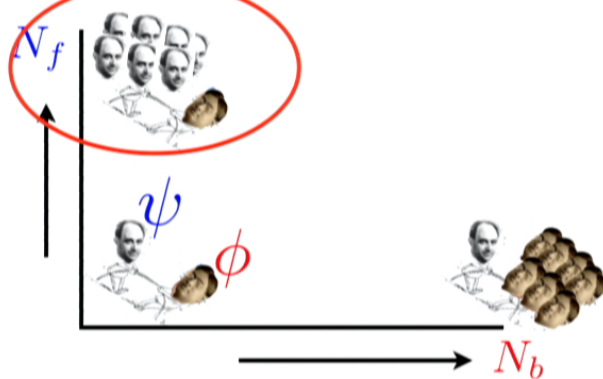
At  $N_f \rightarrow \infty$   $N_b$  fixed

	$SU(N_b)$	$SU(N_f)$
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“Fermions Win”



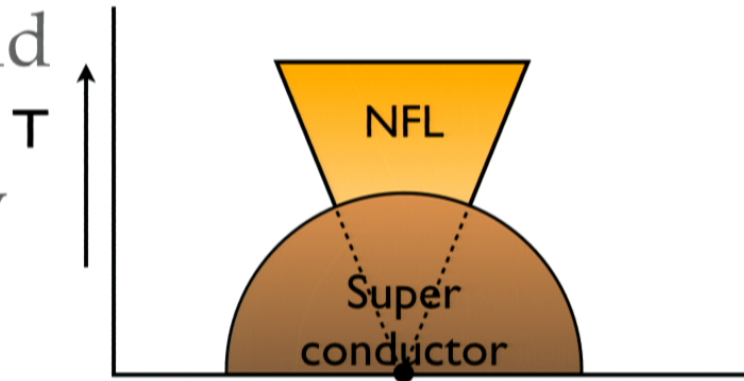
Hertz's theory is exact:  $G_\phi(\omega, p) = \frac{1}{\omega^2 + c_s^2 p^2 + \Pi(\omega, p)}$



# Ordering of Scales

We have three major scales in the problem:

- 1) Fermi Liquid  
→ Non-Fermi Liquid
- 2) Superconductivity
- 3) Landau Damping



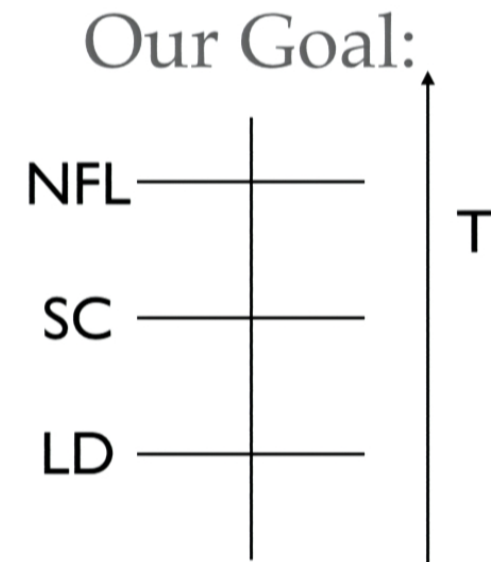
see also:

Metlitski, Mross, Sachdev, Senthil, '14

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- 2) Superconductivity
- 3) Landau Damping

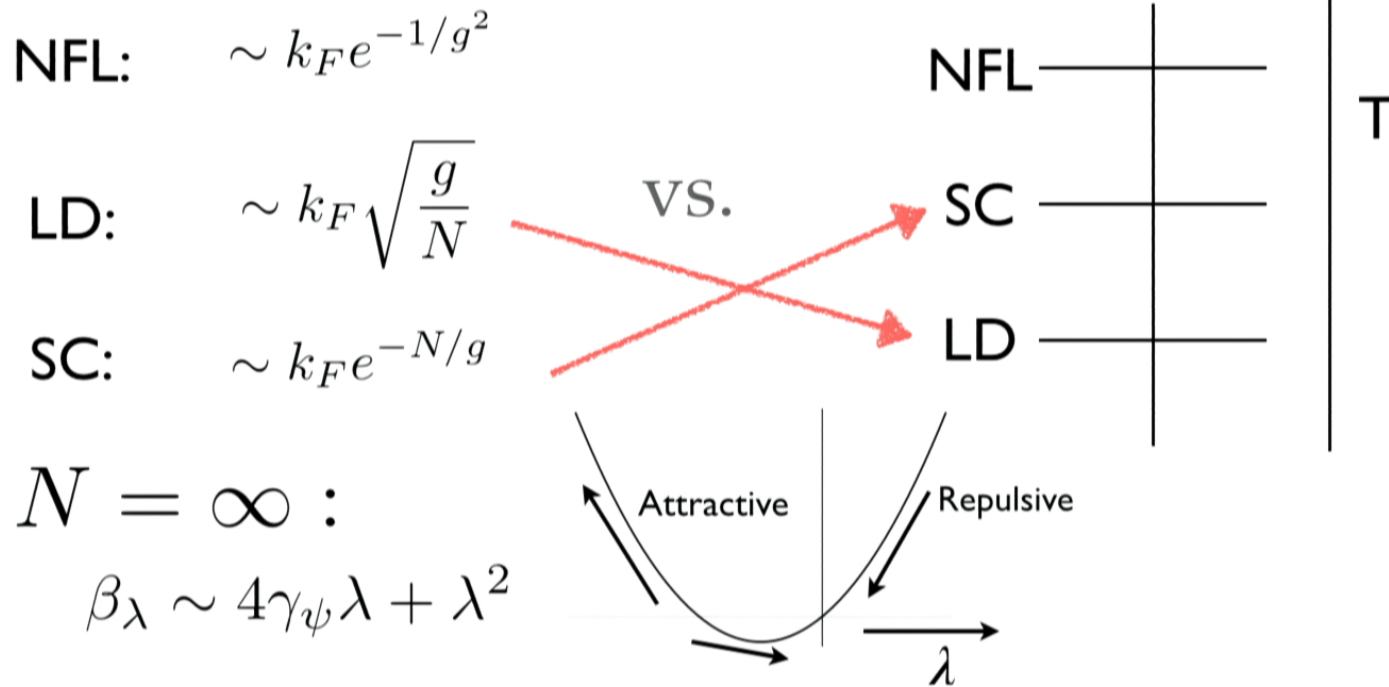


see also:

Metlitski, Mross, Sachdev, Senthil, '14

# Ordering of Scales

In the large N theory so far, near  $d=3$  we have the following ordering: **Our Goal:**





# Ordering of Scales

Cheap ad hoc solution:  
add an attractive BCS interaction  $\lambda$   
in the UV by hand.

Now:  $SC \sim k_F e^{-1/|\lambda|}$

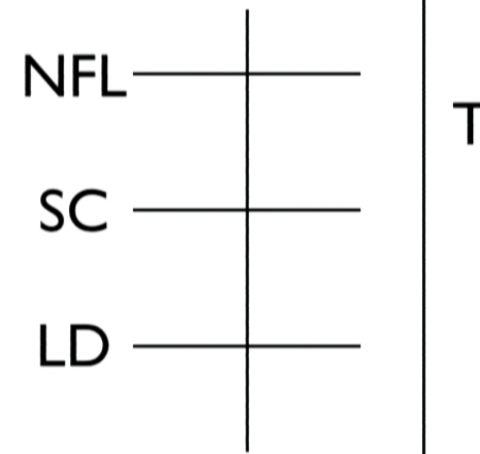
$$NFL: \sim k_F e^{-1/g^2}$$

$$LD: \sim k_F \sqrt{\frac{g}{N}} \quad \text{vs.}$$

$$SC: \sim k_F e^{-1/\lambda}$$



Our Goal:



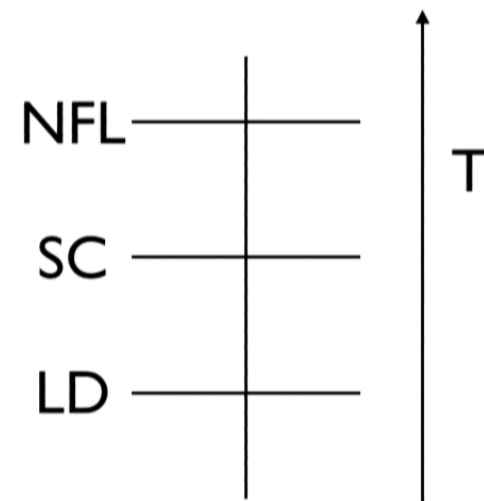
# Ordering of Scales

But we want an example where the enhanced pairing scale is directly caused by the quantum critical point.

There are various parameters and modifications of the theory that can be explored. Hopefully, one of them has the desired ordering of scales.

Experiments suggest such a limit should exist!

Our Goal:



# Conclusion

Non-Fermi liquids have new dynamics in  
need of a theoretical description

We are looking for local EFTs of the Fermi surface  
(plus light states) that exhibit similar dynamics

A rich structure of such theories exists depending on  
various parameters of the theory

In some limits (large  $N$ , small  $\epsilon$ ) the theory can be  
analyzed perturbatively and leads to new approximate  
fixed points