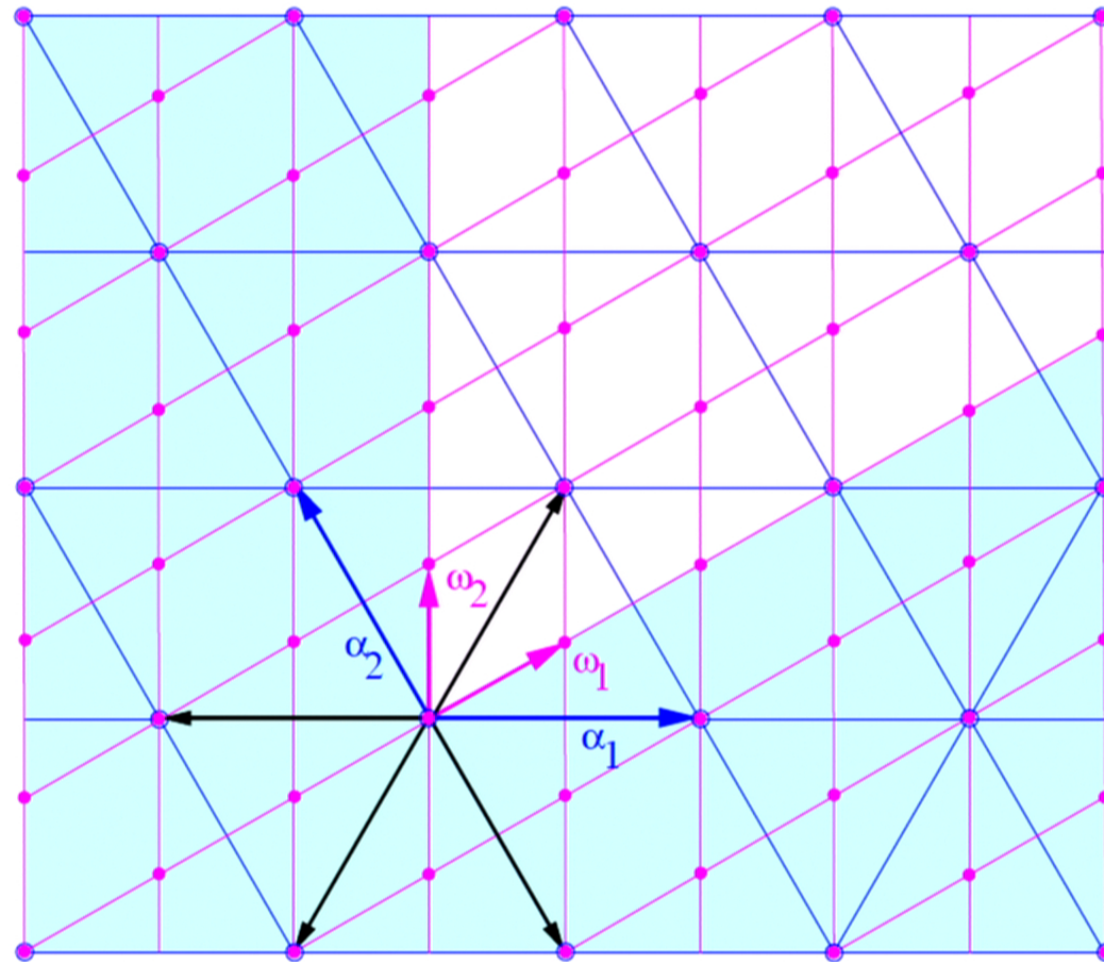


Title: Quantum and Classical Anomalies

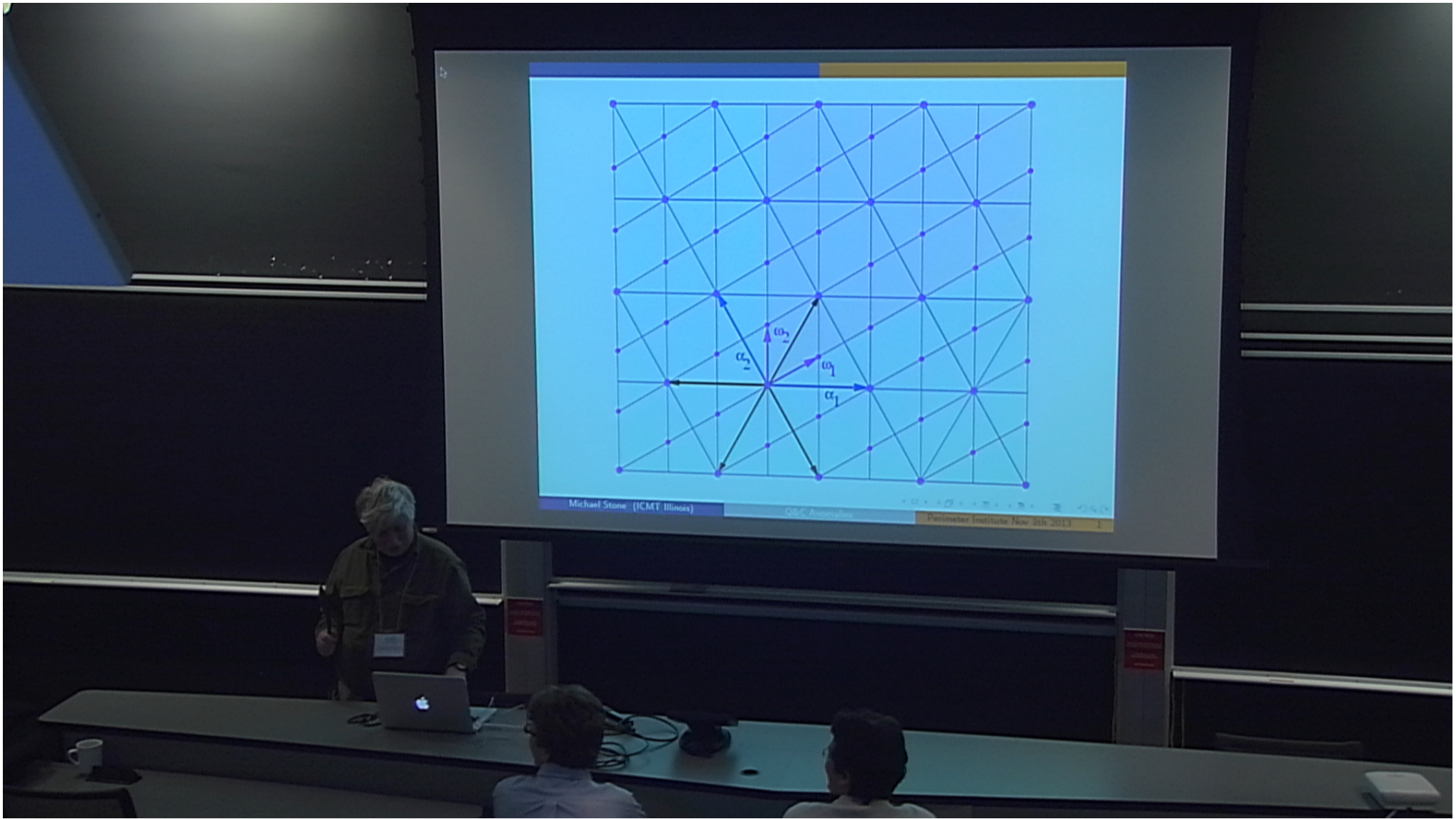
Date: Nov 08, 2013 10:30 AM

URL: <http://pirsa.org/13110077>

Abstract: I will begin reviewing the Callan-Harvey mechanism of anomaly inflow with particular focus on topological edge states and show how the inflow picture naturally converts the non-covariant "consistent" gauge anomaly of Bardeen and Zumino to the more physical "covariant" anomaly. I will then discuss some recent derivations of the covariant form of the gauge anomaly from classical phase space flows.









# Quantum and Classical Anomalies

Michael Stone

Institute for Condensed Matter Theory  
University of Illinois



Michael Stone (ICMT, Illinois)

Q&C Anomalies

Parameter Institute, Nov 26th, 2013

2





# Quantum and Classical Anomalies

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## Recent papers

-  MS, *Gravitational Anomalies and Thermal Hall effect in Topological Insulators*, Phys. Rev. **B85** 184503 (2012).
-  MS, *An Analogue of Hawking Radiation in the Quantum Hall Effect*, Class. Quantum. Grav. 30 085003 (2013).
-  MS, V. Dwivedi, *A Classical Version of the Non-Abelian Gauge Anomaly*, Phys. Rev. **D88** 045012 (2013).
-  V. Dwivedi, MS, *Classical chiral kinetic theory and anomalies in even space-time dimensions*, arXiv:1308.4576.



## Outline

- 1 Quantum Anomalies
  - Chiral symmetry is dangerous
  - The Callan-Harvey effect
  - Consistent *versus* Covariant anomalies
  - Lessons learned
- 2 Classical Anomalies
  - Basic idea
  - Liouville's theorem
  - Co-adjoint orbits and the Wong equations
  - Classical and Quantum traces
  - Conclusions

Michael Stone (ICMT, Illinois)

QFT Anomalies

Perimeter Institute Nov 28th 2013

4

## Goldstone &amp; Wilczek 1981

Filling the ground state of the **one-dimensional** single-particle fermion Hamiltonian

$$\begin{aligned}
 H &= -i\sigma_3\partial_x + \Delta(x)\sigma_1 e^{i\sigma_3\theta(x,t)} \\
 &\equiv -i\sigma_3\partial_x + \Delta(x)(\sigma_1 \cos \theta + \sigma_2 \sin \theta)
 \end{aligned}$$

leads to charge and currents

$$\begin{aligned}
 \langle \rho(x) \rangle_{\text{gnd}} &\simeq -\frac{1}{2\pi} \partial_x \theta(x), \\
 \langle j(x) \rangle_{\text{gnd}} &\simeq \frac{1}{2\pi} \partial_t \theta(x)
 \end{aligned}$$

## Chiral symmetry is dangerous!

- Chiral unitary transformation

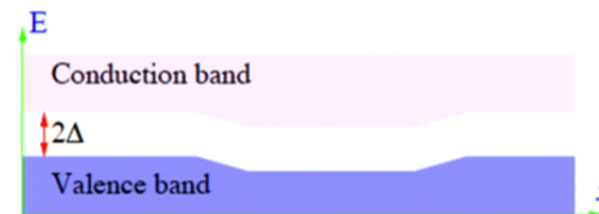
$$e^{i\sigma_3\theta/2} \left\{ -i\sigma_3\partial_x + \Delta(x)\sigma_1 e^{i\sigma_3\theta} \right\} e^{-i\sigma_3\theta/2}$$
$$= -i\sigma_3\partial_x + \Delta(x)\sigma_1 - \frac{1}{2}\partial_x\theta$$

- Suggests that a positive rate of twist in  $\theta(x)$  is equivalent to the addition of a negative potential.

# Chiral symmetry is dangerous!

$$H = -i\sigma_3\partial_x + \Delta(x)\sigma_1 e^{i\sigma_3\theta(x,t)}$$

- In an **gapped insulator** a positive twist of  $\theta$  reduces local charge density.
- **but ...**
- Adding potential  $V = -\partial_x\theta/2$  leaves charge density unchanged.



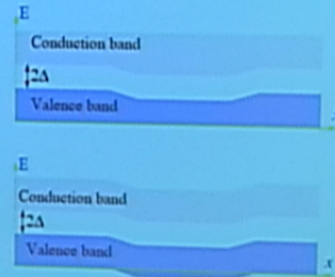
Chiral transformation  $e^{-i\sigma_3\theta/2}$  is not a physical symmetry



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### Domain Wall fermion

Consider a *two dimensional* fermion with

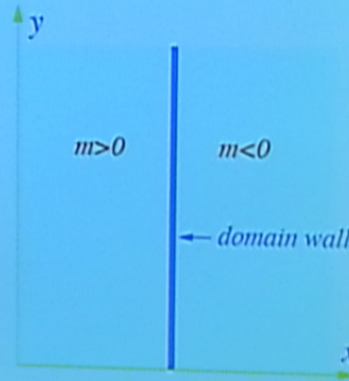
$$H = -i\sigma_3\partial_x - i\sigma_2\partial_y + m(x)\sigma_1,$$

and  $m(x)$  changing sign. Then, if  $\sigma_2 u_0 = u_0$ , we find

$$\psi = u_0 e^{i k_y y} \exp \left\{ \int_0^x m(x') dx' \right\}$$

is  $x$ -normalizable with energy

$$E(k_y) = k_y$$



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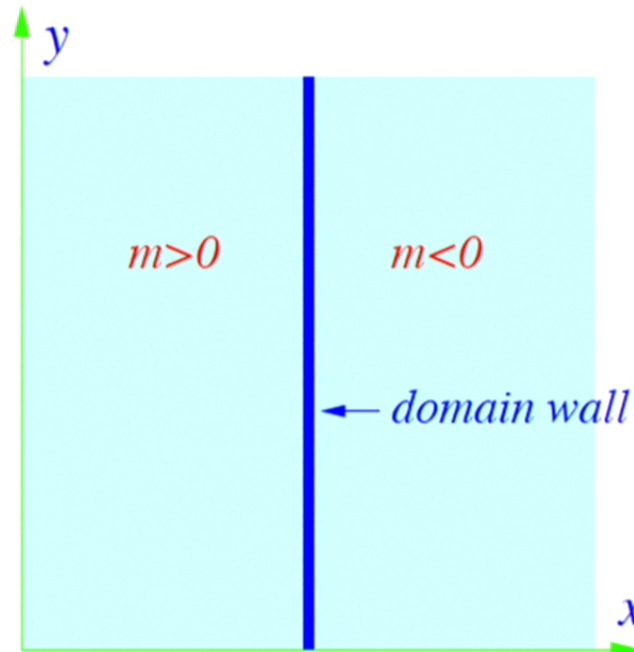
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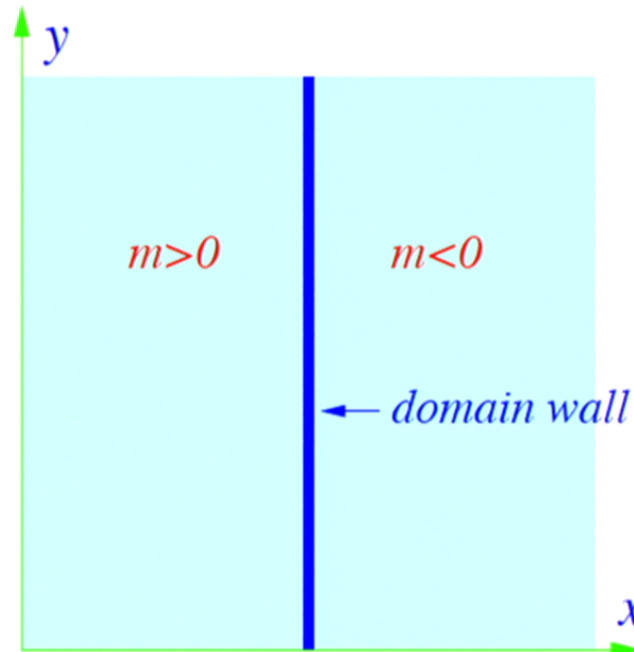
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## The Chiral Anomaly

Introduce an electric field parallel to the domain wall

$$H \rightarrow -i\sigma_3\partial_x - i\sigma_2(\partial_y - ieA_y) + m(x)\sigma_1.$$

with  $A_y = -eEt$ . Then

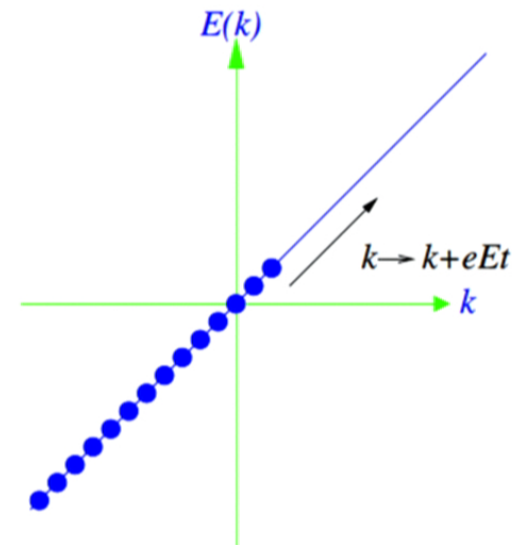
$$k_y \rightarrow k_y + eEt$$

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$$j_0 = e \int_{-\infty}^{\infty} \frac{dk}{2\pi} : a_k^\dagger a_k :$$

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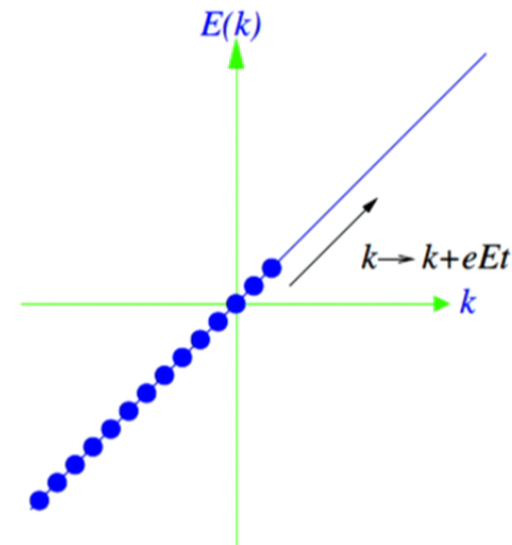
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## Where do the new particles come from?

Apply Goldstone-Wilczek to each  $k_y$  separately.

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Each momentum  $k_y$  gives

$$\frac{e}{2\pi} \partial_t \tan^{-1} \left( \frac{k_y + eE_y t}{m} \right) = \frac{e^2 E_y}{2\pi m} \frac{1}{1 + k_y^2/m^2}.$$

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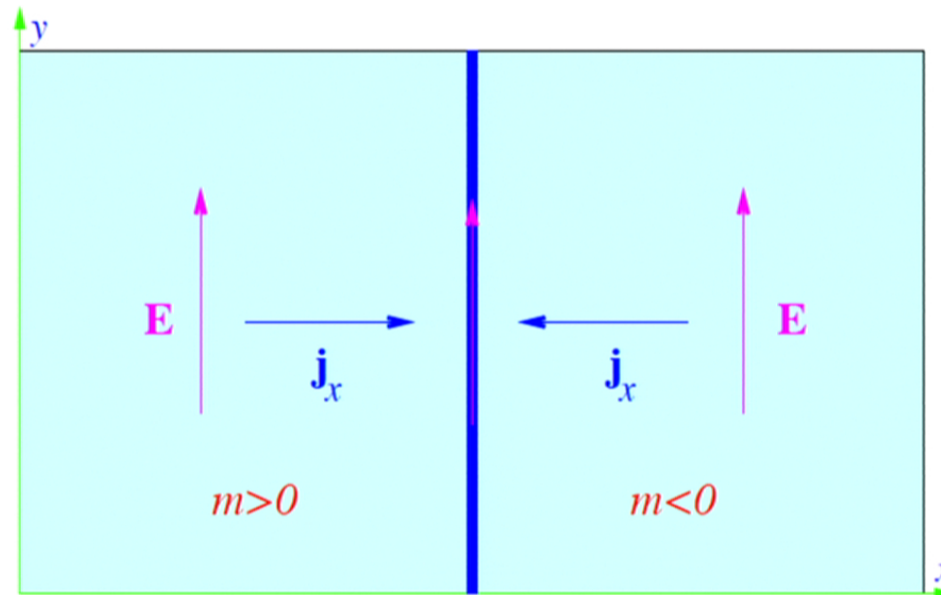
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Where do the new particles come from?

Answer: They flow into the universe from outside



The Callan-Harvey effect (1985)

## Condensed Matter Applications

- Momentum paradoxes in  $^3\text{He-A}$  (MS-Garg-Muzikar '85; MS-Gaitan '87; Schakel-Battenburg '89, Volovik '89–)
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## Non-Abelian Gauge Anomalies

- Gauge field as Lie-algebra-valued one form  $A = \lambda_a A_\mu^a dx^\mu$ .
- Gauge transformation  $A \rightarrow A^g = g^{-1} A g + g^{-1} dg$ .
- Define gauge current  $J^\mu$  by  $\delta S[A] = \int \text{tr} \{ J^\mu \delta A_\mu \} d^d x$ .
- Infinitesimal gauge transformation  $g = 1 - \epsilon$

$$\delta_\epsilon A_\mu = -([A_\mu, \epsilon] + \partial_\mu \epsilon) \equiv -\nabla_\mu \epsilon$$

- Change in action

$$\begin{aligned} \delta_\epsilon S &= \int d^d x \text{tr} \{ J^\mu ([\epsilon, A_\mu] - \partial_\mu \epsilon) \} \\ &= \int d^d x \text{tr} \{ \epsilon (\partial_\mu J^\mu + [A_\mu, J^\mu]) \} \\ &= \int d^d x \text{tr} \{ \epsilon G \} \end{aligned}$$

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## Wess-Zumino actions

To get effective action with correct anomaly physics (e.g.  $\pi^0 \rightarrow 2\gamma$ ) WZ and Witten take space-time as boundary  $\partial M$ . As yet no physical meaning to  $M$ . For one chiral fermion:

- In 2d

$$S_{\text{WZ}}[A, g] = \frac{1}{4\pi} \int_{\partial M} \text{tr} \{ dgg^{-1}A \} + \underbrace{\frac{1}{12\pi} \int_M \text{tr} \{ (g^{-1}dg)^3 \}}_{\text{Wess-Zumino term}}$$

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$$S_{\text{WZ}}[A, g] = \frac{i}{48} \int_{\partial M} \text{tr} \{ (dgg^{-1})(AdA + dAA + A^3) \} \\ - \frac{1}{2} (dgg^{-1})A(dgg^{-1})A - (dgg^{-1})^3 A \\ - \underbrace{\frac{i}{240\pi^2} \int_M \text{tr} \{ (g^{-1}dg)^5 \}}_{\text{Wess-Zumino term}}$$



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- Use

$$\delta \int_M \text{tr} \{ (g^{-1} dg)^n \} = n \int_{\partial M} \text{tr} \{ (g^{-1} \delta g) (g^{-1} dg)^{n-1} \}$$

to see that action really "lives" on physical space-time  $\partial M$ .

- Get current from

$$\delta S_{\text{WZ}} = \int_M \text{tr} \{ \delta A_\mu J_{\text{WZ}}^\mu \}$$

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## Consistent or Covariant?

Natural generalization to non-abelian chiral current  $J^\mu = J^{\mu,a} \lambda_a$  would be

$$\text{tr} \{ \lambda_a \nabla_\mu J^\mu \} = \frac{1}{4\pi} \epsilon^{\rho\sigma} \text{tr} \{ \lambda_a F_{\rho\sigma} \}$$

with  $F = dA + A^2 = \frac{1}{2} F_{\mu\nu} dx^\mu dx^\nu$ , or

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However, the consistent anomaly from  $S_{\text{WZ}}[A, g]$  is

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## Bardeen polynomial

Redefine the current by adding c-number term

$$J^\mu \rightarrow J_{\text{WZ}}^\mu + X^\mu,$$

- In 2d the (William) Bardeen polynomial is

$$X^\mu = \frac{1}{4\pi} \epsilon^{\mu\nu} A_\nu.$$

- In 4d

$$X^\mu = \frac{1}{48\pi^2} (A_\nu F_{\sigma\tau} + F_{\nu\sigma} A_\tau - A_\nu A_\sigma A_\tau) \epsilon^{\mu\nu\sigma\tau}$$

Modified currents now give the covariant anomaly



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## Where does the Bardeen current come from?

Need to make  $M$  physical: a "bulk" whose surface is  $\partial M$ .

- Chern-Simons

$$C[A] = -\frac{1}{4\pi} \int_M \text{tr} \left\{ AF - \frac{1}{3} A^3 \right\}, \quad \text{In 3d}$$

$$C[A] = -\frac{i}{24\pi^2} \int_M \text{tr} \left\{ AF^2 - \frac{1}{2} FA^3 + \frac{1}{10} A^5 \right\}, \quad \text{In 5d.}$$

- Connection between Wess-Zumino and Chern-Simons:

$$S_{WZ}[A, g] + C[A] = C[A^g].$$

- $C[A^g]$  is manifestly invariant under  $A \rightarrow A^h, g \rightarrow h^{-1}g$ .



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## Inflow and surface contribution

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$$\delta C[A] = -\frac{i}{8\pi^2} \int_M \text{tr} \{ \delta A F^2 \} - \frac{i}{24\pi^2} \int_{\partial M} \text{tr} \{ \delta A (AF + FA - \frac{1}{2}A^3) \}.$$

- First term gives Hall-like Callan-Harvey inflow

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## Covariant is Consistent

Lessons learned:

- When theory is physically consistent (new stuff comes from somewhere) the boundary-from-bulk contribution ensures that the anomaly in the boundary universe is “covariant.”
- Similarly, when the theory is anomalous but physically consistent, the Lorentz force in the boundary universe is given by covariant current.
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## Classical Anomalies

- Although the chiral anomaly is usually described as a quantum effect, there are classical mechanical versions.
- For massless fermions, use the incompressibility of phase-space flow to track flux through **diabolical** point.
- Works for both Abelian and non-Abelian theories in any dimension.



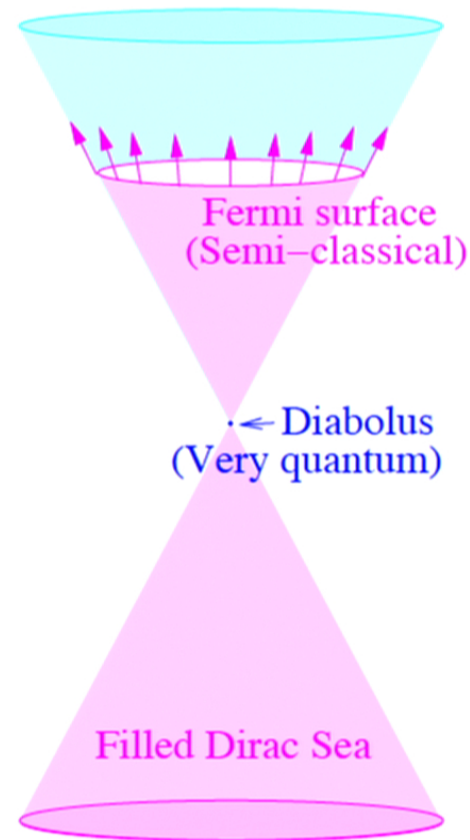
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- Although the chiral anomaly is usually described as a quantum effect, there are classical mechanical versions.
- For massless fermions, use the incompressibility of phase-space flow to track flux through **diabolical** point.
- Works for both Abelian and non-Abelian theories in any dimension.





## Classical Mechanics

- Action for  $\xi \equiv (\xi^1, \dots, \xi^{2n})$ .

$$S[\xi] = \int \left\{ \sum_{i=1}^{2n} \eta_i(\xi, t) \dot{\xi}^i - H(\xi, t) \right\} dt.$$

- Equations of motion

$$\left( \frac{\partial \eta_j}{\partial \xi^i} - \frac{\partial \eta_i}{\partial \xi^j} \right) \dot{\xi}^j = \left( \frac{\partial H}{\partial \xi^i} + \frac{\partial \eta_i}{\partial t} \right).$$

- Symplectic matrix

$$\omega_{ij} = \frac{\partial \eta_j}{\partial \xi^i} - \frac{\partial \eta_i}{\partial \xi^j}.$$

## Liouville's Theorem

- Define  $2n + 1$  form

$$\Omega = \frac{1}{n!} \omega_H^n dt = \frac{1}{n!} \omega^n dt$$

- Liouville states that the Lie derivative

$$\mathcal{L}_v \Omega = 0.$$

- In co-ordinates, with  $\sqrt{\omega} = \text{Pf}(\omega_{ij})$ , this is

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## Example

- Equation of motion

$$\begin{aligned}\dot{\mathbf{k}} &= \mathbf{E} + \dot{\mathbf{x}} \times \mathbf{B} \\ \dot{\mathbf{x}} &= \hat{\mathbf{k}} + \dot{\mathbf{k}} \times \mathbf{b}\end{aligned}$$

- The  $\dot{\mathbf{k}} \times \mathbf{b}$  term is the **anomalous velocity** (Karplus-Luttinger '54) .
- Liouville measure

$$\sqrt{\omega} = 1 + \mathbf{b} \cdot \mathbf{B} \quad (\text{Q. Niu } et al. \text{ '95-}).$$

- Berry monopole  $\nabla \cdot \mathbf{b} = 2\pi\delta^3(\mathbf{k})$  gives source to Liouville's theorem

$$\frac{\partial \sqrt{\omega}}{\partial t} + \frac{\partial \sqrt{\omega} \dot{k}^i}{\partial k^i} + \frac{\partial \sqrt{\omega} \dot{x}^i}{\partial x^i} = 2\pi\delta^3(\mathbf{k})(\mathbf{E} \cdot \mathbf{B})$$

## Wong-Kirillov dynamics

For non-abelian groups and  $2N$ -dimensional space-time need co-adjoint orbit degrees of freedom

$$S[\mathbf{x}, \mathbf{k}, g, \sigma] = \int \left( k^i \dot{x}^i - |k| + i \operatorname{tr} \left\{ \alpha_\Lambda g^{-1} \left( \frac{d}{dt} - i(A_0 + \dot{x}^i A_i) \right) g \right\} - i \operatorname{tr} \left\{ \beta_s \sigma^{-1} \left( \frac{d}{dt} - i k^i \alpha_i \right) \sigma \right\} \right) dt.$$

- $\{Q \equiv g \alpha_\Lambda g^{-1}; g \in G\}$  is co-adjoint orbit for gauge group  $G$
- $\{\mathfrak{S} \equiv \sigma \beta_s \sigma^{-1} : \sigma \in \operatorname{Spin}(2N - 2)\}$  is co-adjoint orbit for spin.

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## Quantum *versus* Classical Anomaly

In  $d = 2N$  space-time

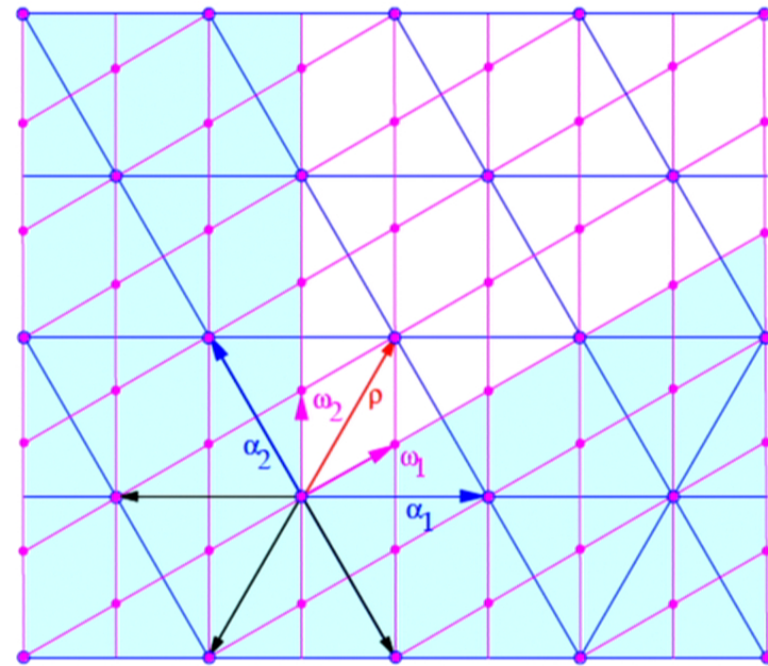
- Quantum

$$\text{tr} \{ \lambda_a \nabla_\mu J^\mu \} = \frac{1}{(4\pi)^N N!} \text{str}_\Lambda \{ \hat{\lambda}_a F_{\mu_1 \mu_2} \cdots F_{\mu_{N-1} \mu_N} \} \epsilon^{\mu_1 \cdots \mu_N}$$

# Classical *versus* Quantum traces

Example:  $SU(3)$ ,  $\Lambda = p\omega_1 + q\omega_2$

- Classical
- $\dim(p, q) \stackrel{?}{=} \text{Vol } \mathcal{O}_\Lambda = pq(p + q)$
- $\hat{C}_2^{\text{geom}} = Q_a Q_a = \frac{2}{3}(p^2 + pq + q^2)$
- $\hat{C}_3^{\text{geom}} = d_{abc} Q_a Q_b Q_c = \frac{2}{9}(p - q)(2p + q)(2q + p)$



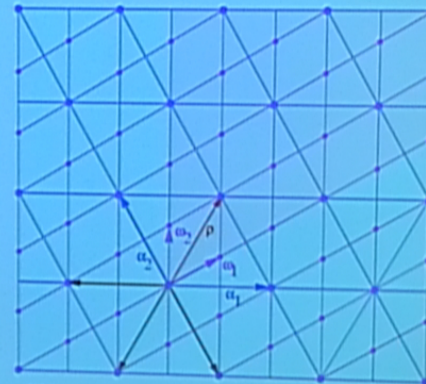
$SU(3)$  weights and Weyl chamber



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- $C_3^{\text{geom}} = d_{abc} Q_a Q_b Q_c = \frac{2}{5}(p-q)(2p+q)(2q+p)$



SU(3) weights and Weyl chamber