

Title: The DBI Pseudo-Conformal Universe: Scale Invariance from Spontaneous Breaking of Conformal Symmetry

Date: May 12, 2012 02:30 PM

URL: <http://pirsa.org/12050078>

Abstract: The pseudo-conformal scenario is an alternative to inflation in which the early universe is approximately described by a conformal field theory in Minkowski space. Crucially, the cosmological background spontaneously breaks the flat space $\text{so}(4,2)$ conformal algebra down to its $\text{so}(4,1)$ de Sitter subalgebra, causing conformal-weight-0 fields to acquire a scale invariant spectrum of perturbations. This framework is very general, and its essential features are determined by the symmetry breaking pattern, irrespective of the details of the underlying microphysics. After reviewing the salient features of the model, I will describe a DBI realization of the pseudo-conformal scenario, in which scale-invariance is further protected by an additional shift symmetry acting on the weight-0 field. &

The DBI Pseudo-Conformal Universe: Scale Invariance from Spontaneous Breaking of Conformal Invariance (of the power spectrum)

Godfrey E. J. Miller

with Kurt Hinterbichler, Austin Joyce & Justin Khoury

K. Hinterbichler,
A. Joyce,
J. Khoury
GEJM
1207.7777

$$so(4, 2) \rightarrow so(4, 1)$$

K. Hinterbichler &
J. Khoury
1106.1428

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A. Joyce &
J. Khoury
1202.6056

PERIMETER **PI** INSTITUTE FOR THEORETICAL PHYSICS

Conformal Nature of the Universe

$$P_T = f(-)$$

Phys

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Conformal Nature of the Universe

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What is the origin of primordial
density perturbations?



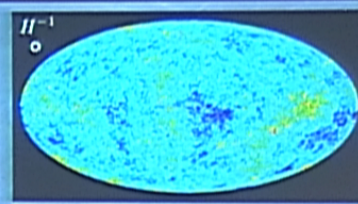
Inflation?

$$P_{\pi} = f(-)$$

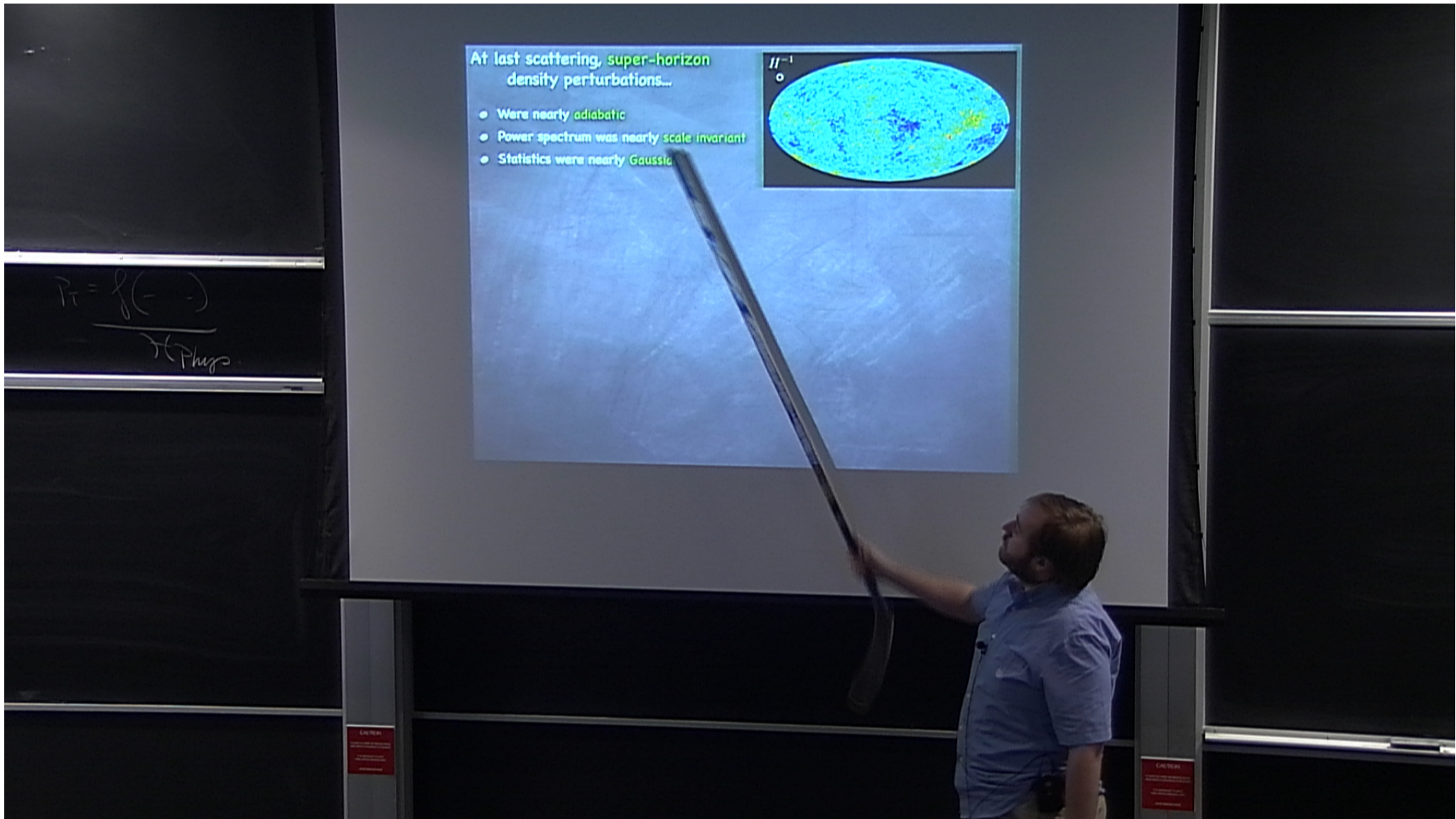
$\mathcal{H}_{\text{Phys}}$

At last scattering, super-horizon
density perturbations...

- Were nearly *adiabatic*
- Power spectrum was nearly *scale invariant*
- Statistics were nearly *Gaussian*

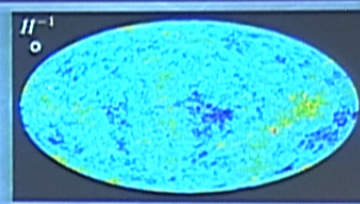


$$P_{\tau} = \frac{\delta^2}{k^3} \left(\frac{H}{2\pi} \right)^2$$



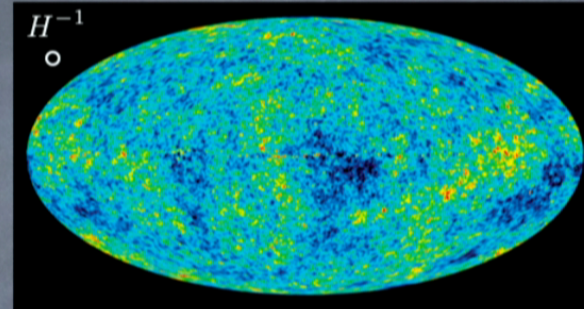
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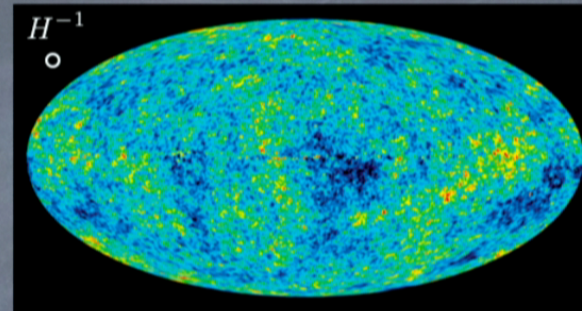
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How do these observations **constrain** models of the early universe?

(Minimal Coupling to)

Paradigm: Matter + Einstein Gravity

Adiabatic models

Cosmological evolution **directly** generates adiabatic super-horizon perturbations

One active field

Entropic models

Background evolution generates entropic super-horizon perturbations, which **subsequently** become adiabatic

Two or more active fields

Adiabatic Models

- Two-point correlations fixed by quadratic action
- Power spectrum fixed by the mode functions

Comoving Gauge
 $\delta\rho = 0$

$$h_{ij} = a^2 \delta_{ij} e^{2\zeta}$$

Adiabatic Curvature
Perturbation

$$S_2 = \frac{1}{2} \int d^4x (\zeta'^2 - (\nabla_i \zeta)^2) \cdot \frac{a^2 \epsilon}{\epsilon_R}$$

J. Garriga & V.F. Mukhanov
hep-th/9904176

$$P_\zeta = f(-)$$

$\mathcal{H}_{\text{Phys}}$

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$$S_2 = \frac{1}{2} \int d^4x \left(\zeta'^2 - (\nabla_i \zeta)^2 \right) \cdot \frac{a^2 \epsilon}{c_s}$$

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$$h_{ij} = a^2 \delta_{ij} e^{2\zeta} \leftarrow \text{Adiabatic Curvature Perturbation}$$

$$S_2 = \frac{1}{2} \int d^4x (\dot{\zeta}^2 - (\nabla_i \zeta)^2) \cdot \frac{a^2 \epsilon}{c_s}$$

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- ζ couples to the background through

$$q \equiv \frac{a\sqrt{\epsilon}}{\sqrt{c_s}}$$

- Scale Factor a
- Equation of State ϵ
- Speed of Sound c_s

Canonical Normalization

$$v \equiv q(t) \cdot \zeta$$

Equation of Motion

$$v_k'' + \left(k^2 - \frac{q''}{q} \right) v_k = 0$$

$$P_\zeta = f(-)$$

$\mathcal{H}_{\text{phys}}$

Can use

$$v_k'' + \left(k^2 - \frac{q''}{q} \right) v_k = 0$$

to infer time-dependence of

$$q \equiv \frac{a\sqrt{\epsilon}}{\sqrt{c_s}}$$

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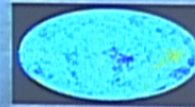
$$q \equiv \frac{a\sqrt{\epsilon}}{\sqrt{c_s}}$$

Assumptions

- Modes begin inside the horizon with vacuum initial conditions $k^2 > |q''/q|$
- Power spectrum becomes scale invariant outside the horizon $k^2 < |q''/q|$
- Background is an attractor

$|0\rangle$

Attractor →



$$P_T = f(-)$$

Phys

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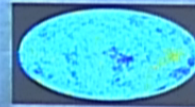
$$q \equiv \frac{a\sqrt{\epsilon}}{\sqrt{c_s}}$$

Assumptions

- Modes begin inside the horizon with vacuum initial conditions $k^2 \gg |q''/q|$
- Power spectrum becomes **scale invariant** outside the horizon $k^2 \ll |q''/q|$
- Background is an **attractor**

$|0\rangle$

Attractor $\rightarrow$



$$P_T = f(-)$$

Phys

Can use

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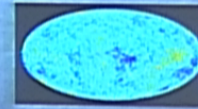
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Assumptions

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$|0\rangle$

Attractor



$$\rightarrow q \sim \frac{1}{(-t)} \quad -\infty < t < 0$$

Rapidly increasing!

$$P_T = f(-)$$

Phys

Can realize a scale invariant power spectrum many ways

$$q = \frac{a\sqrt{\epsilon}}{\sqrt{c_s}} \sim \frac{1}{(-t)} \quad -\infty < t < 0$$

- Slow-roll inflation $a \sim \frac{1}{(-t)}$

V. F. Mukhanov &
G. V. Chibisov
JETP Lett. 33 532-5 1981

- Decaying sound speed $c_s \sim t^2$

C. Armendariz-Picon & J. Maguelja
E. A. Lim 0803.0859
astro-ph/0307101

- Adiabatic ekpyrosis $\epsilon \sim \frac{1}{t^2}$

J. Khoury &
P. J. Steinhardt
0910.2230

$$P_\tau = f(-)$$

Phys

Rapidly varying ϵ, c_s lead to

- Scale dependent non-gaussianities
- Breakdown of perturbation theory due to strong coupling
- Super-Planckian energy densities

→ Adiabatic alternatives to inflation can generate only a finite window of modes

$$P_\tau = \frac{f(-)}{\mathcal{H}(\text{Phys})}$$

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J. Khoury &
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0910.2230

And everything in between!

J. Khoury &
F. Piazza
0811.3633

At the level of two-point correlations, a huge degeneracy, but...

This degeneracy is broken by three-point and higher correlations

$$P_T = f(-)$$

Phys

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$$P_\zeta = \frac{\delta^2 \mathcal{H}}{\delta^2 \phi_{\text{phys}}}$$

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→ Adiabatic alternatives to inflation can generate only a finite window of modes

$c_s = 1$	J. Khoury & GDM 1012.0844	$c_s(t)$	A. M. Diggah, G. Geshmazdani, W. H. Kinney 1107.1241
$c_s \leq 1$	D. Baumann, L. Senatore, M. Zaldarriaga 1101.3320	$\dot{a} > 0$	
$\epsilon \sim 0$		$c_s(t)$	A. Joyce & J. Khoury 1107.3550

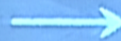
Upshot: Inflation is the **unique** adiabatic mechanism that can generate a broad range of **scale invariant** and **gaussian** modes

$$P_{\mathcal{R}} = f(-)$$

Phys

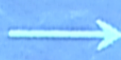
Can assumptions be relaxed
in the **adiabatic** case?

~~Attractor~~



Background instability
e.g. matter bounce

~~10⁵~~



Non-trivial initial conditions
e.g. coherent state,
thermal state,
topological defects, etc.

Entropic Scenarios

How do **spectator** fields acquire
scale invariant perturbations?

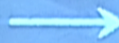
Multi-field inflation
 $so(4, 1)$

$$P_T = f(-)$$

$\mathcal{H}_{Phys}$

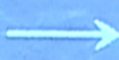
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Multi-field inflation
 $so(4, 1)$

Pseudo-Conformal
 $so(4, 2) \rightarrow so(4, 1)$

$$P_T = f(-)$$

$\mathcal{H}_{Phys}$

Pseudo-Conformal Framework

- Non-gravitational (and non-inflationary) scenario
- Spacetime metric is 3+1 dimensional, and nearly Minkowski
- Matter action is nearly invariant under conformal group
- Conformal invariance is spontaneously broken

$$so(4, 2) \rightarrow so(4, 1)$$

- Important physics is fixed by symmetry breaking pattern, irrespective of microphysical Lagrangian
- Realizations:

Rubakov's U(1) Model

V. Rubakov
0906.3493; 1007.3417,
1007.4949; 1105.4230

Galilean Genesis

P. Creminelli,
A. Nicolis &
E. Trincherini
1007.0027

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$$P_T = \frac{f(-)}{\mathcal{H}_{\text{Phys}}}$$

Conformal Group

The group of conformal isometries, diffeomorphisms that preserve the Minkowski metric up to an overall conformal factor

$$x^\mu \rightarrow x'^\mu \quad \eta_{\mu\nu} \rightarrow \Omega \eta_{\mu\nu}$$

Translations

$$x^\mu \rightarrow x^\mu + a^\mu$$

Lorentz Transformations

$$x^\mu \rightarrow \Lambda^\mu{}_\nu x^\nu \quad \eta_{\mu\nu} = \eta_{\alpha\beta} \Lambda^\alpha{}_\mu \Lambda^\beta{}_\nu$$

Dilatations

$$x^\mu \rightarrow \lambda x^\mu$$

(Special) Conformal Transformations

$$x^\mu \rightarrow \frac{x^\mu + b^\mu x^2}{1 + 2b_\alpha x^\alpha + b^2 x^2}$$

Inversion, Translation, Inversion

$$\frac{x^\mu}{x^2} \rightarrow \frac{x^\mu}{x^2} + b^\mu$$

$$P_\tau = f(-)$$

Phys

A linear representation of the conformal algebra

Translations

$$\hat{P}_\mu \equiv -\partial_\mu$$

Lorentz Transformations

$$\hat{J}_{\mu\nu} \equiv x_\mu \partial_\nu - x_\nu \partial_\mu$$

Dilatations

$$\hat{D}(\Delta) \equiv -\Delta - x^\sigma \partial_\sigma$$

Conformal Weight

(Special) Conformal Transformations

$$\hat{K}_\mu(\Delta) \equiv 2x_\mu \hat{D}(\Delta) + x^2 \partial_\mu$$

$$P_\tau = f(-)$$

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(Special) Conformal Transformations

$$\hat{K}_\mu(\Delta) \equiv 2x_\mu \hat{D}(\Delta) + x^2 \partial_\mu$$

$$[\hat{P}_\nu, \hat{P}_\mu] = 0 \quad [J_{\alpha\beta}, \hat{P}_\mu] = \eta_{\mu\alpha} \hat{P}_\beta - \eta_{\mu\beta} \hat{P}_\alpha$$

$$[J_{\gamma\delta}, J_{\alpha\beta}] = \eta_{\alpha\gamma} J_{\beta\delta} - \eta_{\alpha\delta} J_{\beta\gamma} + \eta_{\beta\delta} J_{\alpha\gamma} - \eta_{\beta\gamma} J_{\alpha\delta}$$

Poincaré Algebra

$$[\hat{D}, \hat{D}] = 0 \quad [\hat{D}, J_{\alpha\beta}] = 0 \quad [\hat{D}, \hat{P}_\mu] = \hat{P}_\mu$$

so(3,1)

Weyl Algebra

$$[\hat{K}_\mu, \hat{K}_\nu] = 0 \quad [\hat{K}_\nu, \hat{P}_\mu] = 2\eta_{\mu\nu} \hat{D} + 2J_{\mu\nu}$$

$$[\hat{K}_\mu, \hat{D}] = \hat{K}_\mu \quad [\hat{K}_\mu, J_{\alpha\beta}] = \eta_{\alpha\mu} \hat{K}_\beta - \eta_{\beta\mu} \hat{K}_\alpha$$

Conformal Algebra

$$P_T = f(-)$$

Phys

$$\begin{aligned}
\hat{\mathcal{J}}_{-2,-1} &\equiv \hat{D} & \hat{\mathcal{J}}_{-2,\mu} &\equiv \frac{1}{2} (\hat{P}_\mu - \hat{K}_\mu) & \eta_{AB} &= \text{diag}(-1, 1, -1, 1, 1, 1) \\
\hat{\mathcal{J}}_{\mu\nu} &\equiv \hat{J}_{\mu\nu} & \hat{\mathcal{J}}_{-1,\mu} &\equiv \frac{1}{2} (\hat{P}_\mu + \hat{K}_\mu) \\
[\hat{\mathcal{J}}_{CD}, \hat{\mathcal{J}}_{AB}] &= \eta_{AC} \hat{\mathcal{J}}_{BD} - \eta_{AD} \hat{\mathcal{J}}_{BC} + \eta_{BD} \hat{\mathcal{J}}_{AC} - \eta_{BC} \hat{\mathcal{J}}_{AD} \\
\text{conf}(4) &\longleftrightarrow \text{so}(4,2)
\end{aligned}$$

$$P_T = f(-)$$

$\mathcal{H}_{\text{Phys}}$

CFT in 3+1 Minkowski space

Scalar Operators

$$I = 1, \dots, N$$

Conformal Weights

$$\phi_I$$

$$\Delta_I$$

15 Conformal Symmetries

$$\delta_{P_\mu} \phi_I = \hat{P}_\mu \phi_I \quad \delta_{J_{\mu\nu}} \phi_I = \hat{J}_{\mu\nu} \phi_I$$

$$\delta_D \phi_I = \hat{D}(\Delta_I) \phi_I \quad \delta_{K_\mu} \phi_I = \hat{K}_\mu(\Delta_I) \phi_I$$

Symmetry Breaking

$$-\infty < t < 0$$

$$\bar{\phi}_I = \frac{c_I}{(-t)^{\Delta_I}}$$

5 Spontaneously
Broken Symmetries

10 Unbroken
Symmetries

$$\delta_{P_0} \delta_{J_{0i}} \delta_{K_0}$$

$$\delta_{P_i} \delta_{J_{ij}} \delta_D \delta_{K_i}$$

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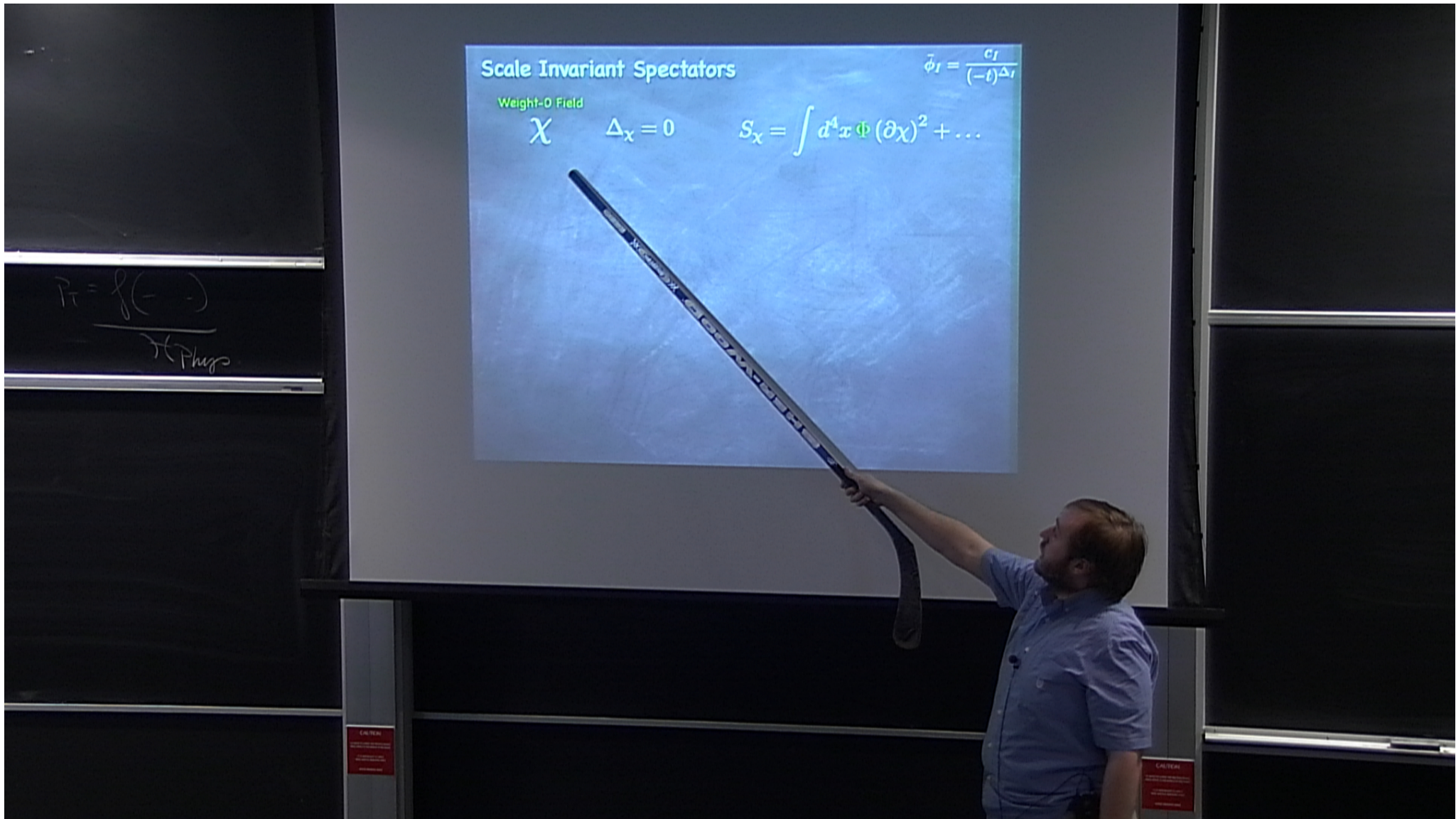
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$$P_T = f(-)$$

Phys



Scale Invariant Spectators

$$\tilde{\phi}_I = \frac{c_I}{(-t)^{\Delta_I}}$$

Weight-0 Field

χ

$$\Delta_\chi = 0$$

$$S_\chi = \int d^4x \Phi (\partial\chi)^2 + \dots$$

$$P_T = f(-)$$

$\mathcal{H}_{\text{Phys}}$

Scale Invariant Spectators

$$\tilde{\phi}_I = \frac{c_I}{(-t)^{\Delta_I}}$$

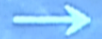
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Conformal Invariance



$$\Delta_\Phi = 2$$



$$\Phi \sim \frac{1}{t^2}$$

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$\mathcal{H}_{Phys}$

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Conformal Invariance



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Compare to massless cosmological spectator..

Spectator Field

φ

$$S_\varphi = \int d^4x \sqrt{-g} g^{\mu\nu} (\partial_\mu \varphi) (\partial_\nu \varphi)$$

$$= \int d^4x a^2 (\partial\varphi)^2$$

$$g_{\mu\nu} = a(t) \eta_{\mu\nu}$$

$$P_T = f(-)$$

$\mathcal{H}_{Phys}$

Scale Invariant Spectators

$$\tilde{\phi}_I = \frac{c_I}{(-t)^{\Delta_I}}$$

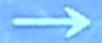
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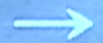
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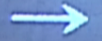
φ

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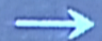
$$= \int d^4x a^2 (\partial\varphi)^2$$

$$g_{\mu\nu} = a(t)\eta_{\mu\nu}$$

Inflation



$$a \sim \frac{1}{(-t)}$$



$$a^2 \sim \frac{1}{t^2}$$

$$P_T = f(-)$$

$\mathcal{H} \text{ Phys}$

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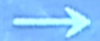
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Conformal Invariance



$$\Delta_\Phi = 2$$



$$\Phi \sim \frac{1}{t^2}$$

Compare to massless cosmological spectator...

Spectator Field

φ

$$S_\varphi = \int d^4x \sqrt{-g} g^{\mu\nu} (\partial_\mu \varphi) (\partial_\nu \varphi)$$

$$= \int d^4x a^2 (\partial\varphi)^2$$

$$g_{\mu\nu} = a(t) \eta_{\mu\nu}$$

Inflation



$$a \sim \frac{1}{(-t)}$$



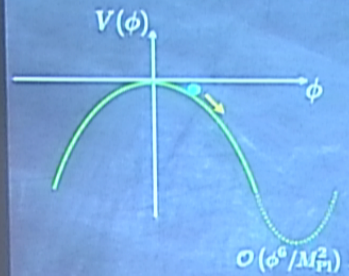
$$a^2 \sim \frac{1}{t^2}$$

Same quadratic action, so same spectrum!

$$P_\tau = f(-)$$

$\mathcal{H} \text{ Phys}$

Minimal Realization - Negative Quartic Potential



Weight-1

$$\phi \quad S_\phi = \int d^4x \left(-\frac{1}{2} (\partial\phi)^2 + \frac{\lambda}{4} \phi^4 \right)$$

$$\tilde{\phi} = \lambda \phi^3 \longrightarrow \tilde{\phi} = \sqrt{\frac{2}{\lambda}} \frac{1}{(-t)}$$

Attractor

Weight-0

χ

$$S_\chi = \int d^4x \left(-\frac{1}{2} \phi^2 (\partial\chi)^2 - \frac{1}{2} (a\phi^4 + b\phi\partial^2\phi) \chi^2 \right)$$

$$P_T = f(-)$$

χ_{Phys}

DBI Realization: An Embedded Brane Construction

- Bulk spacetime $AdS_5 \times S^1$
- Parametrize a Minkowski brane embedding with scalar fields
- Scalar field action inherits and non-linearly realizes the isometries of the bulk spacetime, which are isomorphic to the conformal group plus a shift symmetry



Bulk Shift Symmetry

$$x^6 \rightarrow x^6 + c$$

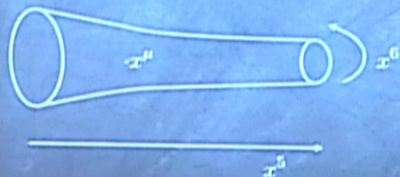
- Could take this literally as a brane moving down a warped throat of a Calabi-Yau

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Phys

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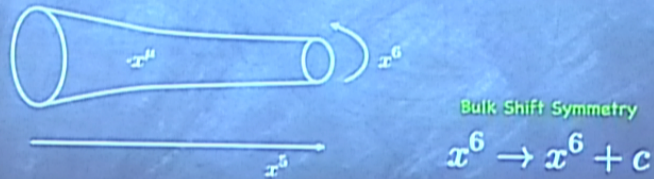
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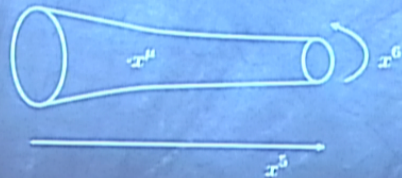
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$\mathcal{H}_{Phys}$

AdS₅ Embedding

G. Goon,
K. Hinterbichler &
M. Taroni
1103.5745

Bulk Metric

$$G_{AB}$$



Induced Metric

$$\tilde{g}_{\mu\nu}$$

Radial AdS Coordinate

$$x^5$$

Weight-1 Field

$$\phi$$

Local Tadpole

Induced Volume Form

$$S = \int d^4x \mathcal{L}_{\text{tadpole}} - \int d^4x \sqrt{-\tilde{g}} + \dots \text{Higher Order Invariants}$$

$$P_T = f(-) \quad \text{Phys}$$

Symmetries of the Action

15 Killing Vectors $\longrightarrow$ Non-Linear Conformal Symmetry

$$\delta_{P_\mu} \phi = \hat{P}_\mu \phi \quad \delta_{J_{\mu\nu}} \phi = \hat{J}_{\mu\nu} \phi$$

$$\delta_D \phi = \hat{D}(1) \phi \quad \delta_{K_\mu} \phi = \hat{K}_\mu(1) \phi + \frac{1}{\phi^2} \partial_\mu \phi$$

$$\delta_{J^{-2,-1}} \equiv \delta_D \quad \delta_{J^{-2,\mu}} \equiv \frac{1}{2}(\delta_{P^\mu} - \delta_{K^\mu}) \quad \delta_{J^{-1,\mu}} \equiv \frac{1}{2}(\delta_{P^\mu} + \delta_{K^\mu})$$

$$[\delta_{J_{AB}}, \delta_{J_{CD}}] = \eta_{AC} \delta_{J_{BD}} - \eta_{BC} \delta_{J_{AD}} + \eta_{BD} \delta_{J_{AC}} - \eta_{AD} \delta_{J_{BC}} \quad \eta_{AB} = \text{diag}(-1, 1, \eta_{\mu\nu})$$

Still $so(4,2)$! The symmetry breaking pattern carries over in full

$$\tilde{\phi} \sim \frac{1}{(-t)} \longrightarrow so(4,2) \rightarrow so(4,1)$$

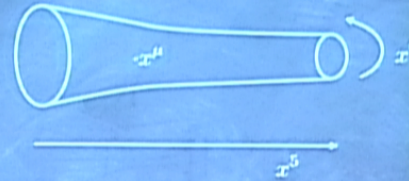
$$P_T = f(-)$$

Phys

$AdS_5 \times S^1$ Embedding

Radial AdS Coordinate x^5 Weight-1 Field ϕ

Angular Coordinate x^6 Weight-0 Field χ



$$S = \int d^4x \phi^4 (1 + \lambda/4) - \int d^4x \phi^4 \sqrt{1 + \frac{(\partial\phi)^2}{\phi^4} + \frac{(\partial\chi)^2}{\phi^2} + \frac{(\partial\phi)^2(\partial\chi)^2 - (\partial\phi \cdot \partial\chi)^2}{\phi^6}}$$

Local Tadpole

Induced Volume Form $\sqrt{-g}$

$$P_T = f(-)$$

$\mathcal{H}_{Phys}$

Symmetries of the Action

16 Killing Vectors



Conformal + Shift Symmetry

$$\delta_{P_\mu} \phi = \hat{P}_\mu \phi \quad \delta_{J_{\mu\nu}} \phi = \hat{J}_{\mu\nu} \phi$$

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$$\delta_{P_\mu} \chi = \hat{P}_\mu \chi \quad \delta_{J_{\mu\nu}} \chi = \hat{J}_{\mu\nu} \chi$$

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Bulk Shift Symmetry

Spectator Shift Symmetry

$$x^6 \rightarrow x^6 + c$$

$$\delta_c \chi = c$$

Shift symmetry forbids spectator self-coupling in the quadratic action and enforces **exact** scale invariance

$$\mathcal{L}_2 \sim \chi^2$$

$$P_T = f(-)$$

$\mathcal{H}_{\text{Phys}}$



Symmetries of the Action

16 Killing Vectors $\longrightarrow$ Conformal + Shift Symmetry

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$\mathcal{H}_{Phys}$

Symmetries of the Action

16 Killing Vectors



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Phys

Summary

- Inflation is the **unique** adiabatic mechanism that can generate a broad range of **scale invariant** and **gaussian** modes
- The **Pseudo-Conformal** framework generates scale invariant entropy perturbations by realizing spontaneous conformal symmetry breaking

$$so(4, 2) \rightarrow so(4, 1)$$

- The **DBI** implementation protects spectator **scale invariance** via a geometrically realized **shift symmetry**

$$P_T = f(-)$$

Phys

