

Title: Toward a Higher-Spin Dual of Interacting Field Theories

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Abstract: We show explicitly how the exact renormalization group equation of interacting vector models in the large N limit can be mapped into certain higher-spin equations of motion. The equations of motion are generalized to incorporate a multiparticle extension of the higher-spin algebra, which reflects the "multitrace" nature of the interactions in the dual field theory from the holographic point of view.

# Toward a Higher-Spin Dual of Interacting Field Theories

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Based on arXiv:1303.6641 LPZ and Cheng Peng  
Work in Progress, R. Leigh, D. Minic, LPZ, O. Parrikar, D. Vaman



## Outline

- Motivation: The inspiring tale of the duality between Sine-Gordon and massive Thirring.
- Some attempts at proving Holography (AdS/CFT).
- Wilson-Polchinski: Exact Renormalization Group Equations.
- DMR: ERG of free VM  $\mapsto$  HS (not Vasiliev's).
- Interactions (PZP): Multi-trace deformations  $\mapsto$  Multiparticle HS algebra.
- Conclusions and open problems.

## Duality $\mapsto$ Bosonization (tool)

- Precursor: Skyrme (Soliton modes of the sine-Gordon equation are fermions whose interaction is Thirring.)
- Understood beyond perturbation theory, including solitons: Mandelstam.
- Non-abelian bosonization: Witten and Polyakov-Wiegmann.
- The role of symmetries (2d algebras):  $\partial_+ J_- = 0$  and  $\partial_- J_+ = 0$ .
- Remark: The Fermi field  $\psi$  has a complicated non-local expression in term of  $\phi$ . Fermion bilinears take a simple form.

## Some approaches to proving Holographic dualities

- Gopakumar: Constructing the string theory a la 't Hooft, "From free fields to AdS," 2003.
- Berkovits: From string theory to a field theory (zero radius limit): Berkovits-Vafa "Towards a Worldsheet Derivation of the Maldacena Conjecture," (2007) and Berkovits "Perturbative Super-Yang-Mills from the Topological  $AdS_5 \times S^5$  Sigma Model" (2008)
- Jevicki et al. "Bi-local Model and Higher Spin Gravity" (2003- )
- Douglas-Mazzucato-Razamat: "Holographic Dual of Free Field Theory," (2010) [ERG  $\mapsto$  HS]

## AdS/CFT: What do we know?

- Main Statement of AdS/CFT

$$Z_{string}[\phi \rightarrow \phi_0] = \langle \exp \left( - \int \phi_0 \mathcal{O} \right) \rangle_{QFT}.$$

- Scale invariance:  
Field Theory ( $\vec{x} \rightarrow \lambda \vec{x}$ )  $\Leftrightarrow$  AdS spacetime ( $\vec{x} \rightarrow \lambda \vec{x}, r \rightarrow \lambda r$ )

$$ds^2 = \frac{dr^2 + d\vec{x}^2}{r^2}.$$

- The UV/IR relation (Susskind):  $r \Leftrightarrow 1/\Lambda$   
Holographic renormalization: Regularization, counterterms;  $n$ -point functions.

## Higher Spin/Vector Model Duality

- Klebanov-Polyakov, Sezgin-Sundell 2002.
- Vasiliev's  $A$ -type minimal bosonic theory in  $\text{AdS}_4$  (with  $\Delta = 1$ ) is holographically dual to the free  $O(N)$  vector model.
- Vasiliev's  $A$ -type minimal bosonic theory in  $\text{AdS}_4$  (with  $\Delta = 2$ ) is holographically dual to the critical  $O(N)$  vector model (Wilson-Fisher).
- Vasiliev's  $B$ -type minimal bosonic theory in  $\text{AdS}_4$  (with  $\Delta = 2$ ) is holographically dual to the free  $O(N)$  fermion model.
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## ERG: Polchinski

- Non-perturbative RG equations:  $S = S_K + S_{int}$ .
- $K(\Lambda)$  cutoff function: vanishes for  $p^2 > \Lambda^2$  and  $K \rightarrow 1$  for  $p^2 < \Lambda^2$
- $d_\Lambda Z = 0 \Rightarrow$

$$d_\Lambda S_{int} = - \int d^{(D)}p \frac{d_\Lambda K(p^2/\Lambda^2, \vec{e})}{K(p^2/\Lambda^2, \vec{e})} \left( 1 + \frac{K(p^2/\Lambda^2, \vec{e})}{p^2} \left( \frac{\partial S_{int}}{\partial \bar{\phi}(p)} \frac{\partial S_{int}}{\partial \phi(p)} + \frac{\partial^2 S_{int}}{\partial \bar{\phi}(p) \partial \phi(p)} \right) \right),$$

$$d_\Lambda = \Lambda \partial / \partial \Lambda$$

- 1: Field independent choice of normalization.



- What is the “meaning” of ERG? (Diagrammatically speaking)
- Non-pertubative approach!

$$\frac{\partial \mathcal{S}_{int}}{\partial \phi} \frac{\partial \mathcal{S}_{int}}{\partial \phi} : \text{Diagram} \longrightarrow \text{Diagram} : 3 \rightarrow 4$$

$$\frac{\partial^2 \mathcal{S}_{int}}{(\partial \phi)^2} : \text{Diagram} \longrightarrow \text{Diagram} : 6 \rightarrow 4$$

- A given interaction (4-point) gets contributions from lower  $(\partial \mathcal{S}_{int}/\partial \phi)^2$  (3-point) and higher  $\partial^2 \mathcal{S}_{int}/\partial^2 \phi$  (6-point) interactions.

## Covariantizing ERG

- Allow the cutoff function to depend on a reference point  $\vec{e}$ .
- A GR motivated move toward ERG covariantization but it makes sense on its own

$$d_{e^a} S_{\text{int}} = - \int d^{(D)}x \frac{d_{e^a} K(\square/\Lambda^2, \vec{e})}{K(\square/\Lambda^2, \vec{e})} \left( 1 + \frac{K(\square/\Lambda^2, \vec{e})}{\square} \left( \frac{\partial S_{\text{int}}}{\partial \bar{\phi}(x)} \frac{\partial S_{\text{int}}}{\partial \phi(x)} + \frac{\partial^2 S_{\text{int}}}{\partial \bar{\phi}(x) \partial \phi(x)} \right) \right),$$

$$\square \equiv \partial_b \partial^b$$

- Just a delta function for momentum conservation in the vertex in the standard textbook case.

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## DMR: ERG for Free VM

- $N$  free complex scalar fields  $\phi^A(x)$  in  $D$  dimensions (Momentum space)

$$S = \int d^D p d^D q (P(p, q) - B(p, q)) \bar{\phi}^A(q) \phi^A(p),$$

$$P(p, q) = p^2 K^{-1}(p^2/\Lambda^2) \delta^{(D)}(p - q),$$

- Quadratic action for “interacting” piece as:

$$S_{int} = \int d^D p d^D q B(p, q) \bar{\phi}^A(q) \phi^A(p).$$

- Bi-local interaction, collective notation  $J_s \sim \phi^A \partial_{\mu_1} \dots \partial_{\mu_s} \phi^A$ , a set of singlet conserved currents in the free field theory.

$$\Lambda \frac{\partial B(p, q)}{\partial \Lambda} = - \int \frac{d^D s}{s^2} \Lambda \frac{\partial K(s^2/\Lambda^2, \vec{e})}{\partial \Lambda} B(s, q) B(p, s).$$

$$\alpha_r = \frac{d_\Lambda K(p^2/\Lambda^2, \vec{e})}{p^2} \delta^{(D)}(p - q),$$

$$B(p/\Lambda, q/\Lambda) = \Lambda^{2-D-|s|-|t|} B_{\underline{st}} p^s q^t,$$

$$\alpha_r^{st} = \Lambda^{2-D-|s|-|t|} \int d^D p \int d^D q \alpha_r(p, q) p^s q^t,$$

$$\frac{d}{d\Lambda} B_{\underline{st}} = -B_{\underline{si}} \alpha_r^{ij} B_{\underline{jt}} + \Lambda^{-1} (|s| + |t| + D - 2) B_{\underline{st}}.$$

- First term dynamic; second term kinematic (scaling).

## Schematic story

$$d_\Lambda B(p, q) = -B(p, s) \bullet_s B(s, q).$$

$$\begin{aligned} \alpha_r(p, q) &\implies \alpha_r^{st}, \\ B(p/\Lambda, q/\Lambda) &\implies B_{st} \end{aligned}$$

- Where is geometry hiding in the RG equations?
- First equation looks like a **flat connection** where the product  $\bullet_s$  is convolution.
- $\bullet_s$  is already pointing to a **star product** (integral formulation).

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## Higher Spin Theories

- First order gravity  $(e_\mu^a dx^\mu, \omega_\mu^{ab} dx^\mu)$ :

$$de^a + \omega^a_b \wedge e^b = 0, \quad R^{ab} = d\omega^{ab} + \omega^{ac} \wedge \omega_c^b.$$

- Unifying theme:  $W = e_\mu^a dx^\mu P_a + \omega_\mu^{ab} dx^\mu M_{ab}$ :

$$dW + W \wedge W = \begin{cases} (de^a + \omega^a_b \wedge e^b) & P_a \\ + (d\omega^{ab} + \omega^{ac} \wedge \omega_c^b) & M_{ab} \end{cases}$$

- HS theories: Promote  $(P_a, M_{ab})$  to a HS algebra, introduce the \*-product and impose flatness conditions:

$$W_\mu = e_\mu^a P_a + \omega_\mu^{ab} M_{ab} \mapsto W = \sum_n W_{i_1 \dots i_n} y^{i_1} \dots y^{i_n},$$

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- MacDowell-Mansouri and Stelle-West: Bundle, Chern-Simons, Young Tableaux, etc.

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- MacDowell-Mansouri and Stelle-West: Bundle, Connection, Section, Young Tableaux, etc.

## The DMR HS System

- Master fields:  $(B, W, \tilde{W})$
- Equations of Motion:

$$\begin{aligned} dB + W * B - B * \tilde{W} &= 0, \\ dW + W \wedge *W &= 0, \quad d\tilde{W} + \tilde{W} \wedge *\tilde{W} = 0, \end{aligned}$$

- Gauge transformations:

$$\begin{aligned} \delta W &= d\epsilon + [W, \epsilon]_*, & \delta \tilde{W} &= d\tilde{\epsilon} - [\tilde{W}, \tilde{\epsilon}]_*, \\ \delta B &= B * \tilde{\epsilon} - \epsilon * B. \end{aligned}$$

## $AdS$ as a solution (with lowest generators)

$$W_{\mu}^{(0)} = \frac{1}{r} P_{\mu},$$

where

$$\begin{aligned} P_r &= \bar{z}_r z^{\bullet} - \bar{z}_{\bullet} z^r, \\ P_a &= \bar{z}_a (z^{\bullet} - z^r) - (\bar{z}_{\bullet} - \bar{z}_r) z^a. \end{aligned}$$

The connection satisfies the flatness condition evaluated using the star product

$$dW^{(0)} + W^{(0)} * W^{(0)} = 0.$$

## Interactions in Vector Models

- Turning on an irrelevant interaction; only singlet sector ( $A_j$ )

$$S = \int d^D p d^D q (P(p, q) - B^{(1)}(p, q)) \bar{\phi}(q)^A \phi(p)^A$$

$$- \frac{1}{N^{n-1}} \int \left( \prod_{j=1}^n d^D p_j d^D q_j \bar{\phi}^{A_j}(q_j) \phi^{A_j}(p_j) \right) B^{(n)}(p_1, \dots, p_n, q_1, \dots, q_n).$$

- ERG equation for  $B^{(n)}$

$$d_\Lambda B^{(n)}(p_1, \dots, p_n, q_1, \dots, q_n) = - \int d^D r \frac{d_\Lambda K(r^2/\Lambda^2, \vec{e})}{r^2} \times$$

$$\sum_{k=1}^n \left( B^{(n)}(\underline{p}, \underline{q}) \Big|_{q_k=r} B^{(1)}(r, q_k) + B^{(n)}(\underline{p}, \underline{q}) \Big|_{p_k=r} B^{(1)}(p_k, r) \right).$$

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## Multiparticle HS Algebra

- 

$$M(A) = \sum_{n=0}^{\infty} \oplus \text{Sym} \underbrace{A \otimes A \otimes \dots \otimes A}_n. \quad (1)$$

- The multiplication rules among them are defined

$$\Xi_i = (1, y_i^\mu, z_i^\mu, y_i^\mu y_i^\nu, \dots)$$

$$\Xi_i^\alpha * \Xi_i^\beta = f_\gamma^{\alpha\beta} \Xi_i^\gamma, \quad \Xi_i^\alpha * \Xi_j^\beta = \Xi_j^\beta * \Xi_i^\alpha,$$

where  $i, j$  labels different sets of auxiliary variables and  $\alpha, \beta$  labels different basis in one set of the basis.

- The multiparticle algebra can be realized as a subalgebra of the enveloping algebra of HS whose generators are polynomials of  $\Xi_i$  that are symmetric under the  $S_n$  permutation group of different species.
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## The Calculation

$$\begin{aligned}
& (d_r B + W_r * B - B * \widetilde{W}_r) r^2 \\
&= \sum_{\underline{s}, \underline{t}} \left[ d_\Lambda B_{\underline{s}\underline{t}} + B_{\underline{s}\underline{x}} \alpha^{\underline{x}\underline{y}} B_{\underline{y}\underline{t}} - \Lambda^{-1} (|\underline{s}| + |\underline{t}| + D - 2) B_{\underline{s}\underline{t}} \right] \sum_j C(\Xi_j, s, t) \\
&+ \frac{1}{N^{n-1}} \sum_{\sigma \in S_N} \sum_{\underline{s}_i, \underline{t}_i} \left[ d_\Lambda B_{\underline{s}_1, \dots, \underline{t}_n}^{(n)} + \Lambda^{-1} (n(2 - D) - \sum_i (|\underline{s}_i| + |\underline{t}_i|)) B_{\underline{s}_1, \dots, \underline{t}_n}^{(n)} \right. \\
&- \left. \sum_{k=1}^n \left( (B_{\underline{s}_1, \dots, \underline{t}_n}^{(n)} |_{\underline{t}_k=\underline{a}}) \alpha^{\underline{a}, \underline{b}} B_{\underline{b}, \underline{t}_k}^{(1)} + B_{\underline{s}_k, \underline{a}}^{(1)} \alpha^{\underline{a}, \underline{b}} (B_{\underline{s}_1, \dots, \underline{t}_n}^{(n)} |_{\underline{s}_k=\underline{b}}) \right) \right] \prod_{j=1}^n C(\Xi_{\sigma(j)}, s_j, t_j) \\
&+ \frac{1}{N^{2n-2}} \sum_{\sigma, \sigma' \in S_N} \left( \sum_{k=1}^n \sum_{\underline{s}_i, \underline{t}_i, \underline{u}_i, \underline{v}_i} B_{\underline{s}_1, \dots, \underline{s}_n, \underline{t}_1, \dots, \underline{t}_n}^{(n)} |_{\underline{t}_k=\underline{a}} \alpha^{\underline{a}, \underline{b}} B_{\underline{u}_1, \dots, \underline{u}_n, \underline{v}_1, \dots, \underline{v}_n}^{(n)} |_{\underline{u}_k=\underline{b}} \right) \\
&\quad \times \prod_{j=1}^n C(\Xi_{\sigma(j)}, s_j, t_j) C(\Xi_{\sigma'(j)}, u_j, v_j),
\end{aligned}$$

## Comments on the calculation

- Structure of the HS equation:

$$HS(B^{(1)}, B^{(n)}) = [ERG(B^{(1)})] + [ERG(B^{(n)})] + \mathcal{O}(1/N). \quad (2)$$

- The square brackets vanish by virtue of the ERG equations.
- The last term vanishes in the large- $N$  limit for  $n \geq 3$ , irrelevant deformations of the vector model.
- HS side: The connection is only flat in the large- $N$  limit.

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- Full list (Ansatz) of Master Fields: ERG data  $\mapsto$  Master Fields

$$B = \sum_i B^{(1)}(\Xi_i) + \frac{1}{N^{n-1}} \sum_{j_1, \dots, j_n} B^{(n)}(\Xi_{j_1}, \dots, \Xi_{j_n})$$

$$W_r^{(0)} = \frac{1}{r} \sum_{j=1}^n \left( (z_j)_r (z_j)^\bullet - (z_j)_\bullet (z_j)^r \right)$$

$$W_a^{(0)} = \frac{1}{r} \sum_{j=1}^n \left( (z_j)_a \left( (z_j)^\bullet - (z_j)^r \right) - \left( (z_j)_\bullet - (z_j)_r \right) (z_j)^a \right)$$

$$\widetilde{W} = W^{(0)}, \quad W = W^{(0)} + \delta W, \quad \delta W = B * \sum_k \alpha_\mu(\Xi_k),$$

## The problem with a relevant interaction

- ERG with a  $B^{(2)}$  coupling:

$$\begin{aligned} d_\Lambda B^{(1)} &= B^{(1)} \cdot \alpha \cdot B^{(1)} + \alpha \cdot B^{(2)} \\ d_\Lambda B^{(2)} &= B^{(1)} \cdot \alpha \cdot B^{(2)} + B^{(2)} \cdot \alpha \cdot B^{(1)} + \alpha \cdot B^{(3)}. \end{aligned} \tag{3}$$

- Going to HS with  $B^{(2)}$

$$B = \sum B^{(1)} + \frac{1}{N^{n-1}} \sum_{j_1, \dots, j_n} B^{(n)}(\Xi_{j_1} \dots \Xi_{j_n})$$

- Your flat connection (from  $B^{(1)}$ ) is no longer flat as in DMR.
- $B^{(2)}$  enters  $B$  above with  $1/N$  but it contributes with a trace to  $d_\Lambda B^{(1)}$ , thus  $\mathcal{O}(1)$ .
- $B^{(n)}$  for  $n \geq 3$ : Does not affect the  $B^{(1)}$  equation and the trace still leads to  $1/N^{n-1}$ , general case.

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## Ansatz for the Master Fields

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$$\widetilde{W} = W^{(0)}, \quad W = W^{(0)} + \delta W, \quad \delta W = B * \sum_k \alpha_\mu(\Xi_k),$$

## Conclusions

- Explicit example of: Multi-trace deformations  $\Leftrightarrow$  multi-particle states in the bulk.
- Large- $N$  limit: Extra terms in the ERG and the curvature in the HS vanish only in large  $N$ . Introduction of interactions in the VM breaks the HS symmetry [MZ].
- Example of the “RG=GR” equation with GR=HS.
- Constructive duality: start with the ERG and move towards a HS equation of motion.
- A step toward a “covariantization” of the ERG equations which is needed to make full contact with any covariant gravity theory.

## Some Open Questions

- Is DMR  $\Leftrightarrow$  Vasiliev? (This is equivalent to a proof of the VM/HS duality)
- DMR (ERG)  $\Leftrightarrow$  Jevicki?
- 3-point functions in DMR and the free  $O(N)$  model.
- Full Covariant version of RG (Sung-Sik Lee).
- Fermionic version of HS/VM duality.
- What about 2d: CFT<sub>2</sub>/HS on AdS<sub>3</sub>?
- 80's: 2d NLSM  $\beta = 0 \Leftrightarrow$  SUGRA EoM. Not an argument for holography but worth revisiting.
- Applications to  $\mathcal{N} = 4$  SYM toward IIB.