

Title: A 3d Boson Topological Insulator and the "Statistical Witten Effect"

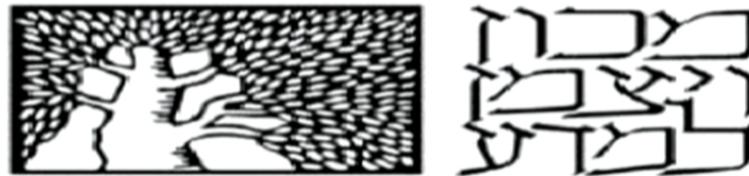
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Abstract: Electron topological insulators are members of a broad class of "symmetry protected topological" (SPT) phases of fermions and bosons which possess distinctive surface behavior protected by bulk symmetries. For 1d and 2d SPTs the surfaces are either gapless or symmetry broken, while in 3d, gapped symmetry-respecting surfaces with (intrinsic) 2d topological order are also possible. The electromagnetic response of (some) SPTs can provide an important characterization, as illustrated by the Witten effect in 3d electron topological insulators. Using a 3d parton-gauge theory construction, we have recently developed a dyon condensation approach to access exotic new phases including some 3d bosonic SPTs. A bosonic SPT with both time-reversal and charge conservation symmetries, is thereby obtained, a phase which supports a gapped, symmetry-unbroken 2d surface with topological order - a toric code with charge one-half anyons. The 3d electromagnetic response of this bosonic SPT phase is quite remarkable - an external magnetic monopole can remain charge neutral, but is statistically transmuted becoming a fermion - a "statistical Witten effect" that characterizes the phase.

Non-Abelian Anyons on Fractional Quantum Hall Edges

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**Netanel Lindner, EB, Gil Refael, and Ady Stern,
PRX 2, 041002 (2012)**

**Johannes Motruk, EB, Ari Turner, and Frank Pollmann,
arXiv:1303.2194**

See also:

Shtengel, and J. Alicea,
Nature Commun. 4, 1348 (2013)

M. Cheng,
Phys. Rev. B 86, 195126 (2012)

A. Vaezi,
Phys. Rev. B 87, 035132 (2013)

M. Barkeshli, C.-M. Jian, and X.-L. Qi,
Phys. Rev. B 87, 045130 (2013)

M. Hastings, C. Nayak, and Z. Wang,
arXiv:1210.5477

Majorana fermions in a superconducting wire



Kitaev (2002), Sau et al. (2010), Oreg et al. (2010), ...

Majorana fermions in a superconducting wire



$$H = \sum_{i,j} \left[-t_{ij} (c_i^\dagger c_j + H.c.) + \Delta_{ij} (c_i^\dagger c_j^\dagger + H.c.) \right] - \sum_i \mu c_i^\dagger c_i$$

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Two degenerate ground states, “Majorana edge modes”.

- The two states correspond to a total even or odd number of electrons in the system.
- Ground state degeneracy is “topological”: no local measurement can distinguish between the two states!

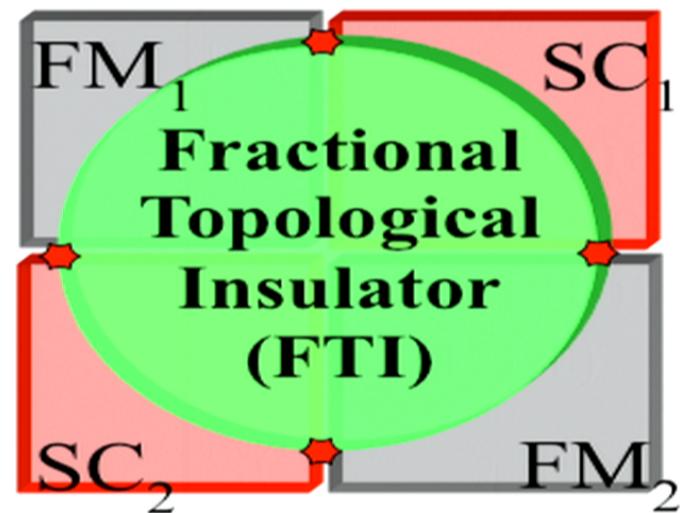
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Outline

Consider boundaries of 2D a topological phase which supports (abelian) anyons.

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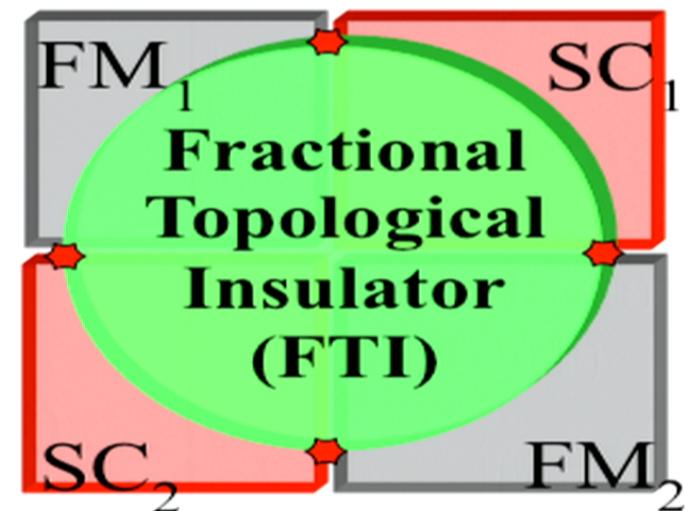
Consider boundaries of 2D a topological phase which supports (abelian) anyons.

“Fractional topological insulator”:

Laughlin Quantum Hall state with:

$v = 1/m$ for spin up

$v = -1/m$ for spin down (m odd)

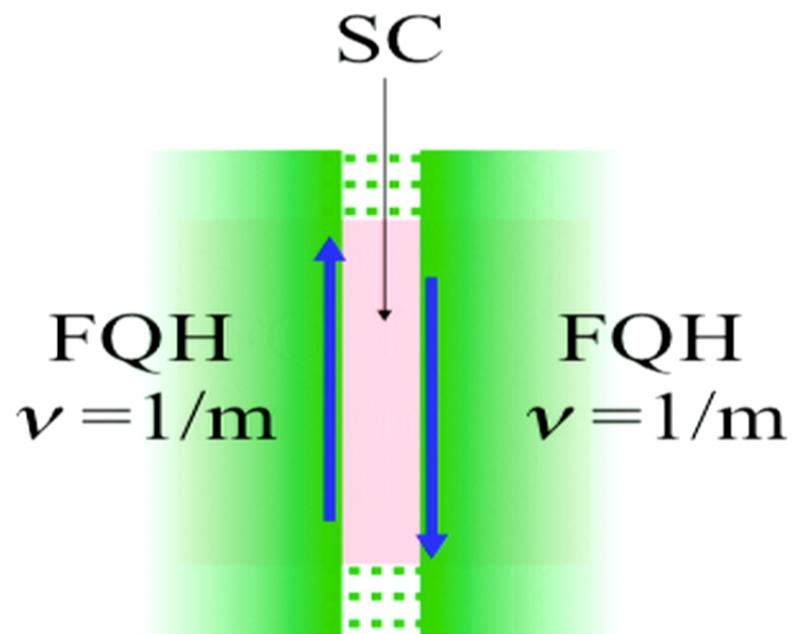


Stable phase: Levin and Stern (2010)

Majorana fermions at SC/FM interfaces: Fu and Kane (2009)

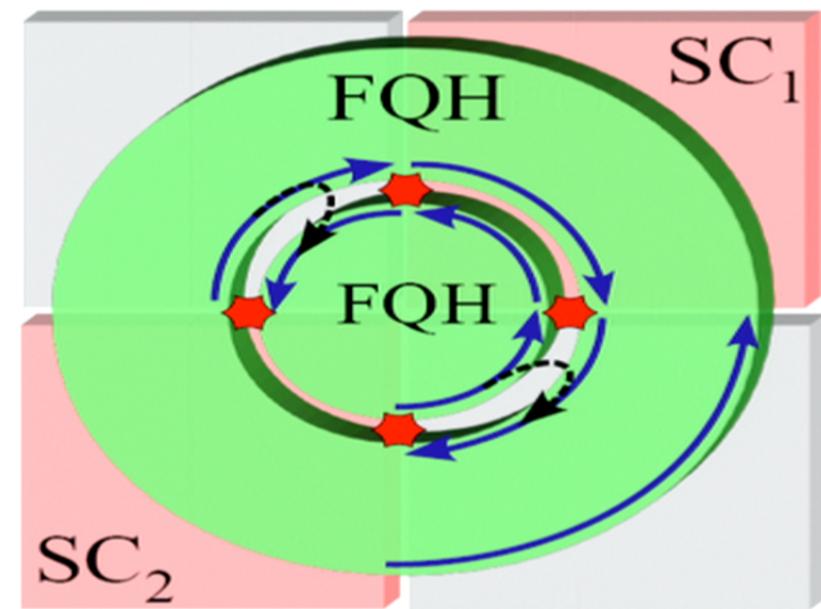
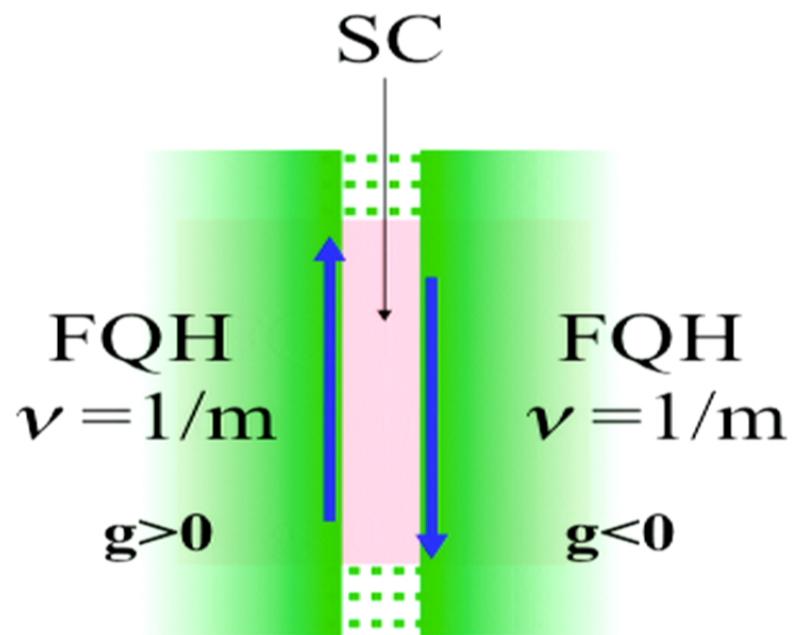
Fractional Quantum Hall realization

Conceptually, this can be realized without a fractional topological insulator.



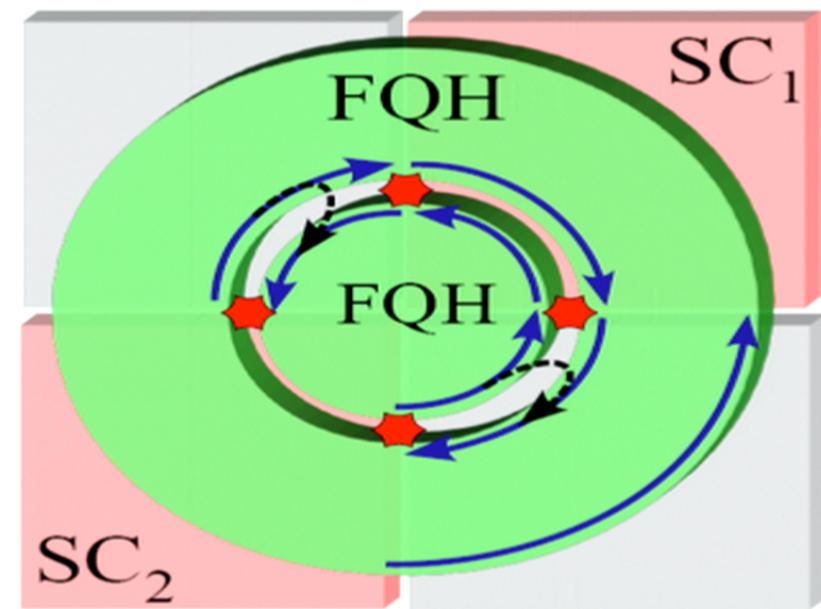
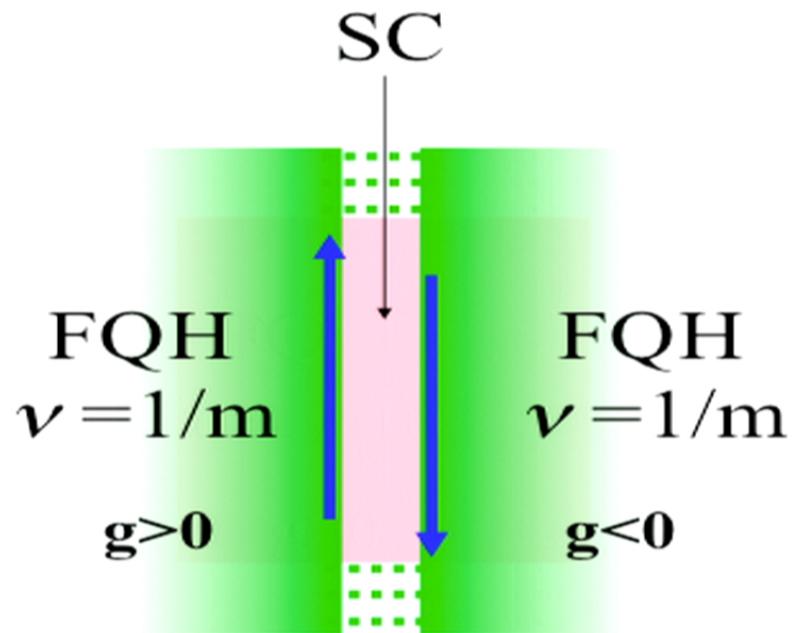
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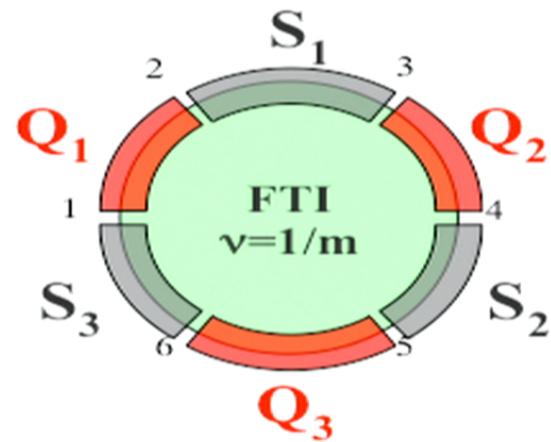
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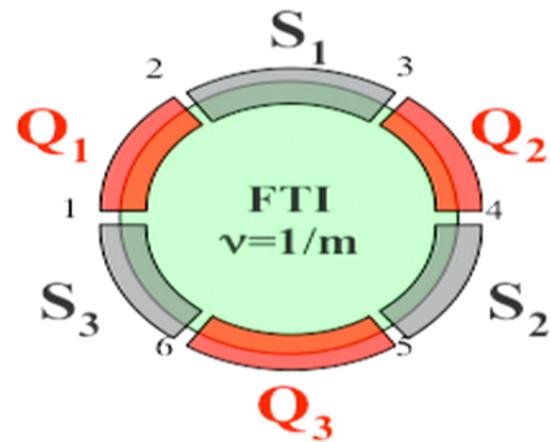
Ground state degeneracy

Physical picture:



Ground state degeneracy

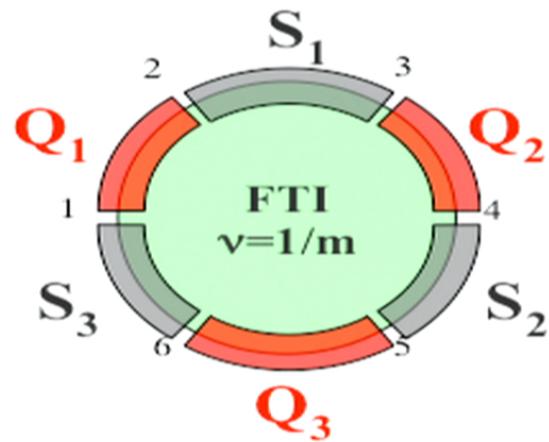
Physical picture:



Charges in SC conserved mod(2)
 $Q_j = n/m, n = 0, \dots, 2m-1$

Ground state degeneracy

Physical picture:



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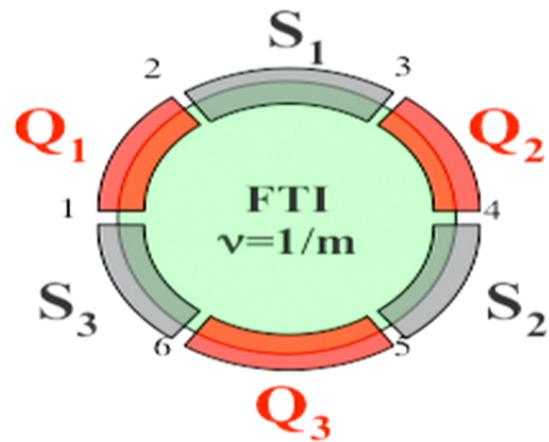
Spins in FM conserved mod(2)

(el. spin=1)

$$S_j = n/m, \quad n = 0, \dots, 2m-1$$

Ground state degeneracy

Physical picture:



Charges in SC conserved mod(2)

$$Q_j = n/m, \quad n = 0, \dots, 2m-1$$

Spins in FM conserved mod(2)

(el. spin=1)

$$S_j = n/m, \quad n = 0, \dots, 2m-1$$

Spin and charge are conjugate variables:

$$e^{i\pi S_i} e^{i\pi Q_j} = e^{\frac{i\pi}{m}(\delta_{i,j+1} - \delta_{i,j})} e^{i\pi Q_j} e^{i\pi S_i}$$

2N domains, fixed total Q, S: $(2m)^{N-1}$

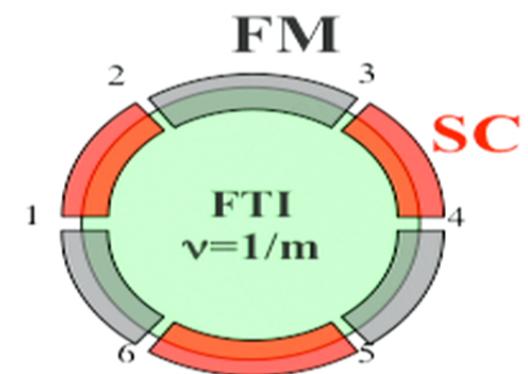
approximately degenerate ground states

Effective Model for Fractional Topological Insulator Edge States

$$H = \frac{u}{2\pi\nu} \int dx \left[K(x) (\partial_x \phi)^2 + \frac{1}{K(x)} (\partial_x \theta)^2 \right] - \int dx [g_S(x) \cos(2m\phi) + g_F(x) \cos(2m\theta)]$$

Electron: $\psi_{\pm} \propto e^{im(\phi \pm \theta)}$

Laughlin q.p.: $\chi_{\pm} \propto e^{i(\phi \pm \theta)}$



2N domains

Ground state degeneracy

Large cosine terms (strong coupling to SC/FM)

$$-\int dx [g_S(x) \cos(2m\phi) + g_F(x) \cos(2m\theta)]$$

Ground state degeneracy

Large cosine terms (strong coupling to SC/FM)

$$-\int dx [g_S(x) \cos(2m\phi) + g_F(x) \cos(2m\theta)]$$

ϕ, θ pinned near the minima of the cosines:

$$\phi_n = \frac{\pi}{m}n, \quad n \in 0, 1, \dots, 2m - 1$$

$$\theta_k = \frac{\pi}{m}k, \quad k \in 0, 1, \dots, 2m - 1$$

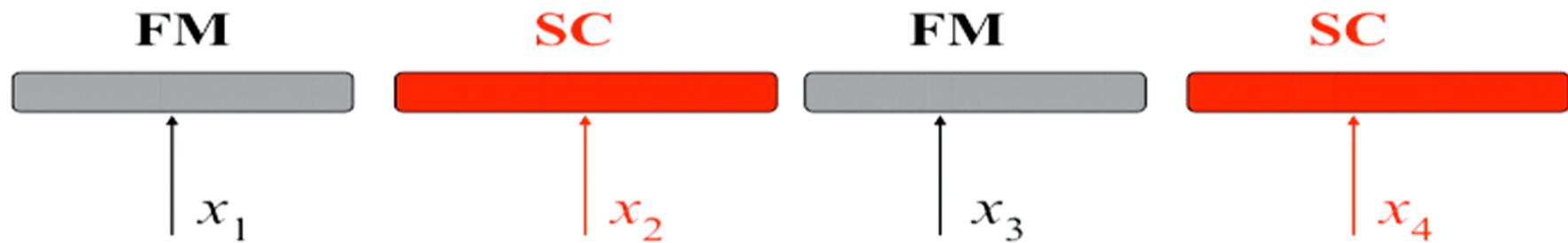
But... ϕ, θ are dual variables: cannot be
“localized” simultaneously

$$e^{i\theta(x)} e^{i\phi(x')} = e^{i\frac{\pi}{m}\Theta(x-x')} e^{i\phi(x)} e^{i\theta(x)}$$

2N domains: $\sim (2m)^N$ approximately
degenerate ground states

Q and S operators

In terms of the ϕ , θ fields, one can define the Q,
S operators:

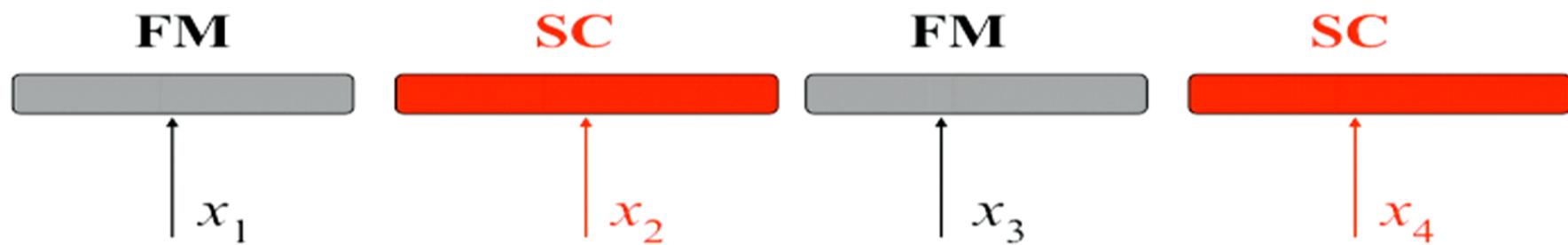


$$e^{i\pi Q_2} = e^{i \int_{x_1}^{x_3} dx \partial_x \theta} = e^{i[\theta(x_3) - \theta(x_1)]}$$

$$e^{i\pi S_3} = e^{i \int_{x_2}^{x_4} dx \partial_x \phi} = e^{i[\phi(x_4) - \phi(x_2)]}$$

Q and S operators

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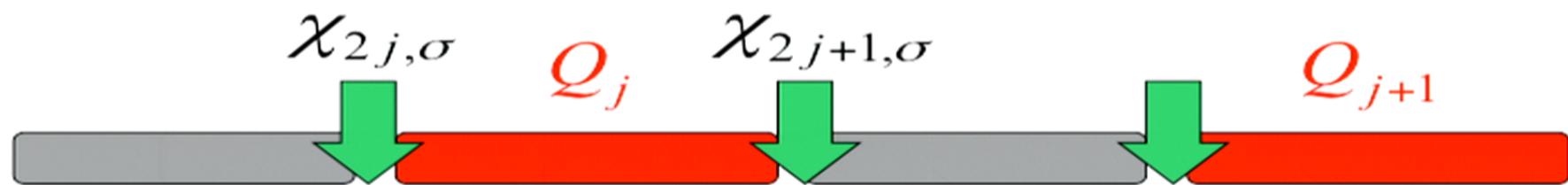


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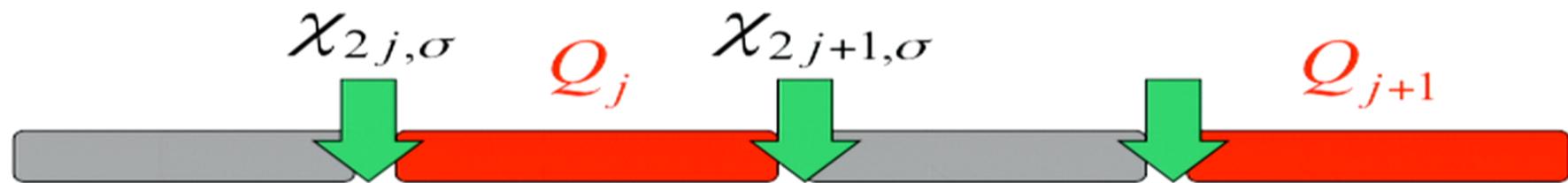
$$e^{i\pi S_i} e^{i\pi Q_j} = e^{i \frac{\pi}{m} (\delta_{i,j+1} - \delta_{i,j-1})} e^{i\pi Q_j} e^{i\pi S_i}$$

“Fractionalized Majorana operators”



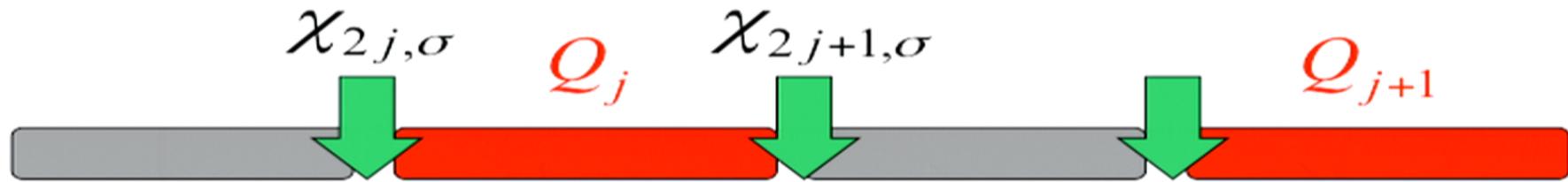
$$\chi_{r,\sigma} |q_1, \dots, q_j, \dots; s\rangle \propto |q_1, \dots, q_j + 1, \dots; s + \sigma\rangle$$

“Fractionalized Majorana operators”



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$$\chi_{r,\sigma} |q_1, \dots, q_j, \dots; s\rangle \propto |q_1, \dots, q_j + 1, \dots; s + \sigma\rangle$$

$$[H, \chi_{r\sigma}] = 0$$

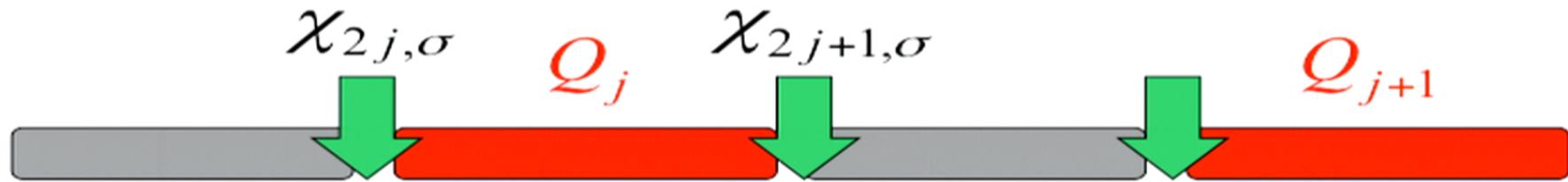
$$(\chi_{r\sigma})^{2m} = 1$$

$\chi_{r\sigma}$ have q.p commutation relations

$$\chi_{j,\sigma} \chi_{k,\uparrow} = e^{i\pi/m} \chi_{k,\uparrow} \chi_{j,\sigma}$$

$$\chi_{j,\sigma} \chi_{k,\downarrow} = e^{-i\pi/m} \chi_{k,\downarrow} \chi_{j,\sigma}$$

“Fractionalized Majorana operators”



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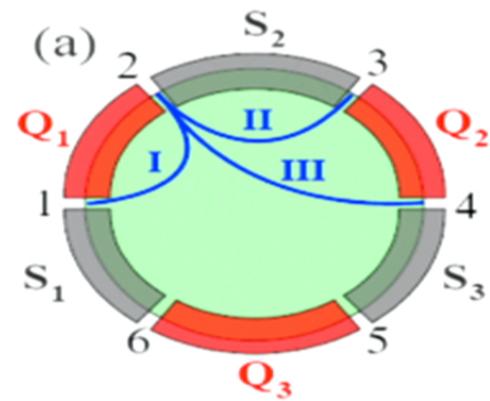
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1D model of “Parafermions”:
P. Fendley, arXiv:1209.0472

Braiding

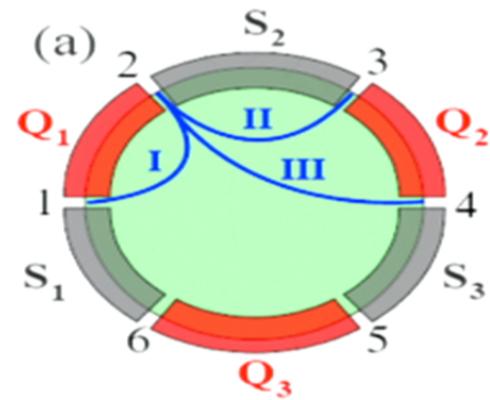
Braiding domain walls 3 and 4:



For an arbitrary coupling of any three domain walls,
the ground state degeneracy remains $(2m)^2$
as long as only one spin species is allowed to tunnel.

Braiding

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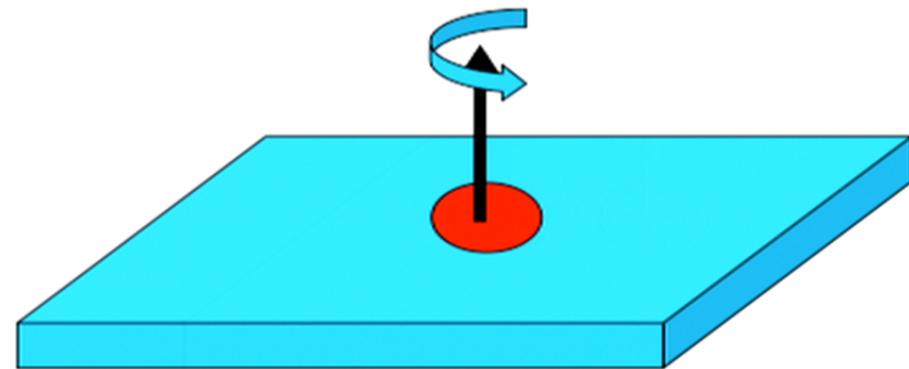
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Braiding: general arguments

Why $\exp\left(i\frac{\pi}{2m}q_2^2\right)$?

Topological spin

$$\theta_a = e^{i2\pi s_a}$$



Braiding: general arguments

Two types of particles:

$$X \times X = 0 + 1 + \dots 2m - 1$$

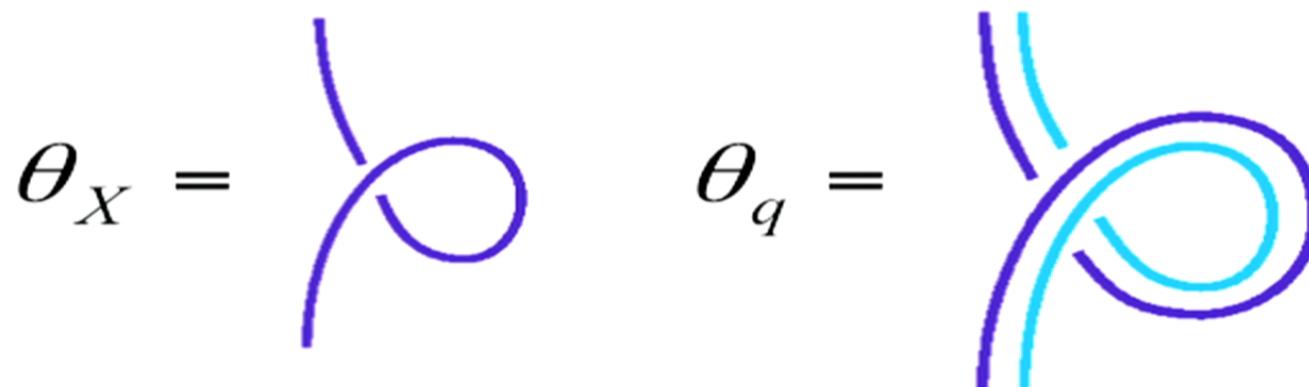
$$q_1 \times q_2 = q_1 + q_2 \bmod 2m$$

Braiding: general arguments

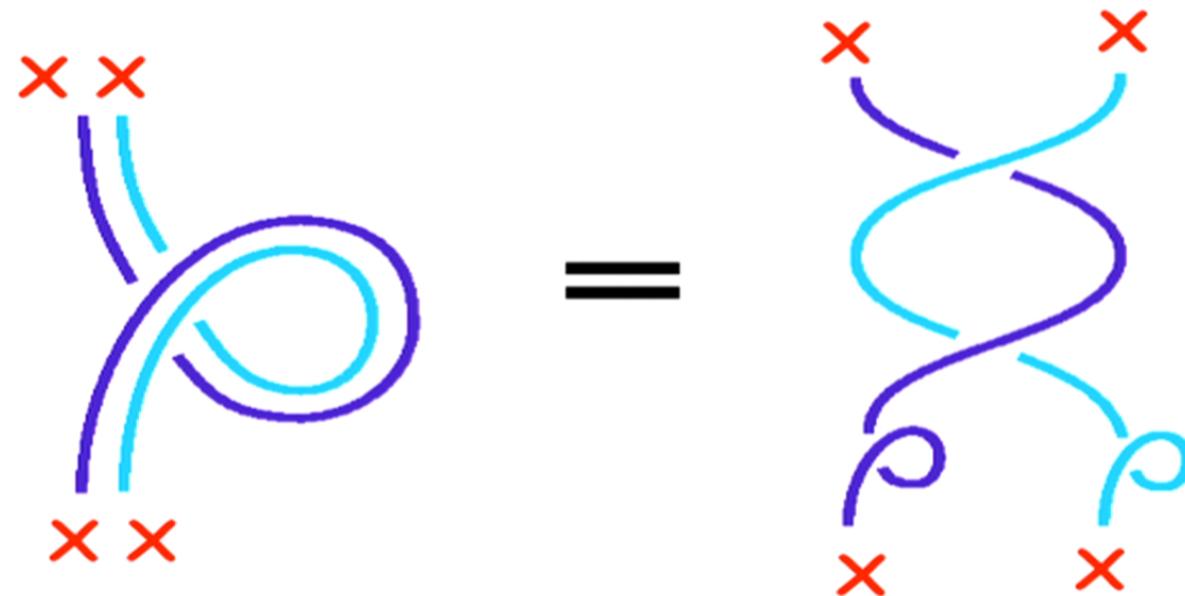
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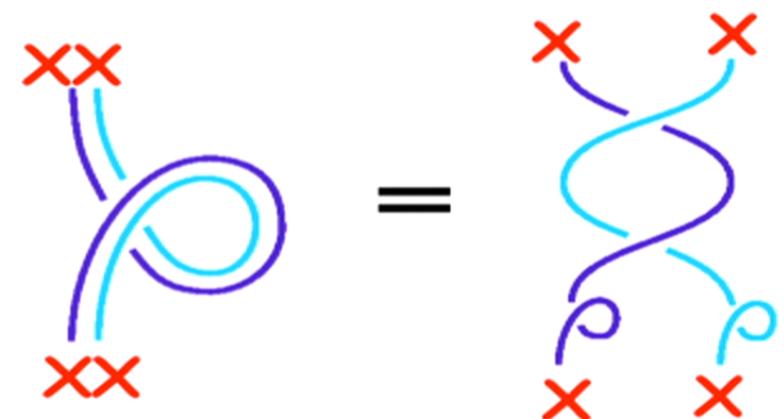
$$\theta_q = \theta_X^2 U^2(q)$$

Conclusion

A paradigm for new, “non-Majorana” phases on gapped edges of 2D topological states.

$$U_{34} = \exp\left(i\frac{\pi m}{2}\hat{Q}_2^2\right) = \exp\left(i\frac{\pi}{2m}q_2^2\right)$$

$$Q_2 = \frac{1}{m}q_2, \quad q_2 = 0, \dots, 2m - 1$$



$$\theta_q = \theta_X^2 U^2(q)$$