

Title: Constraining RG flow in three-dimensional field theory

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Abstract: <span>The entanglement entropy  $S(R)$  across a circle of radius  $R$  has been invoked recently in deriving general constraints on renormalization group flow in three-dimensional field theory.&nbsp;

At conformal fixed points, the negative of the finite part of the entanglement entropy, which is called  $F$ , is equal to the free energy on the round three-sphere. The  $F$ -theorem states that  $F$  decreases under RG flow.

Along the RG flow it has recently been shown that the renormalized entanglement entropy  $\{\mathcal{F}\}(R) = -S(R) + R S'(R)$ , which is equal to  $F$  at the fixed points, is a monotonically decreasing function.&nbsp; I will review various three-dimensional field theories where we can calculate  $F$  on the three-sphere and compute its change under RG flow, including free field theories, perturbative fixed points, large  $N$  field theories with double trace deformations, gauge theories with large numbers of flavors, and supersymmetric theories with at least  $\{\mathcal{N}\} = 2$  supersymmetry.&nbsp; I will also present calculations of the renormalized entanglement entropy along the RG flow in free massive field theory and in holographic examples.</span>

# Constraining RG flow in $(2 + 1)$ -dimensional field theory

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## References

This talk is based mostly on ...

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- ▶ Igor R. Klebanov, Tatsuma Nishioka, Silviu S. Pufu, B.R.S., **On Shape Dependence and RG Flow of Entanglement Entropy**, 1204.4160
- ▶ B.R.S., **Exact and Numerical Results on Entanglement Entropy in (5+1)-Dimensional CFT**, 1206.5025
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## C-theorems in QFT

- ▶ **The C-theorem** In a  $D = d + 1$  dimensional QFT, a quantity  $C$  is said to satisfy a C-theorem if ...
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(1986) (1995) Cardy's  $a$ -theorem (only in 2d) (recent proof proposed by Komargodski and Schwimmer)
  - ▶  $D = (2 + 1)$ : The  $F$ -theorem ( $F = \log Z_{\text{free}}$ ) (recent proof proposed by Casini and Huerta)

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*Proposed by Zamolodchikov (1986) (recent proof proposed by Casini and Huerta)*

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In even  $D$ , it is natural to continue Cardy's  $a$ -theorem to a central charge  $c_A$  anomaly coefficient (see Elvang, Freedman, Kleban, Myers, Theisen for recent work in



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In odd  $D$ , it is natural to consider  $F = -\log |Z_{S^3}|$  (see Myers, Sinha, Kabanov, Putov, B. R. S.)



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  - ▶ In odd  $D$ , it is natural to consider  $(-1)^{\frac{D+1}{2}} F_{SD}$  (see Myers, Sinha, Klebanov, Pufu, B.R.S.)

## $D = 2$ : The Zamolodchikov $c$ -theorem

### ► Definitions

The metric:  $ds^2 = \frac{1}{2}dzd\bar{z}$ ,  $r^2 \equiv z\bar{z}$

Two-point functions of the stress-energy tensor  $T_{\mu\nu}$

$$F(r^2) = z^2 T_{zz}(z, z) T_{\bar{z}\bar{z}}(0, 0)$$

$$G(r^2) = 4z^2\bar{z} T_{z\bar{z}}(z, z) T_{\bar{z}z}(0, 0)$$

$$H(r^2) = 16z^2\bar{z}^2 T_{z\bar{z}}(z, z) T_{\bar{z}z}(0, 0)$$

The Zamolodchikov  $c$ -theorem

The  $C$ -function:  $C(r^2) = 2F(r^2) + G(r^2) + \frac{1}{2}H(r^2)$



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The  $C$ -function:  $C(r^2) = 3F(r^2) - G(r^2) - \frac{3}{4}H(r^2)$

Proof of the  $C$ -theorem:

$$\frac{dC(r^2)}{d \log(r^2)} = -\frac{3}{4}H(r^2) \leq 0 \quad \text{in unitary QFT}$$

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- ▶ **At conformal fixed-points ( $H(r^2) = 0$ )**  
Conformal symmetry should imply  $\langle T^\mu{}_\mu \rangle = 0 \dots$   
But there is a Weyl anomaly:

$$T^\mu{}_\mu = \frac{c}{12} R$$

where  $c$  is the central charge  $C(r^2) = c$  and  $R$  is the curvature scalar.

We can isolate  $c$  by putting the theory on the  $S^2$  of radius  $R$ :

$$c = -\frac{3}{2} \int_{S^2} d^2x \sqrt{g} T^\mu{}_\mu = \frac{3}{4} \frac{dF}{d \log R}$$

where  $F = -\log Z_S$ .

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$$c = -\frac{3}{2} \int_{S^2} d^2x \sqrt{g} T^\mu{}_\mu = \frac{3}{4\pi} \frac{\delta F}{\delta \log R}$$

where  $F = -\log Z$ .

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## $D = 4$ : Cardy's $a$ -theorem

- ▶ **Two Weyl anomaly coefficients in  $D = 4$ :**

$$\langle T^\mu{}_\mu \rangle = -\frac{c}{16\pi^2} W_{\mu\nu\rho\sigma} W^{\mu\nu\rho\sigma} - 2aE_4 - \frac{a'}{16\pi^2} \nabla^2 R$$

We can isolate  $a$  by considering the integral of  $\langle T^\mu{}_\mu \rangle$  on the  $S^4$  of radius  $R$ :

$$a = -\frac{1}{4} \int_{S^4} d^4x \sqrt{g} \langle T^\mu{}_\mu \rangle = \frac{1}{16} \frac{\partial F}{\partial \log R}$$

### The $a$ -theorem

Cardy proposed that  $a$  satisfies a  $C$ -theorem (analogous to  $c$ ).

This is called the  $a$ -theorem.

Recently Komargodski and Schwimmer proved

They constructed a monotonic interpolating function for the  $a$ -theorem along an RG flow between the fixed points.



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## $D = 4$ : Cardy's $a$ -theorem

The proof of the  $a$ -theorem was preceded by more than 20 years of evidence. Much of this evidence came from studying QFT with supersymmetry.

### $a$ -maximization

In supersymmetric QFT,  $a$  can be written as a function of the R-charges.

At super-conformal fixed points the correct R-symmetry locally maximizes  $a$  (Intriligator and Wecht).

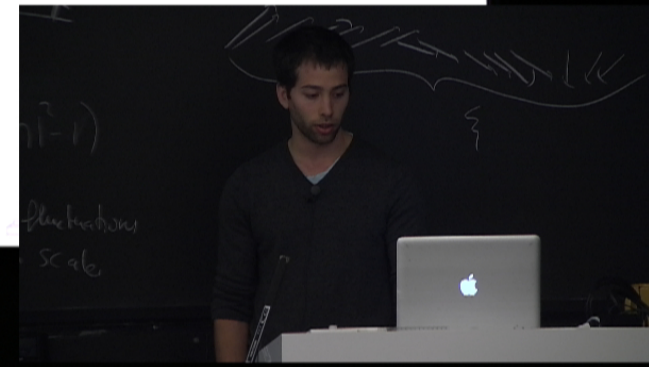
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## $D = 3$ : Previous attempts

- ▶ **There is no conformal anomaly in  $D = 3$ !**

The trace of the stress-energy tensor vanishes identically at conformal fixed points:  $\langle T^\mu{}_\mu \rangle = 0$ .

There have been many attempts at constructing a C-theorem in  $D = 3$  ...

One attempt, by Appelquist, was to consider the free energy at finite temperature:

$$F_T = \frac{\Gamma(D-2)\zeta(D)}{(4\pi)^{D-2}} \int_{\text{d}^D x} \sqrt{g} V_D + T^D$$





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However, there are counter-examples. For example, the free energy increases under RG flow from critical  $O(N)$  model to Goldstone phase of  $N - 1$  free fields. (Sachdev)



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The finite part of the free energy  $F = -\log |Z_{S^3}|$  of CFTs on  $S^3$  satisfies a  $C$ -theorem ( $F_{UV} > F_{IR}$ ). (recent proof proposed by Casini and Huerta)

Some motivation

In  $D$  dimensions

$$\frac{dF}{d(\log(R))} = 0 \int_{S^D} \mathcal{H}^D \mathcal{E}_D T_{\mu\nu}^{\mu\nu}$$

This vanishes at conformal fixed points in odd dimensions. The natural quantity to consider is then the finite part of  $F$  itself.

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$$\frac{\partial F}{\partial \log(R)} = -D \int_{S^D} d^D x \sqrt{g} \langle T^\mu{}_\mu \rangle$$

This vanishes at conformal fixed points in odd dimensions. The natural quantity to consider is then the finite part of  $F$  itself.

There is a direct analogue of  $\beta$ -maximization: Jafferis's  $F$ -maximization. The  $F$  value of the IR CFT is locally maximized by the trial  $R$ -charges.

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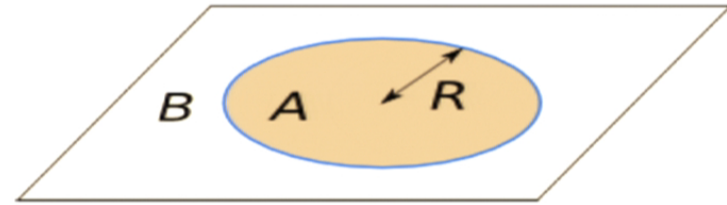
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## $D = 3$ : The $F$ -theorem

$F$  is related to the entanglement entropy.

$$S = -\text{Tr}(\rho_A \log \rho_A),$$

where  $\rho_A$  is the reduced density matrix:  
 $\rho_A = \text{Tr}_B |0\rangle\langle 0|$ .



At conformal fixed points in  $D = 2 + 1$ , when the entangling surface is an  $S^1$  at  $t = 0$  of radius  $R$ . (Casini, Huerta, Myers)

$$S = -F_g$$

Myer's and Sinha proposed that the finite part of the entanglement entropy should satisfy a  $C$ -theorem. This is the same as the  $F$ -theorem





## $D = 3$ : The $F$ -theorem

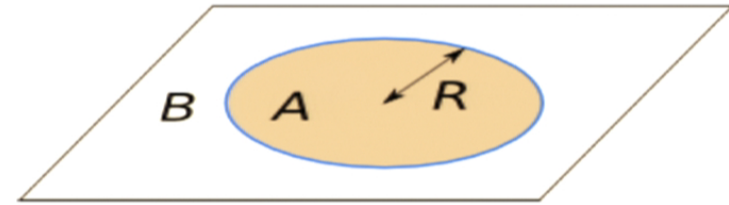
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Myers and Sinha proposed that the finite part of entanglement entropy should satisfy a  $C$ -theorem the same as the  $F$ -theorem



## $D = 3$ : The $F$ -theorem

$F$  is related to the entanglement entropy.

$$S = -\text{Tr}(\rho_A \log \rho_A),$$

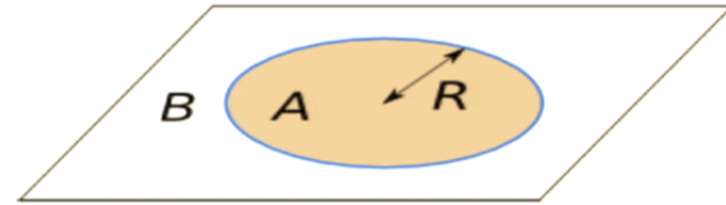
where  $\rho_A$  is the reduced density matrix:

$$\rho_A = \text{Tr}_B |0\rangle\langle 0|.$$

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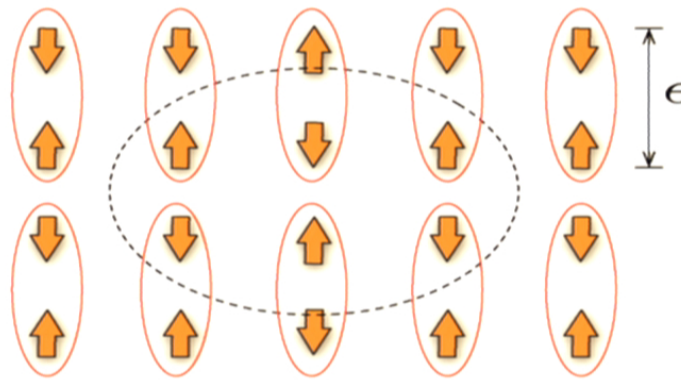


## $D = 3$ : The $F$ -theorem

The entanglement entropy has a leading area law divergence. At conformal fixed points the EE across a circle of radius  $R$  is

$$S(R) = \alpha \frac{2\pi R}{\epsilon} - F,$$

where  $\epsilon$  is the short-distance cut-off and the constant  $\alpha$  is regularization dependent.

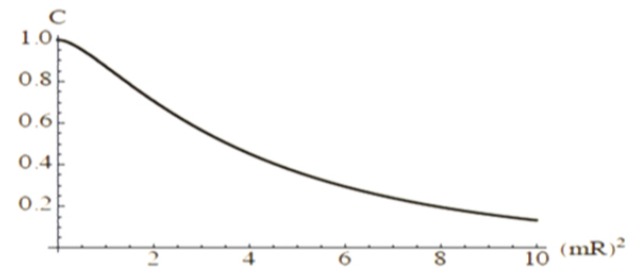
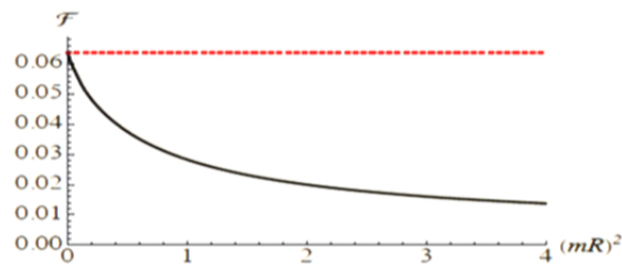


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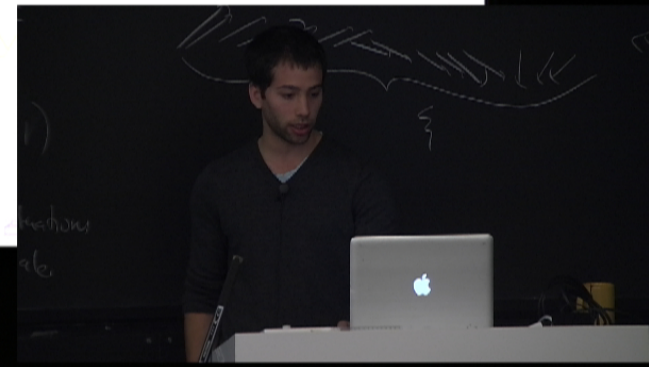
- ▶ Casini and Huerta's proposed proof of the  $F$ -theorem relies on the renormalized entanglement entropy (Liu, Mezei)

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They showed  $\mathcal{F}'(R) \leq 0$ , with equality only coming at fixed points where  $\mathcal{F}(R) = F$ .



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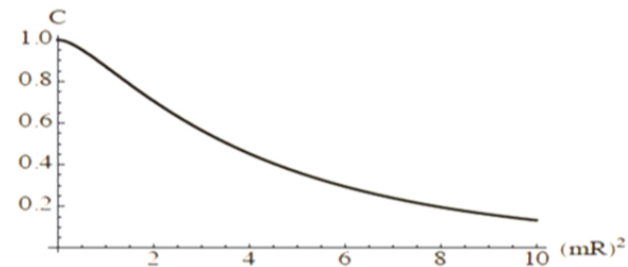
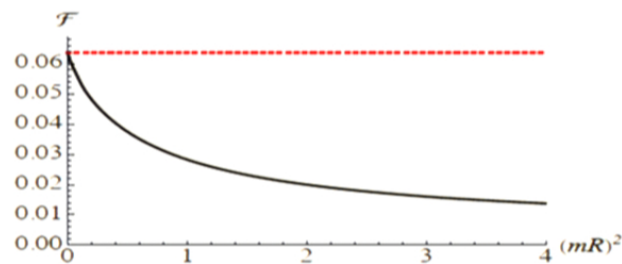


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If we perturb by an operator of dimension  $\Delta < D = 3$  and  $g$  is the renormalized, dimensionless coupling, then stationarity requires  $c(g) = c_* + g^{2\Delta} + O(g^4)$ .

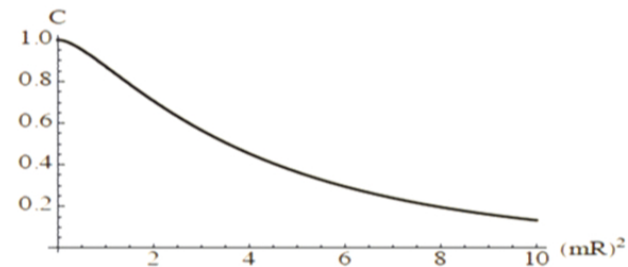
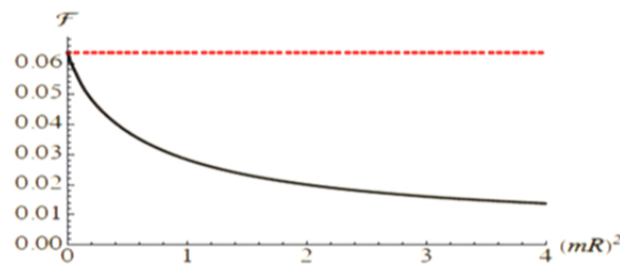


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## 2. Calculating $F$ on the three-sphere

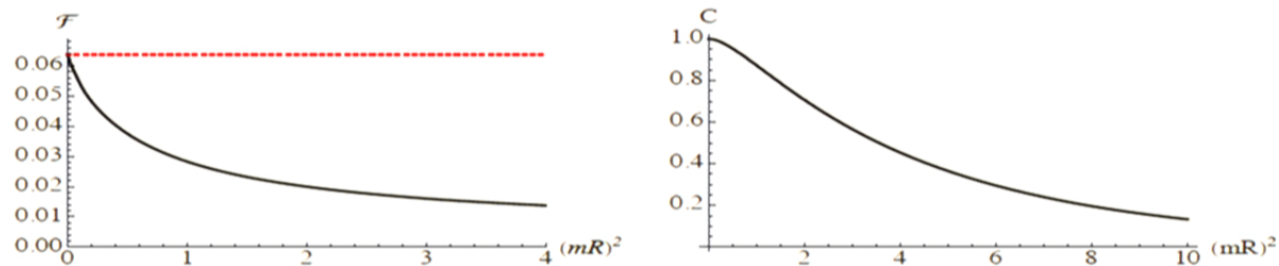


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## Free fields

The simplest  $F$ -value to calculate is that of the free conformal scalar.

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$$F_S = \log |Z_S| = \frac{1}{2} \log \det [\mu_0^{-2} \mathcal{O}_S] , \quad \mathcal{O}_S = -\nabla^2 + \frac{3}{4a^2} .$$

The eigenvalues and degeneracies of  $\mathcal{O}_S$ :

$$F_S = \frac{1}{2} \sum_{\lambda, \mu} m_{\lambda, \mu} \log (\mu_0^{-2} \lambda)$$

$$\lambda = \frac{1}{a^2} \left( n - \frac{1}{2} \right) \left( n - \frac{3}{2} \right) , \quad m_{\lambda, \mu} = (n+1)(n+2)$$



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The analogous calculation for the Majorana fermion gives

$$F_M = \frac{1}{24} \left( 2 \log 2 - \frac{3\zeta(3)}{\pi^2} \right) \approx 0.110 .$$

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## Perturbed conformal field theory

Perturb a CFT by a slightly relevant operator such that the flow ends at a perturbative fixed point. **We will see that  $F$  decreases**

The action of the perturbed QFT on  $S^2$

$$S = S_0 + \lambda_0 \int d^2x \sqrt{g} O(x),$$

where  $S_0$  is the action of the unperturbed CFT,  $O(x)$  is a scalar operator of dimension  $\Delta = 3 - \epsilon$  with  $0 < \epsilon < 1$ ,  $\lambda_0$  is the UV bare coupling defined at the UV scale  $y_0$ .

Conformal invariance fixes the coefficients of the 2 and 3-point functions

$$\begin{aligned} \langle O(x)O(y) \rangle_0 &= \frac{1}{s(x,y)^{2\Delta}} \\ \langle O(x)O(y)O(z) \rangle_0 &= \frac{C}{s(x,y)^\Delta s(y,z)^\Delta s(z,x)^\Delta} \end{aligned}$$



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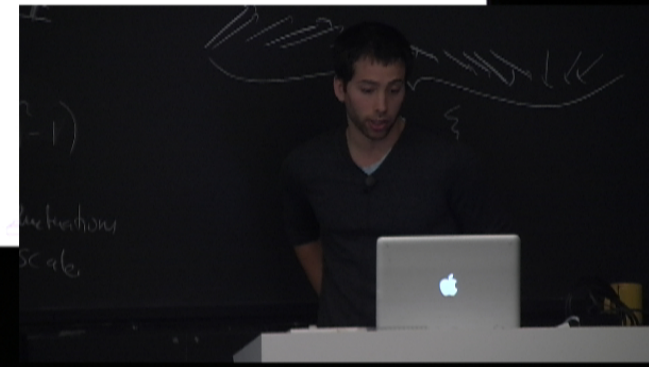
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The theory flows:

$$\beta(g) = \mu \frac{dg}{d\mu} = -\epsilon g + 2\pi C g^2 + \mathcal{O}(g^3) ,$$

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There is a perturbative fixed point if  $C > 0$ :

$$g^* = \frac{1}{2\pi C} \epsilon + \mathcal{O}(\epsilon^2)$$

A short calculation gives the change in free energy between the UV and IR fixed points:

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## Double-trace deformations

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$$Z = \int D\phi \exp \left( -S_0 - \frac{\lambda_0}{2} \int d^D x \sqrt{G} \Phi^2 \right),$$

where  $\Phi$  is a single-trace operator of dimension  $\Delta \in ((D-2)/2, D/2)$  and  $S_0$  describes a large  $N$  CFT.

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**Higher point functions of  $\Phi$  are suppressed relative to the two-point function by powers of  $1/N$ :**

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The Gaussian integral over the auxiliary field  $\sigma(x)$  then gives

$$\ln F_\Delta = \frac{1}{2} \text{tr} \log(K),$$
$$K(x, y) = \frac{1}{\sqrt{G(x)}} \delta(x - y) - \lambda_0 \int d^D z \Phi(z) \Phi(z)$$



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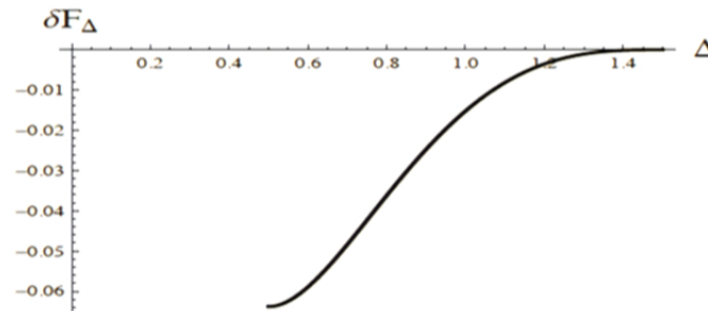
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## Double-trace deformations

- ▶ In the IR limit ( $a \rightarrow \infty$ ) we find

$$\delta F_{\Delta} = -\frac{\pi}{6} \int_{\Delta}^{3/2} dx (x-1)(x-\frac{3}{2})(x-2) \cot(\pi x).$$



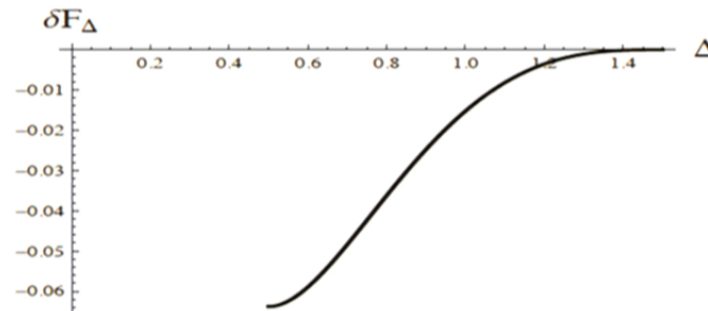
When  $\Delta = 1$  this describes the critical  $\mathcal{O}(N)$  model, and we find

$$\delta F_{\Delta=1} = -\frac{\pi}{6} \int_1^{3/2} dx (x-1)(x-\frac{3}{2})(x-2) \cot(\pi x) \approx -0.0152.$$

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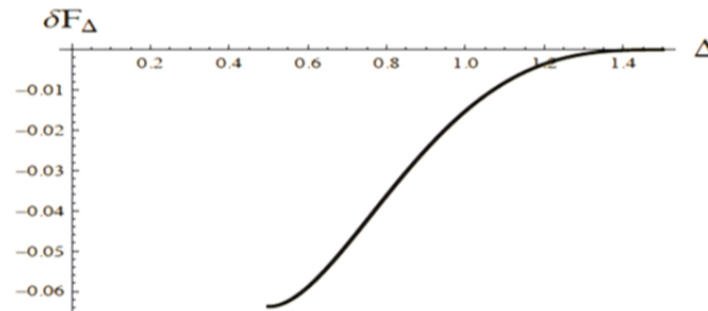
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$$S[\vec{\Phi}] = \frac{1}{2} \int d^3x \left[ \partial\vec{\Phi} \cdot \partial\vec{\Phi} + m_0^2 \vec{\Phi}^2 + \frac{\lambda_0}{2N} (\vec{\Phi} \cdot \vec{\Phi})^2 \right] .$$

The UV fixed point:  $m_0 = \lambda_0 = 0$  gives  $F_{UV} = N F_*$ .

The critical  $O(N)$  model fixed point ( $m_0 = 0$ ):

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$$F_{\text{crit}} = N F_s - \frac{\zeta(3)}{8\pi^2}$$

The goldstone phase ( $m_0 = 0$ ):  $F_{\text{goldstone}} = (N-1)F_s$

Under RG flow from critical  $O(N)$  model phase to goldstone phase

$$F_{\text{goldstone}} - F_{\text{crit}} = \frac{1}{16} \left( 2 \log 2 - 5^{-1/2} \zeta(3) \right)$$



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$$\mathcal{L}_A = \frac{1}{2e^2} \text{Tr} F^2 + \frac{ik}{2\pi} \text{Tr} \left( F \wedge A - \frac{1}{3} A \wedge A \wedge A \right).$$

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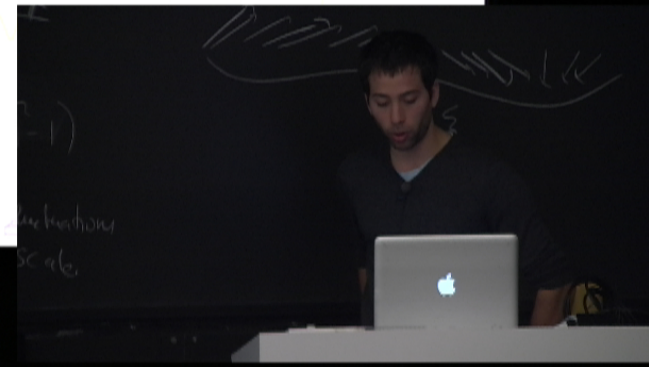
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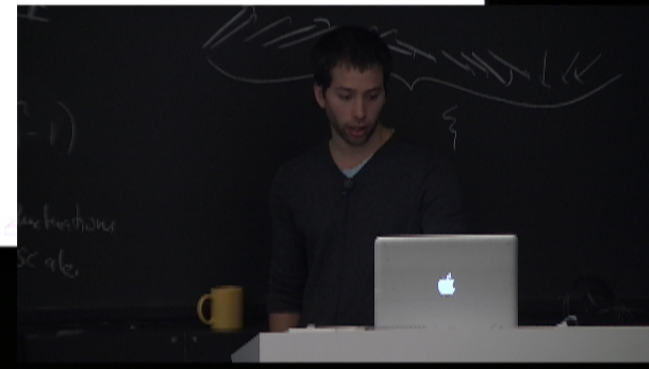
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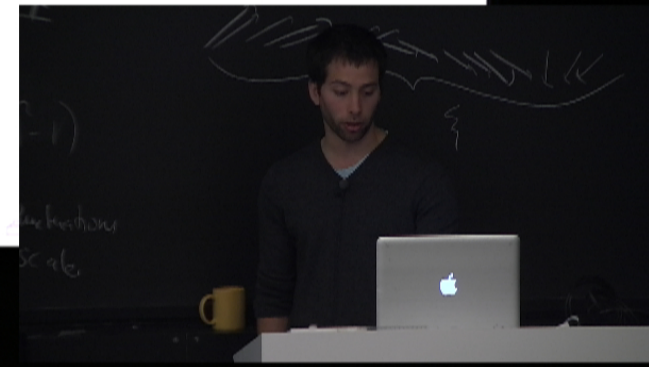
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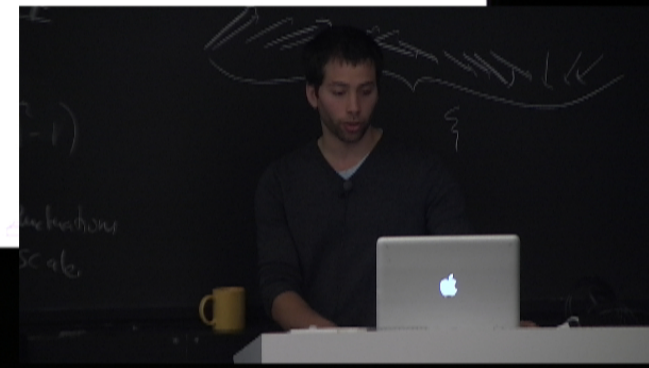
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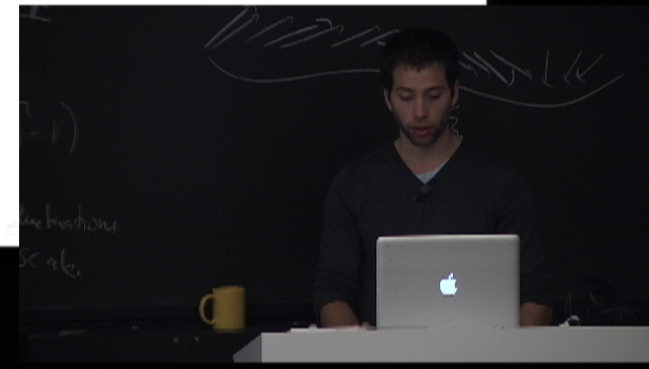
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In practice we can take  $\mathcal{V} = \text{Tr} (|Q|^2)$  for vector multiplet and  $\mathcal{V} = \text{Tr} (|Q|^2) + \text{Tr} (Q^2)$  for chiral multiplet

Localize to the Coulomb branch:  $A_1 = 0$  and  $\sigma = \text{const}$



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## Example: $\mathcal{N} = 4$ $U(1)$ gauge theory with many flavors

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Expanding this at large  $N$

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This first two terms match exactly our non-SUSY result from two slides ago!

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## Example: $\mathcal{N} = 4$ $U(1)$ gauge theory with many flavors

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$$Z = \frac{1}{2^N} \int_{-\infty}^{\infty} \frac{d\lambda}{\cosh^N(\pi\lambda)} = \frac{2^{-N} \Gamma\left(\frac{N}{2}\right)}{\sqrt{\pi} \Gamma\left(\frac{N+1}{2}\right)}.$$

- ▶ Expanding this at large  $N$  ...

$$F = -\log Z = N \log 2 + \frac{1}{2} \log\left(\frac{N\pi}{2}\right) - \frac{1}{4N} + \frac{1}{24N^3} + \dots$$

This first two terms match exactly our non-SUSY result from two slides ago!

- ▶ We can repeat this with Chern-Simons level ( $\mathcal{N} = 3$ ) and without a superpotential ( $\mathcal{N} = 2$ ) ...

## Intermission: $F$ -maximization in $\mathcal{N} = 2$ theories

- ▶ The  $R$ -symmetry in  $\mathcal{N} = 2$  theories is abelian.  $R$ -symmetry at IR fixed point is not necessarily the same as  $R$ -symmetry in the UV.

$R$ -charge can mix with other abelian symmetries

$$R(X) = r + \sum_i Q_i^2$$

where  $r$  is the UV  $R$ -charge, the  $Q_i^2$  are the charges of the field  $X$  under abelian  $Q_i^2$

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$F$ -maximization:  $\partial_{t_a} \log \mathcal{Z}_{S^3} = 0$ , which is not a 1-point function (Closset et al.)





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## Example: $\mathcal{N} = 2$ CS $U(1)$ gauge theory with flavors

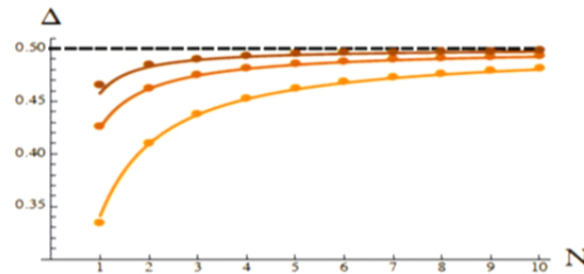
- ▶  $\mathcal{N} = 2$   $U(1)$  at CS level  $k$  and  $N$  pairs of oppositely charged chiral multiplets  $(Q, \tilde{Q})$ .

The  $R$ -symmetry can mix with the  $U(1)$  which rotates  $Q$  and  $\tilde{Q}$  by the same phase.

Partition function as a function of trial  $R$ -charge  $\Delta$ :

$$Z = \int d\lambda e^{i\lambda_2 N} \int d\Delta e^{-N(\Delta - \frac{1}{2})^2} \mathcal{P}(\Delta, \lambda)$$

where  $\mathcal{P}(\lambda) = \frac{1}{2} \log \frac{\Gamma(\lambda)}{\Gamma(-\lambda)}$



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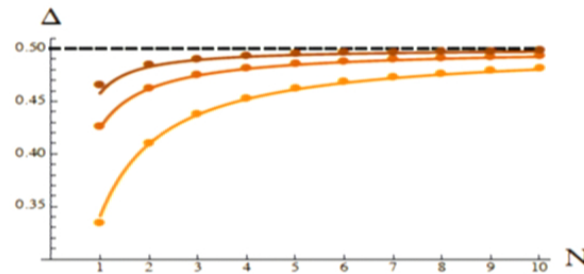
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where  $\Gamma(z) = -z \cot(\pi z)$

$\Delta$  is the scaling dimension of the flavors! (Darker colors are increasing  $k$  from 0 to  $4N$ .)



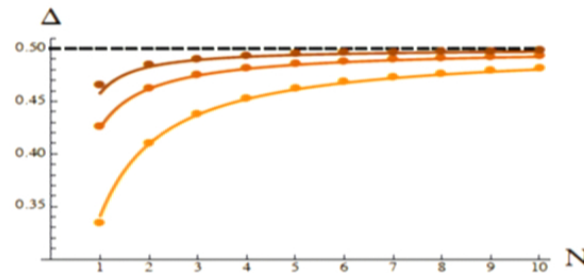
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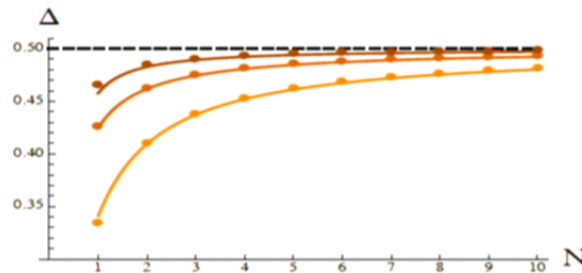
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## Side-note: large $N_c$ field theories with M-theory duals

- ▶ Let's consider  $AdS_4 \times Y$  compactifications of M-theory

To begin, take stack of  $N_c$  M2-branes at the tip of a CY cone over  $Y$ .

Zoom in close to the M2-branes (take the near-horizon limit) and the metric becomes  $ds_{11}^2 = ds_{AdS_4}^2 + 4L^2 ds_Y^2$ .



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Free energy scales as  $N_c^3$ , as does the thermal free energy (Klebanov, Tseytlin)

$$F \sim N_c^3 \int_{2-\text{dim}} \sqrt{27 \text{Vol}(Y)}$$

We can compute the free energy in three different ways and they all agree!



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$$F = N_c^{3/2} \sqrt{\frac{2\pi^6}{27 \text{Vol}(Y)}}$$

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  - ▶ We can evaluate the on-shell supergravity action.
  - ▶ We can calculate the EE holographically (next section).
  - ▶ We can, in certain cases, calculate  $F$  directly in the field theory using localization.





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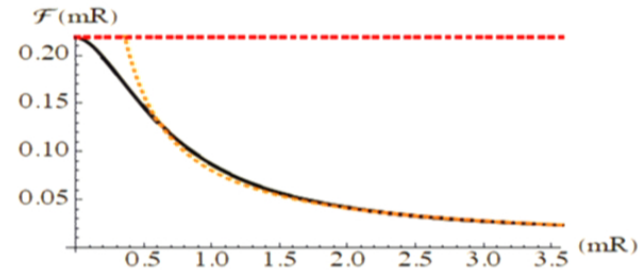
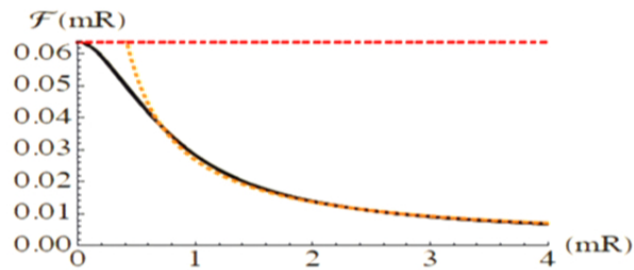


## Massive free fields

Recall the **renormalized entanglement entropy**, which is a monotonic interpolating function for the  $F$ -values along the RG flow:

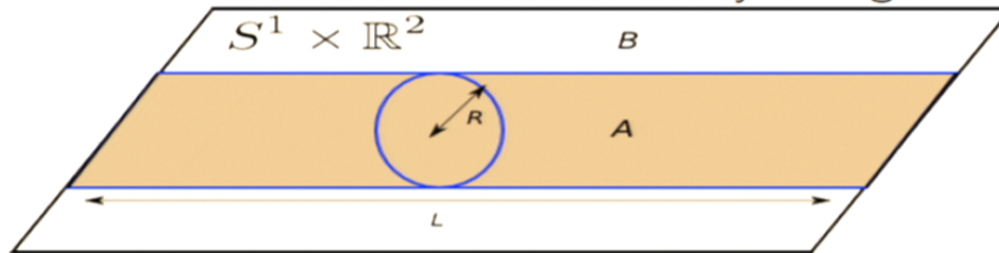
$$\mathcal{F}(R) = -S(R) + R S'(R).$$

We can construct  $\mathcal{F}(R)$  in free massive theory by putting the scalars and fermions on the lattice (Srednicki, Casini, Huerta, Liu, Mezei, B. R. S., Klebanov, Pufu, Nishioka)



## Massive free fields

Massive EE related to the anomaly in higher d (Casini, Huerta):



Consider entanglement entropy of massless scalar field in 4-dimensions across  $\Sigma_A = \Sigma_B = S^1$  (Solodhukin)

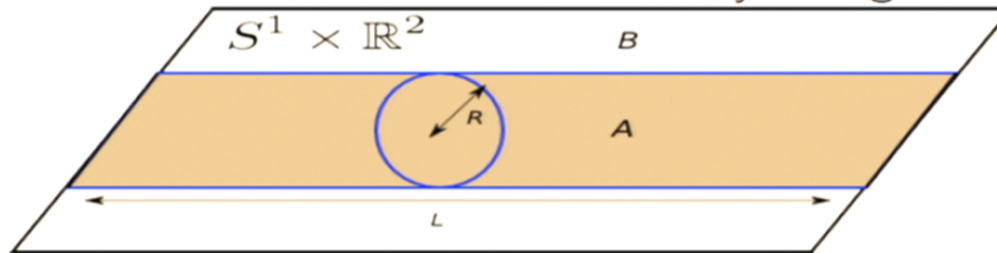
$$S_{\Sigma_A}^{(4)} = \left( \frac{3}{720\pi} \int_{\Sigma_A} R_{\Sigma} - \frac{c}{240\pi} \int_{\Sigma_A} \left( K_{\Sigma}^{\mu\nu} K_{\Sigma}^{\nu\mu} - \frac{1}{2} K_{\Sigma}^{\mu\nu} K_{\Sigma}^{\mu\nu} \right) \right) \log \frac{L}{4R}$$

KK reduce in direction of  $S^1$  of length  $L$ : 3d masses

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- ▶ Consider entanglement entropy of massless scalar field in 4-dimensions across  $\Sigma_2 = \Sigma_1 \times S^1$  (Solodhukin):

$$S_{\Sigma_2}^{(4)} \supset \left( \frac{a}{720\pi} \int_{\Sigma_2} R_{\Sigma} + \frac{c}{240\pi} \int_{\Sigma_2} \left( k_a^{\mu\nu} k_{\nu\mu}^a - \frac{1}{2} k_a^{\mu\mu} k_{\nu\nu}^a \right) \right) \log \epsilon$$

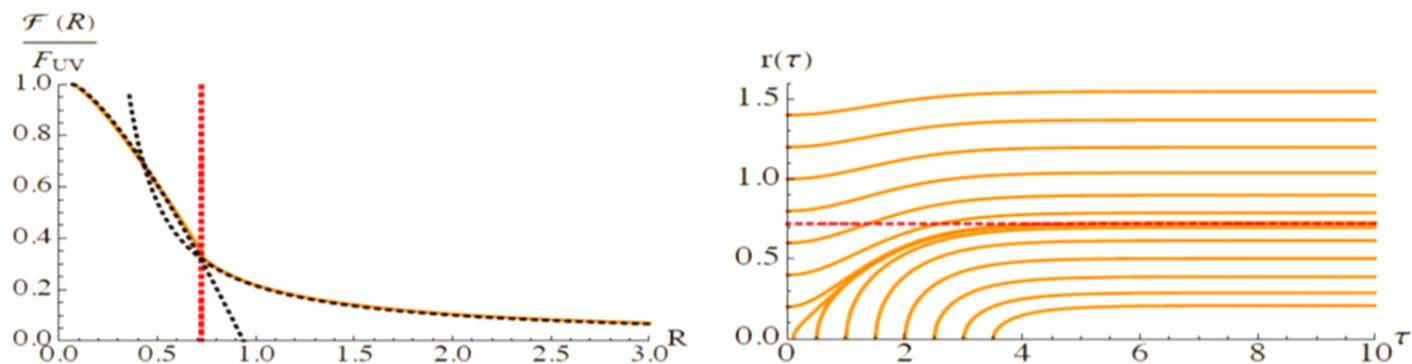
- ▶ KK reduce in direction of  $S^1$  of length  $L$ : 3d masses  $m_n^2 = (2\pi/L)^2 n^2$ .
- ▶ Log term in 4d massless EE related to  $1/m$  term in 3d massive EE:  $S_{\Sigma_2}^{(4)} \propto \int dm S_{\Sigma_1}^{(3)}(m)$ .
- ▶ (Huerta, B.R.S.)  $\mathcal{F}(mR) = \frac{\pi}{24} \left( \frac{1}{mR} + \frac{3}{32} \frac{1}{(mR)^3} + \dots \right)$

## A holographic example: the CGLP background

A few more details ...

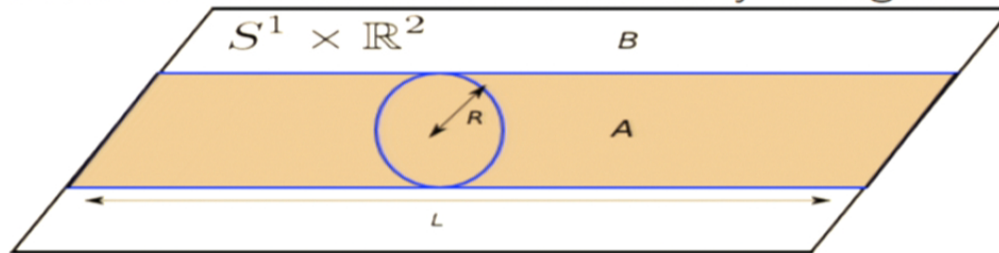
- ▶ The warped product metric looks like
$$ds^2 = H^{-2/3}(-dt^2 + dr^2 + r^2 d\phi^2) + H^{1/3} ds_8^2$$
- ▶  $ds_8^2$  is parameterized by radial coordinate  $\tau \in [0, \infty)$  and 7 angles in  $V_{5,2}$ .
- ▶ At  $\tau = 0$  an  $S^3$  shrinks to zero size

The minimal surfaces and renormalized EE for this theory looks like ...



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## A holographic example: the CGLP background

- ▶ **Holographic prescription for calculating the entanglement entropy** (Ryu, Takayanagi, Klebanov, Kutasov, Murugan): find the minimal area codimension 2 hypersurface in the bulk which approaches entangling surface at the boundary:  $S_{\Sigma} \propto \int_{\Sigma_{D-2}} d^{D-2}\sigma \sqrt{G_{\text{ind}}^{(D-2)}}$
- ▶ A nice example is the CGLP (Cvetic, Gibbons, Lu, Pope) background of M-theory. Supergravity background is a warped product of  $\mathbb{R}^{2,1}$  and an eight dimensional Stenzel space (similar to KS background)

$$\sum_{i=1}^5 z_i^2 = \epsilon^2 .$$

- ▶ This background is dual to a confining gauge theory, with UV fixed point dual to  $AdS_4 \times V_{5,2}$ .

$V_{5,2}$  is the base of the CY 4-fold  $(F_4/Spin(9))$



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