

Title: Can Eigenstate Thermalization Breakdown without Disorder?

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Abstract: We describe a new diagnostic for many-body wavefunctions which generalizes the spatial bipartite entanglement entropy. By was of illustration, for a two-component wavefunction of heavy and light particles, a partial (projective) measurement of the coordinates of the heavy (but not light) particles is first performed, and then the entanglement entropy of the projected wavefunction for the light particles is computed. If the two-component wavefunction has a volume law entanglement entropy, yet the post measurement wavefunction of the light particles is disentangled with an area law entanglement, we refer to the original wavefunction as a “Quantum Disentangled State”. This diagnostic can be generalized to include other partial measurements, such as measuring the charge, but not spin, for finite-energy density eigenstates of Fermion Hubbard-type model. Quantum disentanglement results if the post measurement spin-wavefunction has an area law entanglement entropy. Recent numerics searching for such Quantum Disentangled States in 1d Hubbard-type models will be discussed in detail.

EXIT

Can Eigenstate Thermalization Breakdown without Disorder?

MPA Fisher

Workshop on Quantum Many-Body Dynamics
Perimeter Institute, May 15, 2014



Tarun Grover



Jim Garrison



Ryan Mishmash

- Thermal-vs-entanglement entropy and Eigenstate Thermalization (ETH)
- Breakdown of ETH? Yes: Many-Body-Localization w/ disorder

QUESTION:

Can ETH breakdown in disorder-free Quantum systems?

Maybe....

Describe:

- New Wavefunction Diagnostics, beyond entanglement entropy:
(global) partial-measurement, followed by post-measurement entanglement
- Quantum Disentangled States: New "Thermal" states with "hidden" locality



Quantum ($T=0$) vs Classical ($T>0$) Phases:

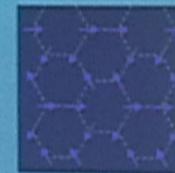
Quantum Phases $T=0$ (ground states):

Characterized by symmetries, symmetry breaking.

But much richer:

Can have topological order, or emergent gapless excitations....

(Full) Classification will require universal properties
of wavefcns (entanglement, correlators), and excitations
(anyons, Fermi/Bose surfaces, dynamical correlators)



Classical, $T > 0$, phases characterized by:

- symmetries and conservation laws. (eg particle number)
- broken symmetries (eg as in a superfluid)
- Hydrodynamics, built from conserved densities and order parameters

Does all the rich quantum phenomena wash-out for $T>0$?

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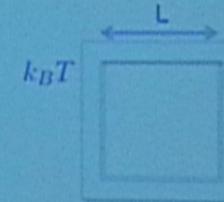
Quantum Statistical Mechanics

Canonical Ensemble, w/ heat bath

$$\text{Thermal density matrix } \hat{\rho}_{th} = \frac{1}{Z} e^{-\beta \hat{\mathcal{H}}}$$

$$(\text{Local}) \text{ Observables, Hermitian ops } \langle \hat{\mathcal{O}} \rangle_{th} = \text{Tr}[\hat{\rho}_{th} \hat{\mathcal{O}}]$$

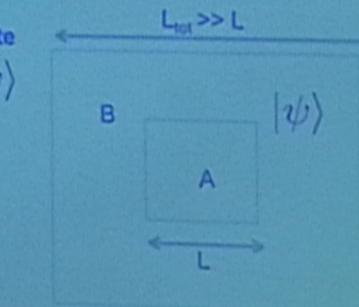
$$\text{Internal Energy (extensive)} \quad U = \text{Tr}[\hat{\rho}_{th} \hat{\mathcal{H}}] \quad U/L^d > 0$$



Microcanonical Ensemble: Isolated, single eigenstate

$$\hat{\mathcal{H}}|\psi\rangle = E|\psi\rangle$$

$$\hat{\rho} = |\psi\rangle\langle\psi|$$



Spatial partition: Regions A ("system") and B ("environment")

$$\text{Observables inside "system" A} \quad \langle \hat{\mathcal{O}} \rangle_E = \text{Tr}[\hat{\rho} \hat{\mathcal{O}}]$$

Equivalence of Canonical and Microcanonical Ensemble:

For "all" states at energy E that satisfy $E/L^d = U/L^d$
observables are equivalent

$$\langle \hat{\mathcal{O}} \rangle_E = \langle \hat{\mathcal{O}} \rangle_{th}$$

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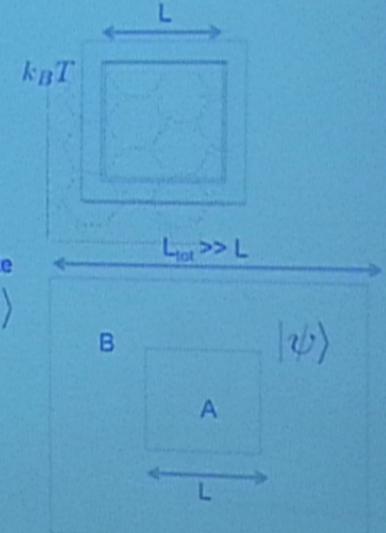
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Choosing E , the allowed energy levels

Spatial partition: Regions A ("system") and B ("environment")

Observables inside "system" A $\langle \hat{O} \rangle_E = Tr[\hat{\rho} \hat{O}]$

Internal Energy (extensive) $U = Tr[\hat{\rho}_E \hat{H}]$

Hypercube degeneracy (number of states in a given energy range)

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Entropy: Thermal “versus” entanglement

Entropy is “non-local”

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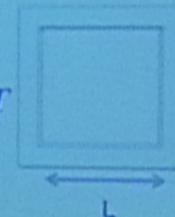
Number of states,
extensive for $T > 0$

$$S_{th} = -Tr[\hat{\rho}_{th} \ln \hat{\rho}_{th}]$$

$$S_{th} \sim L^d$$

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$$k_B T$$



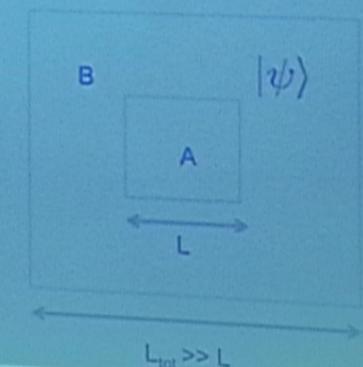
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Reduced density matrix in A $\hat{\rho}_A = Tr_B(\hat{\rho})$

Entanglement entropy: $S_A(L) = -Tr_A(\hat{\rho}_A \ln \hat{\rho}_A)$



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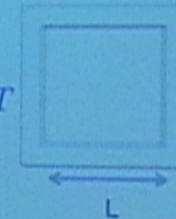
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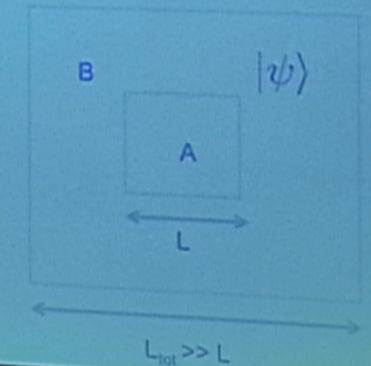
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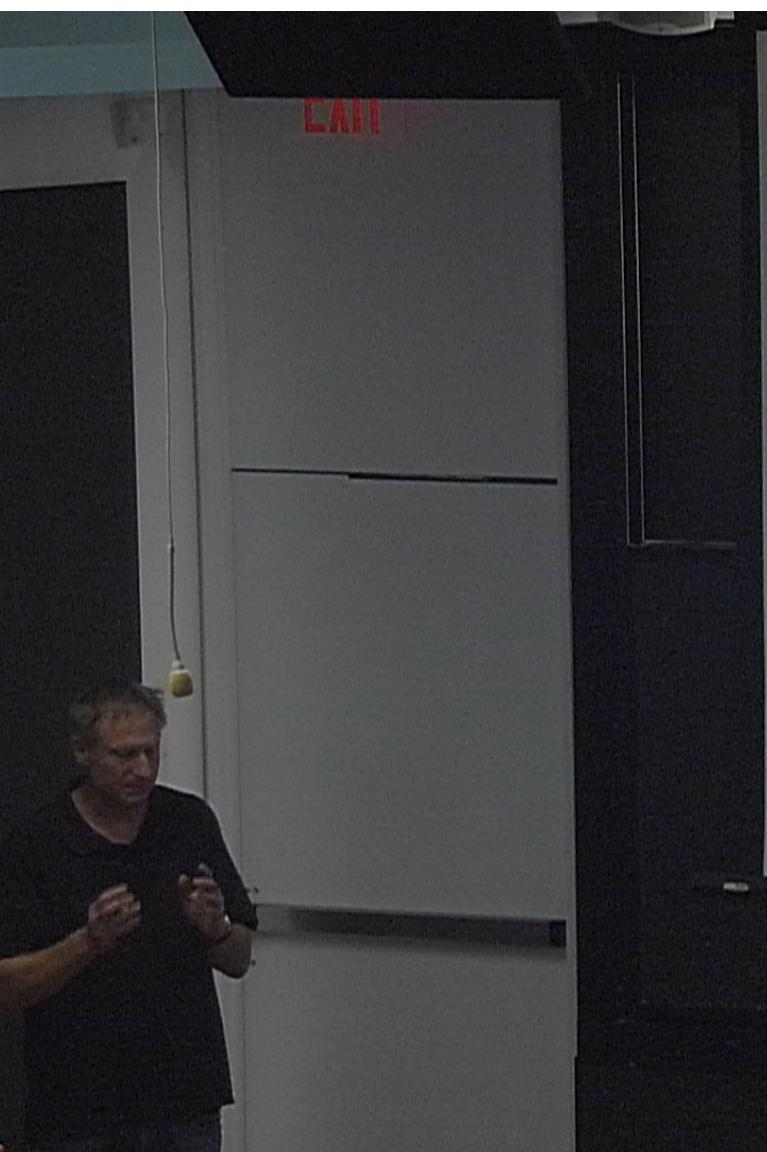
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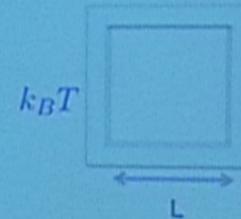
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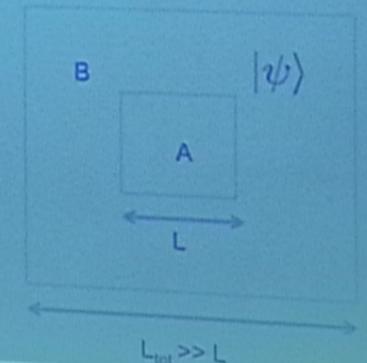
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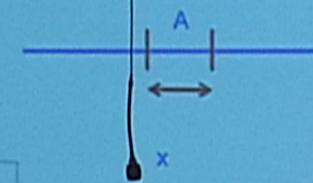
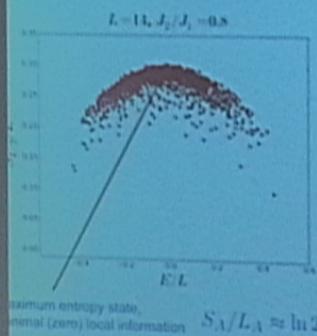
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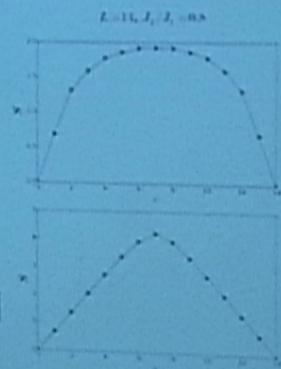
Ex: Entanglement entropy in 1d spin-chain

1d $s=1/2 J_1-J_2$ chain



Ground state,
Area-law:
 $S_A \sim O(1)$

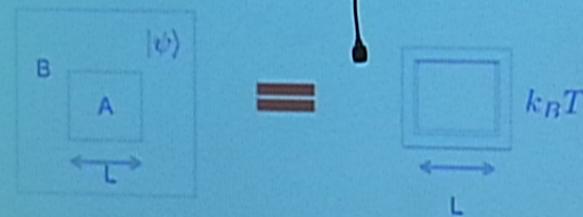
"High-energy" state,
Volume law:
 $S_A \sim \frac{L}{2} - |x - \frac{L}{2}|$



Eigenstate Thermalization Hypothesis (ETH)

Josh Deutsch, Mark Srednicki

ETH = Microcanonical ensemble for $E/L^d > 0$ eigenstate
"equivalent", in region A, to canonical ensemble w/ heat bath



Eigenstates w/ (nearly) same energy have
identical correlations inside A

Equivalence of (non-local) Thermal and entanglement entropies

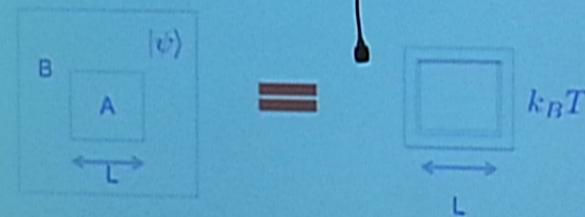
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Thermal entropy is state counting, entanglement entropy depends on the properties of the states!

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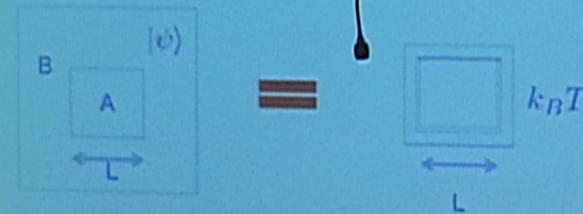
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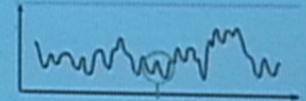
Can ETH Break Down?

YES: In "Many-Body-Localized" (MBL) phase

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Isolated, interacting, quantum particles in random potential
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Eigenstates in MBL have area law entanglement entropy
(even at $E/L^d > 0$) while thermal entropy is extensive



$$S_A \sim L^{d-1} \quad S_{th} \sim L^d$$

- Lack of thermalization: Particles don't serve as own thermal bath
- Conserved quantities (eg charge, or even energy) do not propagate, zero conductivity
- Entanglement does not "propagate", local quantum information cannot be transmitted

But... The random potential constitutes classical
(frozen) set of degrees of freedom...

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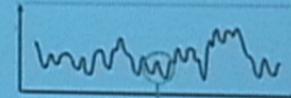
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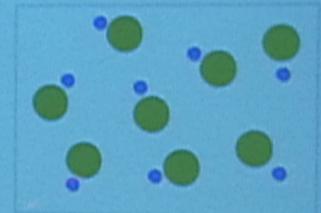
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Can ETH Breakdown w/out quenched disorder?

When might this happen?

Neutral atoms, isolated in box, prepared
in many-body eigenstate, energy E

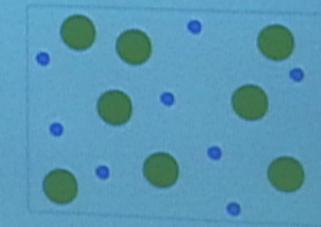
Nucleus:
Electron:



Atomic-Liquid

Vary energy E (the "temperature")

- $T \sim 10\text{-}1,000 \text{ K}$ atomic-liquid
- $T > 10 \text{ eV}$, ionized-plasma



Ionized-Plasma

Question: Are eigenstates of atomic-liquid qualitatively
the same as the ionized-plasma eigenstates?

EXIT

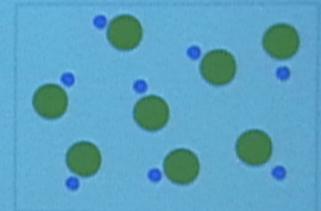
Atomic-Liquid vs ionized-plasma?

Canonical answer: Atomic-liquid and ionized plasma are "equivalent"

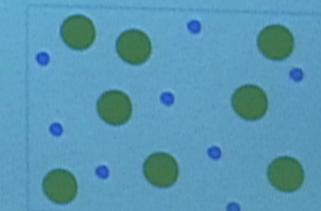
- Atomic-liquid and ionized-plasma eigenstates both volume-law entangled
- Atoms weakly ionized (even at low " T ")
- Low density of ionized electrons "should" thermalize

But...

How does one (or can one) define "ionized"?
How to characterize thermalization of "ionized" electrons?



Atomic-Liquid



Ionized-Plasma

an:

New wavefn diagnostic ("a" characterization of ionization)

New class of volume entangled ($E>0$) quantum states

"Quantum Disentangled States"

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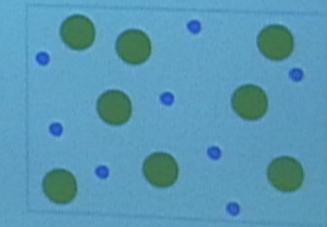
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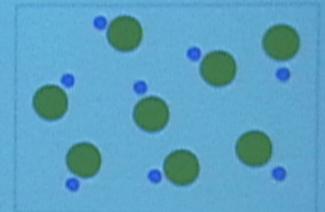
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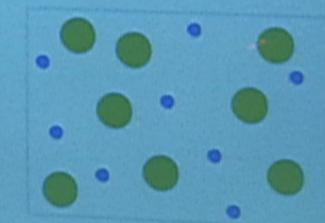


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Entanglement, locality, information and measurement

Alice and Bob share two $s=1/2$ particles



Direct product: $|\psi\rangle = |\uparrow\rangle_A \otimes |\downarrow\rangle_B$

Bob's measurement, no effect on Alice.
Alice has full local quantum information.

Singlet state: $|\psi\rangle = \frac{1}{\sqrt{2}}[|\uparrow\rangle_A \otimes |\downarrow\rangle_B - |\downarrow\rangle_A \otimes |\uparrow\rangle_B]$

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(Local) Measurement induces disentanglement

For singlet state Bob measures his spin:

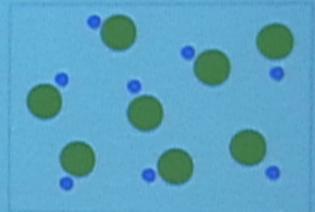
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After measurement, direct product state
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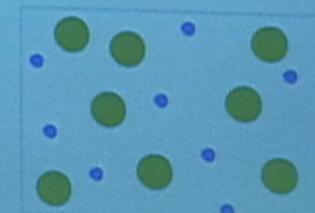
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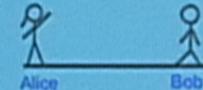


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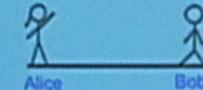
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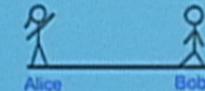
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(Local) Measurement induces disentanglement

For singlet state Bob measures his spin:

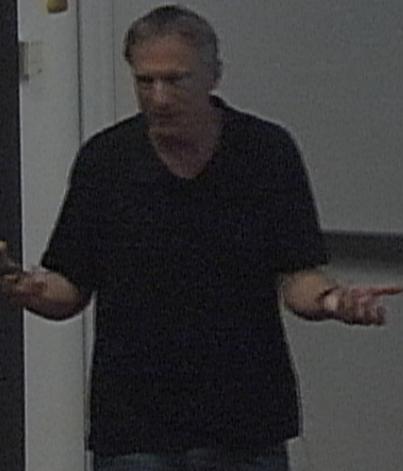
$$|\psi\rangle = \frac{1}{\sqrt{2}}[|\uparrow\rangle_A \otimes |\downarrow\rangle_B - |\downarrow\rangle_A \otimes |\uparrow\rangle_B] \rightarrow \begin{cases} |\psi'\rangle = |\uparrow\rangle_A \otimes |\downarrow\rangle_B \\ |\psi'\rangle = |\downarrow\rangle_A \otimes |\uparrow\rangle_B \end{cases}$$

After measurement, direct product state

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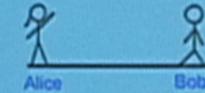
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EXIT



Entanglement, locality, information and measurement

Alice and Bob share two s=1/2 particles



Direct product: $|\psi\rangle = |\uparrow\rangle_A \otimes |\downarrow\rangle_B$

Bob's measurement, no effect on Alice.
Alice has full local quantum information.

Singlet state: $|\psi\rangle = \frac{1}{\sqrt{2}}[|\uparrow\rangle_A \otimes |\downarrow\rangle_B - |\downarrow\rangle_A \otimes |\uparrow\rangle_B]$

Bob's measurement affects Alice. Alice has no local quantum information about "her" spin - two spins are "entangled".

Entanglement entropy quantifies local quantum information

$$\hat{\rho} = |\psi\rangle\langle\psi| \quad \hat{\rho}_A = Tr_B(\hat{\rho}) \quad S_A = -Tr_A(\hat{\rho}_A \ln \hat{\rho}_A)$$

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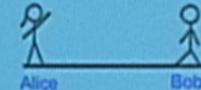
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CAIT

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EXIT

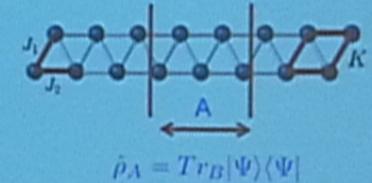
Measurements in extended systems

Ex: Heisenberg model, 2-leg ladder with $s=1/2$

$$\hat{\mathcal{H}} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j + \dots$$

$E > 0$ eigenstate w/ volume law entanglement: $|\Psi\rangle$

$$S_A = -Tr_A[\hat{\rho}_A \ln \hat{\rho}_A] = sL^d$$



$$\hat{\rho}_A = Tr_B |\Psi\rangle\langle\Psi|$$

Full Measurement: Measure spin (S_z) on every site, find $\{\tilde{S}\}$

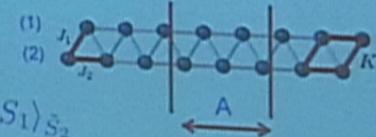
$$|\Psi\rangle \rightarrow |\Psi'\rangle = |\tilde{S}\rangle \quad (\text{a direct product state})$$

Post-measurement wf fully disentangled $S_A = sL^d \rightarrow S'_A = 0$

(Global) Partial Measurement:

Measure spins on one-leg of ladder only,
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What is spatial entanglement entropy of post-partial measurement wf ??

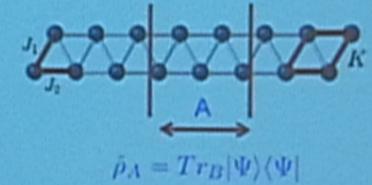
CAII

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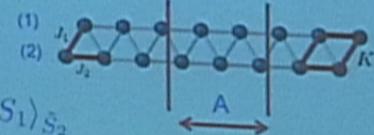
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CAII

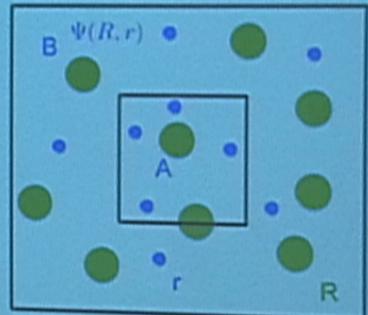
New wavefcn diagnostic: "Post-measurement entanglement"

Illustrate w/ Atomic-liquid

$E > 0$ volume-law wavefcn, $\Psi(R, r)$

- i) Measure positions of nuclei, but not electrons, (partial measurement) find B
- ii) Consider wavefn of (unmeasured) electrons, $\psi_e(r) \sim \Psi(R, r)$
- iii) Compute (spatial) bi-partition entanglement entropy of electron wavefn (w/ fixed nuclei coordinates, R)
- iv) Repeat (i)-(iii) and average, gives post-measurement entanglement entropy

$$S_A^{r/R}$$



(R, r denote nuclei/electron coordinates)

$$\text{Can repeat w/ } R \leftrightarrow r \quad S_A^{R/r}$$

Interest: Size scaling of $S_A^{r/R}$

EXIT

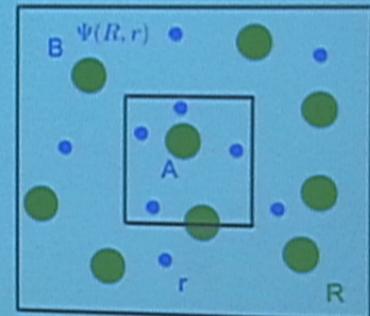
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$$U_r(r) \sim \Psi(R_r)$$

- (i) Compute (spatial) bi-partition entangler electron wavefn (w/ fixed nuclei coord)
- (ii) Repeat (i)-(iii) and average, gives post-r entanglement entropy

$$S_A^r/R$$

Can repeat w/
 $R \rightarrow r - s$

Interest: Size scaling of S_A^r/R



CAII

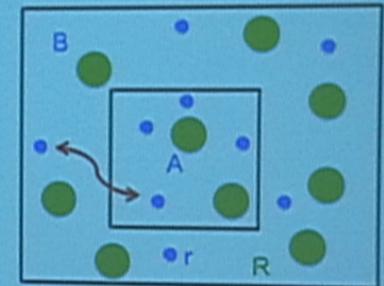
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Electrons entangled (thermalized) even after measuring nuclei positions,

Fully Thermalized Eigenstate

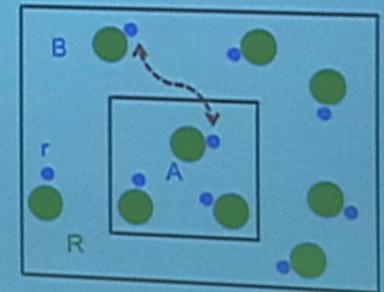
Ionized plasma, fully thermal w/ volume laws



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Measuring nuclei positions has disentangled ("localized") electrons

"Quantum Disentangled Eigenstate"



EXIT

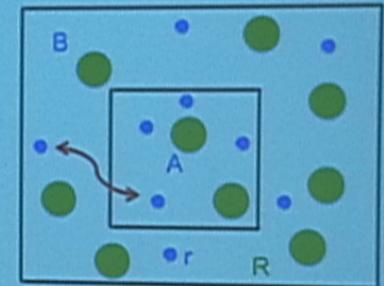
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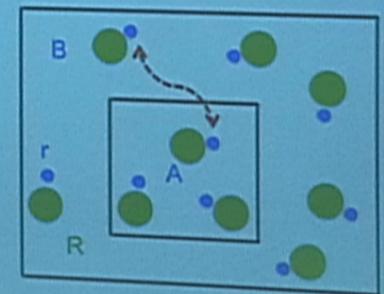
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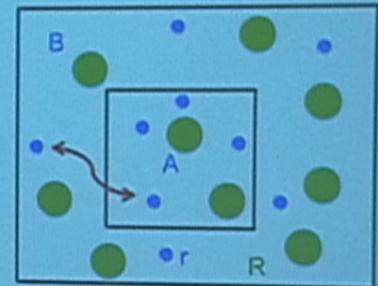
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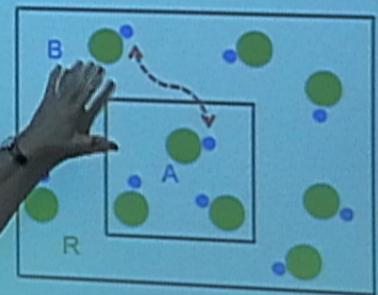
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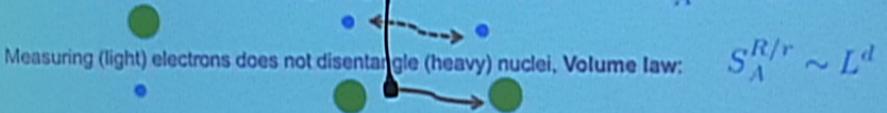


EXIT

Quantum Disentangled State for atomic fluid

An atomic fluid eigenstate is Quantum-Disentangled if,

Measuring (heavy) nuclei, disentangles (light) electrons, Area law: $S_A^{r/R} \sim L^{d-1}$



Measuring (light) electrons does not disentangle (heavy) nuclei, Volume law: $S_A^{R/r} \sim L^d$

Quantum Disentangled States: General defn

Volume law entangled eigenstate ($E > 0$) $S_A^\Psi \sim L^d$

Perform (some) global partial-measurement, wf of un-measured d.o.f. $|\Psi\rangle \rightarrow |\psi\rangle$

Entanglement-entropy of post-measurement wf

$$|\psi\rangle \xrightarrow{\quad} S_A^\psi$$

Quantum Disentangled State: post-measurement wf has area law $S_A^\psi \sim L^{d-1}$
Partial Measurement induces full locality

Thermal State: wf post-measurement still entangled (non-local, volume law) $S_A^\psi \sim L^d$

EXIT

Do Quantum Disentangled States exist?

(as eigenstates of generic Hamiltonians)

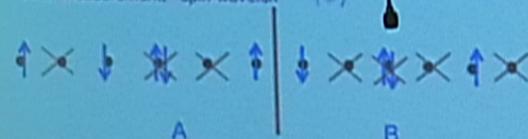
Fermion Hubbard models: Partial measurements

$|\Psi\rangle$

$$\mathcal{H} = -t \sum_i (c_i^\dagger c_j + h.c.) + u \sum_i n_i n_{i+1} + \dots$$

(1) Measure Charge on each site, but not spin $|\Psi\rangle \rightarrow \mathcal{P}|\Psi\rangle = |\psi\rangle$

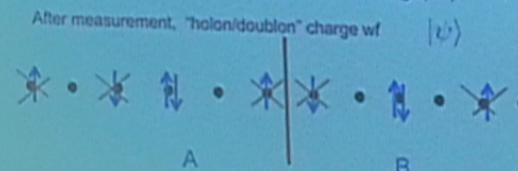
After measurement, "spin-wavefn" $|\psi\rangle$



Post-measurement entanglement entropy of "spin-wf" $S_{s/c}$

(2) Measure Spin on each site, but not charge $|\Psi\rangle \rightarrow \mathcal{P}|\Psi\rangle = |\psi\rangle$

After measurement, "holon/doublon" charge wf $|\psi\rangle$



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EXIT

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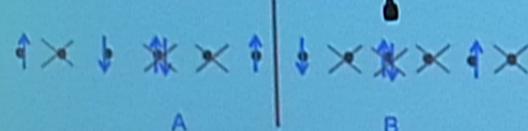
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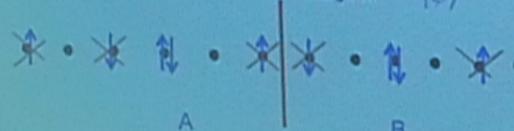
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EXIT

"Doublons" in the Hubbard Model

Near-neighbor Hubbard, H, in any dimension

$$\hat{H} = -t \sum_{ij} (\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + h.c.) + U \sum_i n_{i\uparrow} n_{i\downarrow} \quad \text{MacDonald, Girvin, Yoshioka (1988)}$$

Order-by-order in t/U , can perform a unitary transformation on H which eliminates terms coupling states w/ differing number of doubly occupied sites

$$H' = e^{iS} H e^{-iS}$$

H' is "block-diagonalized" into decoupled "doublon-sectors"

Example: At leading order in t/U

$$iS = (T_1 - T_{-1})/U + \mathcal{O}(t/U)^2 \quad T_{\pm 1} \quad \begin{matrix} \text{Terms in kinetic energy which change} \\ \text{number of doubly occupied sites by} \end{matrix} \quad \pm 1$$

In sector w/ zero doublons, at leading order in t/U , get t-J model $H' = H_{tJ}$

EXIT

QDL in Hubbard Model?

Bi-partite Hubbard, half-filling

$$\hat{\mathcal{H}} = -t \sum_{ij} (\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + h.c.) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Charge/spin duality between positive and negative U Hubbard

$$\begin{aligned}\hat{c}_{j\uparrow} &\rightarrow \hat{c}_{j\uparrow} \\ \hat{c}_{j\downarrow} &\rightarrow (-1)^j \hat{c}_{j\downarrow} \end{aligned} \quad \hat{\mathcal{H}}(U) \rightarrow \hat{\mathcal{H}}(-U)$$

Duality between charge/spin and spin/charge entanglement entropies

$$S_{c/s}(U) = S_{s/c}(-U)$$

Implication: Free Fermions are not in a QDL phase!

$$S_{c/s}(0) = S_{s/c}(0) \sim L^d$$

Large U>0: Measuring spin almost determines charge, since doublons are rare,
Possible charge-disentangled QDL?

Large U<0, measuring charge almost determines state since mostly Cooper pairs
Possible spin-disentangled QDL?

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EXIT

“Doublons” at half-filling

At half-filling get spin-model

$$H' = J_2 \sum_{ij} \vec{S}_i \cdot \vec{S}_j + J_1 \sum_{ijkl} (P_{ijkl} + h.c.) + \dots \quad J_n \sim t(t/U)^{n-1}$$

Naively, can transform spin-model eigenstates into Hubbard eigenstates with the unitary,

$$|\psi_{Hubbard}\rangle = e^{iS} |\psi_{spin}\rangle$$

Questions:

- Does the t/U expansion converge
 - for ground state of spin-model?
 - for thermal eigenstates of spin-model??
- Is the operator S local in space (for $U \gg t$)? Is $\exp(iS)$ a “local unitary”?
- Role of integrability of 1d Hubbard model?
- Generalization to 1d non-integrable model, eg w/ n.n. interaction, V

If a volume law Hubbard eigenstate can be transformed via a local unitary into a (volume law) spin-wf, then a spin-measurement of wf will disentangle, giving an area law post-measurement charge-entanglement entropy (ie it is a QDL state)

$$S_{c/s} \sim L^{d-1}$$

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EXIT

Large U "Bands" and Mixing?

- Start w/ infinite U
- Spin-sector

$$E_0 = 0$$

- Band w/ a single doublon/holon

$$E_1 = E_0 + U$$

- Perturb in hopping t , effective spin model

$$H_s = J_2 \sum_{ij} \vec{S}_i \cdot \vec{S}_j \quad J_2 \sim t^2/U$$

Does the spin band "mix" with doublon/holon bands in the same energy range?

EXIT

Numerics on 1d “Hubbard” chains

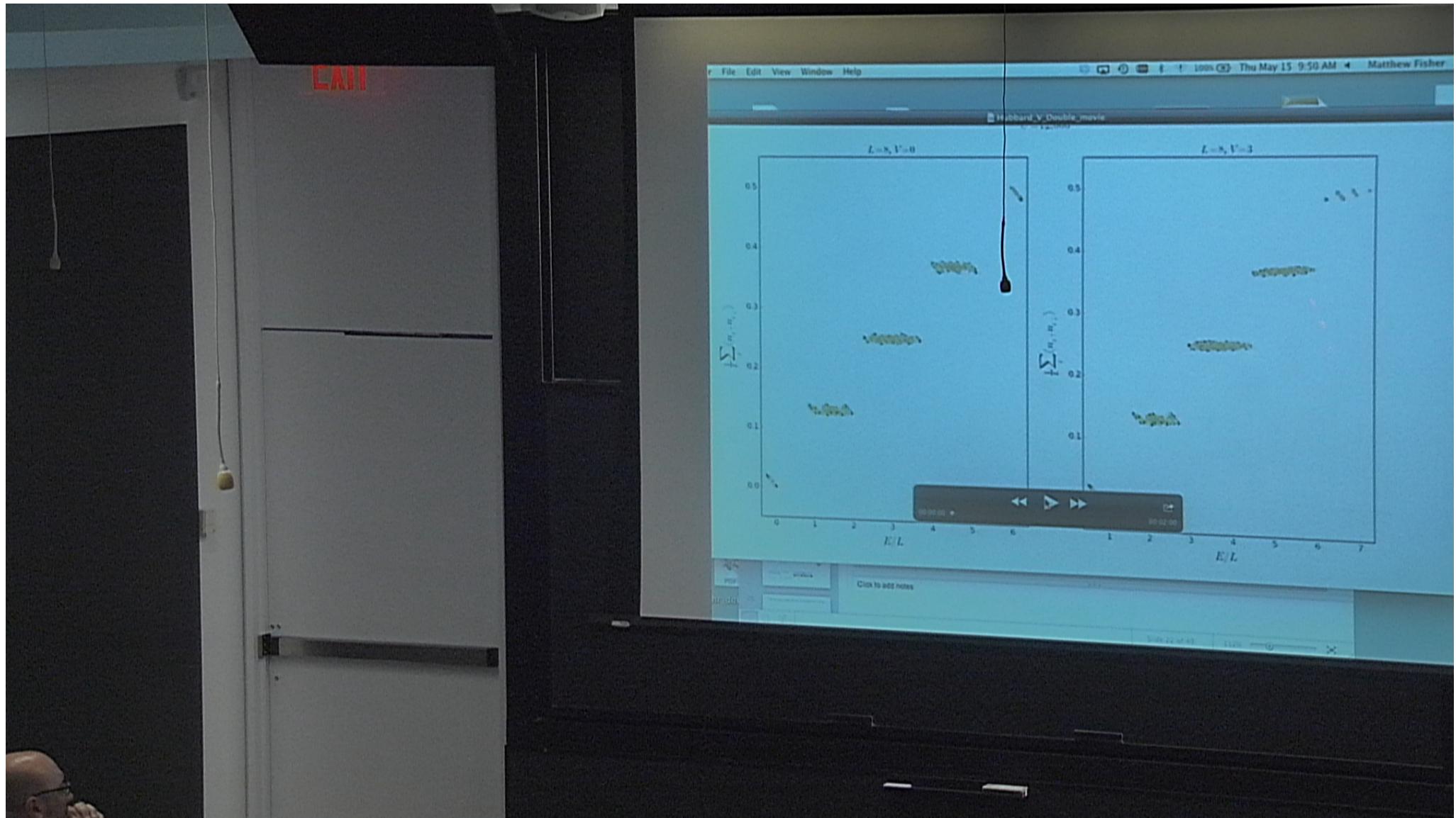
J. Garrison, R. Mishmash, T. Grover, MPAF (in progress)

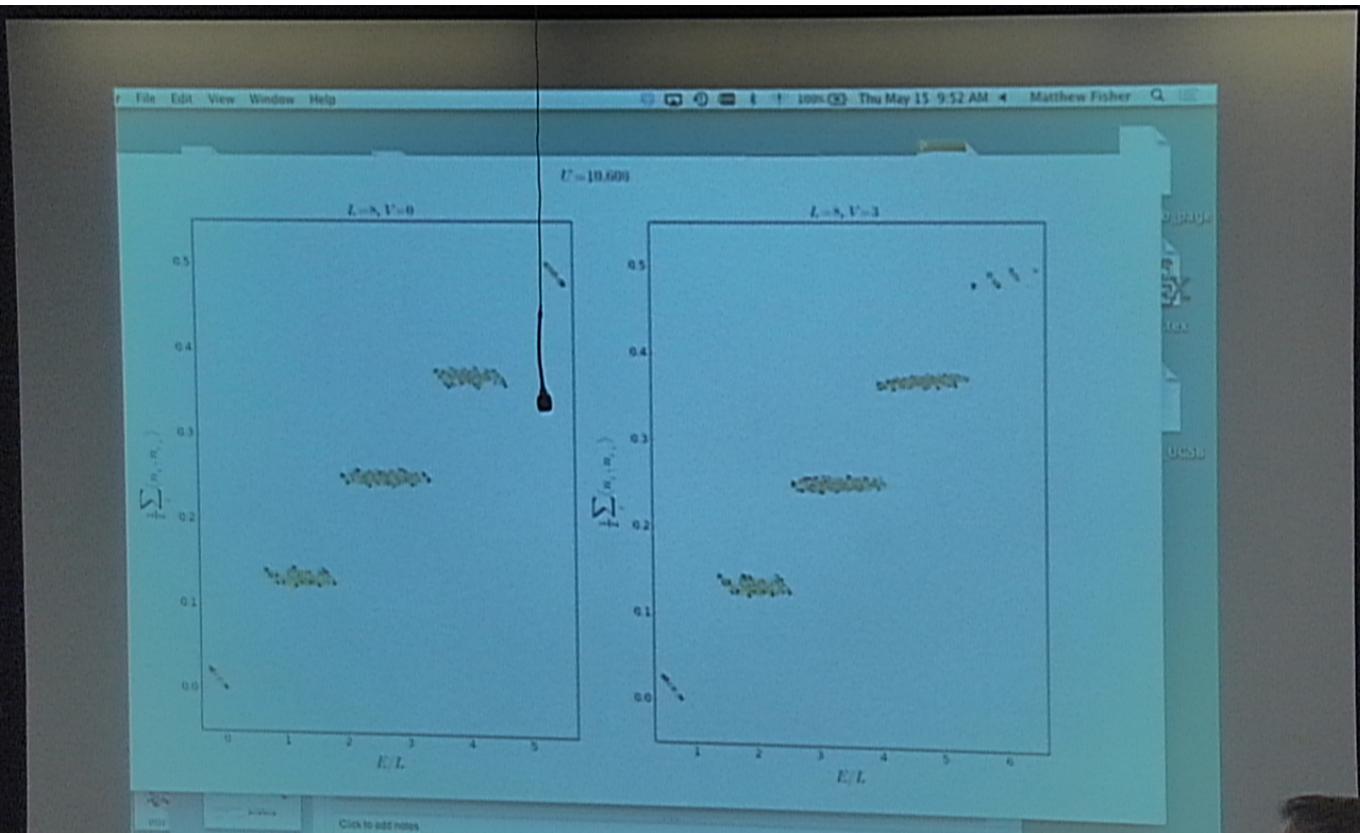
$$\hat{\mathcal{H}} = -t \sum_{i,\sigma} (\hat{c}_{i\sigma}^\dagger \hat{c}_{i+1\sigma} + h.c.) + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} + V \sum_i \hat{n}_i \hat{n}_{i+1}$$

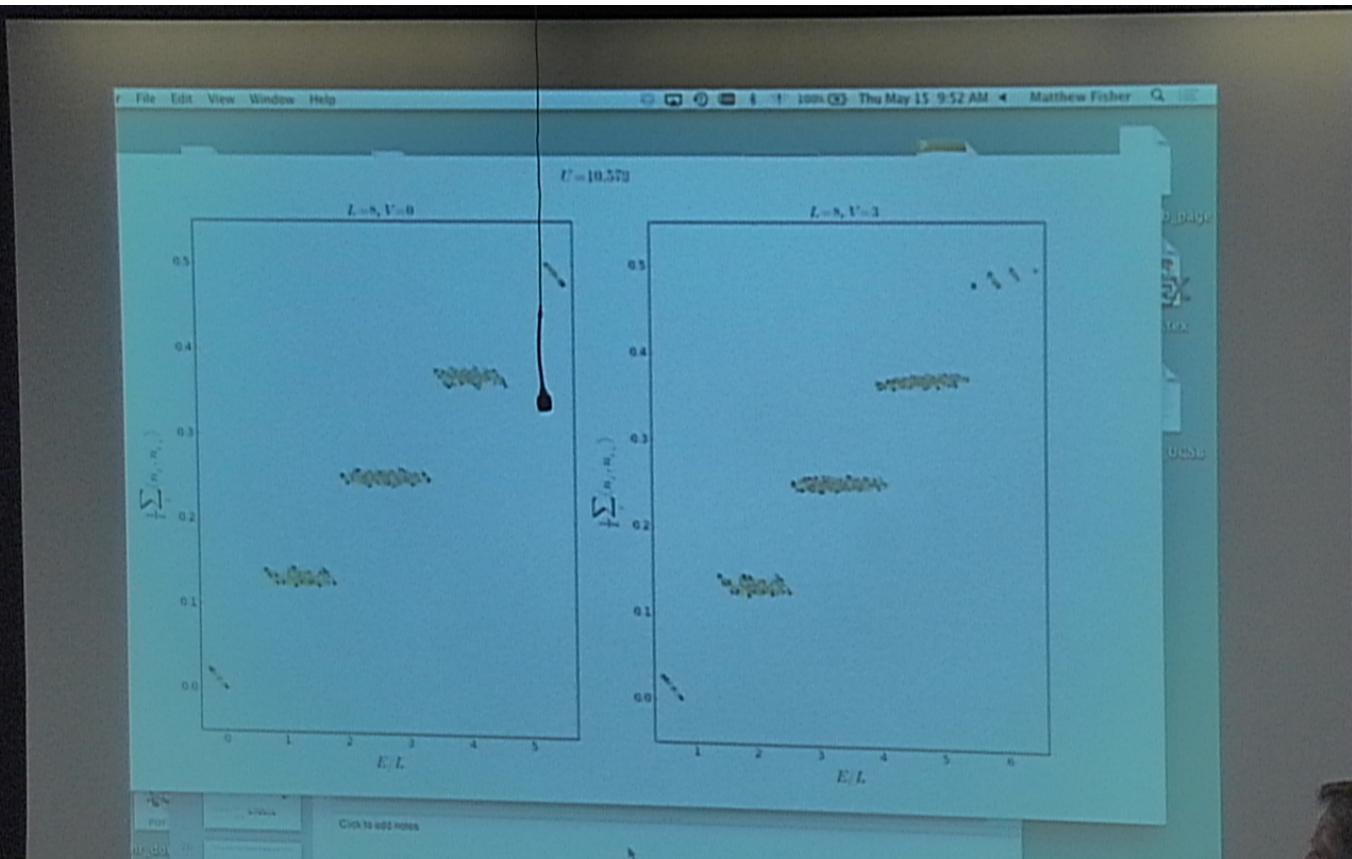
- Repulsive interaction, $U>0$, at half-filling
- n.n. repulsion, V , destroys integrability
- Compute *all* eigenstates from ED

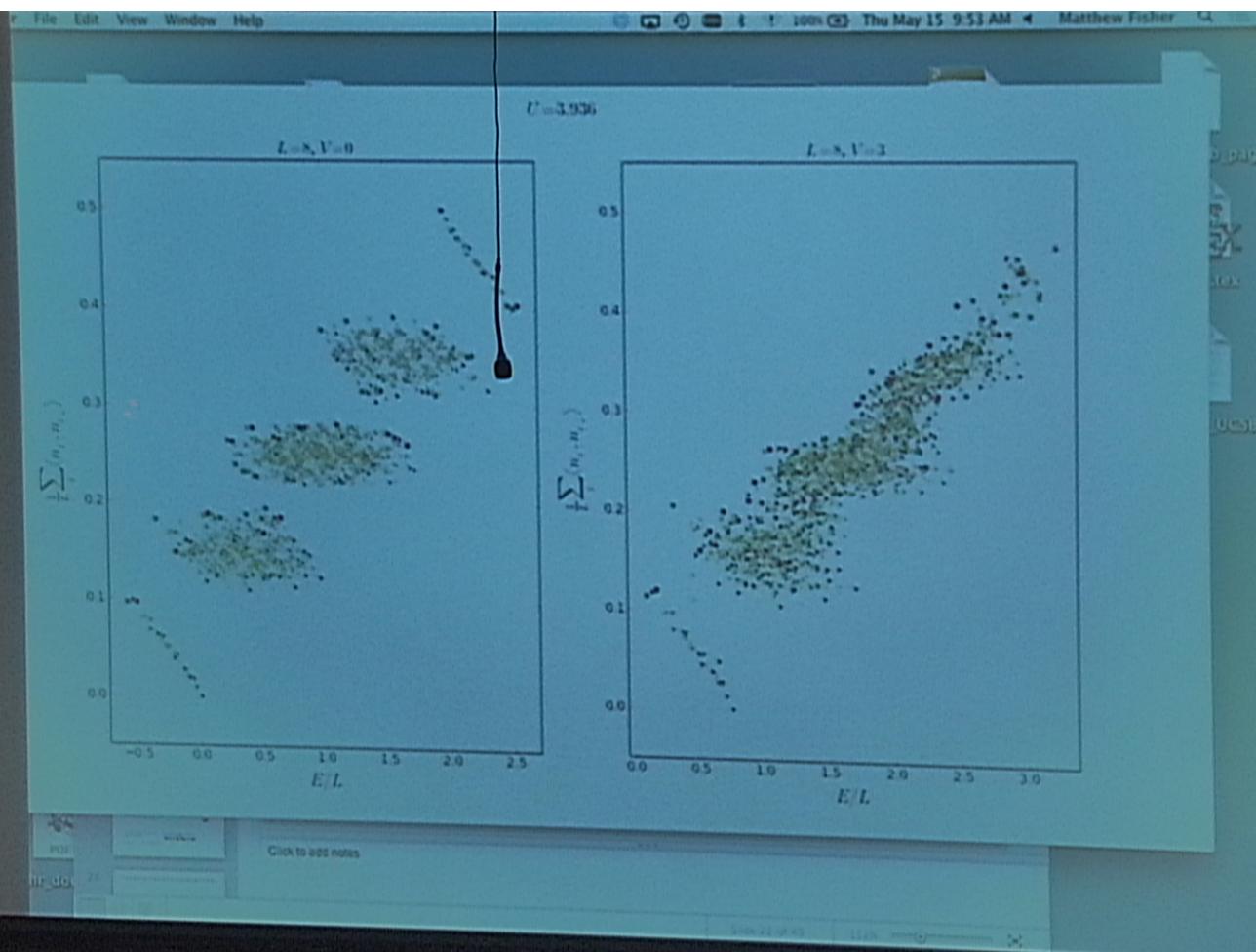
First: Extract mean-double occupancy for each eigenstate
(at many different values of U , $V=0,3$)

$$\mathcal{D} = \langle \hat{n}_{j\uparrow} \hat{n}_{j\downarrow} \rangle$$









Full and post-measurement entanglement entropy

1D site Hubbard chain
n.n. repulsion, $V=3$

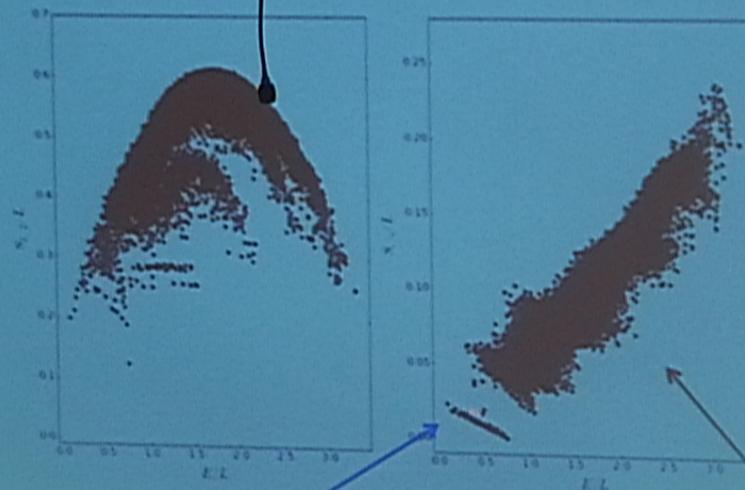
Full Entanglement entropy

$$S(L/2)$$

Post-spin-measurement entropy of "charge-wf"

$$S_{c/s}(L/2)$$

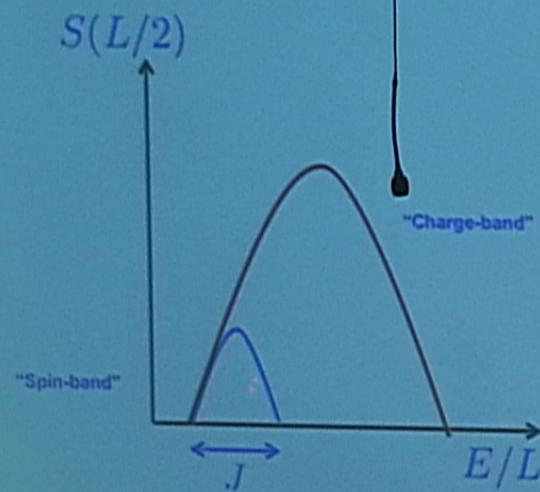
$L=10, U=4, V=3$



"Spin-band" -
Post-spin-measurement, "charge-wf" low entanglement

"Charge-band"

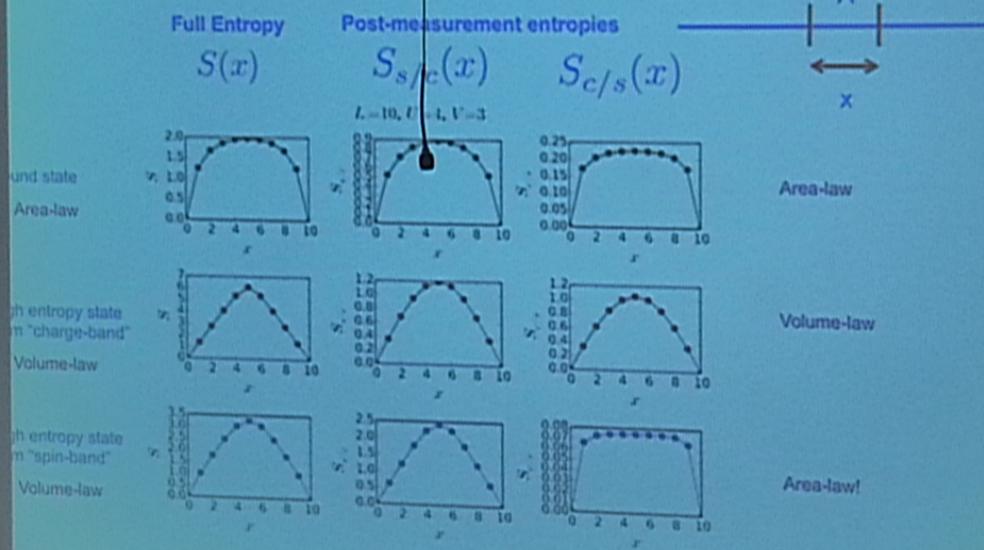
Co-existing "entropy-bands"?



Conjecture: Breakdown of ETH, co-existing
"hot spin-states" w/ "cold charge states"

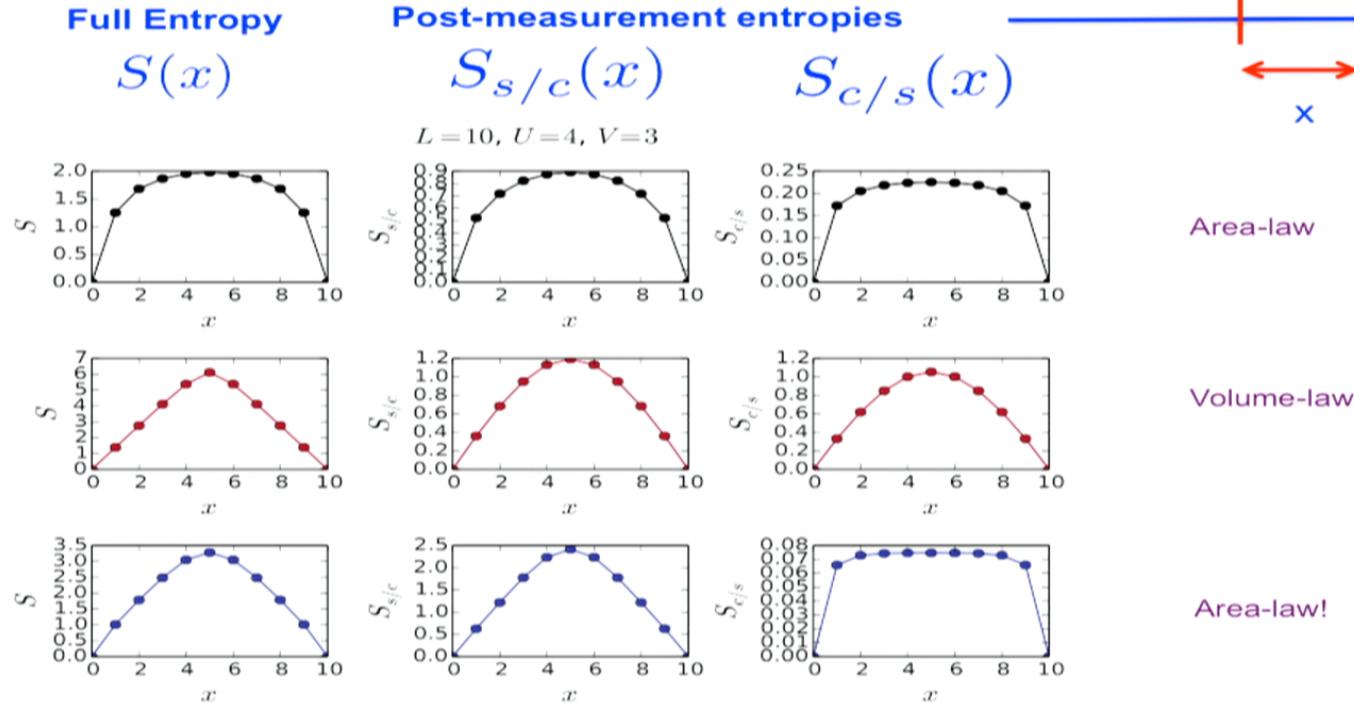
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Entropy scaling for 3 eigenstates



Possible "spin-band" of Quantum-disentangled states, area law charge entropy post-spin-measurement

Entropy scaling for 3 eigenstates



Possible "spin-band" of Quantum-disentangled states, area law charge entropy post-spin-measurement

Focus on 3 eigenstates

10 site Hubbard chain
n.n. repulsion $V=3$

Full Entanglement entropy

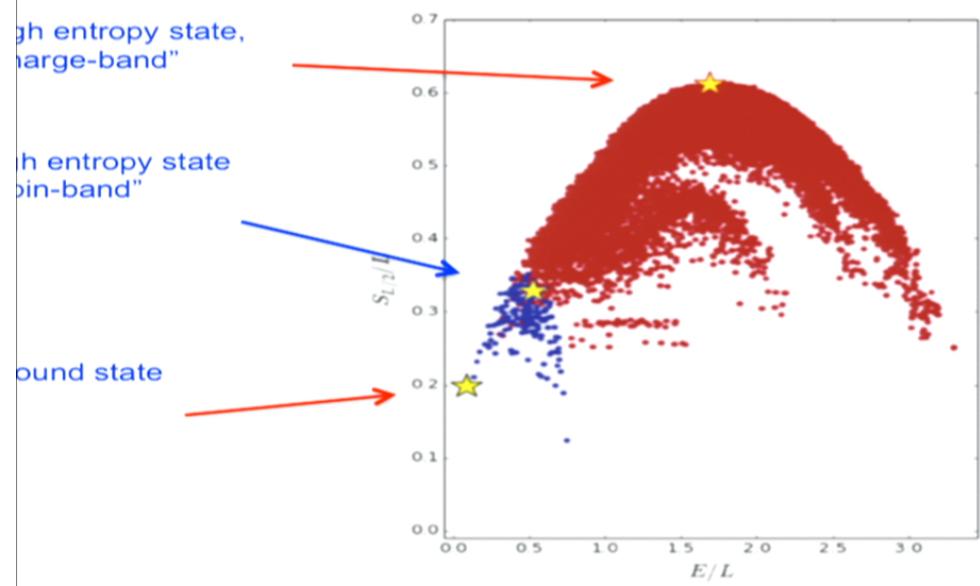
$$S(L/2)$$

$L = 10, U = 4, V = 3$

high entropy state,
"charge-band"

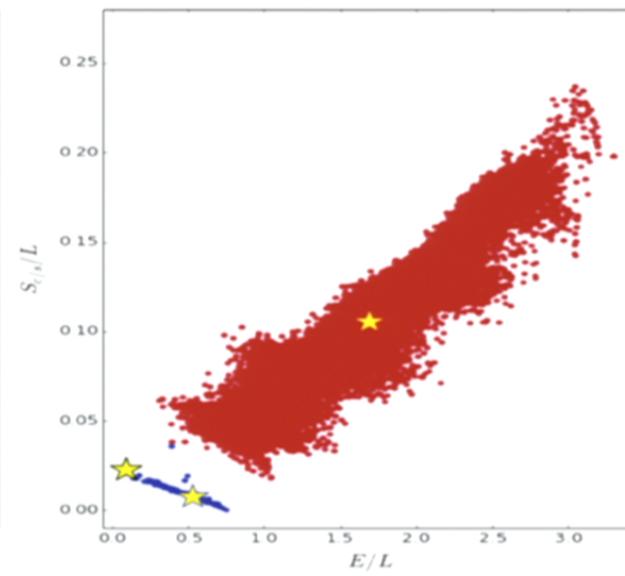
high entropy state
"spin-band"

bound state



Post-spin-measurement entropy of "charge-wf"

$$S_{c/s}(L/2)$$



Summary

Possible “Hidden” Locality in (some) “Thermal” eigenstates

New diagnostic for many-body wf: **Partial-measurement**,
followed by post-measurement entanglement entropy of un-measured dof

Quantum Disentangled States: Volume-law eigenstates w/
post-measurement area-law entanglement entropy – “**induced-locality**”

Possible Breakdown of ETH (“atomic” and “ionized” states co-exist
at same energies)

Challenge:

Identify Hamiltonians which exhibit Quantum-disentangled eigenstates?
(eg heavy/light particles)

Dynamics/transport in “Low-entanglement” “atomic” bands?

Other diagnostics to reveal hidden structure in volume-law entangled states?



at same energies) ... at same energies)

Challenge:

Identify Hamiltonians which exhibit Qua
(eg heavy/light particles)

Dynamics/transport in "Low-entanglement"

Other diagnostics to reveal hidden struc



Focus on 3 eigenstates

10 site Hubbard chain
n.n. repulsion $V=3$

Full Entanglement entropy
 $S(L/2)$

Post-spin-measurement entropy of "charge-wf"
 $S_{c/s}(L/2)$

High entropy state,
"large-band"

High entropy state
"in-band"

Bound state



$L=10, \epsilon_c=4, V=3$

$S_{c/s}(L/2)$

$L=10, \epsilon_c=4, V=3$

