

Title: Conformal symmetry and cosmology

Date: Oct 22, 2013 11:00 AM

URL: <http://pirsa.org/13100070>

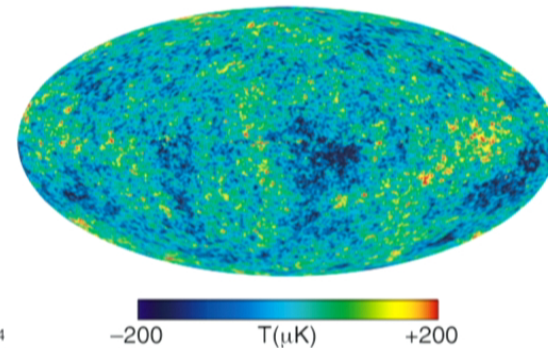
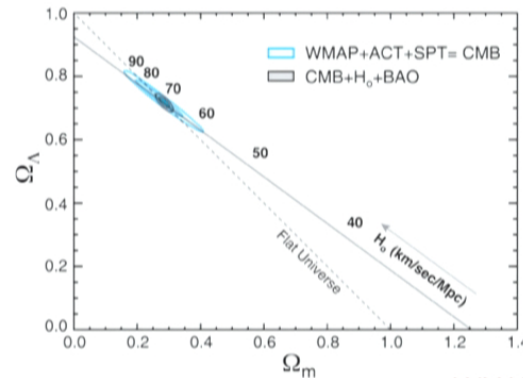
Abstract: We will explore the role that conformal symmetries may play in cosmology. First, we will discuss the symmetries underlying the statistics of the primordial perturbations which seeded the temperature anisotropies of the Cosmic Microwave Background. I will show how symmetry considerations lead us to three broad classes of theories to explain these perturbations: single-field inflation, multi-field inflation, and the conformal mechanism. We will discuss the symmetries in each case and derive their model-independent consequences. Finally, we will examine the possibility of violating the null energy condition with a well-behaved quantum field theory.

Cosmological puzzles

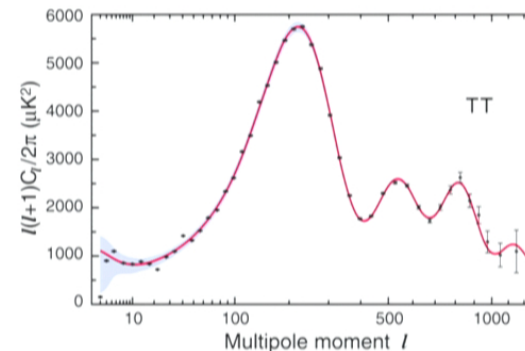
On the largest scales, we observe the early universe to be

- Flat
- Homogeneous
- Isotropic

even in apparently causally disconnected regions!



WMAP9 1212.5225



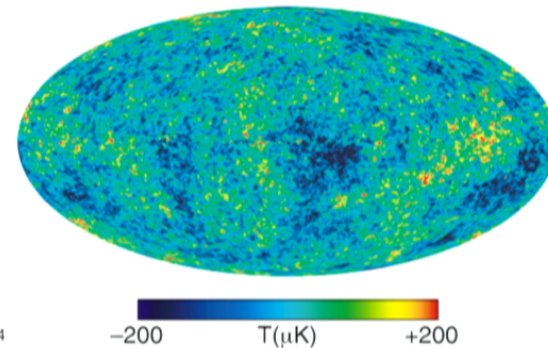
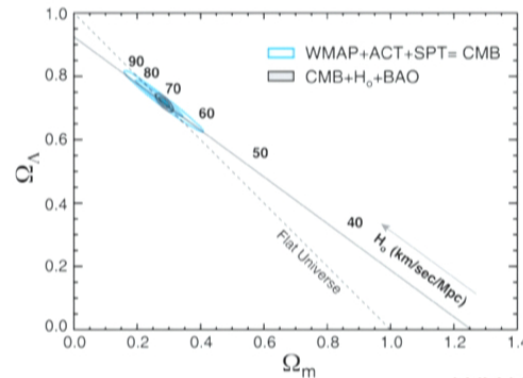
Further, we observe a spectrum of perturbations which imply nearly scale invariant and Gaussian initial conditions

Cosmological puzzles

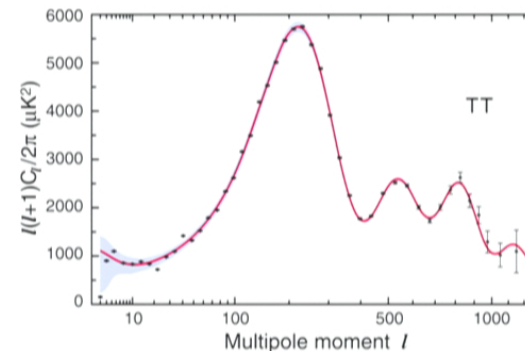
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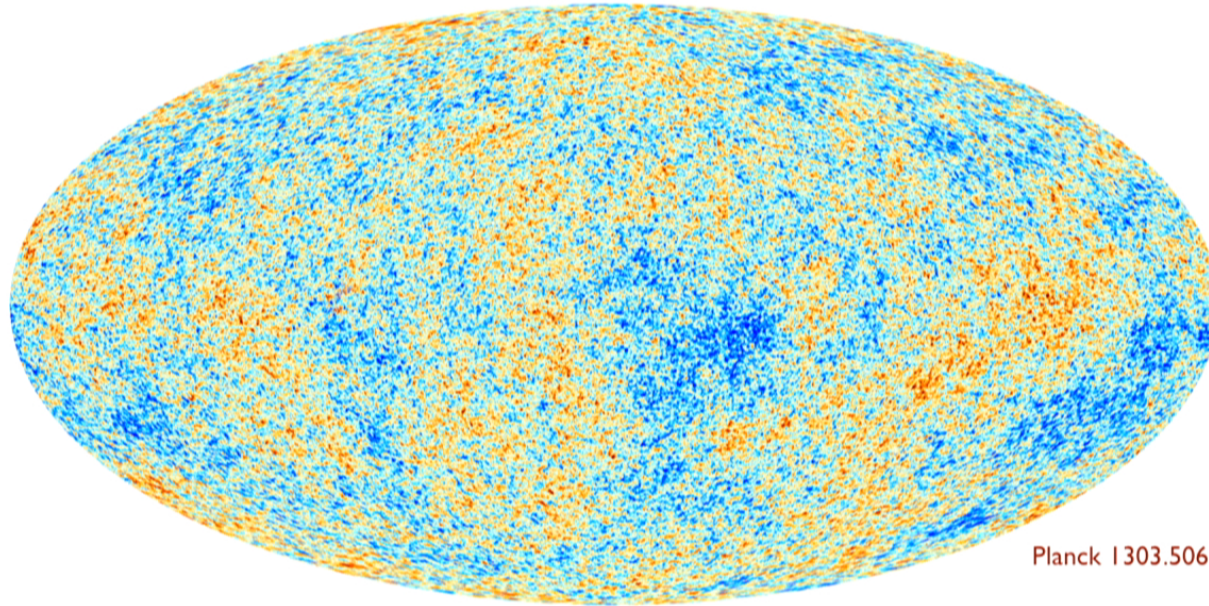
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WMAP9 1212.5225



Temperature anisotropies



We decompose the temperature fluctuations in spherical harmonics

$$\langle \delta T(\hat{n}) \delta T(\hat{n}') \rangle = \sum_{\ell} C_{\ell} \left(\frac{2\ell + 1}{4\pi} \right) P_{\ell}(\hat{n} \cdot \hat{n}')$$

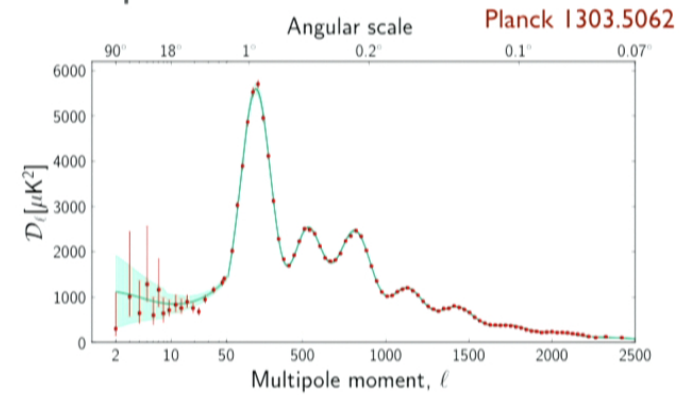
Measurement of the C_{ℓ} tells us about the primordial perturbations which seeded the CMB

Primordial inhomogeneities

Temperature fluctuations are related to primordial fluctuations through

$$C_\ell \sim \int \frac{dk}{k} k^3 P_\zeta(k) \Delta_\ell^2(k)$$

CMB measurements indicate that the primordial perturbations are



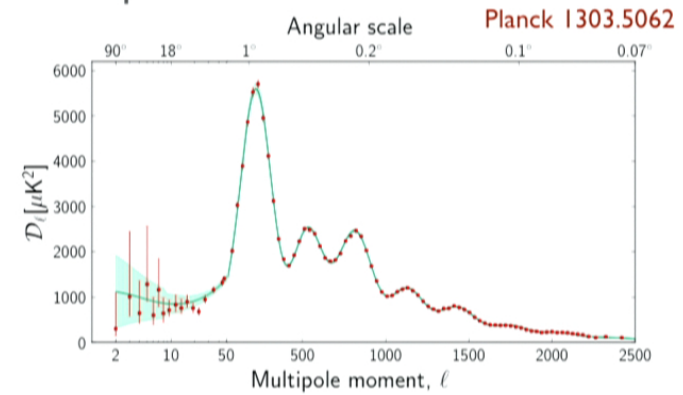
Planck 1303.5084

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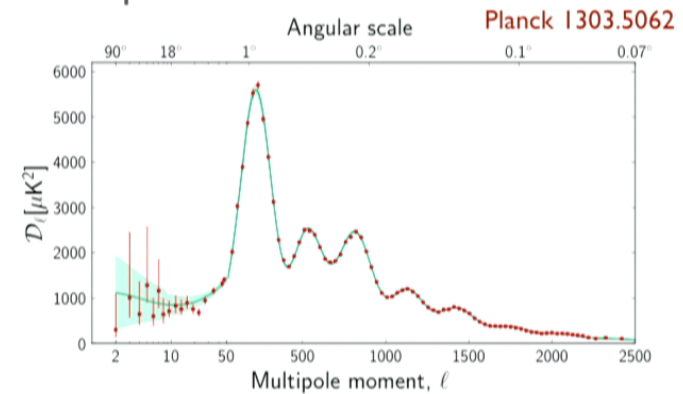
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$$\delta \left(\frac{\rho_i}{\rho_{\text{tot}}} \right) = 0$$



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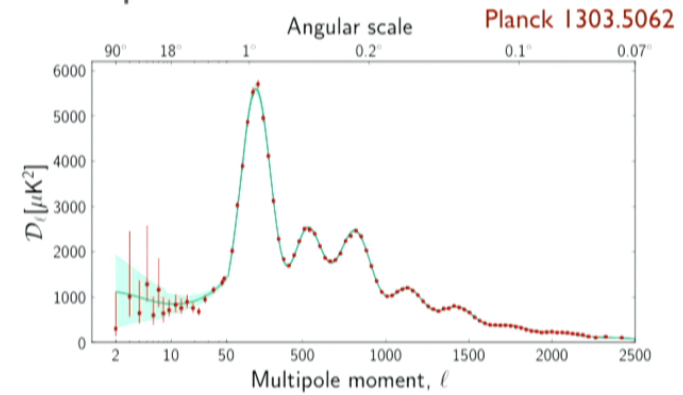
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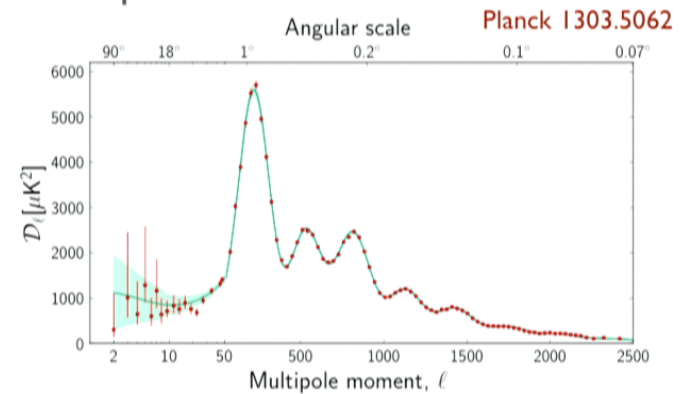
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- **Nearly *scale-invariant*:**

$$k^3 P_\zeta(k) \sim k^{2(n_s - 1)}$$

$$n_s = 0.960 \pm 0.0073$$



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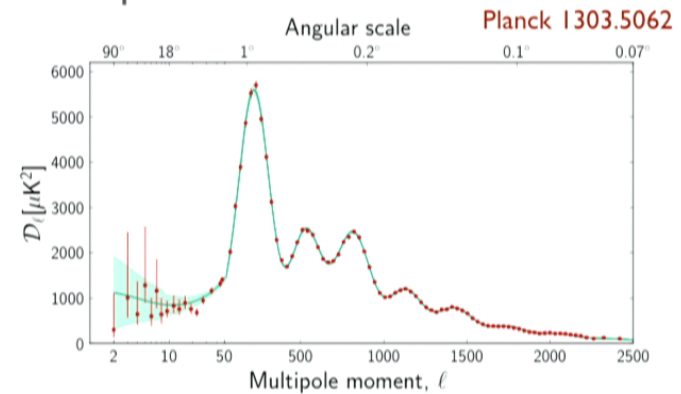
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$$n_s = 0.960 \pm 0.0073 \quad \text{Planck 1303.5082}$$

- **Gaussian:** $\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^3 \delta^{(3)}(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) f_{\text{NL}} B(k_1, k_2, k_3)$

$$\text{Planck 1303.5084} \implies f_{\text{NL}} \text{ is pretty small}$$



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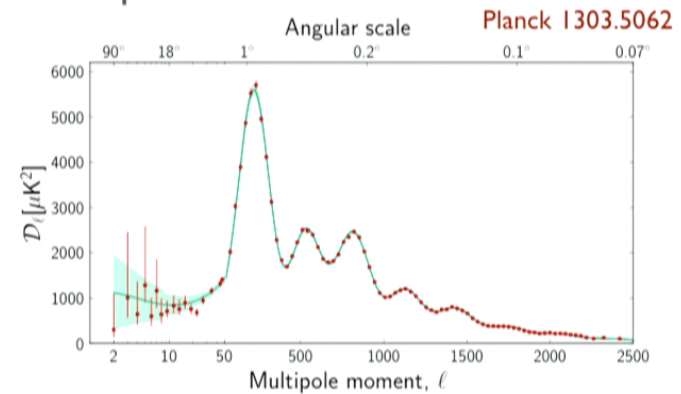
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Flatness and homogeneity

The *Friedmann equation* reads

$$3H^2 M_{\text{Pl}}^2 = \frac{k}{a^2} + \frac{C_{\text{matter}}}{a^3} + \frac{C_{\text{radiation}}}{a^4} + \frac{C_{\text{anisotropy}}}{a^6} + \dots + \frac{C}{a^{3(1+w)}}$$

- For an expanding universe, curvature is the most dangerous, $\sim 1/a^2$ but a smooth component with $w < -1/3$ will win: this leads to *accelerated expansion*

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- Another logical possibility: if the universe is contracting before the big bang, the most dangerous term is now anisotropy $\sim 1/a^6$. However, a component with $w > 1$ will win out: this leads to *slow contraction*

Gratton, Khoury, Steinhardt, Turok astro-ph/0301395

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- Since w is very large, the background evolves very slowly and there is *negligible* production of gravitational waves.

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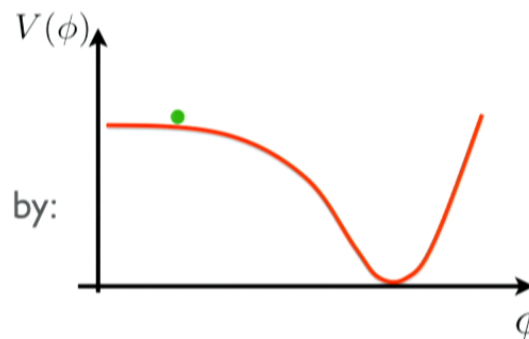
Inflation

Inflation explains the large-scale universe by postulating a phase of **de Sitter** expansion:

$$ds^2 = -dt^2 + e^{2Ht} d\vec{x}^2$$

- This is driven by a component with nearly **constant** energy density

Concrete example: a scalar field rolling down a nearly flat potential



- The equation of state parameter $P = w\rho$ is given by:

$$w_\phi = \frac{\frac{1}{2}\dot{\phi}^2 - V(\phi)}{\frac{1}{2}\dot{\phi}^2 + V(\phi)} \sim -1$$

- A phase with $w < -\frac{1}{3}$ naturally solves the horizon and flatness problems
- Fluctuations of ϕ lead to temperature anisotropies

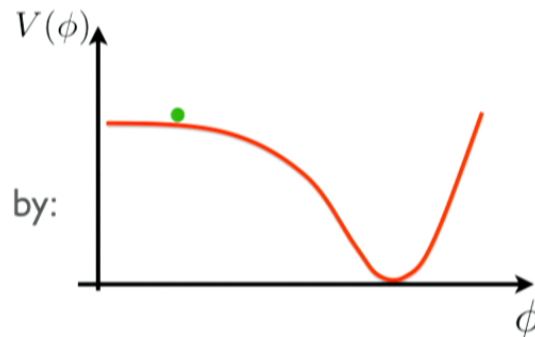
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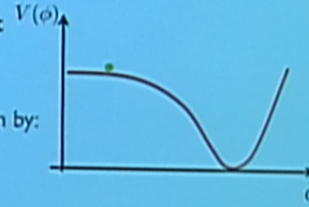
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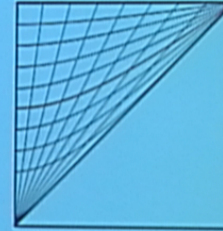
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Symmetries of de Sitter (in the flat slicing)

$$ds^2 = \frac{1}{H^2 \eta^2} (-d\eta^2 + d\vec{x}^2)$$

de Sitter space has 10 Killing vectors:

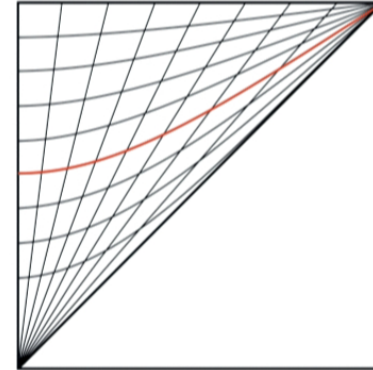


Assasi, Baumann, Green 1204.4207

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Assasi, Baumann, Green | 204.4207

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- 3 translations and 3 rotations of a spatial slice \mathbb{R}^3

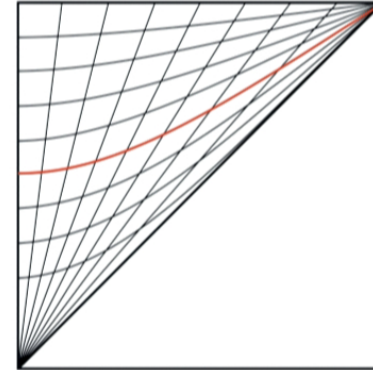
$$P_i = \nabla_i \quad J_{ij} = x_i \nabla_j - x_j \nabla_i$$

- a dilation and 3 SCT-like transformations:

$$D = x^\mu \partial_\mu = \eta \partial_\eta + \vec{x} \cdot \vec{\nabla} \quad K_i = 2x_i \eta \partial_\eta - (-\eta^2 + \vec{x}^2) \nabla_i + 2x_i x^j \nabla_j$$

Together, these form the isometry algebra of de Sitter space $so(4, 1)$

- How do these symmetries act on fields?
- We will see that de Sitter symmetry *naturally* leads to the observed statistics of the CMB



Assassi, Baumann, Green 1204.4207

Scalar fields living on de Sitter

Antoniadis, Mazur, Mottola astro-ph/9611208
Maldacena, Pimentel 1104.2846
Creminelli 1108.0874
Bzowski, McFadden, Skenderis 1112.1967

Consider a massive scalar

$$S = \int d^4x \sqrt{-g} \left(-\frac{1}{2}(\partial\phi)^2 - \frac{m_\phi^2}{2}\phi^2 \right) \implies \phi_k'' - \frac{2}{\eta}\phi_k' + \left(k^2 + \frac{m_\phi^2}{H^2\eta^2} \right) \phi_k = 0$$

In the late time limit, the time dependence of the field is given by its mass for $0 \leq m_\phi^2 \leq 9H^2/4$

$$\phi_k \sim \eta^{\Delta_\pm} \quad \text{with} \quad \Delta_\pm = \frac{3}{2} \pm \sqrt{\frac{9}{4} - \frac{m_\phi^2}{H^2}}$$

Then, we can trade $\eta\partial_\eta \rightarrow \Delta_- \equiv \Delta$ and the isometries act on the future boundary as

$$\delta_D = -\left(\Delta + \vec{x} \cdot \vec{\nabla}\right) \phi \qquad \delta_{J_{ij}} = (x_i \nabla_j - x_j \nabla_i) \phi$$

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The de Sitter isometries act as conformal transformations on the fields at late times!

- The isometry algebra of de Sitter space, $so(4, 1)$, is identical to the conformal algebra on Euclidean 3-space

Spectator correlation functions

In cosmology, we are interested in late time correlation functions; these are constrained by the conformal symmetries: fields transform as primary operators of weight Δ

- 2-point function of a spectator in real space

$$\langle \phi(\vec{x}, t) \phi(\vec{x}', \eta) \rangle \sim |\vec{x} - \vec{x}'|^{-2\Delta} \quad \Delta = \frac{3}{2} - \sqrt{\frac{9}{4} - \frac{m_\phi^2}{H^2}}$$

- Or, in Fourier space

$$\delta_D \langle \phi_k \phi_k \rangle' = \left(2\Delta - 3 - \vec{k} \cdot \vec{\nabla}_k \right) \langle \phi_k \phi_k \rangle' \implies \langle \phi_k \phi_k \rangle' \sim \frac{1}{k^{3-2\Delta}}$$

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In cosmology, we are interested in late time correlation functions; these are constrained by the conformal symmetries: fields transform as primary operators of weight Δ

- 2-point function of a spectator in real space

$$\langle \phi(\vec{x}, t) \phi(\vec{x}', \eta) \rangle \sim |\vec{x} - \vec{x}'|^{-2\Delta} \quad \Delta = \frac{3}{2} - \sqrt{\frac{9}{4} - \frac{m_\phi^2}{H^2}}$$

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Slow roll inflation

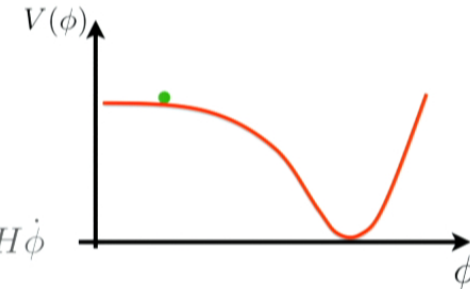
$$S = \int d^4x \sqrt{-g} \left(\frac{M_{\text{Pl}}^2}{2} R - \frac{1}{2} (\partial\phi)^2 - V(\phi) \right)$$

We have a scalar field rolling down

a nearly flat potential

$$3M_{\text{Pl}}^2 H^2 = \frac{1}{2} \dot{\phi}^2 + V(\phi)$$

$$\ddot{\phi} + 3H\dot{\phi} + V_{,\phi} = 0$$



- Slow-roll conditions: $\epsilon = \dot{\phi}^2 / 2M_{\text{Pl}}^2 H^2 \ll 1$; $\ddot{\phi} \ll H\dot{\phi}$
- The rolling field is the clock, inflation ends when it starts rolling too fast
- Fluctuations of this field are responsible for anisotropies:

Work in ζ -gauge: $\delta\phi = 0$; $h_{ij} = a^2(t) e^{2\zeta(\vec{x},t)} \delta_{ij}$

- The quadratic action is then
$$S = M_{\text{Pl}}^2 \int d^3x d\eta a^2 \epsilon \left(\zeta'^2 - (\vec{\nabla}\zeta)^2 \right)$$
- This leads to the power spectrum

$$\langle \zeta_k \zeta_k \rangle' = \frac{H^2}{M_{\text{Pl}}^2 \epsilon} \frac{1}{k^3} \propto k^{-3+(n_s-1)} \quad n_s - 1 = \delta_D \langle \zeta_k \zeta_k \rangle'$$

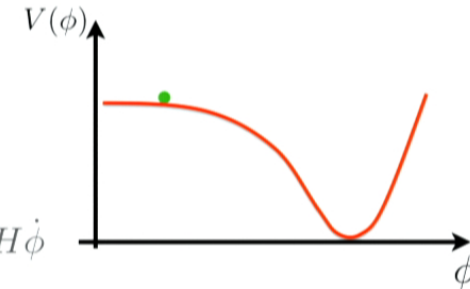
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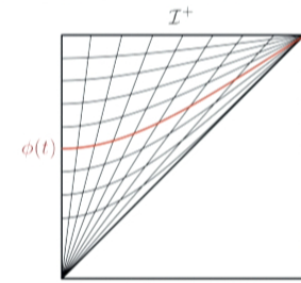
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The inflaton as a Goldstone

Inflation ends so the spacetime cannot be **exact** de Sitter

- EFT of inflation: Cheung, Creminelli, Fitzpatrick, Kaplan, Senatore 0709.0293



Assassi, Baumann, Green 1204.4207

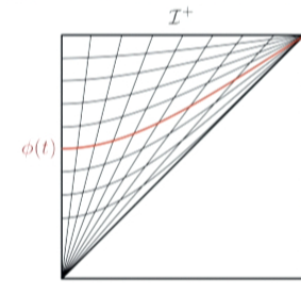
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broken dilation and special conformal transformations



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Creminelli, Norena, Simonovic 1203.4595
Hinterbichler, Hui, Khoury 1203.6351
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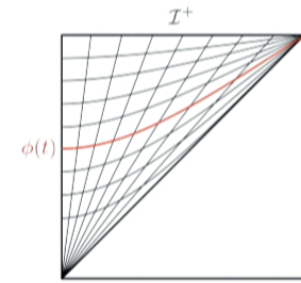
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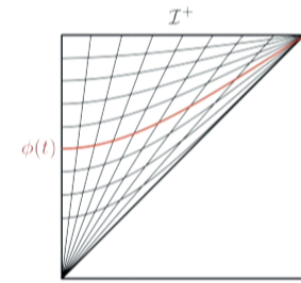
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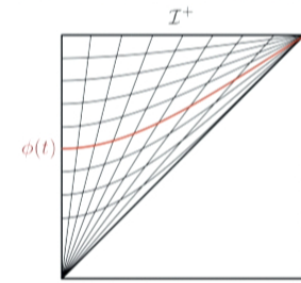
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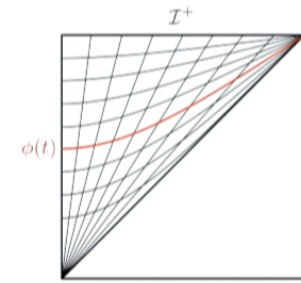
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Symmetries of the inflaton

ζ -gauge doesn't completely fix the gauge freedom Hinterbichler, Hui, Khoury 1203.6351

- There are residual “large gauge transformations,” which preserve the form of the spatial metric $h_{ij} = a^2 e^{2\zeta} \delta_{ij}$ but act non-linearly on ζ

$$\begin{aligned}\delta_D \zeta &= 1 + \vec{x} \cdot \vec{\nabla} \zeta \\ \delta_{K_i} \zeta &= 2x^i + \left(2x^i \vec{x} \cdot \vec{\nabla} - \vec{x}^2 \nabla_i \right) \zeta\end{aligned}$$

- The spatial translations and rotations act linearly on ζ . The commutators of these 10 symmetries give $so(4,1)$

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In Fourier space: $(\delta_D - \delta_s) \langle \zeta_k \zeta_k \rangle' = -3 - \vec{k} \cdot \vec{\nabla}_k \langle \zeta_k \zeta_k \rangle'$

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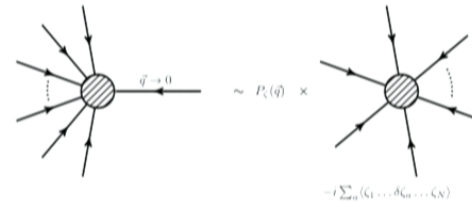
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Consistency relations

The non-linearly realized symmetries can also tell us something about correlation functions

- They constrain correlators in the limit where one of the external momenta is soft
- Originally derived using background wave arguments, but can also be seen as Ward identities (operator formalism, effective action, wavefunctionals)



Maldacena astro-ph/0210603
Creminelli, Zaldarriaga astro-ph/0407059
Cheung, Fitzpatrick, Kaplan, Senatore 0709.0295

Creminelli, Norena, Simonovic 1203.4595
Hinterbichler, Hui, Khoury 1203.6351
Assassi, Baumann, Green 1204.4207
Goldberger, Hui, Nicolis 1303.1193
Pimentel 1309.1793
Berezhiani, Khoury 1309.4461

More soft legs?

A reasonable question to ask is: *what happens if we take more legs soft?*

- Inspired by Pion physics, where the single-soft relation is trivial, but taking multiple soft legs is very interesting

$$\lim_{q_a, q_b \rightarrow 0} \langle \pi^a(q_a) \pi^b(q_b) \pi^{i_1}(k_1) \cdots \pi^{i_n}(k_n) \rangle = \frac{1}{2} \sum_j \frac{(q_a - q_b) \cdot k_j}{(q_a + q_b) \cdot k_j} \epsilon^{abc} \langle \pi^{i_1}(k_1) \cdots T_c \pi^{i_j}(k_j) \cdots \pi^{i_n}(k_n) \rangle$$

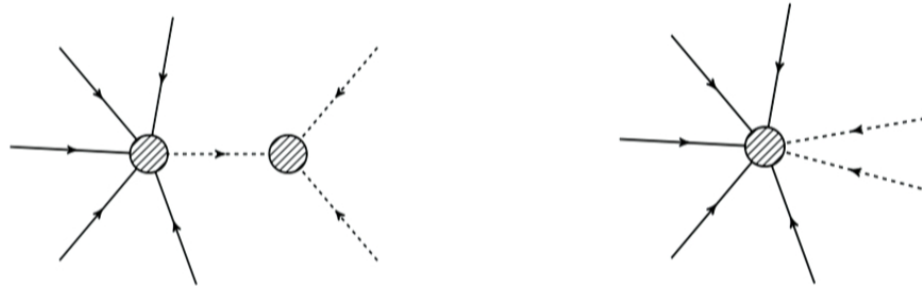
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offers many more consistency checks for inflation

More soft legs?

A reasonable question to ask is: *what happens if we take more legs soft?*

- Inspired by Pion physics, where the single-soft relation is trivial, but taking multiple soft legs is very interesting

$$\lim_{q_a, q_b \rightarrow 0} \langle \pi^a(q_a) \pi^b(q_b) \pi^{i_1}(k_1) \cdots \pi^{i_n}(k_n) \rangle = \frac{1}{2} \sum_j \frac{(q_a - q_b) \cdot k_j}{(q_a + q_b) \cdot k_j} \epsilon^{abc} \langle \pi^{i_1}(k_1) \cdots T_c \pi^{i_j}(k_j) \cdots \pi^{i_n}(k_n) \rangle$$

- Diagrammatically, we expect two types of contribution



- Indeed, this ends up being the case

$$\lim_{q_1, q_2 \ll k} \langle \zeta_{q_1} \zeta_{q_2} \zeta_{k_1} \cdots \zeta_{k_N} \rangle' = \langle \zeta_{q_1} \zeta_{q_2} \zeta_q \rangle \delta_D \langle \zeta_{k_1} \cdots \zeta_{k_N} \rangle' + P_\zeta(q_1) P_\zeta(q_2) \delta_D^2 \langle \zeta_{k_1} \cdots \zeta_{k_N} \rangle'$$

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- A natural question: does de Sitter have to be the *physical* metric? Can we cook up an *effective* de Sitter space?
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- We are therefore motivated to consider multiple field mechanisms

Khoury, Miller 1012.0846
Baumann, Senatore, Zaldarriaga 1101.320
AJ, Khoury 1107.3550
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Background Cosmology

Hinterbichler,AJ, Khoury 1202.6056
Hinterbichler,AJ, Khoury, Miller 1209.5742

- Imagine coupling the CFT minimally in Einstein frame $S = \int d^4x \sqrt{-g} \left(\frac{M_{\text{Pl}}^2}{2} R + \mathcal{L}_{\text{cft}}[g] \right)$
- Dilation symmetry tells us that we must have

$$\rho_{\text{cft}} = 0 ; \quad P_{\text{cft}} = \frac{\beta}{t^4}$$

where $\rho_{\text{cft}} \simeq 0$ follows from energy conservation at zeroth order in M_{Pl}

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$$H(t) \simeq \frac{\beta}{6t^3 M_{\text{Pl}}^2}$$

which implies that the *equation of state parameter* is

$$w = \frac{P}{\rho} \sim t^2 M_{\text{Pl}}^2$$

- Which is very large: recall that $w \gg 1$ corresponds to a *slowly contracting* universe, which is driven to be flat, homogeneous and isotropic

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Symmetry considerations

$$\bar{\phi}(t) = \sqrt{\frac{2}{\lambda}} \frac{1}{(-t)}$$

- The field getting a profile breaks some of the symmetries
 - Time-translations
 - Boosts
 - Zero component of SCT
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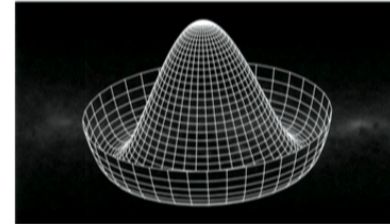
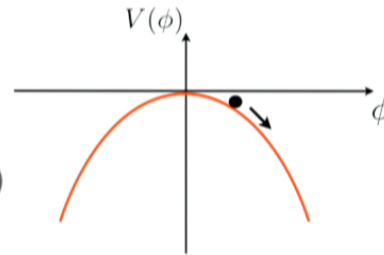
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- In fact, most of the relevant physics follows solely from the symmetry breaking pattern $so(4, 2) \rightarrow so(4, 1)$

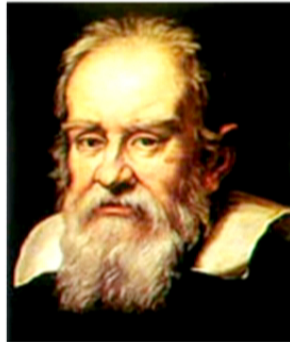
Other examples

- Negative quartic; negative U(1)

Craps, Hertog, Turok 0712.4180
Rubakov 0906.3693
Hinterbichler, Khoury 1106.1428



- Galilean genesis



utilizes a higher-derivative scalar field theory - conformal **galileon**

the symmetry-breaking solution violates the null energy condition

Creminelli, Nicolis, Trincherini 1007.0027
Creminelli, Hinterbichler, Khoury, Nicolis, Trincherini 1209.3768

- DBI - a brane probing AdS

exploits the isomorphism between the conformal group and the group of isometries of AdS in one higher dimension



Hinterbichler, Khoury 1106.1428
Hinterbichler, AJ, Khoury, Miller 1209.5742

Generalities

Hinterbichler, Khoury 1106.1428
Hinterbichler, AJ, Khoury 1202.6056

- We can use non-linear realization techniques to construct the most general low-energy effective action for the symmetry breaking pattern
 - The main tool is the **coset construction**, most familiar from pion physics
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$$\omega_{\hat{P}}^m = de^\pi \bar{e}_\mu^m dy^\mu ,$$

$$\omega_D = d\pi + 2e^\pi \xi_m \bar{e}_\mu^m dy^\mu , \quad \text{Inverse Higgs: } \xi_\mu = -\frac{1}{2} e^{-\pi} \partial_\mu \pi$$

$$\omega_K^m = d\xi^m - \omega_{\text{spin}}^{mn} \xi_n + 2e^\pi \xi_n \xi^m \bar{e}_\mu^n dy^\mu - e^\pi \xi^2 \bar{e}_\mu^m dy^\mu - \frac{H^2}{2} \sinh \pi \bar{e}_\mu^m dy^\mu + \xi^m d\pi ,$$

$$\frac{1}{2} \omega_J^{mn} = e^\pi dy^\mu (\xi^n \bar{e}_\mu^m - \xi^m \bar{e}_\mu^n) + \omega_{\text{spin}}^{mn} .$$

The two derivative action for breaking $so(4, 2) \rightarrow so(4, 1)$ is then given by

$$S_\pi = M_\pi^2 \int d^4x \sqrt{-g_{\text{dS}}} \left(-\frac{1}{2} e^{2\pi} (\partial\pi)^2 - H^2 e^{2\pi} + \frac{H^2}{2} e^{4\pi} \right) \quad g_{\mu\nu}^{\text{eff}} = \frac{1}{H^2 t^2} \eta_{\mu\nu}$$

Non-linearly realized symmetries

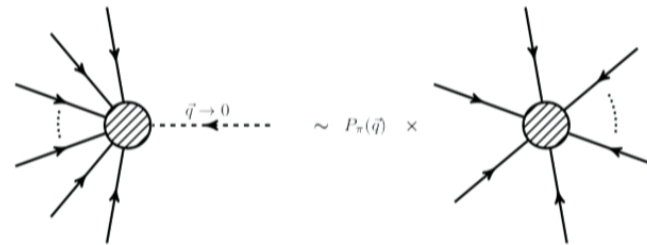
Creminelli, AJ, Khoury, Simonovic 1212.3329

- The spectator fields enjoy linearly realized $so(4,1)$ symmetry by construction, this constrains their correlation functions - **same amount as spectator in inflation**
- However, here there are **additional** non-linearly realized symmetries due to the broken conformal symmetry. This leads to relations between correlation functions with soft Goldstone fields
- The broken symmetries are non-linearly realized on π as

$$\delta_{P_0} \pi = \frac{1}{t} - \partial_t \pi ;$$

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- These symmetries imply **consistency relations** - sharp observational test of the mechanism

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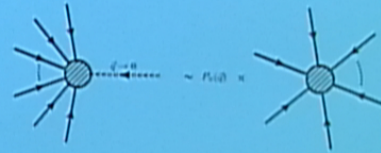
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Creminelli, A.; Khoury, Simonovic 1212.3329

- Consider a (broken) time translation, this induces a π profile of the form

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- We then use $c = -t\pi_L$ and multiply by π_L and average

$$\lim_{q \rightarrow 0} \langle \pi_q \phi_1 \cdots \phi_N \rangle = -P_\pi(q) t \frac{d}{dt} \langle \phi_1 \cdots \phi_N \rangle$$

- There are *also* identities corresponding to **boosts** and **SCT**

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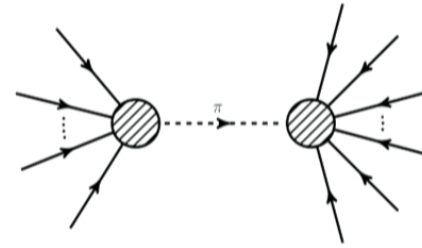
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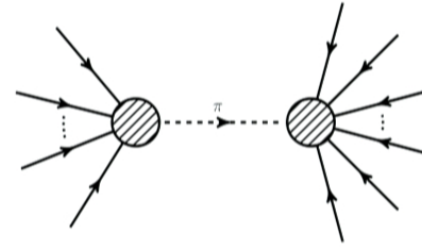
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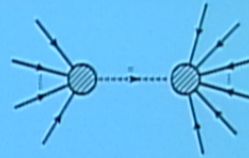
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There are two distinct contributions to the 4-point function

Libanov, Mironov, Rubakov 1012.5737
Creminelli, AJ, Khoury, Simonovic 1212.3329

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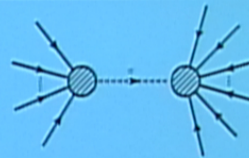
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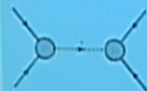
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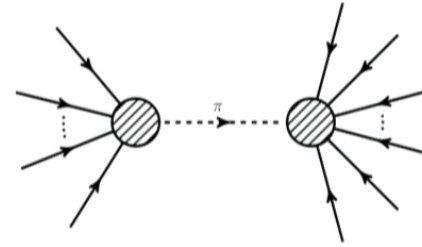


$$\sim \frac{1}{q k_1^4 k_3^4} \left(3(\vec{k}_1 \cdot q)^2 - 1 \right) \left(3(\vec{k}_2 \cdot q)^2 - 1 \right)$$

can lead to realization-dependent anisotropy of the power spectrum

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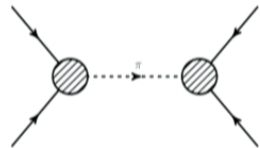
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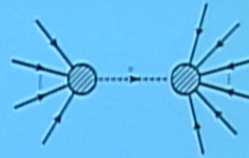


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$$\sim \frac{1}{q^3 k_1^3 k_2^3} \log \frac{q}{\Lambda}$$

can lead to CMB μ -distortion and stochastic scale-dependent bias

$$4\text{pt bounds} \implies k^{-3} P_\pi(k) \lesssim 1$$

Null energy condition

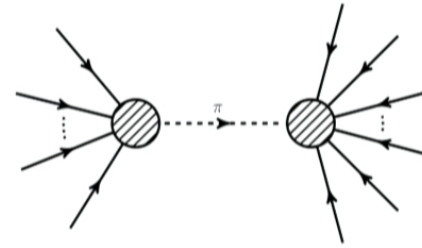
- At some point, all alternatives to inflation have to violate the null energy condition

$$T_{\mu\nu}n^\mu n^\nu \geq 0 \stackrel{\text{fluid}}{\implies} \rho + P \geq 0$$

- Friedmann equations tell us $M_{\text{pl}}^2 \dot{H} = -\frac{1}{2}(\rho + P)$
- To have $\dot{H} > 0$, we must violate the NEC
- Whether or not this is possible is an open question - typically theories which violate the NEC exhibit pathologies (e.g. superluminality, ghosts, gradients)

Soft internal lines

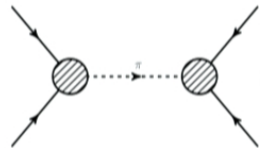
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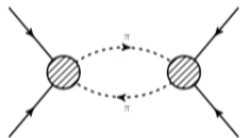
There are two distinct contributions to the 4-point function

Libanov, Mironov, Rubakov 1012.5737
Creminelli, AJ, Khoury, Simonovic 1212.3329



$$\sim \frac{1}{q k_1^4 k_3^4} \left(3(\hat{k}_1 \cdot q)^2 - 1 \right) \left(3(\hat{k}_2 \cdot q)^2 - 1 \right)$$

can lead to realization-dependent anisotropy of the power spectrum



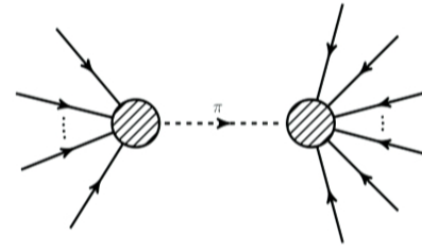
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$$\text{4pt bounds} \implies k^3 P_\pi(k) \lesssim 1$$

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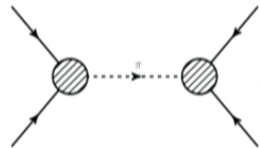
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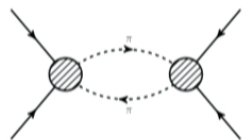
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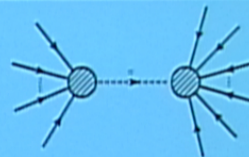
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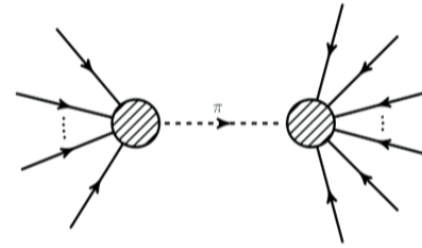
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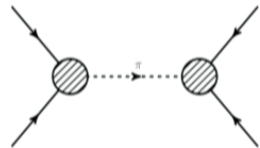
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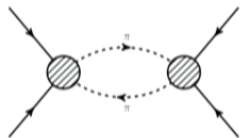
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- At some point, all alternatives to inflation have to violate the null energy condition

$$T_{\mu\nu}n^\mu n^\nu \geq 0 \xrightarrow{\text{fluid}} \rho + P \geq 0$$

- Friedmann equations tell us $M_{\text{Pl}}^2 \dot{H} = -\frac{1}{2}(\rho + P)$
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NEC: $\rho + P = 2XP_{,X}$ If we expand about $\phi = \bar{\phi}(t) + \varphi$

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An example of the general framework discussed!

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Toward a stable violation - DBI genesis

Hinterbichler, AJ, Khoury, Miller 1212.3607, PRL (2013)

This can be fixed!

- Consider the theory of a brane probing AdS

$$ds^2 = Z^{-2}dZ^2 + Z^2\eta_{\mu\nu}dX^\mu dX^\nu$$

- The induced metric on the brane is

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- We consider the world-volume theory of Lovelock invariants and their boundary terms

$$\mathcal{L} = c_1\mathcal{L}_1 + c_2\mathcal{L}_2 + c_3\mathcal{L}_3 + c_4\mathcal{L}_4 + c_5\mathcal{L}_5$$

$$\mathcal{L}_1 = -\frac{1}{4}\phi^4$$

$$\mathcal{L}_2 = -\sqrt{-\bar{g}}$$

$$\mathcal{L}_3 = \sqrt{-\bar{g}}K$$

$$\mathcal{L}_4 = -\sqrt{-\bar{g}}\bar{R}$$

$$\mathcal{L}_5 = \frac{3}{2}\sqrt{-\bar{g}}\left(-\frac{K^3}{3} + K_{\mu\nu}^2K - \frac{2}{3}K_{\mu\nu}^3 - 2\bar{G}_{\mu\nu}K^{\mu\nu}\right)$$

- Then the goal is to choose the coefficients so that the theory has nice properties

How do we do?

Hinterbichler, AJ, Khoury, Miller 1212.3607, PRL (2013)

- Coefficients can be chosen to violate the NEC; it represents an improvement over previous attempts

	Ghost condensate	Galilean genesis	DBI genesis
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No ghosts	✓	✓	✓
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Poincaré vacuum	✗	✗	✓
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Conclusions

- Three different sets of symmetries to describe the near scale-invariance of primordial perturbations
 - **multi-field inflation** - $so(4, 1)$
 - **single-field inflation** - $so(4, 1) \rightarrow$ translations & rotations
 - **conformal mechanism** - $so(4, 2) \rightarrow so(4, 1)$
- Large scale homogeneity and isotropy:
 - **inflation** - accelerated expansion
 - **conformal mechanism** - very stiff equation of state; no gravity waves

The different symmetries show up in correlation functions (particularly through **soft limits**), and are observationally distinguishable

- Is it possible to violate the NEC in a completely healthy way?

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