

Title: S=1 spin liquid with fermionic excitations

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Abstract: In the first part of my talk I describe a search for possible quantum spin liquid ground states for spin S=1 Heisenberg models on the triangular lattice which was motivated by recent experiments on Ba<sub>3</sub>NiSb<sub>2</sub>O<sub>9</sub>. We use representation of spin-1 via three flavors of fermionic spinon operators. The ground state where one gapless flavor of spinons with a Fermi surface coexists with d+id topological pairing of the two other flavors can explain available experimental data. Despite the existence of a Fermi surface, this spin liquid state has fully gapped bulk spin excitations. This results in a linear in-temperature specific heat and constant in-plane spin susceptibility, with an unusually high Wilson ratio. Using variational Monte Carlo technique, we show that proposed spin liquid ground state is realized in an SU(3)-invariant model with sufficiently strong ring-exchange terms.

&nbsp;

In the second part, I consider the physics of the magnetic s=1/2 impurity embedded in a S=1 spin liquid, where all three flavors of spinons have a Fermi surface. The interplay between non-Fermi-liquid behavior induced by a U(1) gauge field coupled to fermions, and a non-Fermi-liquid fixed point in the overscreened Kondo problem is studied using double expansion. The gauge field changes the physical properties of the system at the overscreened Kondo fixed point. Thus, spin-half impurity in such spin liquid can be used to probe the presence of fermionic spinons coupled to the gauge field.

&nbsp;

References: arXiv:1108.3070, arXiv:1208.3231 and arXiv:1212.5179

# S=1 spin liquid with fermionic excitations

Maksym Serbyn

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M.S., T. Senthil, P.A. Lee, PRB 84 (2011)  
S. Bieri, M.S., T. Senthil, P.A. Lee, PRB 86 (2012)  
M.S., T. Senthil, P.A. Lee, arXiv:1212.5179

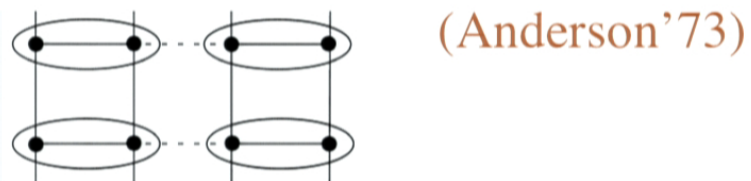
# Outline

- Introduction: possible realization of  $S=1$  spin liquid
- Fermionic  $S=1$  spin liquid on triangular lattice
  - \* Mean field approach and physical properties
  - \* Gutzwiller projection: variational Monte Carlo
- Kondo impurity as a probe of  $S=1$  spin liquid
- Summary

# Spin liquid

- No symmetry breaking; long range entanglement → fractionalized excitations, emergent gauge fields

- Realized in one dimension. RVB state in  $d=2$

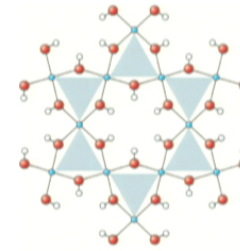


- A number of  $S=1/2$  spin liquid candidates in  $d=2$   
Rare when  $S>1/2$  or  $d>2$
- **This talk:**  $S = 1$  spin liquid in two dimensions

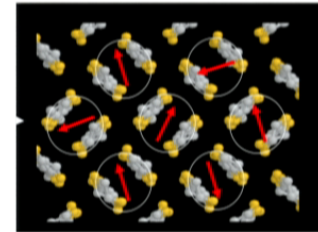
# Spin liquid candidates

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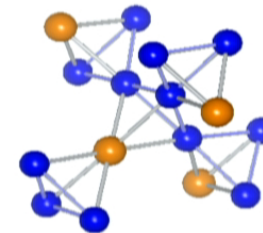
- \* herbersmithite (kagome lattice)



- \* organics (triangular lattice)



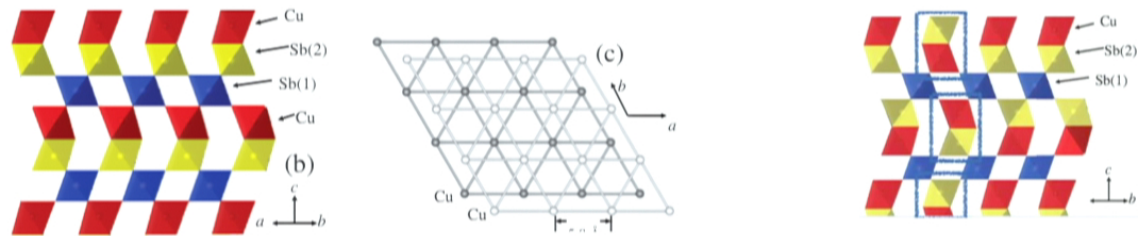
- \* Na<sub>4</sub>Ir<sub>3</sub>O<sub>8</sub> (hyperkagome),  $d=3$



# New candidate materials

- Spin-1/2  $\text{Ba}_3\text{CuSb}_2\text{O}_9$  (Zhou *et.al.* PRL'11)

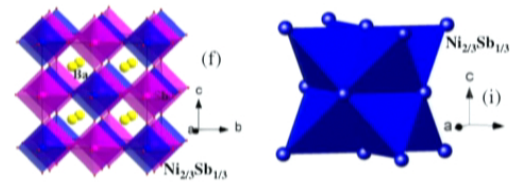
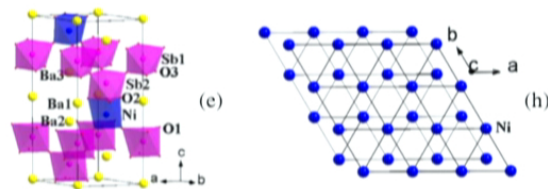
(Nakatsuji *et.al.* Science'12)



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6H-B phase

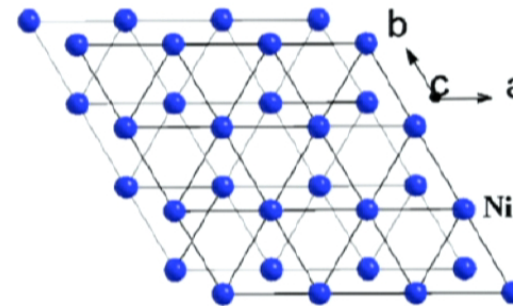
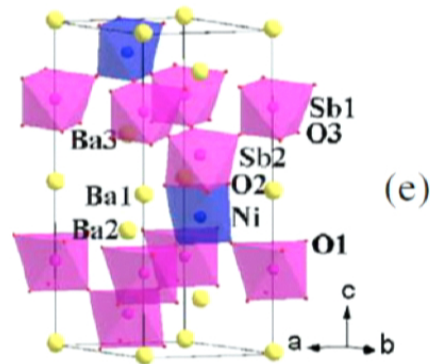
6H-C phase



# 6H-B phase of $\text{Ba}_3\text{NiSb}_2\text{O}_9$

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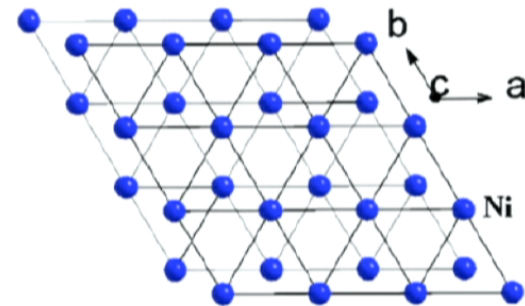
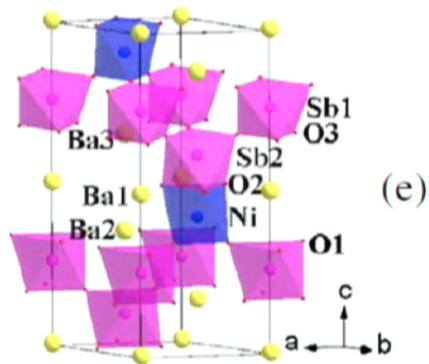
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- No magnetic order to  $T = 350$  mK, but  $\theta_{\text{CW}} = -75$  K



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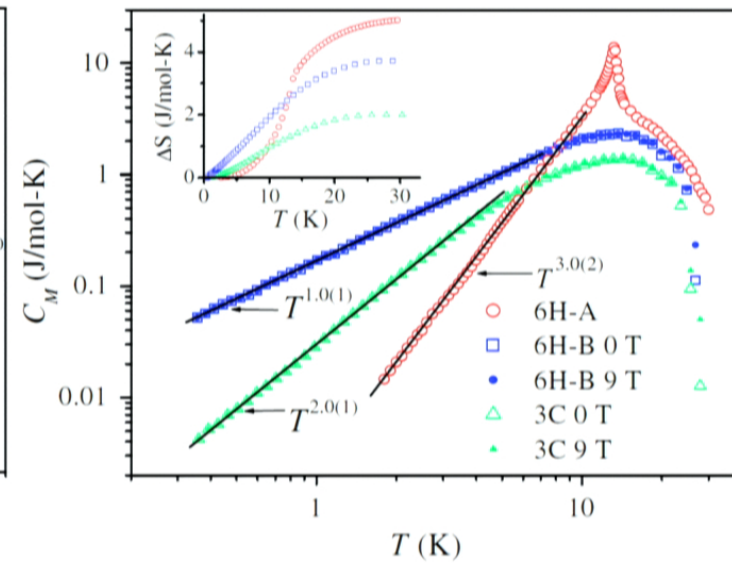
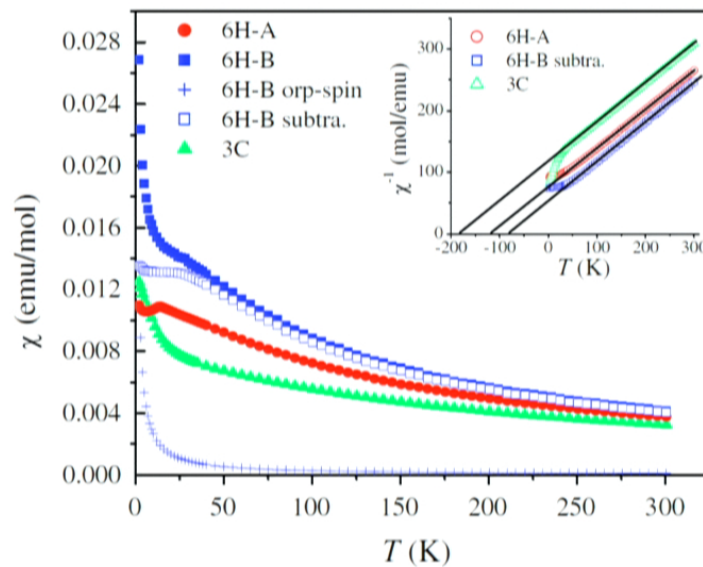




# Spin liquid behavior in 6H-B phase

(Cheng *et. al.*, PRL 2011)

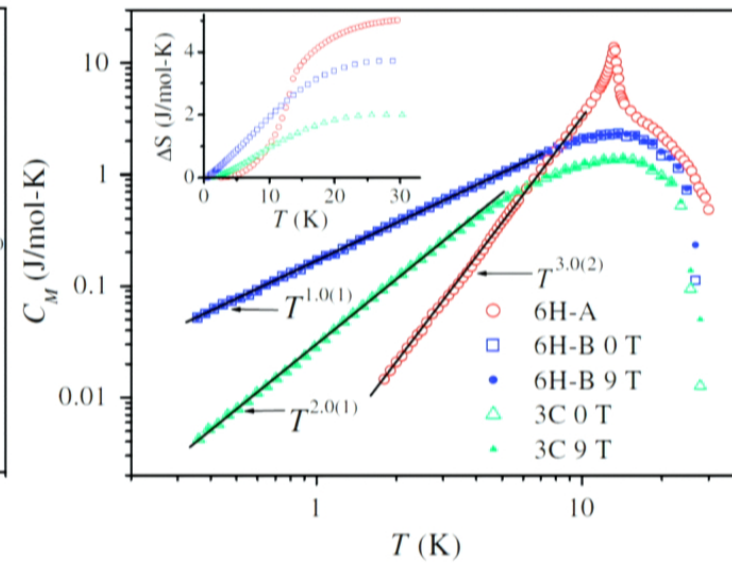
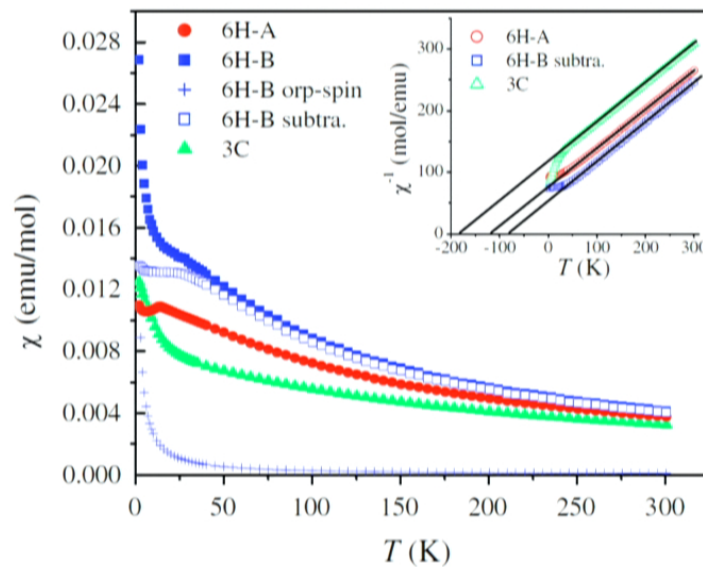
- Constant spin susceptibility as  $T \rightarrow 0$
- Linear in  $T$  specific heat, Wilson's ratio  $R_W = 5.6$



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# Theories inspired by 6H-B phase

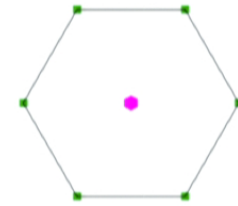
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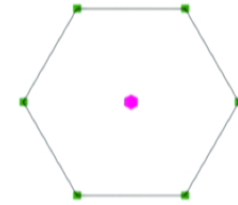
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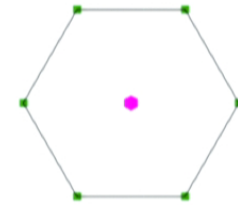
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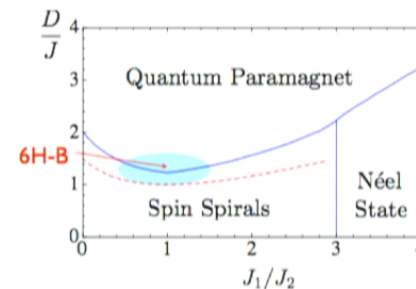
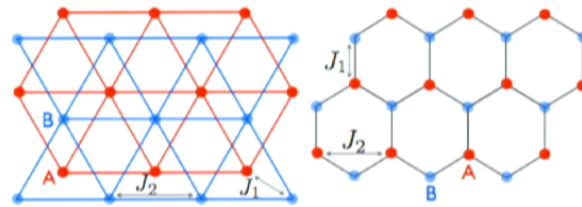


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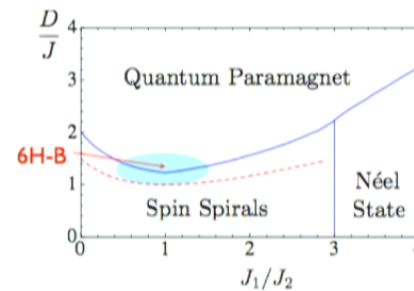
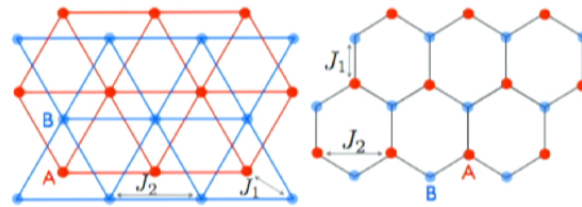
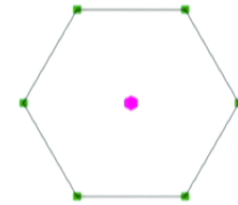


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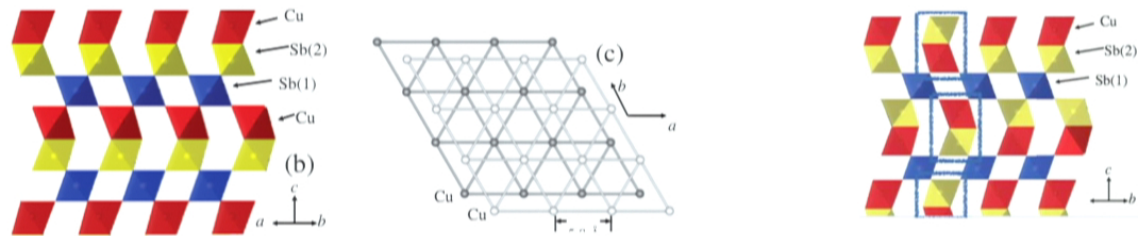




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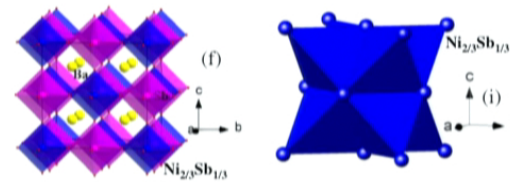
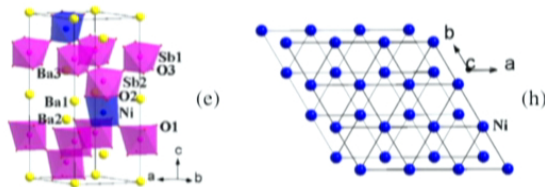
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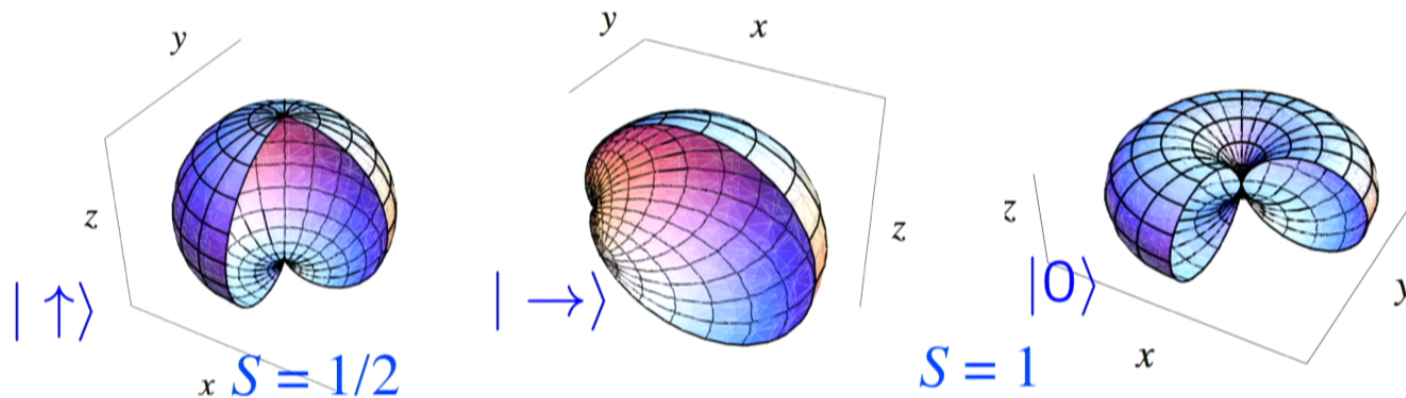
6H-B phase

6H-C phase



# Spin-1 vs Spin-1/2

- $S = 1/2$ : any state is a spin-coherent state  $|\mathbf{n}\rangle$   
 $(\mathbf{n} \cdot \mathbf{S})|\mathbf{n}\rangle = S|\mathbf{n}\rangle, \quad |\mathbf{n}\rangle = \cos \frac{\theta}{2} e^{-i\phi/2} |\uparrow\rangle + \sin \frac{\theta}{2} e^{i\phi/2} |\downarrow\rangle$
- $S = 1$ : 4 parameters, *superposition* of coherent states  
 $\rightarrow$  coexisting *spin* and *quadrupolar* order



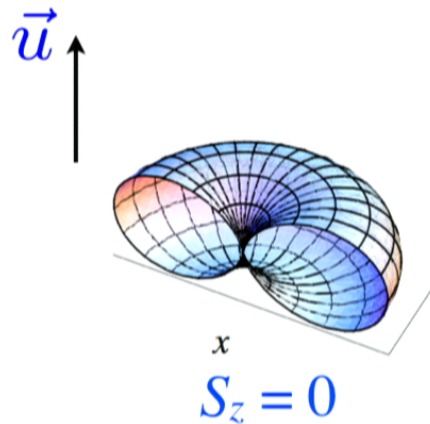
# Basis for spin-1

- Change basis from conventional to:

$$|x\rangle = \frac{i(|1\rangle - |-1\rangle)}{\sqrt{2}}, \quad |y\rangle = \frac{|1\rangle + |-1\rangle}{\sqrt{2}}, \quad |z\rangle = -i|0\rangle$$

$$|\vec{d}\rangle = \sum_{\alpha=x,y,z} d_{\alpha} |\alpha\rangle, \quad \vec{d} = \vec{u} + i\vec{v},$$

$$\begin{aligned} \vec{u} \perp \vec{v} &= 0 \\ \vec{u}^2 + \vec{v}^2 &= 1 \end{aligned}$$

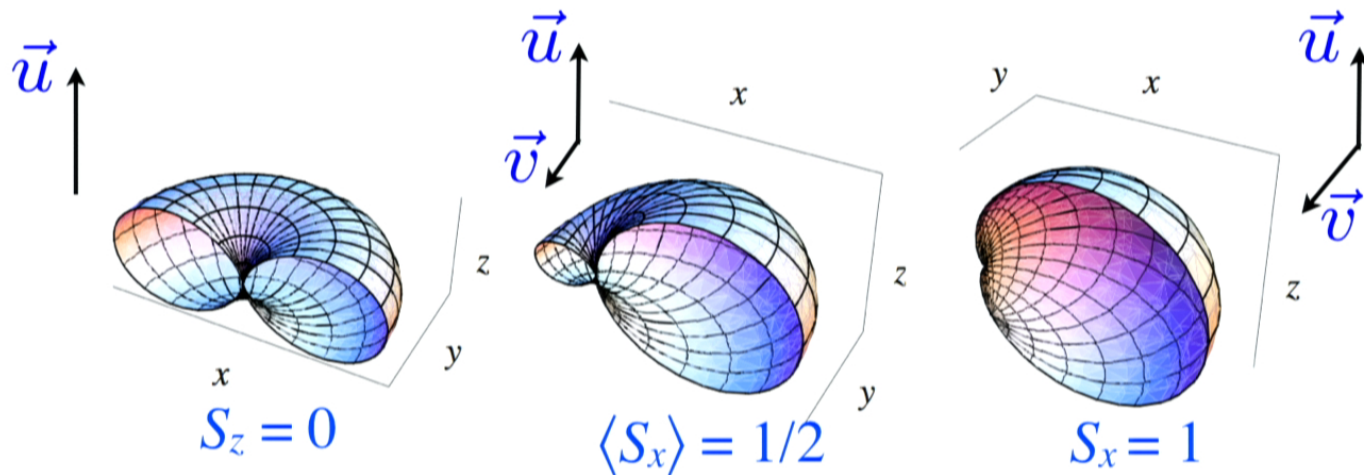


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# Fermionic spinons

- Three spinons  $\vec{f} = (f_x, f_y, f_z)$  to represent spin

$$\vec{S}_i = -i\vec{f}_i^\dagger \times \vec{f}_i, \quad \vec{f}_i^\dagger \cdot \vec{f}_i = 1.$$

- Local  $U(1) \times Z_2$  symmetry in spin representation

$$f_i \rightarrow e^{i\phi} f_i, \quad f_i \rightarrow f_i^\dagger$$

- Mean field decoupling  $\rightarrow$  quadratic Hamiltonian with  $U(1) \times Z_2$  gauge field

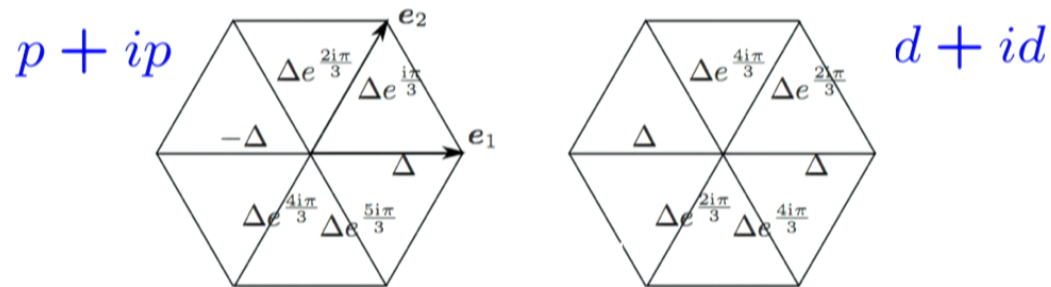
$$H = \sum_{\langle ij \rangle} [J\vec{S}_i \cdot \vec{S}_j + K(\vec{S}_i \cdot \vec{S}_j)^2] + D \sum_i (S_i^z)^2$$

# Mean field approach

- Hopping and pairing of fermions:

$$H = \sum_{i,j} [\chi_{ij} f_{i\alpha}^\dagger f_{j\alpha} + \Delta_{ij}^{\alpha\beta} f_{i\alpha}^\dagger f_{j\beta}^\dagger + \text{h.c.} + \dots]$$

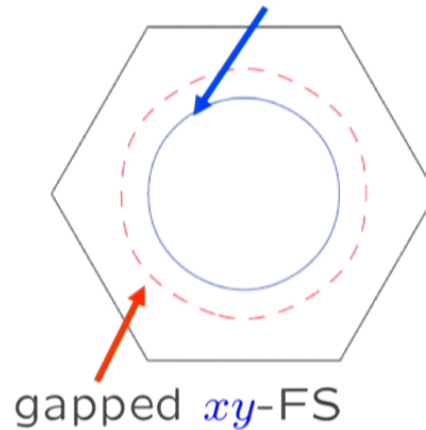
$$\chi^\alpha = \langle f_{i\alpha}^\dagger f_{j\alpha} \rangle \quad \text{and} \quad \begin{cases} \Delta^{xy} = \langle f_{ix} f_{jy} \rangle & s, d\text{-wave} \\ \Delta^\alpha = \langle f_{i\alpha} f_{j\alpha} \rangle & p, f\text{-wave} \end{cases}$$



# Pairing and Fermi surface together

- State with pairing  $\Delta^{xy} = \langle f_x f_y \rangle$
- $f_x$  and  $f_y$  are gapped  $\rightarrow$  gauge field is massive  
 $f_z$  particles have Fermi surface
- Realized at the mean field level with  $d+id$  pairing

$z$ -Fermi surface





# Physical properties

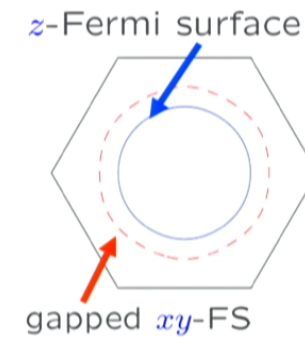
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  - \* linear in  $T$  specific heat
  - \* anisotropic spin susceptibility  $\chi_{zz} = 0$ ,  
 $\chi_{xx} = \chi_{yy} = \text{const}$
  - \* Wilson ratio  $R_W \approx 2.6 \div 5.3$  for  $D \geq \Delta$
  - \* exponentially small NMR relaxation rate  $1/T_1 T$
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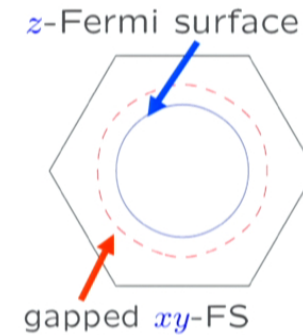
# Variational Monte Carlo

- Mean field: spin liquid state for  $S=1$



# Variational Monte Carlo

- Mean field: spin liquid state for  $S=1$
- Q: Spin model, realizing this state?



$$H = \sum_{\langle ij \rangle} [J \vec{S}_i \cdot \vec{S}_j + K (\vec{S}_i \cdot \vec{S}_j)^2] + D \sum_i (S_i^z)^2$$

# Spin liquid variational states

- Ground state of quadratic trial Hamiltonian

$$H = \sum_{i,j} [f_{i\alpha}^\dagger f_{j\alpha} + \Delta_{ij}^{\alpha\beta} f_{i\alpha} f_{j\beta} + \text{h.c.}] - \mu_\alpha n_\alpha$$

- Gutzwiller projection  $\rightarrow$  spin wave function

$$|\psi\rangle = \mathcal{P}(n_j = 1)|\psi_0\rangle$$

- RVB states not breaking any lattice symmetries

- \* U(1) state:  $\Delta^{\alpha\beta} = 0$

- \* odd pairing:  $\Delta^{xx} = \Delta^{yy}, \Delta^{zz}$  ( $f$ -wave,  $p+ip$ -wave)

- \* even pairing:  $\Delta^{xy}$  ( $s$ -wave,  $d+id$ -wave)

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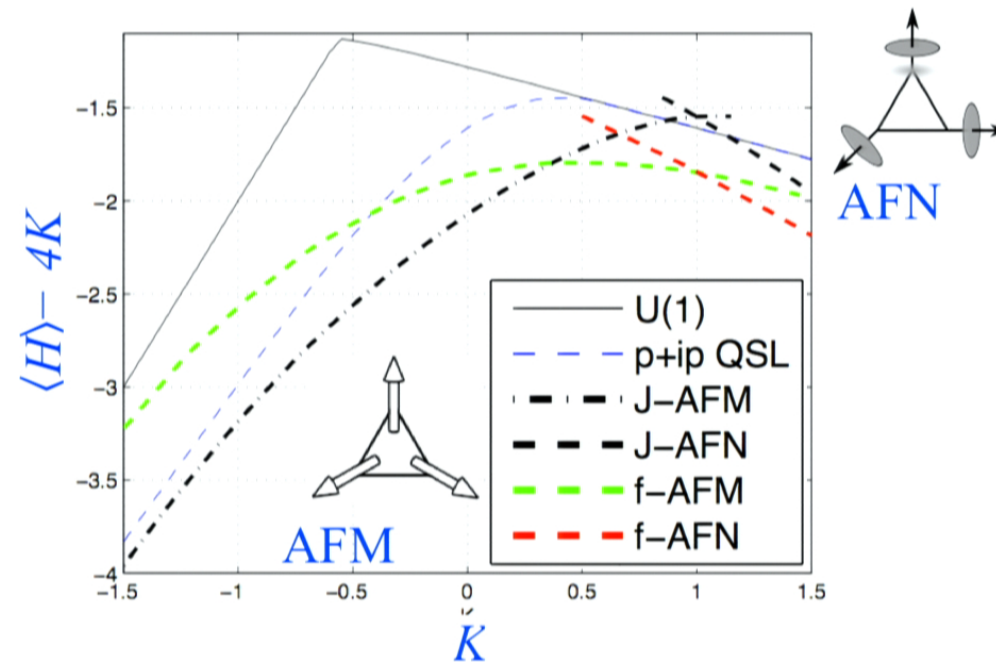
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# Bilinear-biquadratic model

- Energies at  $D = -0.4$ : ordered state wins

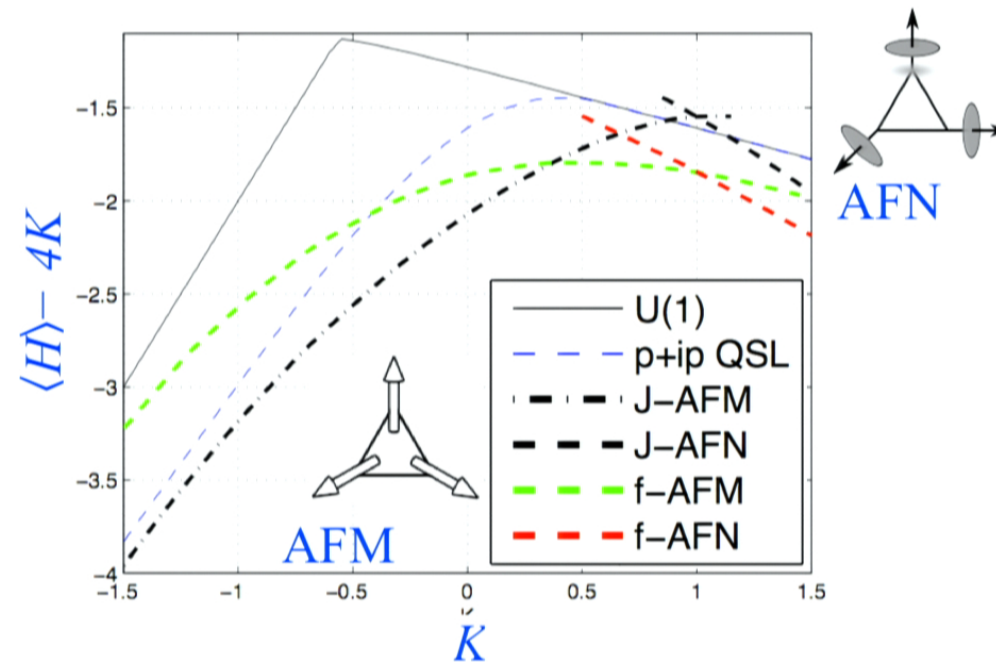
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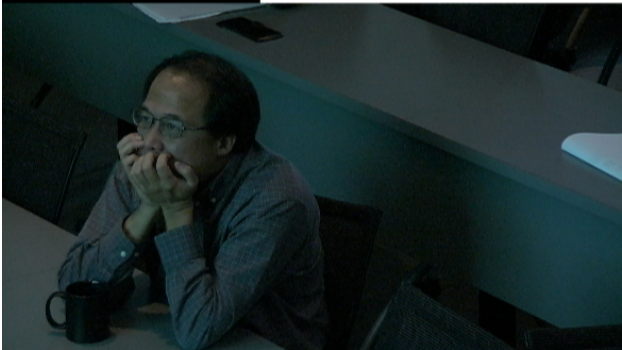




# SU(3) symmetric Hamiltonian

- Energies at  $J = K$  compared to DMRG

State	SU(3) energy
Fermionic f-AFM	-0.57(8)
Huse-Elser $\mathcal{J}$ -AFM	-0.27(7)
$U(1)$ spin liquid	-0.34(3)
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- SU(3) symmetric Hamiltonian with ring exchange

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$$P_{ij} = \vec{S}_i \cdot \vec{S}_j + (\vec{S}_i \cdot \vec{S}_j)^2 - 1$$

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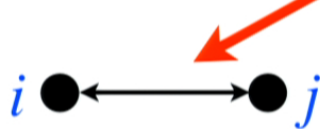
- Energies at  $J = K$  compared to DMRG

State	SU(3) energy
Fermionic f-AFM	-0.57(8)
Huse-Elser $\mathcal{J}$ -AFM	-0.27(7)
U(1) spin liquid	-0.34(3)
DMRG [29] ( $N = 8 \times 10$ )	-0.678

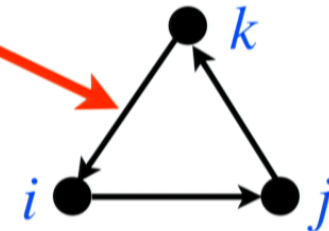
(Bauer *et. al.*, 2011)

- SU(3) symmetric Hamiltonian with ring exchange

$$H_{\Delta} = \cos \alpha \sum_{\langle ij \rangle} P_{ij} + \sin \alpha \sum_{\langle ijk \rangle} P_{ij} P_{jk} + \text{h.c.}$$

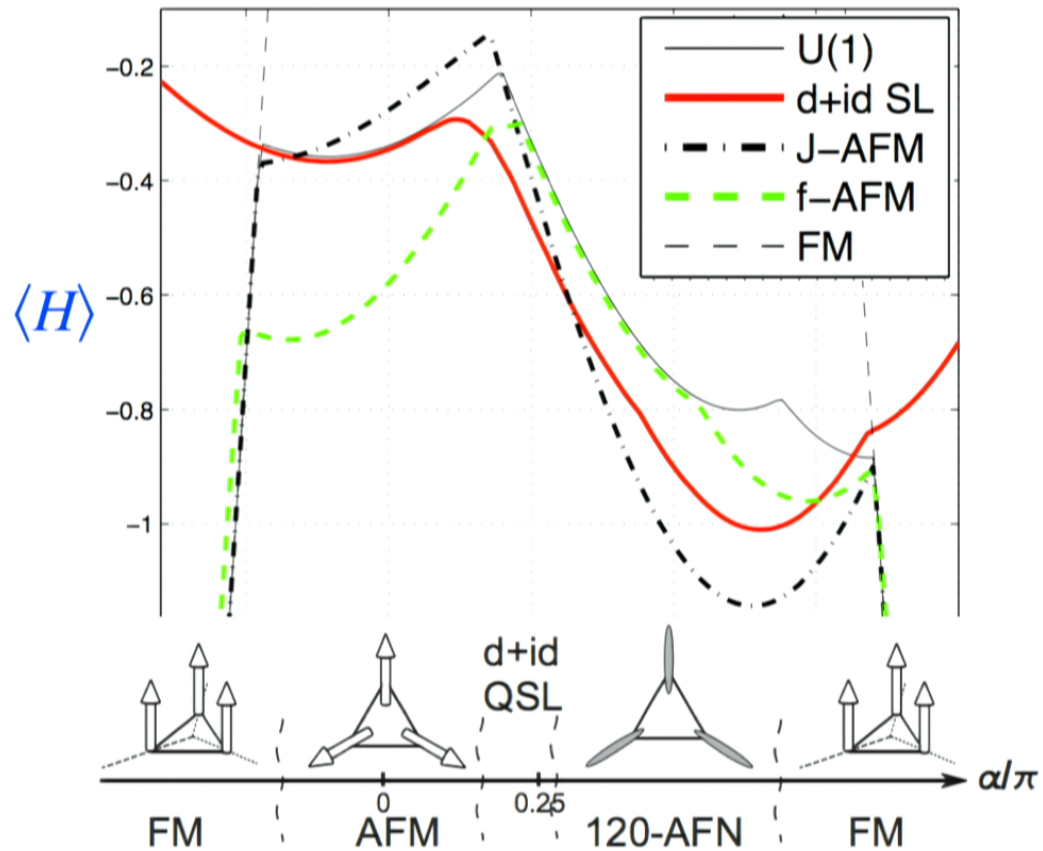


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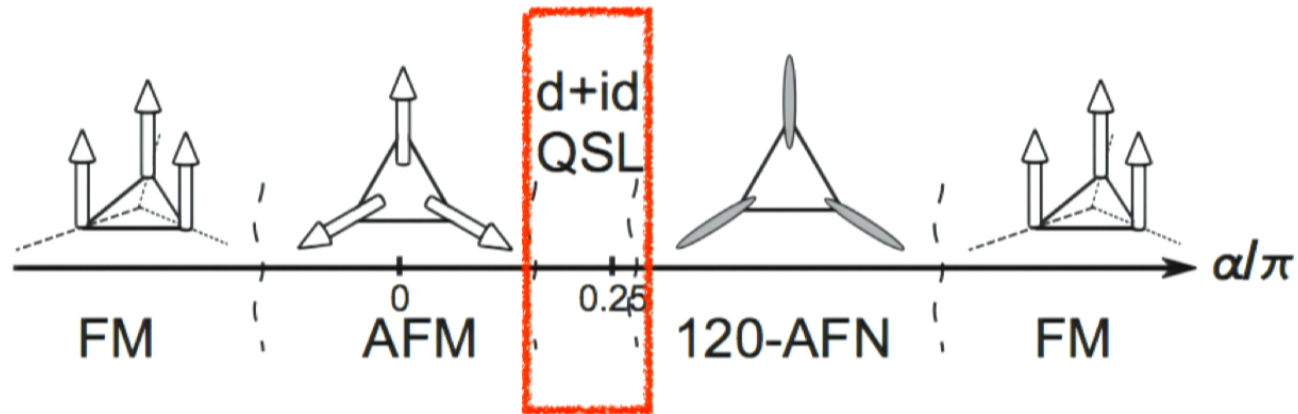


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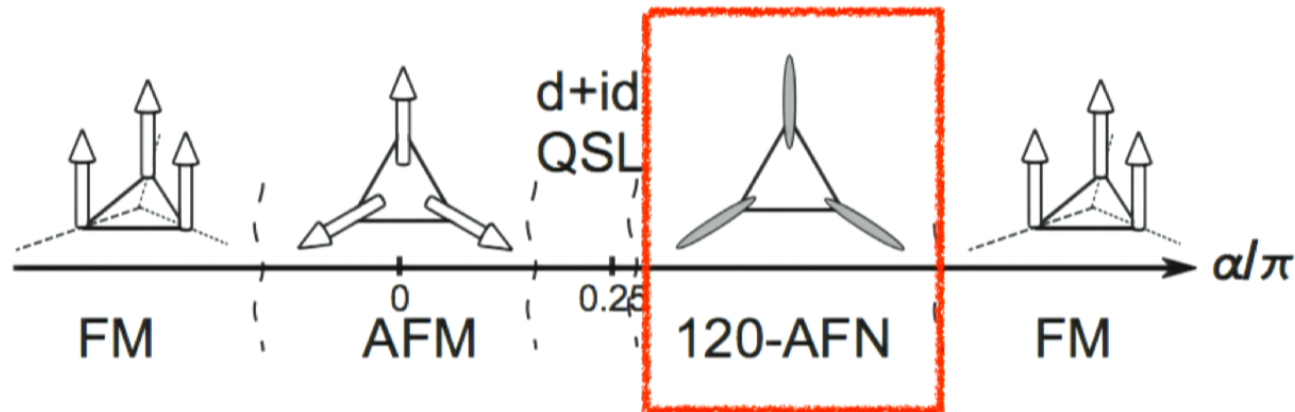


# Phase diagram



- QSL with  $d+id$  pairing wins for  $\alpha = 0.17\pi \div 0.33\pi$

# Phase diagram



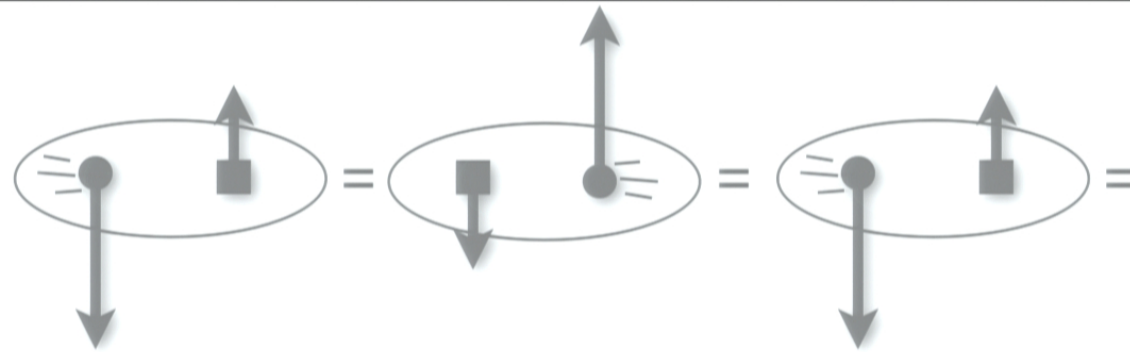
- QSL with  $d+id$  pairing wins for  $\alpha = 0.17\pi \div 0.33\pi$
- For  $\alpha > 0.33\pi$  120° AFN: spontaneous polarization develops, no  $f_z$  spinons  $\rightarrow S=1$  reduces to  $S=1/2$



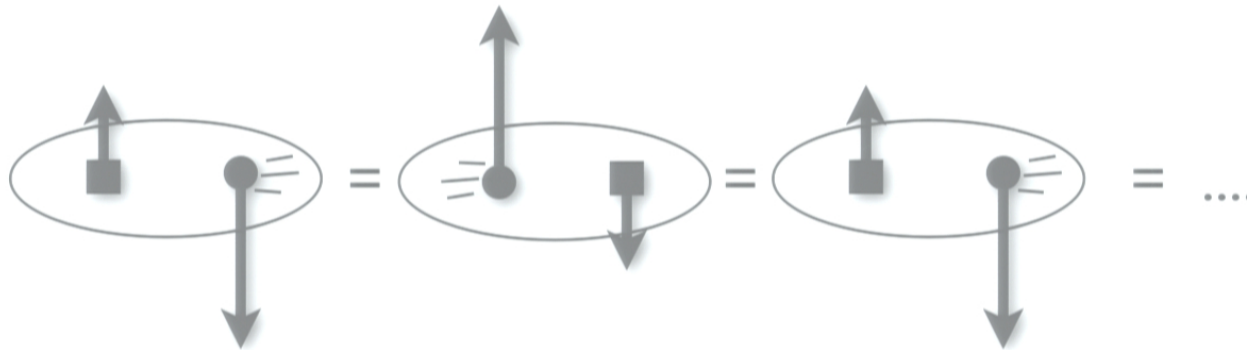
# Summary of part I

- Spin liquid ground state for  $S = 1$  on triangular lattice with fermionic excitations
- Exotic physical properties: gapless nematic excitations in the bulk, topological edge modes
- Realized in the ring exchange model within VMC

Open questions: 6H-B phase microscopic Hamiltonian, further experiments; ground state of ring exchange model?

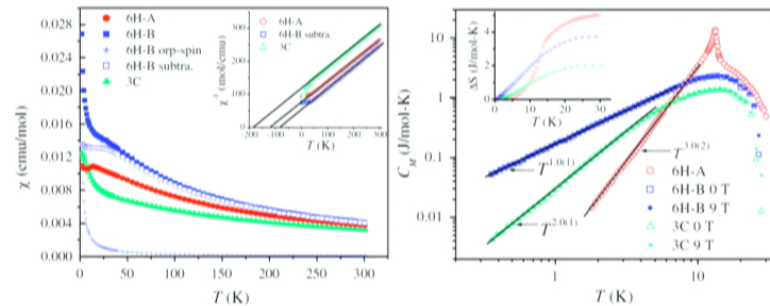


## Kondo impurity in $S=1$ spin liquid



# Hunting for spin liquids

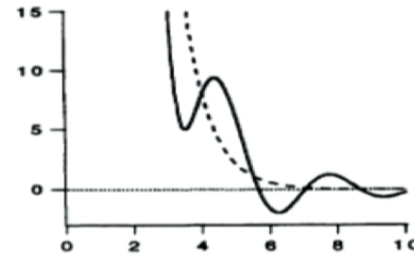
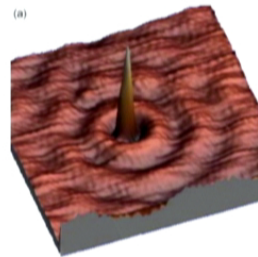
- Spin liquid: elusive phase, difficult to detect
- Signatures: absence of order,  $\chi$ ,  $C$



- Other probes? Transport, neutron scattering, phonons, impurity

# Impurity in a Fermi liquid

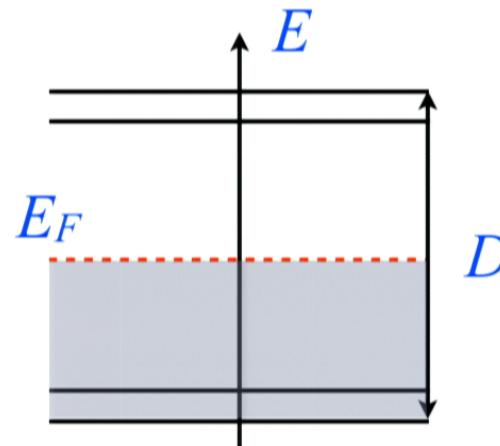
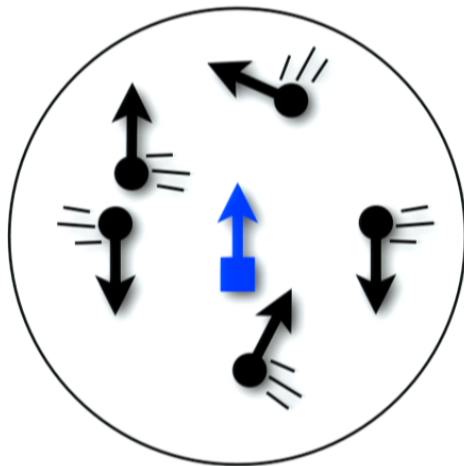
- Charged impurity: Friedel oscillations



- Impurity with spin ( $\rightarrow$  coupling to spinons)
  - \* RKKY interaction between impurity spins
  - \* Kondo effect: screening of local moment

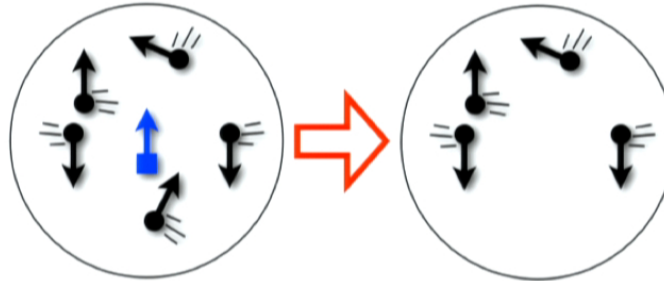
# Kondo effect in a Fermi liquid

- A simplest strongly correlated system
- Renormalization Group in bandwidth  $D$
- Different regimes: impurity spin  $\cong$  fermionic spin



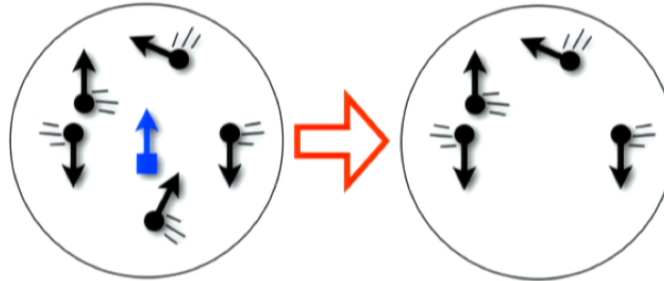
# Overscreened Kondo

- $S_{\text{imp}} \cong S$ : perfect (under) screening: strong coupling



# Overscreened Kondo

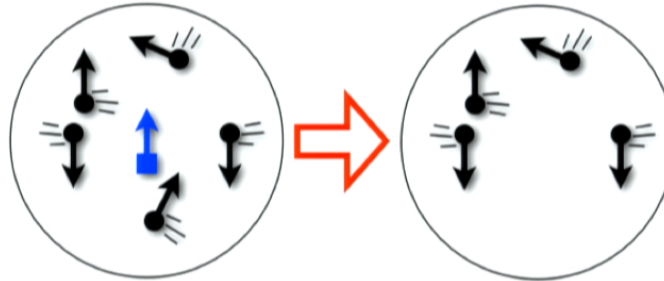
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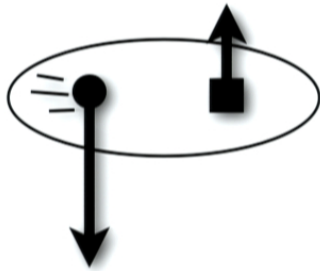
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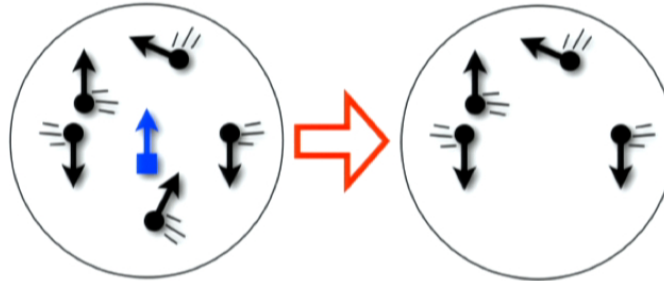
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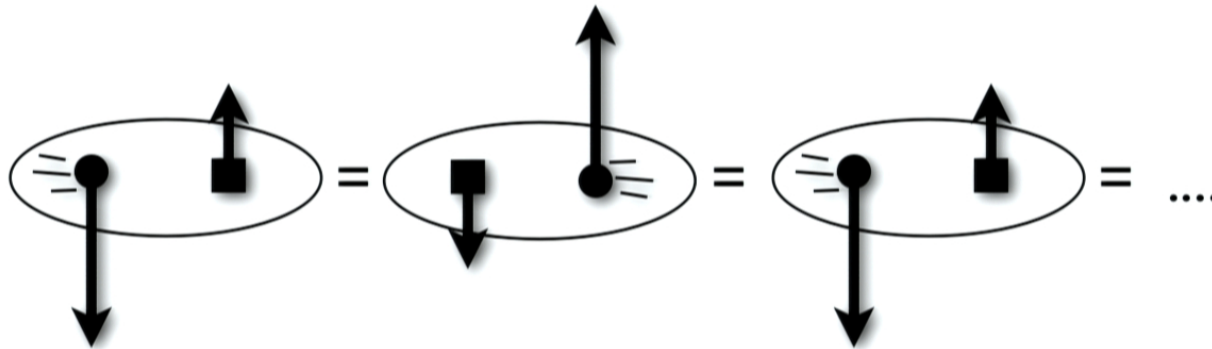


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## Impurity in $S=1$ fermionic spin liquid

- $s=1/2$  impurity in  $S=1$  spin liquid with three spinons
- If all spinons have Fermi surface  $\rightarrow$  Kondo problem?
  - \* non Fermi liquid physics due to impurity



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\* gauge field strongly coupled to spinons



(M.S., T. Senthil, P.A. Lee, arXiv:1212.5179)

- Impurity in Dirac spin liquid (Kim&Kim'06)  
also in  $S=1/2$  spin liquid with Fermi surface  
(Ribeiro&Lee PRB'11)

# Hamiltonian

- Spin Hamiltonian  $\rightarrow$  low energy theory

$$H_f = \sum_{\mathbf{k}, \alpha} \frac{1}{2m} f_{\vec{k}\alpha}^\dagger (\vec{k} - \vec{a})^2 f_{\vec{k}\alpha} + \frac{J}{N} \vec{S}(0) \cdot \vec{s}$$

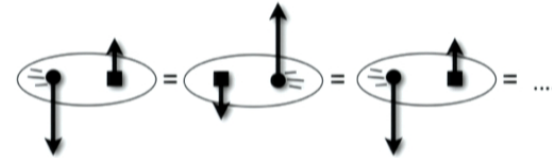
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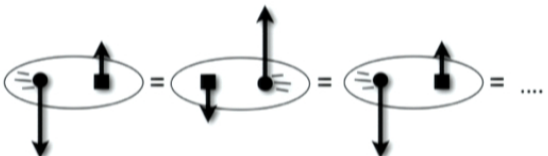


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# Overscreened Kondo fixed point

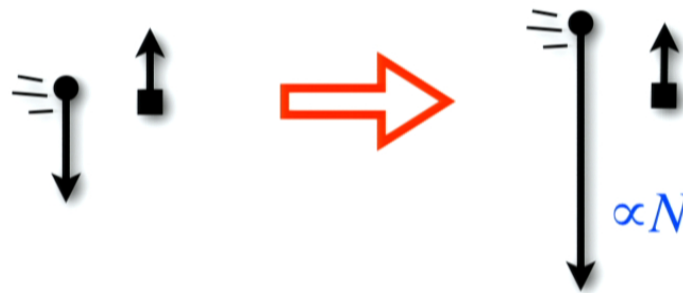
- Fixed point at weak coupling: scale only number of fermion flavors  $\propto N$





# Overscreened Kondo fixed point

- Fixed point at weak coupling: scale only number of fermion flavors  $\propto N$



- RG flow of dimensionless coupling  $g$  with bandwidth (Abrikosov;Nozieres&Blandin)

$$g = \nu J, \quad \beta(g) = -\frac{d \log g}{d \log D}$$

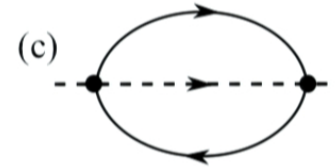
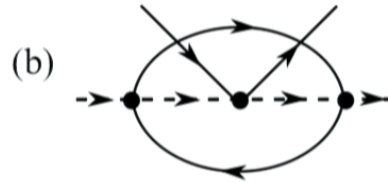
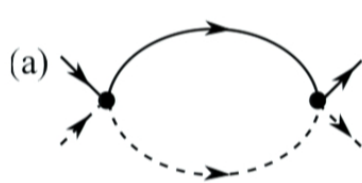
# Perturbative $\beta$ -function

- $\beta$ -function is calculated perturbatively in  $g$ :

$$f^\dagger \xrightarrow{G(i\omega, \mathbf{k})} f$$

$$c^\dagger \xrightarrow{F(i\omega, \mathbf{k})} c$$

$$\begin{array}{c} \swarrow \quad \searrow \\ \bullet \\ \nwarrow \quad \nearrow \end{array} \frac{J}{N} \vec{I}_{\alpha\beta} \cdot \vec{\sigma}_{\rho\nu}$$



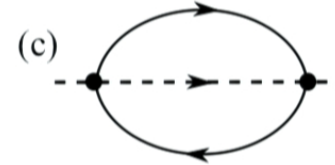
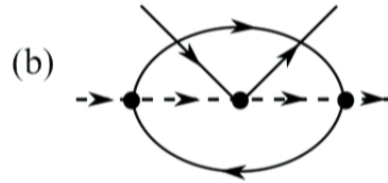
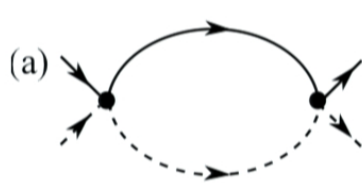
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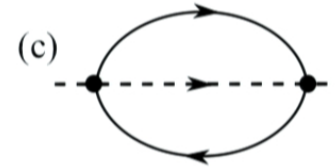
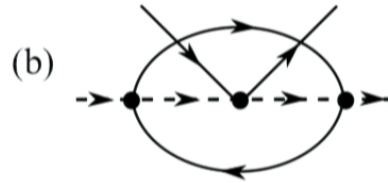
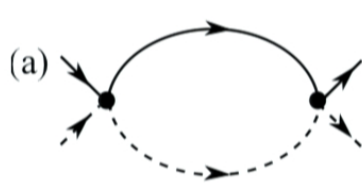
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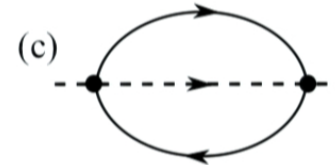
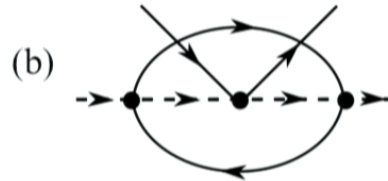
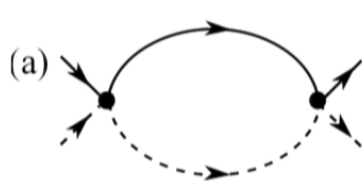
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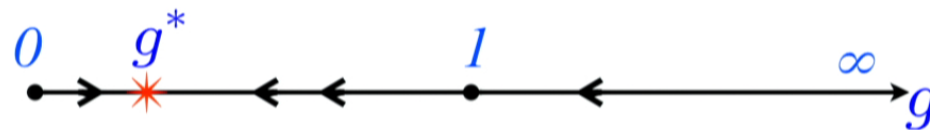
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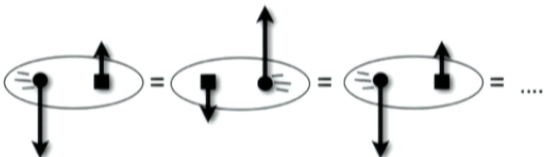


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$$\propto -i \frac{1}{N} |\omega|^{2/3} \text{sign } \omega$$

## Spinons coupled to U(1) gauge field

- Large- $N$  expansion: three  $\rightarrow N$  flavors of spinons
- Non-Fermi-liquid: singular self-energy due to gauge field dominates at small  $\omega$

$$\Sigma(i\omega) = \text{[diagram of a fermion line with a wavy gauge field loop]} \propto -i \frac{1}{N} |\omega|^{2/3} \text{sign } \omega$$

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$$G^{-1}(\mathbf{k}, i\omega) = i\omega - \xi_{\mathbf{k}} - \Sigma(i\omega)$$







## Double expansion

- Double expansion: dynamical exponent of gauge field as a control parameter (Mross *et.al.* PRB'10)

$$D(i\omega, \mathbf{q}) = \text{[diagram: bubble]} + \text{[diagram: tadpole]} = \gamma \frac{|\omega|}{q} + \chi_0 q^{1+\varepsilon}$$

- Instead of  $\varepsilon=1$  as in physical case, we consider limit

$$N \rightarrow \infty, \quad \varepsilon \rightarrow 0, \quad N\varepsilon = \text{const}$$

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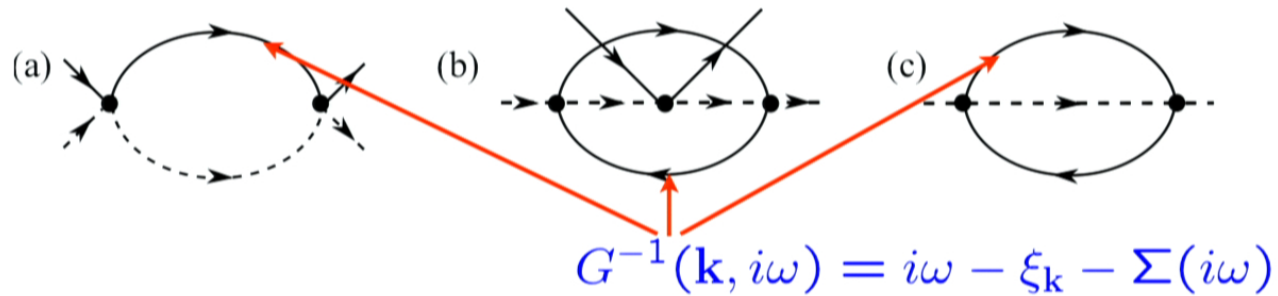
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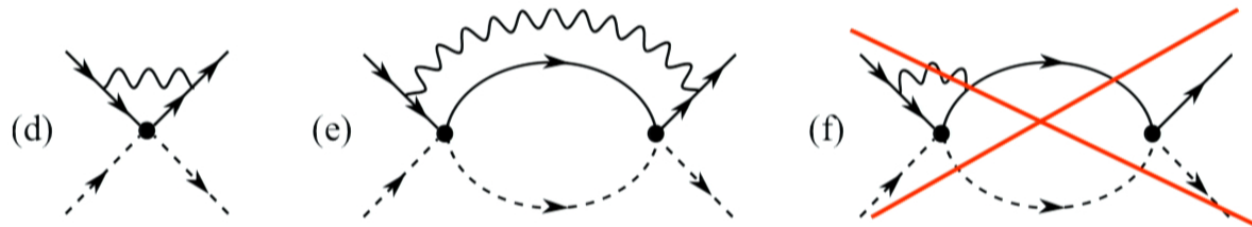
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# Kondo with gauge field

- Flow of Kondo coupling  $g$  assuming that  $e$  is fixed:



- Vertex corrections do not contribute



## Changes in $\beta$ -function

- $\beta$ -function is calculated perturbatively in  $g$ :

$$\beta(g) = (1 - \kappa) \left( g^2 - \frac{N}{2} g^3 \right) + \dots, \quad \kappa = \frac{1}{2N} \frac{1}{1 + (N\varepsilon)^{-1}}$$

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$$\Delta = -\beta'(g^*) = \frac{2}{N}(1 - \kappa) + \dots$$

- $\Delta$  becomes smaller  $\rightarrow$  softening of singularities from the decoherence of quasiparticle excitations

# Behavior of physical quantities

- Power-law behavior of running coupling constant:

$$g_R(\omega) = g^* - \zeta (\omega/T_K)^\Delta \quad \Delta = \frac{2}{N}(1 - \kappa) + \dots$$

- Scattering rate due to impurity, thermal conductivity

$$\nu\tau^{-1}(\omega) \propto 1 - N\zeta(\omega/T_K)^\Delta$$
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- Thermodynamic properties

$$C_{\text{imp}} \sim \left(\frac{T}{T_K}\right)^{2\Delta} \quad \chi_{\text{imp}} \sim \frac{1}{T} \left(\frac{T}{T_K}\right)^{2\Delta} \quad M \sim \left(\frac{h}{T_K}\right)^{\Delta}$$



# Summary of part II

- $N \rightarrow \infty, \varepsilon \rightarrow 0$  limit: Kondo fixed point survives but it is modified by the gauge field
- Critical behavior  $\rightarrow$  fermionic excitations  
Softening compared to Fermi liquid  $\rightarrow$  gauge field
- Specific heat, spin susceptibility and NRM relaxation rate as probes (M.S., T. Senthil, P.A. Lee, arXiv:1212.5179)

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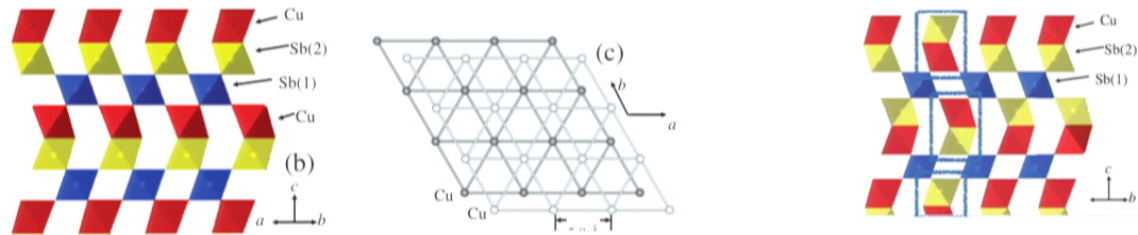
# Outlook

- $S=1$  spin liquid with emergent fermionic excitations
  - \*  $d+id$  pairing of spinons
  - \* all spinons have Fermi surface: overscreened Kondo to probe spinons and gauge field
- Possible further directions
  - \* material-oriented questions
  - \* ED, DMRG,...: search for spin models

# New candidate materials

- Spin-1/2  $\text{Ba}_3\text{CuSb}_2\text{O}_9$  (Zhou *et.al.* PRL'11)

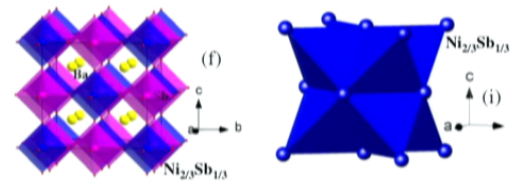
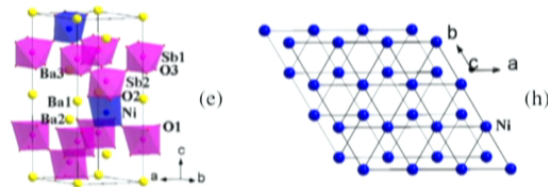
(Nakatsuji *et.al.* Science'12)



- Spin-1  $\text{Ba}_3\text{NiSb}_2\text{O}_9$  (Cheng *et.al.* PRL'11)

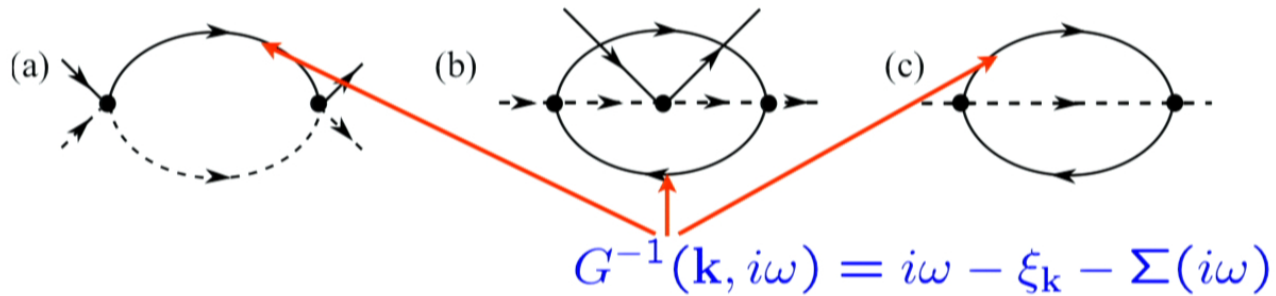
6H-B phase

6H-C phase



# Kondo with gauge field

- Flow of Kondo coupling  $g$  assuming that  $e$  is fixed:



- Vertex corrections do not contribute

