

Title: Helical edge resistance introduced by charge disorder

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Abstract: Electron puddles created by doping of a 2D topological insulator may violate the ideal helical edge conductance. Because of a long electron dwelling time, even a single puddle may lead to a significant inelastic backscattering. We find the resulting correction to the perfect edge conductance. Generalizing to multiple puddles, we assess the dependence of the helical edge resistance on temperature and on the doping level. Puddles with odd electron number carry a spin and lead to a logarithmically-weak temperature dependence of the resistivity of a long edge. The developed theory provides a framework for analyzing the results of the past and ongoing electron transport experiments with 2D topological insulators

# Effect of Charge Disorder on the Conduction of a Helical Edge

**L.I. Glazman**



**Yale University**

**PI- April2014**



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# Outline

- Elementary mechanisms of electron backscattering in helical edge

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- Elementary mechanisms of electron backscattering in helical edge
- Less elementary mechanisms of electron backscattering (scattering off a single electron puddle)
- Strong vs. weak disorder: statistics of charge puddles and their effect on conduction, estimates for HgTe/CdTe

# Electron backscattering in helical edge

states  $\psi_{\sigma}(k)$  and  $\psi_{-\sigma}(-k)$

are **orthogonal spinors** (Kramers doublet)

No finite matrix elements of a potential  $U(\vec{r}) \cdot \delta_{\sigma\sigma'}$

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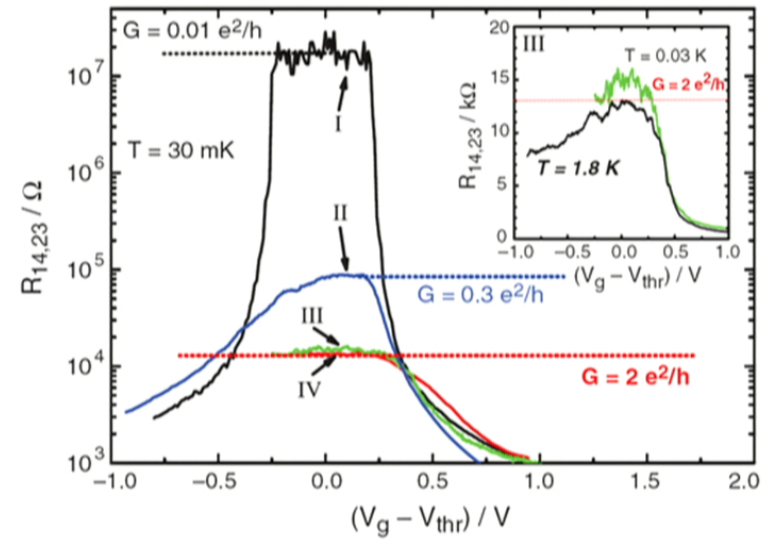
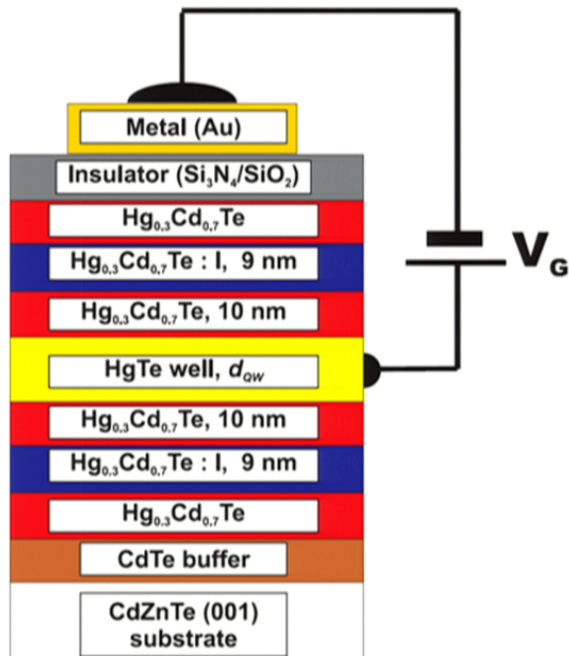
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No elastic backscattering off a TR-conserving perturbation  
→ no conductance correction at **any**  $T$

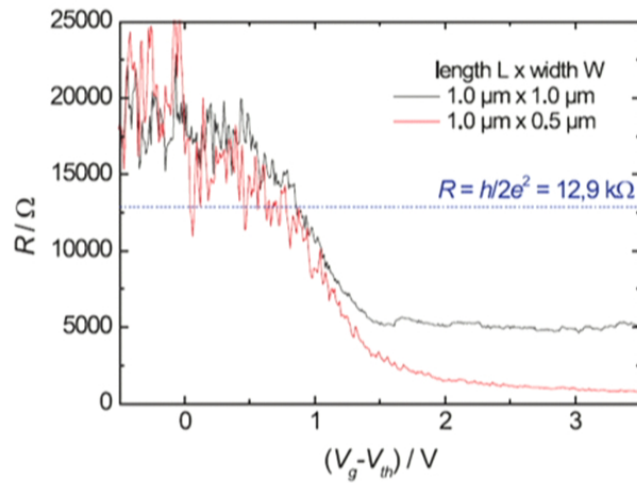


# Experiments (Molenkamp group, 2007)

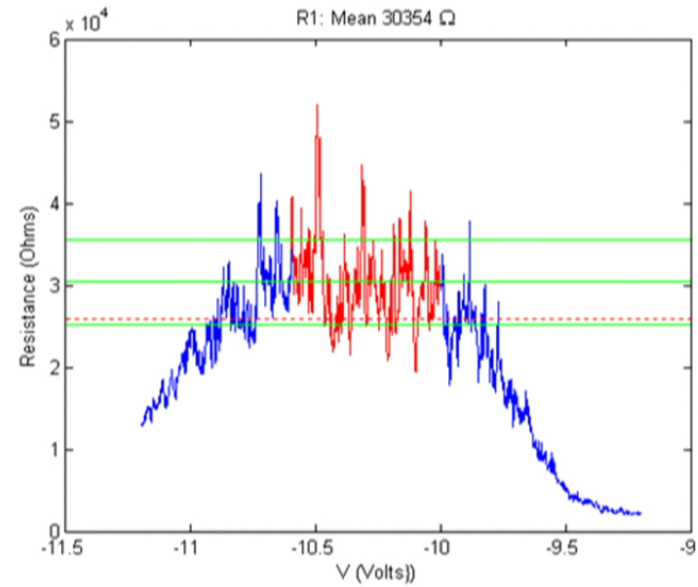


Approximate quantization is observed only for  $\sim 1 \mu\text{m}$  samples

# Conductance fluctuations in experiment

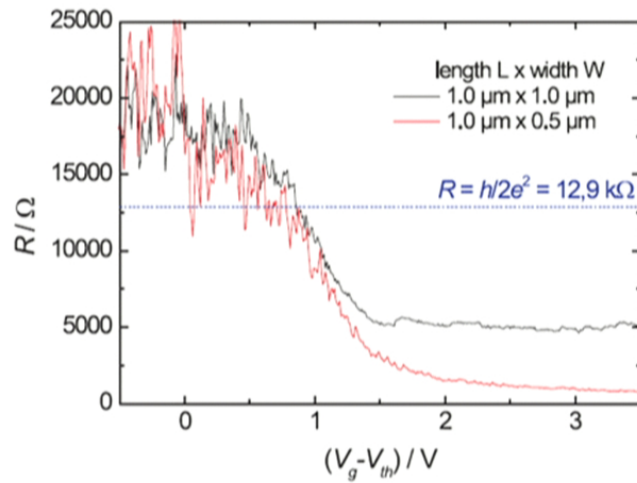


Molenkamp group 2007

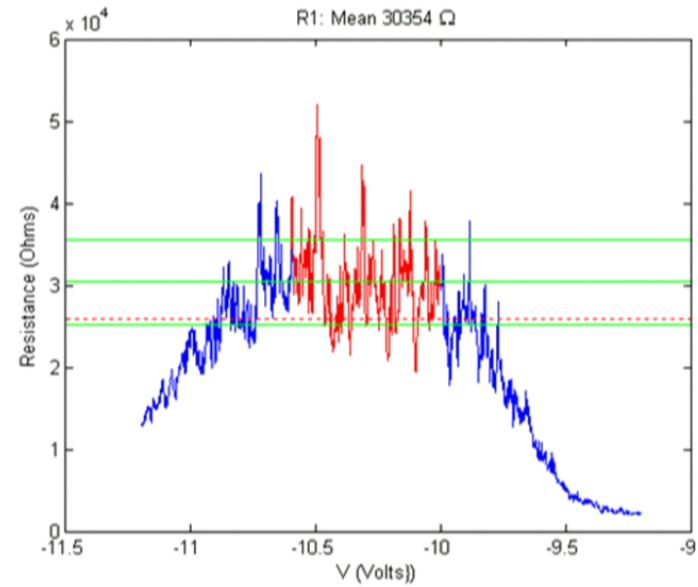


Yacoby group 2012

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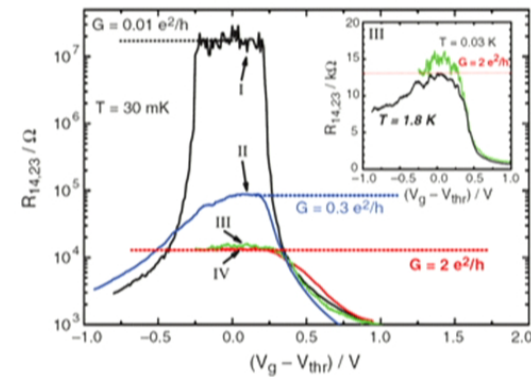
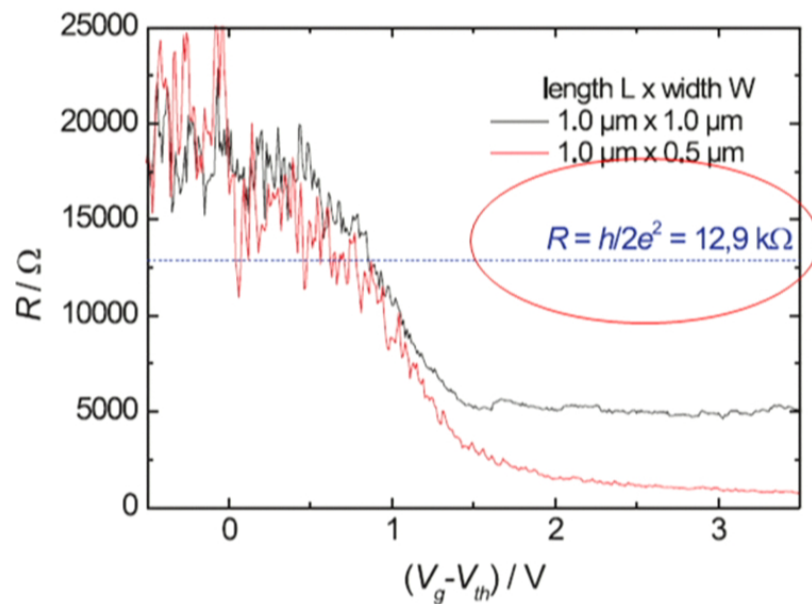


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Yacoby group 2012

# Experiments (Molenkamp group, 2007)



Sample II:  
Length  $\times$  Width  $\sim 20 \mu\text{m} \times 13 \mu\text{m}$

For two devices with  $L = 1 \mu\text{m}$ , the longitudinal resistance in the insulating regime is close to  $h/(2e^2)$ .

# Electron backscattering in helical edge

No finite matrix elements of a potential  $U(\vec{r}) \cdot \delta_{\sigma\sigma'}$

between states  $\psi_{\sigma}(k)$  and  $\psi_{-\sigma}(-k)$

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requires interactions leading to inelastic  
scattering

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Minimal TR-invariant interaction leading to backscattering

$$u\psi_{L\downarrow}^\dagger \partial_x \psi_{L\downarrow}^\dagger \psi_{R\uparrow} \partial_x \psi_{R\uparrow} + \text{h.c.}$$

(2 particles backscattered)

(Kane, Mele, PRL 2005 ,Bernevig, Zhang, PRL 2006; Xu, Moore, PRB 2006)



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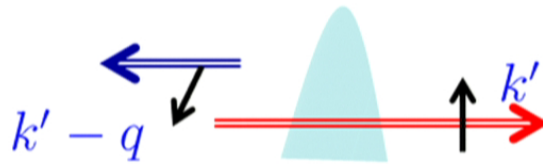
Fermi Golden Rule (“**impurity**”, no momentum conservation):

$$\Delta G \propto |u|^2 T^6 \propto |u|^2 \underbrace{T^2}_{\text{Pauli (L)}} \cdot \underbrace{T^2}_{\text{Pauli (R)}} \cdot \underbrace{T^2}_{\text{Phase space}}$$

# Backscattering without $s_z$ -conservation

(T. Schmidt, S. Rachel, F. von Oppen, LG – PRL 2012)

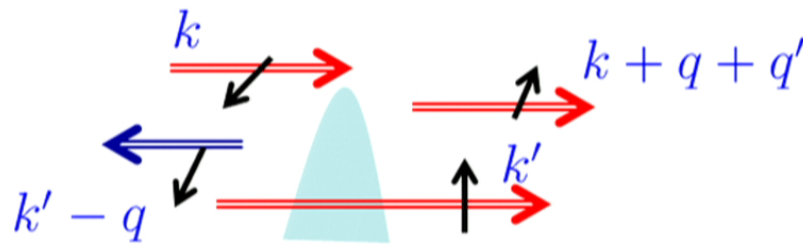
(spin texture in  
k-space)



$$H_{V,\text{int}}^{\text{eff}} \propto \frac{k_F U_0 V_0}{k_0^2 v_F} \sum_{kk'qq'} (k - k') \psi_{+,k+q+q'}^\dagger \psi_{-,k'-q}^\dagger \psi_{+,k'} \psi_{+,k} + (\psi_+ \leftrightarrow \psi_-) + \text{h.c.}$$

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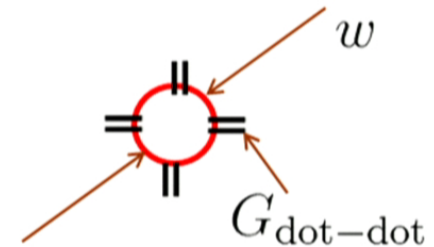
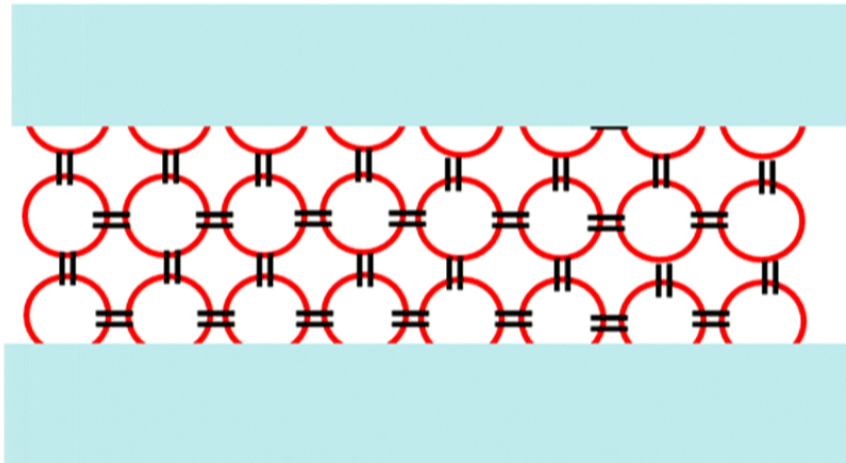
$$u\psi_{L\downarrow}^\dagger \partial_x \psi_{L\downarrow}^\dagger \psi_{R\uparrow} \partial_x \psi_{R\uparrow} + \text{h.c.} \longrightarrow \psi_R^\dagger \partial_x \psi_R^\dagger \psi_R \psi_L$$

$$\Delta G_{V,\text{int}} \propto T^4 \propto T^2 \cdot T^2$$

Pauli (R); Phase space

$$\Delta G \sim G_q (\hbar v / E_g) n_{\text{imp}} (T / E_g)^4$$

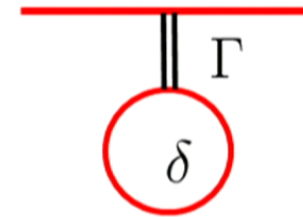
# Puddles in a Doped Semiconductor



# Backscattering off a Puddle



New physics: helical edge scattering off a dot

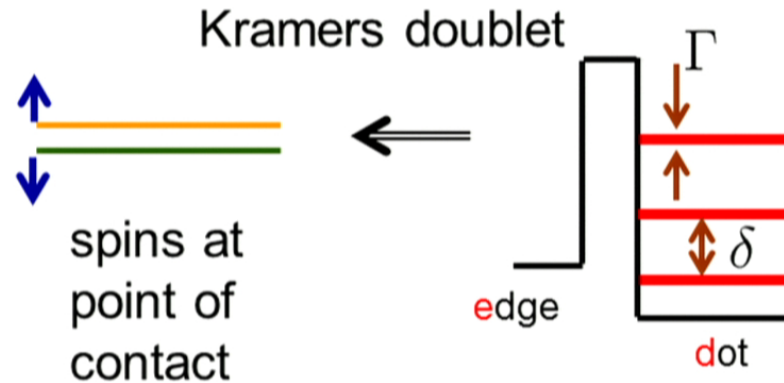
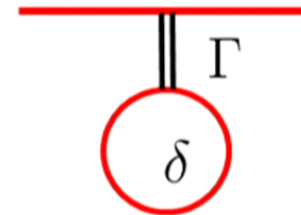


Vayrynen, Goldstein, LG -- Phys. Rev. Lett. **110**, 216402 (2013)

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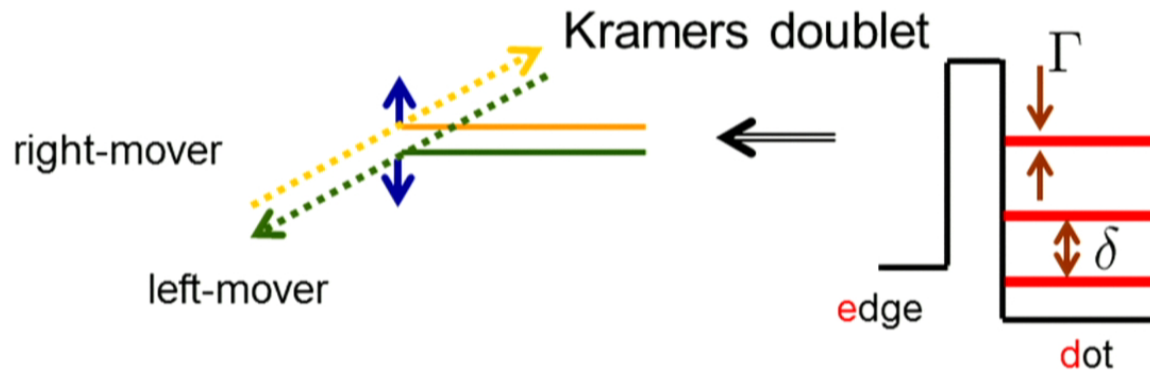


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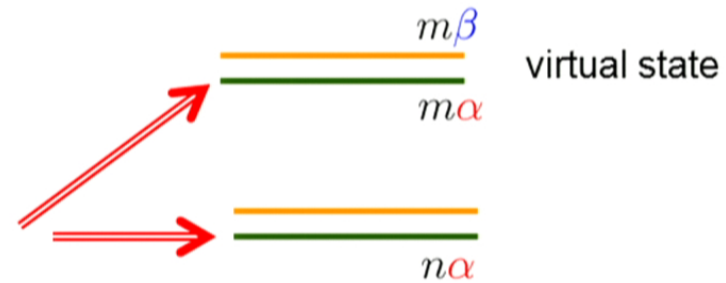
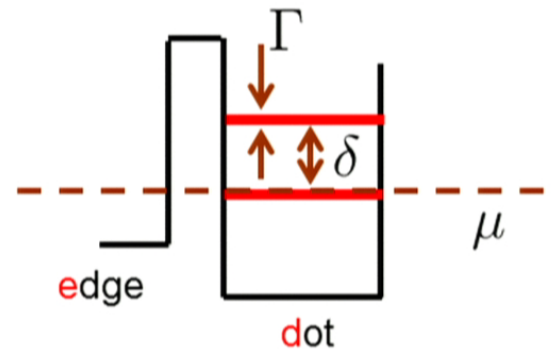
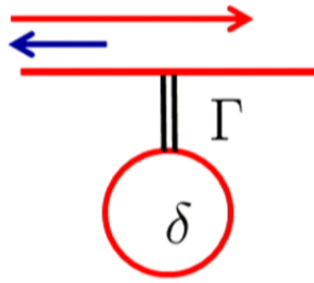
# Weak-Interaction Limit



Two-particle scattering cross-section, e.g,  $S_{RR \rightarrow LR}(E_1, E_2; E_3, E_4)$  in the lowest non-vanishing order ( $V^2$ ):

$$\begin{aligned}
 &= \frac{2\pi}{\pi^4} \delta(E_1 + E_2 - E_3 - E_4) \\
 &\quad \sum_{m_1 m_2 m_3 m_4} \sum_{n_1 n_2 n_3 n_4} \langle m_1 \uparrow, m_2 \uparrow | \hat{V} | m_3 \uparrow, m_4 \downarrow \rangle \langle n_1 \uparrow, n_2 \uparrow | \hat{V} | n_3 \uparrow, n_4 \downarrow \rangle^* \\
 &\quad \text{Im}G_{m_1 n_1}^R(E_1) \text{Im}G_{m_2 n_2}^R(E_2) \text{Im}G_{n_3 m_3}^R(E_3) \text{Im}G_{n_4 m_4}^R(E_4).
 \end{aligned}$$

# Two Narrow Levels, **Lowest** Temp.









# Many Levels, RMT

Scales for the matrix elements of (screened) Coulomb interaction:

Off-diagonal (lead to scattering):

$$V_{n\alpha, m\alpha; k\beta, l\alpha} \sim \frac{\delta}{g}$$

$$g = E_T / \delta \gg 1$$

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Thouless energy

Diagonal (charging):

$$V_{n\alpha, n\alpha; m\beta, m\beta} \sim \frac{\text{spacer}}{\text{Bohr radius}} \cdot \delta \gtrsim 1$$

Modification of the peak value, neglecting the charging:

$$\frac{\Delta G}{G_q} \sim \frac{1}{g^2} \cdot \frac{T^4}{\Gamma^4}, \quad T \lesssim \Gamma; \quad \frac{\Delta G}{G_q} \sim \frac{1}{g^2} \cdot \frac{\Gamma}{T}, \quad \delta \gg T \gtrsim \Gamma$$

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Modification of the peak value, **with** the charging - estimates:

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# Many Levels, RMT, Charging, **odd-e**

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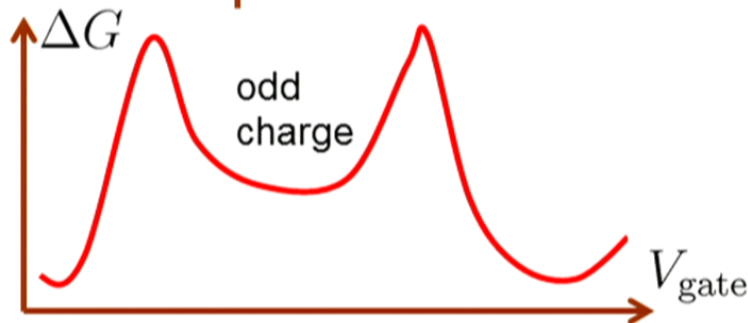
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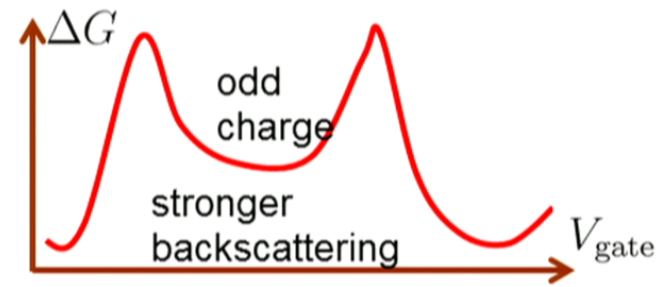
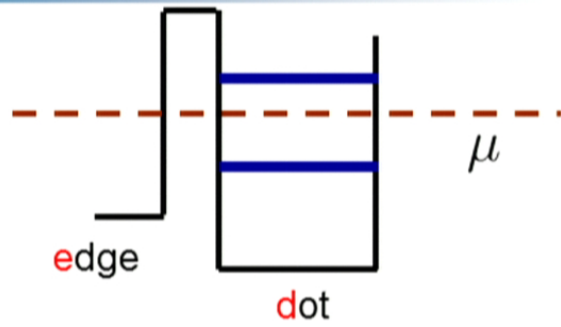
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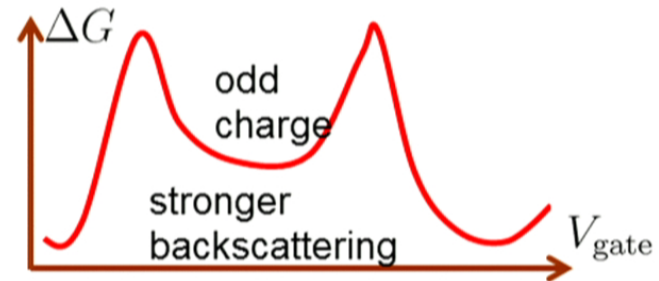
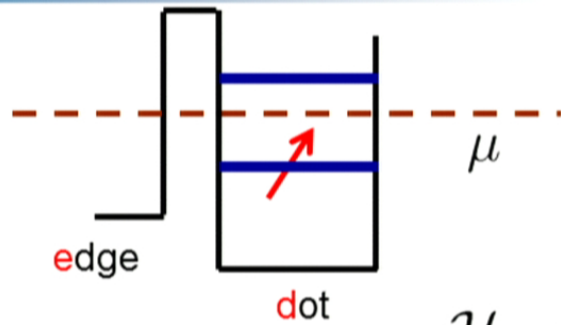
Even-odd charge  
asymmetry of the  
valleys:



# RMT, odd-e, Kondo



# RMT, odd-e, **Kondo**



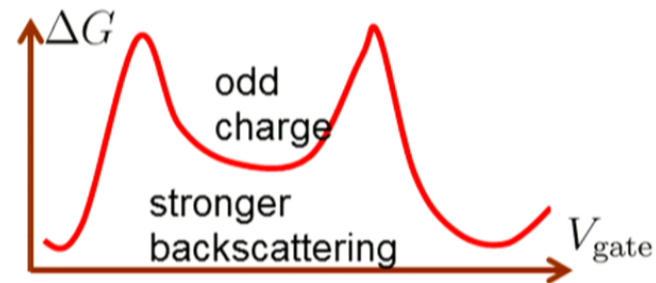
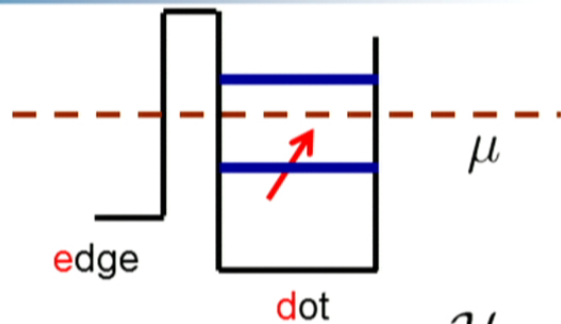
$$\mathcal{H}_K = JS \cdot s$$

Single level (Anderson imp. model)-- **isotropic** exchange, enough for Kondo eff. at  $T < T_K(V_{gate})$  (Maciejko et al, 2009) but **not enough for backscattering** (Tanaka et al 2011).

conservation law:  $\partial_t (\hat{N}_R - \hat{N}_L - \hat{S}_z) = 0$



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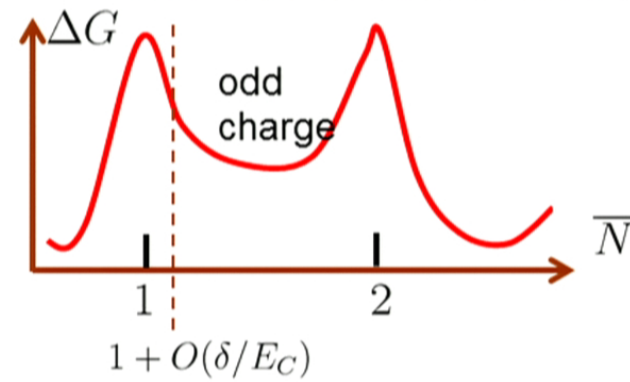
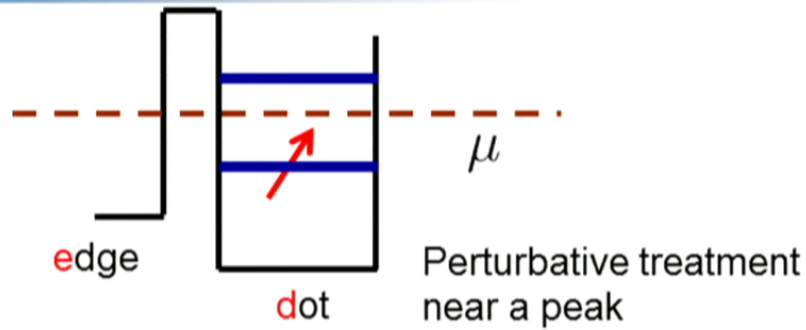
conservation law:  $\partial_t (\hat{N}_R - \hat{N}_L - \hat{S}_z) = 0$   $\|S_z\| < 1$

$$\overline{\partial_t \langle \hat{N}_R - \hat{N}_L \rangle} = \overline{\partial_t \langle \hat{S}_z \rangle} = 0$$

More levels – **off-diag.** exchange matrix elements **allowing** for **backscattering** (Tanaka et al 2011).

$$\mathcal{H}_K = J_{ij} S_i S_j$$

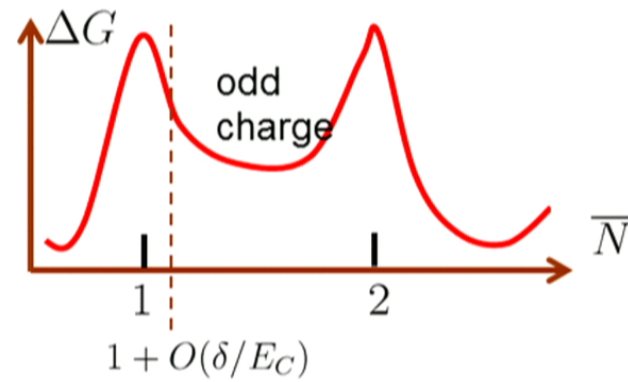
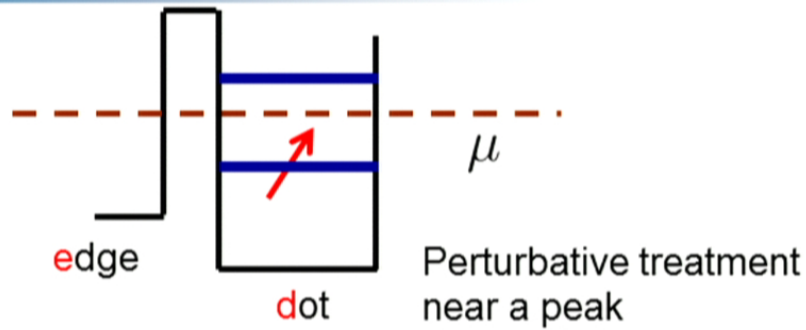
# RMT, odd-e, Kondo, **backscattering**



RG+Kinetic equation:

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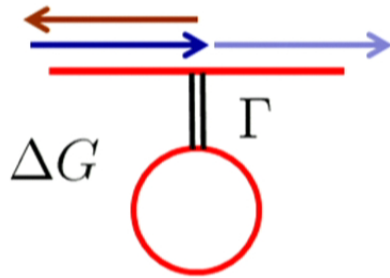


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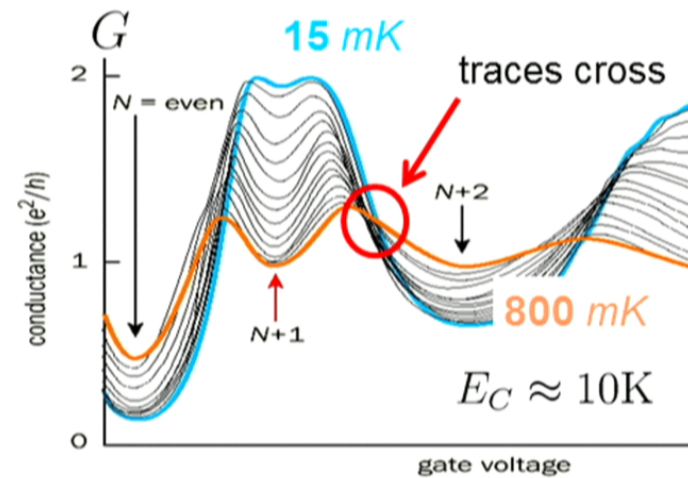
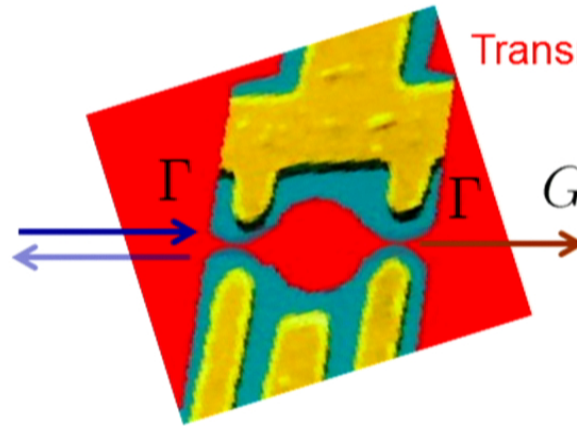
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# New vs. "Old" Quantum Dots Physics

Backscattering

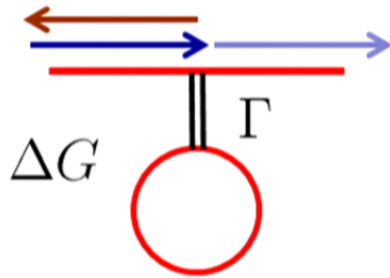


Transmission

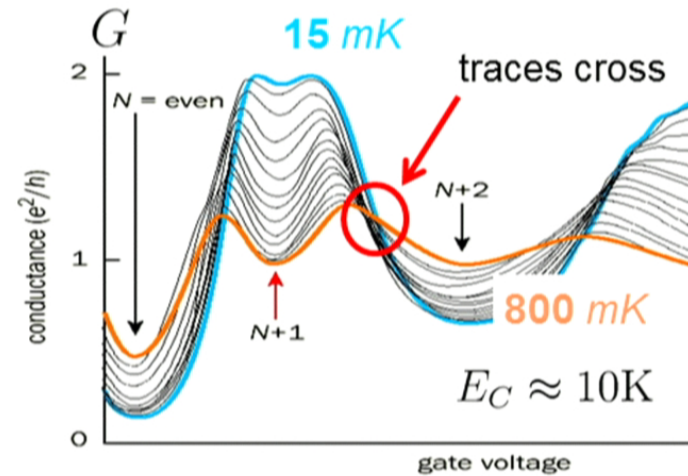
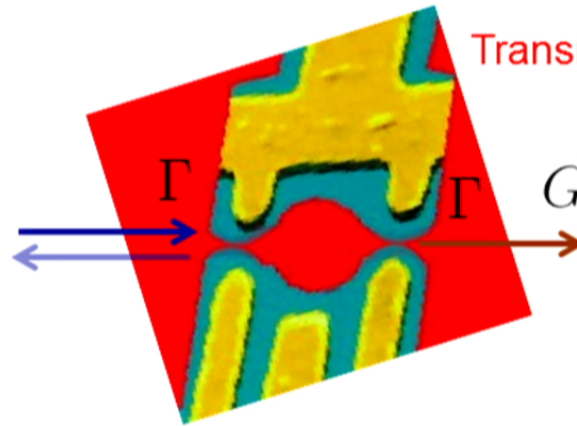


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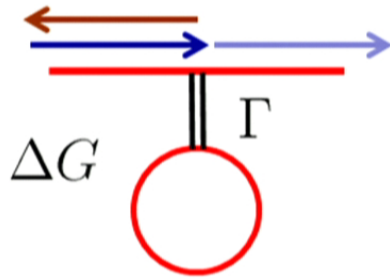


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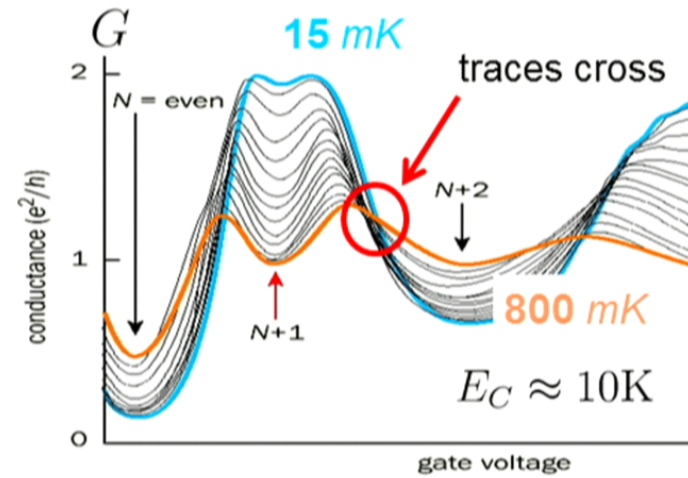
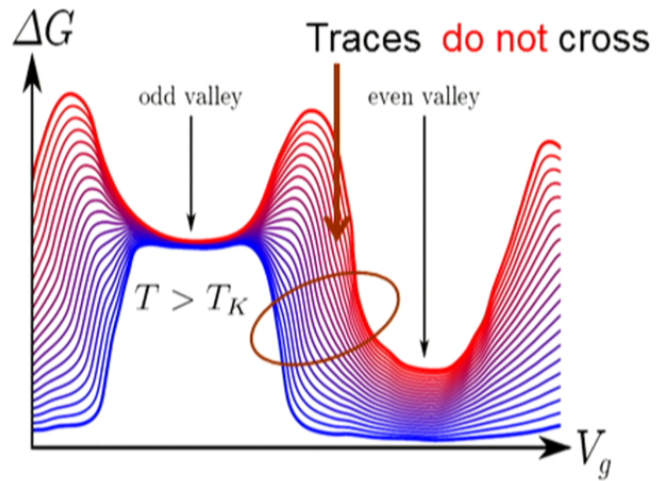
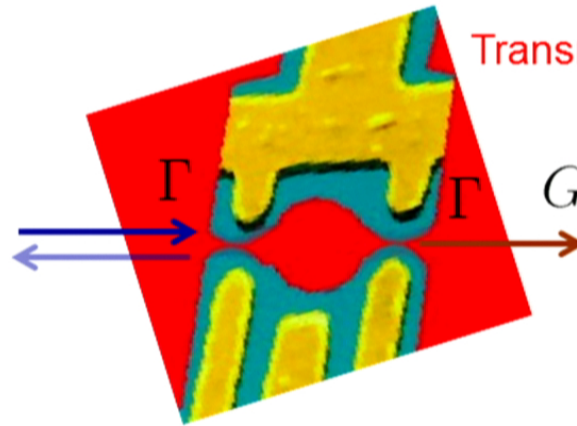


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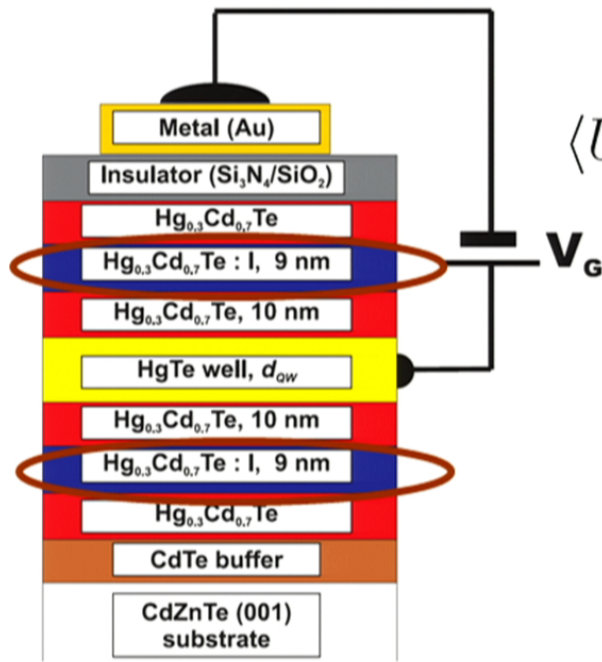
Backscattering



Transmission



# Static Charge Density Fluctuations



$$\langle U(\mathbf{r}_1)U(\mathbf{r}_2) \rangle \sim \frac{2\pi n_d e^4}{\kappa^2} \ln \frac{|\mathbf{r}_1 - \mathbf{r}_2|}{d}$$

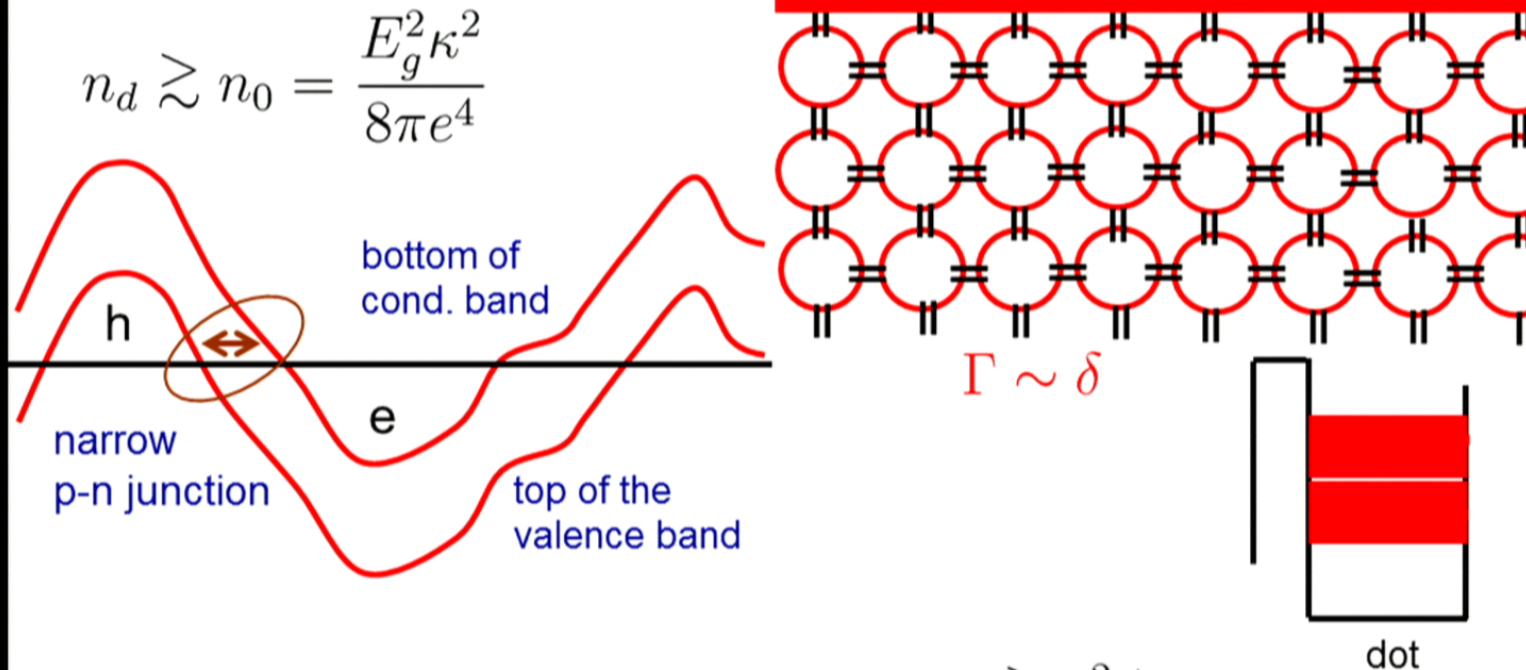
(Gergel, Suris, 1978)

Compare

$$U_0 = \sqrt{\frac{2\pi n_d e^4}{\kappa^2}}$$

with  $\frac{1}{2}E_g$

# Puddles at high doping density



Strong tunneling between puddles,  $\sigma_{2D} \gtrsim e^2/h$

Probably ABOVE the threshold for edge **delocalization**

(Fu, Kane, PRL 2012)

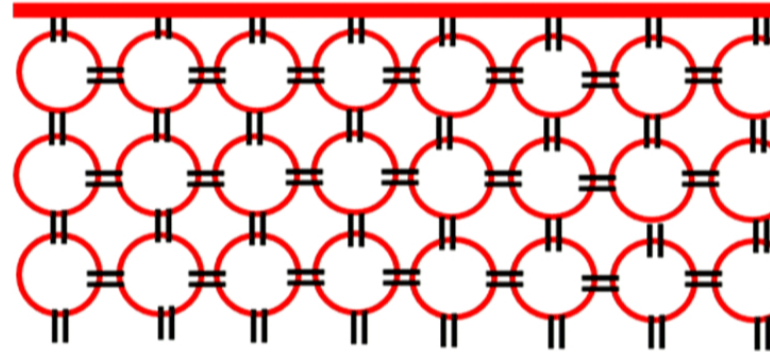


# Hopping

$$n_d \ll n_0 = \frac{E_g^2 \kappa^2}{8\pi e^4}$$

small density of puddles

$$n_p \propto \exp(-n_0/n_d)$$



## Long vs. Short Edge

Edge length:  $L$

density of puddles:

$$n_p \sim \left(\frac{1}{l^*}\right)^2 \cdot \exp(-n_0/n_d)$$

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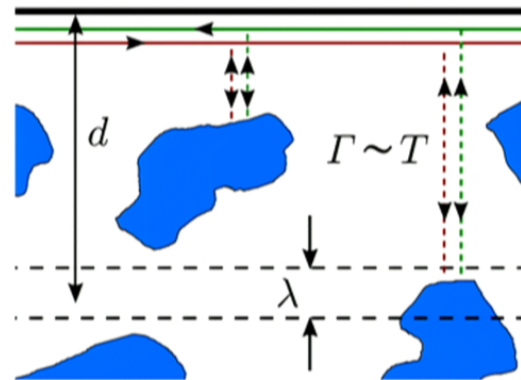
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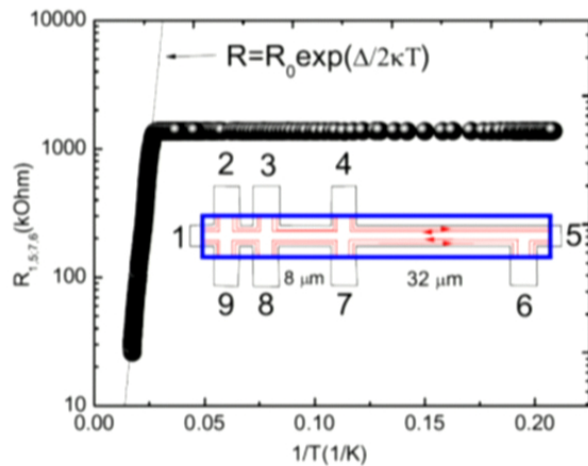
edge **resistivity**

$$\rho_{\text{edge}} \propto \frac{n_p}{\ln^2(\delta/T)}, \quad T \ll \delta$$

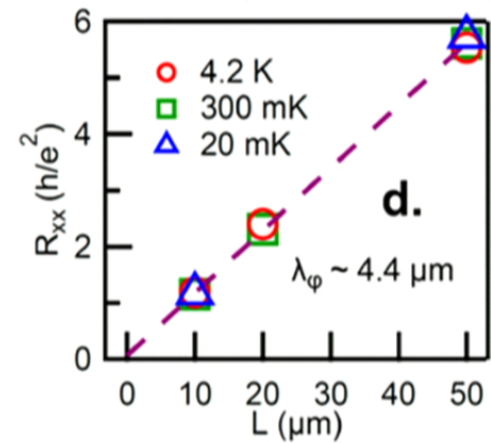
edge **resistance**

$$R = \frac{1}{G} = \rho_{\text{edge}} \cdot L$$





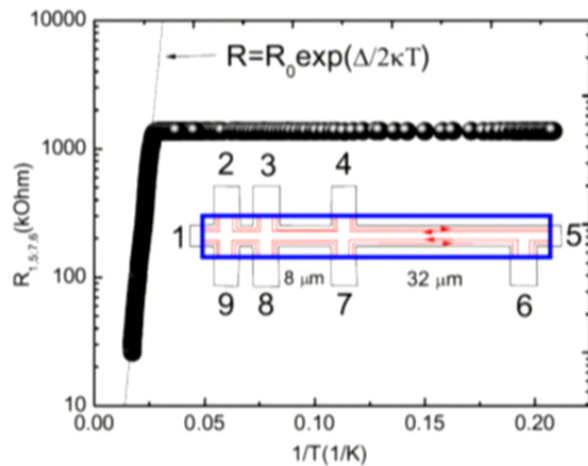
Gusev et al, arXiv1308.4356  
+PRB **89**, 125305 (2014)



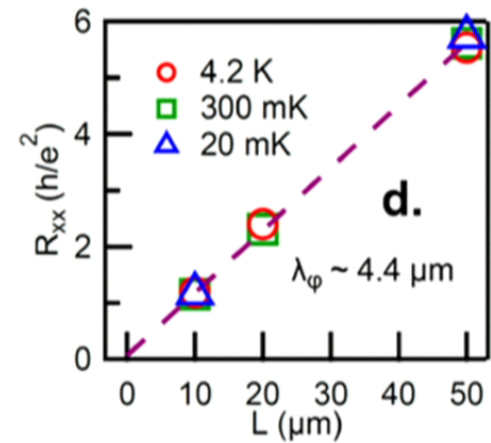
Du et al 1306.1925  
(InAs/GaSb)

Does not seem to fit

$$\rho_{\text{edge}} \propto \left(\frac{1}{l^*}\right)^2 \cdot \exp(-n_0/n_d) \frac{1}{\ln^2(\delta/T)}$$



Gusev et al, arXiv1308.4356  
+PRB **89**, 125305 (2014)

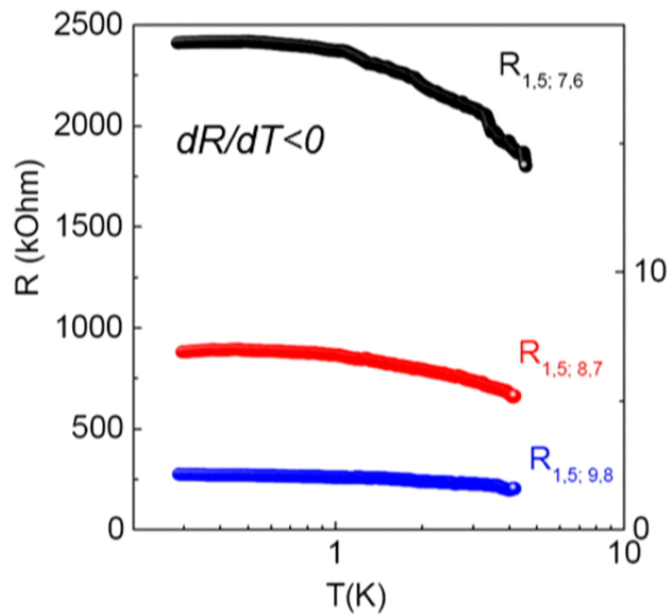
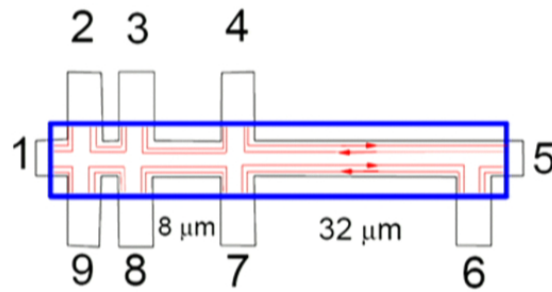


Du et al 1306.1925  
(InAs/GaSb)

Does not seem to fit

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# Some evidence for temperature dependence



Gusev et al, arXiv1308.4356  
+PRB **89**, 125305 (2014)

apparently high donor densities,

$$n_d \gtrsim n_0 = \frac{E_g^2 \kappa^2}{8\pi e^4}$$

## Long vs. **Short Edge**

Edge length:  $L$

density of puddles:

$$n_p \sim \left(\frac{1}{l^*}\right)^2 \cdot \exp(-n_0/n_d)$$

$L \ll n_p^{-1/2}$  : mesoscopic regime

Average conductance close to  $G_q$ , the correction has a weak **temperature dependence**



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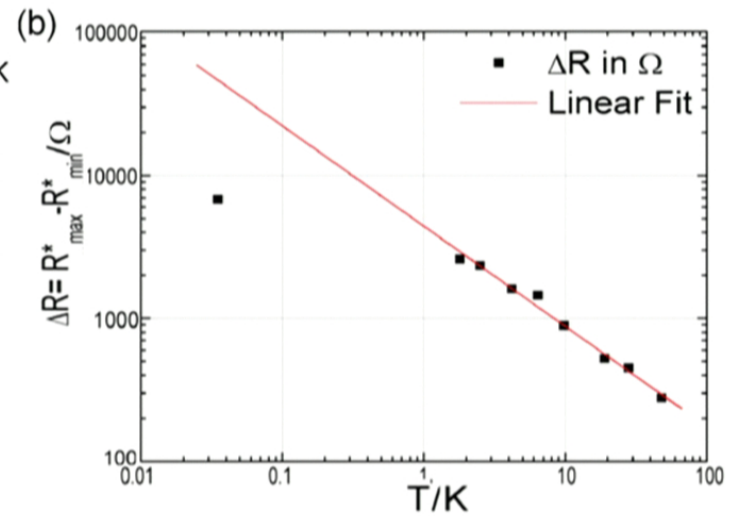
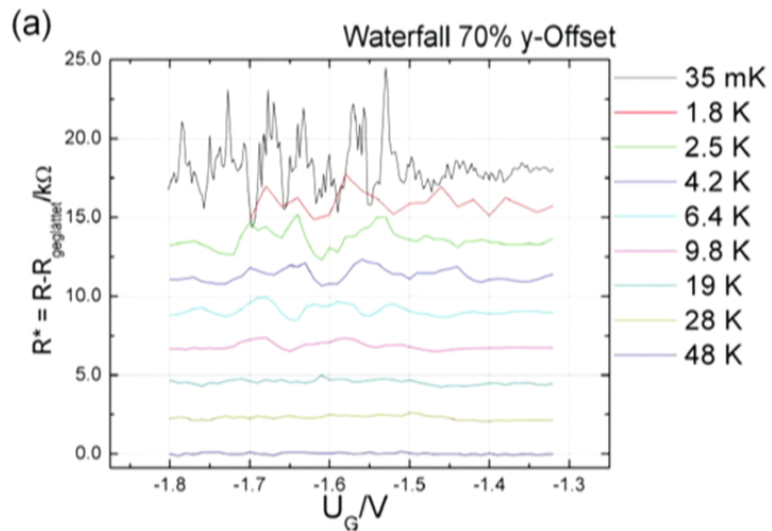
$L \ll n_p^{-1/2}$  : mesoscopic regime

Average conductance close to  $G_q$ , the correction has a weak **temperature dependence**

Average single-puddle contribution

$$\frac{\langle \Delta G^{\text{av}} \rangle}{G_q} \sim \frac{\Gamma^2}{g^2 E_C \delta}, \quad \delta \gg T \gg \delta \cdot e^{-\pi\delta/2\Gamma}$$

# Conductance fluctuations in experiment



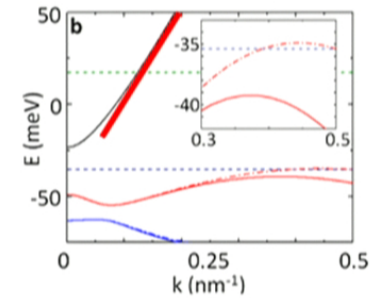
Molenkamp group 2013

# HgTe/CdTe: **Estimates**, Observations

$$\kappa \approx 13, \quad E_g \approx 10\text{meV}, \quad v \approx 5.5 \cdot 10^7 \text{cm/s}$$

$$\delta \sim 1\text{mV}$$

$$n_0 = \frac{E_g^2 \kappa^2}{8\pi e^4} \approx 3 \cdot 10^{10} \text{cm}^{-2}$$



$$\alpha = \frac{e^2}{\kappa \hbar v} \approx 0.3$$

# HgTe/CdTe: Estimates, Observations

$$n_0 = \frac{E_g^2 \kappa^2}{8\pi e^4} \approx 3 \cdot 10^{10} \text{cm}^{-2}$$

Year of experiment

2007

$$n_d \approx 3 \cdot 10^{11} \text{cm}^{-2}$$

2012

$$n_d \approx 3 \cdot 10^{10} \text{cm}^{-2}$$

# Conclusions

1. Doping leads to puddles formation. Puddles are rare if doping is well below the characteristic value,

$$n_0^{\text{theo}} = \frac{E_g^2 \kappa^2}{8\pi e^4}$$

2. Puddles backscatter electrons. Worst are the configurations carrying spin: the backscattering rate has a weak temperature dependence,  $\Delta G \propto \ln^2(T/T_K^{\text{min}})$

3. At low doping: **long edge** – large edge resistance;  $\rho_{\text{edge}} \propto n_p / \ln^2(\delta/T)$ ; **short edge** – approx. quantization + mesoscopic fluctuations