

Title: Fractional Chern Insulators

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Abstract: Fractional Chern insulators (FCIs) are topologically ordered states of interacting fermions that share their universal properties with fractional quantum Hall states in Landau levels. FCIs have been found numerically in a variety of two-dimensional lattice models upon partially filling an almost dispersionless band with nontrivial topological character with repulsively interacting fermions. I will show how FCIs emerge in bands with Chern number $C=1$ and $C=2$ and in Z_2 topological insulators, where the latter are accompanied by a spontaneous breaking of time-reversal symmetry. Further, I will discuss the relevance of the noncommutative quantum geometry of the flat topological band to the stability of FCIs and how they can be distinguished from phases of spontaneously broken point group symmetry, such as charge density waves.

Fractional Chern Insulators

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Claudio Chamon, Boston U
Shinsei Ryu, U Illinois Urbana
Luiz Santos, Perimeter
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Outline

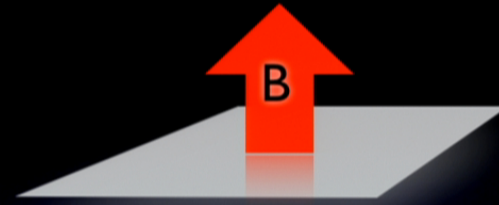
1. Chern insulators
Haldane's model
noncommutative geometry
2. Fractional Chern insulators (FCI)
 $C=1$ exact diagonalization results
 $C=2$
3. spontaneous formation of FCI in
 Z_2 topological insulators

Quantum Hall effect: **Landau levels**

energy scale $\omega_c = \frac{eB}{m}$

length scale $\ell_B^2 = \frac{\hbar c}{eB}$

degeneracy $N = \frac{A}{2\pi\ell_B^2} = \frac{\Phi}{\Phi_0}$

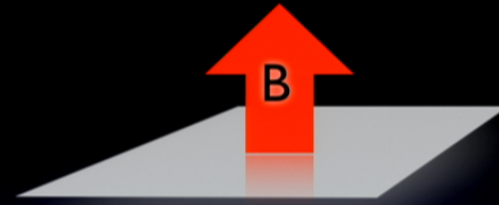


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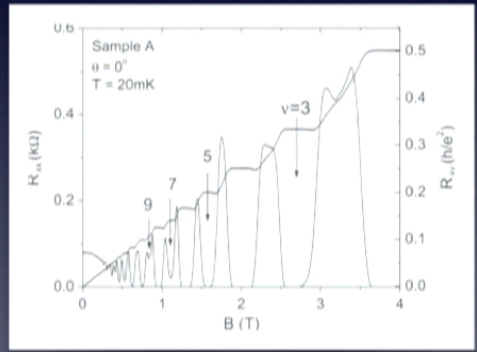
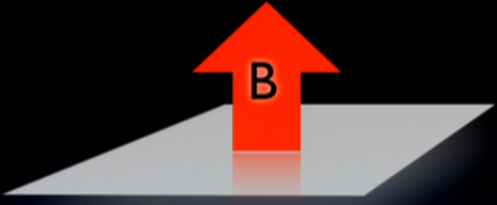


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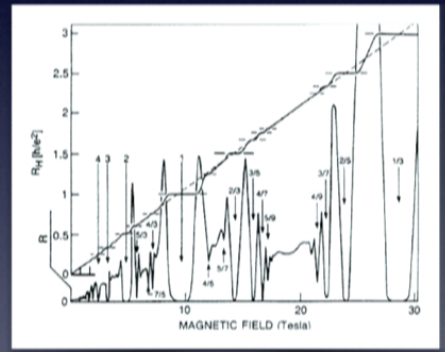
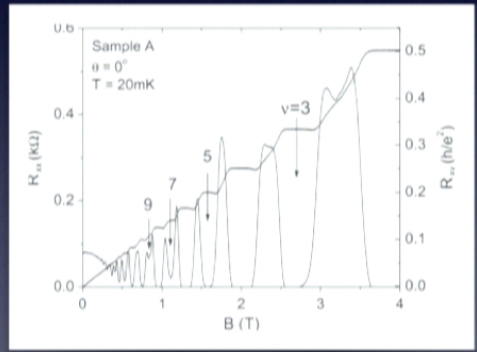
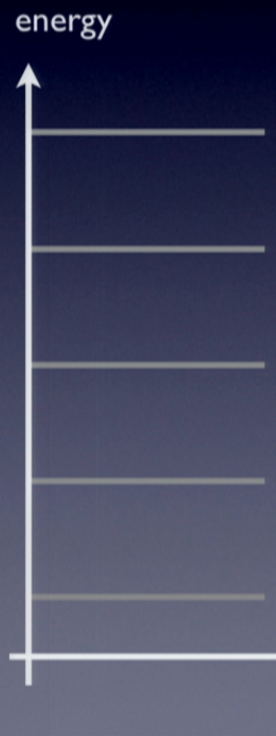
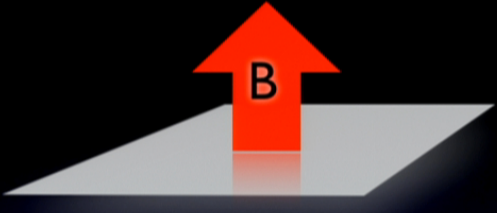
Integer quantum Hall effect $\sigma_{xy} = \frac{e^2}{h} n$

Quantum Hall effect: Landau levels

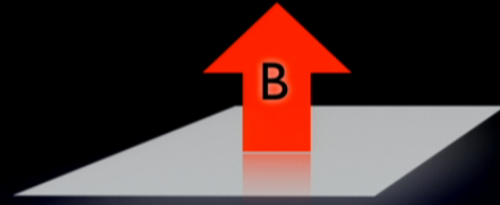
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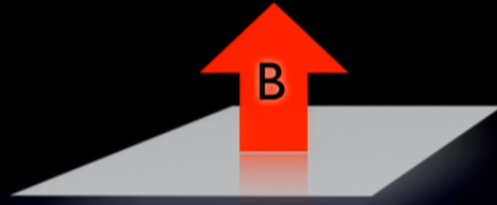


Fractional quantum Hall effect $\sigma_{xy} = \frac{e^2}{h} \nu$



Are there other systems in which a quantum Hall effect appears?

Can we dispose of the large magnetic field?



Answer for integer
quantum Hall effect:

F. D. M. Haldane, Phys. Rev. Lett. **61**, 2015 (1988)

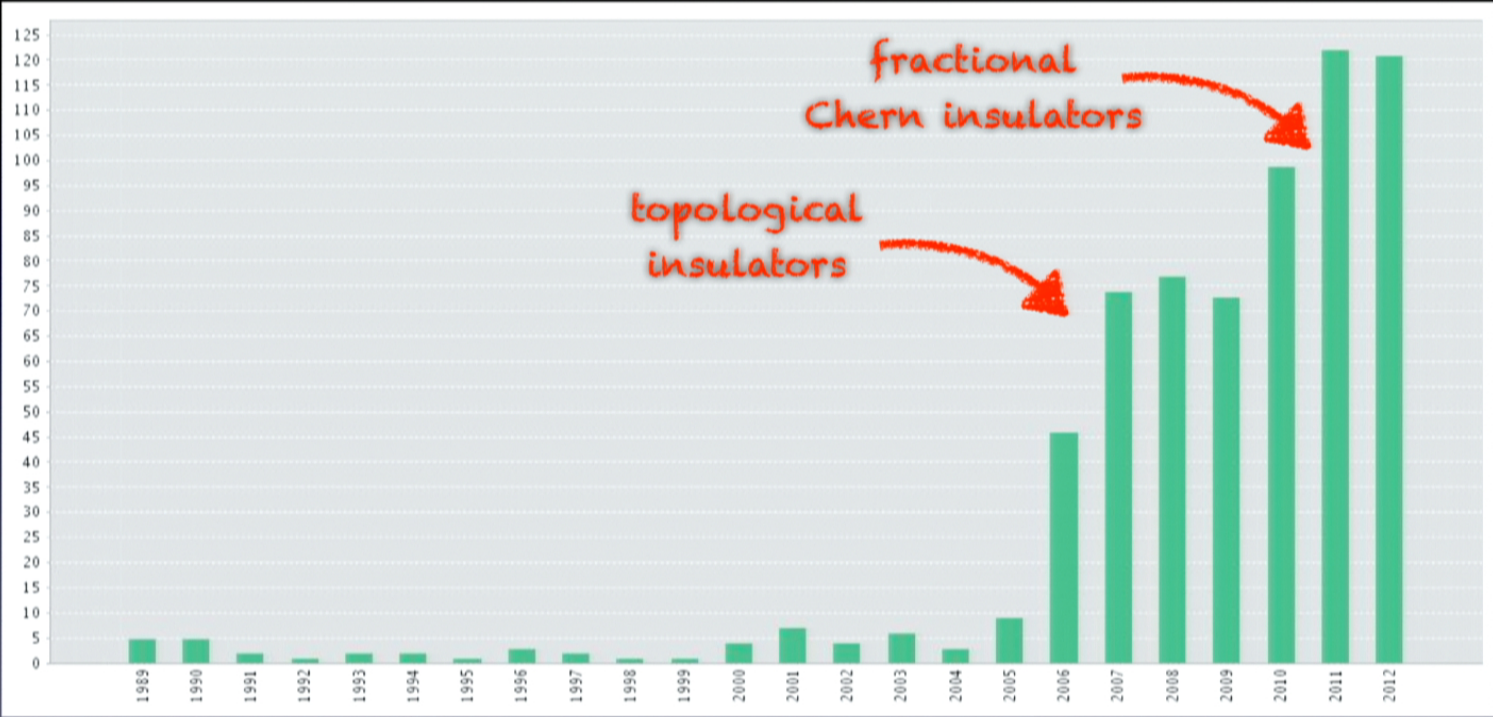
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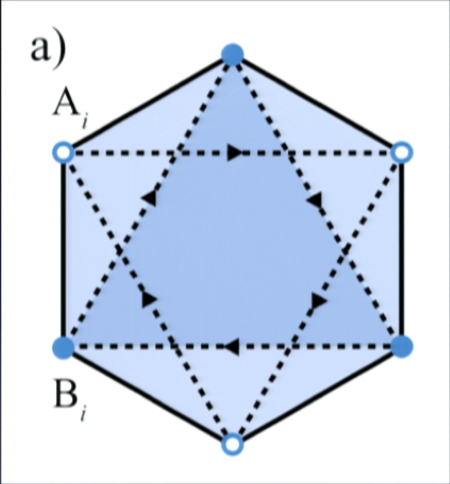
Yes

Citations: Haldane's model



F. D. M. Haldane, Phys. Rev. Lett. **61**, 2015 (1988)

Chern insulator: **Haldane's model**



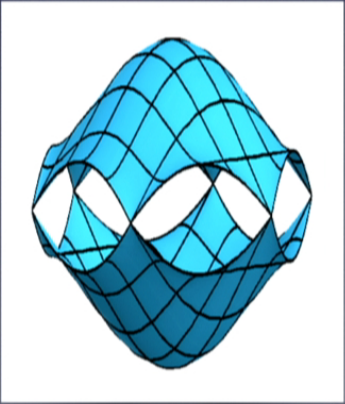
spinless fermions on honeycomb lattice

complex NNN hoppings open mass gap in graphene spectrum

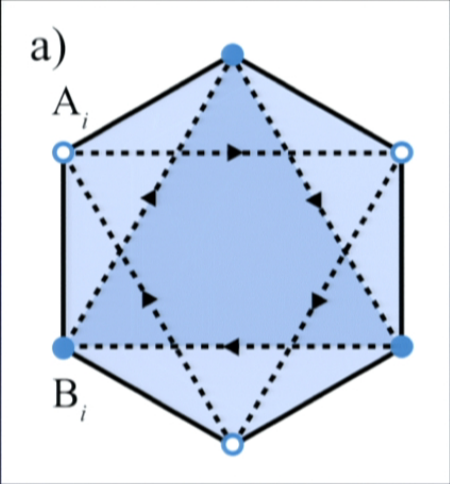
“Chern insulator”

$$\sigma_{xy} = \frac{e^2}{h} C$$
$$C = \pm 1$$

$$\ell_B \rightarrow a$$



Chern insulator: **Haldane's model**



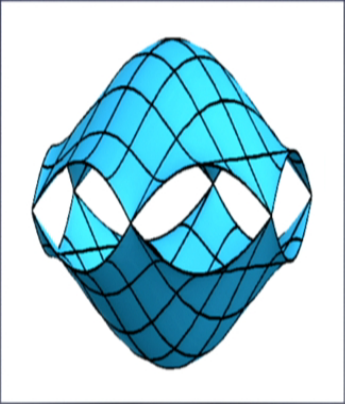
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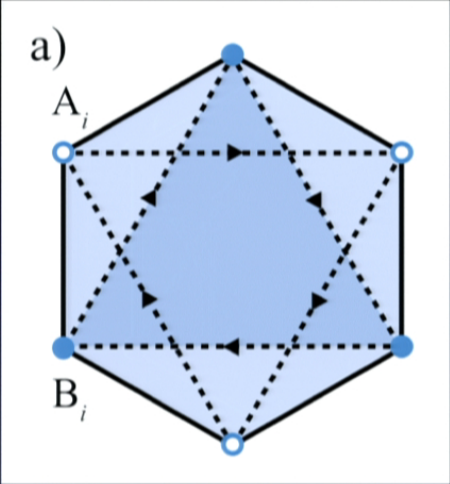
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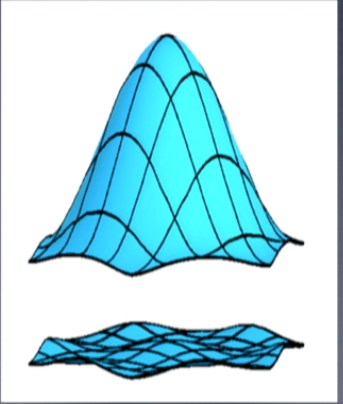
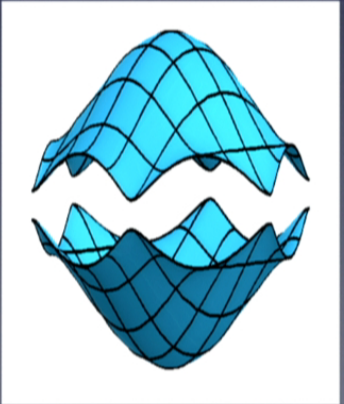
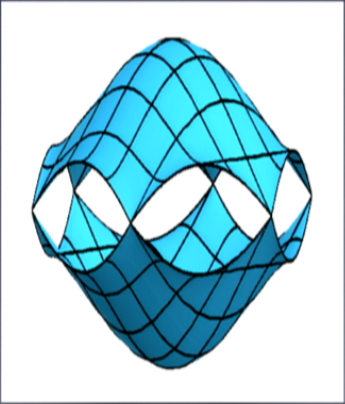
Chern insulator: **Haldane's model**



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“Chern insulator” $\sigma_{xy} = \frac{e^2}{h} C$
 $C = \pm 1$



$\ell_B \rightarrow a$
 Just like a Landau level?

■ **3 functions that are constant in the Landau level**
... but not in a generic Chern insulator

1. Dispersion $\varepsilon(\mathbf{k})$
2. Quantum metric $g_{\mu\nu}(\mathbf{k})$
3. Berry curvature $F_{\mu\nu}(\mathbf{k})$

■ **3 functions that are constant in the Landau level**
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3. Berry curvature $F_{\mu\nu}(\mathbf{k})$

Berry connection $A_\mu(\mathbf{k}) := \langle u(\mathbf{k}) | \partial_\mu | u(\mathbf{k}) \rangle$

$$F_{\mu\nu}(\mathbf{k}) = \partial_\mu A_\nu(\mathbf{k}) - \partial_\nu A_\mu(\mathbf{k}) \quad C = \frac{i}{2\pi} \int d^2\mathbf{k} F_{12}(\mathbf{k})$$

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$$\langle \chi(\mathbf{k}) | \hat{X}_\mu \hat{X}_\nu | \chi(\mathbf{k}) \rangle = g_{\mu\nu}(\mathbf{k}) + F_{\mu\nu}(\mathbf{k})$$

projected
position operator



real, symmetric imaginary, antisymmetric

$$[\hat{X}_\mu, \hat{X}_\nu](\mathbf{k}) = 2F_{\mu\nu}(\mathbf{k}) \quad \text{noncommutative geometry}$$

■ Density algebra

Landau level

$$[\hat{\rho}_{\mathbf{q}}, \hat{\rho}_{\mathbf{q}'}] = -2i \sin\left(\frac{\ell_B^2}{2} \mathbf{q} \wedge \mathbf{q}'\right) \hat{\rho}_{\mathbf{q}+\mathbf{q}'}$$

$$\hat{\rho}_{\mathbf{q}} = e^{i\mathbf{q} \cdot \hat{\mathbf{X}}}$$

S. M. Girvin et al., Phys. Rev. Lett. **54**, 581 (1985)

Chern insulator

■ Density algebra

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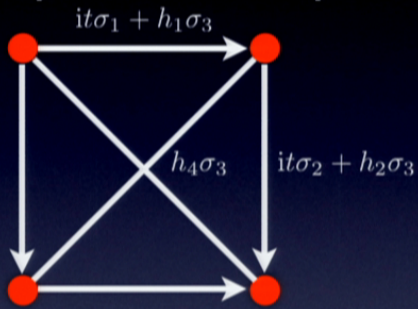
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Chern insulator

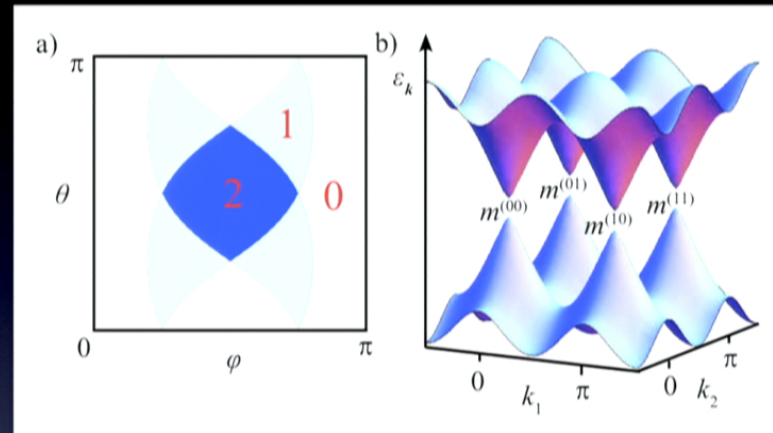
Fractional Chern insulator

Model

square lattice,
spin 1/2 d.o.f. per site



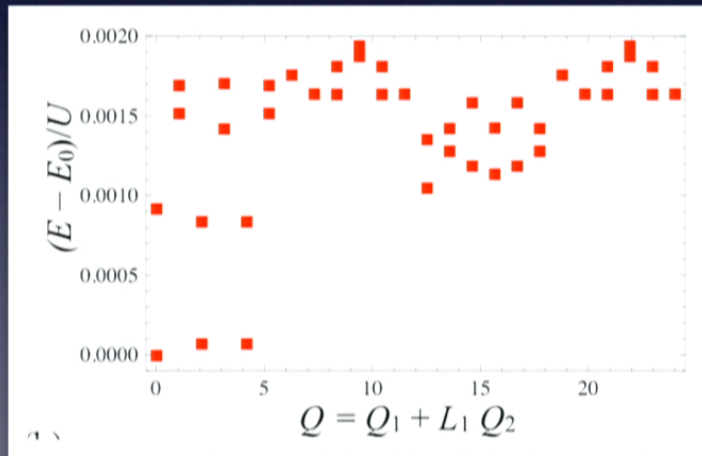
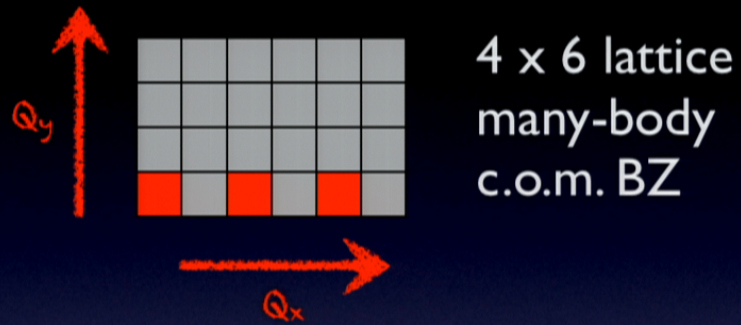
$$m^{(ij)} = (-1)^i h_1 + (-1)^j h_2 + (-1)^{i+j} 2 h_4$$



A. G. Grushin et al., arXiv:1207.4097

Fractional Chern insulator

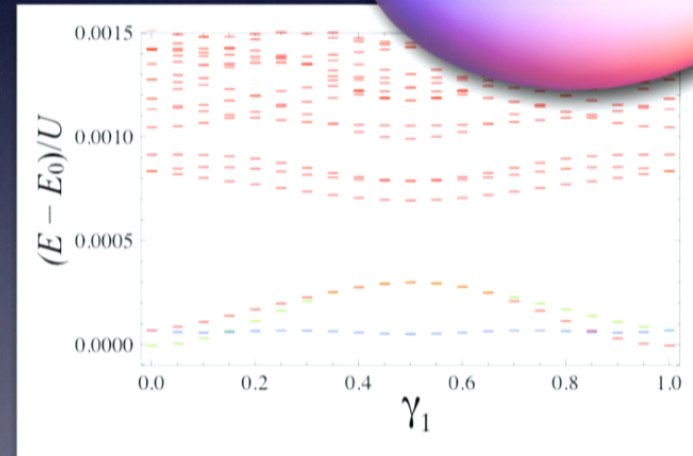
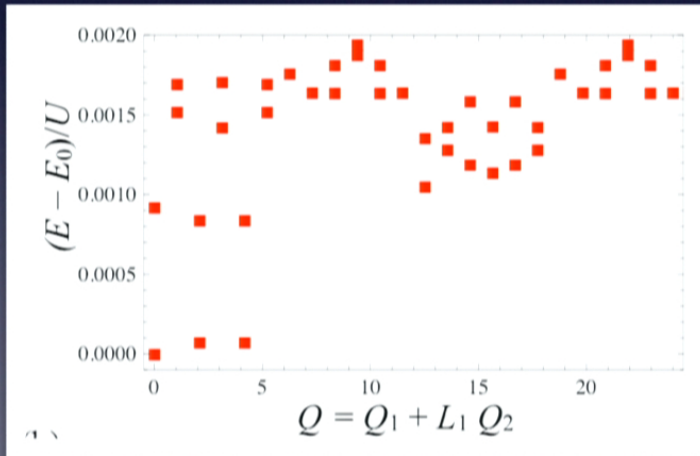
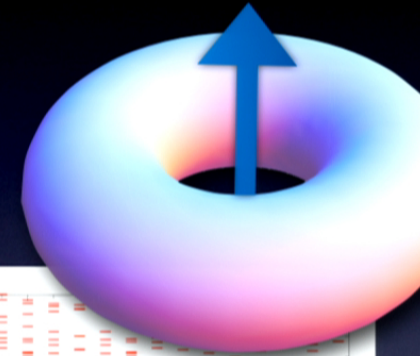
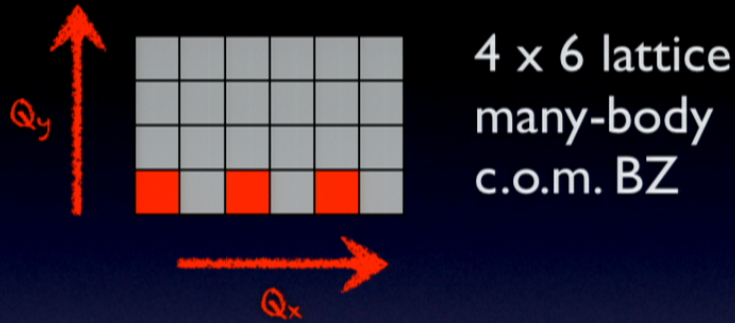
$C = 1$ at $1/3$ and $2/3$ filling



A. G. Grushin et al., arXiv:1207.4097

Fractional Chern insulator

$C = 1$ at $1/3$ and $2/3$ filling



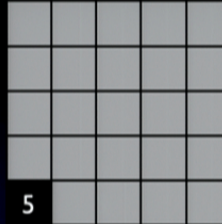
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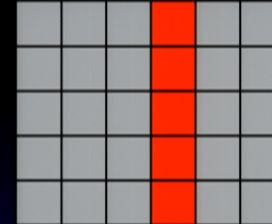
$C = 2$ at $1/5$ and $4/5$ filling



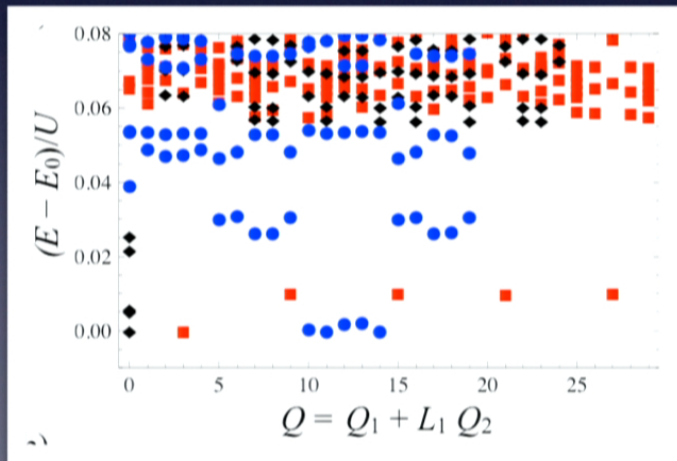
5 x 4 lattice



5 x 5 lattice



5 x 6 lattice



A. G. Grushin et al., arXiv:1207.4097

■ Fractional Chern insulator

Nature of incompressible states: FQH states

quasi-degeneracy of topologically ordered states ν^{-g}

featureless

CDW in thin-torus limit

A. G. Grushin et al., arXiv:1207.4097

B.A. Bernevig et al., arXiv:1204.5682

Fractional Chern insulators

Nature of

quasi-deg

featureless

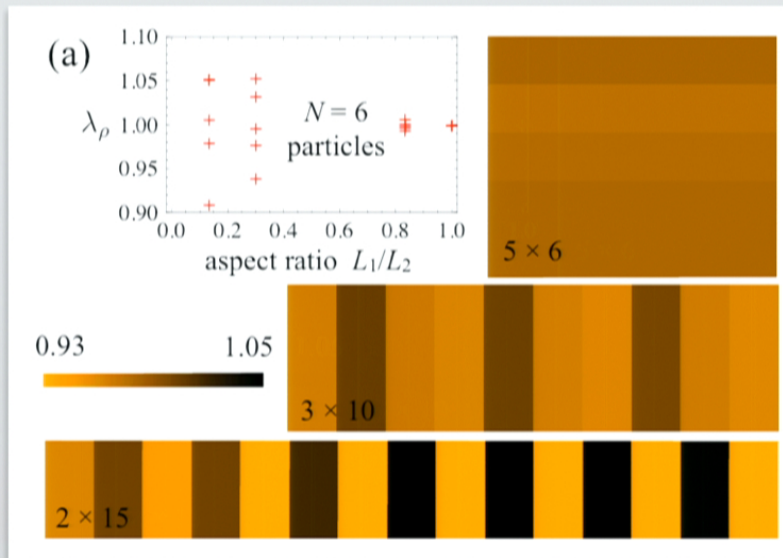
CDW in

Aside: Detecting broken translational symmetry

quasi-degenerate ground states $|\Psi_i\rangle$, $i = 1, \dots, N$

matrix $\langle \Psi_i | \rho_{\mathbf{r}} | \Psi_j \rangle$ has translational invariant spectrum, but \mathbf{r} dependent eigenvectors $v_{\mathbf{r},i}$

map out $f_{\mathbf{r}} = v_{\mathbf{r}_0,i}^* \langle \Psi_i | \rho_{\mathbf{r}} | \Psi_j \rangle v_{\mathbf{r}_0,j}$



Fractional Chern insulator

Nature of

quasi-dege

featureless

CDW in t

many-bo

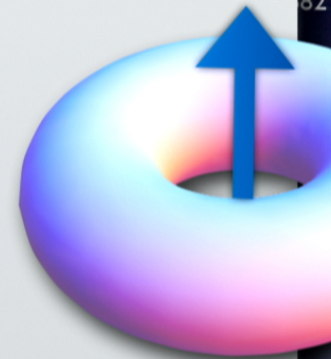
Aside: Computing the many-body Chern number

Thouless: Average over boundary conditions

$$|\Psi(\gamma_1, \gamma_2)\rangle, \quad (\gamma_1, \gamma_2) \in [0, 2\pi]^2$$

$$C = -\frac{i}{2\pi} \int_0^{2\pi} d\gamma_1 \int_0^{2\pi} d\gamma_2 \left[\left\langle \frac{\partial \Psi}{\partial \gamma_1} \left| \frac{\partial \Psi}{\partial \gamma_2} \right\rangle - \left\langle \frac{\partial \Psi}{\partial \gamma_2} \left| \frac{\partial \Psi}{\partial \gamma_1} \right\rangle \right]$$

97
82



■ Fractional Chern insulator

Nature of incompressible states: FQH states

quasi-degeneracy of topologically ordered states ν^{-g}

featureless

A. G. Grushin et al., arXiv:1207.4097

CDW in thin-torus limit

B.A. Bernevig et al., arXiv:1204.5682

many-body Chern number (Hall conductivity) $1/3$ and $2/5$

T. Neupert et al., Phys. Rev. B **86** 165133 (2012)

level counting in the entanglement spectrum

B.A. Bernevig et al., Phys. Rev. B **85** 075128 (2012)

mapping to Landau level Laughlin state
with high overlap, continuous deformation

X.-L. Qi, Phys. Rev. Lett. **107**, 126803 (2011)

Y.-L. Wu, et al., Phys. Rev. B **86**, 085129 (2012)

Z. Liu et al., arXiv:1209.5310.

Y.-L. Wu, et al., arXiv:1210.6356.

Fractional Chern insulator

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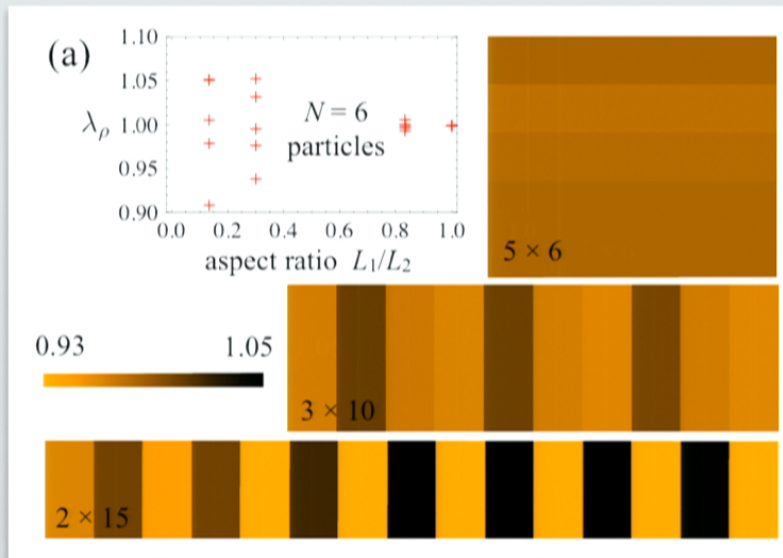
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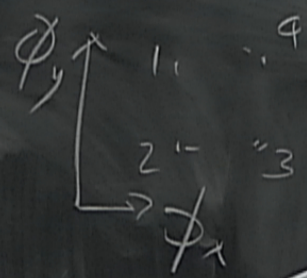
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12)
11)
12)
310.
356.

$$I_4 = \langle (12) \rangle \langle (213) \rangle \langle (314) \rangle \langle (411) \rangle$$



Fractional Chern insulator

Abelian states compatible with hierarchical CS description

non-Abelian states (Moore-Read, Z_k Read-Rezayi) with
3-body and 4-body interaction

B.A. Bernevig et al., Phys. Rev. B **85**, 075128 (2012)

Y.-F. Wang et al., Phys. Rev. Lett. **108**, 126805

Y.-L. Wu et al., Phys. Rev. B **85**, 075116 (2012)

series of states at higher Chern number $\nu = \frac{k}{1 + 2C}$

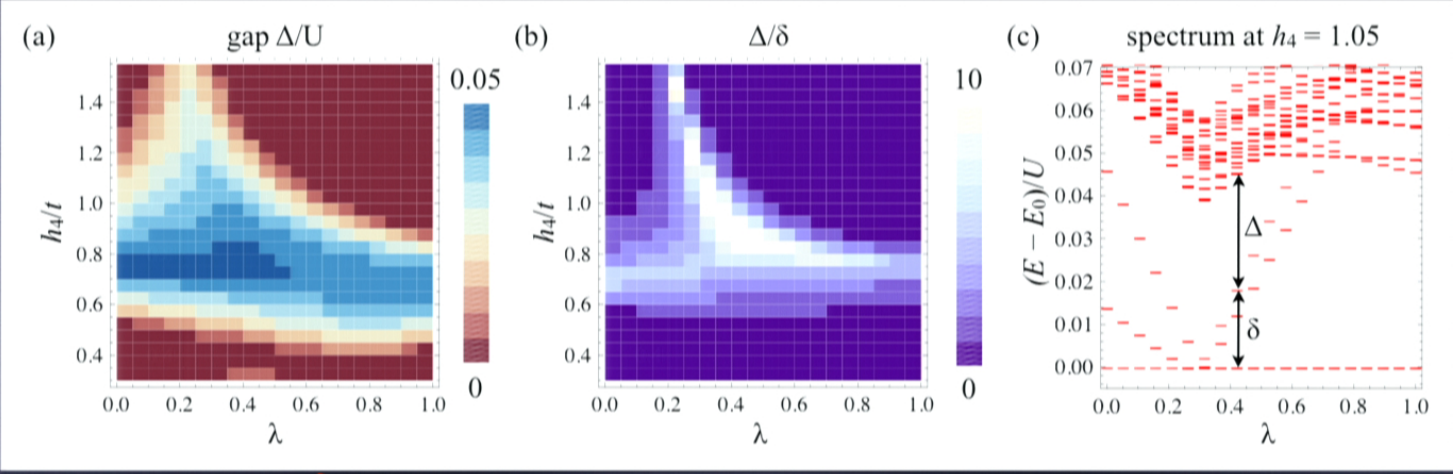
A.M. Läuchli arXiv:1207.6094

A. Sterdyniak et al., arXiv:1207.6385

Fractional Chern insulator: Effect of bandwidth

$\epsilon(\mathbf{k})$ $g_{\mu\nu}(\mathbf{k})$ $F_{\mu\nu}(\mathbf{k})$ The flatter the better?

some model parameter



bandwidth

Fractional Chern insulator: **Effect of quantum distance**

▶ Projected interaction:

$$H := \sum_{\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4} V_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3 \mathbf{k}_4} \chi_{\mathbf{k}_1}^\dagger \chi_{\mathbf{k}_2}^\dagger \chi_{\mathbf{k}_3} \chi_{\mathbf{k}_4}$$

overlaps of single-particle states

$$V_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3 \mathbf{k}_4} = v_{\mathbf{k}_1 - \mathbf{k}_3} \langle \chi_{\mathbf{k}_1} | \chi_{\mathbf{k}_3} \rangle \langle \chi_{\mathbf{k}_2} | \chi_{\mathbf{k}_4} \rangle \delta_{\mathbf{k}_1 + \mathbf{k}_2, \mathbf{k}_3 + \mathbf{k}_4}$$

Fractional Chern insulator: **Effect of quantum distance**

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overlaps of single-particle states



$$V_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3 \mathbf{k}_4} = v_{\mathbf{k}_1 - \mathbf{k}_3} \langle \chi_{\mathbf{k}_1} | \chi_{\mathbf{k}_3} \rangle \langle \chi_{\mathbf{k}_2} | \chi_{\mathbf{k}_4} \rangle \delta_{\mathbf{k}_1 + \mathbf{k}_2, \mathbf{k}_3 + \mathbf{k}_4}$$

Quantum distance enters interaction

$$d(\mathbf{k}, \mathbf{k}') := \sqrt{1 - |\langle \chi(\mathbf{k}) | \chi(\mathbf{k}') \rangle|}$$

connects to Fubini-Study metric

$$d(\mathbf{k}_1, \mathbf{k}_2) = \inf_{\gamma_{1,2}} \int_{\gamma_{1,2}} d\ell \sqrt{g_{\mu\nu}(\mathbf{k})} \frac{dk^\mu}{d\ell} \frac{dk^\nu}{d\ell}$$

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Haldane's model
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2. Fractional Chern insulators (FCI)
 $C=1$ exact diagonalization results
 $C=2$
3. spontaneous formation of FCI in
 Z_2 topological insulators

■ (2+1)D topological insulator

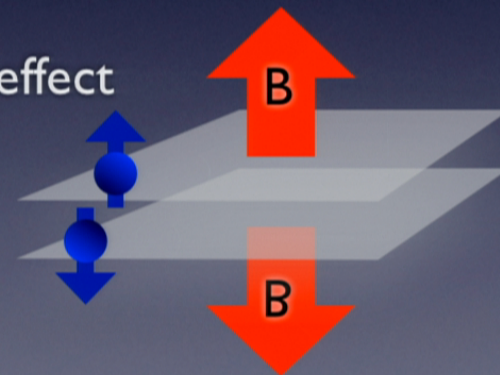
topological band insulators in (2+1)D: \mathbb{Z} IQHE (class A)
 \mathbb{Z}_2 TI (class All)

Gedanken experiment: filled Landau level with opposite
chirality (magnetic field) for up-spin and down-spin electrons

time-reversal symmetric

with S_z conservation: quantum spin Hall effect

$$\sigma_H = 0 \quad \sigma_{sH} = \frac{e}{2\pi}$$

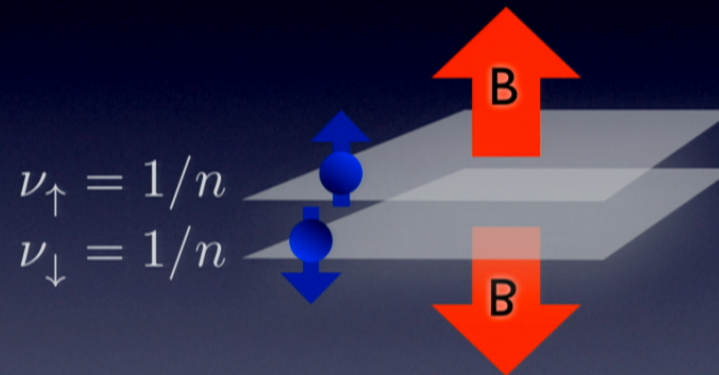


Physical realization?

■ Fractional topological insulator

Gedanken experiment:
take two **fractional** quantum Hall layers with opposite field
with S_z conservation

M. Levin et al., Phys. Rev. Lett. **103**, 196803 (2009)

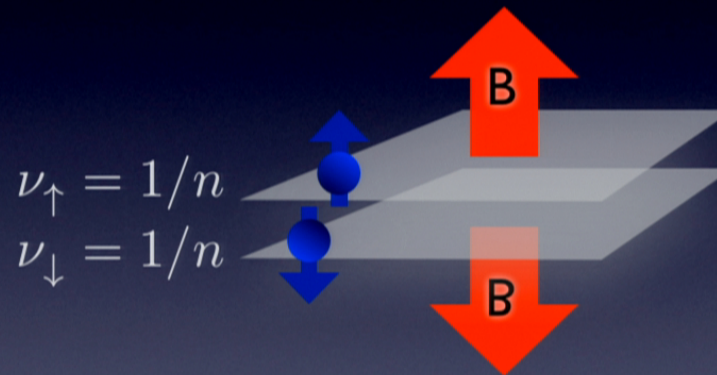


Is there a physical setting for the fractional quantum spin Hall effect to appear?

■ Fractional topological insulator

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M. Levin et al., Phys. Rev. Lett. **103**, 196803 (2009)



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?, but ...

T. Neupert et al., Phys. Rev. B **84**, 165107 (2011)

■ Fractional topological insulator

Need

Quantum spin Hall insulator (TI)

band gap Δ

reasonably flat nontrivial Kramers pair of band

band width W

add short-range repulsive interactions

interaction U

with hierarchy of energy scales

$$\Delta \gg U \gg W$$

T. Neupert et al., Phys. Rev. B **84**, 165107 (2011)

Model



$$H = cI_{\downarrow} + cI_{\uparrow}^* + U \sum_i n_i^{\downarrow} n_i^{\uparrow} + V \sum_{(i,j)} \left(n_i^{\downarrow} n_j^{\downarrow} + n_i^{\uparrow} n_j^{\uparrow} + 2\lambda n_i^{\downarrow} n_j^{\uparrow} \right)$$

■ Fractional topological insulator

Result

Flatband Stoner magnetism preempts formation of fractional topological insulator

T. Neupert et al., Phys. Rev. B **84**, 165107 (2011)

$$\sigma_H = \frac{e^2}{h} \int d^2\mathbf{k} [n_\uparrow(\mathbf{k}) F_\uparrow(\mathbf{k}) + n_\downarrow(\mathbf{k}) F_\downarrow(\mathbf{k})]$$
$$F_\uparrow(\mathbf{k}) = -F_\downarrow(-\mathbf{k})$$

$$H = CI_\downarrow + CI_\uparrow^* + U \sum_i n_i^\downarrow n_i^\uparrow + V \sum_{(i,j)} \left(n_i^\downarrow n_j^\downarrow + n_i^\uparrow n_j^\uparrow + 2\lambda n_i^\downarrow n_j^\uparrow \right)$$

■ Fractional topological insulator

Result

Flatband Stoner magnetism preempts formation of fractional topological insulator **in favor of fractional Chern insulator**

T. Neupert et al., Phys. Rev. B **84**, 165107 (2011)

$$\sigma_H = \frac{e^2}{h} \int d^2k [n_{\uparrow}(\mathbf{k}) F_{\uparrow}(\mathbf{k}) + n_{\downarrow}(\mathbf{k}) F_{\downarrow}(\mathbf{k})]$$

$\xrightarrow{1/3} 2/3$ $\xrightarrow{-1/3} 0$

$F_{\uparrow}(\mathbf{k}) = -F_{\downarrow}(-\mathbf{k})$

$$H = CI_{\downarrow} + CI_{\uparrow}^* + U \sum_i n_i^{\downarrow} n_i^{\uparrow} + V \sum_{(i,j)} \left(n_i^{\downarrow} n_j^{\downarrow} + n_i^{\uparrow} n_j^{\uparrow} + 2\lambda n_i^{\downarrow} n_j^{\uparrow} \right)$$

■ Fractional topological insulator

Result

Flatband Stoner magnetism preempts formation of fractional topological insulator **in favor of fractional Chern insulator**

T. Neupert et al., Phys. Rev. B **84**, 165107 (2011)

$$\sigma_H = \frac{e^2}{h} \int d^2k [n_{\uparrow}(\mathbf{k}) F_{\uparrow}(\mathbf{k}) + n_{\downarrow}(\mathbf{k}) F_{\downarrow}(\mathbf{k})]$$

$\underset{1/3}{\phantom{n_{\uparrow}(\mathbf{k})}} \xrightarrow{\phantom{F_{\uparrow}(\mathbf{k})}} \underset{2/3}{\phantom{n_{\uparrow}(\mathbf{k})}}$
 $\underset{-1/3}{\phantom{n_{\downarrow}(\mathbf{k})}} \xrightarrow{\phantom{F_{\downarrow}(\mathbf{k})}} \circ$

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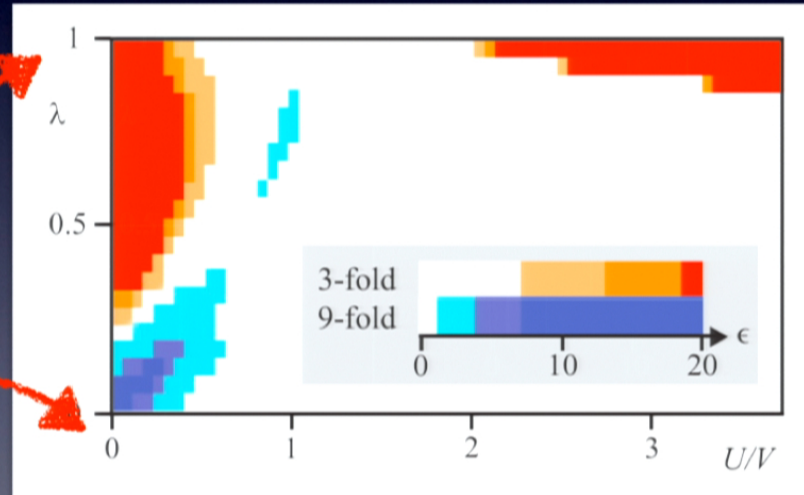
$$\sigma_H = \frac{e^2}{h} \int d^2k [n_{\uparrow}(\mathbf{k}) F_{\uparrow}(\mathbf{k}) + n_{\downarrow}(\mathbf{k}) F_{\downarrow}(\mathbf{k})]$$

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spin-isotropic interaction

only intra-species repulsion



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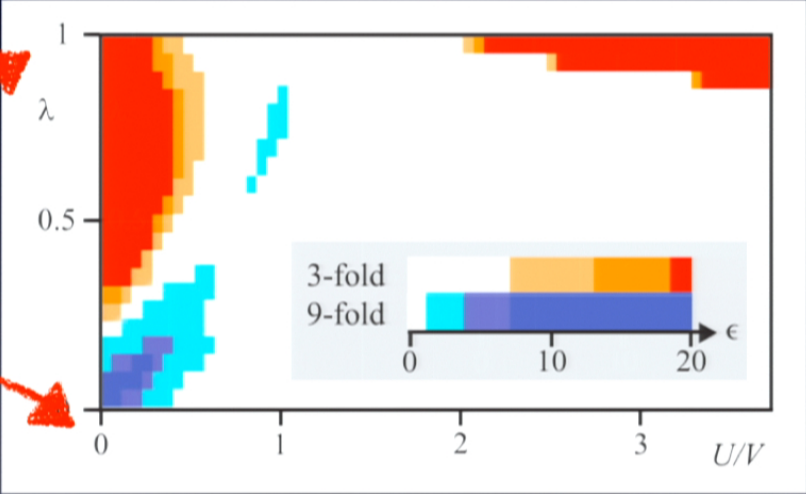
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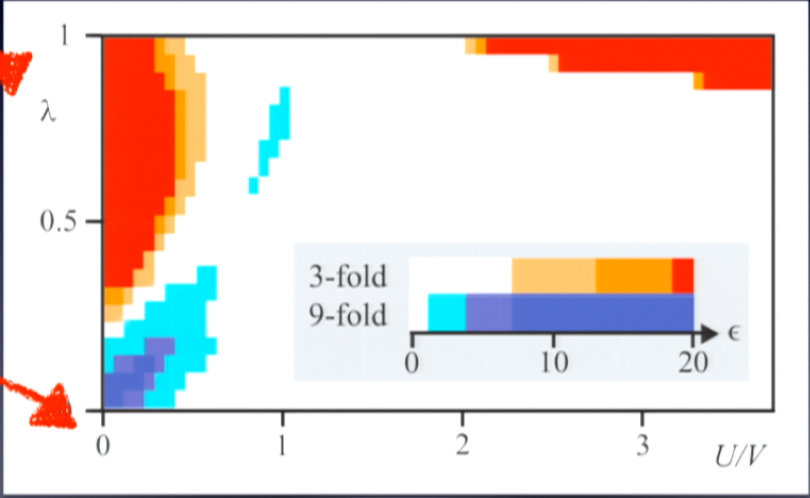
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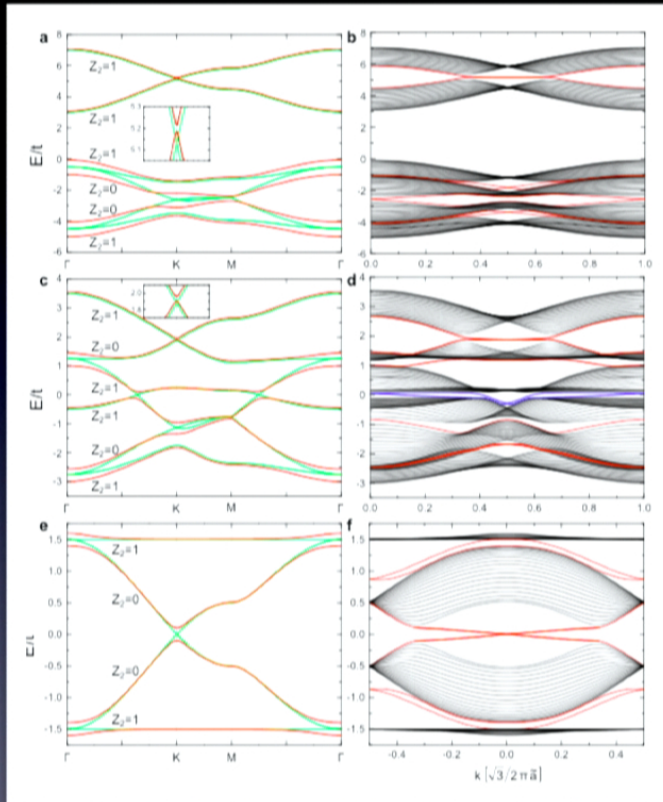
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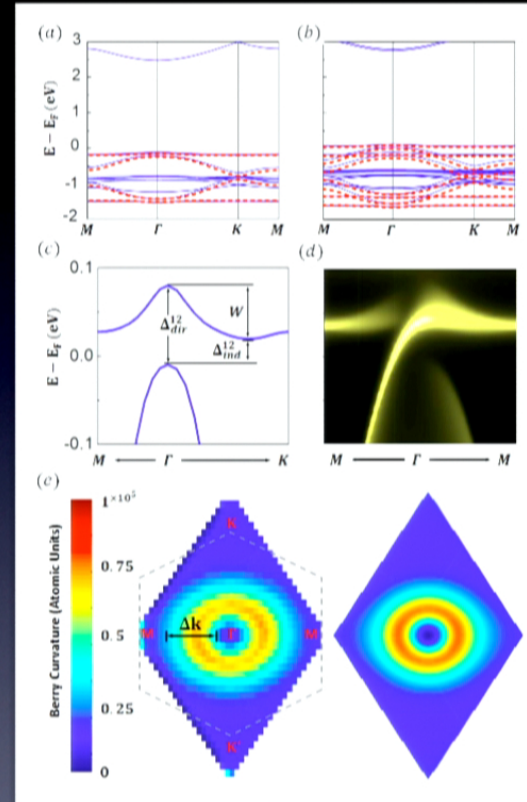
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Flatband topological insulators: Towards a physical system



models for transition metal
oxide heterostructures

D. Xiao et al., Nature Comm. **2**, 596 (2011)



2D indium-phenylene
organometallic framework

Zheng Liu et al., arXiv:1210.1826

■ Fractional Chern insulators: **Why do we care?**

What is at the heart of the FQHE?

many-body gap $\approx U$ gives high-temperature effect

at $1/2$ filling: full magnetization gives quantum anomalous Hall effect.

low dissipative conductor at room temperature

T. Neupert et al., Phys. Rev. Lett. **108** 046806 (2011)

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$$\rho_{xx} \approx R_H e^{-\Delta/T}$$

gap of $\sim 0.2\text{eV}$ comparable to copper per atomic layer

gap of $\sim 0.3\text{eV}$ already three orders of magnitude better

T. Neupert et al., Phys. Rev. Lett. 108 046806 (2011)

