

Title: Black hole entropy and the case for self-dual loop quantum gravity

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Abstract: By focusing on aspects of black hole thermodynamics, I will present some evidences supporting the unexpected role of the complex self-dual variables in quantum gravity. This will also be the occasion of revisiting some aspects of three-dimensional gravity, and in particular the link between the BTZ black hole and the Turaev-Viro state sum model. Also the information on the website for next week needs to be modified: We will not have a seminar on Thursday (as Thursday is PI day). Instead, we will have the seminar on Wednesday, but actually would prefer a different time, namely 3.30 pm.

The case for self-dual loop quantum gravity

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Surprising interplay between various questions in LQG and spin foams:

- ★ Interpretation of the Barbero–Immirzi parameter γ : physically relevant parameter or regulator?
- ★ Lorentz symmetry and choice of gauge group.
- ★ Imposition of simplicity constraints and canonical Hamiltonian constraint.
- ★ Derivation of black hole entropy and fixation of $\gamma = \gamma_0$.

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Can we look at the self–dual theory ($\gamma = i$) from a new perspective, and really see γ as a regulator?

- ★ We will motivate a positive answer by looking at 3d gravity and black holes.

1. The BI ambiguity in 3d gravity

2. BTZ black hole entropy
 - ★ Basic facts
 - ★ The Turaev–Viro model
 - ★ BTZ black hole entropy

3. 4d black holes in LQG
 - ★ Chern–Simons description
 - ★ Analytic continuation to $\gamma = i$
 - ★ Radiation and temperature

4. Conclusion and outlook

Actions for $SL(2, \mathbb{C})$ connection ω

$$S[B, \omega] = \int_{\mathcal{M}_3} d^3x \varepsilon^{\mu\nu\rho} (\star + \gamma^{-1}) B_\mu^{IJ} F_{\nu\rho}^{IJ} + \phi^{\mu\nu} B_\mu^{IJ} B_\nu^{IJ} \quad (1)$$

$$S[e, n, \omega] = \int_{\mathcal{M}_3} d^3x \varepsilon^{\mu\nu\rho} (\star + \gamma^{-1}) n^I e_\mu^J F_{\nu\rho}^{IJ} \quad (2)$$

- ★ γ disappears on half shell (zero torsion).
- ★ Spin foam quantization of (1) shows disappearance of γ if all the Plebanski constraints are imposed, and leads to the Ponzano–Regge model (MG, Noui, CQG 1112.1965 | Alexandrov, CQG 1202.5039).
- ★ What about canonical quantization? (Ben Achour, MG, Noui, Yu, 1306.3241)

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Two gauge choices

- ★ $SU(1, 1)$ gauge: fix $n^I = (0, 0, 0, 1)$, then (2) becomes $SU(1, 1)$ BF theory, i.e. 3d Lorentzian gravity. Known quantization, evidently γ -independent.

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- ★ $SU(2)$ gauge: fix $n^0 = e_a^0 = 0$, then (2) leads to the canonical theory

$$\begin{aligned} \{A_a^i, E_j^b\} &= \gamma \delta_j^i \delta_a^b, & E_i^a &:= \varepsilon^{ab} (e_b \times n)_i, & A_a^i &:= \Gamma_a^i + \gamma K_a^i \in \mathfrak{su}(2), \\ G_i &= D_a E_i^a = 0, & H^i &= \mathcal{F}_{12}^i - (1 + \gamma^{-2})(K_1 \times K_2)^i = 0. \end{aligned}$$

SU(2) kinematics

- ★ Discrete γ -dependent length spectrum: $l_j = 8\pi\gamma\ell_{\text{Pl}}\sqrt{j(j+1)}$.
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Quantization strategies

- ★ Quantize the 3d Ashtekar–Barbero Hamiltonian constraint using 4d techniques.
- This could help understand some of the 4d ambiguities, since the 3d physical states are known (c.f. QSD IV).

- ★ Map the canonical theory back to a BF theory, i.e. $\mathbf{F}(\mathbf{A}) = 0 = \mathbf{G}(\mathbf{E}, \mathbf{A})$.
One finds

$$\mathfrak{sl}(2, \mathbb{C}) \ni \mathbf{A} = \left[-u \times du \cdot (\vec{L} \pm \gamma^{-1} \vec{P}) \right] + \left[(A \cdot u)(u \cdot \vec{L}) \mp \gamma^{-1} (A \times u) \cdot (\vec{P} \times u) \right],$$

with $u = u(n)$, rotations \vec{L} and boosts \vec{P} .

NB: the self-dual connection is the shifted connection of Alexandrov,

$$\mathcal{A}_a = \mathbf{A}_a \pm \frac{i\gamma^{-1} \mp 1}{|E|} \varepsilon_{ab} (E^b \cdot G)x.$$

Reality conditions on \mathbf{A}

★ Physical motivation: the underlying theory is $SU(1, 1)$ BF.

★ Two possibilities:

$$\vec{L} \pm \gamma^{-1} \vec{P} = 0 \quad \Rightarrow \quad \mathbf{A} = A^i L_i, \text{ the } \mathfrak{su}(2) \text{ Ashtekar-Barbero connection,}$$

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where \vec{L} spans $\mathfrak{su}(2)$, \vec{F} spans $\mathfrak{su}(1, 1)$, and \vec{P} and \vec{G} the complements in $\mathfrak{sl}(2, \mathbb{C})$ (Conrady, Hnybida, 1002.1959).

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Length operator and physical states

- ★ 3d geometric operators induced from the 4d ones.

- ★ For the $\mathfrak{su}(1, 1)$ connection $\{E_i^a, \mathbf{A}_b^j\} = \pm i \delta_b^a \delta_i^j$, so γ -independent spectrum

$$(\hat{X}_e)^2 \triangleright \mathbf{D}^{(s)}(h_{e'}) = \ell_{\text{Pl}}^2 \delta_{e,e'} Q^{(s)} \mathbf{D}(h_e),$$

and $Q^{(s)} = F_1^2 + F_2^2 - F_0^2$ positive for the continuous series $j = is - 1/2$, $s \in \mathbb{R}$. Consistent with (Freidel, Livine, Rovelli, 0212077).

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Why does 3d gravity look simple?

- ★ In 3d, the Weyl tensor is identically vanishing:
 - $R_{\mu\nu\rho\sigma} = \Lambda(g_{\mu\rho}g_{\nu\sigma} - g_{\mu\sigma}g_{\nu\rho})$,
 - all solutions are spaces of constant curvature, locally Minkowski, dS or AdS, depending on $\text{sign}(\Lambda)$.
- ★ No local degrees of freedom, no gravitational waves, no gravitons.
- ★ 6 variables (q_{ab}, π^{ab}) and 3 first class constraints.
- ★ In first order formulation, on-shell diffeomorphisms = internal gauge transformations
 - topological theory.

The BTZ black hole

- ★ Solution of 3d gravity with $\Lambda = -1/\ell_c^2 < 0$.
- ★ Euclidean metric (by Wick rotation of the Lorentzian solution):

$$ds^2 = N^2 d\tau^2 + N^{-2} dr^2 + r^2 (d\phi + N^\phi d\tau)^2,$$

with

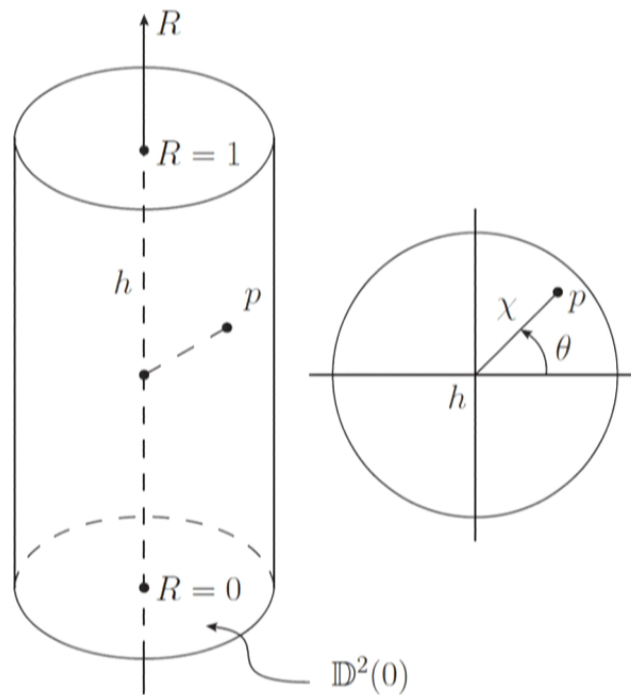
$$N = \left(-8GM + \frac{r^2}{\ell_c^2} - \frac{16G^2 J^2}{r^2} \right)^{1/2}, \quad N^\phi = -\frac{4GJ}{r^2},$$

$$r_\pm^2 = 4GM\ell_c^2 \left(1 \pm \sqrt{1 + \left(\frac{J}{M\ell_c} \right)^2} \right).$$

- ★ No curvature singularity at the origin, but event horizon and inner horizon (if $J \neq 0$).

The BTZ black hole

- ★ Global geometry of the Euclidean solution is a solid torus (Bañados, Henneaux, Teitelboim, Zanelli 1992):



boundary: $\mathbb{S}^1 \times \mathbb{S}^1$ at $\chi = \pi/2$

horizon: \mathbb{S}^1 at $\chi = 0$

Further motivations

- ★ The statistical mechanics of the BTZ black hole has been extensively studied, using a huge variety of methods (see Carlip, hep-th/9806026).

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- ★ The statistical mechanics of the BTZ black hole has been extensively studied, using a huge variety of methods (see Carlip, hep-th/9806026).
- ★ However, no LQG or spin foam description so far, because the gauge groups involved are non-compact:

	Euclidean	Lorentzian
$\Lambda = 0$	$\mathfrak{isu}(2)$	$\mathfrak{isu}(1, 1)$
$\Lambda > 0$	$\mathfrak{so}(4)$	$\mathfrak{so}(3, 1)$
$\Lambda < 0$	$\mathfrak{so}(3, 1)$	$\mathfrak{so}(2, 2)$

The only spin foam model under control is the Euclidean $\Lambda > 0$.

- ★ Idea: define $\mathcal{O}_E(\Lambda > 0)$, and then switch to $\mathcal{O}_E(\Lambda < 0)$
(MG, Noui, 1312.1696 | Frodden, MG, Noui, Perez, JHEP 05 (2013) 139).
The Euclidean spin foam model with $\Lambda > 0$ is well understood.

Group theoretical data

- ★ Choose an integer $k \geq 1$, define $q = \exp\left(\frac{i\pi}{k+2}\right)$, and

$$[n] = \frac{q^n - q^{-n}}{q - q^{-1}} = \sin\left(\frac{\pi}{k+2}n\right) \sin^{-1}\left(\frac{\pi}{k+2}\right).$$

- ★ Consider the algebra $U_q(\mathfrak{su}(2))$, and its unitary irreducible representations

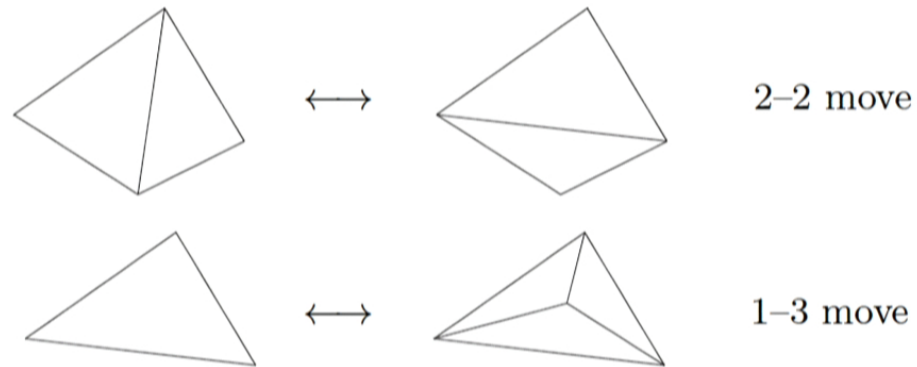
$$j = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots, \frac{k}{2}, \quad \text{with} \quad \dim(\mathcal{H}_j) = 2j + 1.$$

Assignment of amplitudes

- ★ vertices $v \longleftrightarrow$
- ★ edges $e \longleftrightarrow$
- ★ tetrahedra $\tau \longleftrightarrow$

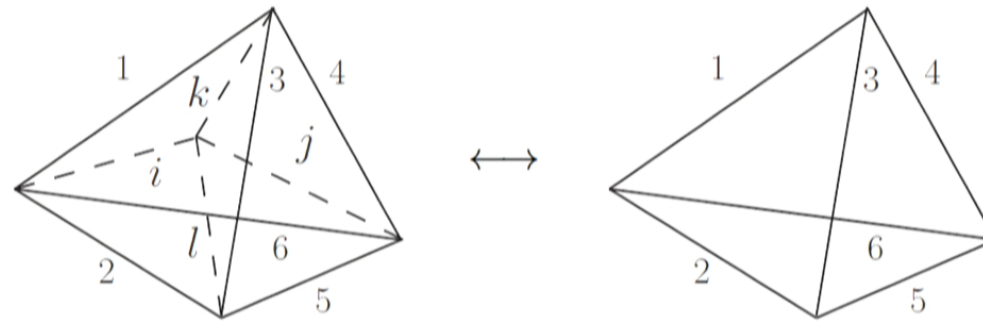
Topological invariance

- ★ Triangulations of d -dimensional manifolds can be related by elementary moves (Alexander 1930, Pachner 1978):
 - in $d = 2$ (i.e. on triangles)



Topological invariance

★ For example, the 1–4 move



is encoded in the algebraic relation

$$\sum_{i,j,k,l} \omega_i^2 \omega_j^2 \omega_k^2 \omega_l^2 \left| \begin{array}{ccc} 1 & 2 & 3 \\ l & k & i \end{array} \right|_q \left| \begin{array}{ccc} 3 & 4 & 5 \\ j & l & k \end{array} \right|_q \left| \begin{array}{ccc} 1 & 4 & 6 \\ j & i & k \end{array} \right|_q \left| \begin{array}{ccc} 2 & 5 & 6 \\ j & i & l \end{array} \right|_q$$

$$= \omega^2 \left| \begin{array}{ccc} 1 & 2 & 3 \\ 5 & 4 & 6 \end{array} \right|_q.$$

Link with 3d gravity

Ingredients

We want to describe the entropy of a Euclidean BTZ black hole with the Turaev–Viro state sum. For this we need:

- 1) A notion of observable.
- 2) A proper discretization of the solid torus.
- 3) Understand how to return to $\Lambda < 0$.

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State sum observable

- ★ Choose a graph Γ consisting of a subset of n edges of Δ , and then define

$$\mathcal{Z}_n(M, \Gamma) = \sum_{j|\Gamma} \mathcal{Z}(M, \Delta).$$

- ★ This object is triangulation-independent away from Γ , and

$$\sum_{j\Gamma} \mathcal{Z}_n(M, \Gamma) = \mathcal{Z}(M).$$

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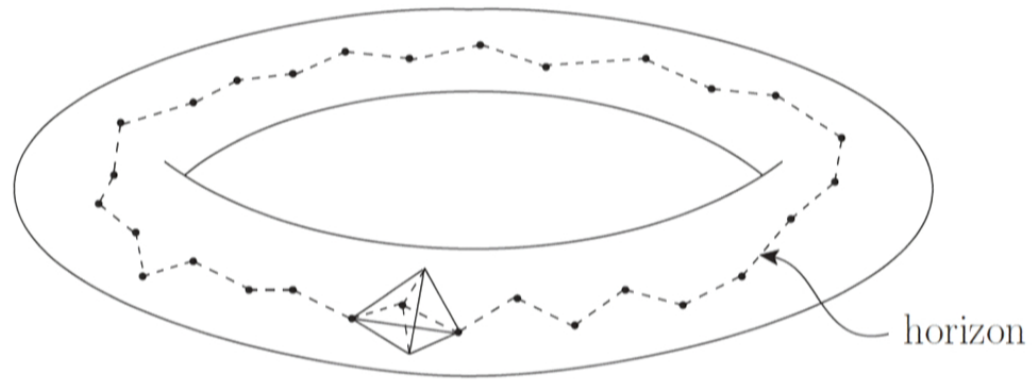
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Triangulation of $\mathbb{D}^2 \times S^1$



Computation of the observable partition function

- ★ Use recursively the partial Pachner 4-1 move

$$\sum_{k,l} \omega_k^2 \omega_l^2 \omega_{j_1}^2 \omega_{j_2}^2 \quad \begin{array}{c} \text{1} \\ \text{2} \end{array} \begin{array}{c} \text{3} \\ \text{4} \\ \text{5} \\ \text{6} \end{array} \begin{array}{c} k \\ j_1 \\ l \end{array} \begin{array}{c} j_2 \end{array} = \omega_{j_1}^2 \omega_{j_2}^2 \omega_6^{-2} Y(j_1, j_2, 6) \quad \begin{array}{c} \text{1} \\ \text{2} \end{array} \begin{array}{c} \text{3} \\ \text{4} \\ \text{5} \\ \text{6} \end{array} ,$$

where $Y(i, j, k)$ is a triangular delta.

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- ★ Upshot:
$$\mathcal{Z}_n = \omega^{-2} \sum_{k_{n-1}} \omega_{j_{n-1}}^2 \omega_{j_n}^2 \omega_{k_{n-1}}^{-2} Y(j_{n-1}, j_n, k_{n-1}) \mathcal{Z}_{n-1} = \dots$$

$$\propto \sum_{k_1, \dots, k_n} \delta_{k_1, 0} \delta_{k_{n+1}, 0} \prod_{i=1}^n Y(j_i, k_{i+1}, k_i).$$

- ★ This is precisely the dimension N of the Chern-Simons Hilbert space

$$\text{Inv}_{U_q(\mathfrak{su}(2))}(j_1 \otimes \dots \otimes j_n), \quad \text{with dimension} \xrightarrow{k \rightarrow \infty} \prod_{e=1}^n (2j_e + 1)$$

(Kaul, Majumdar, 9801080 | Engle, Noui, Perez, Pranzetti, 1103.2723).

Analytic continuation to $\Lambda < 0$

★ Since

$$k = \frac{\ell_c}{\ell_{\text{Pl}}} = \frac{1}{\hbar G \sqrt{\Lambda}},$$

working with $\Lambda < 0$ amounts to choosing a purely imaginary level $k = i\lambda$.

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$$\log N \approx \frac{L}{4\ell_{\text{Pl}}} + o(\log(L)),$$

where the length of the horizon is $L = 8\pi\ell_{\text{Pl}} \sum_{e=1}^n \sqrt{j_e(j_e + 1)}$.

(more details in the next slides...)

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- ★ Notice that there is no γ in the length spectrum, as opposed to attempts by (Suneeta, Kaul, Govindarajan, 9811071 | García-Islas, 0804.2082).

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4. Conclusion and outlook

SU(2) state counting

- ★ Counting the number of states compatible with a given macroscopic area A_H by

$$\mathcal{N}(A_H) = \sum_{p=1}^{\infty} \sum_{j_1, \dots, j_n} \delta \left(A_H - 8\pi\gamma\ell_{\text{Pl}}^2 \sum_{e=1}^n \sqrt{j_e(j_e + 1)} \right) N(j_1, \dots, j_n).$$

- ★ This is a sum over distinguishable puncture labels.
- ★ Assuming that $\gamma = \gamma_0 \approx 0.274067$,

$$S = \log \mathcal{N}(A_H) = \frac{A_H}{4\ell_{\text{Pl}}^2} - \frac{3}{2} \log \left(\frac{A_H}{4\ell_{\text{Pl}}^2} \right) + o(1),$$

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$$S = \log \mathcal{N}(A_H) = \frac{A_H}{4\ell_{\text{Pl}}^2} - \frac{3}{2} \log \left(\frac{A_H}{4\ell_{\text{Pl}}^2} \right) + o(1),$$

and **small spins** dominate.

- ★ **Why?**

Continuation to $\gamma = i$

- ★ The level k becomes imaginary, say $k = i\lambda$.
- ★ This corresponds in principle to $SL(2, \mathbb{C})$ Chern–Simons theory (or just one chiral copy) (Witten, 1001.2933).
- ★ The partition function becomes

$$\mathcal{Z} \approx \frac{\lambda}{2} \sum_{d=1}^{\lambda} \sinh^{2-n} \left(\frac{\pi}{\lambda} d \right) \prod_{e=1}^n \sinh \left(\frac{\pi}{\lambda} d d_e \right).$$

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$$S = \log \mathcal{Z} = \frac{A_H}{4\ell_{P1}^2} + o(\log(A_H)).$$

- ★ Punctures can be indistinguishable.
- ★ The case $\gamma = i$ is also supported by the KMS interpretation of states (Pranzetti, 1305.6714).
- ★ In the CMC gauge, fixing $\gamma = \gamma_0 \in \mathbb{R}$ is not possible, and only $\gamma = i$ leads to the correct entropy (Bodendorfer, Stottmeister, Thurn, 1203.6525).

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Possible alternative (if one forgets about the level)

- ★ When expanding the $SL(2, \mathbb{C})$ representations $|(\rho, k)\rangle$ in terms of the $SU(1, 1)$ subgroup, the representations of the continuous series are self-dual i.e. satisfy

$$P \pm iL = 0,$$

and verify the area reality condition

$$\widehat{\text{Area}} \triangleright \psi = 8\pi\ell_{\text{Pl}}^2 \sqrt{s^2 + 1/4} \psi \in \mathbb{R}.$$

This corresponds to representation labels such that $\chi = (\rho, k) = (2ij, 2(j+1))$.

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Rewriting of the analytically-continued partition function

- ★ When the level is large, we have

$$\mathcal{Z} \approx \frac{2 \sinh^2 \pi}{\lambda} \prod_{e=1}^n \mathcal{Z}_e, \quad \text{with} \quad \mathcal{Z}_e = \sum_{m=0}^{2j_e} \exp(-\beta E_e(m)), \quad \text{where}$$

$$\beta = \frac{2\pi}{a} \quad \text{is the inverse Unruh temperature with acceleration } a,$$

$$E_e(m) = am + E_e(0) \quad \text{is the discrete “energy spectrum” with } E_e(0) = -aj_e.$$

- ★ Partition function \mathcal{Z}_e for a bath of particles in equilibrium at Unruh temperature.
- ★ Matches the near-horizon energy of (Frodden, Gosh, Perez, 1110.4055) seen by a stationary observer:

$$\delta E_{\text{local}} = \frac{\delta A}{8\pi G} a.$$

- ★ Rewrite $\mathcal{Z}_e = \sum_{m=0}^{2j_e} g_e \exp(-\beta am)$, with puncture degeneracy $g_e = \exp(A_e/4\ell_{\text{Pl}}^2)$ responsible for the entropy (Gosh, Noui, Perez, 1309.4563).

Self-dual decomposition of a state

- ★ Consider the (anti) self-dual generators $\vec{J}^\pm = (\vec{L} \pm i\vec{P})/2$, and the helicity (or unitary spinor) basis $|(\ell^\pm, \ell^\pm), \mu, \nu\rangle$ of $SL(2, \mathbb{C})$, where L_3 and P_3 are diagonal:

$$L_3|\mu, \nu\rangle = \mu|\mu, \nu\rangle, \quad P_3|\mu, \nu\rangle = \nu|\mu, \nu\rangle, \quad \text{with} \quad \mu \in \mathbb{Z}/2, \nu \in \mathbb{R},$$

$$J_3^\pm|\mu, \nu\rangle = m^\pm|\mu, \nu\rangle, \quad \text{with} \quad m^\pm = \frac{1}{2}(\mu \pm i\nu),$$

$$(\vec{J}^\pm)^2|\mu, \nu\rangle = \ell^\pm(\ell^\pm + 1)|\mu, \nu\rangle, \quad \text{with} \quad \ell^\pm = \frac{1}{2}(k \pm i\rho - 1).$$

- ★ One can compute the overlap coefficients for the change of basis (Huszar, 1971)

$$|\mu, \nu\rangle = \sum_{j, n} \langle j, n | \mu, \nu \rangle |j, n\rangle, \quad |j, n\rangle = \int d\nu \sum_{\mu} \langle \mu, \nu | j, n \rangle |\mu, \nu\rangle.$$

- ★ According to the dynamics of spin foams, a state is labelled

$$|0(j)\rangle_{\text{SF}} := |(\gamma(j+1), j), j, j\rangle \quad \text{for EPRL/FK,}$$

$$|0(\rho)\rangle_{\text{SF}} := |(\rho, 0), 0, 0\rangle \quad \text{for BC.}$$

3d theory

- ★ The $SU(2)$ Ashtekar–Barbero formulation of Lorentzian gravity is also available in $(2 + 1)$ dimensions, and presents the same Barbero–Immirzi and connection ambiguity as in 4d.
- ★ Turaev–Viro model (Euclidean, $\Lambda > 0$) to describe the entropy of a BTZ black hole.
- ★ No Barbero–Immirzi parameter needed in the length spectrum.
- ? Should proceed with the quantization of the Hamiltonian constraint.
- ? How to describe the Lorentzian black hole?
- ? Link with other counting methods, in particular CFT?
- ? How to treat the boundary in the state sum model, and link with log corrections?

4d theory

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