

Title: Black Holes- the Harmonic Oscillators of the 21st Century

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Abstract: In the twentieth century, many problems across all of physics were solved by perturbative methods which reduced them to harmonic oscillators. Black holes are poised to play a similar role for the problems of twenty-first century physics. They are at once the simplest and most complex objects in the physical universe. They are maximally complex in that the number of possible microstates, or entropy, of a black hole is believed to saturate a universal bound. They are maximally simple in that, according to Einstein's theory, they are featureless holes in space characterized only by their mass, charge and angular momentum. This dual relation between simplicity and complexity, as expressed in black holes, has recently been successfully applied to problems in a disparate variety of physical systems. I will give an introduction to the subject intended for a general audience.

BLACK HOLES:

the Harmonic Oscillators
of the 21st Century

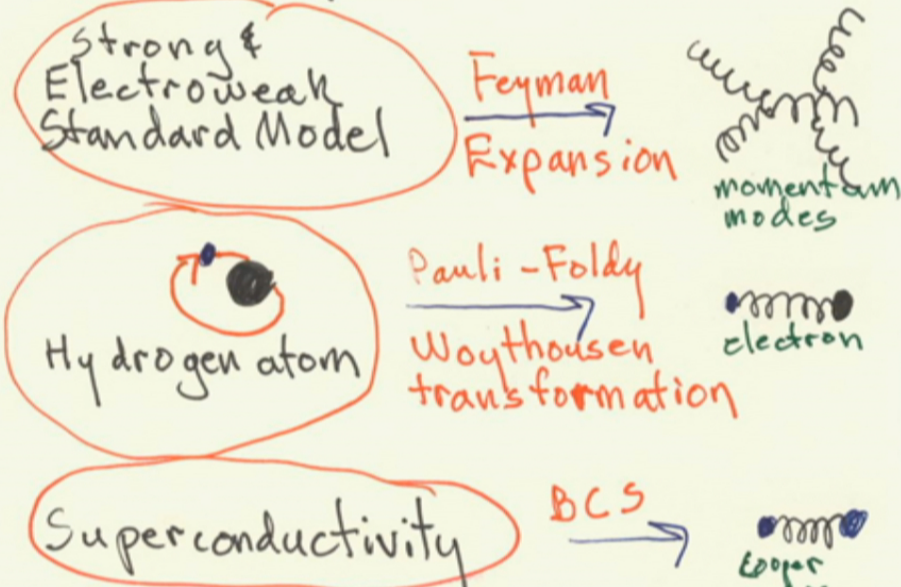
Andy Strominger
Harvard University

PERIMETER INSTITUTE COLLOQUIUM, MARCH 2014

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across physics were solved
by mapping them to the
harmonic oscillator:



For example:



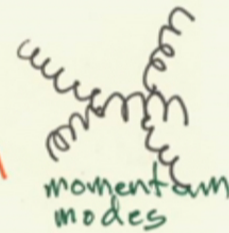
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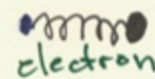
Strong &
Electroweak
Standard Model

Feynman
Expansion



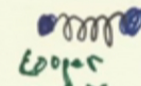
Hydrogen atom

Pauli-Foldy
Weythousen
transformation



Superconductivity

BCS



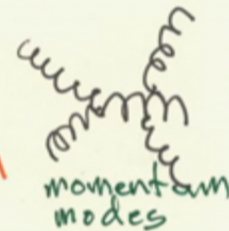
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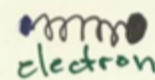
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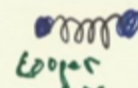
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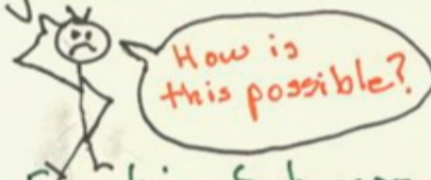


Superconductivity

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They are at once both the ^{century} **simplest** and most **complex** objects in the physical universe.



SIMPLE



According to Einstein-Schwarzschild... they are empty, featureless holes in space characterized only by M , Q and J .

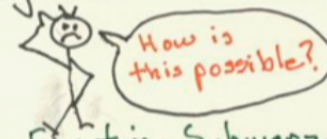
COMPLEX



According to Bekenstein-Hawking... the number of possible microstates $e^{S_{BH}}$ saturates a universal bound $S_{BH} = \frac{1}{4} \text{Area (horizon)}$

Progress over the last decade in the ^{understand} dual quantum relation **simplicity complexity** has been successfully.

Black holes are poised to play a similar role in the 21st century. They are at once both the **simplest** and most **complex** objects in the physical universe.



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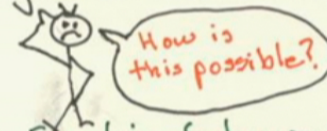
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PROGRESS IN PHYSICS

3

20th CENTURY



21st CENTURY



OUTLINE

- I. Brief Bio of the Black Hole 1915- , and what we have learned
- II. Applications
- III. Role of string theory
- IV. Current status & future prospects

Birth of the Black Hole

Nov 25, 1915

Einstein discovers the Einstein equation. Believes exact solution will never be found.

★ Jan 16, 1916

Exact solution announced by Einstein to Prussian academy. "It is always pleasant to have an exact solution in simple form at your disposal"

— Karl Schwarzschild

May 11 1916

Schwarzschild dies. Russian front

$$ds^2 = -\left(1 - \frac{2GM}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{2GM}{r}\right)} + d\theta^2 + \sin^2\theta d\phi^2$$

Nobody knows what it means!

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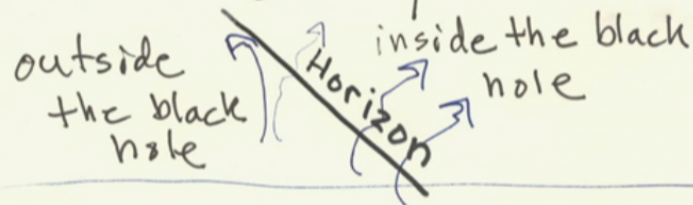
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1930's

Chandrasekhar, Oppenheimer
Snyder: stars with mass
 $M > 3M_{\text{sun}}$ collapse into black
holes. Einstein disagrees!

1950's

Finkelstein, Kruskal.
Horizon ^{surface of black hole} $r = 2GM$ is a one-way membrane
not a singularity



1963

Kerr discovers rotating
Kerr solution

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Penrose, Hawking, Carter
singularities, can say structure

1967 Wheeler coins "BLACK HOLE"

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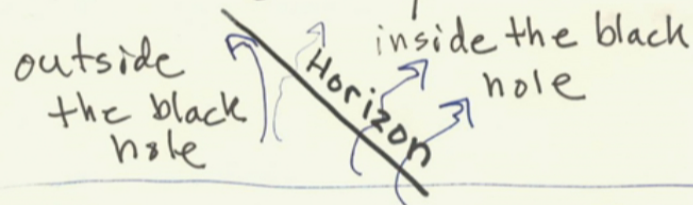
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FIRST LAW

Thermodynamics:

$$T dS = dE - \Phi dQ - \Omega dJ + \dots$$

Classical Black Hole Dynamics:

$$\frac{\kappa}{8\pi} dA = dE - \Phi dQ - \Omega dJ + \dots$$

$\kappa \equiv$ surface gravity
 $A \equiv$ area of horizon

The first BH law is related to the "no-hair" theorem: a static BH is characterized by conserved quantities E , Q and J .

Analogy:

$$S \Leftrightarrow A$$

$$T \Leftrightarrow \kappa$$

SECOND LAW

Thermodynamics:

$$\Delta S \geq 0$$

Classical Black Hole Dynamics:

$$\Delta A \geq 0$$

Classical black holes only grow in size.

Analogy:

$$S \Leftrightarrow A$$

Penrose Christodoulou Hawking Bardeen
Carter Bekenstein ...

The Area only increases because ¹⁰ matter can go into, but not out of, a black hole:

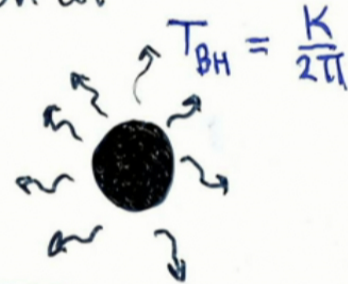


As Boltzmann explained, Entropy only increases because it measures molecular disorder - hard to prove



but easy to understand. The surprise is that these are in disguise the same phenomenon!

Hawking '74 completes the circle: Black holes radiate blackbody radiation at



Observed
in the
sky?

Planck Units

⇒ $S_{BH} = \frac{1}{4} \text{Area}$ Bekenstein-Hawking Entropy

⇒ Unified laws of BHs + Thermodynamics

Generalized 1st law

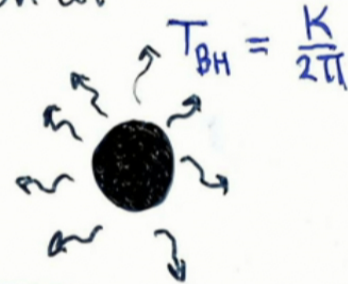
$$T(dS_{out} + dS_{BH}) = dE - \Phi dQ - \Omega dJ + \dots$$

Generalized 2nd law

$$\Delta S_{out} + \Delta S_{BH} \geq 0$$

$S_{out} \equiv$ entropy outside
the black hole

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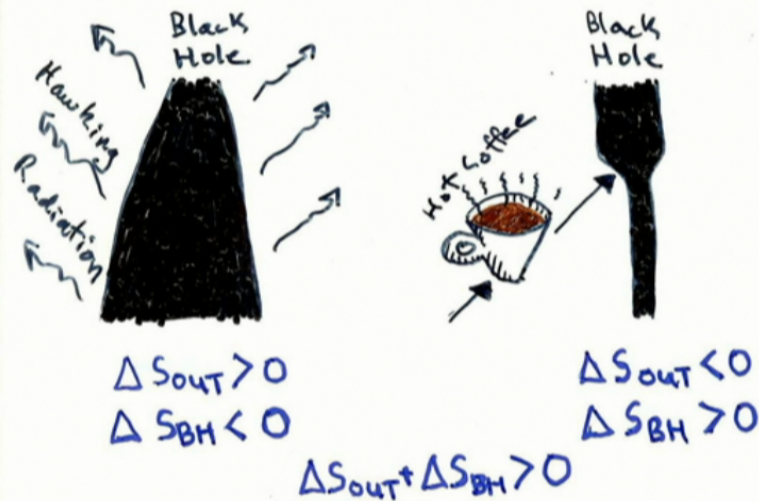
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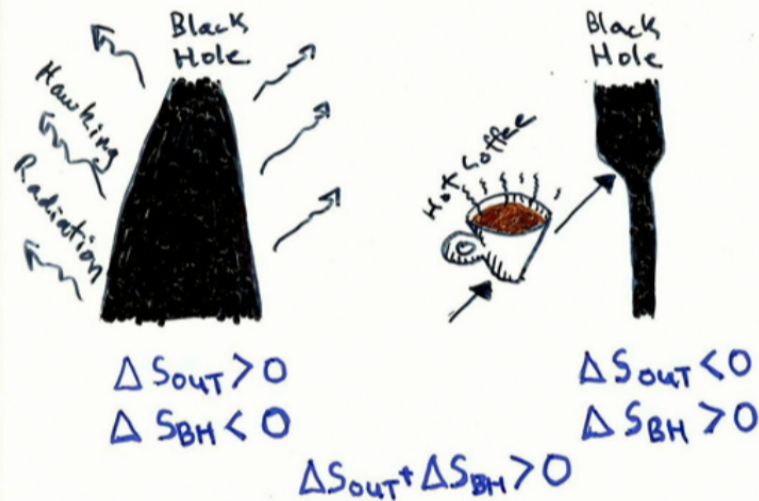
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We seek a single, unified derivation of the unified laws of BH thermodynamics: to microscopically derive those laws—as Boltzmann did for ordinary thermodynamics by counting BH microstates. We need to show

$$S_{BH} = \frac{\text{Area}}{4 G_N \hbar} = \log[\text{\# of BH states}]$$

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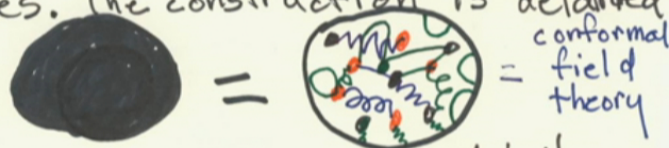
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20 years later...

14

1996

String theory is used by A.S. and Vafa to microscopically compute SBF for certain unphysical black holes. Perfect agreement is found in many cases. The construction is detailed



and appears to use many details of string theory. It is the first stringy example of what is now known as the AdS/CFT correspondence. Much light is shed on string theory.

TOPIC FOR A DIFFERENT LECTURE

1997

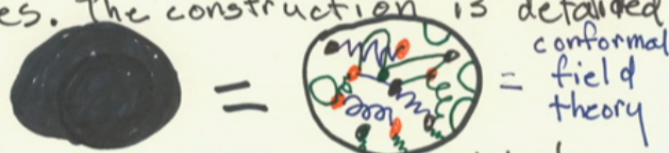
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The statistical mechanics of continuous fluids ^{gases} has an ultraviolet catastrophe. ^(Rayleigh) Boltzmann needed a consistent ultraviolet cutoff - the theory of molecules - to derive the universal laws of thermodynamics from stat mech. He did not need the exact periodic table.

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16
10 years later

2008

we have obtained a statistical understanding of the thermodynamic behavior of special black holes seen in the sky without using or assuming string theory - but drawing lessons from our experience. Guica, Hartman, Song & As
These special black holes are called extreme kerr the horizon rotates at (nearly) the speed of light.

An extreme 4D

Kerr  black hole
has angular momentum

$$J = GM^2$$

Hawking temperature

$$T_H = 0,$$

and Bekenstein-Hawking
entropy

$$S_{BH} = \frac{2\pi J}{\hbar}.$$

GRS 1915+105 has

$$M \sim 14 M_{\odot}$$

$$\frac{J}{GM^2} > .98$$

McClintock, Shafee, Narayan,
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Recently reported:

Seyfert 1.2 galaxy MCG-6-30-15 has million solar mass BH
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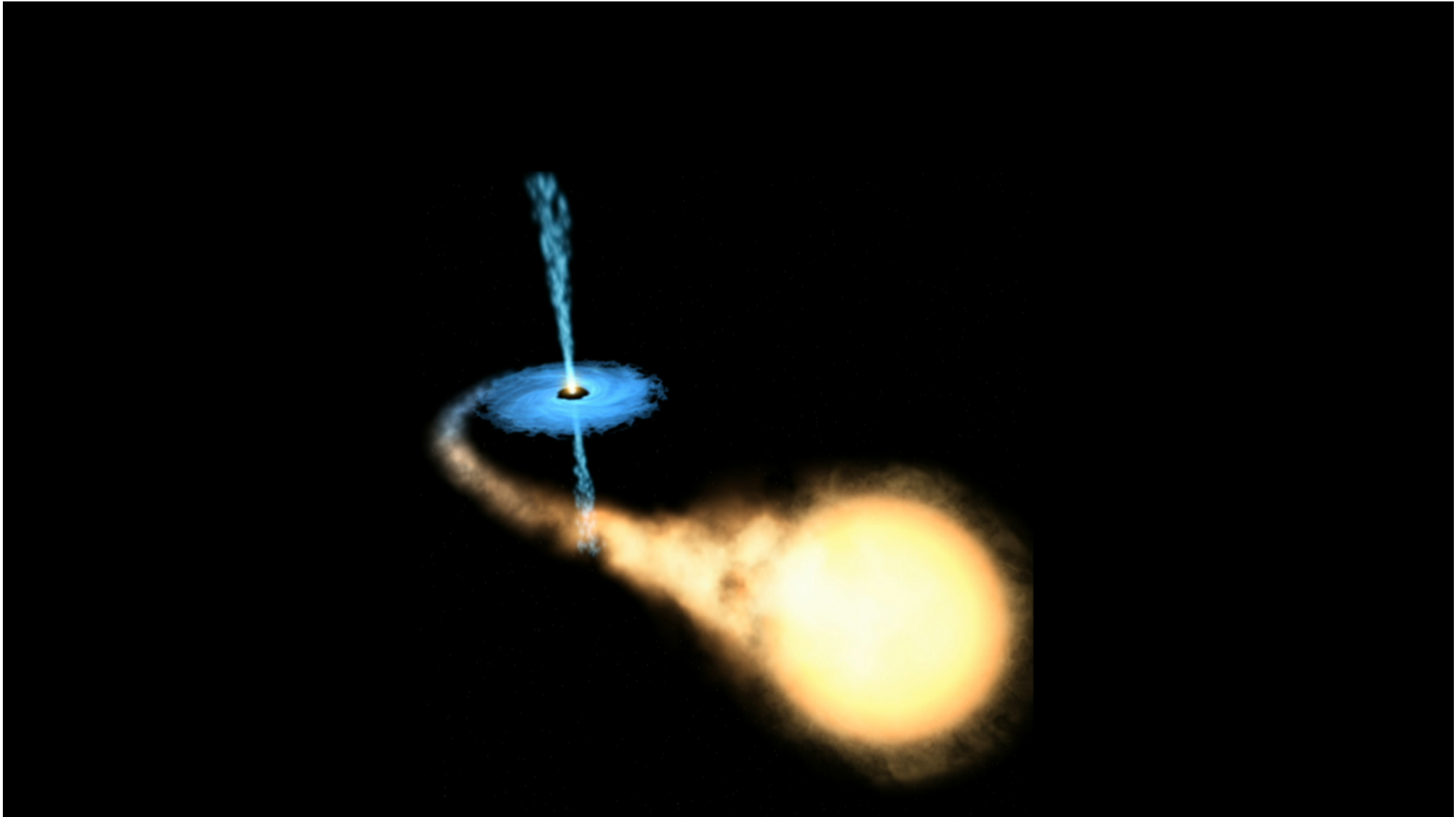
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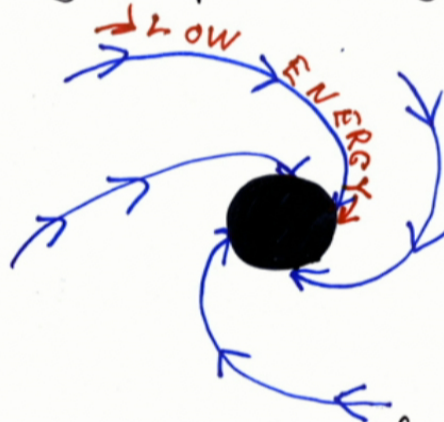


The near-horizon region¹⁹
of extreme Kerr



all excitations must move
counterclockwise at the
speed of light!

In this very-near horizon
region, quantum gravitational



dynamics simplify dramatically
much as is the case for e.g.
dynamics of Cooper pairs in
a superconductor or quantum
Hall edge states at low
energies. Critical behavior
& powerful new symmetries —
offspring of diffeomorphisms —
arise in the limit.

The fixed point at the near-horizon limit is a 1+1 dimensional conformal field theory with a (ϕ, t) Virasoro algebra

$$[L_m, L_n] = (m-n)L_{m+n} + \frac{c}{12}(m^3-m)\delta_{m+n}$$

and central charge

$$c = \frac{12J}{\hbar}$$

at temperature $T = \frac{1}{2\pi}$.

These facts follow simply from a careful analysis of the properties of general coordinate transformations very near the horizon.

is the fractional gain (or loss) of energy in a scattered wave, Starobinsky and Churilov find (in our notation)

$$Z = -\frac{k}{\epsilon} [\omega(r_+ - r_-)]^{2l+1} \left[\frac{(l-s)! (l+s)!}{(2l)! (2l+1)!} \right]^2 \sum_{n=1}^{\infty} \left(1 + \frac{k^2}{4\epsilon^2 n^2} \right), \quad (6.2)$$

which shows the amplification (Z positive) in the superradiant regime ($k\omega$ negative).

For $\omega = m\omega_+$, the radial equations can be solved in terms of confluent hypergeometric functions in the special case $a = M$ (maximally rotating hole). Starobinsky and Churilov perturbed away from this solution to find solutions for Z in a neighborhood $\omega \approx m\omega_+$, but with $a = M$ exactly. Here their calculation is generalized to a neighborhood $a \approx M$.

When $a = M$, there are two distinct cases for the behavior of Z , depending on the value of

$$\delta^2 = a^2 m^2 / M^2 - \lambda - (s + \frac{1}{2})^2. \quad (6.3)$$

(Note that our definition of λ differs from that used by Starobinsky and Churilov by $-2am\omega$.) When $\delta^2 < 0$, Z passes through smoothly through zero as ω passes through $m\omega_+$. When $\delta^2 > 0$, however (which occurs for all modes with $l = m$ and for other modes with l close enough to m), Z oscillates an infinite number of times between two positive values when $\omega \rightarrow m\omega_+$ from below, while Z oscillates an infinite number of times between a negative value and -1 when $\omega \rightarrow m\omega_+$ from above. The magnitude of the oscillations is generally too small to be seen in numerical work, with one exception: for $s = l = m = 1$, $Z_{\max}/Z_{\min} = 1.44$. (For comparison, the value for $s = l = m = 2$ is 1.00002.) However, the numerical work of the next section shows no hint of an oscillation, even for $s = l = m = 1$ and $a = 0.99999M$. The reason for this is seen in studying the behavior of Z in the double limit $a \rightarrow M$, $\omega \rightarrow m\omega_+$.

Appendix A extends the calculation of Z to the case where

$$\alpha \equiv 1 - \omega/(m\omega_+) \ll 1, \quad \gamma \equiv (1 - a^2/M^2)^{1/2} \ll 1. \quad (6.4)$$

The result is

$$Z = \sinh^2 2\pi\delta \sinh \pi\kappa \exp(2\pi\omega)/[e^{-\pi\delta} \cosh^2 \pi(2\omega - \delta) \cosh \pi(2\omega + \delta + \kappa) + e^{\pi\delta} \cosh^2 \pi(2\omega + \delta) \cosh \pi(2\omega - \delta + \kappa) - 2 \cos \psi \cosh \pi(2\omega + \delta) \cosh \pi(2\omega - \delta) \cosh^{1/2} \pi(2\omega + \delta + \kappa) \cosh^{1/2} \pi(2\omega - \delta + \kappa)], \quad (6.5)$$

where $\kappa = ma\omega/(yM)$, $\omega = \omega r_+$, and ψ is defined in equation (A16). The result of Starobinsky and Churilov is recovered by letting $\gamma \rightarrow 0$ ($\kappa \rightarrow \infty$). For α positive, this gives

$$Z = \sinh^2 2\pi\delta [\cosh^2 \pi(2\omega - \delta) + \cosh^2 \pi(2\omega + \delta) - 2 \cos \phi \cosh \pi(2\omega - \delta) \cosh \pi(2\omega + \delta)], \quad (6.6)$$

where $\phi = \text{constant} - 2\pi \ln(2m^2 a)$. As $\alpha \rightarrow 0$, this exhibits an infinite number of oscillations between

$$Z_{\max} = \cosh^2 \pi\delta \sinh^2 2\pi\omega \quad (6.7)$$

and

$$Z_{\min} = \sinh^2 \pi\delta / \cosh^2 2\pi\omega. \quad (6.8)$$

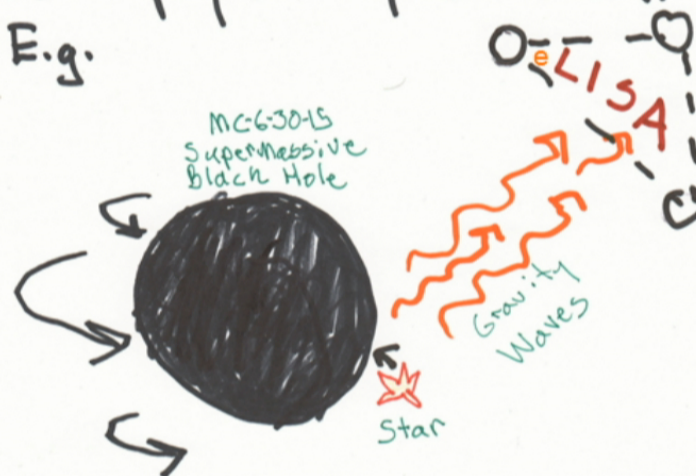
However, if $\alpha \rightarrow 0$ for fixed (small) y in equation (6.5), we find $Z \rightarrow 0$ linearly with ω . Thus for fixed small y there will be a finite number of oscillations before $Z \rightarrow 0$. In the favorable case of $s = l = m = 1$, δ^2 is not positive (i.e., eq. [6.5] showing oscillations is not valid) until $a > 0.995M$. Even then, numerical evaluation of equation (6.5) shows that one must have a within 10^{-7} of M before an oscillation becomes visible. Thus for all practical purposes the oscillations can be ignored as a mathematical curiosity of the nonuniform double limit $a \rightarrow M$, $\omega \rightarrow m\omega_+$.

VII. NUMERICAL RESULTS: WAVE ABSORPTION AND SUPERRADIANT SCATTERING

Our primary task is to calculate Z (eq. [6.1]), the fractional gain or loss of energy in a scattered wave. Z is a function of the nondimensional frequency of the wave ω/M , the hole's rotation a/M , the mode numbers l and m , and of course $|s|$ which tells what perturbing field we are looking at. Using the results of the preceding sections, the calculation of Z is a straightforward: choose a form of the radial perturbation equation (§ II) which makes the inward boundary condition easy to impose and (importantly!) numerically stable against contamination with the other solution when integrated outward. At some moderate radius, say $r \sim 3M$, use the relations between the solutions (§ III) to switch to a different form of the radial equation, one which makes the ingoing wave solution stable to numerical integration out to radial infinity, and the coefficient of this wave easy to determine there. Completing the integration, use the results of § IV to read off the ingoing energy fluxes at the horizon and at

This is exactly a 2D CFT correlator at finite temperature in AdS₂!

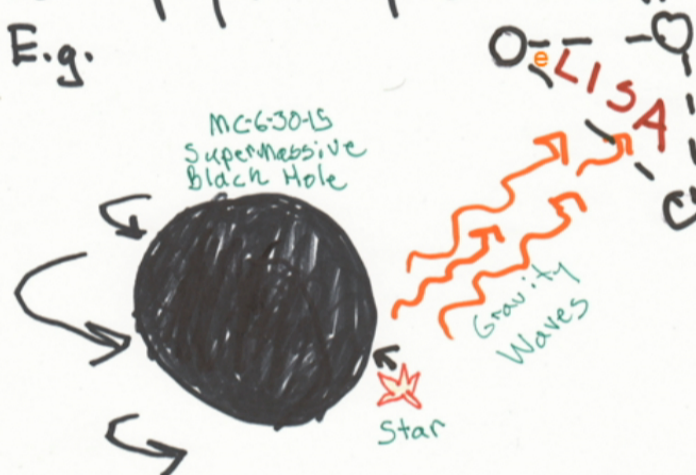
We hope to use this
newly-discovered conformal
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astrophysical phenomena.
E.g.



A. Porfyriadis & A. Strominger, 1401.3746 and to appear

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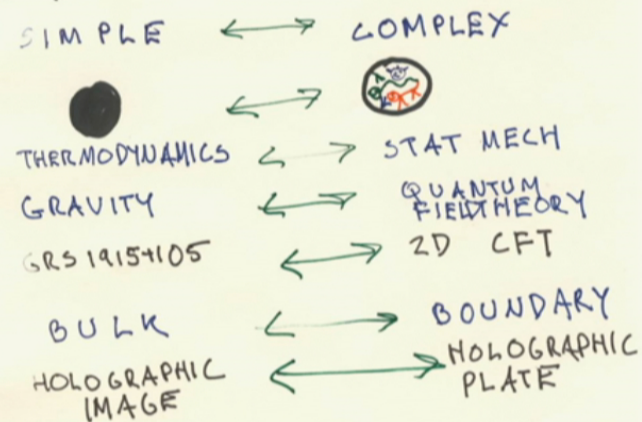
A. Porfyriadis & A. Strominger, 1401.3746 and to appear

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This is known as a

HOLOGRAPHIC DUALITY

SUMMARY



These dualities, whose quintessential realization is the macro/micro description of blackholes, are ubiquitous. They are both conceptually deep and computationally effective.* Our current understanding is very limited, yet there are already many applications....

* Simplifications of this talk may be misleading

Nuclear Physics

Long-lived states in the
QCD plasma produced at
the **R**elativistic in Brookhaven
Heavy
Ion
Collider

are black holes!

SIMPLE $\longleftrightarrow$ COMPLEX
BLACK HOLES $\longleftrightarrow$ QCD PLASMA

Kovtun, Son, Starinets...
Yaffe, Policastro...
deWolfe, Gubser, Rosen, Teaney



Algebraic Geometry

The Gromov-Witten invariants,
which count holomorphic genus g
curves in a Calabi-Yau space,



SURPRISE
AGAIN!

are
equivalent
to the

Donaldson-Thomas invariants,
which count gauge field
configurations. Ooguri, AS Vafa.



This equivalence can not be seen by
standard algebraic geometric methods!
Many other pure math examples...
wall crossing, Moore-Donner, Kontsevich, Gaiotto...

High T_c

Superconductivity

The quantum critical behavior of certain High- T_c cuprates has been mapped to the near-horizon of a black hole and then solved in a suitable approximation. This postdicted the anomalous Nernst effect, and has made further predictions awaiting experimental verification.

Hartnoll Kertun
Mueller Sachdev Herzog
Son

HEY!
SURPRIZE!

Graphene

The magnetohydrodynamic electron plasma has been mapped to a black hole and a cyclotron resonance predicted.

Sachdev Kertun
Hartnoll Mueller



FLUID MECHANICS

It has been clear since the 70's that the black hole horizon closely resembles a fluid

Damour
Price
Thorne



Navier Stokes $\stackrel{?}{=}$ Einstein

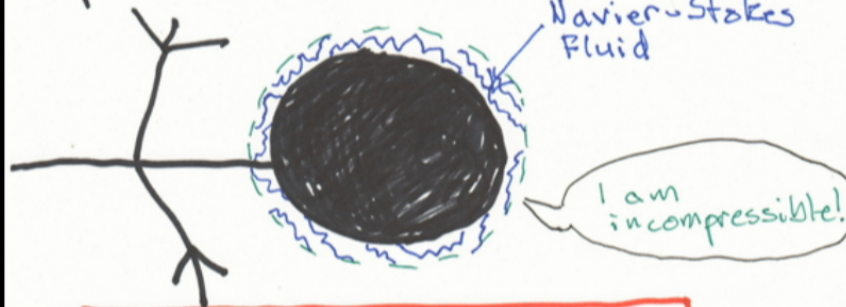
Recently, once again using lessons learned from string theory, good progress has been made on this problem

Balthazara Minwella Wadia
Loganayagam Mandal Sharma Hubeny
Rangamani....

Recently this relation
has been elevated to
full mathematical
precision

Bredberg Keeler Lysov & AS

Navier-Stokes
Fluid



$$\begin{array}{c} \text{Einstein} \\ \parallel \\ \text{Navier-Stokes} \end{array} \quad G_{ab} = 0 \quad \Downarrow \quad v^i \partial_\mu v^i - \partial_\mu v^i = \partial^i p$$

and kolmogorov exponent computed
with numerical G-R!!! Adams Chester
Liu

COSMIC CENSORSHIP $\Leftrightarrow$ GLOBAL EXISTENCE
??? $\Leftrightarrow$ TURBULENCE

There are more
examples of surprise black
hole appearances, and
undoubtedly many more
to come.  Before
concluding, I will say a
few words on the current
status and ^{fundamental} role of string
theory, about which
there has been much
public confusion.

String Theory

- Was discovered forty years ago as a highly nontrivial perturbative solution to the problem of reconciling quantum mechanics and general relativity. Later it was understood to also include matter and gauge interactions and became a promising candidate for a unified theory of all the forces and particles of nature. Over the decades it has grown into a large and highly cohesive web incorporating many ideas from disparate investigations.

In the last decade we have 14 learned that strings are but one of many equivalent "dual" descriptions of a much larger structure still called *M* or *string* theory.



M/string theory has no competitors because it eats them all up.

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FLOW CHART OF VIEWS ON STRING THEORY

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STRING THEORY REPORT CARD

Issued by 33
A. Strominger
Spring Semester
2014

	A	B	C	D	F
Not being ruled out as theory of nature	✓				
Unambiguous testable prediction					✓
Potential for LHC signal				✓	
Solving black hole puzzles		✓			
Applications/inspirations for pure math	✓				
Applications/inspirations other areas of physics		✓			
Unification	✓				
Uniqueness				✓	
Solving the cosmological constant problem					✓
Understanding the Big Bang/Origin of Universe				✓	
Solving Pauli's renormalizability problem	✓				

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