

Title: Conformal Gravity and Black Hole Complementarity

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Abstract:







CONFORMAL GRAVITY  
and BLACK HOLES



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May 11, 2012

A fractal image featuring a central large sphere with a complex, multi-colored internal structure (purple, pink, orange, and red). This central sphere is surrounded by a chain of smaller, similar spheres that decrease in size as they move away from the center. The background is a gradient of green and blue, with faint, larger-scale fractal patterns. The entire image is framed by a black border.

# 1. MOTIVATION



## Small distance structure in Quantum Gravity:

Fundamental cut-off generated by black hole formation.

$$\Delta x \geq \hbar / \Delta p \geq \hbar / E \geq \hbar / (\Delta x / 2G) = 2\hbar G / \Delta x$$

$$\Delta x \geq \sqrt{2\hbar G} \quad (\text{for smallest "single state" black holes})$$

This does NOT happen "automatically" in most quantum gravity approaches (except: Superstring Theory ... ?)

In a more viable theory, black hole microstates and Planck distance cut-off must arise inevitably.

HOW ?



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The black hole horizon must be naturally described such that the microstates appear.

We must begin trying to rephrase perturbative gravity in such a way that the horizons are part of the theory.

Black holes form (part of) the spectrum of elementary particle excitations.

*They are not merely solitons ...*

Particles going in and out of a horizon must be described by the “black hole channel” of an *S-matrix*

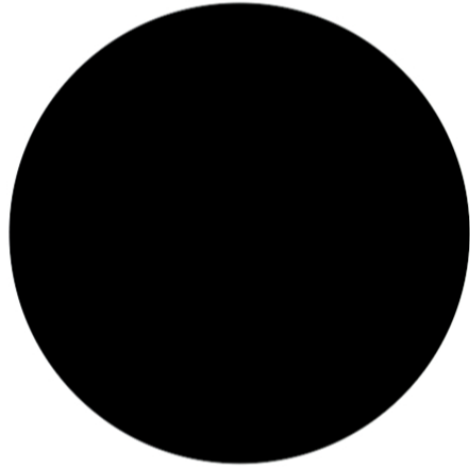
One natural conclusion of earlier work:



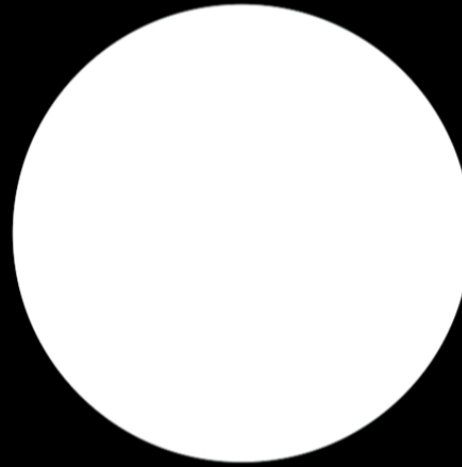
## *The Difference between*



*The Difference between*

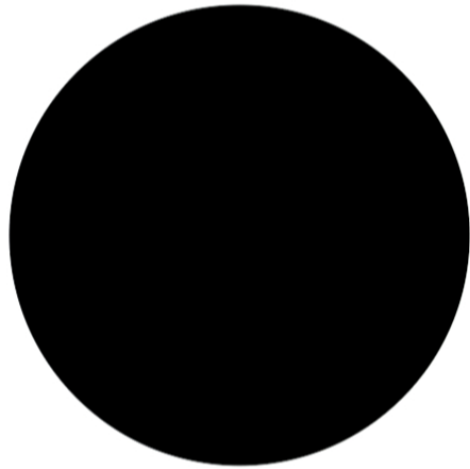


**BLACK HOLE**

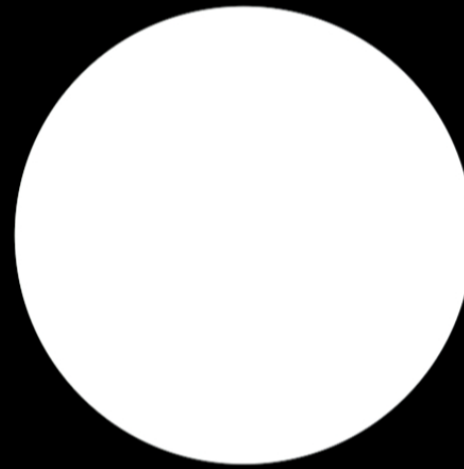


**WHITE HOLE**

*The Difference between*



**BLACK HOLE**



**WHITE HOLE**

A black hole is a quantum superposition of white holes and *vice versa* !!

Would it be possible to create a theory with locality built in describing a black hole including its formation and its complete decay ?

Demand: *unitarity* and *causality* ...

In principle, this should be possible:  
just stretch spacetime so that singularities  
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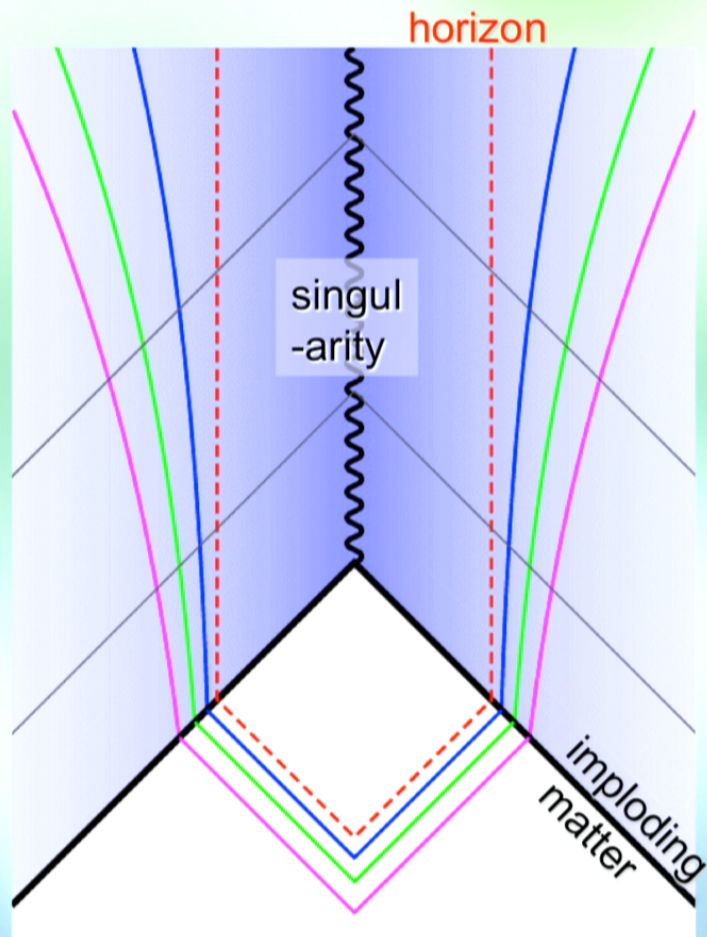
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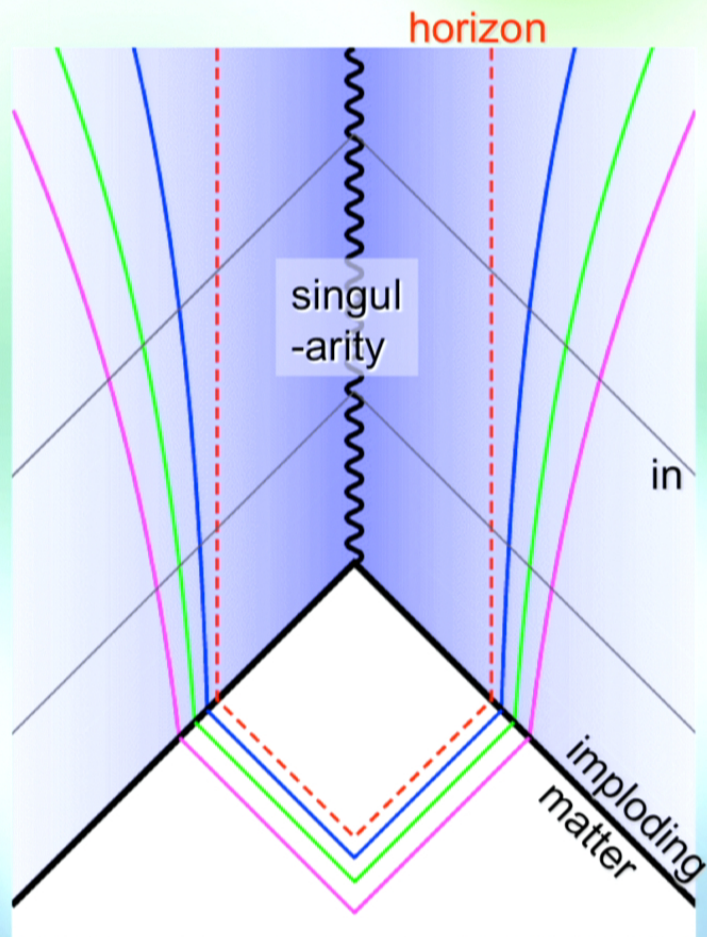
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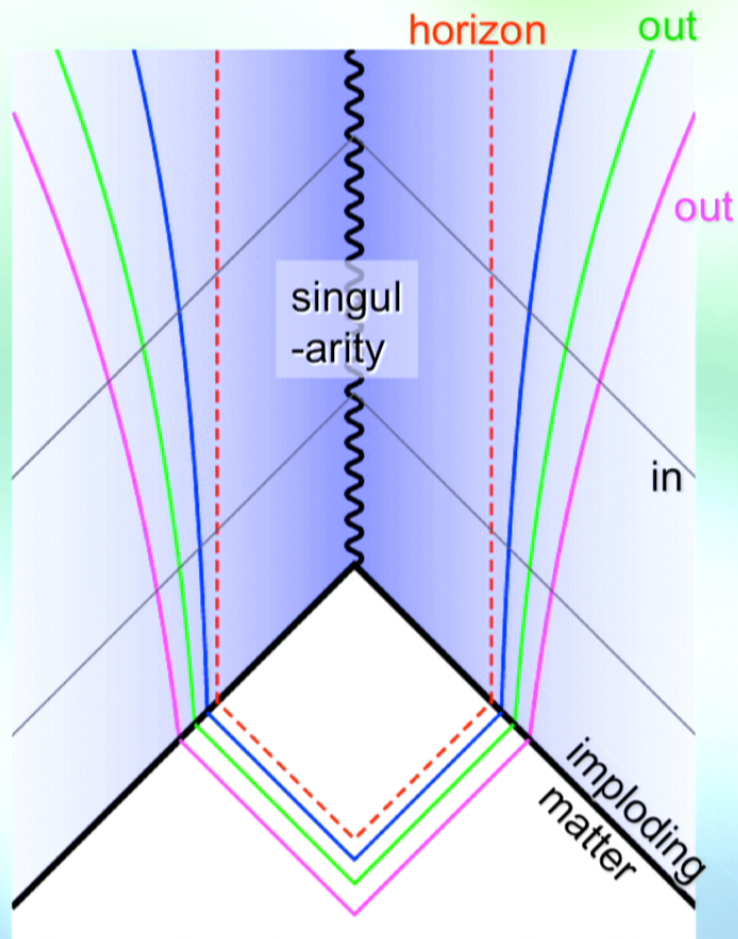
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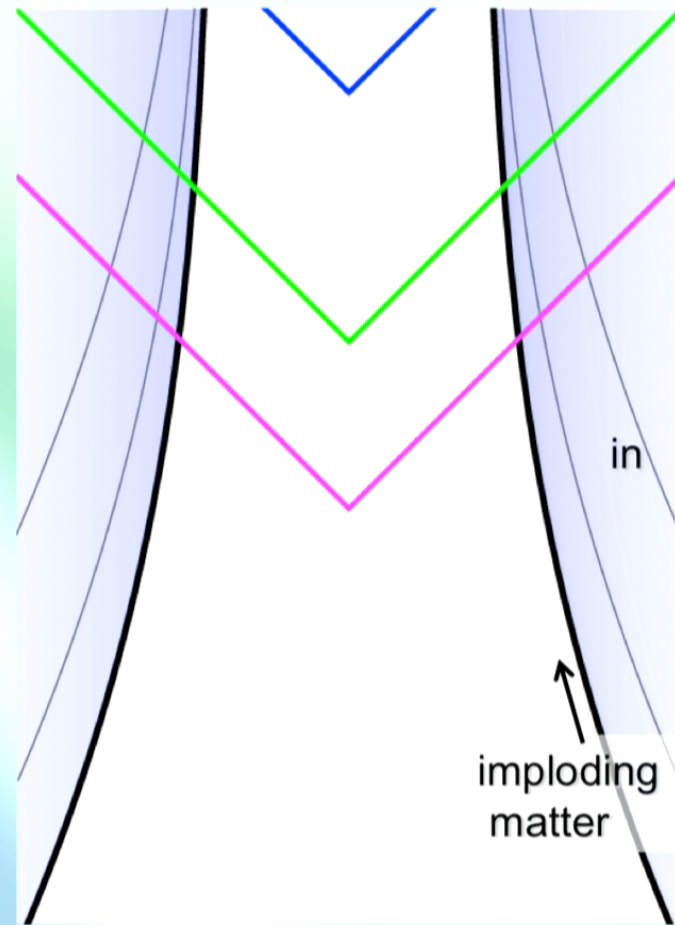
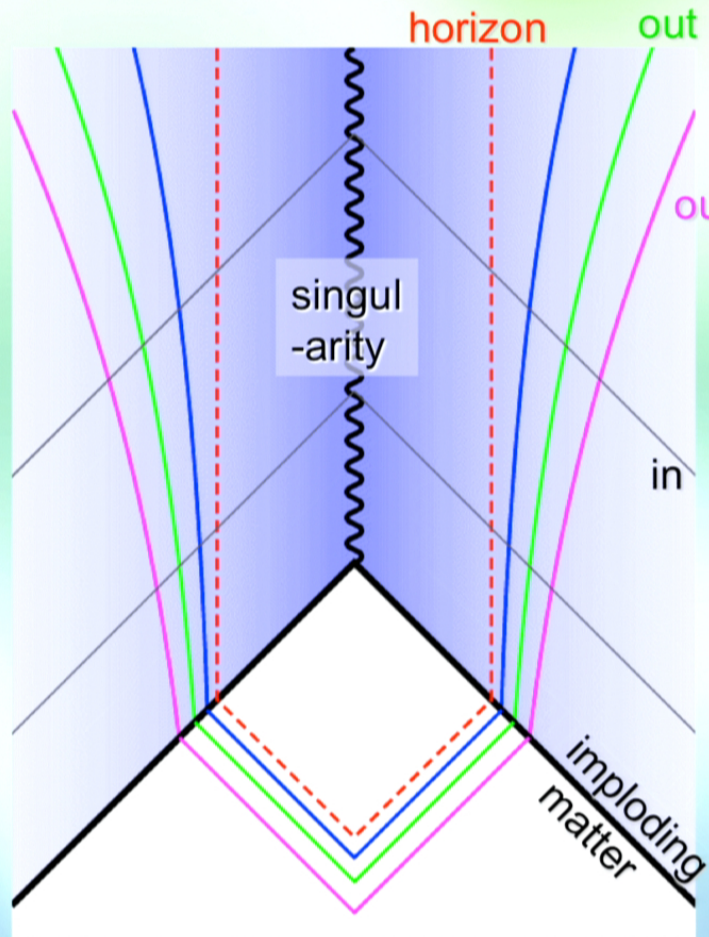




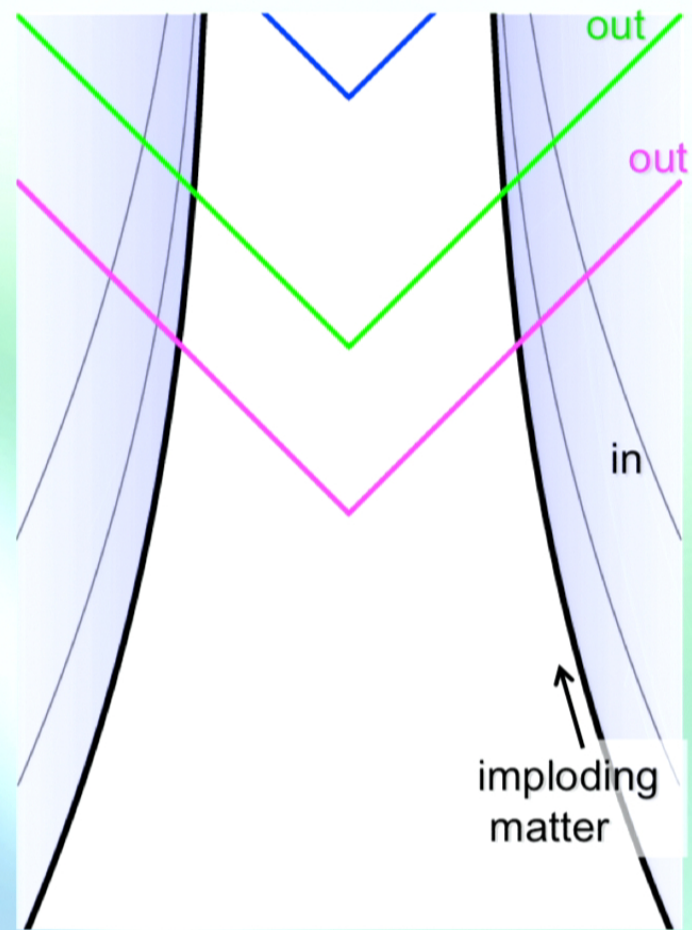
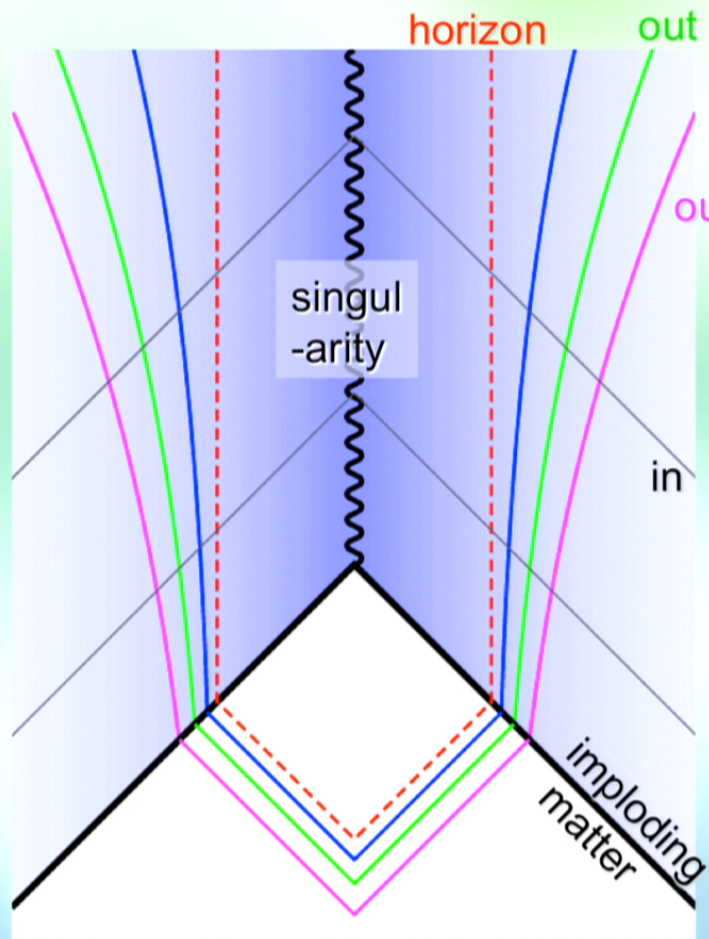


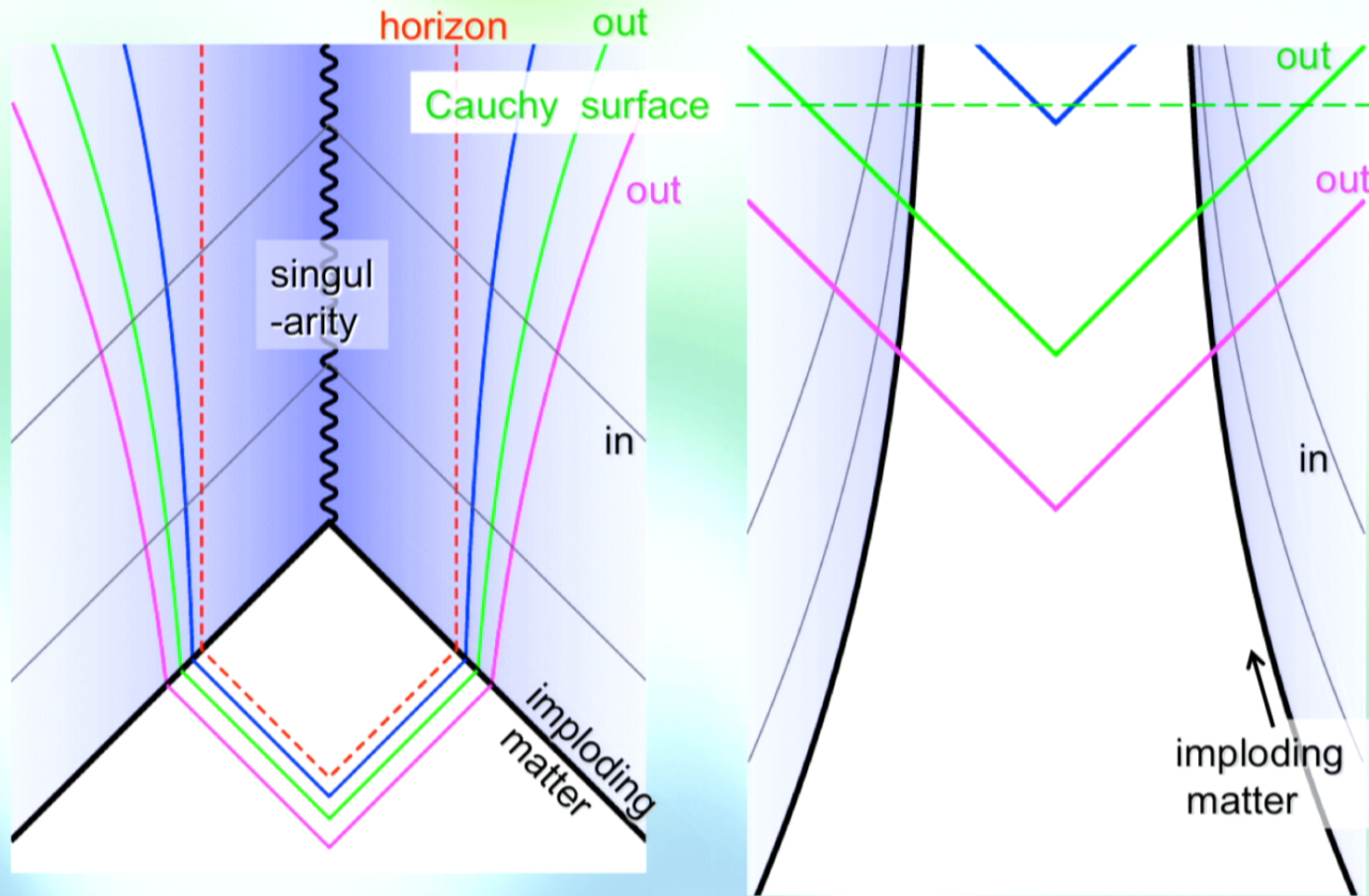




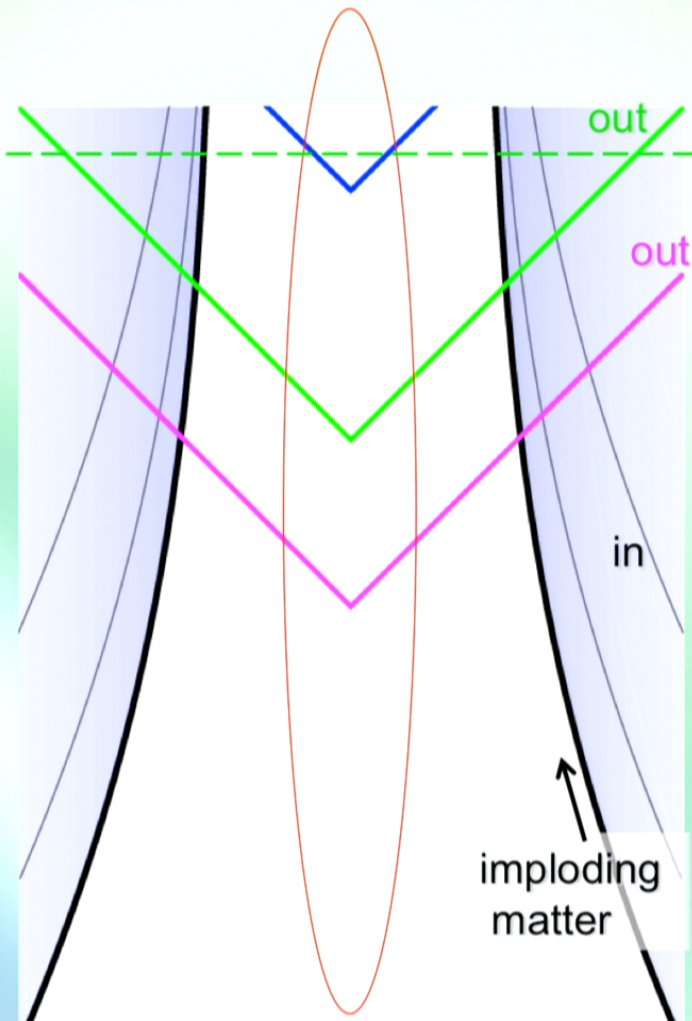
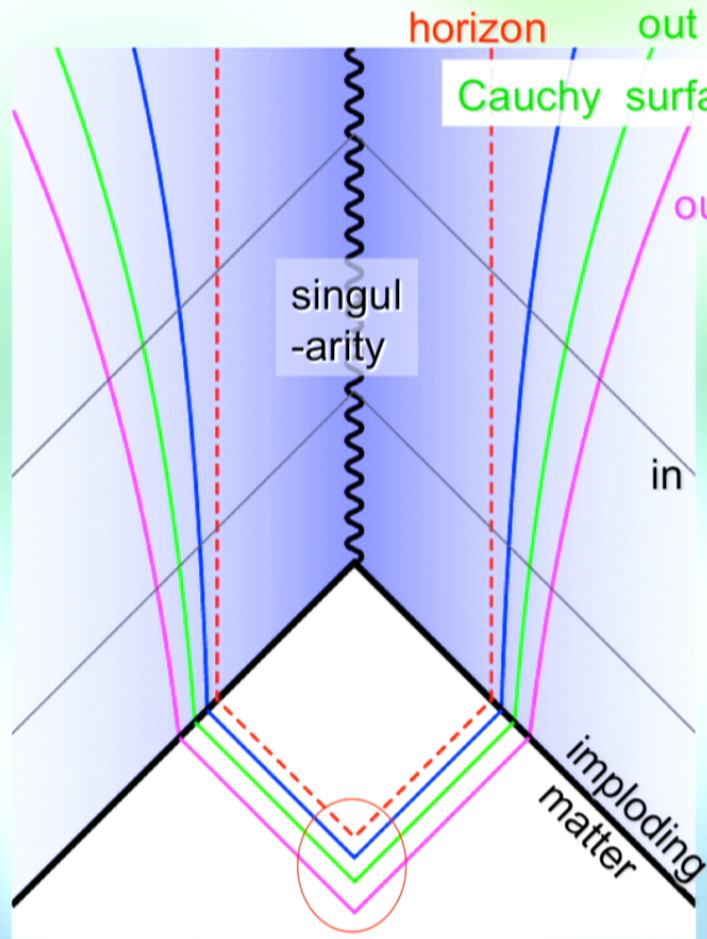




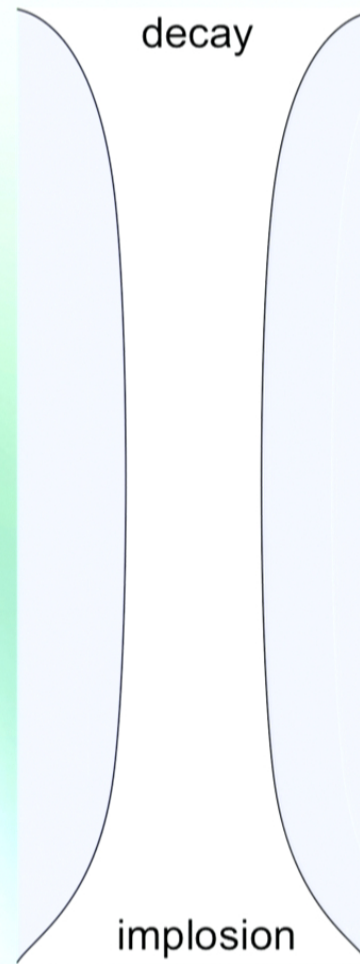
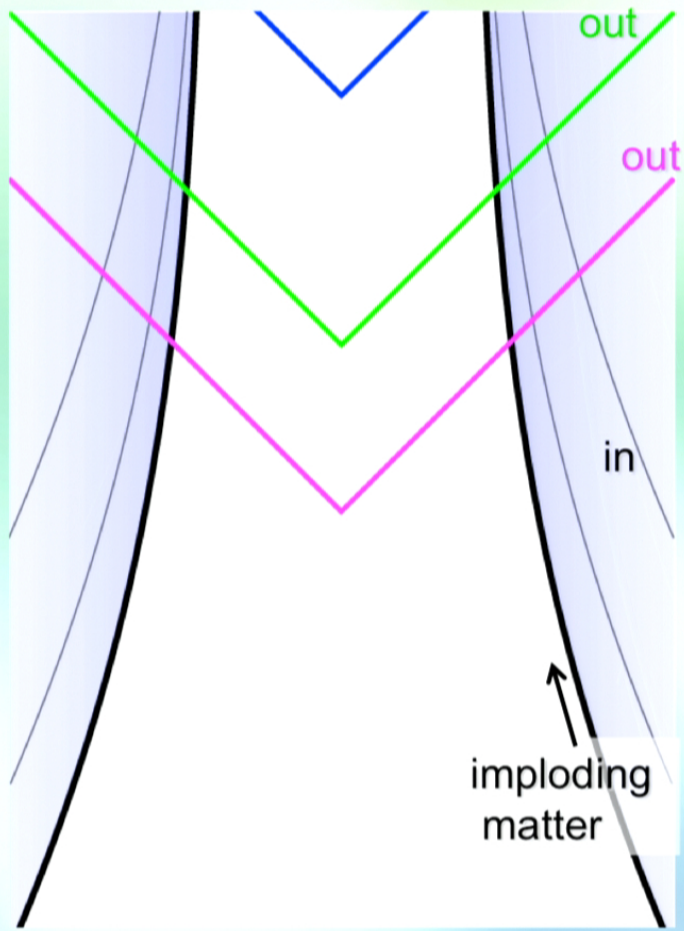


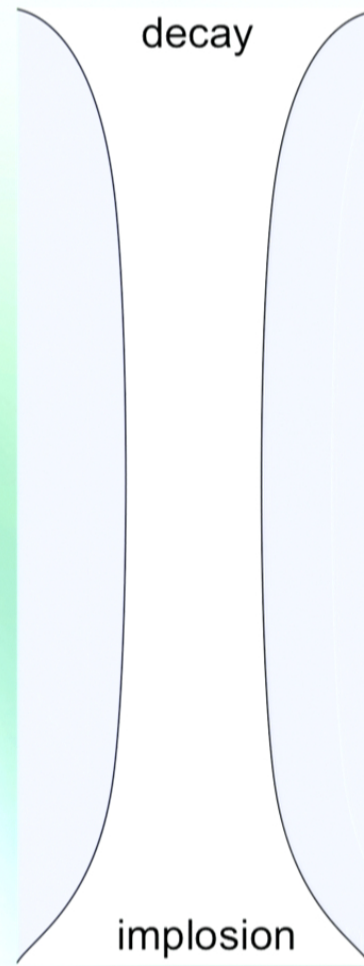
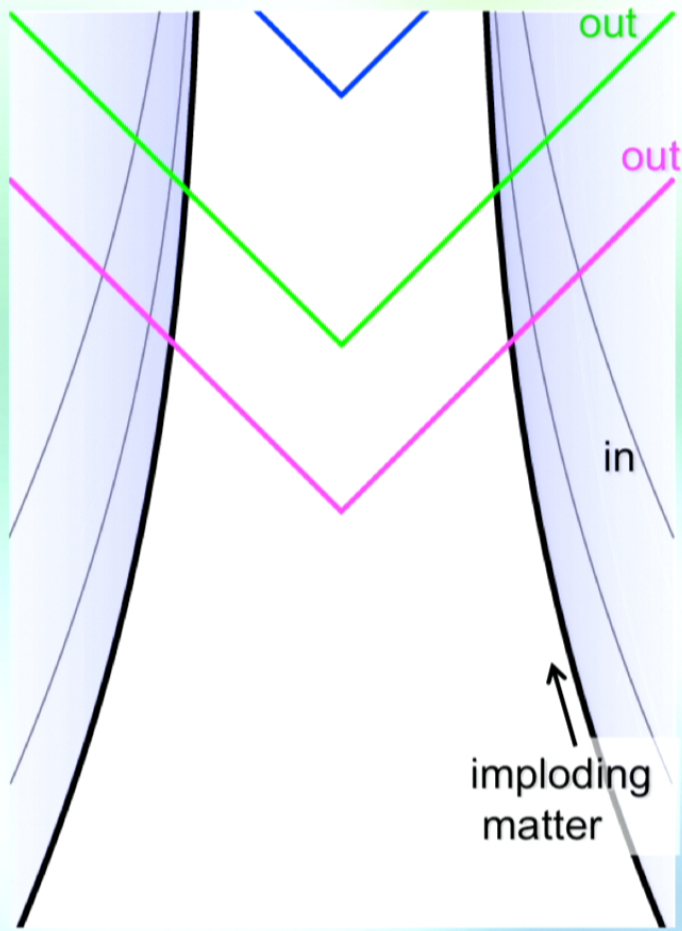








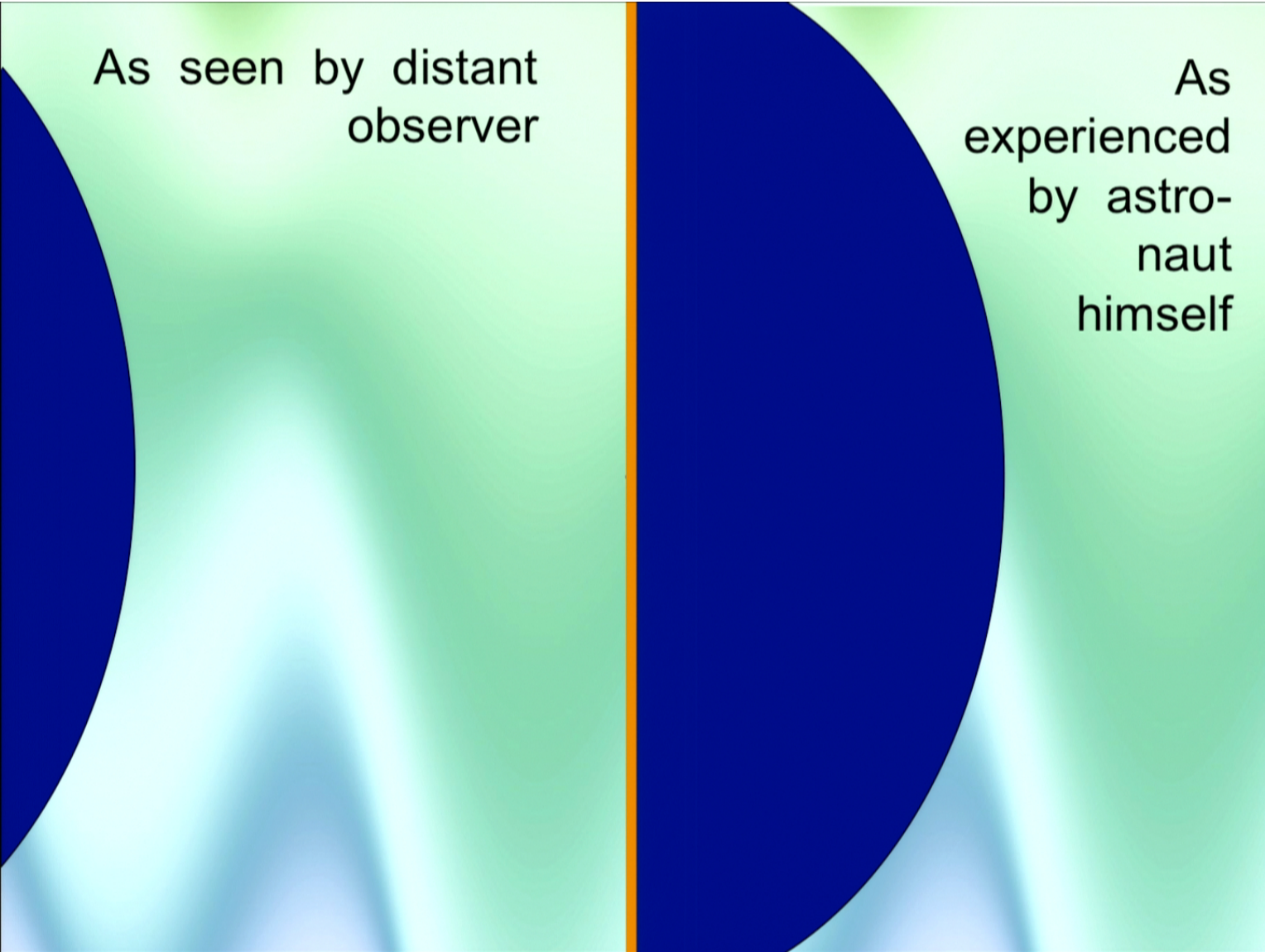






## 2. BLACK HOLE COMPLEMENTARITY





As seen by distant  
observer

As  
experienced  
by astro-  
naut  
himself

As seen by distant  
observer

Time stands still  
at the horizon



As  
experienced  
by astro-  
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As  
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Continues  
his way  
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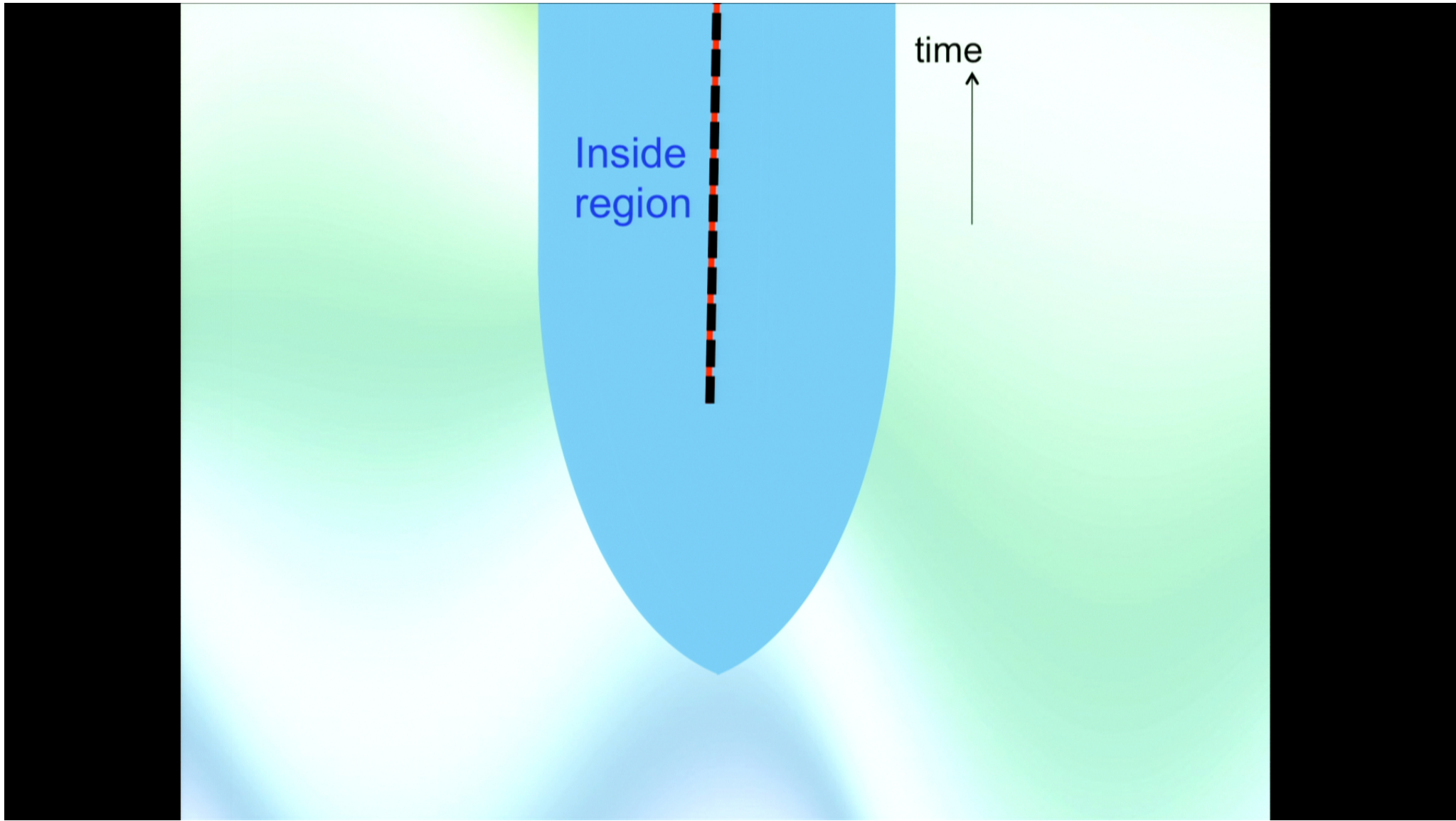


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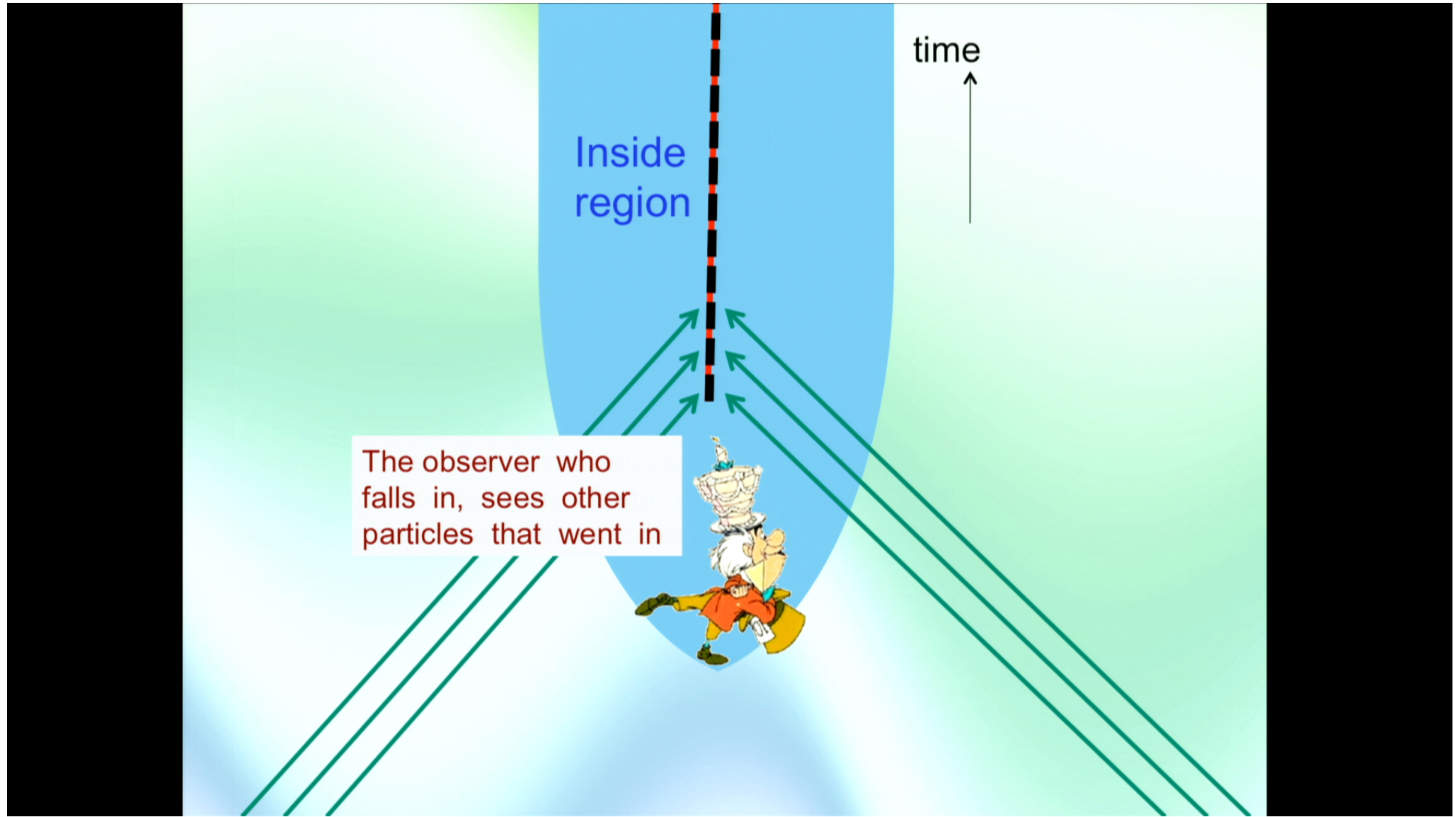
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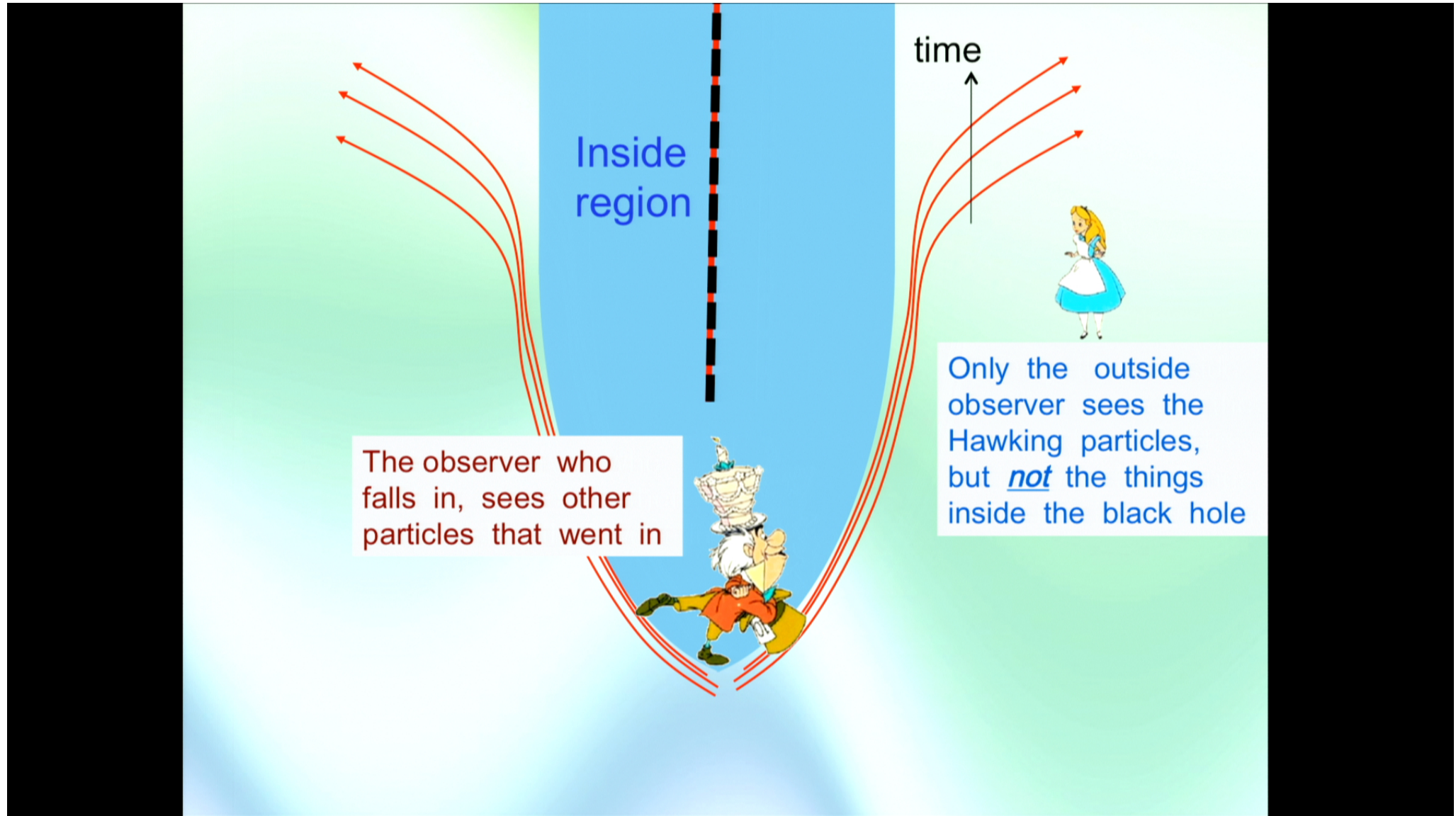


They experience *time* differently. Mathematics tells us that, consequently, they experience *particles* differently as well

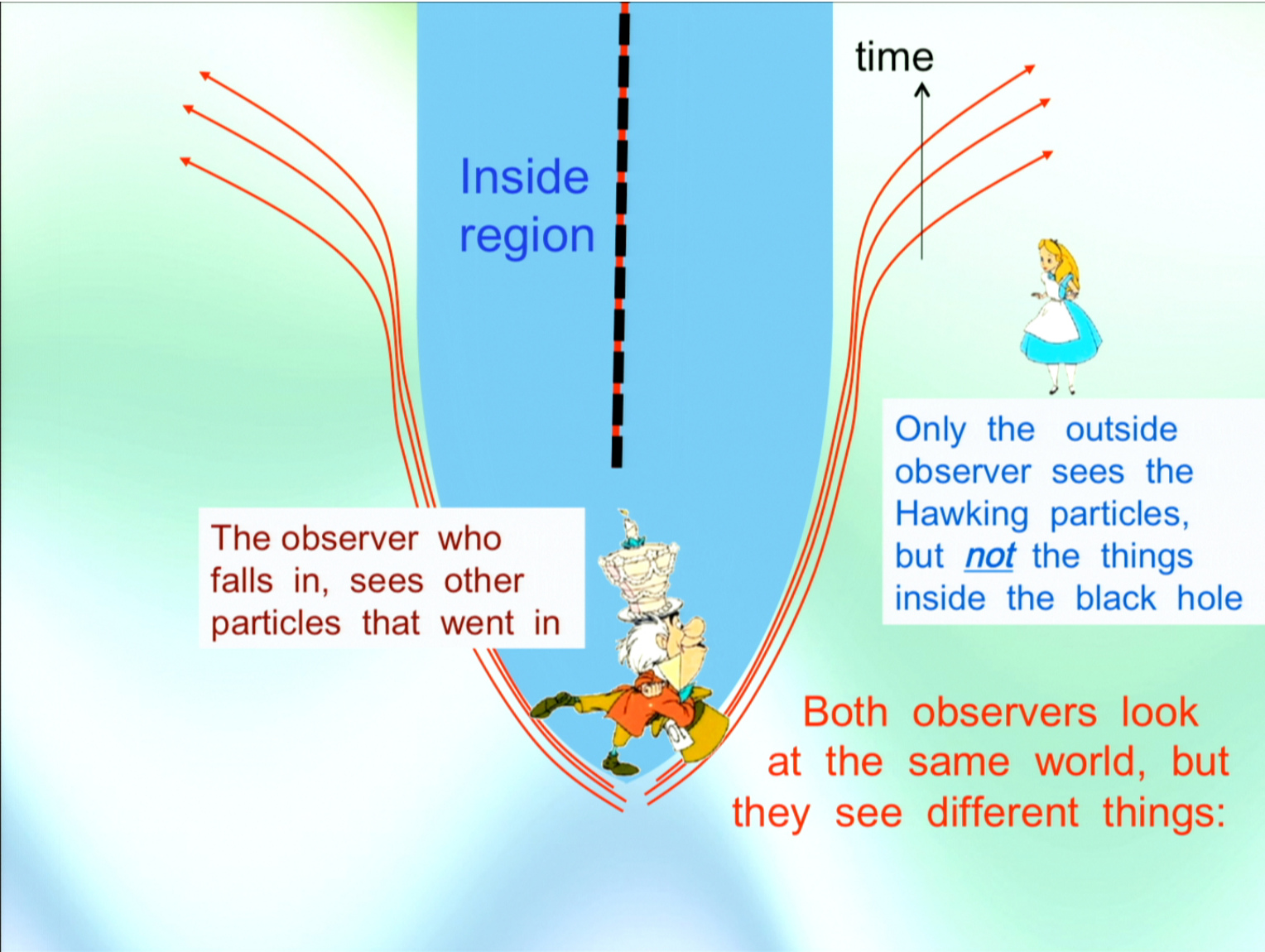


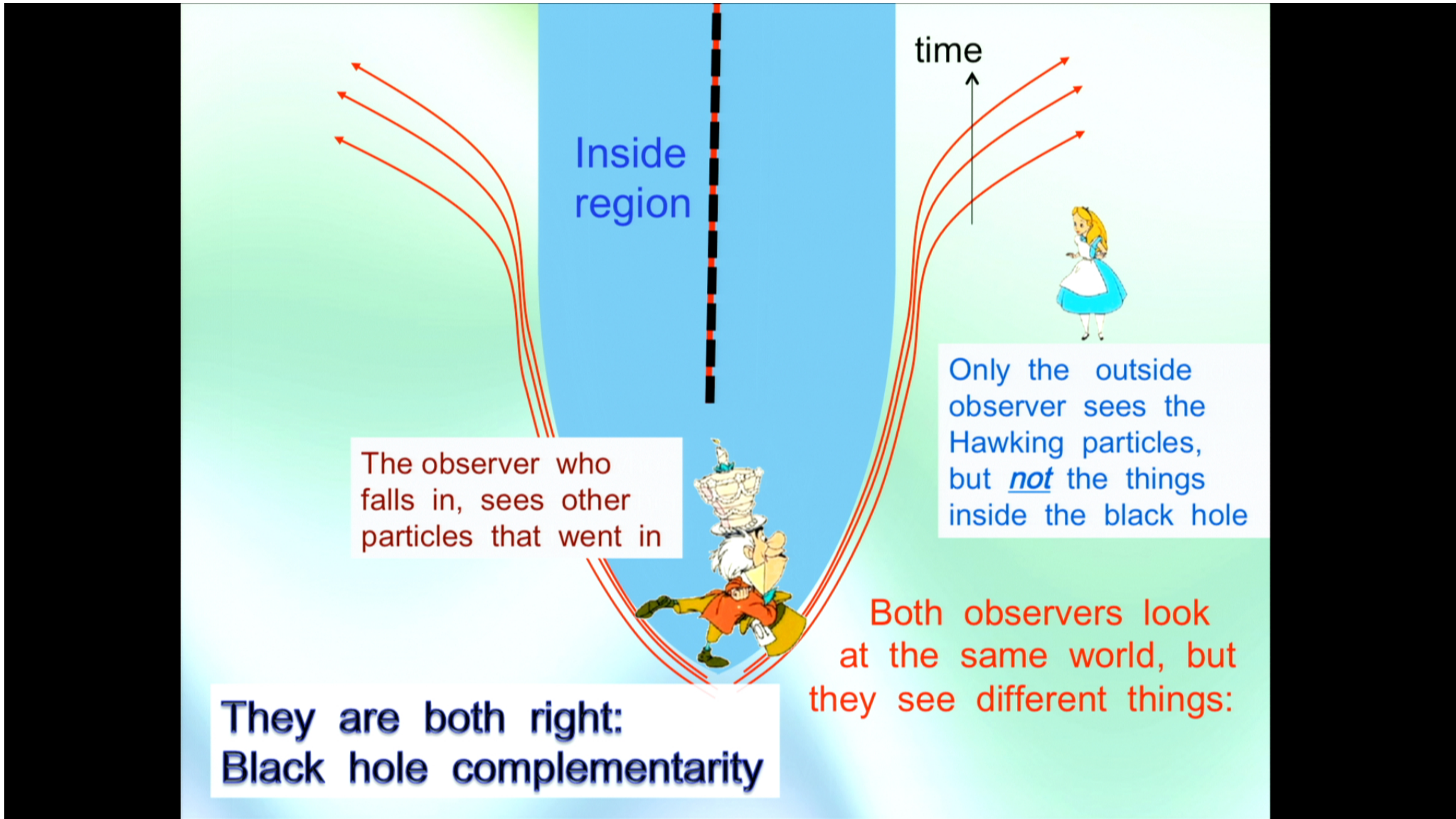














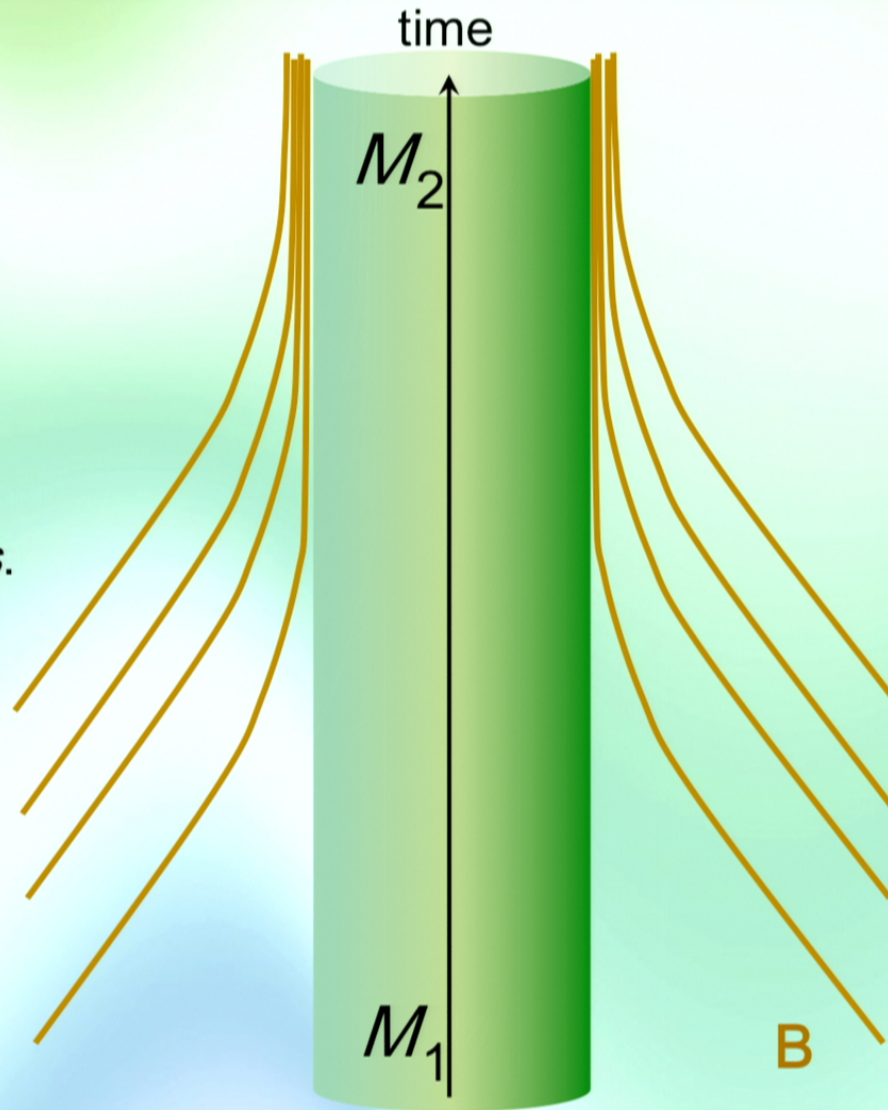
A fractal image featuring a central large sphere with a complex, multi-colored pattern of purple, pink, and orange. This central sphere is surrounded by a chain of smaller, similar spheres that decrease in size as they move away from the center. The background is a gradient of green and blue, with faint, larger-scale fractal patterns visible. The text "3. The Need for EXACT LOCAL CONFORMAL INVARIANCE" is overlaid on a semi-transparent green rectangular area in the lower-middle part of the image.

### 3. The Need for EXACT LOCAL CONFORMAL INVARIANCE

Consider a large Black Hole, slowly absorbing matter, and/or slowly decaying.

Observer B sees only particles going in: *black hole grows*.

Observer W sees only particles going out: “white hole” shrinks !



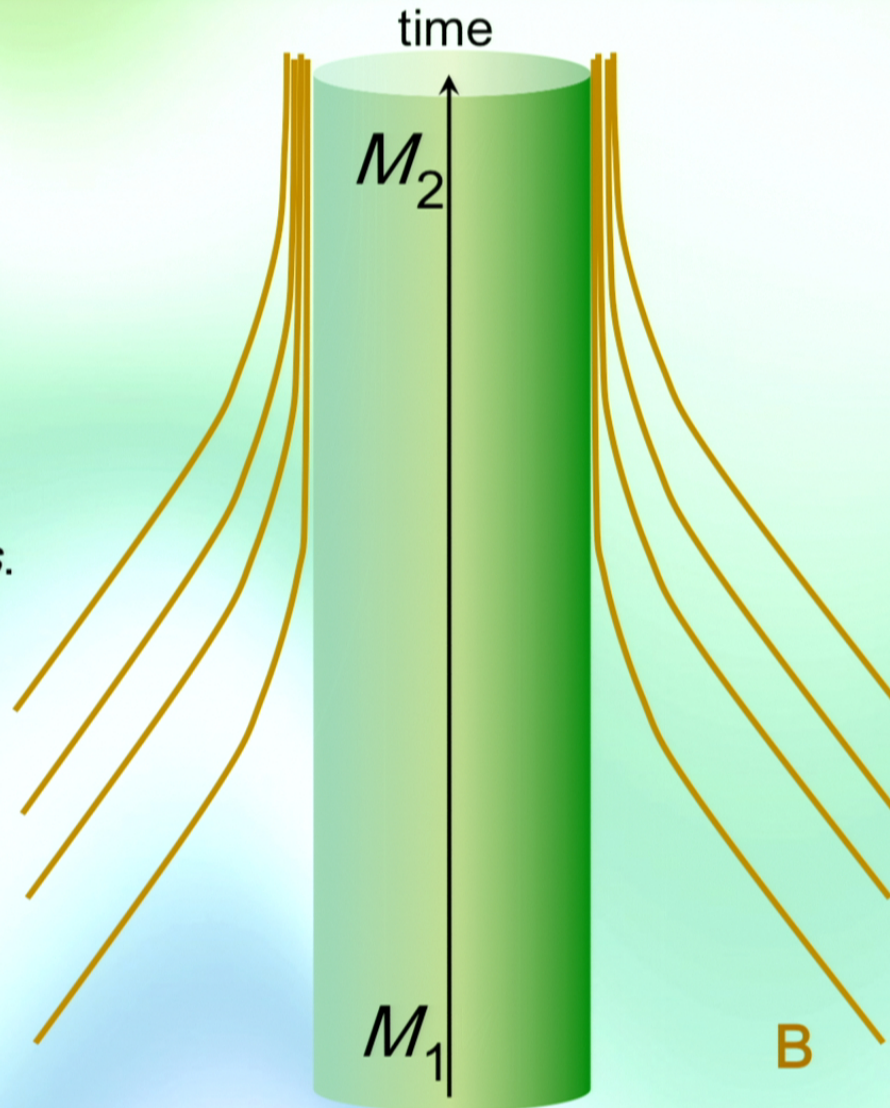


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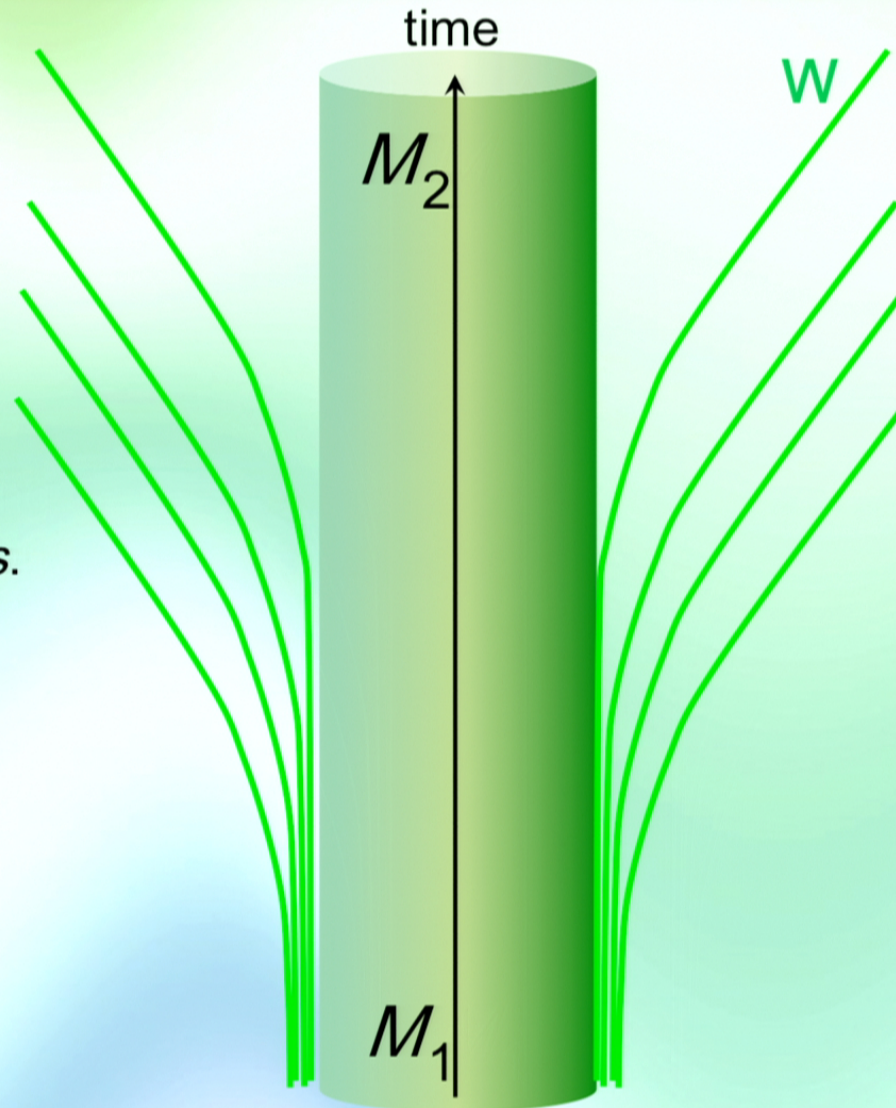


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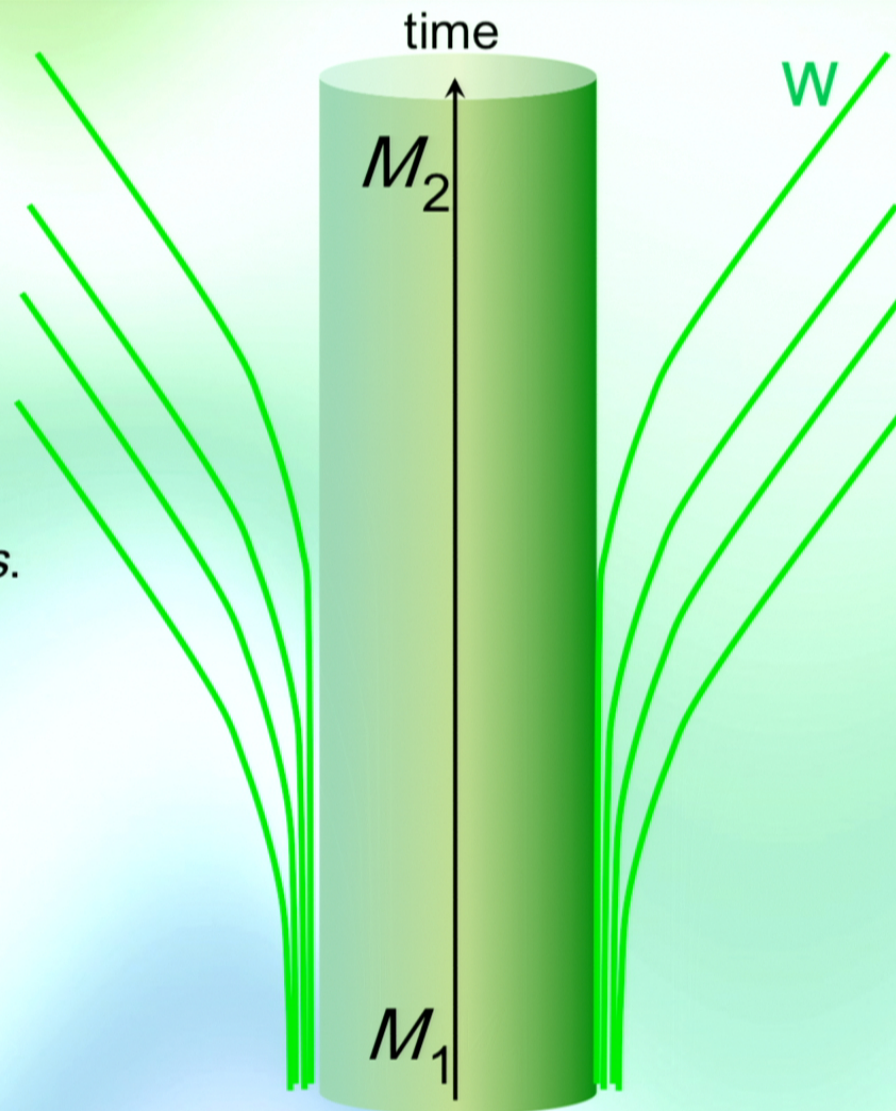
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$$M_1 > M_2 \text{ ?}$$



Both observers see the metric in the same Kruskal coordinates:

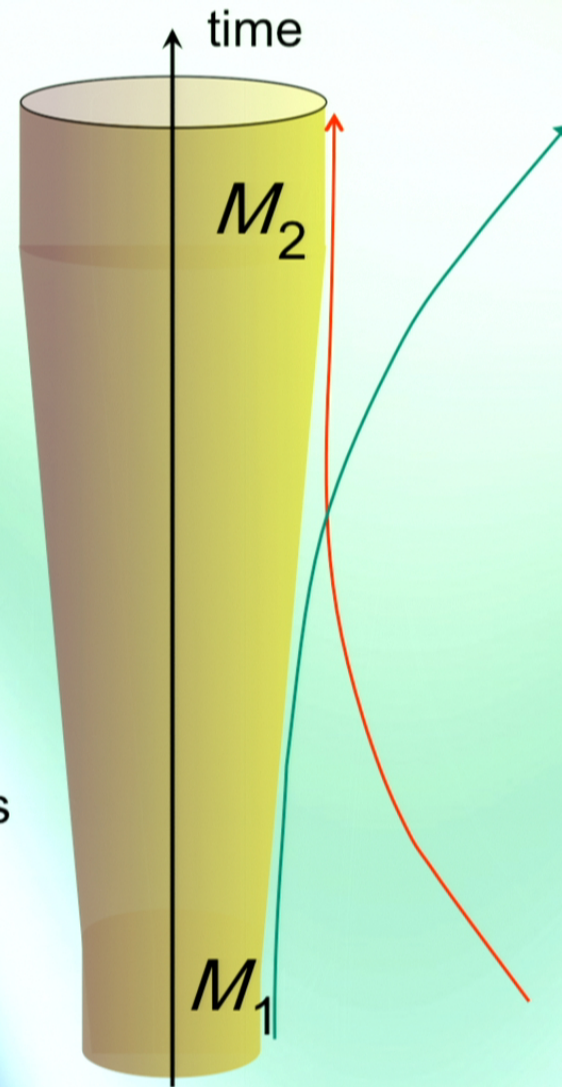
Let  $r = \lambda(t)\rho$  ,  $M(t) = \lambda(t)M$  ,

$$ds^2 = \frac{32\lambda^2(t)M^3}{\rho} e^{-\rho/2M} dx dy + \lambda^2(t)\rho^2 d\Omega^2$$

$$= \lambda^2(t) \left( \frac{32M^3}{\rho} e^{-\rho/2M} dx dy + \rho^2 d\Omega^2 \right)$$

In these coordinates, both observers see the same light cones!  
(causality)

This is a *local conformal transformation*.





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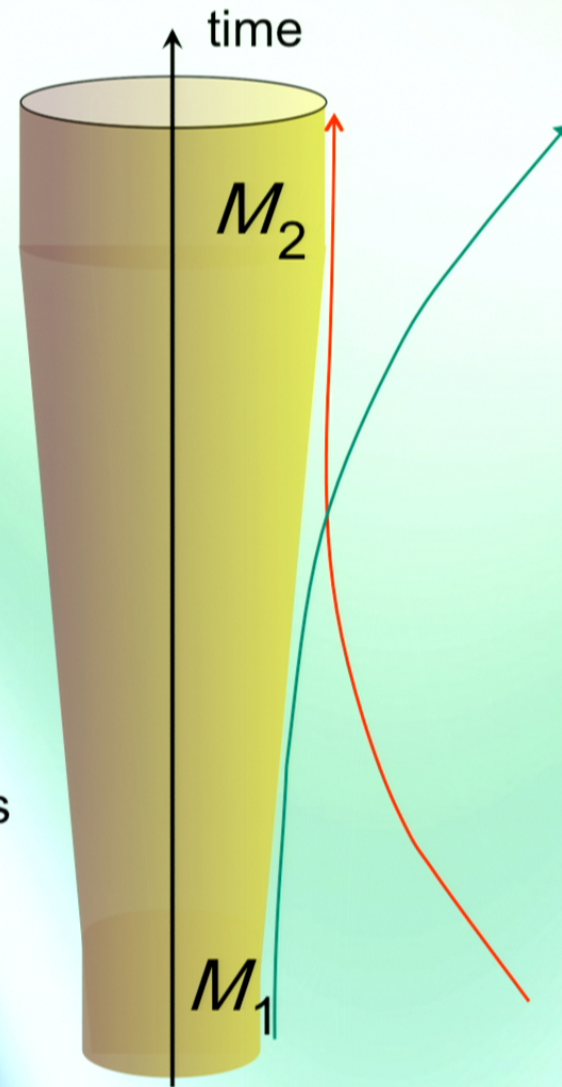
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Local conformal transformation:  $g_{\mu\nu}(x) \rightarrow \lambda(x)g_{\mu\nu}(x)$   
This modifies the curvature of space-time –  
hence also the energy-momentum tensor:

$$T_{\mu\nu}(x) \rightarrow T_{\mu\nu}(x) - \left(\frac{1}{8\pi G}\right)(D_\mu \partial_\nu \lambda - g_{\mu\nu} D^2 \lambda) + \dots$$

In a theory with **exact local conformal invariance**, one must fix the gauge, which means that *one* component of  $T_{\mu\nu}(x)$  can be fixed – at *all* space-time points  $x$ .



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Radially symmetric metric for black / white hole:  
start with Kruskal coordinates,

$$ds^2 = 4M^2 e^{\mu(x,y)} \left( \frac{4}{\rho(x,y)} dx dy + \rho^2(x,y) d\Omega^2 \right)$$

$$xy = (\rho(x,y) - 1) e^{\rho(x,y)} ; \quad \rho = \frac{r}{2M}, \quad \lambda = e^{\frac{\mu(x,y)}{2}}$$

Einstein tensor:

$$G_{xx} = \left( 1 - \frac{1}{\rho^2} \right) \frac{\mu_x}{x} - \frac{1}{2} \mu_x^2 + \mu_{xx}$$

$$G_{yy} = \left( 1 - \frac{1}{\rho^2} \right) \frac{\mu_y}{y} - \frac{1}{2} \mu_y^2 + \mu_{yy}$$

$$G_{xy} = 2 \frac{1-\rho}{\rho^2} \left( \frac{\mu_x}{y} + \frac{\mu_y}{x} \right) - \mu_x \mu_y - \mu_{xy}$$

$$G_{\theta\theta} = -\rho^3 e^{\rho} \left( \mu_{xy} + \frac{\mu_x \mu_y}{4} \right) - \frac{1}{2} \rho (x \mu_x + y \mu_y)$$



Black hole gauge:

$$\frac{\partial \mu}{\partial y} \equiv \mu_y = 0$$

Einstein tensor is then given by

$$G_{xx} = \left(1 - \frac{1}{\rho^2}\right) \frac{\mu_x}{x} - \frac{1}{2} \mu_x^2 + \mu_{xx}$$

$$G_{yy} = 0$$

$$T_{yy} = 0$$

$$G_{xy} = 2 \frac{1-\rho}{\rho^2} \frac{\mu_x}{y}$$

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White hole gauge:

$$\frac{\partial \mu}{\partial x} \equiv \mu_x = 0$$

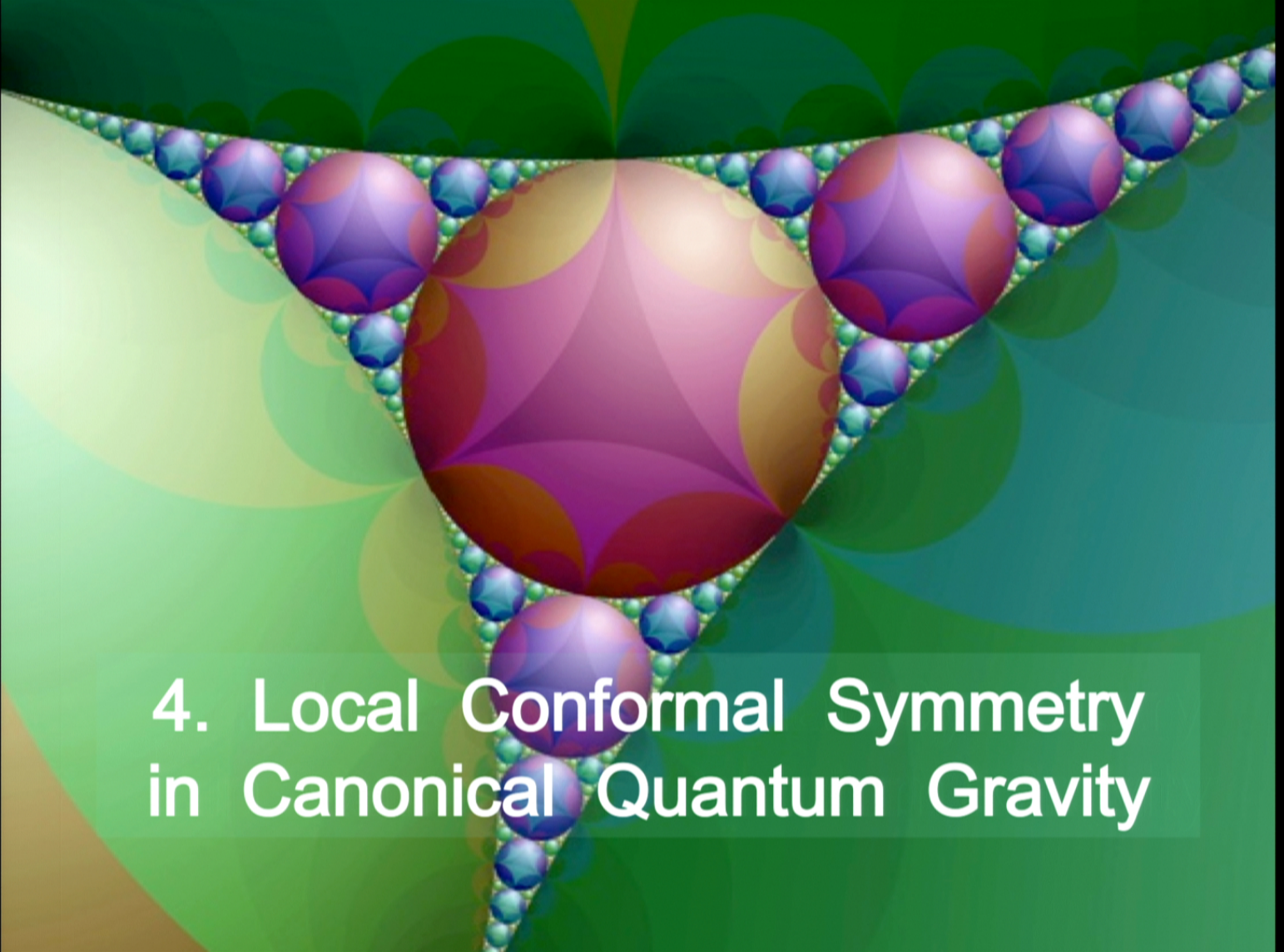
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4. Local Conformal Symmetry  
in Canonical Quantum Gravity



Invariance under scale transformations  
may serve as an essential new ingredient  
to quantize gravity

$$g_{\mu\nu} = \omega^2 \hat{g}_{\mu\nu} , \quad \det(\hat{g}_{\mu\nu}) = -1 ,$$

$$\omega = (-\det(g_{\mu\nu}))^{1/8}$$

$\hat{g}_{\mu\nu}$  describes light cones

$\omega$  describes scales

The outside, macroscopic world also has the scale factor:

$$\omega(x); \quad g_{\mu\nu}(x) = \omega^2(x) \hat{g}_{\mu\nu}(x)$$

$$|x| \rightarrow \infty : \quad \omega \rightarrow 1$$

Thus, the *vacuum states breaks Local Conformal Symmetry.*

What are the equations for  $\hat{g}_{\mu\nu}(x)$ ,  $\omega(x)$  ?

The transformations that keep the equation  $\hat{g}_{\mu\nu} = \eta_{\mu\nu}$  unchanged are the *global conformal* transformations.



**Exact** local conformal invariance emerges *formally* in canonical quantum gravity:

$$\int Dg_{\mu\nu} e^{i(S^{EH}+S^M)} = \int D\hat{g}_{\mu\nu} \int D\omega e^{i(S^{EH}(\hat{g},\omega)+S^M(\hat{g},\omega))};$$

$$\int D\omega e^{i(S^{EH}(\hat{g},\omega)+S^M(\hat{g},\omega))} = e^{iS^{eff}(\hat{g})};$$

$S^{eff}(\hat{g}_{\mu\nu})$  does not depend on  $\omega$ , therefore is *locally conformally invariant!*

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Would this make  $S^{eff}(\hat{g}_{\mu\nu})$  “renormalizable” !!?

Unfortunately **no** !? *The conformal anomaly*





## 5. Conformal anomalies

$$\int D\omega e^{i(S^{EH}(\hat{g},\omega)+S^M(\hat{g},\omega))} = e^{iS^{eff}(\hat{g})};$$

$$S^M(\hat{g},\omega) = -\frac{1}{2}\hat{g}^{\mu\nu}\partial_\mu\phi\partial_\nu\phi - \frac{1}{2}m^2\omega^2\phi^2;$$

$$\mathcal{L}^{eff, div} = \frac{\sqrt{-\hat{g}}}{8\pi^2(4-n)} \left( \frac{1}{120}(\hat{R}_{\mu\nu}\hat{R}^{\mu\nu} - \frac{1}{3}\hat{R}^2) + \right. \\ \left. + \frac{4}{9}\pi^2(G_N m^2)^2\phi^4 \right)$$



is conformally invariant in 4 dimensions !

$$\text{But } \frac{1}{(4-n)} \rightarrow \frac{1}{2}\log(\Lambda^2 / k^2)$$

At  $n \neq 4$  this “local term” is not conformally invariant.



Can one *cancel* that infinity in front of

$$\hat{R}_{\mu\nu}\hat{R}^{\mu\nu} - \frac{1}{3}\hat{R}^2 \quad ? \quad \text{No !?}$$

Matter fields (spin 0,  $\frac{1}{2}$ , or 1) all contribute to the same anomaly, **with the same sign !**

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Coefficients:

$$\text{grav. } \omega \text{ field: } + \frac{1}{120}$$

$$N_0 \text{ Scalars: } + \frac{1}{120} N_0$$

$$N_{1/2} \text{ Dirac Spinors: } + \frac{1}{20} N_{1/2}$$

$$N_1 \text{ Vector fields: } + \frac{1}{10} N_1$$

$$N_{3/2} \text{ Gravitinos : } - \frac{233}{720} N_{3/2}$$

$$N_2 \text{ Tensor fields : } + \frac{53}{45} N_2$$



If  $R_{\mu\nu} \neq 0$  there are 2 kinds of conformal anomalies:

- 1) The scaling anomaly in a flat background;
- 2) The conformal anomalies when

$$R_{\mu\nu}^{(\text{background})} \neq 0$$

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Misc. remark:

$$\mathcal{L} = \mathcal{L}^{\hat{g}_{\mu\nu}} + \mathcal{L}^{\omega} + \mathcal{L}^{\text{matter}}$$

$$\mathcal{L}^{\hat{g}_{\mu\nu}} = 0$$

$$T_{\mu\nu}^{\omega} = -\frac{1}{8\pi G} \hat{G}_{\mu\nu}$$

$$\frac{\partial \mathcal{L}}{\partial \hat{g}^{\mu\nu}} = -\frac{\sqrt{-\hat{g}}}{2} (T_{\mu\nu}^{\omega} + T_{\mu\nu}^{\text{matter}})$$

$$= \frac{\sqrt{-\hat{g}}}{2} \left( -\frac{1}{8\pi G} \hat{G}_{\mu\nu} + T_{\mu\nu}^{\text{matter}} \right) = 0$$

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$$= \frac{\sqrt{-\hat{g}}}{2} \left( -\frac{1}{8\pi G} \hat{G}_{\mu\nu} + T_{\mu\nu}^{\text{matter}} \right) = 0$$

You may either use  $g_{\mu\nu}$  or  $\hat{g}_{\mu\nu}$  to define these !





## 6. Cancelling Conformal Anomalies in Matter Interactions

# The Standard Model

Leptons

$\nu_e$   $e$        $\nu_\mu$   $\mu$

Quarks

$u$   $u$   $u$   
 $d$   $d$   $d$        $s$   $s$   $s$

Gauge  
Bosons

$Z^0$   $W^+$   $\gamma$   
 $W^-$

Higgs





# The Standard Model

Generation I

Generation II

Generation III

Leptons

$\nu_e$   $e$

$\nu_\mu$   $\mu$

$\nu_\tau$   $\tau$

Quarks

$u$   $u$   $u$

$d$   $d$   $d$

$c$   $c$   $c$

$s$   $s$   $s$

$t$   $t$   $t$

$b$   $b$   $b$

Gauge Bosons

$Z^0$   $W^+$   $W^-$   $\gamma$

$g$

Higgs

Graviton



# The scale anomaly.

Take a conformally flat background spacetime.  
Consider a complete EH + matter Lagrangian:

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Yang-Mills

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scalar field (J=0)

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fermionic fields ( $J=1/2$ )

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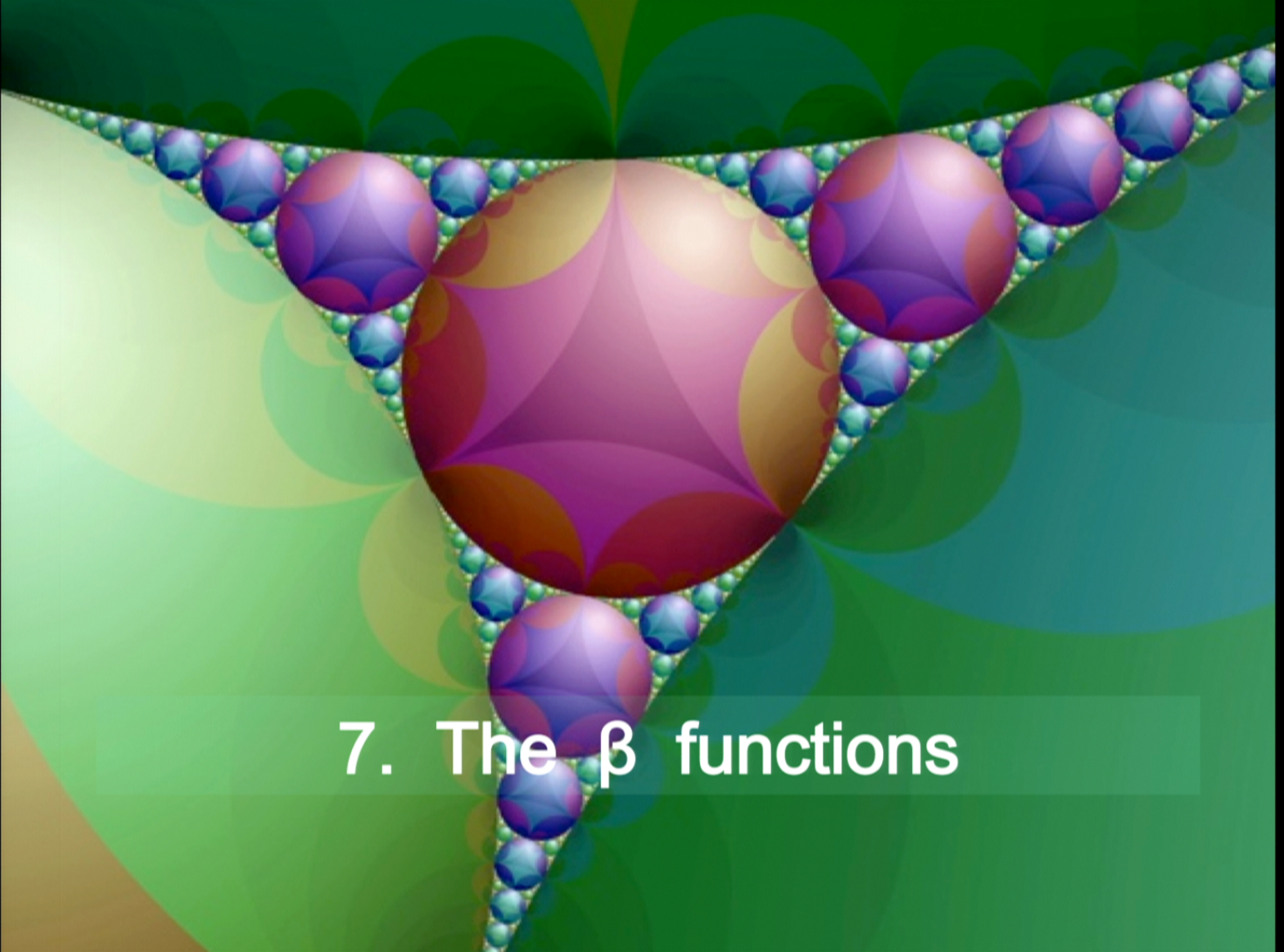
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A fractal image featuring a central large sphere with a complex, multi-colored internal structure (purple, pink, orange, and red). This central sphere is surrounded by a chain of smaller, similar spheres, each with its own internal structure, arranged in a curved path. The background is a gradient of green and blue, with faint, larger-scale fractal patterns visible. The overall composition is symmetrical and highly detailed.

## 7. The $\beta$ functions

The equations

$$\frac{\mu d}{d\mu}(\tilde{\Lambda}, g, \lambda, y, y^5, \tilde{\kappa}g_3, \tilde{\kappa}m_s, \tilde{\kappa}m_d, \dots) = \bar{\beta}(\tilde{\Lambda}, g, \lambda, y, y^5, \tilde{\kappa}g_3, \tilde{\kappa}m_s, \tilde{\kappa}m_d, \dots) = 0$$

**Have only isolated solutions!** All coupling parameters, including  $\Lambda$ , are completely fixed by these equations, which can be worked out.

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a LANDSCAPE of “Standard Models”

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Demanding that the  $\omega$  field behaves regularly at the origin, just as the other scalars  $\varphi$ .

This is a statement about the *small distance limit*



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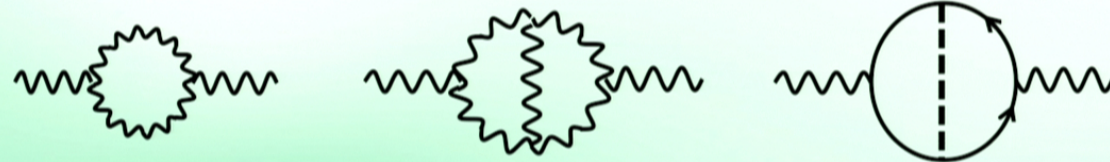
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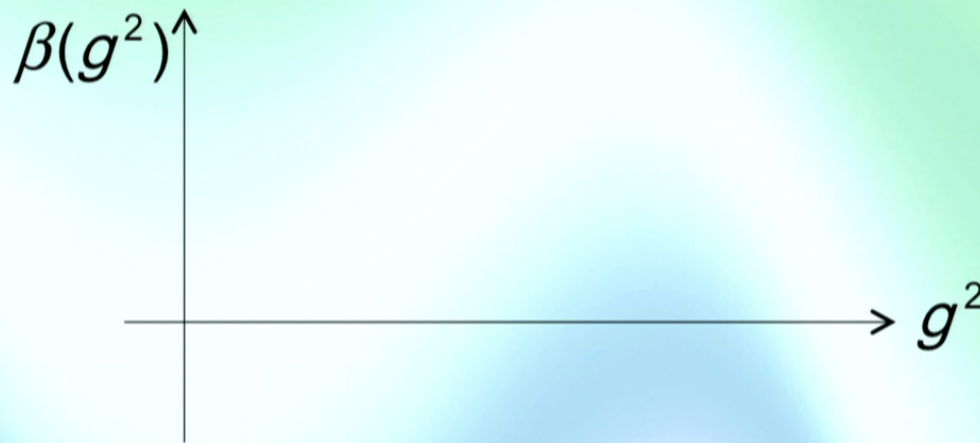
but such a postulate may well be permissible after having made the theory conformally invariant.

Solving the equations  $\beta = 0$

First fix the gauge coupling constants:



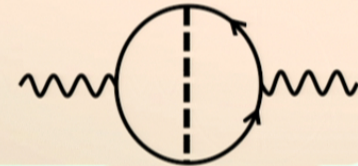
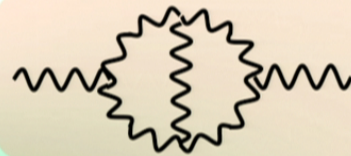
$$\beta(g^2) = \frac{1}{24\pi^2} \left( \frac{1}{2} C_S + 2C_F - 11C_g \right) g^4 + K\left(\frac{y}{g}\right) g^6$$



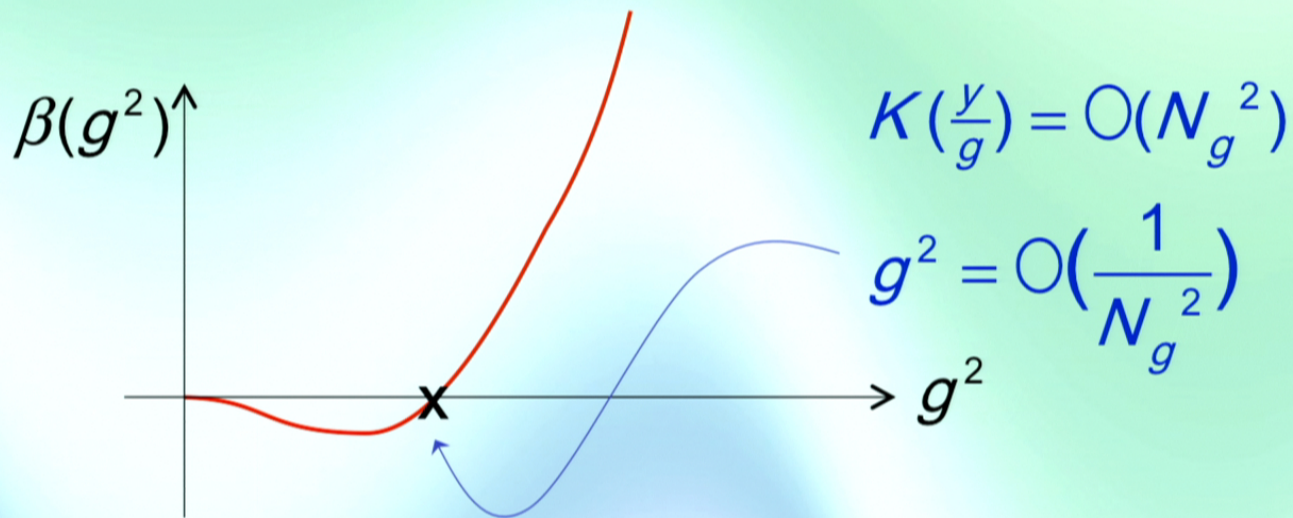


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Then fix the Yukawa coupling constants:

$$\bar{\psi}_i \left( Y_{ijk}(\varphi_k, \omega) + i\gamma^5 Y_{ijk}^5(\varphi_k, \omega) \right) \psi_j$$



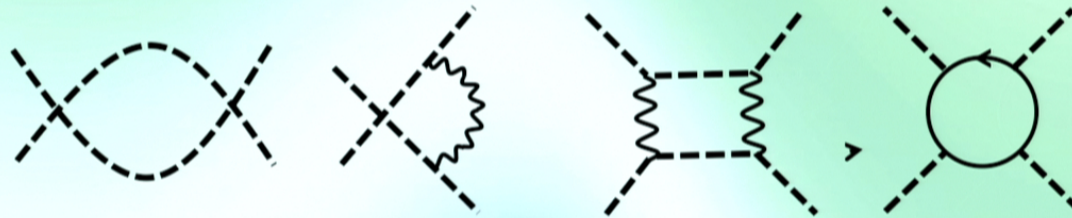
$$\beta(Y, Y^5) \propto c_3(Y^3, Y(Y^5)^2) - g^2 c_g(Y, Y^5) \rightarrow$$

$$Y, Y^5 = \mathcal{O}(g)$$



Finally, the equations for the scalar couplings are more complex:

$$\beta(V(\varphi, \omega)) \propto \left( \frac{\partial^2}{\partial \varphi_i \partial \varphi_j} V \right)^2 + V \cdot (-c g^2 + c (Y^2, (Y^5)^2)) + (c g^4 - c (Y^4, Y^2 (Y^5)^2, (Y^5)^4)) \varphi^4$$



Often no real solutions, but many solutions are expected.

But all couplings will be of the same order,  $O(1/N^2)$ , which includes all masses and the cosmological coupling constant.

Thus, the *hierarchy problem* is not solved: why are dimensionless ratios of many physical parameters so extreme?



This theory is a proposal to handle the functional integral over the  $\omega$  field.

The  $\hat{g}_{\mu\nu}$  fields are not yet considered at all. They contribute to an other set of anomalies that must be cancel as well.

But the functional integral over these fields affect the light cones  $\rightarrow$  difficulties with causality and locality (incl. UV divergences)

The problem of *time* in quantum gravity...

Option: reconsider what quantum mechanics means here.

According to holography: in a small domain of space only a finite number of quantum states !!



## 8. Finiteness and determinism at the Planck scale



### Observation:

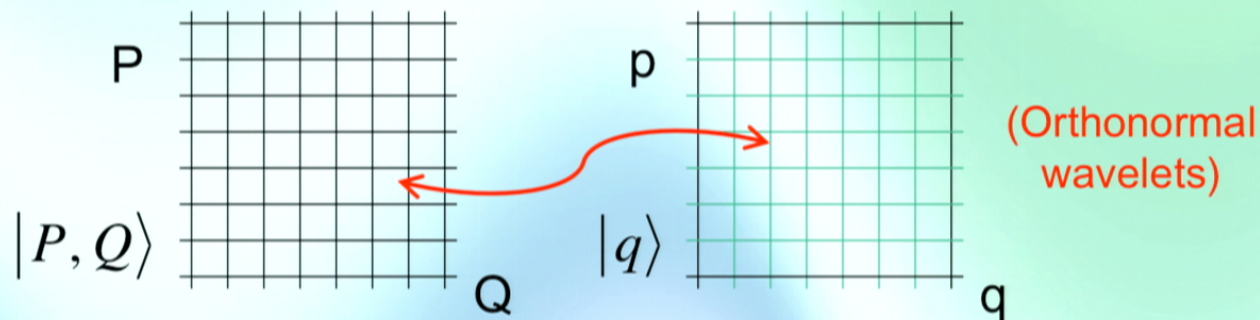
quantum field theories are cellular automata in disguise.

a mapping exists that maps the states of a cellular automaton onto those of an interacting quantum field theory.

### First:

Consider **integers**  $Z = P + iQ$ . Write states in a Hilbert space:  $|P, Q\rangle$ .

Consider a quantum pair:  $(p, q)$  with  $[q, p] = \frac{i}{2\pi}$   
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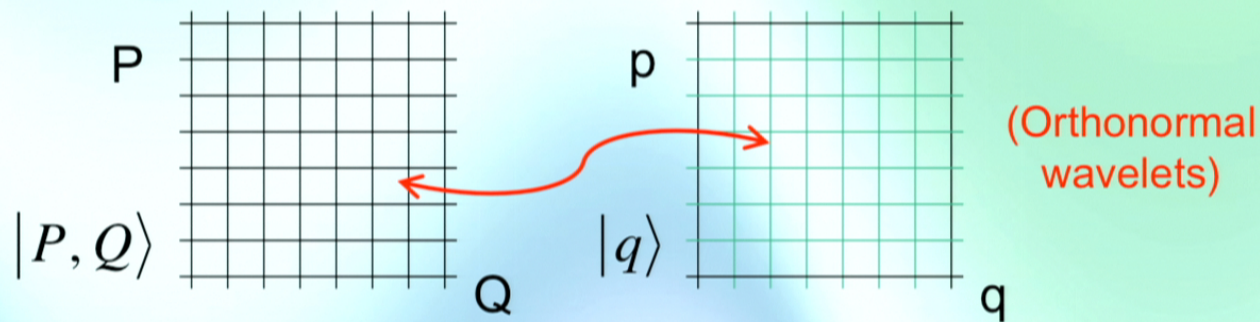
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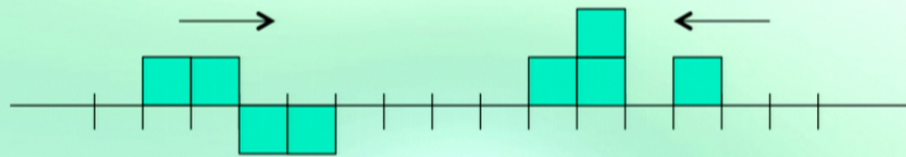


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Next: do this for a bosonic quantum field theory on a lattice (1+1 dimensions)

Cellular automaton:  $P(x, t)$ ,  $Q(x, t)$



Map the Hilbert space of this system on the wavelets of the field theory:  $|\phi(x, t)\rangle$

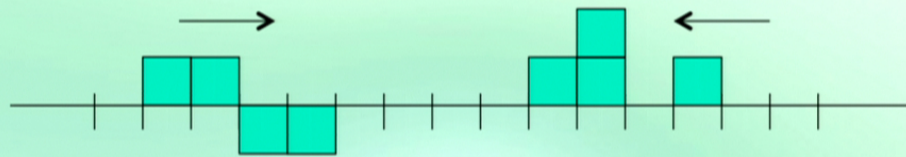


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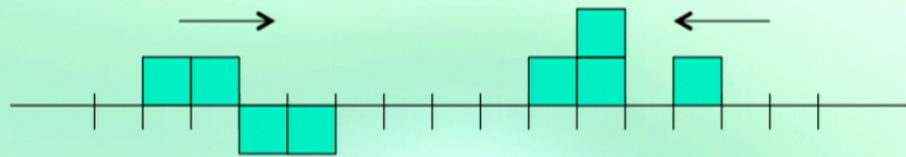
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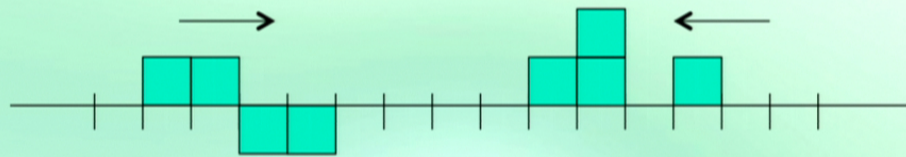


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