

Title: Evolution of cosmological black holes: exact solutions, accretion and scalar fields

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Abstract: <span>Systems which contemplate the gravitational interaction between compact objects and the matter content in a cosmological environment constitute an important problem which has been studied since the early days of General Relativity. The generalized McVittie black hole is a simple exact solution to this problem, which provides us with insight on some of its known physical aspects, as well as hints to new mechanisms which arise from a formal treatment. We review some properties of this solution and its matter source, which can be interpreted as a classical fluid but is also an exact solution to a nontrivial scalar field theory.</span>

# **Evolution of cosmological black holes: Exact solutions, accretion and scalar fields**

Daniel C. Guariento

*based on*

A. M. da Silva, M. Fontanini, DCG, E. Abdalla, [1207.1086], [1212.0155]

E. Abdalla, N. Afshordi, M. Fontanini, DCG. E. Papantonopoulos, [1312.3682]

N. Afshordi, M. Fontanini, DCG, [1402.xxxx]

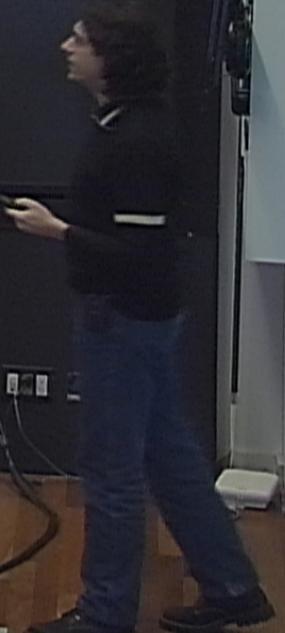
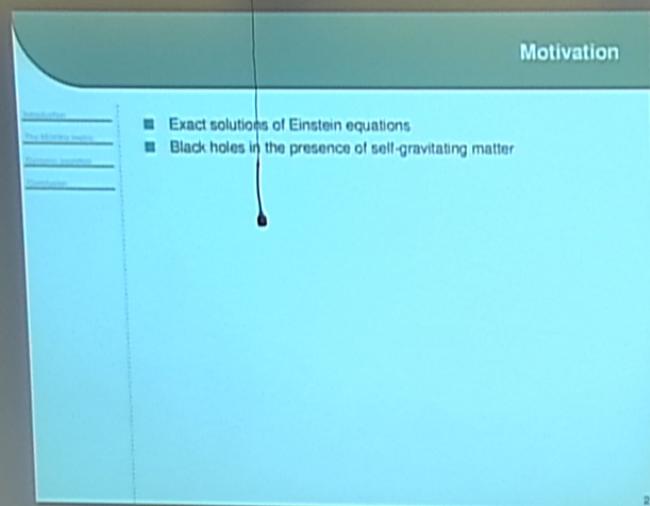


PI Cosmo Seminar

## Motivation

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- Exact solutions of Einstein equations
- Black holes in the presence of self-gravitating matter



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- Black holes in the presence of self-gravitating matter
- Two competing effects:
  - Gravitationally bound objects
  - Expanding universe

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- Exact solutions of Einstein equations
- Black holes in the presence of self-gravitating matter
- Two competing effects:
  - Gravitationally bound objects
  - Expanding universe
- Coupling between local effects and cosmological evolution
  - Causal structure
  - Accretion through Einstein equations
  - Generalizations with other types of matter

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- Exact solutions of Einstein equations
- Black holes in the presence of self-gravitating matter
- Two competing effects:
  - Gravitationally bound objects
  - Expanding universe
- Coupling between local effects and cosmological evolution
  - Causal structure
  - Accretion through Einstein equations
  - Generalizations with other types of matter
- Field theory:
  - Consistency analysis
  - Evolution and interaction from equations of motion

## Introduction

- Classical (Bondi) accretion
- Mass variation from the energy-momentum tensor
- Accretion of a test fluid

## The McVittie metric

### Dynamic accretion

### Conclusion

## **Introduction**

- Classical (Bondi) accretion
- Mass variation from the energy-momentum tensor
- Accretion of a test fluid

## **The McVittie metric**

- Properties
- Penrose diagrams
- Dependence with cosmological history
- Scalar fields

## **Dynamic accretion**

- Fluid accretion
- Accretion from higher-order actions
- Equations of motion

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# Classical (Bondi) accretion

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## ■ Spherically symmetric models

# Classical (Bondi) accretion

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- Spherically symmetric models
- Homogeneous distribution of particles at spatial infinity

# Classical (Bondi) accretion

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- Spherically symmetric models
- Homogeneous distribution of particles at spatial infinity
- Capture cross-section depends on the last circular orbit ( $r_{\text{eff}} = 2r_G$ )

# Classical (Bondi) accretion

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- Spherically symmetric models
- Homogeneous distribution of particles at spatial infinity
- Capture cross-section depends on the last circular orbit ( $r_{\text{eff}} = 2r_G$ )
  - Non-relativistic particles
  - Ultra-relativistic particles (radiation)

$$\sigma_M = 4\pi \left( \frac{r_G}{v_\infty} \right)^2 \quad (1)$$

$$\sigma_R = \frac{27}{4}\pi r_G^2 = 27\pi m^2 \quad (2)$$

# Mass variation from the energy-momentum tensor

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## ■ 4-momentum in a box of volume $V$

$$p^\mu = \int_V T^{\mu\nu} d\Sigma_\nu = VT^{\mu\nu} u_\nu$$

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- 4-momentum in a box of volume  $V$

$$p^\mu = \int_V T^{\mu\nu} d\Sigma_\nu = V T^{\mu\nu} u_\nu$$

- 4-momentum transferred from the box surface  $\mathcal{A}$  during  $\Delta\tau$

$$\Delta p^\mu = \mathcal{A} \Delta\tau T^{\mu\nu} \sigma_\nu$$

- Energy variation through the horizon of a Schwarzschild black hole

[DCG, J. E. Horvath, 1111.0585]

$$\frac{dE_{\text{inside}}}{d\tau} = \frac{dm}{d\tau} = \frac{dE_{\text{outside}}}{d\tau} = \mathcal{A} T^{\mu\nu} u_\mu \sigma_\nu$$

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$$\boxed{\frac{dm}{dt} = \mathcal{A}T_0^{-1}}$$

# Energy-momentum flow across a 3-surface

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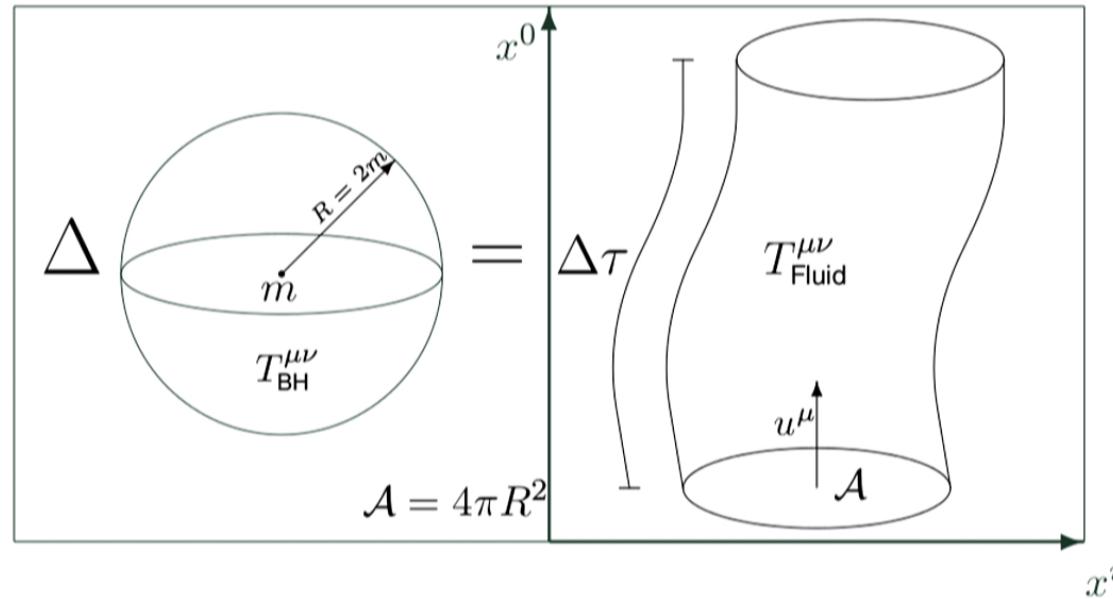
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## ■ Conservation of the energy-momentum tensor

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## ■ Accretion of dark energy and radiation with Hawking evaporation

[DCG, J. E. Horvath, P. S. Custódio, J. E. de Freitas Pacheco, 0711.3641]

$$\frac{dm}{dt} = -\frac{A(m)}{m^2} + m^2 [27\pi\rho_{\text{rad}}(T) + 16\pi(1+w)\rho_{\text{DE}}]$$

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## ■ Black-hole mass depends on densities at any given time

### □ Example: accretion in a $\Lambda$ CDM background

[J. A. S. Lima, S. H. Pereira, J. E. Horvath, DCG, 0808.0860]

$$m = \frac{m_i}{1 + m_i \sqrt{\frac{8\pi}{3G} A^2} \left\{ [\rho_\Lambda + \rho_c^i]^{1/2} - \left[ \rho_\Lambda + \rho_c^i \frac{\Omega_\Lambda}{\Omega_c} \frac{1}{\sinh^2(\frac{3}{2} H_0 \sqrt{\Omega \Lambda} t)} \right]^{1/2} \right\}}$$

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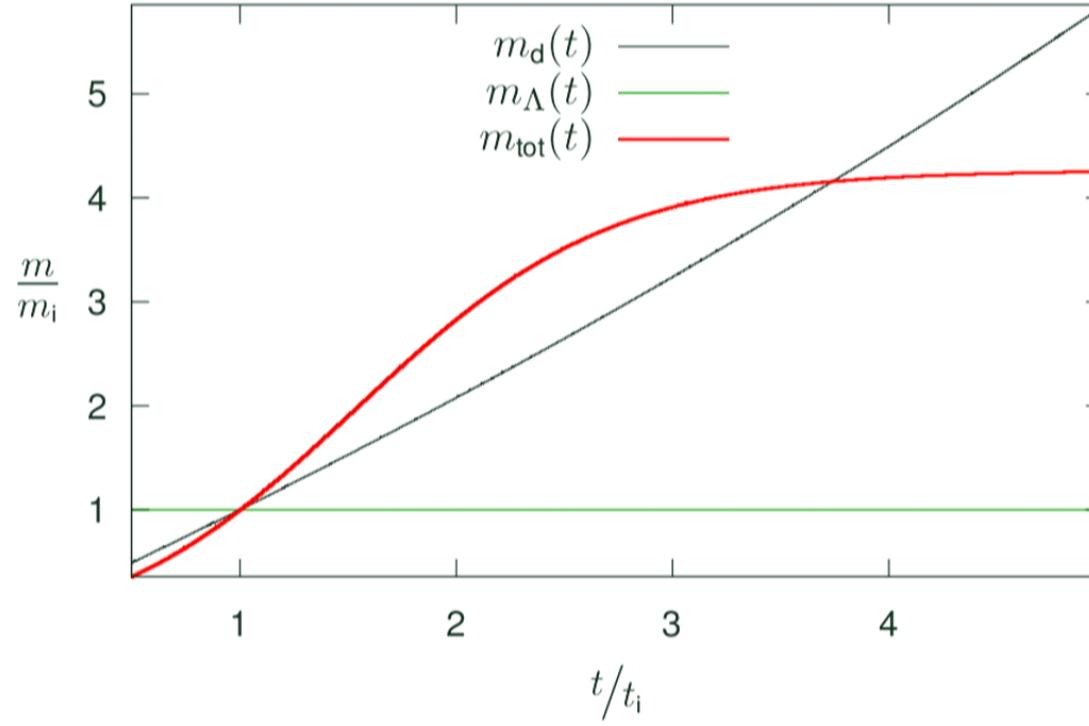
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# $\Lambda$ CDM accretion

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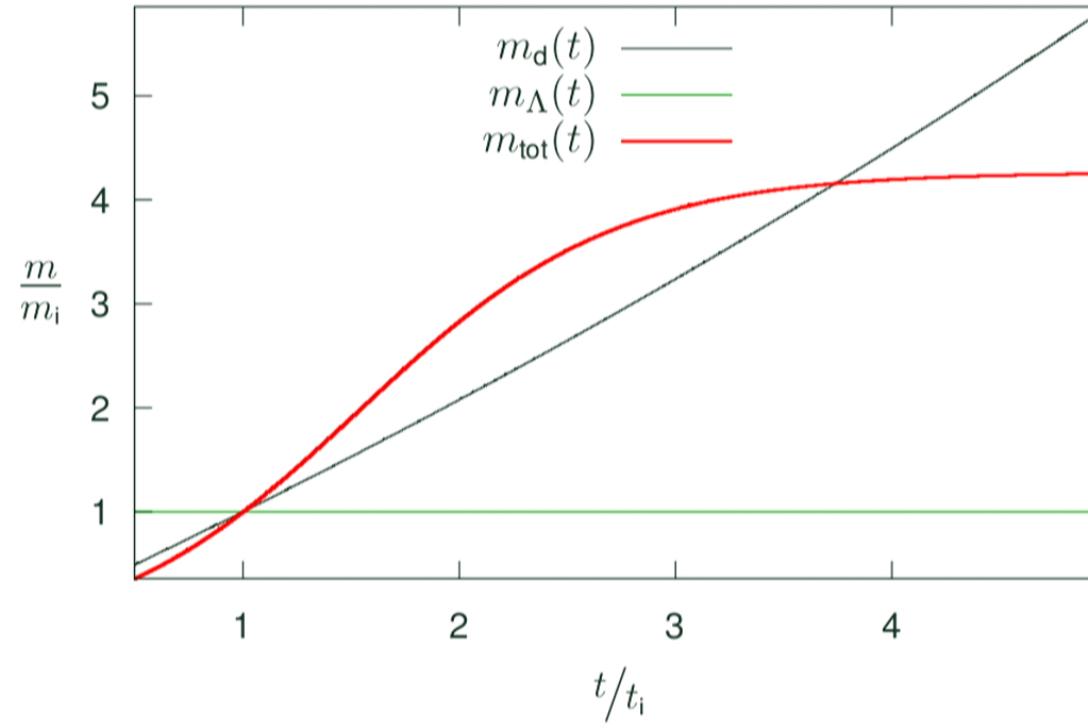
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- Bondi-like test fluid accretion is unrealistic

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- Bondi-like test fluid accretion is unrealistic
  - $m$  can diverge if  $\rho_{\text{DM}}$  is too high
  - Asymptotically Bondi-Hoyle accretion is inefficient
  - Does not reproduce observed black hole masses with realistic initial conditions
- Baryon physics at small scales is more important
  - [M. A. M. Armijo, J. A. de Freitas Pacheco, 1008.4150]
  - Energy loss due to dispersion makes accretion more efficient

# The McVittie metric

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## ■ Cosmological black holes: McVittie solution [McVittie, MNRAS 93,325 (1933)]

$$ds^2 = -\frac{\left(1 - \frac{m}{2a(t)\hat{r}}\right)^2}{\left(1 + \frac{m}{2a(t)\hat{r}}\right)^2} dt^2 + a^2(t) \left(1 + \frac{m}{2a(t)\hat{r}}\right)^4 (d\hat{r}^2 + \hat{r}^2 d\Omega^2)$$

- $a(t)$  constant: Schwarzschild metric
- $m = 0$ : FLRW metric

## Metric features

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- Past spacelike singularity at  $a = \frac{m}{2\hat{r}}$
- Event horizons only defined if  $H \equiv \frac{\dot{a}}{a}$  constant as  $t \rightarrow \infty$

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- Past spacelike singularity at  $a = \frac{m}{2\hat{r}}$
- Event horizons only defined if  $H \equiv \frac{\dot{a}}{a}$  constant as  $t \rightarrow \infty$
- Fluid has homogeneous density

$$\rho(t) = \frac{3}{8\pi} H^2$$

- Expansion is homogeneous (Hubble flow) and shear-free
- Mean extrinsic curvature is constant on comoving foliation

$$K^\alpha{}_\alpha = 3H$$

- Pressure is inhomogeneous

$$p(\hat{r}, t) = \frac{1}{8\pi} \left[ -3H^2 + 2\dot{H} \left( \frac{m + 2a\hat{r}}{m - 2a\hat{r}} \right) \right]$$

## Areal radius coordinates

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- Causal structure is more easily seen on non-comoving coordinates
- Areal radius

$$r = a \left(1 + \frac{m}{2\hat{r}}\right)^2 \hat{r}$$

## Areal radius coordinates

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- Causal structure is more easily seen on non-comoving coordinates
- Areal radius

$$r = a \left(1 + \frac{m}{2\hat{r}}\right)^2 \hat{r}$$

□ Two branches:  $\begin{cases} 0 < \hat{r} < \frac{a}{2m} & (\text{not used}) \\ \frac{a}{2m} < \hat{r} < \infty \implies 2m < r < \infty \end{cases}$

- McVittie in new (canonical) coordinates

[N. Kaloper, M. Kleban, D. Martin, 1003.4777]

$$ds^2 = -R^2 dt^2 + \left[ \frac{dr}{R} - H r dt \right]^2 + r^2 d\Omega^2$$

where  $(R = \sqrt{1 - \frac{2m}{r}})$

# Apparent horizons

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- Apparent horizons: zero expansion of null radial geodesics

$$\left( \frac{dr}{dt} \right)_{\pm} = R(rH \pm R) = 0$$

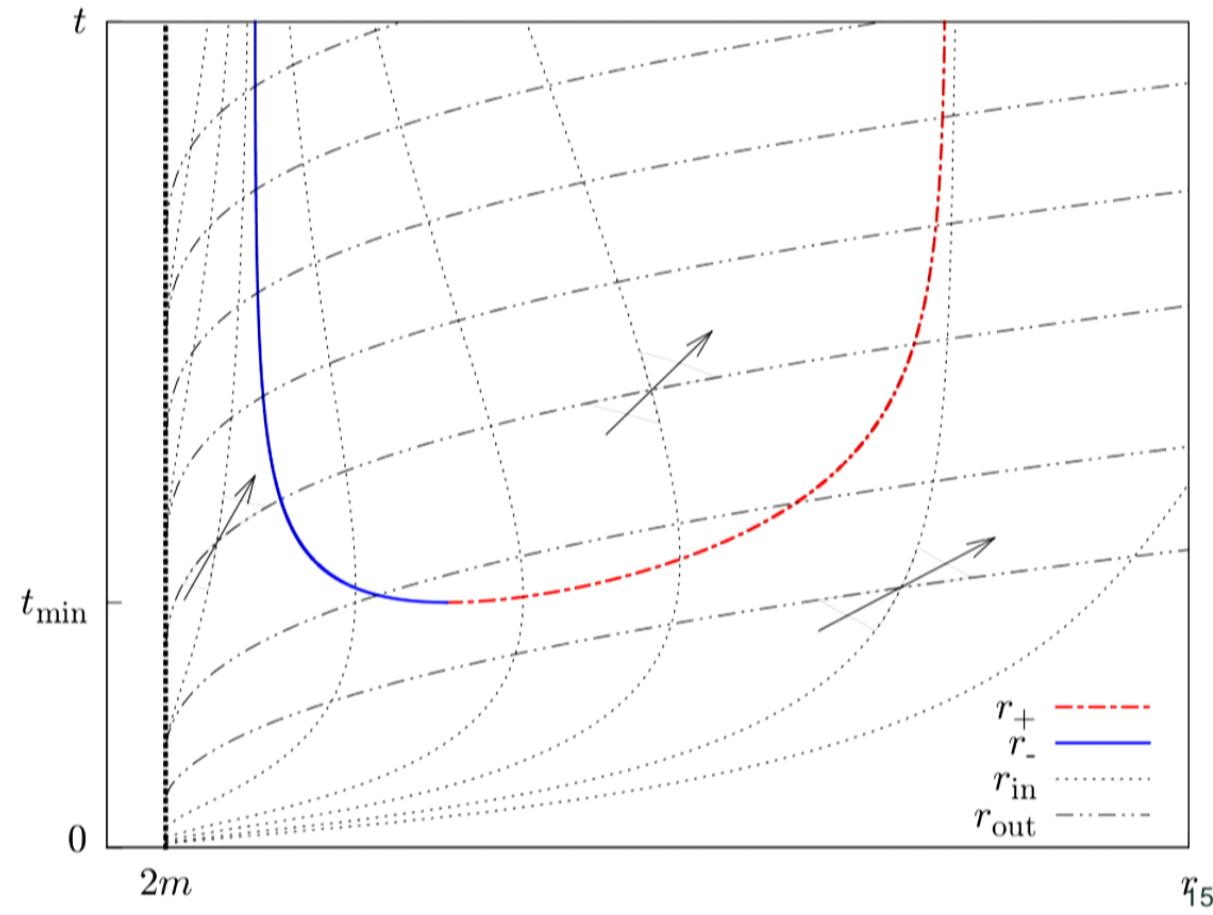
- Only ingoing geodesics have a solution

$$1 - \frac{2m}{r} - Hr^2 = 0$$

- Real positive solutions only exist if  $\frac{1}{3\sqrt{3}m} > H > 0$ 
  - $r_+$  Outer (cosmological) horizon
  - $r_-$  Inner horizon
- If  $H(t) \rightarrow H_0$  for  $t \rightarrow \infty$  apparent horizons become Schwarzschild-de Sitter event horizons ( $r_- \rightarrow r_\infty$ )

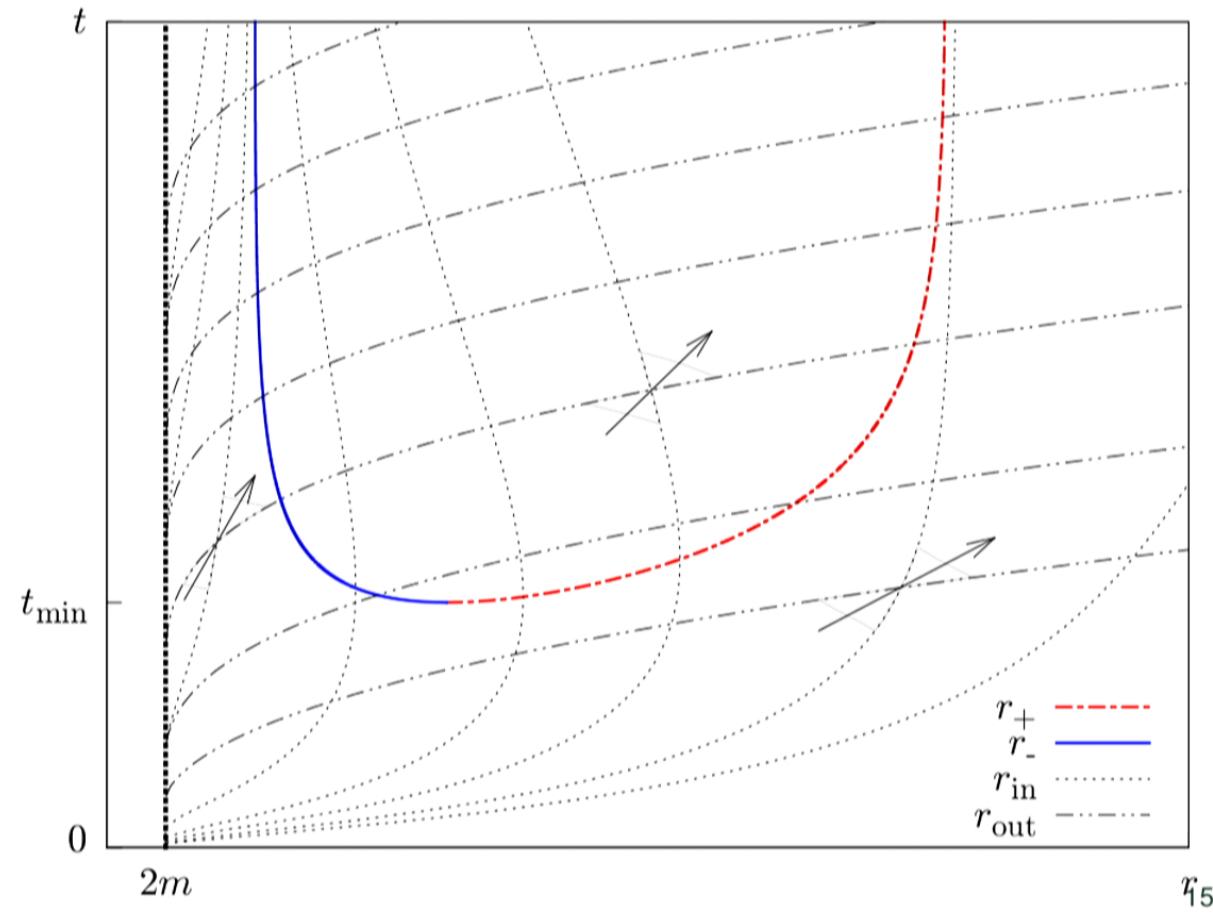
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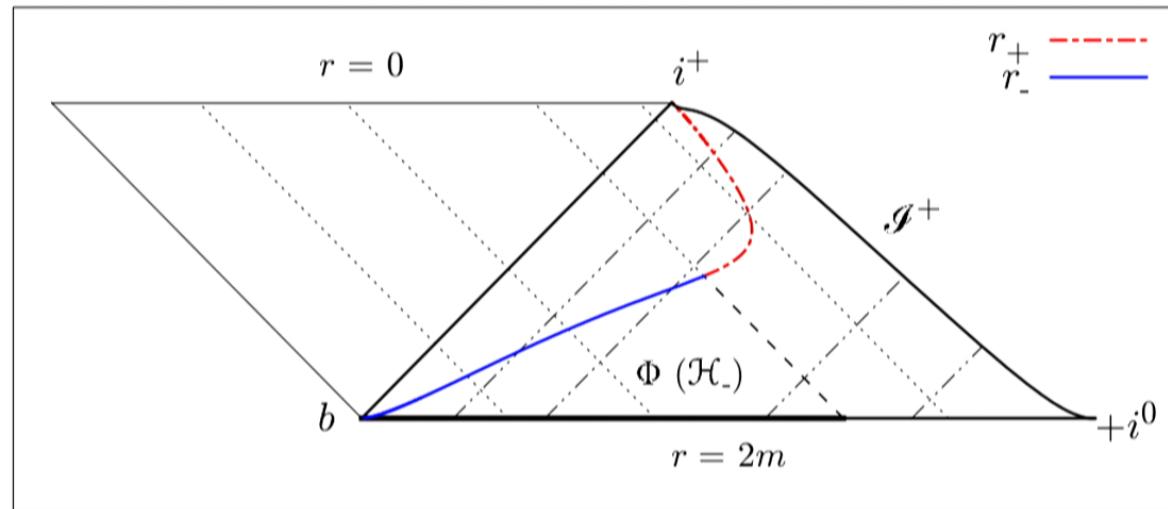
- Inner horizon is an *anti-trapping* surface for finite coordinate times
- Singular surface  $r_* = 2m$  lies in the past of all events (McVittie big bang)

$$\frac{d}{dt} (r - r_*) = RrH + \mathcal{O}(R^2) > 0$$

# Penrose diagrams

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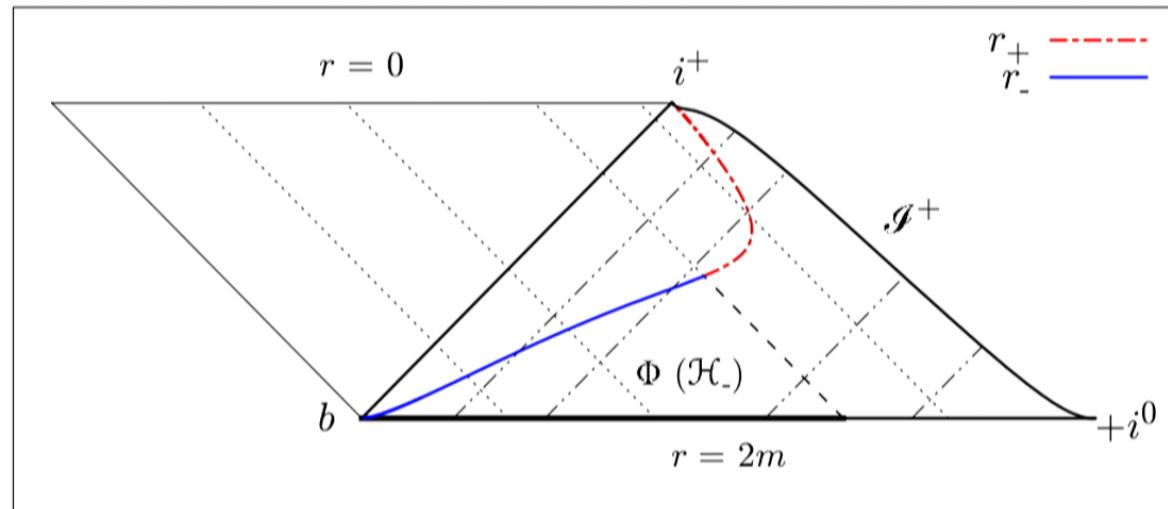
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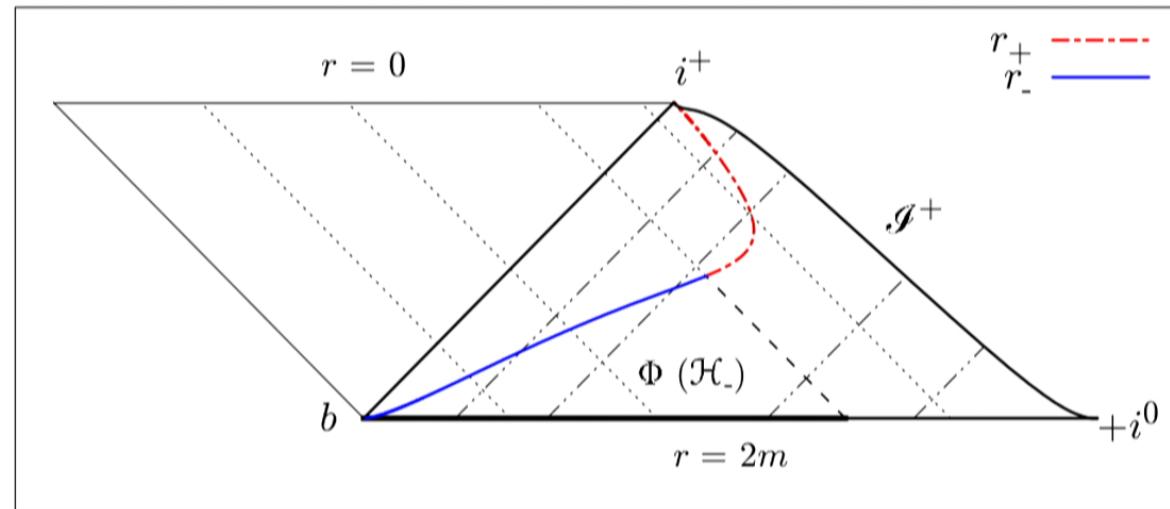
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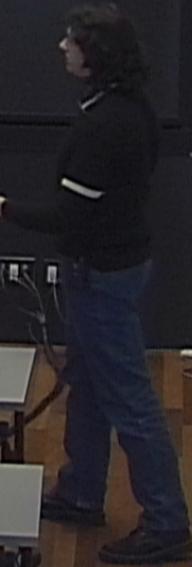
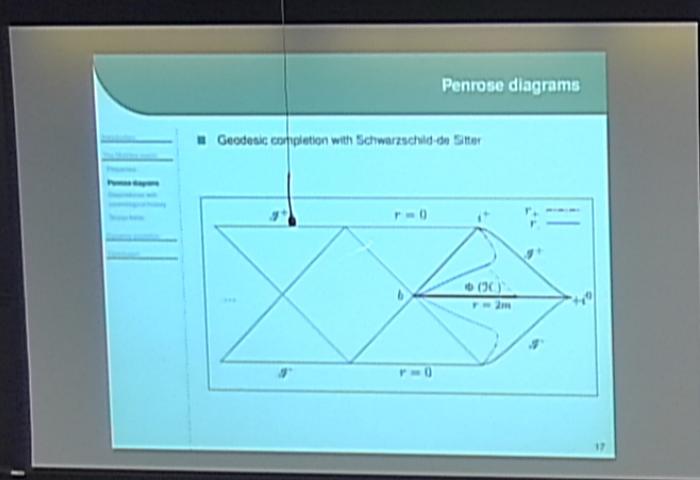
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- Real positive solutions only exist if  $\frac{1}{3\sqrt{3}m} > H > 0$ 
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  - $r_-$  Inner horizon
- If  $H(t) \rightarrow H_0$  for  $t \rightarrow \infty$  apparent horizons become Schwarzschild-de Sitter event horizons ( $r_- \rightarrow r_\infty$ )



## Convergence to $H_0$

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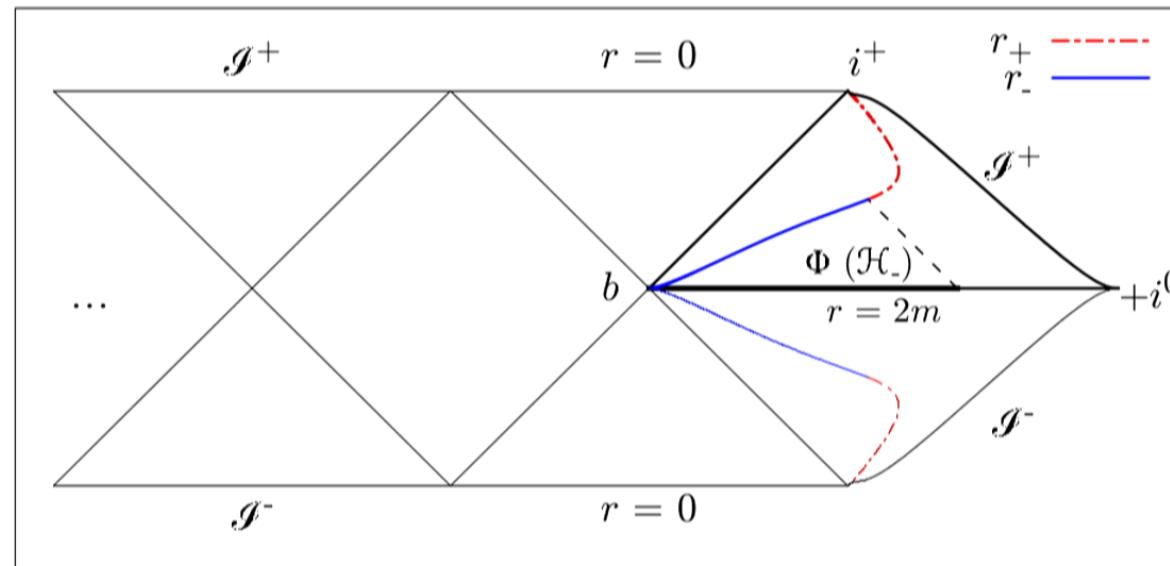
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- Causal structure depends on cosmological history
- Horizon behavior at  $t \rightarrow \infty$  depends on the set  $\Phi(\mathcal{H}_-)$

# Penrose diagrams

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## ■ Geodesic completion with Schwarzschild-de Sitter



## Convergence to $H_0$

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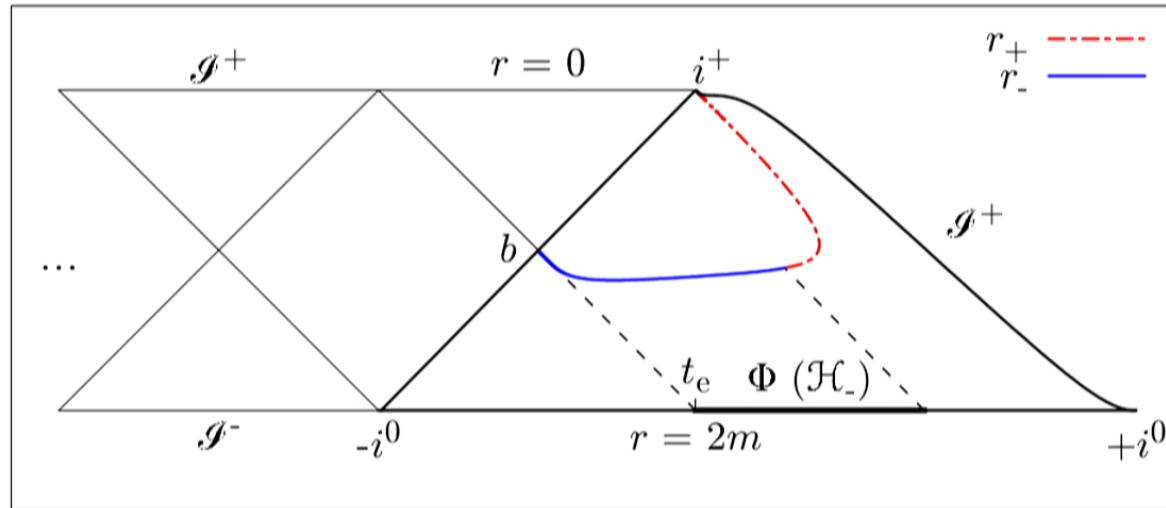
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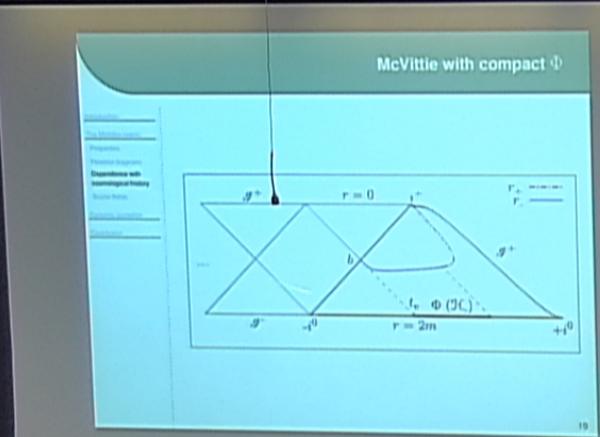
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- Causal structure depends on cosmological history
- Horizon behavior at  $t \rightarrow \infty$  depends on the set  $\Phi(\mathcal{H}_-)$ 
  - $\Phi$  non-compact
    - All causal curves departing  $r_*$  cross  $r_-$  before  $t \rightarrow \infty$
    - Spacetime connects to the inner region of Schwarzschild-de Sitter

# McVittie with compact $\Phi$

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# Cosmological history

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- We can determine the fate of null geodesics via the intermediate value theorem  
[A. M. da Silva, M. Fontanini, DCG, 1212.0155]
- Find known curves that bind the image of ingoing geodesics from above and below

# Cosmological history

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- We can determine the fate of null geodesics via the intermediate value theorem  
[A. M. da Silva, M. Fontanini, DCG, 1212.0155]
- Find known curves that bind the image of ingoing geodesics from above and below
- Bounding functions

$$F_+(t_i, t) = \int_{t_i}^t e^{(B-\delta)u} e^{-A \int_{t_i}^u \Delta H(s) ds} \Delta H(u) du$$
$$F_-(t_i, t) = \int_{t_i}^t e^{(B+\bar{\delta})u} e^{-A \int_{t_i}^u \Delta H(s) ds} \Delta H(u) du$$

$(A = R(r_\infty) + r_\infty R'(r_\infty), B = R(r_\infty)(R'(r_\infty) - H_0), \Delta H = H - H_0, t_i > 0)$

- If  $F_+$  diverges for some  $\delta > 0$ , then  $\Phi(\mathcal{H}_-)$  is unbounded
- If  $F_-$  converges for some  $\delta > 0$ , then  $\Phi(\mathcal{H}_-)$  is bounded

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Causal structure of McVittie depends on how fast  $H \rightarrow H_0$ .

## Example: $\Lambda$ CDM

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- Convergence depends on the sign of  $\eta$

$$\eta \equiv \frac{B}{3H_0} - 1 = \frac{R(r_\infty)}{3} \left[ \frac{R'(r_\infty)}{H_0} - 1 \right] - 1$$

- Inner horizon on the limit  $H \rightarrow H_0$

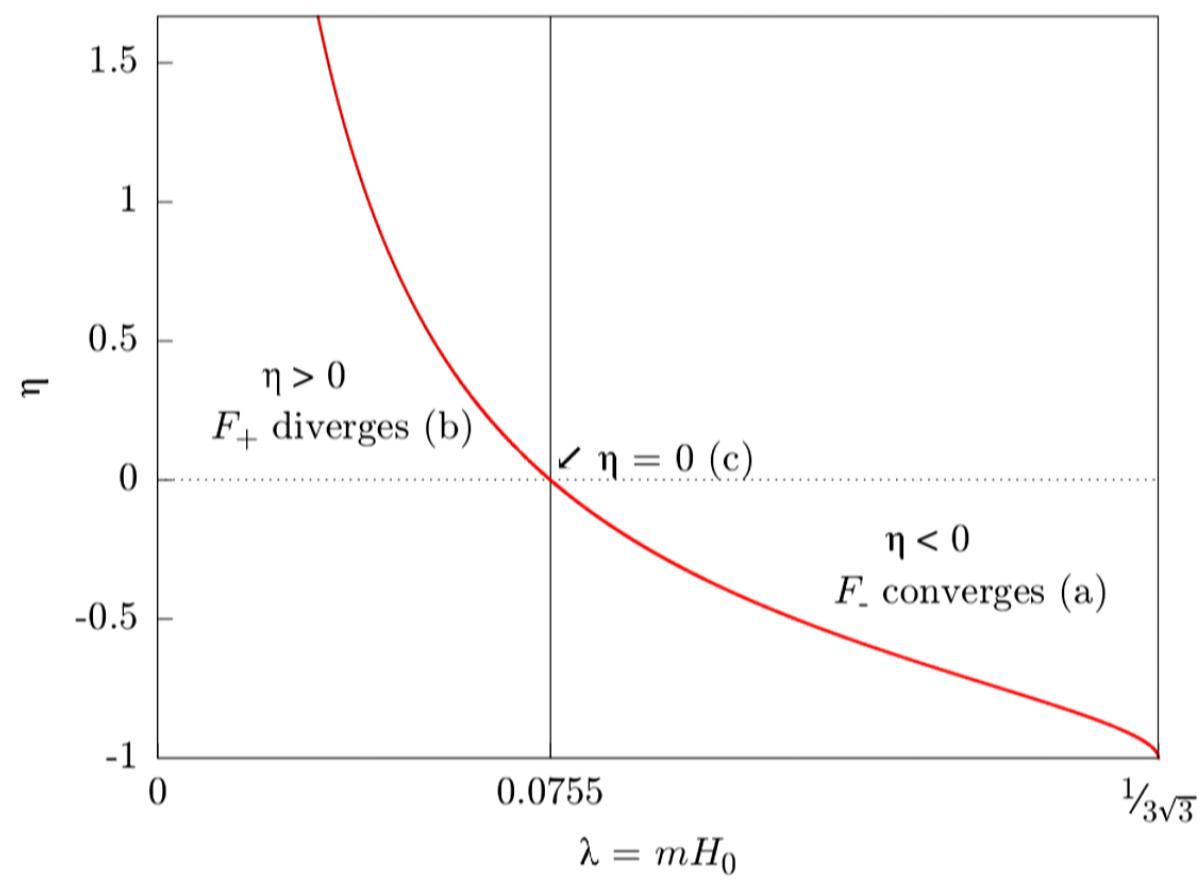
$$r_\infty = \frac{2}{H_0\sqrt{3}} \cos \left[ \frac{\pi}{3} + \frac{1}{3} \arccos \left( 3\sqrt{3}mH_0 \right) \right]$$

- $\eta$  depends only on the product  $mH_0 \equiv \lambda$
- Non-extreme Schwarzschild-de Sitter at infinity

$$0 < \lambda < \frac{1}{3\sqrt{3}}$$

## Example: $\Lambda$ CDM

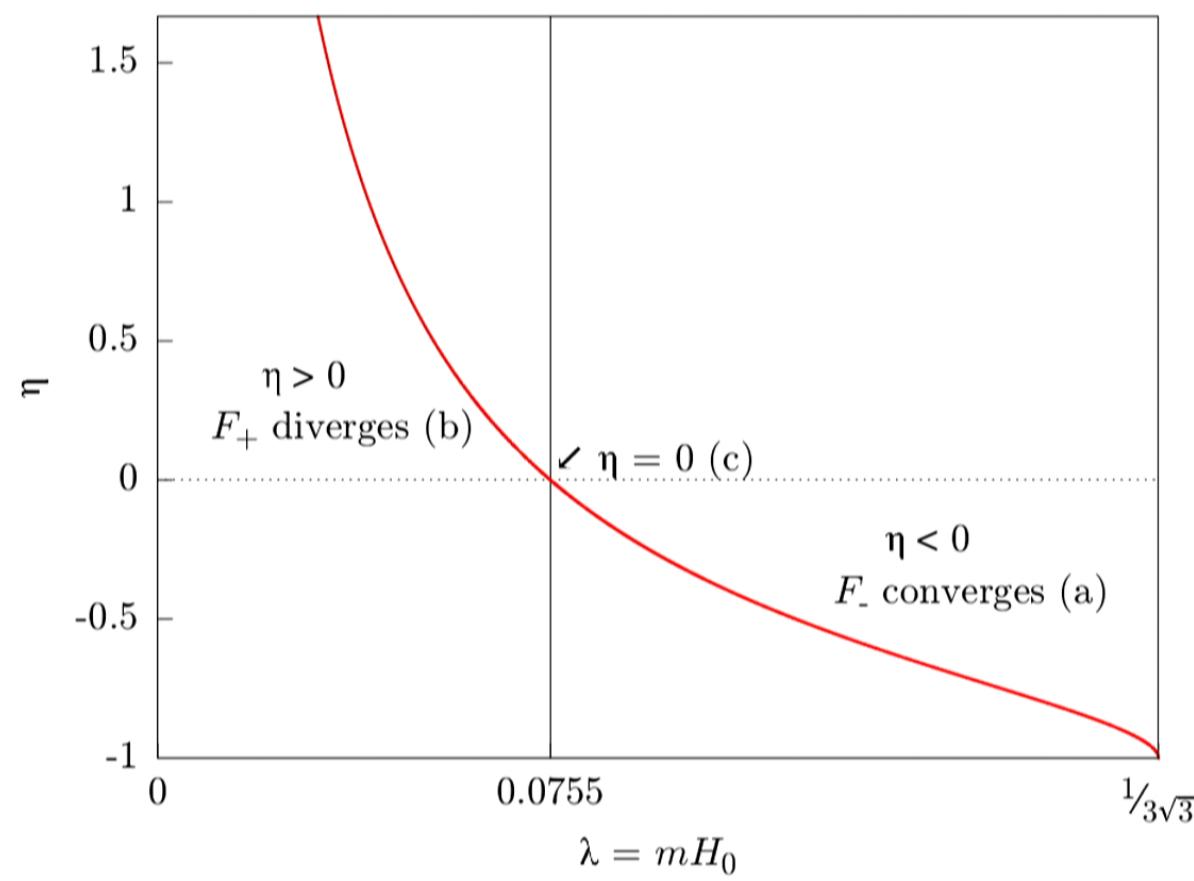
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# Scalar fields

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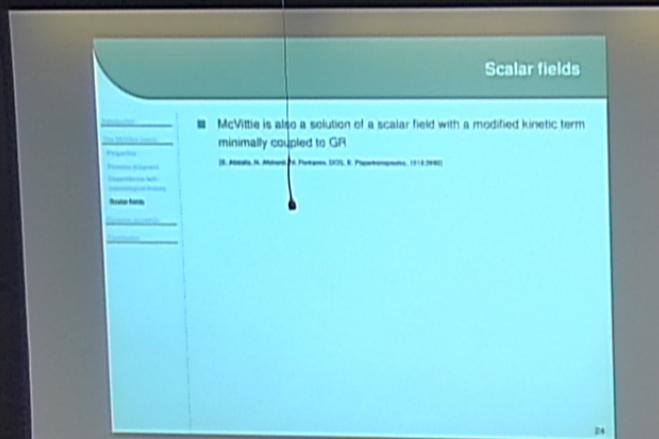
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- McVittie is also a solution of a scalar field with a modified kinetic term minimally coupled to GR

[E. Abdalla, N. Afshordi, M. Fontanini, DCG, E. Papantonopoulos, 1312.3682]



# Scalar fields

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[E. Abdalla, N. Afshordi, M. Fontanini, DCG, E. Papantonopoulos, 1312.3682]

- *Cuscuton field*

$$S_\phi = \int d^4x \sqrt{-g} \left[ \mu^2 \sqrt{-g^{\alpha\beta} \phi_{;\alpha} \phi_{;\beta}} - V(\phi) \right]$$

- Field has constant  $K^\alpha_\alpha$  on homogeneous surfaces

$$K^\alpha_\alpha = \frac{1}{\mu^2} \frac{dV}{d\phi} = 3H(t)$$

- Einstein equations and equations of motion give consistent results

$$V(\phi) = -6\pi\mu^4 (\phi + C)^2 = \frac{3}{8\pi} H^2$$

- Uniform expansion, shear-free solutions are *unique* to this type of field

# Accretion of multiple fluids

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- Two non-interacting perfect fluids

$$T_{\mu}^{\nu} = (\rho_1 + p_1)u_{\mu}u^{\nu} + p_1\delta_{\mu}^{\nu} + \rho_2v_{\mu}v^{\nu}$$

- Spatial Ricci-isotropy only allows *phantom* equation of state

$$(\rho_1 + p_1)(u^r)^2 + \rho_2(v^r)^2 = 0$$

- Violation of the weak energy condition

# Accretion of general fluids

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- McVittie class is too restrictive to the accretion of perfect fluids
- Imperfect fluid (heat conductivity  $\chi$ , bulk viscosity  $\zeta$ , shear viscosity  $\eta$ )
  - McVittie class is shear-free

# Accretion of general fluids

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- Imperfect fluid (heat conductivity  $\chi$ , bulk viscosity  $\zeta$ , shear viscosity  $\eta$ )

- McVittie class is shear-free
  - Bulk viscosity reabsorbed into pressure

$$T^r_r = T^\theta_\theta = T^\phi_\phi = p - 3\zeta \left( H + \frac{2\dot{m}}{2ar - m} \right)$$

- Landau-Eckart hydrodynamical model
  - Fluid temperature has an extra term

$$T\sqrt{-g_{tt}} = T_\infty(t) + \frac{\dot{m}}{4\pi\chi m} \ln(\sqrt{-g_{tt}})$$

# Accretion through heat flow

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- An imperfect fluid gives an exact solution to Einstein equations
  - Two arbitrary functions:  $a(t)$  and  $m(t)$ .

**Accretion through heat flow**

- An imperfect fluid gives an exact solution to Einstein equations
  - Two arbitrary functions,  $a(t)$  and  $m(t)$
  - Expansion scalar and fluid density are related via  $(t, t)$  component of field equations

$$\rho(r,t) = \frac{3}{8\pi} \left[ H + \frac{2m}{2ar - m} \right]^2$$
$$= \frac{1}{8\pi} \frac{\Theta^2}{3}$$

- Energy flow through heat transfer; temperature gradient gives  $\dot{m}$

$$T = \frac{1}{\sqrt{-g_{tt}}} \left[ T_\infty(t) + \frac{m}{4\pi\chi m} \ln(\sqrt{-g_{tt}}) \right]$$


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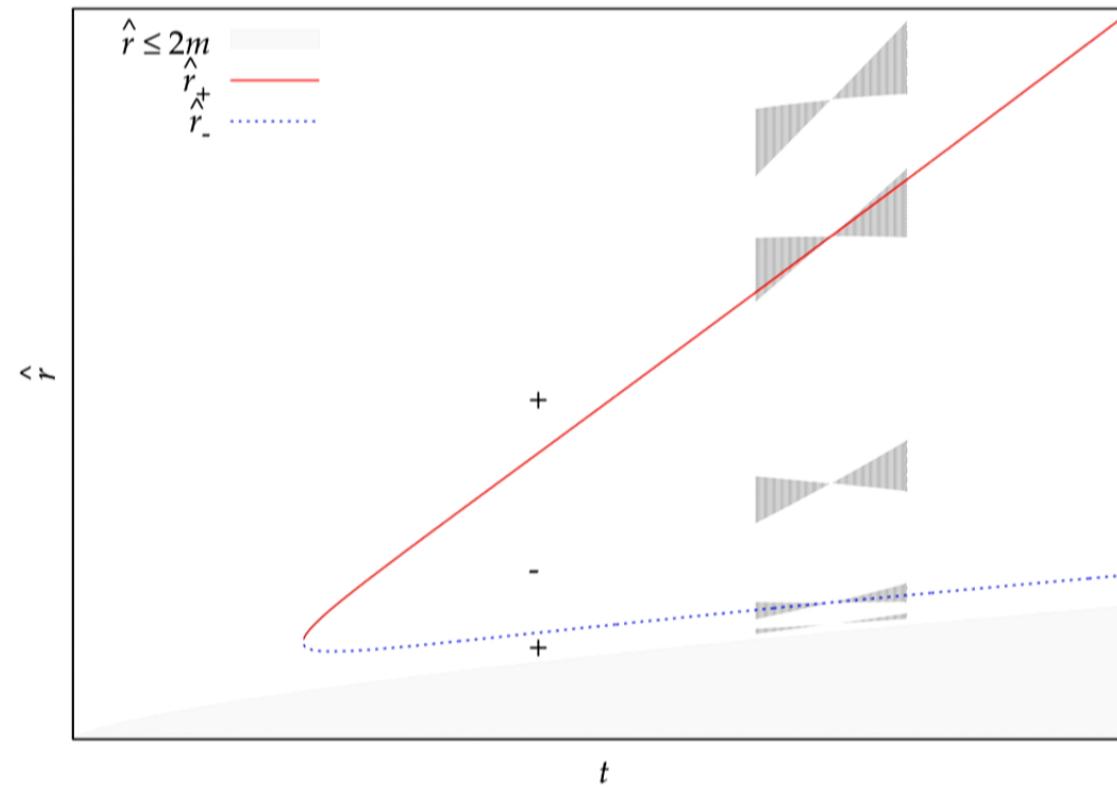
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# Light cones and apparent horizons

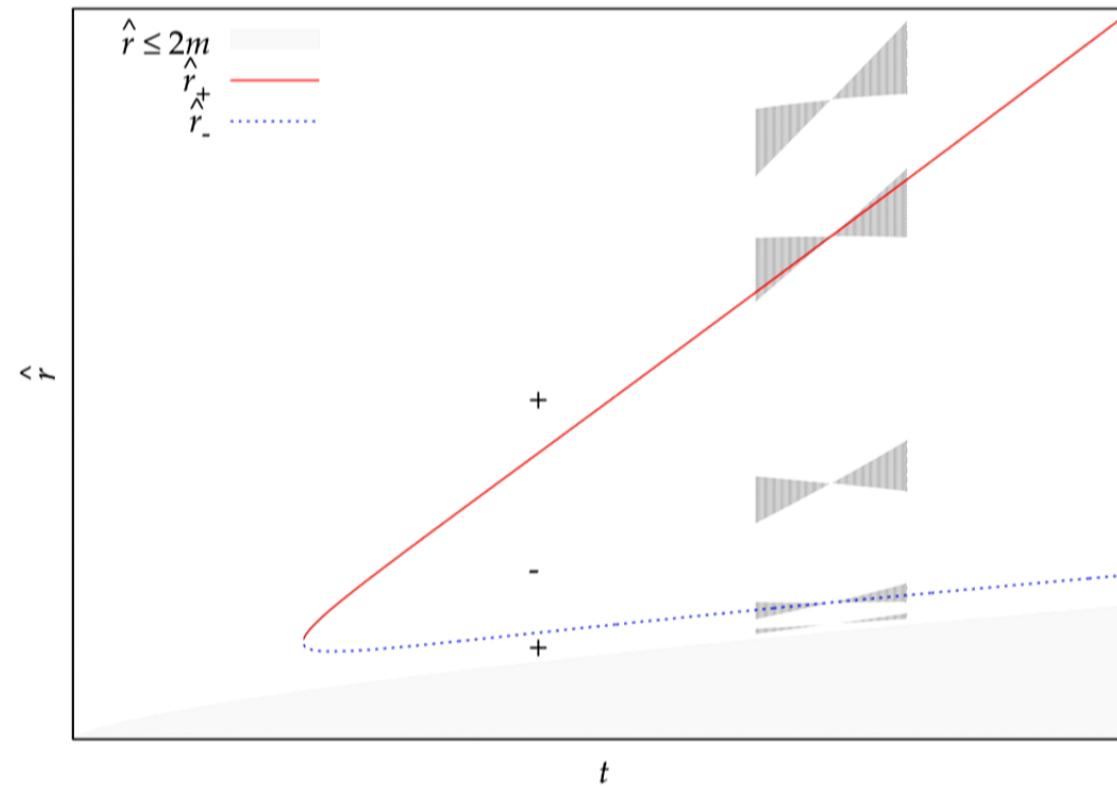
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## An example

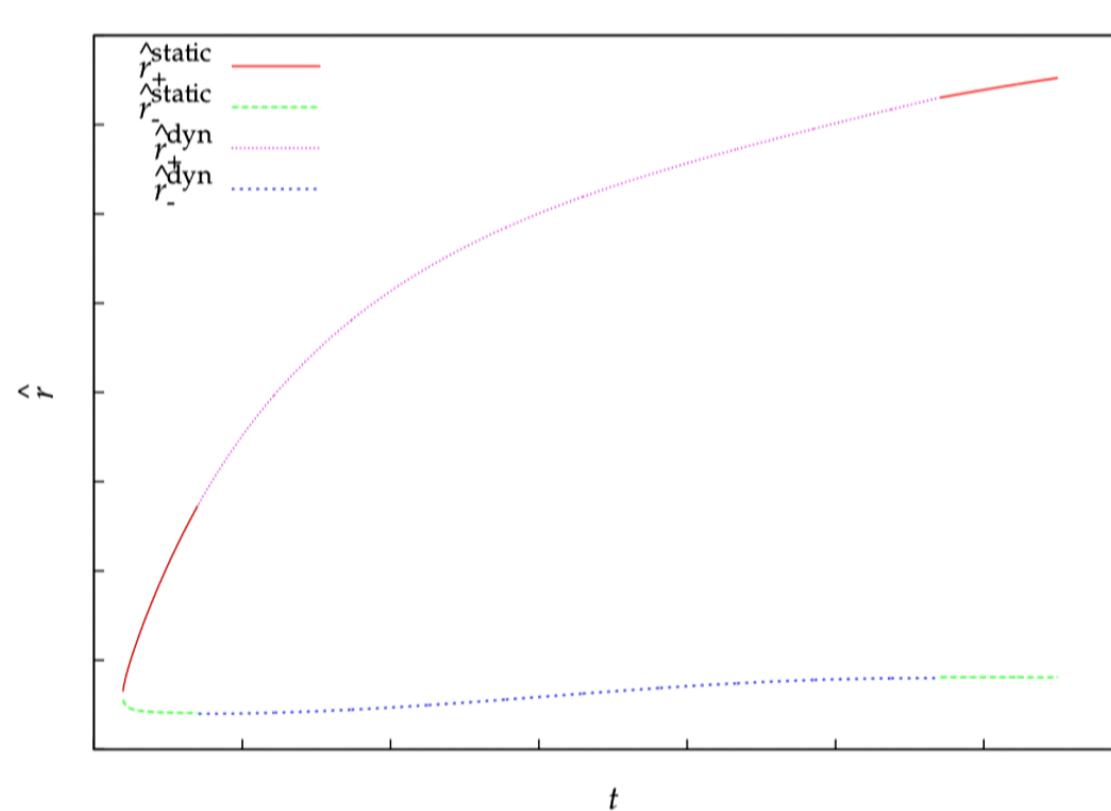
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- Toy model for the mass: accretion during a finite interval

$$m(t) = \begin{cases} 1 & t \leq t_0 \\ \frac{1}{2} [3 + \sin(\omega t + \phi)] & t_0 < t < t_1 \\ 2 & t \geq t_1 \end{cases}$$

# Null geodesics

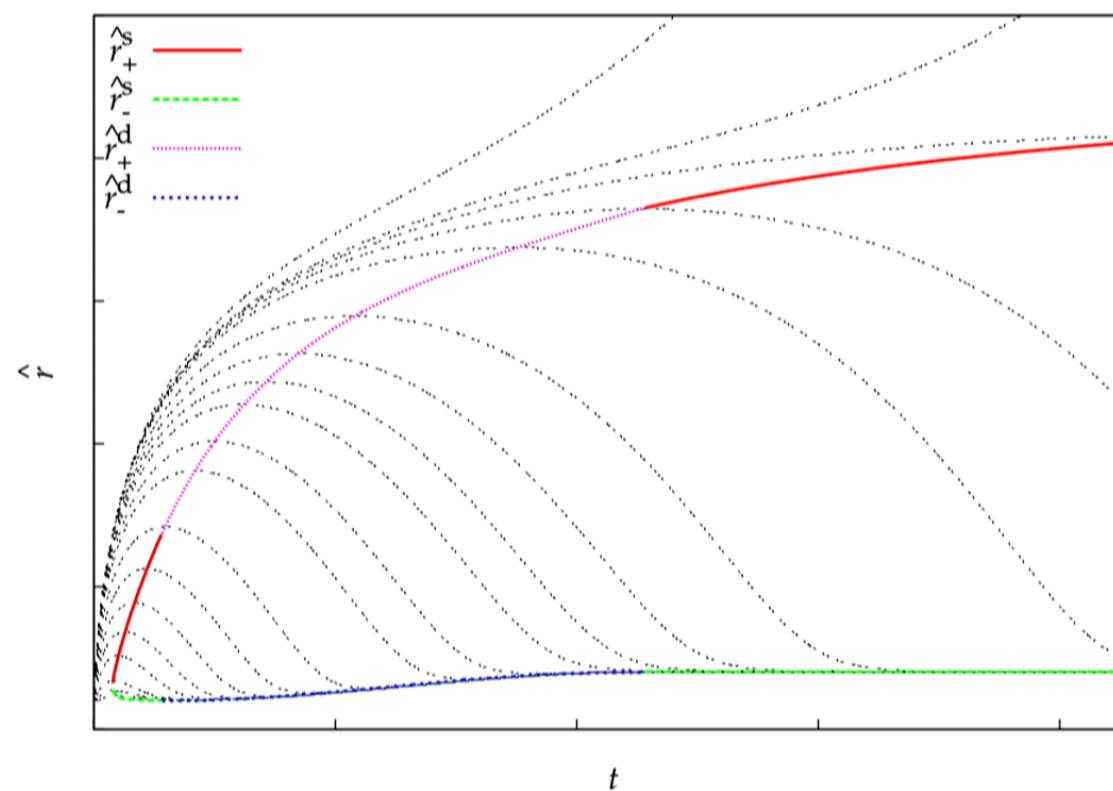
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## Horndeski action

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- Can we have a field as source for generalized McVittie?
  - Additional terms in the action must look like heat flow
  - Most general scalar action: Horndeski
- First term added to the  $k$ -essence action: *kinetic gravity braiding*

[C. Deffayet, O. Pujolàs, I. Sawicki, A. Vikman, 1008.0048]

$$S_\varphi = \int d^4x \sqrt{-g} [K(X, \varphi) + G(X, \varphi) \square \varphi]$$

with  $\square \varphi = g^{\alpha\beta} \varphi_{;\alpha\beta}$

- Up to total derivatives, the Lagrangian may be rewritten as

$$\begin{aligned}\mathcal{L} &= K + G \square \varphi \\ &= K - G_{;\alpha} \varphi^{;\alpha} \\ &= K + 2XG_{,\varphi} - G_{,X} \varphi^{;\alpha} X_{;\alpha}\end{aligned}$$

## Full Horndeski action

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$$S = \int d^4x \sqrt{-g} \left( \frac{1}{2}R + \sum_{n=0}^3 \mathcal{L}^{(n)} \right)$$

where

$$\mathcal{L}^{(0)} = K(X, \varphi)$$

$$\mathcal{L}^{(1)} = G(X, \varphi) \square \varphi$$

$$\mathcal{L}^{(2)} = G^{(2)}(X, \varphi)_{,X} \left[ (\square \varphi)^2 - \varphi_{;\alpha\beta} \varphi^{;\alpha\beta} \right] + R G^{(2)}(X, \varphi)$$

$$\begin{aligned} \mathcal{L}^{(3)} = & G^{(3)}(X, \varphi)_{,X} \left[ (\square \varphi)^3 - 3 \square \varphi \varphi_{;\alpha\beta} \varphi^{;\alpha\beta} + 2 \varphi_{;\alpha\beta} \varphi^{;\alpha\rho} \varphi_{;\rho}^{\beta} \right] \\ & - 6 G_{\mu\nu} \varphi^{;\mu\nu} G^{(3)}(X, \varphi) \end{aligned}$$

- $\mathcal{L}^{(2)}$  and  $\mathcal{L}^{(3)}$  components of  $T_{\mu\nu}$  have non-vanishing anisotropic stress

# Energy-momentum tensor

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## ■ Energy-momentum tensor of the KGB term

$$T_{\mu\nu} = (K - G_{;\alpha}\varphi^{;\alpha}) g_{\mu\nu} + (K_{,X} + \square\varphi G_{,X}) \varphi_{;\mu}\varphi_{;\nu} + 2G_{(\mu}\varphi_{;\nu)}$$

## ■ Equivalent fluid four-velocity $u^\mu$

$$u^\mu = \frac{\varphi^{;\mu}}{\sqrt{2X}}$$

## ■ Energy-momentum tensor of the equivalent fluid

$$\rho \equiv 2X(K_{,X} + \square\varphi G_{,X}) - \sqrt{2X}\varphi_{;\alpha}G^{;\alpha}$$

$$p \equiv K - G_{;\alpha}\varphi^{;\alpha}$$

$$q^\mu \equiv \sqrt{2X}h^\mu{}_\alpha G^{;\alpha}$$

# Einstein equations

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## ■ *tr* and *tt* Einstein equations

$$-\frac{\dot{m}}{m\dot{\varphi}} = 8\pi X G_{,X}$$

$$-\frac{1}{3}\Theta^2 = 8\pi \left\{ K - 2X \left[ G_{,\varphi} + K_{,X} + 3\sqrt{2X}G_{,X}\Theta \right] \right\}$$

## ■ solution of the *tr* equation

$$G(X, \varphi) = g_0(\varphi) \ln X + g_1(\varphi)$$

with

$$g_0(\varphi) = -\frac{1}{8\pi} \frac{\dot{m}}{m\dot{\varphi}}$$

# Einstein equations

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## ■ Solution of $tt$ equation

$$K = f_1(\varphi) + f_2(\varphi)\sqrt{X} + 2X [(2 - \ln X)g'_0 - g'_1 - 24\pi g_0^2]$$

## ■ Plugging the solution into $rr$ Einstein equation and solving for $f_1$ and $f_2$

$$f_1 = -\frac{3}{8\pi} (H - M)^2$$

$$f_2 = \frac{\sqrt{2}}{4\pi\dot{\varphi}} [H - M + 3M(\dot{H} - \dot{M})]$$

$$\left( \frac{\dot{m}}{m} \equiv M \quad \text{and} \quad \frac{\dot{a}}{a} \equiv H \right)$$

## Equation of motion

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### ■ Consistency check: equation of motion of the scalar

$$K_{,\varphi} + G_{,\varphi}\square\varphi + [(K_{,X} + G_{,X}\square\varphi)\varphi^{;\mu} + G^{;\mu}]_{;\mu} = 0$$

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$$G = g_0(\varphi) \ln X + g_1(\varphi)$$

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the equation of motion is identically satisfied

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Reduces to McVittie/Cuscuton when  $G = 0$  ( $\dot{m} = 0$ )

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## Action coefficients

- Functions  $g_0$ ,  $f_1$  and  $f_2$  can be written in terms of  $\varphi$

$$g_0 = -\frac{1}{8\pi} \frac{d(\ln m)}{d\varphi} \Rightarrow m(\varphi) = e^{-8\pi \int g_0 d\varphi}$$

$$f_2 = -\frac{1}{\sqrt{3\pi}} \left( 8\pi g_0 + \frac{f'_1}{\sqrt{-f_1}} \right)$$

$$H = \sqrt{\frac{-8\pi f_1}{3}} - 8\pi g_0 \dot{\varphi}$$

- Two functions necessary, plus  $\varphi(t)$

## Action coefficients

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<a href="#">Conclusion</a>

- Functions  $g_0$ ,  $f_1$  and  $f_2$  can be written in terms of  $\varphi$

$$g_0 = -\frac{1}{8\pi} \frac{d(\ln m)}{d\varphi} \Rightarrow m(\varphi) = e^{-8\pi \int g_0 d\varphi}$$

$$f_2 = -\frac{1}{\sqrt{3\pi}} \left( 8\pi g_0 + \frac{f'_1}{\sqrt{-f_1}} \right)$$

$$H = \sqrt{\frac{-8\pi f_1}{3}} - 8\pi g_0 \dot{\varphi}$$

- Two functions necessary, plus  $\varphi(t)$
- Compare with cuscuton case:  $K(X, \varphi) = A(\varphi) + B(\varphi)\sqrt{X}$

$$A(\varphi) = \frac{3}{8\pi} H^2 , \quad B(\varphi) = \text{constant}$$

- One function necessary, plus  $\varphi(t)$

## Next steps

[Introduction](#)  
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[Dynamic accretion](#)  
[Conclusion](#)

- Causal structure of the generalized McVittie metric
  - Apparent horizons
  - Cauchy horizons on the past singularity

## Next steps

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- Causal structure of the generalized McVittie metric
  - Apparent horizons
  - Cauchy horizons on the past singularity
- Thermodynamics: entropy; temperature; first and second laws
  - Reabsorb heat current into rest frame of the fluid accretion

