

Title: Recent Progress in Compact G2 Manifolds

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Abstract: This talk will give a survey of some recent developments on the construction and classification of compact manifolds with holonomy G2 and their calibrated submanifolds. After reviewing previous work we concentrate on the following three developments: (a) the construction of many new compact G2 manifolds using weak Fano 3-folds; (b) the construction of many compact G2 manifolds containing rigid associative 3-folds; (c) the diffeomorphism classification of (2-connected) G2 manifolds obtained by twisted connect sums. If time permits we will mention new questions suggested by our results. This work is joint with Alessio Corti, Johannes Nordstrom, and Tommaso Pacini.



# Recent progress in $G_2$ geometry

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### **Main goal:**

- Construct compact  $G_2$  manifolds containing compact rigid associative 3-folds.

### **Byproducts:**

- Construct many new noncompact Calabi-Yau 3-folds.
- Construct many new compact  $G_2$  manifolds.
- Identify the diffeomorphism type of 7-manifold underlying many of our  $G_2$  manifolds; they are the first  $G_2$  manifolds where diffeo type is understood.
- Exhibit different ways to construct  $G_2$  metrics on same underlying smooth 7-manifold; find  $G_2$  metrics with different numbers of (obvious) rigid associative 3-folds.
- Exhibit “geometric transitions” between  $G_2$ -metrics on different 7-manifolds.

$SU(3)$  to  $G_2$

$$6 + 1 = 2 * 3 + 1 = 7$$

$$SU(2) \subset SU(3) \subset G_2$$

$$SU(3) + SU(3) + \epsilon = G_2$$

### Submanifolds

$$1 + 2 = 3$$

$\mathbb{S}^1 \times \text{holomorphic} = \text{associative}$

## Two geometric characterizations of $G_2$ :

(i) *the automorphism group of the octonions  $\mathbb{O}$*

(ii) *the stabilizer of a generic 3-form in  $\mathbb{R}^7$*

Define a vector cross-product on  $\mathbb{R}^7 = \text{Im}(\mathbb{O})$

$$u \times v = \text{Im}(uv)$$

where  $uv$  denotes octonionic multiplication.

Cross-product has an associated 3-form

$$\phi_0(u, v, w) := \langle u \times v, w \rangle = \langle uv, w \rangle$$

$\phi_0$  is a generic 3-form so

$$G_2 = \{A \in \text{GL}(7, \mathbb{R}) \mid A^* \phi_0 = \phi_0\} \subset \text{SO}(7).$$

$$6 + 1 = 2 * 3 + 1 = 7 \text{ and } \mathbf{SU}(2) \subset \mathbf{SU}(3) \subset G_2$$

- Write  $\mathbb{R}^7 = \mathbb{R} \times \mathbb{C}^3$  with  $(\mathbb{C}^3, \omega, \Omega)$  the std  $SU(3)$  structure then

$$\phi_0 = dt \wedge \omega + \text{Re} \Omega$$

Hence stabilizer of  $\mathbb{R}$  factor in  $G_2$  is  $SU(3) \subset G_2$ .

More generally if  $(X, g)$  is a Calabi-Yau 3-fold then product metric on  $\mathbb{S}^1 \times X$  has holonomy  $SU(3) \subset G_2$ .

- Write  $\mathbb{R}^7 = \mathbb{R}^3 \times \mathbb{C}^2$  with coords  $(x_1, x_2, x_3)$  on  $\mathbb{R}^3$ , with std  $SU(2)$  structure  $(\mathbb{C}^2, \omega_I, \Omega = \omega_J + i\omega_K)$  then

$$\phi_0 = dx_1 \wedge dx_2 \wedge dx_3 + dx_1 \wedge \omega_I + dx_2 \wedge \omega_J + dx_3 \wedge \omega_K,$$

Hence subgroup of  $G_2$  fixing  $\mathbb{R}^3 \subset \mathbb{R}^3 \times \mathbb{C}^2$  is  $SU(2) \subset G_2$ .

*$\exists$  close relations between  $G_2$  holonomy and Calabi-Yau geometries in 2 and 3 dimensions.*

## $G_2$ structures and $G_2$ holonomy metrics

Positive 3-forms  $\leftrightarrow$  (oriented)  $G_2$ -structures

- A 3-form  $\phi$  on an oriented 7-mfd  $M$  is *positive* if  $\forall p \in M \exists$  an oriented isomorphism

$$i : T_p M \rightarrow \mathbb{R}^7, \text{ such that } i^* \phi_0 = \phi.$$

- Positive 3-forms on  $\mathbb{R}^7 \leftrightarrow GL_+(7, \mathbb{R})/G_2$ .
- $\dim(GL_+(7, \mathbb{R})/G_2) = 35 = \dim \Lambda^3 \mathbb{R}^7$ .

$\Rightarrow$  Positive 3-forms on  $M$  form an *open* subbundle of  $\Lambda^3 T^* M$  i.e. small perturbations of a  $G_2$  structure are  $G_2$  structures.

**Prop:** Let  $(M, \phi, g)$  be a  $G_2$  structure on a compact 7-manifold;  
TFAE

1.  $\text{Hol}(g) \subset G_2$  and  $\phi$  is the induced 3-form
2.  $\nabla \phi = 0$  where  $\nabla$  is Levi-Civita w.r.t  $g$
3.  $d\phi = d^* \phi = 0$ .

Call such a  $G_2$  structure a *torsion-free*  $G_2$  structure.

NB (3) is nonlinear in  $\phi$  because metric  $g$  depends nonlinearly on  $\phi$ .



## $G_2$ -structures to $G_2$ -holonomy metrics

### **Prop:**

- (a). A compact 7-manifold  $M$  admits a  $G_2$ -structure iff  $M$  is orientable and spinnable.
- (b). A compact 7-manifolds  $M$  with a torsion-free  $G_2$  structure  $(\phi, g)$  has  $\text{Hol}(g) = G_2$  iff  $\pi_1 M$  is finite.
- (c). A compact 7-manifold  $(M, g)$  with  $\text{Hol}(g) = G_2$  has nonzero first Pontrjagin class  $p_1(M)$ .

### **A strategy to construct $G_2$ -holonomy metrics**

1. Find a  $G_2$  structure  $\phi$  with sufficiently small torsion on a 7-manifold with  $|\pi_1| < \infty$
2. Perturb to a torsion-free  $G_2$  structure  $\phi'$  close to  $\phi$ .

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## Special submanifolds of $G_2$ -manifolds

A *calibrated geometry* is a distinguished class of minimal submanifolds associated with a differential form.

- A *calibrated form* is a closed differential  $p$ -form  $\phi$  on a Riemannian manifold  $(M, g)$  satisfying  $\phi \leq \text{vol}_g$ .

$$\text{i.e.} \quad \phi(e_1, \dots, e_p) \leq 1$$

for any orthonormal set of  $p$  tgt vectors

- For  $m \in M$  associate with  $\phi$  the subset  $G_m(\phi)$  of oriented  $p$ -planes for which equality holds in (\*) – the *calibrated planes*.
- A submanifold *calibrated* by  $\phi$  is an oriented  $p$ -dim submanifold whose tangent plane at each point  $m$  lies in the subset  $G_m(\phi)$  of distinguished  $p$ -planes.

**Lemma:** (Harvey–Lawson)

Calibrated submanifolds minimize volume in their homology class.

## Associative & coassociative calibrations on $G_2$ -manifolds

3-form  $\phi_0$  and 4-form  $*\phi_0$  on  $\mathbb{R}^7$  are  $G_2$ -invariant calibrations.

Oriented 3-planes calibrated by  $\phi_0$  are called *associative* planes.

- $\mathbb{R}^3 \subset \mathbb{R}^3 \times \mathbb{C}^2$  is an associative 3-plane.
- $G_2$  acts transitively on associative 3-planes.

Oriented 4-planes calibrated by  $*\phi_0$  are called *coassociative*.

- 4-plane is coassociative iff its orthogonal complement is associative.

Holonomy/parallel tensor correspondence  $\Rightarrow$

on any mfd  $(M, g)$  with  $\text{Hol}(g) \subset G_2$  we have parallel 3 and 4-forms  $\phi$  and  $*_g\phi$  modelled on  $\phi_0$  and  $*\phi_0$ .

$\Rightarrow$  *associative and coassociative calibrations exist on any mfd with holonomy  $G_2$ .*

$1 + 2 = 3$  **and**  $\mathbb{S}^1 \times \text{holomorphic} = \text{associative}$

Recall when we decomposed  $\mathbb{R}^7$  as  $\mathbb{R} \times \mathbb{C}^3$  we had

$$\phi_0 = dt \wedge \omega + \operatorname{Re} \Omega$$

Recall, for  $X$  a Calabi-Yau 3-fold  $\Rightarrow \mathbb{S}^1 \times X$  has holonomy  $SU(3) \subset G_2$

$\mathbb{S}^1 \times C \subset \mathbb{S}^1 \times X$  is associative iff  $C$  is a holomorphic curve in  $X$ .  
Infinitesimal deformations of  $\mathbb{S}^1 \times C$  as an associative 3-fold  $\leftrightarrow$   
infinitesimal deformations of  $C$  as a complex curve in  $X$ .

*Remark:* we also have  $\mathbb{S}^1 \times L \subset \mathbb{S}^1 \times X$  is coassociative iff  $L$  is a special Lagrangian 3-fold in  $X$ .

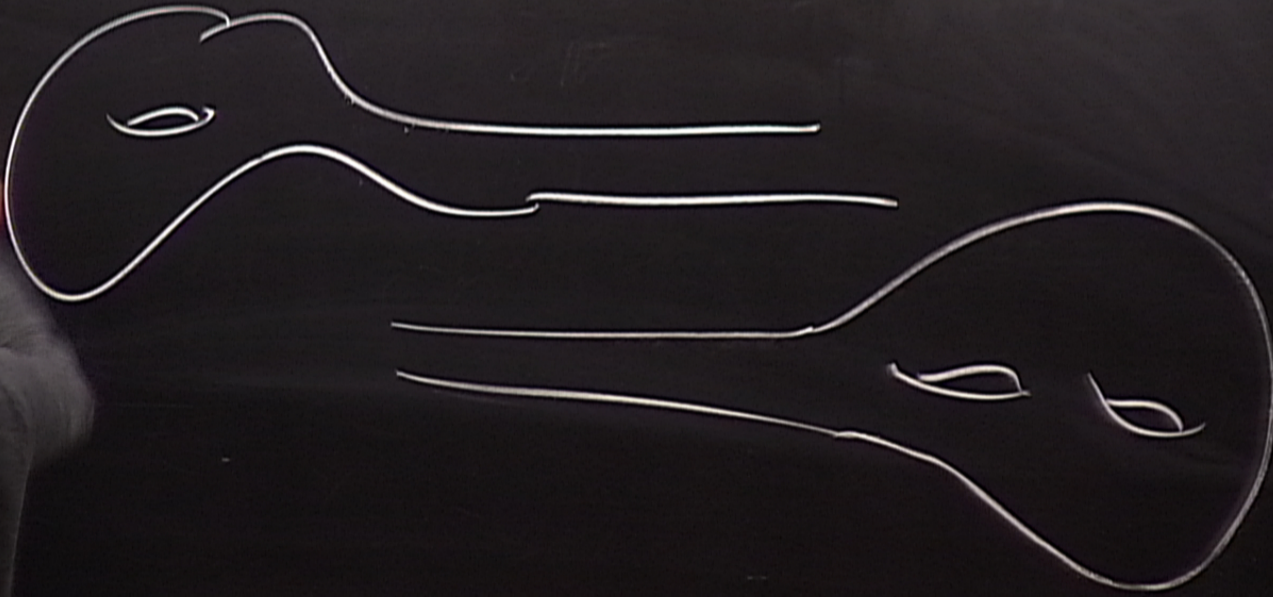
$$\mathbf{SU}(3) + \mathbf{SU}(3) + \epsilon = G_2$$

Donaldson suggested constructing compact  $G_2$  manifolds from a pair of asymptotically cylindrical KRF 3-folds via a *neck-stretching* method

- Use noncompact version of Calabi conjecture to construct asymptotically cylindrical Kähler-Ricci-flat (AC KRF) 3-folds  $X$  with one end  $\sim \mathbb{C}^* \times D$ , with  $D$  a smooth  $K3$
- $M = \mathbb{S}^1 \times X$  is a Riem 7-mfd with  $\text{Hol } g = \text{SU}(3) \subset G_2$  with end  $\sim \mathbb{R}^+ \times T^2 \times K3$ .
- Take a *twisted connect sum* of a pair of  $M_i = \mathbb{S}^1 \times X_i$
- For  $T \gg 1$  construct a  $G_2$ -structure w/ small torsion (exponentially small in  $T$ ) and prove it can be corrected to torsion-free.

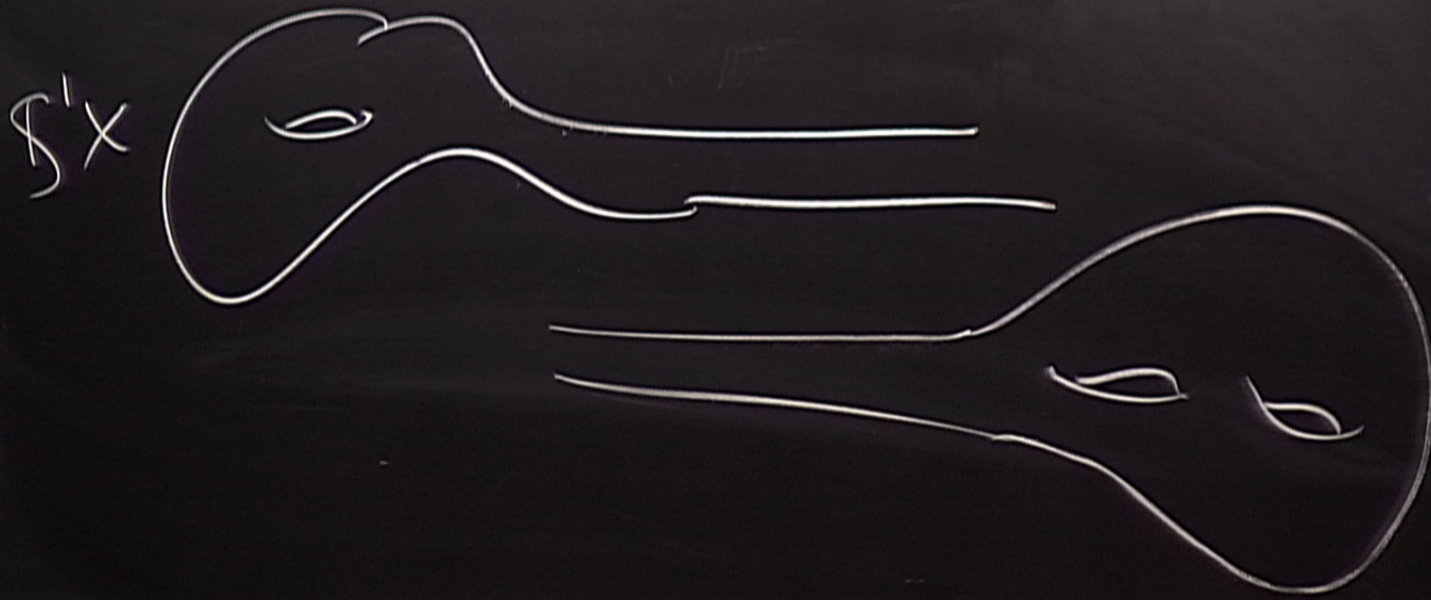
Kovalev (2003) carried out Donaldson's proposal for AC KRF 3-folds arising from Fano 3-folds.

$S^1 \times$



ACyl  
CY  
3-folds

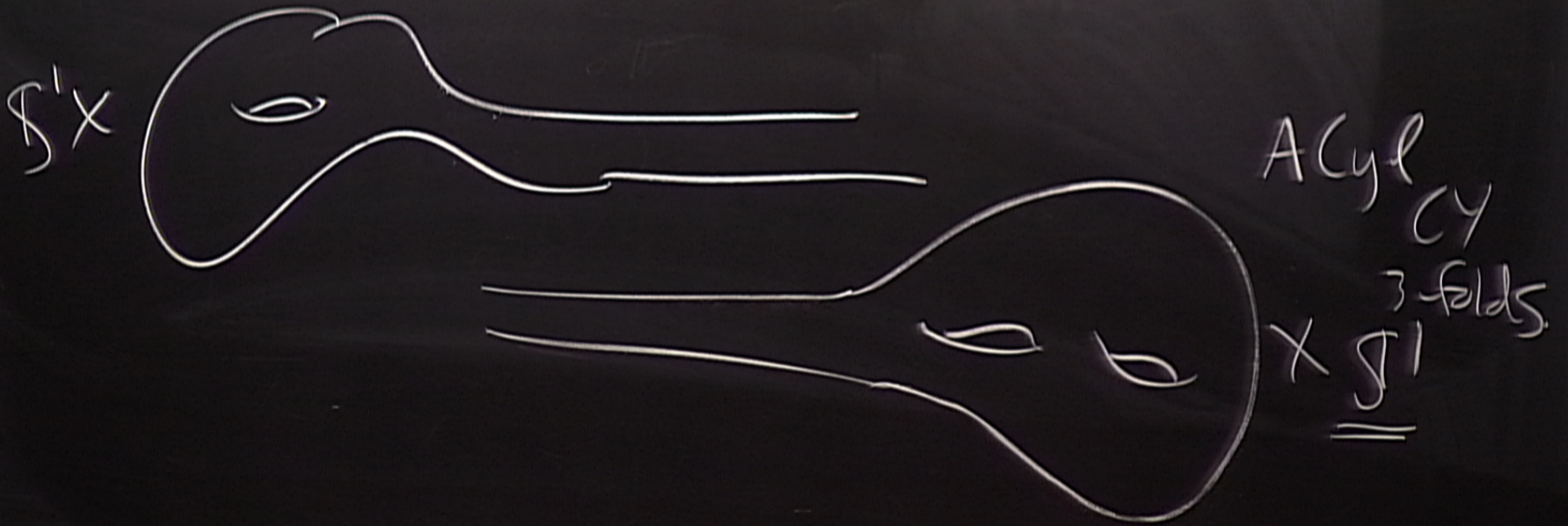


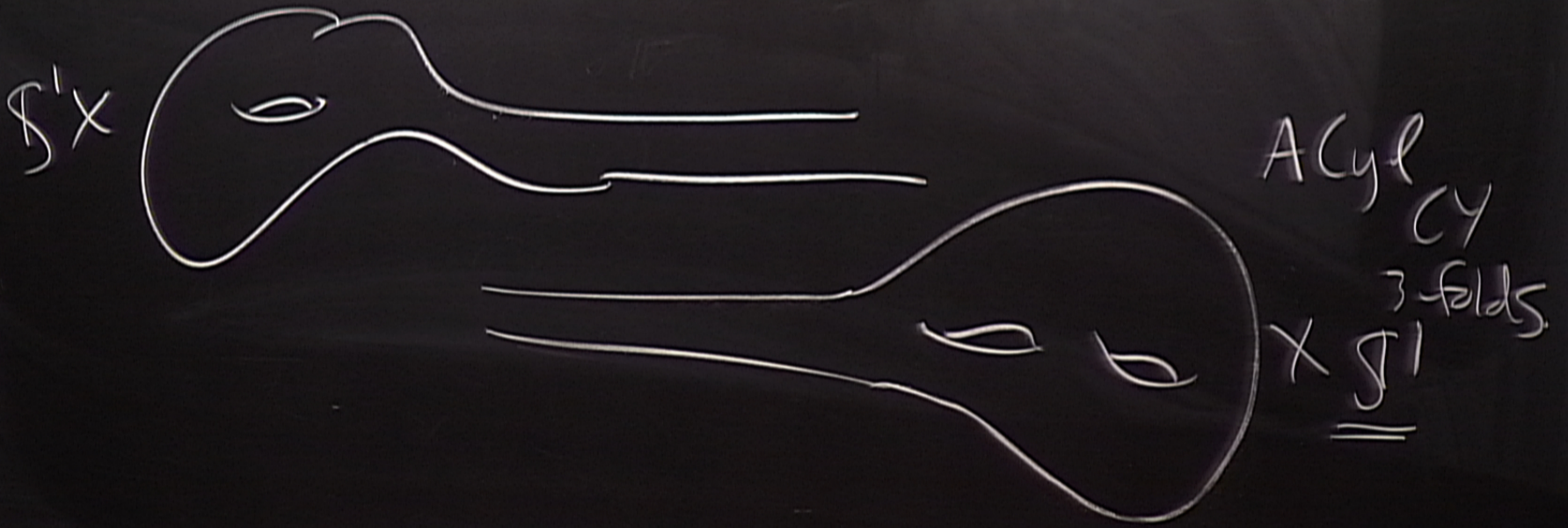


S'x

ACyl  
CY  
3-folds  
X J1







## Hyperkähler rotation (or matching data)

Product  $G_2$  structure on  $M_i$  asymptotic to

$$d\theta_1 \wedge d\theta_2 \wedge dt + d\theta_1 \wedge \omega_I^{(i)} + d\theta_2 \wedge \omega_J^{(i)} + dt \wedge \omega_K^{(i)}$$

- $\omega_I^{(i)}$  denotes Ricci-flat Kähler metric on  $D_i$
- $\omega_J^{(i)} + \sqrt{-1}\omega_K^{(i)}$  parallel  $(2,0)$ -form on  $D_i$ .

To get a well-defined  $G_2$  structure using

$$F : [T - 1, T] \times T^2 \times D_1 \rightarrow [T - 1, T] \times T^2 \times D_2$$

given by

$$(t, \theta_1, \theta_2, y) \mapsto (2T - 1 - t, \theta_2, \theta_1, f(y))$$

to identify end of  $M_1$  with  $M_2$  we need  $f : D_1 \rightarrow D_2$  to satisfy

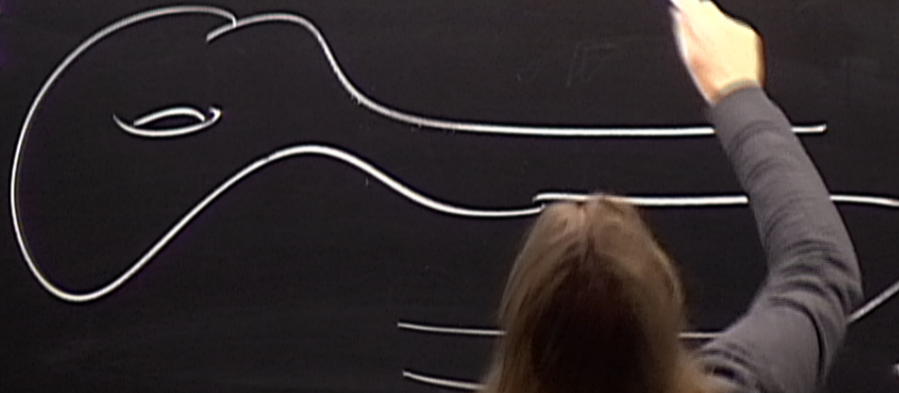
$$f^*\omega_I^{(2)} = \omega_J^{(1)}, \quad f^*\omega_J^{(2)} = \omega_I^{(1)}, \quad f^*\omega_K^{(2)} = -\omega_K^{(1)}$$

*Constructing such hyperkähler rotations is nontrivial and a major part of the construction.*

$$\mathbb{R}^+ \times T^2 \times K3$$

$$(\tau, \theta_1, \theta_2, \sigma)$$

$S^1 \times M$



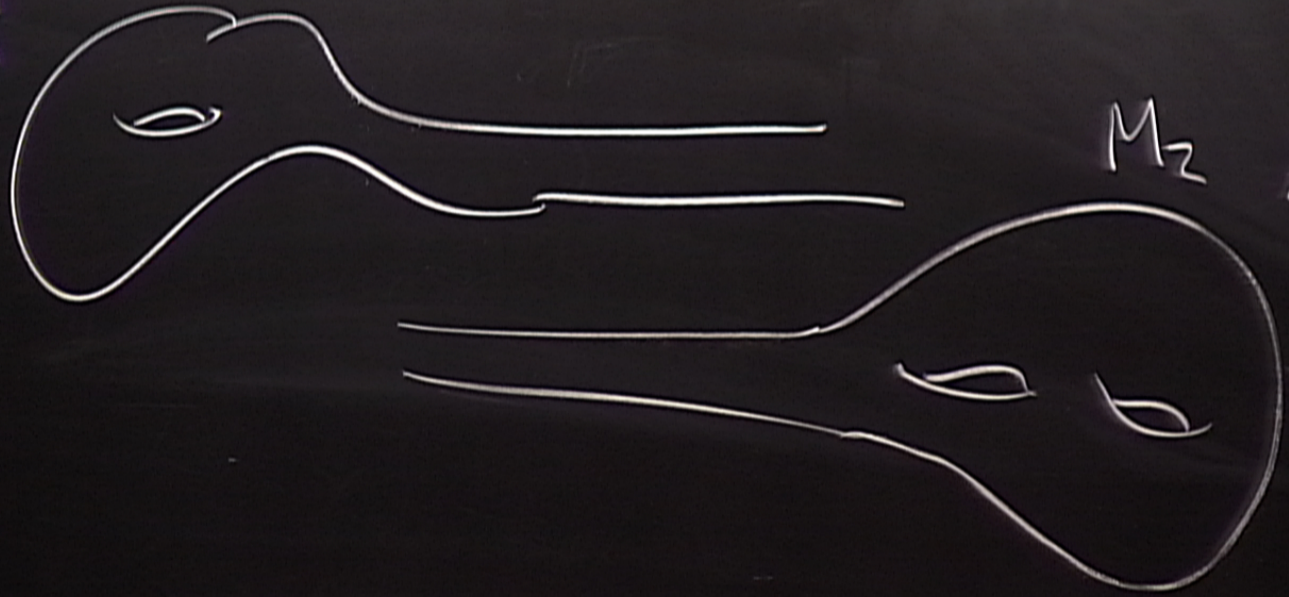
$M_2$  ACyl  
 CY  
 3-folds  
 $\times S^1$



$$\mathbb{R}^+ \times T^2 \times K^3$$

$$(t, \theta_1, \theta_2, y)$$

$S^1 \times M$



$M_2$  ACyl  
 CY  
 3-folds  
 $\times S^1$   
 =

### Kovalev's twisted connect sum $G_2$ manifolds

1. Construct suitable asymptotically cylindrical Calabi-Yau 3-folds; Kovalev constructs them from smooth *Fano 3-folds*, building on work of Tian-Yau
2. Need to find sufficient conditions for existence of a *hyperkähler rotation* between  $D_1$  and  $D_2$ ; Use global Torelli theorems and lattice embedding results (Nikulin) to find hyperkähler rotations from suitable initial pairs of Fano 3-folds
3. Given a pair of AC KRF 3-folds  $X_i$  and a HK-rotation  $f : D_1 \rightarrow D_2$  can *always* glue  $M_1$  and  $M_2$  to get a 1-parameter family of cpt manifolds  $M_T$  with holonomy  $G_2$ .

Given 1 & 2, part 3 always works.

**Take-home message:**  $\Rightarrow$  have reduced solving PDE for  $G_2$ -metrics to two problems about complex projective 3-folds.

## An asymptotically cylindrical Calabi conjecture

**Tian-Yau I (JAMS 1990):** The Calabi conjecture on fibred quasiprojective manifolds.

Setup:

- $\bar{X}$  is a projective manifold
- $D \subset \bar{X}$  a divisor
- $\pi : \bar{X} \rightarrow \bar{S}$  is a fibre space over a smooth algebraic curve  $\bar{S}$  with connected fibres
- $D = \pi^{-1}(D_{\bar{S}})$ ,  $D_{\bar{S}} \subset \bar{S}$  consists of finitely many smooth reduced fibres.

**Thm:** Let  $Z = \bar{X} \setminus D$ . Given any  $(1,1)$ -form  $\omega$  representing  $c_1(K_{\bar{X}}^{-1} \otimes [D]^{-1})$ , there is a complete Kähler metric with  $\omega$  as its Ricci form and this metric has linear volume growth.

To get Ricci-flat metrics should take  $D \in |K_{\bar{X}}^{-1}|$

$\Rightarrow$  *Get complete Calabi-Yau metrics with linear volume growth from anticanonical divisors in fibred quasiprojective varieties.*



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To get Ricci-flat metrics should take  $D \in |K_{\bar{X}}^{-1}|$

$\Rightarrow$  *Get complete Calabi-Yau metrics with linear volume growth from anticanonical divisors in fibred quasiprojective varieties.*

## How do we find such $K3$ fibred projective 3-folds?

It suffices to find:

*a smooth projective 3-fold  $X$  with  $D, D' \in |K_X^{-1}|$  smooth  $K3$  surfaces that intersect transversely.*

$D \cap D'$  is a smooth curve  $C$  (the base locus of the pencil defined by  $D$  and  $D'$ )

Blowup  $X$  along the base locus  $C$  to get new projective 3-fold  $\bar{X}$

The proper transforms of  $D$  and  $D'$  are smooth anticanonical divisors on  $\bar{X}$  and the pencil they determine gives a morphism  $\pi : \bar{X} \rightarrow \mathbb{P}^1$  with generic fibre a smooth anticanonical  $K3$ . Now remove any smooth fibre of  $\pi$  from  $\bar{X}$ .

Tian-Yau  $\Rightarrow \bar{X} \setminus D$  admits a KRF metric with linear volume growth; for gluing purposes Kovalev needed to improve asymptotics of Tian-Yau metric.

## Fano and weak Fano 3-folds

### Definitions:

1. A smooth Kahler 3-fold  $X$  is a *Fano manifold* if  $K_X^{-1}$  is ample.
2. A smooth projective 3-fold  $X$  is a *weak Fano manifold* if  $K_X^{-1}$  is big and nef.

*Basic idea:* replace condition  $K_X^{-1}$  is positive, with  $K_X^{-1}$  sufficiently “non-negative”.

- A holomorphic line bundle  $L$  on  $X$  is *nef* if

$$c_1(L).C = \int_C c_1(L) \geq 0$$

for every irreducible (holo) curve  $C \subset X$ .

- A holomorphic line bundle  $L$  on  $X$  is *big* if

$$h^0(L^{\otimes m}) \geq Cm^n, \text{ for } m \gg 1, \quad n = \dim_{\mathbb{C}} X.$$

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## Fano and weak Fano 3-folds

For *ample* line bundles we have Kodaira vanishing theorem.

For *big and nef* line bundles Kawamata-Viehweg vanishing replaces Kodaira.

Shokurov: on a smooth Fano 3-fold  $X$  any sufficiently generic  $D \in |K_X^{-1}|$  is a smooth K3 surface.

Reid generalised Shokurov's result to *weak* Fano 3-folds.

Shokurov-Reid + Tian-Yau + Kovalev (improved asymptotics):  
 $\Rightarrow$  *can construct asymptotically cylindrical KRF metrics from any smooth Fano or weak Fano 3-fold.*

Kovalev used ACyl KRF 3-folds constructed from smooth Fano 3-folds for his twisted connect sum  $G_2$ -manifolds; we generalise to (certain classes of) weak Fano 3-folds.

## Advantages of weak Fano vs. Fano

1. *Many* more weak Fano than Fano 3-folds  
Fano 3-folds classified: 105 deformation families  
*Thousands* of weak Fano 3-folds; classification ongoing.  
  
⇒ get more topological types of ACyl KRF 3-folds and hence  
(in good cases) compact  $G_2$  mfd
2. In a Fano 3-fold  $K_X^{-1}$  is ample:  
⇒ any compact holo curve  $C \subset X$  must intersect any anti-canonical divisor  
  
A *weak Fano* 3-fold can contain holo curves  $C$  that do not meet anticanonical divisors.
3. For each smooth rigid  $\mathbb{P}^1$  in a weak Fano 3-fold  $X$  any  $G_2$  manifold built from  $X$  contains a *rigid associative submanifold* w/ topology  $S^1 \times S^2$ .

## Weak-\* Fano 3-folds and $G_2$ manifolds

**BUT:** need *more* than weak Fano to construct hyperkahler rotation  $f : D_1 \rightarrow D_2$ .

Require a sufficiently good deformation/moduli theory for pair  $(X, D)$  where  $X$  is a (deformation class of) weak Fano 3-fold and  $D$  a smooth anticanonical K3 divisor in  $X$ .

**Definition:** A weak Fano 3-fold is *weak-\** if the natural morphism to its anti-canonical model is *small* i.e. contracts only finitely curves to points.

(Also useful to allow larger class of weak Fano 3-folds where AC model is only *semismall*; call these semi-Fano 3-folds).

For weak-\* Fano (or semi-Fano) 3-folds can still construct HK rotations.  $\Rightarrow$  can use them to construct compact twisted connect sum  $G_2$  manifolds.

**Theorem:** (Corti-Haskins-Nordström-Pacini) There exist many topological types of compact  $G_2$  manifold which contain *rigid* associative submanifolds diffeomorphic to  $S^1 \times S^2$ .

*Why do we get rigid associatives?*

Let  $C$  be a cpt holo curve in  $X$  not meeting AC divisor  $D_1$

$\rightsquigarrow$  cpt holo curve  $C \subset M = \bar{X} \setminus D_1$

$\rightsquigarrow \mathbb{S}^1 \times C$  is cpt associative submfd in  $\mathbb{S}^1 \times M$ .

- $C$  rigid curve in  $M$  iff  $\mathbb{S}^1 \times C$  rigid associative 3-fold of  $\mathbb{S}^1 \times M$
- Since  $\mathbb{S}^1 \times C$  is rigid in  $\mathbb{S}^1 \times M$ , easy to perturb  $\mathbb{S}^1 \times C$  to rigid associative 3-fold in glued  $G_2$  structure for  $T \gg 1$ .

*Remarks:*

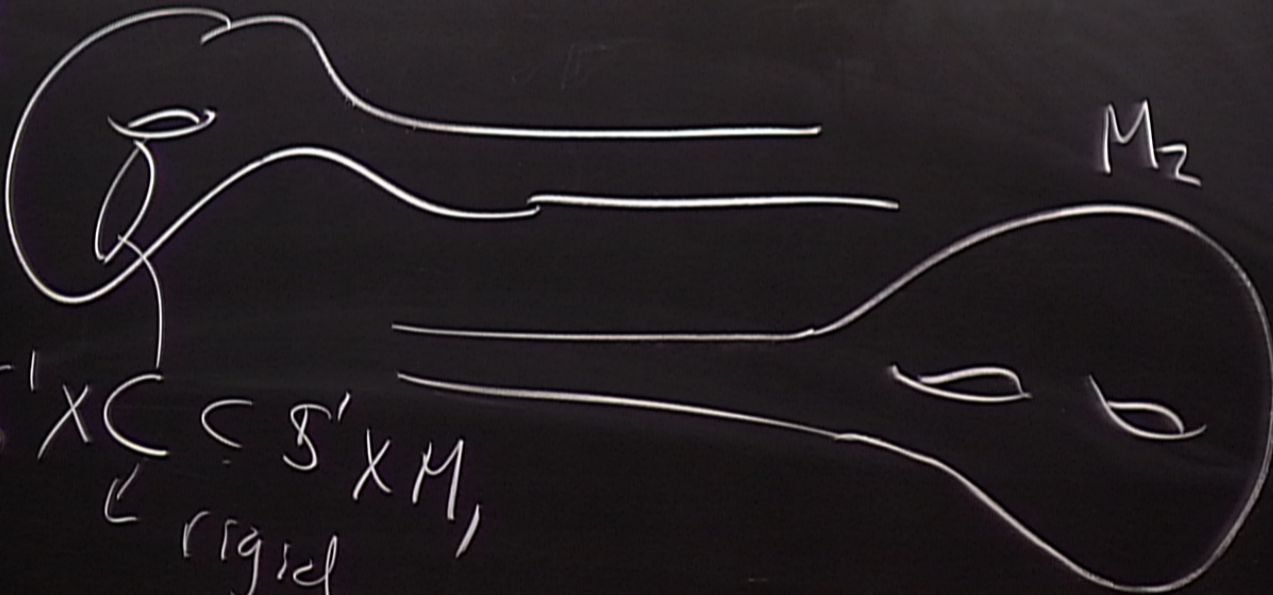
- First examples of *rigid* associative submanifolds in compact  $G_2$  manifolds.
- Infinitesimal deformations of associative submfds  $\iff$  *twisted harmonic spinors*. Index of twisted Dirac operator is zero since in odd dimension, but hard to control kernel.  $\Rightarrow$  deformation theory can be obstructed.
- Can attempt to build invariants of  $G_2$  manifolds by counting associative submfds in a given homology class.



$$\mathbb{R}^+ \times T^2 \times K3$$

$$(t, \theta_1, \theta_2, y)$$

$$\mathcal{S}' \times M$$



$$\mathcal{S}' \times C \subset \mathcal{S}' \times M,$$

← rigid

$$M_2 \text{ ACyl } C^4$$

3-folds

$$\times \mathcal{S}'$$

## Simple examples of weak-\* Fano 3-folds

**Example 1:** start with a (singular) quartic 3-fold  $Y \subset \mathbb{P}^4$  containing a projective plane  $\Pi$  and resolve.

If  $\Pi = (x_0 = x_1 = 0)$  then eqn of  $Y$  is

$$Y = (x_0 a_3 + x_1 b_3 = 0) \subset \mathbb{P}^4$$

where  $a_3$  and  $b_3$  are homogeneous cubic forms in  $(x_0, \dots, x_4)$ . Generically the plane cubics

$$(a_3(0, 0, x_2, x_3, x_4) = 0) \subset \Pi,$$

$$(b_3(0, 0, x_2, x_3, x_4) = 0) \subset \Pi$$

intersect in 9 distinct points, where  $Y$  has 9 ordinary double points.

Blowing-up  $\Pi \subset Y$  gives a smooth  $X$   $f : X \rightarrow Y$  is a *projective* small resolution of all 9 nodes of  $Y$ .

$X$  is a smooth (projective) weak-\* Fano 3-fold; it contains 9 smooth rigid rational curves with normal bundle  $\mathcal{O}(-1) \oplus \mathcal{O}(-1)$ ;  $X$  has genus 3 and Picard rank 2.