

Title: Chern-Simons Contact Terms

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Abstract: Chern-Simons contact terms constitute new observables in three-dimensional quantum field theory. In $N=2$ supersymmetric theories with an R-symmetry, they lead to a superconformal anomaly. This understanding clarifies several puzzles surrounding the S^3 partition function of these theories. In particular, it leads to a proof of the F-maximization principle. Chern-Simons contact terms can be computed exactly using localization and lead to new tests of proposed dualities.

Chern-Simons Contact Terms

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Based on arXiv:1205.4142, 1206.5218 with
C. Closset, G. Festuccia, Z. Komargodski, N. Seiberg

Outline

In this talk I will discuss QFT in three Euclidean dimensions

- ▶ Chern-Simons contact terms (no SUSY)
- ▶ Currents in $\mathcal{N} = 2$ theories with a $U(1)_R$ symmetry
- ▶ Supersymmetric Chern-Simons contact terms
- ▶ Anomaly: superconformal invariance vs. compact $U(1)_R$
- ▶ Partition function of $\mathcal{N} = 2$ theories on S^3
- ▶ F-maximization
- ▶ Tests of duality
- ▶ Conclusions

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Contact Terms

Contact terms are correlation functions at coincident points:

$$\langle \mathcal{O}(x)\mathcal{O}(0) \rangle = \dots + c\delta^{(3)}(x) + c'\partial^2\delta^{(3)}(x) + \dots$$

It is helpful to couple $\mathcal{O}(x)$ to a classical source $\lambda(x)$ and specify a Lagrangian for dynamical and background fields:

$$\mathcal{L} = \dots + \lambda(x)\mathcal{O}(x) + \frac{c}{2}\lambda(x)^2 + \frac{c'}{2}\lambda(x)\partial^2\lambda(x) + \dots$$

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Typically, contact terms are not universal (arbitrary):

- ▶ Reflect short-distance physics
- ▶ Depend on the regularization scheme
- ▶ Modified by adding local counterterms
- ▶ Change under field redefinitions

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Contact Terms (cont.)

Sometimes contact terms are meaningful. This happens when a physical principle (e.g. symmetry) restricts the allowed counterterms.

- ▶ Seagull term in scalar quantum electrodynamics
- ▶ Trace anomaly in two-dimensional CFT
 - ▶ T_{μ}^{μ} is a **redundant operator**; its correlation functions vanish at separated points.
 - ▶ Imposing $\partial^{\mu}T_{\mu\nu} = 0$ forces T_{μ}^{μ} to have non-trivial contact terms.
 - ▶ Couple $T_{\mu\nu}$ to a background metric $g_{\mu\nu}$. Then conservation = background diffeomorphism invariance.
 - ▶ Contact terms $\langle T_{\mu}^{\mu} \rangle \sim cR$ cannot be changed by adding diffeomorphism-invariant counterterms.

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Chern-Simons Contact Terms

Consider a three-dimensional QFT with a global $U(1)$ symmetry. Assume that the $U(1)$ is compact. Couple the conserved current j_μ to a classical background gauge field a_μ .

A contact term in the two-point function

$$\langle j_\mu(x) j_\nu(0) \rangle = \dots + \frac{i\kappa}{2\pi} \varepsilon_{\mu\nu\rho} \partial^\rho \delta^{(3)}(x)$$

corresponds to a Chern-Simons term for a_μ in the effective action (free energy):

$$F[a] = \dots + \frac{i\kappa}{4\pi} \int d^3x \varepsilon^{\mu\nu\rho} a_\mu \partial_\nu a_\rho$$

Chern-Simons Contact Terms (cont.)

- ▶ In order to shift the contact term $\kappa \rightarrow \kappa + \delta\kappa$, we add a counterterm to the UV Lagrangian:

$$\delta\mathcal{L} = \frac{i\delta\kappa}{4\pi} \varepsilon^{\mu\nu\rho} a_\mu \partial_\nu a_\rho$$

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- ▶ Counterterms summarize local physics at short distances. They should make sense on any three-manifold and for any configuration of the background field a_μ .
- ▶ Since the $U(1)$ is compact, $\delta\kappa \in \mathbb{Z}$. The ambiguity in κ is quantized and $\kappa \bmod (1)$ is a physical observable.

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- ▶ Since the $U(1)$ is compact, $\delta\kappa \in \mathbb{Z}$. The ambiguity in κ is quantized and $\kappa \bmod (1)$ is a physical observable.
- ▶ The Chern-Simons term is defined by extending a_μ to a (spin) four-manifold. The quantization of $\delta\kappa$ follows from requiring that answer not depend on how this is done.
- ▶ Sometimes we need a counterterm with fixed fractional part for consistency. The integer part remains arbitrary.

The Current Two-Point Function

Current conservation restricts

$$\langle j_\mu(p) j_\nu(-p) \rangle = \tau \left(\frac{p^2}{\mu^2} \right) \frac{p_\mu p_\nu - p^2 \delta_{\mu\nu}}{16|p|} + \kappa \left(\frac{p^2}{\mu^2} \right) \frac{\varepsilon_{\mu\nu\rho} p^\rho}{2\pi}$$

- ▶ Two dimensionless structure functions.
- ▶ In a CFT τ and κ are constants.
- ▶ Unitarity implies $\tau \geq 0$.
- ▶ $\tau \left(\frac{p^2}{\mu^2} \right)$ always corresponds to separated points.
- ▶ The p -dependence of $\kappa \left(\frac{p^2}{\mu^2} \right)$ corresponds to separated points.
- ▶ Shifting $\kappa \left(\frac{p^2}{\mu^2} \right)$ by a constant amounts to adding a contact term.

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Interesting Observables

$$\langle j_\mu(p) j_\nu(-p) \rangle = \tau \left(\frac{p^2}{\mu^2} \right) \frac{p_\mu p_\nu - p^2 \delta_{\mu\nu}}{16|p|} + \kappa \left(\frac{p^2}{\mu^2} \right) \frac{\varepsilon_{\mu\nu\rho} p^\rho}{2\pi}$$

- ▶ $\tau \left(\frac{p^2}{\mu^2} \right)$ is always a good physical observable.
- ▶ The p -dependence of $\kappa \left(\frac{p^2}{\mu^2} \right)$ is physical, but the constant piece is more subtle. Define

$$\kappa_{\text{UV}} = \lim_{p \rightarrow \infty} \kappa \left(\frac{p^2}{\mu^2} \right), \quad \kappa_{\text{IR}} = \lim_{p \rightarrow 0} \kappa \left(\frac{p^2}{\mu^2} \right)$$

- ▶ We can change $\kappa_{\text{UV}}, \kappa_{\text{IR}}$ by adding a counterterm, but $\kappa_{\text{UV}} - \kappa_{\text{IR}}$ is a physical observable.
- ▶ If the $U(1)$ symmetry is compact, the ambiguity is quantized and $\kappa_{\text{UV}} \bmod (1), \kappa_{\text{IR}} \bmod (1)$ are physical.

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Example 1: Free Fermion

A massive Dirac Fermion has a global $U(1)$ symmetry under which the fermion has charge $+1$. Computing $\kappa\left(\frac{p^2}{m^2}\right)$ we find

$$\kappa_{UV} - \kappa_{IR} = \frac{1}{2}\text{sign}(m)$$

The IR theory is completely empty; the theory is fully gapped. The effective Lagrangian is a Chern-Simons term for the background $U(1)$ gauge field, with level κ_{IR} .

Since the system is fully gapped, consistency demands that $\kappa_{IR} \in \mathbb{Z}$. Therefore we must add a UV counterterm such that [Redlich]

$$\kappa_{UV} = \frac{1}{2} + (\text{integer})$$

The fact that $\kappa_{UV} \neq 0$ is sometimes called a 'parity anomaly.'

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Example 3: Non-Trivial RG Flow

Consider an RG flow with two crossover scales $m \ll M$:

- ▶ The UV theory above the scale M is free.
- ▶ At intermediate energies $m \ll E \ll M$, the theory is approximately a CFT.
- ▶ The IR theory below the scale m is fully gapped (no topological degrees of freedom).

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We can use our knowledge of κ_{UV}, κ_{IR} to learn about κ_{CFT} :

- ▶ $\kappa_{UV} = \kappa(M^2 \ll p^2)$ is fixed up to an integer by the number of fermions and topological contributions.
- ▶ $\kappa_{CFT} = \kappa(m^2 \ll p^2 \ll M^2)$ is an intrinsic observable of the CFT, up to an integer that knows about UV or IR.
- ▶ $\kappa_{IR} = \kappa(p^2 \ll m^2)$ must be an integer.

Gravitational Chern-Simons Contact Terms

We can repeat the previous discussion for contact terms in the two-point function of the energy-momentum tensor $T_{\mu\nu}$:

$$\langle T_{\mu\nu}(x)T_{\rho\sigma}(0) \rangle = \dots - \frac{i\kappa_g}{192\pi} \varepsilon_{\mu\rho\lambda} \partial^\lambda (\partial_\nu \partial_\sigma - \partial^2 \delta_{\nu\sigma}) \delta^{(3)}(x) + \dots$$

This contact term corresponds to a Chern-Simons term for the background metric $g_{\mu\nu}$

$$\frac{i\kappa_g}{192\pi} \int \sqrt{g} d^3x \varepsilon^{\mu\nu\rho} \text{Tr} \left(\omega_\mu \partial_\nu \omega_\rho + \frac{2}{3} \omega_\mu \omega_\nu \omega_\rho \right)$$

Here ω_μ is the spin connection. This term is defined by extending $g_{\mu\nu}$ to a four-manifold, so that $\kappa_g \in \mathbb{Z}$.

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Currents in $\mathcal{N} = 2$ Supersymmetry

Distinguish $U(1)$ flavor symmetries and $U(1)_R$ symmetries.

- ▶ A **flavor current** j_μ is embedded in a real linear multiplet $\mathcal{J} = (j_\mu, K, J) + (\text{fermions})$.
- ▶ We can couple it to a **background gauge field** a_μ in a vector superfield $\mathcal{V} = (a_\mu, \sigma, D) + (\text{gauginos})$, which can take arbitrary values.



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The supersymmetric flavor-flavor Chern-Simons term is

$$\mathcal{L}_{ff} = \frac{\kappa_{ff}}{4\pi} (i\varepsilon^{\mu\nu\rho} a_\mu \partial_\nu a_\rho - 2\sigma D) + (\text{fermions}) .$$

- ▶ The flavor-flavor Chern-Simons contact term κ_{ff} is physical in $\langle j_\mu(x) j_\nu(0) \rangle$ and $\langle J(x) K(0) \rangle$.
- ▶ As before, $\kappa_{ff} \bmod (1)$ is physical.



R-Symmetry Currents

- ▶ In a three-dimensional $\mathcal{N} = 2$ theory, a $U(1)_R$ current is in a supermultiplet with the SUSY current and the energy-momentum tensor [TD, Seiberg]

$$\mathcal{R} = (j_\mu^{(R)}, S_{\alpha\mu}, T_{\mu\nu}, J^{(Z)}, j_\mu^{(Z)})$$

- ▶ The appropriate **background fields** are the fields in the three-dimensional, new-minimal supergravity multiplet

$$\mathcal{H} = (A_\mu, \Psi_{\alpha\mu}, g_{\mu\nu}, H, C_\mu) , \quad V_\mu = -\varepsilon_{\mu\nu\rho} \partial^\nu C^\rho$$



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- ▶ In a superconformal theory $T_\mu^\mu, J^{(Z)}, j_\mu^{(Z)}$ are redundant operators and $A_\mu - \frac{1}{2}V_\mu, H$ can be gauged away.

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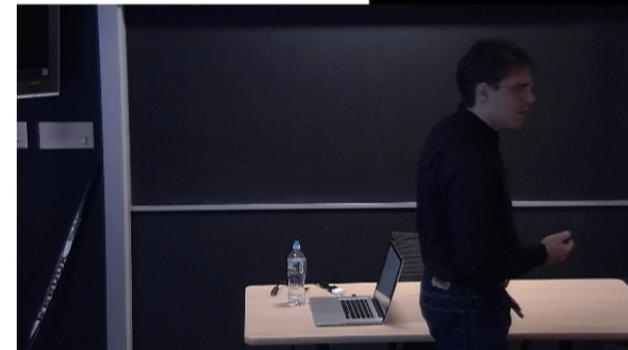
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More Chern-Simons Contact Terms

Using the **background fields** that couple to the \mathcal{R} -multiplet, we can construct three more Chern-Simons terms:

- ▶ Gravitational: $\omega \wedge d\omega + \dots$
- ▶ Flavor- R : $a \wedge dA + \dots$
- ▶ R - R : $A \wedge dA + \dots$



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- ▶ Gravitational: $\omega \wedge d\omega + \dots$
- ▶ Flavor- R : $a \wedge dA + \dots$
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The Gravitational Chern-Simons term can be completed to a **superconformal** expression [Rocek, van Nieuwenhuizen]

$$\mathcal{L}_g = \frac{\kappa_g}{192\pi} i\varepsilon^{\mu\nu\rho} \left(\text{Tr} \left(\omega_\mu \partial_\nu \omega_\rho + \frac{2}{3} \omega_\mu \omega_\nu \omega_\rho \right) \right. \\ \left. + 4 \left(A_\mu - \frac{3}{2} V_\mu \right) \partial_\nu \left(A_\rho - \frac{3}{2} V_\rho \right) \right) + (\text{fermions})$$

Non-Superconformal Chern-Simons Terms

- ▶ A contact term in the two-point function $\langle j_\mu(x) j_\nu^{(R)}(0) \rangle$ is captured by the SUSY flavor- R Chern-Simons term

$$\mathcal{L}_{fr} = -\frac{\kappa_{fr}}{2\pi} \left(i\varepsilon^{\mu\nu\rho} a_\mu \partial_\nu \left(A_\rho - \frac{1}{2} V_\rho \right) + \frac{1}{4} \sigma R - DH + \dots \right)$$



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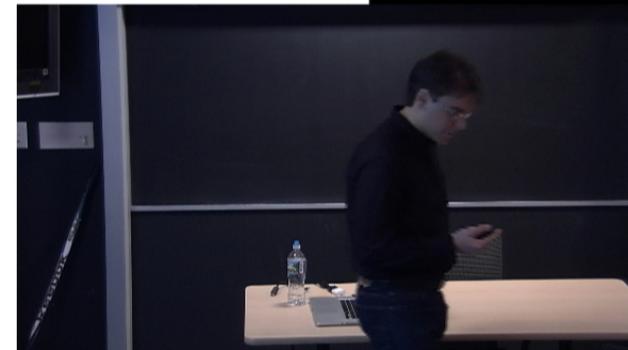
- ▶ The flavor- R term is not conformally invariant:
 - ▶ The fields $A_\mu - \frac{1}{2}V_\mu$, H and the Ricci scalar R do not arise in conformal supergravity. (Not gauge invariant.)
 - ▶ These fields couple to redundant operators in the CFT. For example R couples to T_μ^μ .
- ▶ The R - R term is also not conformally invariant:

$$\mathcal{L}_{rr} = -\frac{\kappa_{rr}}{4\pi} \left(i\varepsilon^{\mu\nu\rho} (A_\mu - \frac{1}{2}V_\mu) \partial_\nu (A_\rho - \frac{1}{2}V_\rho) + \frac{1}{2}HR + \dots \right)$$

Summary of SUSY Chern-Simons Terms

We studied four different Chern-Simons terms:

- ▶ Flavor-Flavor: $a \wedge da + \dots$
- ▶ Gravitational: $\omega \wedge d\omega + \dots$
- ▶ Flavor-R: $a \wedge dA + \dots$
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A New Anomaly

If the $U(1)$ flavor and the $U(1)_R$ symmetries are compact, the fractional parts of the various Chern-Simons contact terms are physical observables. They cannot be removed.

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The flavor-flavor and gravitational terms are superconformal and can be non-zero in a superconformal theory.

The flavor- R and R - R terms are supersymmetric but not conformal. They should not arise in a superconformal theory. However, if they have fractional parts they cannot be removed.

What should we do with these non-conformal terms?

A New Anomaly (cont.)

An anomaly arises when we cannot impose different physical requirements at the same time. In an SCFT we would like:

- ▶ Supersymmetry
- ▶ Conformal invariance
- ▶ Compactness of all flavor and R -symmetries

If the flavor- R and R - R terms have fractional parts, then we must give up one of these requirements.



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This is a $U(1)$ gauge theory with N_f flavors and a dynamical Chern-Simons term at level k , which flows to a CFT in the IR.

Starting with zero contact terms in the UV, explicit two-loop computations uncover Chern-Simons contact terms in the IR:

$$\kappa_{ff} = \frac{\pi^2 N_f}{4k} + \mathcal{O}\left(\frac{1}{k^3}\right), \quad \kappa_{fr} = -\frac{N_f}{2k} + \mathcal{O}\left(\frac{1}{k^3}\right)$$

We also expect the gravitational and R - R terms to be nonzero.

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SUSY Field Theories on Curved Manifolds

Rigid SUSY field theories on curved manifolds can be obtained by taking a rigid limit, $M_P \rightarrow \infty$, of an appropriate off-shell supergravity-matter theory, so that the supergravity fields become **classical backgrounds** [Festuccia, Seiberg].

In a three-dimensional $\mathcal{N} = 2$ theory with a $U(1)_R$ symmetry the supergravity fields couple to the \mathcal{R} -multiplet:

$$T_{\mu\nu} h^{\mu\nu} + \frac{1}{2} S_\mu \psi^\mu - \frac{1}{2} \tilde{S}_\mu \tilde{\psi}^\mu - j_\mu^{(R)} \left(A^\mu - \frac{3}{2} V^\mu \right) - j_\mu^{(Z)} C^\mu - J^{(Z)} H$$

Rigid SUSY exists if we can consistently set $\psi_\mu = \tilde{\psi}_\mu = 0$, i.e. if $\delta\psi_\mu = \delta\tilde{\psi}_\mu = 0$. This allows us to systematically analyze the manifolds that admit rigid SUSY [TD, Festuccia, Seiberg; TD, Festuccia; Closset, TD, Festuccia, Komargodski (to appear)].

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An $\mathcal{N} = 2$ theory with a $U(1)_R$ symmetry can be placed on S^3 while preserving supersymmetry [D. Sen; Romelsberger; Kapustin, Willett, Yaakov; Jafferis; Hama, Hosomichi, Lee].

Using the supergravity perspective, we fix the metric on the S^3 . The other supergravity fields are completely fixed, if we want to preserve four supercharges:

- ▶ On a sphere of radius r , supersymmetry requires $H = -\frac{i}{r}$.
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Varying the R-Symmetry

- ▶ The choice of R -symmetry is not unique. It can mix with a $U(1)$ flavor symmetry:

$$R(t) = R_0 + tQ$$

- ▶ We can turn on a complex background gauge superfield $a_\mu = 0$, $D = \frac{i\sigma}{r}$ for the $U(1)$ flavor symmetry.
 - ▶ The real part $\text{Re}(\sigma) = m$ is a real mass term.
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Resolution: Chern-Simons Contact Terms

- ▶ In flat space, we found four supersymmetric Chern-Simons contact terms. Two of them are **not superconformal**.
- ▶ If we do not add bare counterterms to remove them, the superconformal field theory has non-conformal Chern-Simons terms for the background fields.
- ▶ Substituting the complex background fields H , σ and D , these non-conformal terms give rise to complex Z .
- ▶ The phase of the partition function is computable using the flat-space values of the contact terms. It agrees with localization computations on S^3 .
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F-Maximization

Explore the free energy $F(t)$ as a function of the R -symmetry parameter t near the superconformal point $t = t_*$.

- ▶ The real part is an **extremum** [Jafferis]. The Imaginary part violates conformal invariance due to κ_{fr} :

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- ▶ It is closely related to the F -theorem.
- ▶ By examining $F(t)$ near $t = t_*$, we can compute the flat-space observables $\tau_{ff}, \kappa_{ff}, \kappa_{fr}$ exactly using localization. The answers agree with perturbative flat-space computations (SQED example).
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